



Biomolecular Evidence of Early Human Occupation of a High-Altitude Site in Western Central Asia During the Holocene

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Reconstructions of early human occupation of high-altitude sites in Central Asia and possible migration routes during the Holocene are limited due to restricted archeological sample material. Consequently, there is a growing interest in alternative approaches to investigate past anthropogenic activity in this area. In this study, fecal biomarkers preserved in lake sediments from Lake Chatyr Kol (Tian Shan, Kyrgyzstan) were analyzed to reconstruct the local presence of humans and pastoral animals in this low-human-impact area in the past. Spanning the last ~11,700 years, this high-altitude site (~3,500 m above sea level) provides a continuous record of human occupancy in Western Central Asia. An early increase of human presence in the area during the mid-Holocene is marked by a sharp peak of the human fecal sterol coprostanol and its epimer epicoprostanol in the sediments. An associated increase in 5 β -stigmastanol, a fecal biomarker deriving from herbivores indicates a human occupancy that most probably largely depended upon livestock. However, sterol profiles show that grazing animals had already occupied the catchment area of Lake Chatyr Kol before and also after a significant presence of humans. The biomarker evidence in this study demonstrates an early presence of humans in a high-altitude site in Central Asia at ~5,900–4,000 a BP. Dry environmental conditions during this period likely made high altitude regions more accessible. Moreover, our results help to understand human migration in Western Central Asia during the early and mid-Holocene as part of a prehistoric Silk Road territory.

Keywords: fecal stanols, geochemistry, paleodemography, lake sediments, biomarkers, Silk Road

INTRODUCTION

There is growing evidence that human occupation of high-altitude sites [$> 3,500$ m above sea level (a.s.l.)] has occurred as early as during the latest Pleistocene and Early Holocene (Brantingham et al., 2013; Rademaker et al., 2014; Shnaider S. et al., 2018; Zhang et al., 2018). The highest located and oldest archeological sites have so far been identified on the Tibetan Plateau and in the southern Peruvian Andes, dating back to at least 30,000 and $> 11,500$ years ago, respectively

(Rademaker et al., 2014; Zhang et al., 2018). Recently, however, Ossendorf et al. (2019) provided evidence of repeated human occupation of Fincha Habera (~3,500 m a.s.l.), located in Africa's largest alpine landscape, dating back to 47,000–31,000 years ago, which makes it the earliest known high-altitude residential site. These findings indicate that prehistoric human populations were able to adapt to climatic and environmental extremes at high altitudes, such as low temperatures, high solar radiation and low primary productivity, as well as to related physiological challenges, including hypoxia and cold stress (Rademaker et al., 2014; Meyer et al., 2017). The prehistoric settlement of high-altitude regions was likely facilitated by strong immigration from one resource area to another, and/or by biological adaptation to a variable climate and environment (Madsen et al., 2006). In this context, a key period in human history was the onset of the Holocene since the development of more favorable climate conditions promoted both the rise and decline of many prehistoric civilizations (Dong et al., 2012; Thienemann et al., 2017). Additionally, demographic pressure on resources potentially opened previously uninhabitable high-altitude regions, such as the mountain regions of Central Asia, for settlement and migration.

Owing to its location at the crossroads between East and West and the related importance for migration and cultural exchanges (Agatova et al., 2014) for early humans and later as part of the ancient Silk Road, Central Asia has recently become a focus region with respect to investigating the occupation of high-altitude regions by early human civilizations (Bae et al., 2017; Hessel et al., 2017; Shnaider S. et al., 2018; Yang et al., 2019a). Due to the harsh environment, featuring dry deserts, cold mountains and seasonal grasslands, a nomadic pastoral culture has predominantly prevailed in the mountainous regions of Arid Central Asia, which is contrary to Monsoon Asia where sedentary agriculturalists predominated (Hessel et al., 2017; Yang et al., 2019b). Despite its crucial role in cultural development and human migration patterns, little is known about the early history of human occupation of Western Central Asia as part of the Silk Road territory, especially during the period between the Paleolithic and the mid-Holocene (Shnaider S. V. et al., 2018). Western Central Asia encompasses several high-altitude mountain regions, such as the Tian Shan, the Pamir Mountains and the Alay Mountains (Shnaider S. et al., 2018). There is evidence that the Alay Mountains may represent the high-altitude region in Western Central Asia that has at first been occupied by humans and was at least temporarily inhabited by hunter-gatherers since the Paleolithic (Ranov, 1975; Abdykanova, 2014; Shnaider S. et al., 2018; Taylor et al., 2018). However, the early colonization of high-altitude Central Asia by humans is still not well-constrained (Rademaker et al., 2014). Generally, the success of tracing human presence in such regions largely relies on finding related archeological sites and respective artifacts. However, as this is often hindered by a limited number of settlements and small sample sizes, there has been a growing interest in alternative approaches to detect early anthropogenic activity. In this context, the identification of sterols and stanols as fecal biomarkers is an emergent valuable analytical tool that can provide evidence for the presence of both humans

