

Helium Irradiation Effects on the Surface Modification and Recrystallization of Tungsten

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Abstract

Helium (He) irradiation has previously been observed to alter surface modifications and the recrystallization properties of tungsten (W). In this study, He irradiations in the NAGDIS-II linear plasma device, at temperatures below recrystallization and low energies (50 eV) followed by annealing at 1470 K were carried out. Additionally, high energy (28 keV) hydrogen (H) with an admixture of 6 at% He irradiations in the neutral beam facility GLADIS were performed at temperatures above recrystallization (1770 K). These are compared to pure H irradiations under the same conditions. In the low temperature cases, following irradiation, an undulating microstructure is observed on the surface of the samples after irradiation. After annealing at 1470 K, it is observed that the inhibition effect of He irradiation is more pronounced following lower temperature exposures. The effect of He irradiation on inhibition of recrystallization with grain growth is also observed to saturate at the highest fluences. In the high temperature, high energy case, He irradiation and recrystallization happens simultaneously. Following the irradiation a coral like microstructure is observed on the surface of the sample. The introduction of He is not seen to have a significant effect on the recrystallization process of W when the irradiation is carried out at temperatures above recrystallization.

1 Introduction

In ITER, the high heat and particle fluxes in the divertor will lead to surface temperatures on the tungsten plasma-facing material possibly exceeding those at which recrystallization and grain growth occur. Recrystallization is known to lead to a modification of the mechanical properties of the material and has been shown to increase the probability of the formation of macro-cracks during high heat flux testing at 20 MW/m² [1,2]. Recent observations indicate that exposures to a high flux plasma could alter the recrystallization kinetics of tungsten [3–5]. In particular, helium plasma exposure has been shown to make tungsten surfaces more resistant to recrystallization and grain growth [3,6], presumably because of the effect helium has on the mobility of grain boundaries. In view of ITER operation, where W recrystallization poses potential serious issues, it is important to identify the necessary conditions for retarded recrystallization to occur (surface temperature, particle species, flux, fluence, etc) and to clarify what is the driving mechanism. Most studies so far have been done in linear plasma devices at temperatures lower than the recrystallization temperature. This article aims to further investigate the effect of He irradiation on surface modifications and recrystallization properties of tungsten. The effect of surface temperature and ion fluence is studied in the NAGDIS-II linear plasma device [7]. The plasma exposure is then followed by vacuum annealing to induce recrystallization. In addition, experiments have been done with a higher energy neutral beam in the GLADIS high heat flux test facility [8] at high surface temperature to study the case where the He ion exposure and the recrystallization process are expected to occur simultaneously.

2 Samples and loading conditions

He plasma exposures were performed in the NAGDIS-II device at the following parameters: ~ 50 eV He ion energy, ion flux in the range 1.5-3.5×10²² m⁻²s⁻¹ and fluences in the range 0.2-4.6×10²⁶ m⁻². The surface temperatures T_{surf} during loading were varied from 600 to 823 K. The energy was controlled through biasing the sample and a Langmuir probe was used to measure the plasma parameters [7]. The exposure conditions are listed in Table 1.

The tungsten samples (10 mm diameter, 0.5 mm thickness, purity 99.95%.) were produced by A.L.M.T. (Japan). The surface was mechanically polished to obtain a roughness of 0.05 μm. The

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3 samples had an inhomogeneous microstructure with an average grain size of $\sim 3.5 \mu\text{m}$. After plasma
4 exposure, all samples, including a non-exposed reference sample, were annealed for 1 hour at 1473 K.
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6 A temperature close to the beginning of recrystallization was chosen because previous work has
7 shown that annealing at higher temperatures only show marginal differences in recrystallization [3].
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9 The average grain sizes were obtained from EBSD measurements (Figure 1), using a misorientation
10 threshold of 15° , where the average took into account the area fraction covered by the grains (a
11 normalised value for the area covered by grains of a certain diameter).
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19 **Table 1: Experimental conditions for He plasma exposures in NAGDIS-II**

Sample Name	Temperature (K)	Fluence (m^{-2})	Exposure Time (s)
N600_2x25	600	1.9×10^{25}	1140
N600_1x26	600	1.0×10^{26}	4680
N823_2x25	823	1.9×10^{25}	660
N823_1x26	823	1.0×10^{26}	6000
N823_5x26	823	4.6×10^{26}	16200

