

MATERIALS SCIENCE

Ultrafast dynamical Lifshitz transition

Samuel Beaulieu^{1*†}, Shuo Dong¹, Nicolas Tancogne-Dejean^{2*}, Maciej Dendzik^{1,3}, Tommaso Pincelli¹, Julian Maklar¹, R. Patrick Xian¹, Michael A. Sentef², Martin Wolf¹, Angel Rubio^{2,4}, Laurenz Rettig¹, Ralph Ernstorfer^{1*}

Fermi surface is at the heart of our understanding of metals and strongly correlated many-body systems. An abrupt change in the Fermi surface topology, also called Lifshitz transition, can lead to the emergence of fascinating phenomena like colossal magnetoresistance and superconductivity. While Lifshitz transitions have been demonstrated for a broad range of materials by equilibrium tuning of macroscopic parameters such as strain, doping, pressure, and temperature, a nonequilibrium dynamical route toward ultrafast modification of the Fermi surface topology has not been experimentally demonstrated. Combining time-resolved multidimensional photoemission spectroscopy with state-of-the-art TDDFT+*U* simulations, we introduce a scheme for driving an ultrafast Lifshitz transition in the correlated type-II Weyl semimetal T_d -MoTe₂. We demonstrate that this nonequilibrium topological electronic transition finds its microscopic origin in the dynamical modification of the effective electronic correlations. These results shed light on a previously unexplored ultrafast scheme for controlling the Fermi surface topology in correlated quantum materials.

INTRODUCTION

The free quantum electron gas approximation within the Drude-Sommerfeld model of metallic solids leads to purely parabolic band dispersion and spherical Fermi surfaces. However, electron-lattice and electron-electron interactions break the quadratic energy-momentum relationship, leading to complex electronic band dispersion and Fermi surface shape and topology. The Fermi surface, which separates unoccupied from occupied electronic states, is of paramount importance to understand a broad range of phenomena in metallic and semimetallic systems. To cite the pioneering work of Kaganov and Lifshitz, “the Fermi surface is the stage on which the drama of the life of the electron is played out” (1).

Equilibrium tuning of static macroscopic parameters such as temperature (2–4), pressure (5, 6), strain (7, 8), external magnetic fields (9), or doping (10, 11) have all been demonstrated to be capable of modifying electronic band structures, which is, in some prominent cases, accompanied by an abrupt change in the Fermi surface topology, a phenomenon known as Lifshitz transition (12). A Lifshitz transition is often concurrent with strong modifications of material properties since low-energy excitations, relevant to many electronic, magnetic, and optical properties, occur nearby the Fermi surface boundary. For example, this electronic topological transition often leads to a sudden change of the transport properties (13, 14). Moreover, it was demonstrated that Lifshitz transitions coincide with the onset of superconductivity in electron-doped iron arsenic superconductors (10). While inducing Lifshitz transitions using equilibrium tuning methods is now well established and understood, a protocol for ultrafast Fermi surface topology engineering would

be highly desirable, since it could allow controlling material properties on unprecedented time scales. However, until now, this goal remains elusive.

Here, we experimentally and theoretically demonstrate a route to induce ultrafast Lifshitz transitions in correlated materials based on transient band structure modifications upon the interaction with ultrashort laser pulses. We apply this scheme to the topological type-II Weyl semimetal T_d -MoTe₂, a material that is known to be in the vicinity of a Coulomb interaction-induced Lifshitz transition (15). Experimentally, we directly map the dynamical evolution of its band structure and its Fermi surface on ultrafast time scales using state-of-the-art time-resolved multidimensional photoemission spectroscopy (16). Theoretically, we investigate the microscopic origin of the nonequilibrium Lifshitz transition using extensive time-dependent self-consistent Hubbard *U* calculations (TDDFT+*U*) (17–19). In striking contrast to statically induced Lifshitz transitions, we here show a disruptive out-of-equilibrium strategy to control Fermi surface topology on unprecedented time scales.