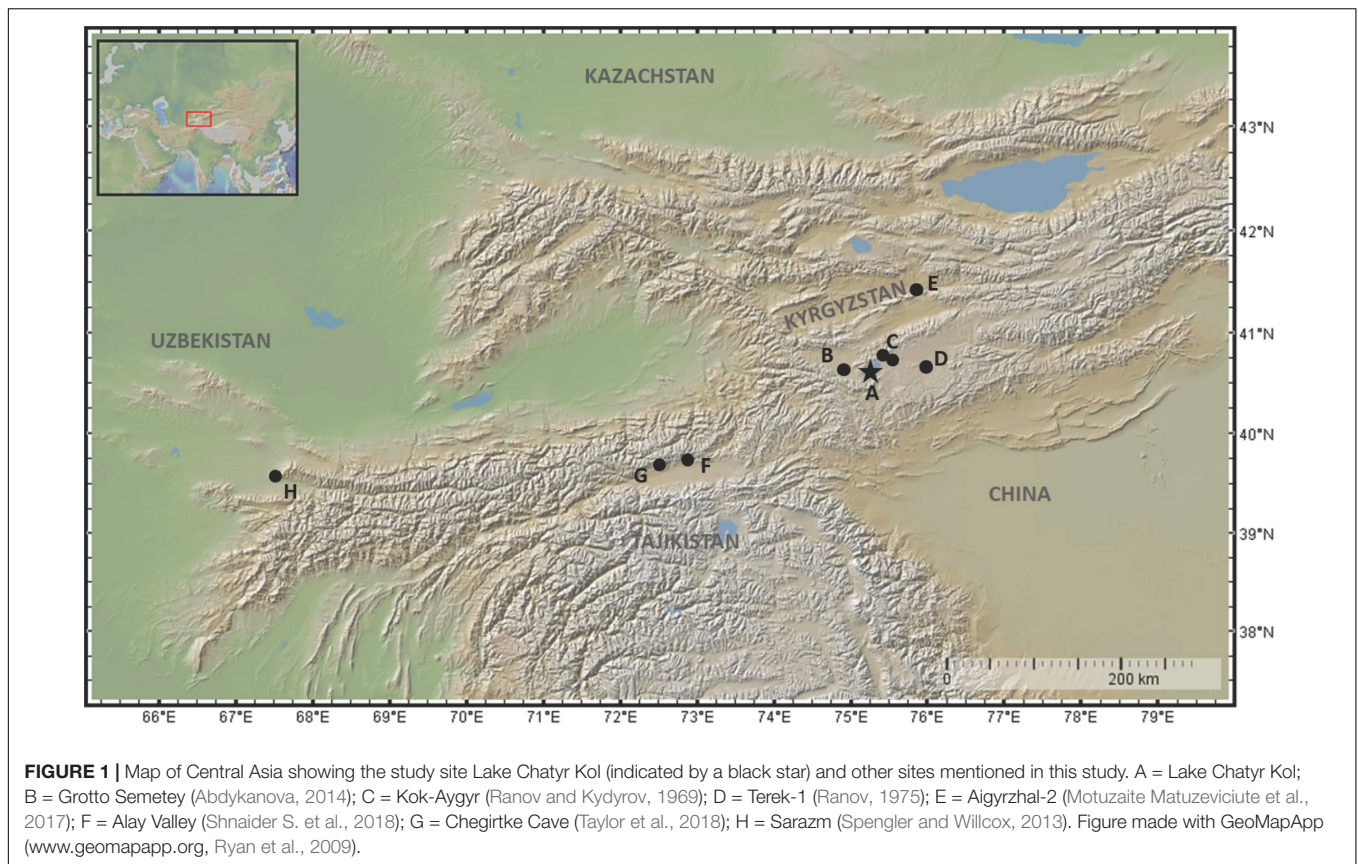
and livestock at a certain site. Fecal sterols and stanols are recalcitrant organic compounds, which can accumulate and persist in sediments for 1000s of years (Bull et al., 2001, 2003). 5 β -Stanols, notably coprostanol (5 β -cholestan-3 β -ol) and 5 β -stigmastanol (24 β -ethyl-5 β -cholestan-3 β -ol), are the products of anaerobic microbial reduction of sterols, i.e., cholesterol (Cholest-5-en-3 β -ol), in the intestinal tract of mammals (Eysen et al., 1973; Macdonald et al., 1983). Owing to their herbivorous diet, ruminant feces are largely composed of 5 β -stigmastanol and epi-5 β -stigmastanol (24 β -ethyl-5 β -cholestan-3 α -ol), which are derived from β -sitosterol (3 β -Stigmast-5-en-3-ol) and stigmasterol (Stigmasta-5,22-dien-3 β -ol), the most common and the third most common phytosterol (plant sterol), respectively (Evershed et al., 1997; Bull et al., 2002; Rogge et al., 2006). Conversely, coprostanol, a cholesterol derivative, accounts for ~60% of the total sterols in human feces (Leeming et al., 1996; Bull et al., 2002; Daughton, 2012). Therefore, human fecal input can be distinguished from those of herbivores (Leeming and Nichols, 1996; Leeming et al., 1996; Ortiz et al., 2016), enabling their use in paleoenvironmental and archeological studies (e.g., Baeten et al., 2012; D'Anjou et al., 2012; Gea et al., 2017; Engels et al., 2018; White et al., 2018).

Since sterols and stanols are well-preserved in lacustrine sediments, the latter provide an ideal natural archive for tracing the sources of feces in the environment and for assessing human and livestock occupation of a certain area through time, both qualitatively and quantitatively (D'Anjou et al., 2012; White et al., 2018; Kinder et al., 2019). Furthermore, the vicinity of lakes and rivers provides ideal conditions for natural settlements as well as important pathways for human migration (Thienemann et al., 2017).

In this study we analyzed coprostanol, epicoprostanol, cholesterol, cholestanol and 5 β -stigmastanol as fecal biomarkers in the sediments of Lake Chatyr Kol, in the central Tian Shan of Kyrgyzstan to elucidate the local presence of humans and livestock during the Holocene. Investigating the history of human occupation of this part of the Tian Shan can potentially contribute to a better understanding of its cultural importance as a prehistoric trade and migration route along the ancient Silk Road.

Study Area

Lake Chatyr Kol (40°37' N, 75°18' E) is located at 3,535 m a.s.l. in the southern Tian Shan of Kyrgyzstan, close to the border to China (**Figure 1**). The lake occupies the south-western part of a large intra-montane basin between the At Bashy Range in the north and the Torugart pass in the south. To the west of Lake Chatyr Kol lies the Arpa river valley and moraine landscape. Lake Chatyr Kol is the third largest lake in Kyrgyzstan (Thorpe et al., 2009) and has a catchment area of approximately 1,084 km². The lake extends to a maximum width (NW-SE) of 12 km and a maximum length (SW-NE) of 23 km and has a surface water area of ~175 km² (Mosello, 2015). Since Lake Chatyr Kol is a hydrologically closed alpine lake, the lake water is slightly brackish (salinity 1.18 g/l in July 2018) and its water balance is generally controlled by the interplay between snow meltwater and precipitation input and evaporation. The largest and only permanent inflow is the Kekagyry River, which enters



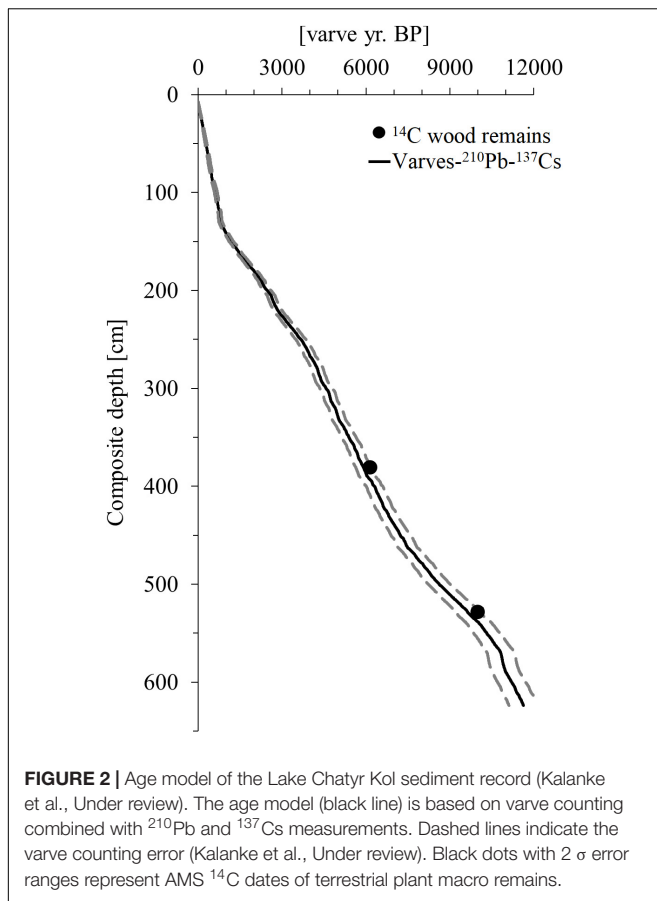
the lake from the north-east. The present-day regional climate is temperate continental (Wang et al., 2017) and dominated by the interaction between the Siberian anticyclonic circulation and the mid-latitude Westerlies (Aizen et al., 1997; Lauterbach et al., 2014). Owing to the high mountain ranges of the Tian Shan that prevent the transport of moisture, rainfall is reduced, especially in January and February (Aizen et al., 1995, 2001). Mean annual precipitation consequently amounts to only ~300 mm/a (Koppes et al., 2008). The mean annual air temperature (1961–1990) in Naryn, ~100 km northeast of Lake Chatyr Kol, is -0.34°C (Ilyasov et al., 2013) and the lake is generally ice covered from October to April. The prevailing dry and cold conditions favor the preservation of permafrost soils (Shnitnikov et al., 1978) and thermokarst formations can be frequently observed in this region (Abuduwaili et al., 2019). Due to the harsh climate conditions, vegetation is sparse and classified as desert and semi-desert vegetation. Alpine grasslands dominate this region (Taft et al., 2011) and there are no trees in the surrounding of the lake.