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36 The H/He exposures at higher energies were performed in the GLADIS facility. The samples
37 investigated in this paper were from a larger series of exposures carried out [9,10]. The $5 \times 10 \times 15$
38 mm^3 W blocks were cut from forged rods (99.97% purity) produced by PLANSEE SE (Austria). This
39 manufacturing process resulted in a variation of the microstructure with typical grain sizes between 2-
40 $5 \mu\text{m}$ (Figure 4). The samples were brazed onto a Cu heat sink to allow a temperature control by
41 active cooling during exposure. The surfaces of the samples were polished to a mirror finish.
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50 The samples were exposed to pure H and mixed H/6%He beams to investigate surface morphology
51 modification, occurring during high heat flux loading using H and He particles or to a pure H neutral
52 beam as a reference. These experiments simulate the expected operation conditions of plasma-facing
53 materials with a He “ash” content of about 5% in future fusion devices [11,12]. In the pure H loading
54 case, the ion species distribution of the beam source resulted in target loading with 22% of atoms of a
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3 full energy, $E = 28$ keV, 43% of $1/2E$ and 35% had an energy of $1/3E$, resulting in a mean particle
4 energy of 15 keV. In case of H/6% He loading the particle energy and distribution is similar;
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7 21% H at $E = 28$ keV, 40% H at $E/2$, 33% $1/3E$, 6% He at 28 keV. More details about the loading
8 conditions can be found in reference [10]. The exposures were performed with 30 s pulses. Since the
9 samples reached the thermal equilibrium after about 7 s, the samples were loaded under steady-state
10 condition for the remaining 23 s of each pulse. The particle fluxes were of the order of $4 \times 10^{21} \text{ m}^{-2}\text{s}^{-1}$.
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16 Table 2 summarizes further details of the loadings.

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19 The energies employed in GLADIS are above the sputtering threshold of W by He (120 eV) and also
20 W by H (460 eV) [13]. Note the energies used in NAGDIS-II are below the sputtering threshold of W
21 by He. The applied surface heat flux of 10 MW/m^2 simulates the typical heat load during steady state
22 operation of ITER divertor plasma-facing units, resulting in predicted surface temperatures up to 1100
23 °C [14]. Previous work has shown that with the admixture of 6% He to the H beam, it is the fluence of
24 He and the surface temperature that drive modifications to the surface microstructure of W [11,15].
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39 Therefore, the high energy experiments are comparable to the lower energy experiments. The pure H
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Table 2: GLADIS exposure conditions

Loading	Temperature (K)	Fluence (m^{-2})	Exposure time (s)	No of pulses
H Pure	1773	1×10^{25}	2640	88
H/6%He	1773	2×10^{25}	5363	180

Following the exposure, SEM assisted by FIB to prepare cross sections (Helios Nanolab 600, FEI; 5 keV electron beam energy) was used to analyse changes to the surface and microstructure. Secondary electrons (SE) and back scattered electrons (BSE) were used for imaging. Grain sizes were obtained from EBSD data (Hitachi nanoDUE'T NB5000) and SEM image analyses for the NAGDIS-II and the GLADIS exposed samples, respectively.

3 Results and Discussion

3.1 Low energy plasma exposure

After all the plasma exposures in NAGDIS-II, the surface of the samples retained a shiny metallic appearance, however, when analysed in the SEM, it was observed that there were some microscale topological modifications on the surface of all samples (Figure 1). The modification varies between different grains and is seen to be most pronounced on the sample exposed to the highest temperature and fluence (N823_5x26). The He ion energy and fluences during these experiments are within the typical range where fuzz formation was observed in linear plasma devices [16], however, the temperature is below that required for fuzz formation and unlike fuzz, these structures appear to be more periodic, repeating at regular intervals across the grains [17]. The structure that is seen in these exposures is an undulating structure that has been observed previously, although the formation mechanism is not agreed on [18–20]. In the literature, FIB-prepared cross sections of such undulating structures have revealed He nano-bubbles close to the surface, with the thickness of the layer of bubbles much greater than the implantation depth of He in W [19]. Research by Miyamoto et al. has shown that the size of such He bubbles increases with exposure temperature and that there is a saturation of the density of He bubbles at a fluence of $5 \times 10^{23} \text{ m}^{-2}$ [19]. Similar undulating structures have also been observed following exposures of W to deuterium (D) [21]. Mass losses for the samples in this study was in the range of 4-20 μg , indicating some sputtering from impurities in the plasma beam. In a 10 mm sample, this corresponds to a $\sim 15 \text{ nm}$ erosion depth.