T_d -MoTe₂ belongs to the transition metal dichalcogenide (TMDC) family. TMDCs have recently attracted tremendous interest mostly because of the unique combination of strong spin-orbit splitting, locally broken inversion symmetry, and enhanced electronic and mechanical properties, making them very interesting for fundamental studies and for applications in electronics, spintronics, optoelectronics, and twistrionics. In particular, when cooled below ~250 K, MoTe₂ is known to undergo a structural phase transition from the monoclinic and topologically trivial semimetallic ($1T'$) phase to the orthorhombic type-II Weyl semimetallic (T_d -) phase (Fig. 1, A and B), characterized by tilted Weyl cones originating from a protected crossing between the valence and conduction bands in reciprocal space (20). Weyl points act as a topological charge, i.e., either a source or a sink of Berry curvature, leading to many fascinating physical phenomena, including the emergence of Fermi arcs (21, 22) and various transport anomalies (23). Elucidating the detailed electronic structure of this prominent two-dimensional (2D) Weyl semimetal has been the subject of a lot of experimental and theoretical efforts. Recently, combined static soft x-ray angle-resolved photoemission spectroscopy (ARPES) and density functional theory

Copyright © 2021
The Authors, some
rights reserved;
exclusive licensee
American Association
for the Advancement
of Science. No claim to
original U.S. Government
Works. Distributed
under a Creative
Commons Attribution
License 4.0 (CC BY).

¹Fritz Haber Institute of the Max Planck Society, Faradayweg 4-6, Berlin 14195, Germany.

²Max Planck Institute for the Structure and Dynamics of Matter, Luruper Chaussee 149, Hamburg 22761, Germany. ³Department of Applied Physics, KTH Royal Institute of Technology, Hannes Alfvéns väg 12, 114 19 Stockholm, Sweden. ⁴Center for Computational Quantum Physics (CCQ), Flatiron Institute, 162 Fifth Avenue, New York, NY 10010, USA.

*Corresponding author. Email: samuel.beaulieu@u-bordeaux.fr (S.B.); nicolas.tancogne-dejean@mps.d.mpg.de (N.T.-D.); ernstorfer@fhi-berlin.mpg.de (R.E.)

†Present address: Université de Bordeaux-CNRS-CEA, CELIA, UMR5107, F33405 Talence, France.

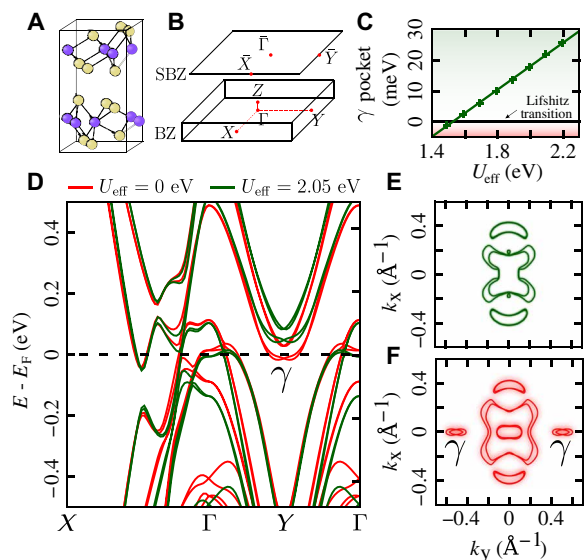


Fig. 1. Coulomb-interaction-induced Lifshitz transition in topological Weyl semimetal T_d -MoTe $_2$. (A) A schematic of the crystal structure of the low-temperature orthorhombic T_d -phase of MoTe $_2$ and (B) its associated Brillouin zone (BZ) and surface Brillouin zone (SBZ). (C) The equilibrium phase diagram revealing the effect of the Hubbard $U_{\text{eff}} = U - J$ (U being the Hund's exchange) on the position of the γ pocket relative to the Fermi energy. The dots are data points obtained from DFT+ U simulations, whereas the thick line is a linear fit to the data, serving as a guide to the eye. (D) The electronic band structure of T_d -MoTe $_2$ for the equilibrium self-consistently calculated value of $U_{\text{eff}} = 2.05$ eV (in green) and for the reduced value of $U_{\text{eff}} = 0$ eV (below the adiabatic Lifshitz transition) and their respective Fermi surface cuts taken at $k_z = 0$ (E and F). In (F), one can clearly see the hallmark of the Coulomb-induced Lifshitz transition—the appearance of γ electron pockets at the Fermi surface.