MATERIALS AND METHODS

Sediment Material and Chronology

In July/August 2012, several vertically overlapping, 2-m-long sediment cores have been recovered from about 20 m water depth in the deep south-western part of Lake Chatyr Kol ($40^{\circ}36.37' \text{ N}$, $75^{\circ}14.02' \text{ E}$) by using a 60 mm diameter UWITEC

piston corer. Additionally, seven parallel gravity cores have been withdrawn in 2017 by utilizing a UWITEC gravity corer with hammer weight (SC17_1–7). The individual sediment cores were stratigraphically linked using distinct macroscopically visible correlation layers, allowing the construction of a continuous, 623.5-cm-long composite profile (Kalanke et al., Under review). With the exception of the upper 63 cm, the sediments are almost continuously annually laminated (varved). The floating varve age model (Figure 2), labeled “Chatvd19,” was established using replicate microscopic varve counts below 63.0 cm depth, which were performed on petrographic thin sections (Kalanke et al., Under review) prepared at the GFZ German Research Centre for Geosciences, Potsdam, Germany. Replicate varve counts yield a mean deviation of ~5% which was applied as an uncertainty for the varved composite profile between 623.5–63.0 cm depth. The uppermost homogenous 63.0 cm of the composite profile were chronologically constrained by gamma spectrometric analysis of ^{210}Pb and ^{137}Cs performed at the GFZ German Research Centre for Geosciences, Potsdam, Germany, on 0.5 cm thick sediment slices of the parallel gravity core SC17_7. ^{210}Pb activity concentrations were used for age model constructions based on a constant initial concentration (CIC) model (cf. Appleby, 2002) and on a constant rate of supply (CRS) model (Appleby and Oldfield, 1978) in combination with ^{137}Cs activity concentrations. Both ^{210}Pb models are in good accordance with the onset of elevated ^{137}Cs activity concentrations, representing the onset of global nuclear weapon



tests since AD 1945 (Kudo et al., 1998; Wright et al., 1999). The non-varved interval between the time marker AD 1945 and the onset of varve deposition at 63.0 cm depth was interpolated by using a mean sedimentation rate derived from varve thickness measurements of adjacent varved intervals with an assumed uncertainty of 10%. The final floating varve chronology had a basal age of 11619 ± 603 a BP and was independently verified by two AMS ^{14}C ages of terrestrial wood remains at a composite depth of 380.5 and 528 cm (Poz-63307 and Poz-54302) (Kalanke et al., Under review). AMS ^{14}C measurements were conducted at the Poznań Radiocarbon Laboratory in Poland and the conventional ^{14}C ages were calibrated with OxCal 4.3 (Bronk Ramsey, 1995) using the IntCal13 calibration curve (Reimer et al., 2013). All age dates of Lake Chatyr Kol samples reported in this study refer to the described age model.

Pollen Analyses

Pollen analyses have been carried out on 152 sediment samples. The samples have been collected volumetrically (ranging between 1–2.6 cm³) at intervals of 4 cm on average from sediment depth between 0.5 and 623 cm. The preparation involved treatment with HCl, KOH, HF, hot acetolysis mixture and ultrasonic sieving (mesh size $6 \times 8 \mu\text{m}$), following the standard methods described by Berglund and Ralska-Jasiewiczowa (1986). Lycopodium spores were added to each sample to calculate the pollen

concentrations. Sample residues were stained with safranin, mounted in glycerine and analyzed using an Olympus BX 40 light microscope at $\times 400$ – 1000 magnification. With the exception of six samples, a minimum of 500 terrestrial pollen grains was counted. The identification of the palynomorphs was carried out with the aid of the palynological reference collection of the Senckenberg Research station of Quaternary Palaeontology, Weimar, supported by different pollen atlases (Beug, 2004; Reille, 1995–1999). Pollen percentages were calculated on the basis of terrestrial pollen, excluding aquatics, spores and non-pollen palynomorphs.

Fecal Biomarker Analyses

For the present study, bulk sediment samples of 1 cm thickness were taken from the Lake Chatyr Kol composite profile at 5 cm intervals and subsequently freeze-dried. The freeze-dried bulk sediment samples were homogenized and lipids were extracted twice with a dichloromethane/methanol solvent mixture (9:1, v:v) by using a pressurized solvent speed extractor (E-916, BÜCHI, Essen, Germany) operated at 100°C and 120 bar for 15 min. Subsequently, the total lipid extract of each sample was partitioned into a neutral and an acid fraction by elution over aminopropyl gel columns (CHROMABOND® NH₂ polypropylene columns, 60 Å, Macherey-Nagel GmbH & Co., KG, Düren, Germany) with dichloromethane/isopropanol (3:1, v:v) and diethyl ether:acetic acid (19:1, v:v), respectively (Richey and Tierney, 2016). The neutral fraction was further separated over activated silica gel columns (~ 2 g, 0.040–0.063 mm mesh, Merck, Darmstadt, Germany) into hydrocarbons, ketones and a polar fraction by elution with hexane, dichloromethane and methane, respectively. The hydrocarbon fraction, containing long-chain *n*-alkanes, was analyzed using a gas chromatograph (GC) with flame ionization detection (GC-FID, Agilent 7890B GC) and an Ultra 2 column (50 m length, 0.32 mm ID, 0.52 μm film thickness, Agilent Technologies, Santa Clara, CA, United States). The GC oven temperature program started at 140°C (hold for 1 min), heated up to 310°C at $4^\circ\text{C}/\text{min}$ (hold for 15 min) and finally increased to 325°C at $30^\circ\text{C}/\text{min}$ (hold for 3 min). The PTV injector was operated in splitless mode and started at 45°C (hold for 0.1 min) and increased to 300°C at $14.5^\circ\text{C}/\text{s}$ (hold for 3 min). The quantification of long-chain *n*-alkanes was carried out by peak area comparison with an external *n*-alkane standard mixture ($n\text{C}_{15}$ – $n\text{C}_{33}$).