After the plasma exposure, all the samples, as well as reference sample were annealed for 1 hour at 1473 K. After annealing, the surface modifications seen on the surface of the samples post irradiation had disappeared (Figure 2). Cross sections prepared by FIB, do not reveal bubbles below the surface in the SEM (Figure 2). Annealing of He induced surface modifications has been previously observed in the literature [3]. Reduced grain growth on the surface of the He exposed samples is observed compared to the reference sample. In numbers, the reference sample, has grains with an average grain size of $32.8 \pm 14.4 \mu\text{m}$ after annealing. It appears that the majority of the surface of the reference sample is covered in newly formed larger grains, with some small pockets of smaller grains. The

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3 average grain size of the He plasma exposed samples after annealing was 0.5-0.8 times that of the
4 reference sample (Figure 1), with the exception of the sample N823_2x25, where the average grain
5 size was even slightly larger than for the reference sample. However, it should be noted that the error
6 bars in such measurements are large due to the broad grain size distribution. The grain size
7 distribution of all the He exposed samples as well as of the annealed reference sample are shown in
8 Figure 3. It can be seen that typically the plasma exposed samples have a higher area fraction covered
9 by small grains in comparison to the reference sample.
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19 In detail, sample N600_2x25 has an average grain size of ~ 50% of the non-exposed annealed sample
20 and sample N600_1x26 has an average grain size of ~ 75% of the non-exposed sample. The inhibition
21 of recrystallization at 600 K is in agreement with previous work carried out by Guo *et al.* at similar
22 temperatures [3]. At 823 K the grain size decrease with fluence, with an apparent saturation at the two
23 highest fluences. Sample N823_2x25 has an average grain size that is almost the same as the non-
24 exposed annealed sample. Samples N823_1x26 and N823_5x26 have average grain sizes that are 73
25 and 84% of the non-exposed annealed sample, respectively. At higher temperatures, the inhibition of
26 recrystallization is less severe, in agreement with Song *et al.* [6].
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36 Cross sections were prepared by FIB on the samples after annealing. Two cross sections on the
37 sample exposed to a He plasma up to a fluence of $1.9 \times 10^{25} \text{ m}^{-2}$ at 823 K are shown in Figure 2 as an
38 example. It is interesting to note that there is a significant affected layer, of the order of microns
39 (typically a few grains in thickness), however, the affected zone extends deeper than the penetration
40 depth of 50 eV He in W. The inhibition of recrystallization is inhomogeneous across the sample,
41 resulting in a mix of large recrystallized grains and smaller deformed grains as observed in Figure 2,
42 at the FIB cross sections. Although cross sections before annealing were not carried out, in the
43 literature He bubbles have been observed at depths exceeding the implantation of depth of He in W,
44 but still of the order of tens of nanometres [19].
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56 The mechanism of inhibition may be due to trapping of He in the initial tens of nanometres into the
57 sample, which may impede grain boundary motion. This affects the whole grain. In the literature it
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3 has been shown that the size and density of He bubbles formed is influenced by the incident fluence
4 and temperature, Song *et al.* [6] have shown that with increased temperatures, helium nano-bubbles
5 increase in size. Miyamoto *et al.* observed a saturation in He bubble density at fluences greater than
6 $5 \times 10^{23} \text{ m}^{-2}$ [19]. The Zener-pinning effect, has been suggested by Song *et al.* [6] as a mechanism to
7 describe the less inhibited recrystallization observed following higher temperature He exposures in
8 comparison to lower temperature ones. Due to the fact that the He bubble size increases with higher
9 temperatures, the Zener-pinning effect means that there is a reduction in the drag force, the grain
10 boundary motion is less slowed, and hence the inhibition of the recrystallization process is not as
11 significant as in the lower temperature cases. At fluences of 1.9×10^{25} , the same temperature effect
12 observed by Song *et al.* is also seen, but at higher fluences this is not observed. The bulk of the
13 material is not affected by the He irradiation, only by the heat load. In this investigation the He
14 exposure and annealing were performed sequentially, and it is important to see if the same effects are
15 observed when annealing and exposure occur simultaneously.