(DFT) + U studies reported that the inclusion of corrected on-site Coulomb interaction (Hubbard U) is essential to reproduce the experimentally measured electronic band structure and Fermi surface of T_d -MoTe $_2$ (15, 24).

RESULTS AND DISCUSSION

Figure 1D shows the calculated band structures for different values of the effective Hubbard U_{eff} : in green for the self-consistent value ($U_{\text{eff}} = 2.05$ eV) obtained using our first-principle method (17) and in red for a reduced $U_{\text{eff}} = 0$ eV. While the modification of the effective on-site Coulomb interaction leaves the band dispersions along Γ -X mostly unchanged, it leads to a substantial energy shift of two slightly spin-orbit split electron pockets (separated by 36.5 meV) located around the Y high-symmetry points. Figure 1C shows the energy position of the lower-lying γ pocket as a function of the effective Hubbard U_{eff} . Around $U_{\text{eff}} \sim 1.5$ eV, the γ pocket is crossing the Fermi level, leading to a Lifshitz transition, as evidenced by the Fermi surface cuts depicted in (Fig. 1 (E and F)), in good agreement with the predictions of Xu *et al.* (15). Because T_d -MoTe $_2$ is in the vicinity of a Coulomb-induced Lifshitz transition, it is an interesting candidate for investigating the possibility to control the Fermi surface topology on ultrafast time scales.

We used time-resolved multidimensional photoemission spectroscopy to directly probe the nonequilibrium electronic structure of T_d -MoTe $_2$. Our experimental setup includes a monochromatized

high-order harmonic generation (HHG)-based extreme ultraviolet (XUV) source at 500-kHz repetition rate and centered around 21.7 eV [bandwidth of 110-meV full width at half maximum (FWHM) and pulse duration of ~ 20 -fs FWHM] (25), spanning the full extent of the surface Brillouin zone in parallel momentum. Both infrared (IR) pump (1030 nm, 140-fs FWHM, 6.7×10^9 W/cm 2) and XUV probe are p polarized and focused onto the T_d -MoTe $_2$ sample, handled, and cooled to 30 K by a six-axis cryogenic manipulator. The photoemitted electrons are collected by a time-of-flight momentum microscope (26), a multidimensional detection scheme to obtain the 4D ($E_B, k_x, k_y, \Delta t$) nonequilibrium electronic structure (Fig. 2, A and B) (16). We call this technique time-resolved multidimensional photoemission spectroscopy since we directly measure 4D photoemission intensity $I(E_B, k_x, k_y, \Delta t)$, instead of the more standard 3D photoemission intensity $I(E_B, k_{\parallel}, \Delta t)$, when using hemispherical analyzer. More information about the experimental setup can be found in Materials and Methods and in (27).

We tracked the evolution of the Fermi surface as a function of pump-probe delay (Fig. 2, C to G). After the interaction with the femtosecond IR pump pulse, the appearance of the γ pockets is visible (Fig. 2D) on the Fermi surface. This is the hallmark of the dynamical Lifshitz transition. At longer delays, the signal in the γ pockets at the Fermi energy continues to increase (Fig. 2E), followed by gradual decays (Fig. 2F), and eventually vanish after < 1 ps (Fig. 2G), indicating the recovery of the equilibrium Fermi surface topology.