The polar fraction, containing sterols and stanols, was silylated with 10 μL *N,O*-bis(trimethylsilyl)trifluoroacetamide (BSTFA) and 10 μL pyridine at 60°C for 30 min and afterward dissolved in ethyl acetate. Sterol and stanol concentrations were measured at the Royal Netherlands Institute for Sea Research (NIOZ), Texel, Netherlands, as described by de Bar et al. (2019). Gas chromatographic separation was carried out utilizing an Agilent 7890B GC that was equipped with a fused silica capillary column (Agilent CP Sil-5, length 25 m, diameter 320 μm , film thickness 0.12 μm) and coupled to an Agilent 5977A MSD mass spectrometer (MS). The GC temperature program started at 70°C , increased to 130°C at a rate of $20^\circ\text{C}/\text{min}$, then heated to 320°C at $4^\circ\text{C}/\text{min}$ and was held at 320°C for 25 min. The flow rate was 2 mL/min. The MS quadrupole was held at 150°C

and the electron impact ionization energy of the MS source was set to 70 eV. Sterols were identified and quantified via single ion monitoring (SIM) of the mass-to-charge-ratios m/z 368.3 (cholesterol), 398.3 (stigmastanol) and 370.3 (cholestanol). Additionally, characteristic mass spectra fragmentation patterns and relative retention times were compared with the literature for further identification. Coprostanol and epicoprostanol were additionally confirmed using reference standards. An external coprostanol reference standard in five different concentrations was used for quantification of the sterols.

In order to account for microbial degradation processes, we applied the ratio established by Bull et al. (1999):

$$\frac{\text{coprostanol} + \text{epicoprostanol}}{\text{coprostanol} + \text{epicoprostanol} + 5\alpha - \text{cholestanol}} = R1$$

5 α -cholestanol is a product of the degradation of cholesterol by soil microbial communities (Wakeham, 1989; Bull et al., 2001). Therefore, considering both 5 α -cholestanol and coprostanol allows to compare input and preservation of stanols in a specific environment to stanol input from feces (White et al., 2018). In particular, higher R1 values indicate increased human fecal input, while lower R1 values reflect low human fecal deposition.

In addition, we utilized the ratio proposed by Evershed and Bethell (1996) to distinguish between human and higher mammal feces:

$$\frac{\text{coprostanol}}{5\beta - \text{stigmastanol}} = R2$$

with R2 values > 1.5 suggesting human or porcine fecal matter (Evershed and Bethell, 1996).

In order to determine distinct temporal intervals in the Lake Chatyr Kol sediment record, we used Uniform Manifold Approximation and Projection (UMAP), a novel nonmetric manifold learning technique for dimension reduction (McInnes et al., 2018) implemented in R 3.6 as package “umap” 0.2.3.1 (Konopka, 2019; R Core Team, 2019). UMAP was recently shown to preserve more of the global structure compared to previous nonmetric techniques such as t-SNE (van der Maaten and Hinton, 2008; McInnes et al., 2018). The improved preservation of global structures in UMAP allowed the use of k-means clustering on the resulting graph. We supplied the UMAP algorithm with the biomarker profiles as well as their chronology and interpreted the resulting three clusters as distinct temporal intervals in the sediment core (Phases I, II, and III) (Supplementary Figure S1). Data visualizations were performed in R package “ggplot2” 3.2.1 (Wickham, 2016).

RESULTS AND DISCUSSION

Vegetation Development

The Holocene vegetation development of Lake Chatyr Kol has been derived from 152 pollen samples collected between 0.5 and 623 cm composite core depth. The sampling intervals yield a temporal resolution of 77 years on average. Total pollen concentration is circa 30,000–60,000 grains per cm³ through

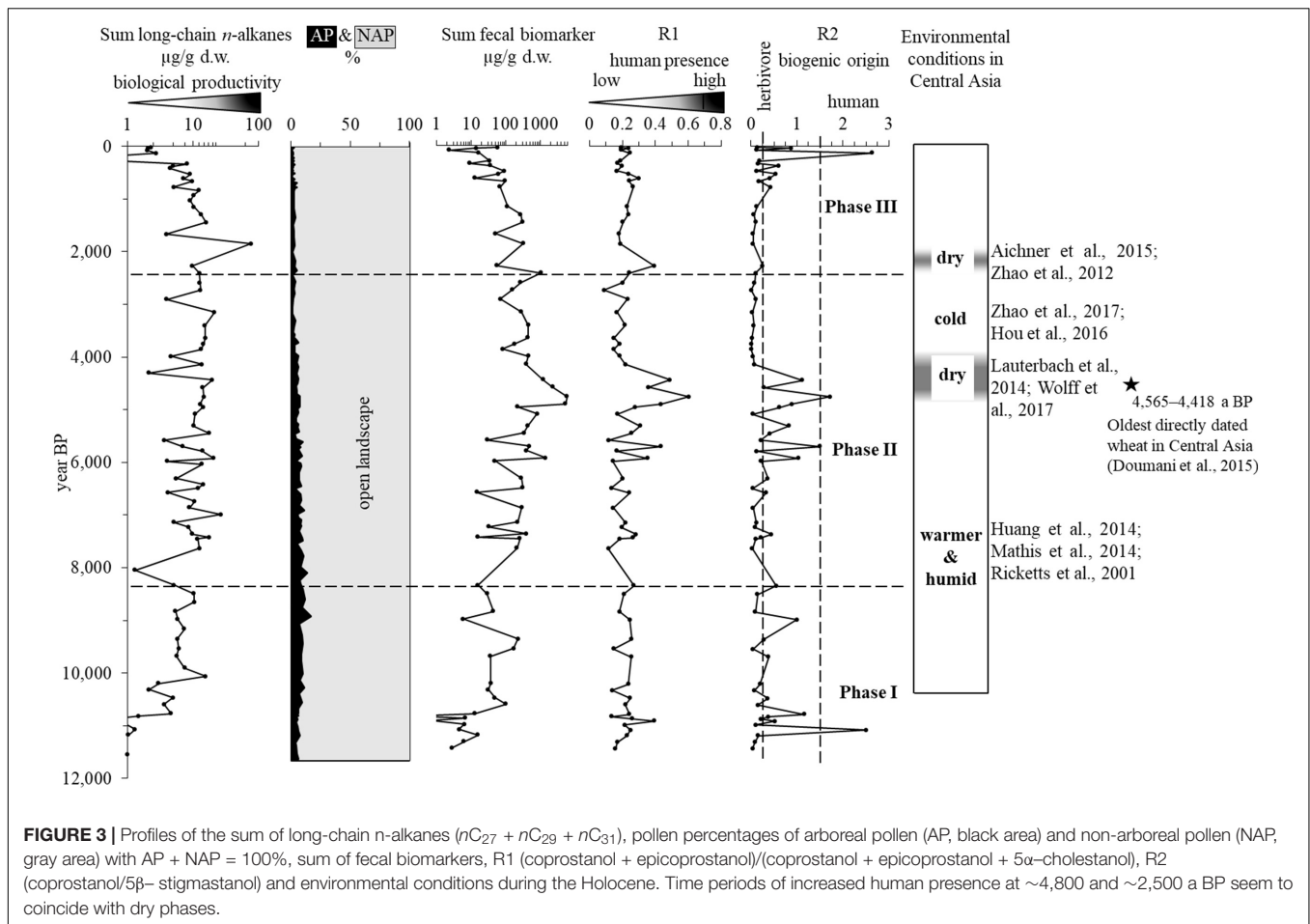
most of the sequence. Overall, 83 pollen taxa were distinguished, consisting of 20 arboreal and 63 non-arboreal elements. The pollen assemblages are characterized by predominance of non-arboreal taxa contributing between 88.3 and 99.6% to the terrestrial pollen assemblage (Supplementary Table S1). The herbaceous flora is mainly composed of *Artemisia* (34–61%), Chenopodiaceae (15–29%), Poaceae (7–24%), and Cyperaceae (0.2–6.9%). Other herbs occur in smaller quantities or only scattered, each contributing between 0 and 8%. Most abundant woody taxa are *Juniperus* (0–6.6%), *Betula* (0–3.4%), *Hippophaë* (0–1.8%) and *Picea* (0–1.7%), while other tree pollen taxa appear in trace amounts (Supplementary Table S1).