3.2 Higher energy particle exposure

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32 The microstructural modifications on the surface of the two samples exposed in GLADIS are
33 completely different. The microstructures after the pure H as well as the H/6%He loading show a
34 larger grain size in comparison to the starting material. The average grain size of the H exposed
35 sample is $70 \pm 18 \mu\text{m}$ and that of the H/6%He exposed sample was $60 \pm 12 \mu\text{m}$. On the H exposed
36 samples there are some larger grains, but also some smaller grains are still visible on the surface.
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38 There is no significant difference due to the presence of the He admixture on the recrystallization and
39 grain growth effects. Unlike in the low energy and low surface temperature cases, where pockets of
40 small grains are observed in the first few microns into the depth of the sample, this is not observed in
41 the higher energy and higher surface temperature cases because at 1773 K the sample is clearly in the
42 grain growth stage. Additionally, as observed by Song *et al.* [6] the effect of He irradiation on
43 inhibition of recrystallization is not as severe when the He exposure is performed at higher
44 temperatures. If we extrapolate this to higher temperatures, the results from the high energy exposures
45 are in line with this literature, with no significant effect seen.

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3 On the surface of the H exposed sample, we observe 1-2 μm diameter ‘holes’ across the surface
4 (Figure 5 a)), which is confirmed in the FIB cross sections (Figure 5 b)). Pores are visible up to a few
5 microns below the surface. Pores are typically seen below the surface in the tungsten material exposed
6 to H neutral beams in GLADIS, but not in as high a quantity as observed in this case. In the FIB cross
7 sections there also appears to be pores close to the surface and also several microns below the surface.
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9 The diameters of the pores vary from tens of nanometres to ~ 350 nm. Again, the region of damage
10 appears to extend deeper than the penetration depth of the maximum energy of 28 keV H in W, as
11 calculated by SRIM, which is ~ 120 nm [22]. The thickness of the eroded layer due to physical
12 sputtering on this sample was calculated to be ~ 300 nm [10,13]. Apart from the holes, the surface of
13 the sample still appears to be fairly flat after irradiation (Figure 5 b)).

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15 In contrast we see the development of a coral like nanostructure on the surface of the H/6%He
16 irradiated sample (Figure 5 c, d)). The surface is uneven and there are many cavities observed near to
17 the surface. There are two main types of cavities, those with a diameter of a few tens of nanometres
18 and those that are a few hundreds of nm in diameter (Figure 5 d)). This has also been analysed in
19 more detail in [10]. The thickness of the surface layer with cavities is several hundreds of nanometres.
20 This exceeds the penetration depth of 28 keV He or H in W (74 nm and 120 nm respectively), as
21 calculated by SRIM [22]. The thickness of the eroded layer due to physical sputtering on this sample
22 was calculated to be ~ 1.3 μm [10,13]. The formation of such a coral like structure has previously been
23 observed in GLADIS for exposures to pure He [23,24]. An addition of only 6%He results in surface
24 modifications similar to those observed to similar high energy pure He exposures. In agreement with
25 previous studies [10], it is the absolute He fluence of 1.2×10^{24} m^{-2} that is responsible for the coral like
26 modifications..

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28 In GLADIS the He exposure and heating occur simultaneously. An exposure to a H/6%He neutral
29 beam is compared to a pure H neutral beam exposure at 1773 K. The fluences were 2 and 1×10^{25} m^{-2} ,
30 respectively. The influence of He on recrystallization is not seen to be significant, and it is suggested
31 that this is due to the higher temperatures employed. Again, as in the low energy case, the He loading
32 only influences the first few μm , with the bulk only being influenced by the heat load itself.

4 Summary

From the H/He irradiation experiments performed at low and high temperatures for two different energies at 50 eV and 28 keV it is observed that the He irradiation effect only influences the tungsten material up to a depth of several μm . In the low energy, low temperature cases, the inhibition of grain growth is seen to be greater after He exposures at 600 K in comparison to 823 K, in agreement with Song *et al.* [6]. The inhibition effect on recrystallization is observed to saturate at higher fluences. For the simultaneous heat and particle loading at higher energy and surface temperatures, the admixture of He does not significantly inhibit the grain growth. It is suggested that this could be due to the higher temperatures employed for the irradiation experiments. There is never any effect deeper than several μm into the material, irrespective of whether we do the sequential approach as performed in the 50 eV low energy plasma loading or the simultaneous approach performed during the 28 keV H/He neutral particle loading. It is clear in both cases that the He irradiation results in surface modifications of W, with a more severe modification at the higher temperature and the higher energies, above the sputtering threshold.

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5 Figures

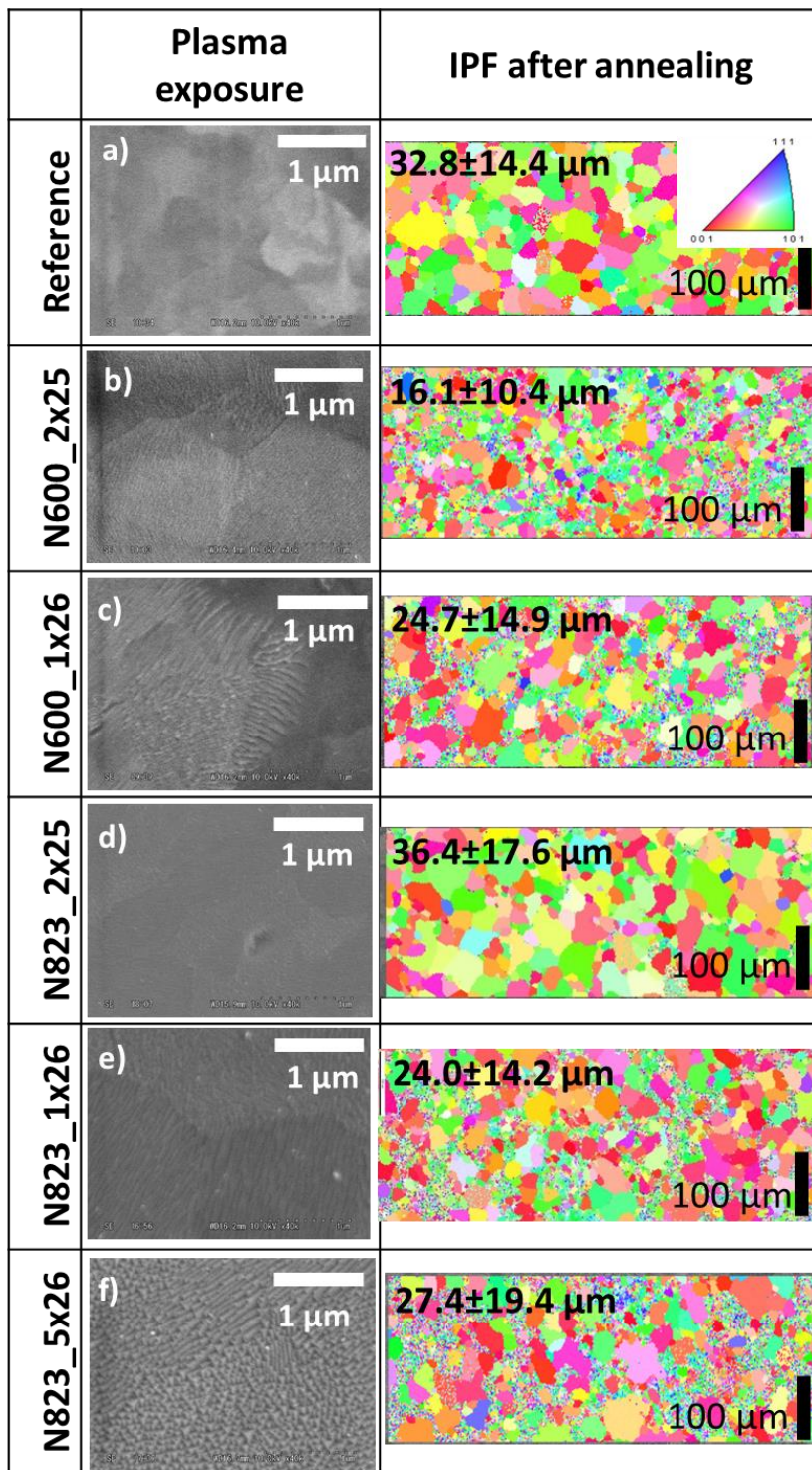


Figure 1: Secondary electron images after He exposure and orientation maps (colour-coded equal to the inverse pole figure (IPF) for the surface normal direction given in a)) after additional annealing for 1 hour at 1473 K are given for a) the as-received material, after He plasma exposure at fluences of b) 1.9×10^{25} and c) $1.0 \times 10^{26} \text{ m}^{-2}$ at a temperature of 600 K and at fluences of d) 1.9×10^{25} , e) 1.9×10^{26} and f) $4.6 \times 10^{26} \text{ m}^{-2}$ at a temperature of 823 K. The EBSD data are used to obtain the mean grain size which are given above the orientation maps. For the as-received samples, the grains size is $3.5 \pm 2.6 \mu\text{m}$.