The dominance of xerophytic herbs and the sparse representation of trees and shrubs reveal an open landscape character in the surroundings of the Chatyr Kol Lake throughout the Holocene (Figure 3). According to studies on modern pollen assemblages in central and eastern Asia, herbaceous pollen composition of the Chatyr Kol Lake sediments mainly reflects semi-arid, alpine meadow, steppe, and lake shore communities (Beer et al., 2007; Ma et al., 2008; Qin et al., 2015). In terms of the overall species composition, the pollen signatures show only gradual changes through the entire record. Therefore, the alpine landscape of the study region experienced no major vegetation shifts during the past 11.5 ka.

Sedimentary Fecal Biomarker Distribution

Several fecal biomarkers were detected in the Lake Chatyr Kol sediments of which five sterols and stanols, i.e., cholesterol, coprostanol, epicoprostanol, 5 β -stigmastanol and 5 α -cholestanol, were quantified. Their total sum reveals a strong increase from relatively low concentrations in the oldest part of the composite profile [\sim 146 $\mu\text{g/g}$ dry weight (d.w.)] to higher amounts (5,342 $\mu\text{g/g}$ d.w.) at a composite depth of 319.5 cm (\sim 4,900 a BP) (Figure 3). The total concentration of sterols and stanols ranged between 0.2 and 5,800 $\mu\text{g/g}$ d.w. The most abundant sterol throughout the record is cholesterol (46%), followed by 5 β -stigmastanol (21%).

The sediment record was divided into three distinct phases based on three distinct k-means clusters within a UMAP ordination of the fecal biomarker data (Figure 4 and Supplementary Figure S1). Phase I (\sim 11,400–8,300 a BP) shows conditions of prehuman occupation with low background concentrations of human-specific fecal sterols and stanols (coprostanol, epicoprostanol, and cholesterol). However, considerable amounts of 5 α -cholestanol and 5 β -stigmastanol are present throughout Phase I, indicating the presence of indigenous higher mammals. First noticeable human activity is recorded during Phase II (\sim 7,600–2,400 a BP), which is characterized by strong concentration increases in all fecal biomarkers at three time points, dating to \sim 5,900, \sim 4,800, and \sim 2,400 a BP, respectively. This phase represents the beginning of human occupation in the catchment area of Lake Chatyr Kol, possibly with domesticated livestock, since both human-specific and higher mammals-specific fecal biomarkers increase significantly during Phase II. In relation to the total amount of fecal



biomarkers, the imprint of human presence was highest at $\sim 4,800$ a BP, where concentrations reach their maxima. Additionally, there are intervals within Phase II, which show decreased values of human-specific fecal biomarkers, especially during $\sim 3,800$ – $2,700$ a BP, whereas concentrations of 5β -stigmastanol are still elevated. The beginning of Phase III ($< 2,300$ a BP) is marked by a decline of all biomarkers toward background concentrations comparable to Phase I. Phase III continues to modern times and displays the current low level of human occupation of the catchment area of Lake Chatyr Kol.