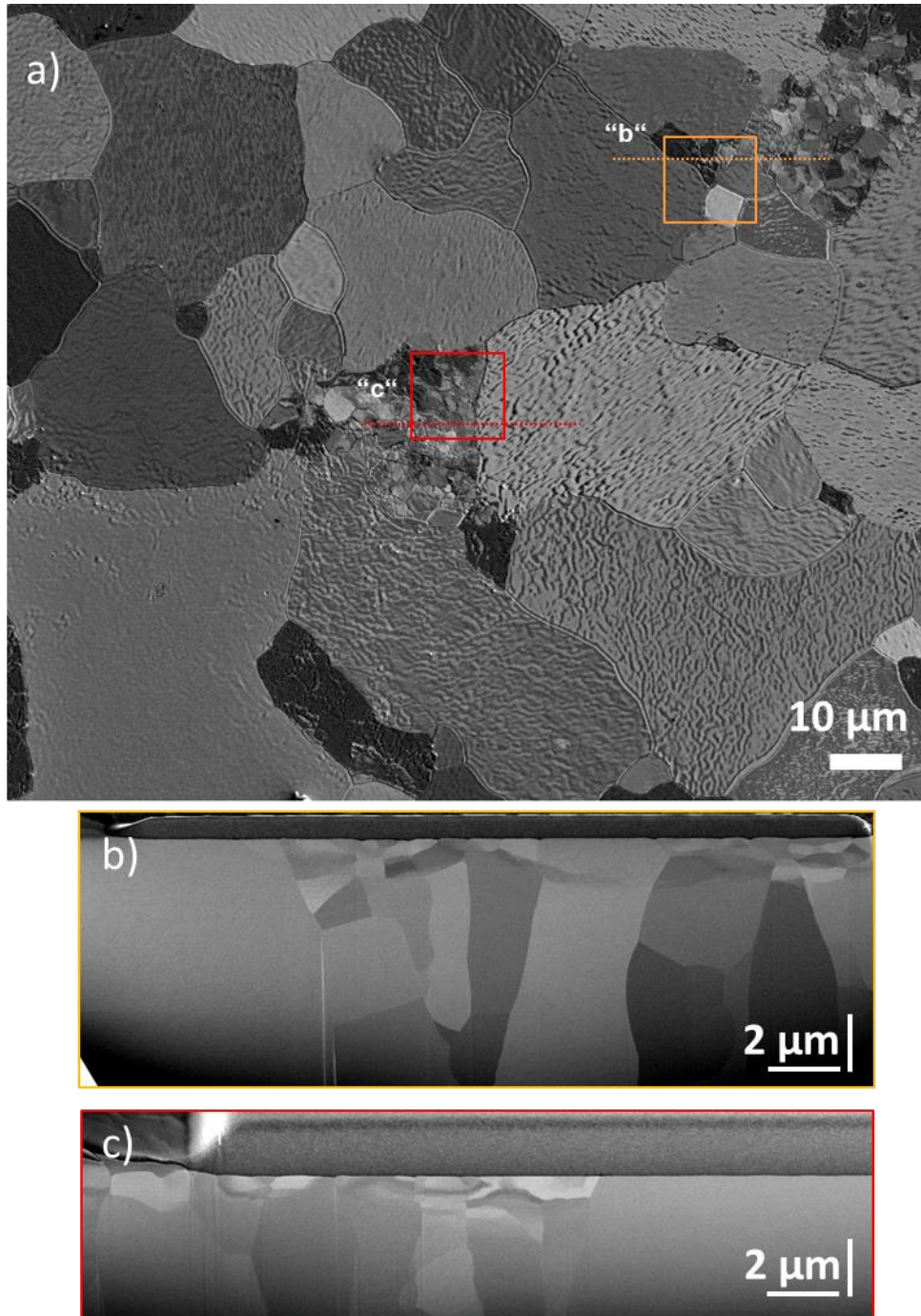


Figure 2: FIB cross sections in two positions on sample exposed to He plasma to a fluence of $1.90 \times 10^{25} \text{ m}^{-2}$ at 823 K after annealing at 1473 K for 1 hour. The surface view (a) is untitled and the cross sections (b,c) are viewed under an angle of 38° (secondary electron images). The recrystallization of deeper