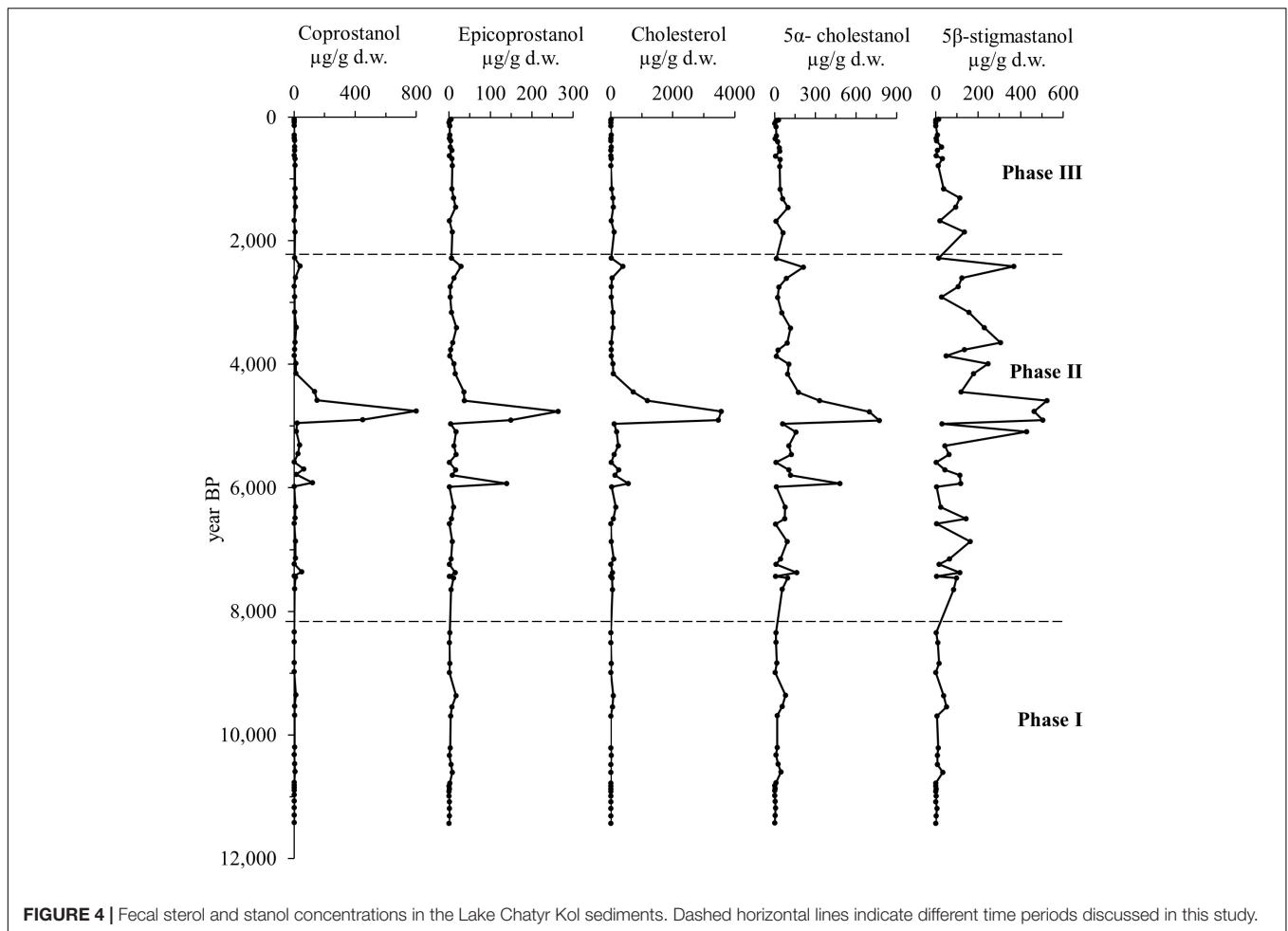
Sources of Analyzed Fecal Biomarkers

In order to disentangle the sources of the sterols and stanols, we applied principal component analysis (PCA). PC1 and PC2 account for 96.2% of the total variance and show a clear distinction between the fecal biomarkers cholesterol, coprostanol and epicoprostanol, which are associated to a carnivorous and omnivorous diet, and the herbivore-derived fecal biomarker 5β -stigmastanol (Figure 5). We utilized cholesterol, coprostanol and epicoprostanol as human-specific biomarkers; however it is possible that carnivorous animals may have contributed to this biomarker signal. The strong linear correlation between the concentrations of coprostanol and epicoprostanol ($r^2 = 0.9$) and coprostanol and cholesterol ($r^2 = 0.92$) additionally confirms

a combined source of these compounds (Supplementary Figure S2). This confirms coprostanol, epicoprostanol, and cholesterol as human biomarkers and 5β -stigmastanol as being indicative of grazing animals including domesticated livestock.

Since 5β -stanol background concentrations are also detectable in soils that were not exposed to fecal deposition, diagnostic ratios of selected sterols that are independent of total concentrations are advantageous for the interpretation of the total fecal biomarker spectrum (Grimalt et al., 1990; Bull et al., 1999, 2001). In this context, several ratios of different sterol and stanol classes have been proposed to determine the origin of organic matter and to detect anthropogenic activity (Grimalt et al., 1990; Bull et al., 2002; Martins et al., 2007). In order to determine the fecal origin of our sterols and stanols, we applied ratio R1 proposed by Bull et al. (1999). By including epicoprostanol, R1 corrects for microbial degradation processes since coprostanol may be microbially degraded *in situ* to epicoprostanol (Bull et al., 1999, 2002; Battistel et al., 2015).

R1 values for the sediments of Lake Chatyr Kol range between 0.09 and 0.6 (Figure 3). A R1 value of 0.7 has been proposed as a threshold with higher R1 values indicating human fecal deposition and lower values reflecting scarce human presence (Grimalt et al., 1990). The values in the Lake Chatyr Kol sediments, however, do not exceed this threshold but as it was



originally determined from modern-day urban sewage pollution investigations, it may not be applicable in an archeological context (Bull et al., 2001; Birk et al., 2011; Baeten et al., 2012). Nevertheless, elevated R1 values during Phase II, especially at ~4,800 a BP ($R1 = 0.6$), demonstrate the fecal nature of the 5 β -stanols and most likely indicate the presence of humans during the mid-Holocene (Figure 3).

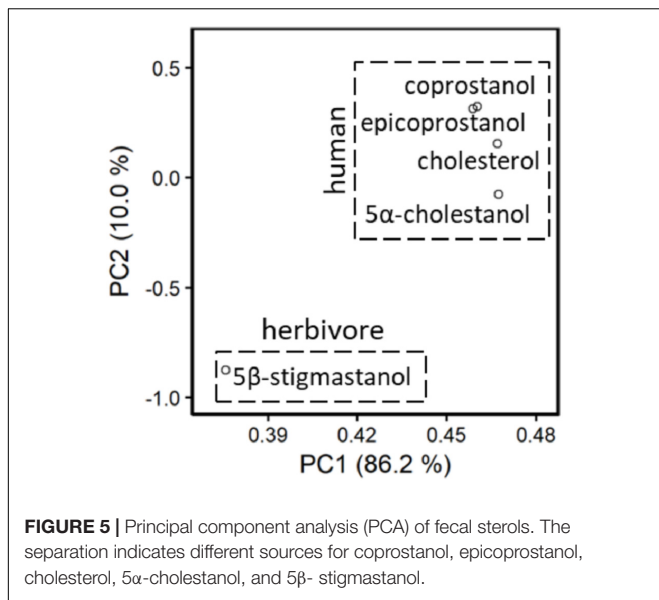
To allow for the discrimination between human and herbivore fecal deposition, the ratio of coprostanol and 5 β -stigmastanol (R2) was established (Evershed and Bethell, 1996), which is based on the divergent biogenic origin of these 5 β -stanols. As a result of their herbivorous diet and the associated high uptake of phytosterols, ruminant feces are enriched in 5 β -stigmastanol, whereas coprostanol is the major sterol in human and omnivore feces. Typically, R2 values between 1.5 and 5.5 are indicative of humans and pigs, while R2 values in the order of ~0.25 imply herbivorous fecal input (Baeten et al., 2012).

R2 values in the Lake Chatyr Kol sediment record fluctuate between 0.003 and 2.6 (Figure 3). Highest R2 values of 2.5 and 2.6 are observed at ~11,100 and ~132 a BP, respectively (Figure 3). However, these values are controlled by particularly low amounts of 5 β -stigmastanol and may therefore not necessarily reflect human activity. Conversely, slightly elevated R2 values (>1.5)

during Phase II at ~5,700 and ~4,800 a BP confirm a human origin of the stanols and reinforce the assumption of an early human occupation of the Lake Chatyr Kol catchment area during the mid-Holocene. In this context, generally lower R2 values during Phase I and Phase III indicate predominant herbivore origin of the stanols during the Early and Late Holocene, respectively (Figure 3).

Holocene Human and Mammal Presence in the Lake Chatyr Kol Catchment Area Phase I (~11.4k – 8,300 a BP)

The beginning of the Holocene was characterized by a global temperature rise (Marcott et al., 2013). Such more favorable climate conditions may have boosted human migration as climate is generally considered a key factor for cultural and social development (DeMenocal, 2001; Flohr et al., 2016). Direct evidence of human occupation of Kyrgyzstan during this period was observed in the western part of the Alay Valley in southern Kyrgyzstan at an elevation of ~2,800 m a.s.l. (Shnaider S. et al., 2018), where findings of stone tool assemblages suggest the local presence of prehistoric humans during the Late Pleistocene or Early Holocene, supposedly mainly



consuming sheep (Shnaider S. et al., 2018; Taylor et al., 2018). Furthermore, archaeological findings close to Lake Chatyr Kol indicated the presence of humans in the area around the lake during the Mesolithic and Neolithic. Ranov and Kydyrov (1969) described findings of stone tools at two archaeological sites at Kok-Aygyr, northeast of Lake Chatyr Kol. The relative ages of these archaeological sites were established by assigning a series of characteristic artifacts to a local culture dated to $9,530 \pm 130$ a. Furthermore, Ranov (1975) reported findings from the archaeological site Terek-1, ~ 40 km east of Lake Chatyr Kol, described as likely Neolithic based on the composition and craftwork of the excavated assemblages.

The Lake Chatyr Kol fecal sterol record does not indicate local human presence during the Early Holocene as the amounts of coprostanol, epicoprostanol, and cholesterol remained near the background values (median values for Phase I: 0.72, 1.19, and 1.72 $\mu\text{g/g}$ d.w., respectively) (Figure 4). Yet, elevated concentrations of 5 α -cholestanol and 5 β -stigmastanol indicate an increased presence of indigenous higher mammals in the catchment area during the Early Holocene. The first biomarker evidence for an increased occurrence of higher mammals at Lake Chatyr Kol is found at $\sim 10,600$ and $\sim 9,500$ a BP (Figure 4). The occupation by mammals over a prolonged period during the Early Holocene is further supported by generally higher 5 β -stigmastanol concentrations between $\sim 8,300$ and $\sim 8,500$ a BP. More temperate climate conditions in Kyrgyzstan during this time were inferred from analyzing the sediments of Lake Son Kul, located ~ 125 km north of Lake Chatyr Kol (Huang et al., 2014; Mathis et al., 2014) and Lake Issyk-Kul, located ~ 242 km northeast of Lake Chatyr Kol (Ricketts et al., 2001).

In order to estimate the flux of vegetation remains within the catchment area of Lake Chatyr Kol independently from the fecal biomarkers, we analyzed the concentrations of long-chain *n*-alkanes ($n\text{C}_{27}$, $n\text{C}_{29}$, $n\text{C}_{31}$). An increase in the concentration of long-chain *n*-alkanes would imply enhanced organic productivity, since these compounds are commonly

found in terrestrial sources, such as terrestrial plants and grasses (Eglinton and Hamilton, 1967; Meyers, 2003). Both long-chain *n*-alkanes and arboreal pollen increase at the beginning of the early Holocene (Figure 3). It is therefore likely that more temperate climate conditions promoted the growth of terrestrial vegetation, resulting in improved habitability of the Lake Chatyr Kol catchment area.

Phase II ($\sim 7,600$ – $2,400$ a BP)

The earliest biomolecular evidence of human presence in the Lake Chatyr Kol catchment is provided by significant increases in the concentrations of coprostanol, epicoprostanol, and cholesterol at $\sim 5,900$ a BP and particularly at $\sim 4,800$ a BP (Figure 4). This is further supported by elevated R1 and R2 values (Figure 3). Since 5 β -stigmastanol concentrations also reveal maximum values at the same time, it is likely that human presence at that time was associated with domesticated livestock. Variations in the concentrations of fecal sterols suggest that the population size of the first human occupancy was low in relation to the human presence at $\sim 4,800$ a BP as changes in the human and livestock population would inevitably entail changes in the concentrations of fecal sterols being transported to the lake (cf. D'Anjou et al., 2012).

The biomarker evidence for human presence at Lake Chatyr Kol during Phase II coincides with findings of stone tool assemblages from Grotto Semetey situated in the adjoining Arpa river valley dating to $\sim 5,700$ and $\sim 6,180$ cal a BP (Abdykanova, 2014).

Archeological findings of ceramic fragments and radiocarbon dating of animal bones (4,240–3,990 cal a BP) at Chegirtke Cave in southern Kyrgyzstan indicate that humans started to occupy the mountain foothills of the Alay Valley at least during the early Bronze Age (Taylor et al., 2018). Since the excavated bone fragments at this site belong to sheep, goat, and cattle, it can be concluded that they represent a pastoral assemblage of domestic animals (Taylor et al., 2018). This would support our assumption of a livestock-herding human occupancy around Lake Chatyr Kol at $\sim 4,800$ a BP. Evidence of human occupancy during the Bronze Age is provided by archeological excavations at the closely nearby site Aigyrzhal-2 (2,005 m a.s.l.) in central Kyrgyzstan, ~ 97 km northeast of Lake Chatyr Kol (Motuzaitė Matuzėviciute et al., 2017). Domestic animal remains, such as horse and ovicaprids, and remains of cereals (grains and chaff), indicate that humans in the Tian Shan mountain valleys developed agricultural interests during this period (Motuzaitė Matuzėviciute et al., 2017). Yet, at the high-altitude site Lake Chatyr Kol pollen analysis did not indicate agricultural practice. The oldest directly dated wheat remains in Central Asia have been found in Tasbas, eastern Kazakhstan, and have been dated to $\sim 4,500$ cal a BP (Doumani et al., 2015). Based on archaeobotanical and carbon isotope data of human bones and dating of crop remains found in prehistoric sites in Eurasia, Dong et al. (2017) suggested that western Asian crops spread to eastern Central Asia and northwestern China between 4,500 and 4,000 a BP. Further evidence of early agricultural and herding practice in Central Asia stems from the Eneolithic/Early

Bronze Age site of Sarazm in northwestern Tajikistan (Frachetti, 2012; Spengler and Willcox, 2013). Sarazm was a sedentary agropastoral settlement, which was occupied from the fourth to the end of the third millennium BC and provides evidence for exchange and trade (Spengler and Willcox, 2013).

Paleoenvironmental studies from Kyrgyzstan indicate rather dry conditions during Phase II. Lauterbach et al. (2014) reported a pronounced dry interval for Lake Son Kul between 4,950 and 3,900 cal a BP. In accordance, a stalagmite record from Uluu-2 Cave, ~250 km west of Lake Chatyr Kol, also suggests dry conditions between 4,700 and 3,900 cal a BP (Wolff et al., 2017). These dry conditions likely entailed the necessity of exploiting new herding grounds and correspondingly affected migration flows. Human settlement and local herding within the catchment area of Lake Chatyr Kol were likely favored by an open landscape and herbaceous vegetation as indicated by pollen data (Figure 3).

Phase II is mainly characterized by a high amount of long-chain *n*-alkanes, which is in line with the presence of herbivores in general (Figure 3; R2). The high amounts of the human specific fecal biomarkers, however, cover only a short period of time that is defined by a reduced flux of vegetation remains. Further, pollen data do not indicate significant increases or compositional changes of the local vegetation. We therefore suggest the reported dryness likely influenced the presence of humans at Lake Chatyr Kol rather than an enhanced supply of vegetation resources. As there are no pollen data indicative of agricultural practices, the catchment area of Lake Chatyr Kol was likely inhabited by pastoralists rather than agro-pastoralists.