material is not affected from the He exposure. The material is not yet fully recrystallized.

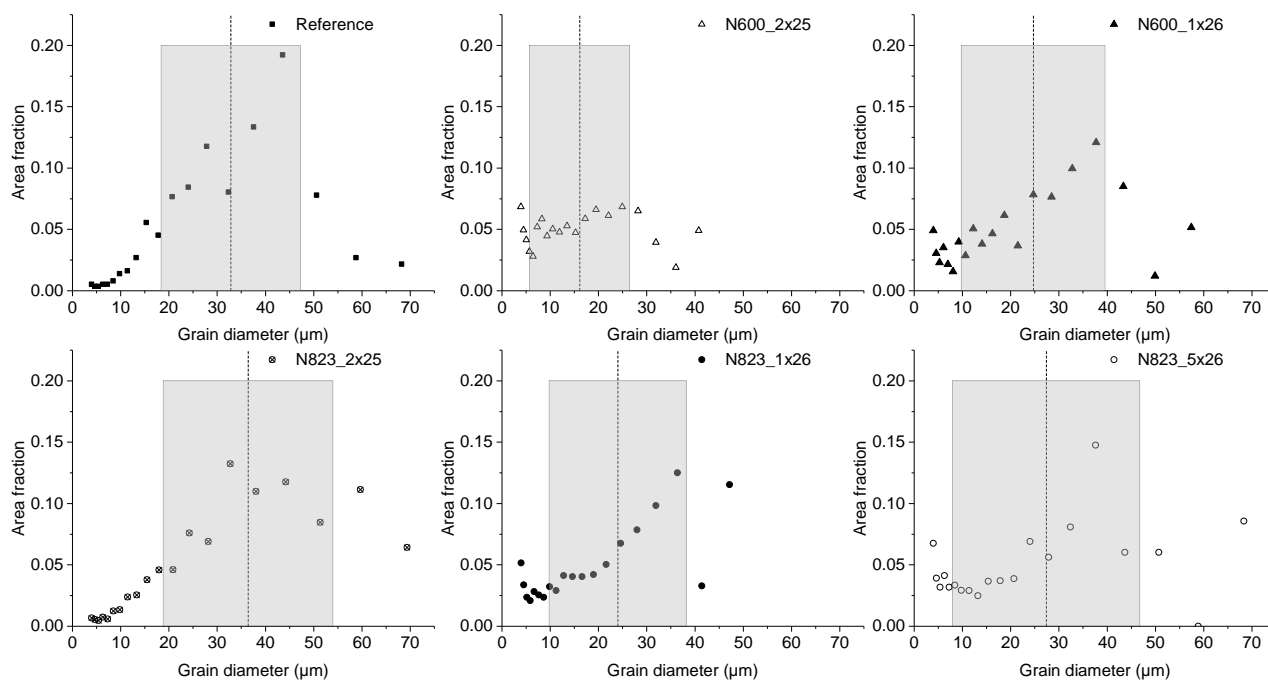


Figure 3: Grain size distribution for 50 eV He plasma exposed samples, and a reference sample after annealing for one hour at 1473 K. The mean grain size is shown as a dashed line in each case and the grey box shows the standard deviation.