Concentrations of human-specific fecal sterols returned to background values between ~3,900 a BP and ~2,400 a BP (Figure 4) and 5 β -stigmastanol concentrations decreased similarly at ~3,900 a BP. The decline in the fecal sterol concentrations was probably triggered by a shift to colder climate conditions as reconstructed for Lake Karakul in NE Tajikistan (~240 km southwest of Lake Chatyr Kol) at ~3,500 cal a BP, for Lake Balikun, eastern Tian Shan Mountains, between 4,800 and 3,800 cal a BP (Zhao et al., 2017) and for Lake Qinghai, located in the northeast corner of the Tibet-Qinghai Plateau Qinghai, between 5,000 and 3,500 cal a BP (Hou et al., 2016). 5 β -Stigmastanol concentrations however increase again shortly afterward to relatively high amounts at ~3,600 a BP, indicating herbivore activity without a significant presence of human activity.

The latest short-term increase of human-specific fecal sterol concentrations occurred between ~2,500 and ~2,400 a BP. As previously observed, this increase is accompanied by a simultaneous increase of 5 β -stigmastanol concentrations, suggesting livestock farming. Interpreting these elevated concentrations in the context of local environmental conditions, they again appear to have contemporaneously occurred to dry climate conditions. For example, a warm and dry episode has been identified at Lake Karakuli, ~240 km south of Lake Chatyr Kol, between 2,500 and 1,900 cal a BP (Aichner et al., 2015). Pollen data from the Kashgar oasis at the western margin of the Tarim Basin show sparse vegetation cover from 2,620 to 1,750 cal a BP, indicating a relatively dry climate (Zhao et al., 2012). Similarly, Mischke et al. (2010) reported low freshwater

inflow and a low lake level for Lake Karakul between 2,600 and 1,900 cal a BP.

Phase III (~2,300 a BP – Present)

Phase III is characterized by generally low concentrations of coprostanol, epicoprostanol and cholesterol, suggesting scarce human presence within the catchment area of Lake Chatyr Kol. 5 β -Stigmastanol concentrations remained on an intermediate level between ~1,900 and ~1,300 a BP but significantly dropped thereafter (Figure 4).

The economy of Kyrgyzstan largely relies on the agricultural sector and seminomadic lifestyles continue to exist until present-day (Rahimon, 2012). A survey of pastoralists in Kyrgyzstan revealed that in AD 2004 only 12 herding families encamped the vicinity of Lake Chatyr Kol, owning livestock of not more than 12,000 sheep (Farrington, 2005). At present, the basin of Lake Chatyr Kol is little used, with the majority of occupancy occurring during the summer months from June to mid-September (Farrington, 2005). This could explain the low amounts of fecal sterols in the youngest part of the Lake Chatyr Kol sediment record.

Cultural Importance of the Tian Shan

Being located along the ancient Silk Road, the territory of Kyrgyzstan represented an important corridor for cultural exchange and trade in the past. The Silk Road was a complex network of trade and travel routes, which enabled both cultural interaction and the trading of goods between Central Asia, the Middle East and the Eastern Mediterranean (Hansen, 2012; Yang et al., 2019b). Therefore, it functioned as a “Cultural Bridge” between Asia and Europe (Foltz, 2010; Yang et al., 2019b) and had a profound influence on societal development. Even though the relevance of the Silk Road is conventionally constrained to the second century BC, exchange and migration occurred well before that (Taylor et al., 2019). Archeological studies elucidated that ancient trade and travel routes along this corridor already existed during the Bronze Age (third to second millennium BC) (Frank and Thompson, 2005; Frachetti et al., 2017; Panyushkina et al., 2019), although details about pathways and exact timing are still uncertain.

The progression of mobile pastoralist groups during the Bronze Age is considered to have contributed to the evolution of high-elevation pathways across the Silk Road, but more research is needed to determine their extent (Frachetti et al., 2017). Nevertheless, interrelations and early diffusions of technologies between mobile pastoralist economies across Eurasia occurred from the third to the second millennium BC (Mei, 2003; Spengler et al., 2014).

This is in good agreement with our observation of elevated fecal sterol amounts at ~4,800 year BP, indicating anthropogenic and livestock presence. Indeed, it is suggested that the Tian Shan was already occupied by pastoralists during the Bronze Age (Motuzaitė Matuzeviciute et al., 2017). Along with the Pamir, Dzhungar and Altai mountains, the Tian Shan is part of a proposed “Inner Asian Mountain Corridor” (Frachetti, 2012), which promoted the exchange of goods, culture flow (Spengler and Willcox, 2013) and the earliest diffusion of sheep and goat

pastoralism to inner Asia at ~3,500 BC (Frachetti, 2012). Routes of nomadic societies near Lake Chatyr Kol have been indicated by Frachetti et al. (2017), who used flow accumulation modeling to assess migration along the Silk Road. Specifically, the model results revealed a path crossing the Torugart Pass in the south, highlighting the importance of Lake Chatyr Kol as a likely transit stop along herding routes.

Considering that the human-specific fecal sterols in the Lake Chatyr Kol sediment record diminished at ~3,900 year. BP, it is likely that mobile non-sedentary pastoralists occupied the Lake Chatyr Kol catchment area around ~4,800 year. BP but later left the area again, probably focusing on other, more habitable regions. The migration from one region to another after the consumption of resources in one area is commonly observed along the Silk Road (Yang et al., 2019b). This does not necessarily entail the collapse of a population, but rather reflects high adaptability and resilience of social groups (Yang et al., 2019b).

In summary, our study indicates human migration in the area around Lake Chatyr Kol as early as during the early Bronze Age, centuries before the establishment of the Silk Road. This reinforces the influence of small-scale migration patterns on the evolution of a macro-scale trade and exchange network (Frachetti et al., 2017).

CONCLUSION

The present study demonstrates the usefulness of fecal biomarker analyses as a valuable tool to reconstruct temporal anthropogenic presence in an area as an alternative approach in archeological studies. Such biomarker analyses applied to the sediments of Lake Chatyr Kol, Kyrgyzstan reveal a pronounced population involvement in the Tian Shan at ~4,800 a BP. Coinciding dry environmental conditions likely increased the accessibility of high-altitude regions and necessitated the exploitation of new herding grounds. This finding suggests climatic change, inter alia, as a potential driver for human migration and underlines the ability of early humans to adapt to variable environmental conditions. Migration through the high-altitude terrains of the Tian Shan reveals its cultural importance as an early travel corridor during the Bronze Age. As one of the rare high-altitude sites providing evidence of early pastoralists, Lake Chatyr Kol is

of great importance for the understanding of the human history and migration in Eurasia.

DATA AVAILABILITY STATEMENT

The datasets generated for this study are available on request to the corresponding author.

AUTHOR CONTRIBUTIONS

GG conceived the research idea. SL conducted the field work. MS contributed pollen data. JK and JM generated the age model. NS conducted laboratory analysis and data analysis with support from SS and CY. NS prepared the manuscript. All authors discussed the data and improved the manuscript.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/feart.2020.00020/full#supplementary-material>

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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