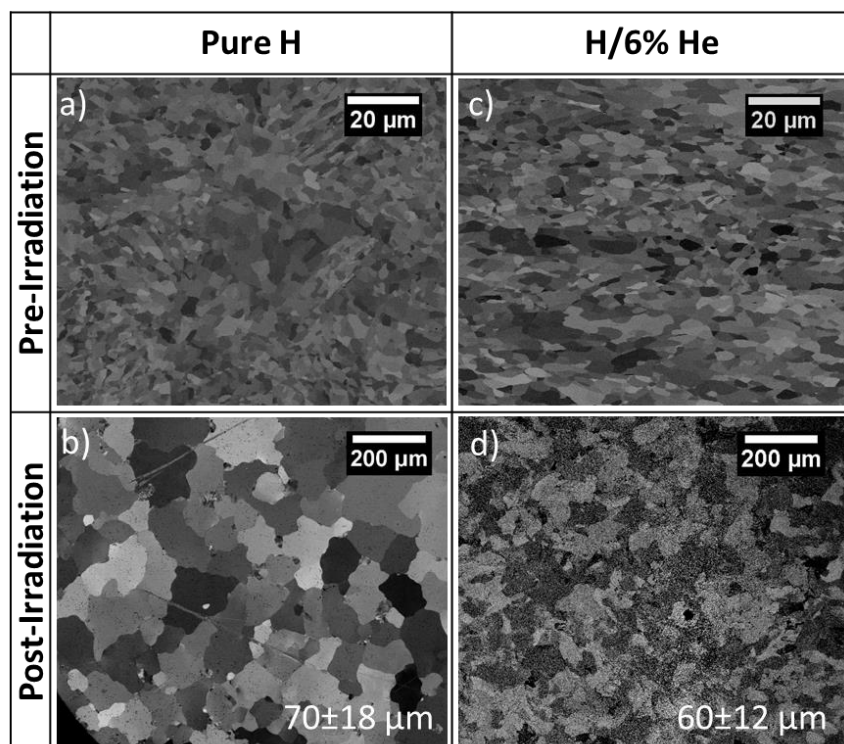


Figure 4: Low magnification back scattered electron SEM images of W samples (a) before and (b) after exposure to pure H neutral beam up to a fluence of $1 \times 10^{25} \text{ m}^{-2}$ and (c) before and (d) after exposure to H/6%He neutral beam up to a fluence of $2 \times 10^{25} \text{ m}^{-2}$ at 1773 K. The typical grain size of the starting material is between 2-5 μm . The average grain size post-irradiation is given in the bottom right of the image.

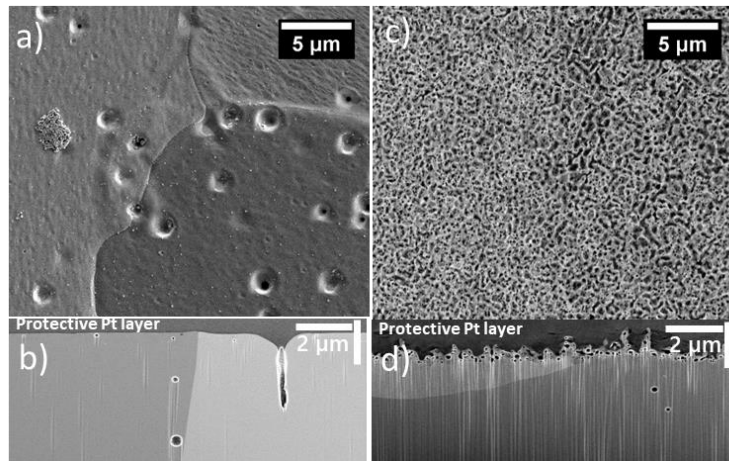


Figure 5: Higher magnification SEM images and FIB-prepared cross sections of W samples exposed to a) pure H neutral beam up to a fluence of $1 \times 10^{25} \text{ m}^{-2}$ and c) H/6%He neutral beam up to a fluence of $2 \times 10^{25} \text{ m}^{-2}$ at 1773 K. The cross sections b), d) are viewed under an angle of 38° . All images are obtained by secondary electrons except (c) which is obtained by backscattered electrons.

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