What is the origin of the experimentally observed transient change of the spectral weight on the Fermi surface? At first glance, few different scenarios could lead to this observation: (i) a simple light-induced population of the originally unoccupied γ pocket concomitant with the finite energy resolution of the experimental setup, (ii) a Floquet-type state from periodically driven lower-lying bands, (iii) a light-induced structural phase transition leading to the modification of the electronic structure, and last, (iv) renormalization of the electronic band structure due to dynamical changes in the effective electronic correlations (transient changes of Hubbard U). In the following, we provide clear evidence that only the last scenario is in complete agreement with both our experimental observations and our first-principle simulations.

The multidimensional nature of the experimental photoemission data allows us to gain an additional perspective on the laser-induced dynamics by looking at the energy-resolved signal along Y- Γ -Y (see the Supplementary Materials for time-dependent cuts along X- Γ -X). These momentum-energy snapshots reveal the light-induced population dynamics within the γ pockets accompanied by their time-dependent energy downshift. By fitting the time-resolved energy distribution curves (EDCs) at the Y point (see Supplementary Materials), we have extracted the position of the bottom of the γ pocket, which is shown to transiently and reversibly downshift in energy by ~ 70 meV (Fig. 3C). This dynamical energy downshift of the γ pockets results in a transient crossing of the ground-state Fermi energy by 17 ± 7 meV and causes a disruption of the Fermi surface topology. This effect can neither be explained by a transient excited state population in a rigid band structure picture nor by the finite energy resolution of the experimental setup. This unambiguously rules out scenario (i). Moreover, scenario (ii), involving Floquet-type states, can also be safely ruled out since the measured renormalization of the γ pocket is significantly delayed with respect to the pump pulse (Fig. 3C).

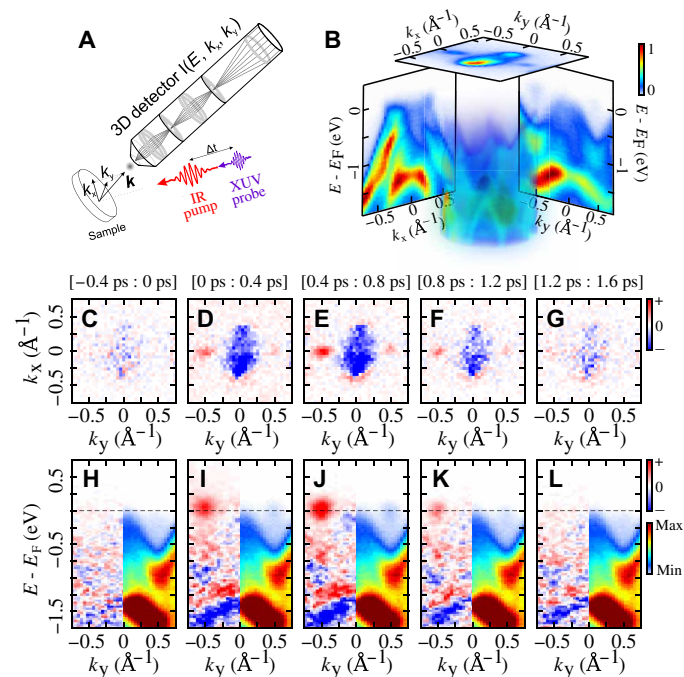


Fig. 2. Ultrafast dynamical Lifshitz transition probed by time-resolved multi-dimensional photoemission spectroscopy. (A) A schematic of the experimental setup featuring infrared (IR) pump/extreme ultraviolet (XUV) probe pulses and a time-of-flight momentum microscope detector allowing for parallel measurement of the band structure of the crystalline solid, as a function of pump-probe time delay ($E_B, k_x, k_y, \Delta t$). (B) An example of the experimental 3D volumetric photoemission data, as well as cuts along different high-symmetry directions and cut at the Fermi energy, integrated for all positive time delays. (C to G) Differential (unpumped signal subtracted) 2D Fermi surfaces (k_x, k_y) as a function of time delay between the IR pump and the XUV probe (integrated more than 400 fs intervals). (H to L) Corresponding raw (right, $k_y > 0$) and differential (left, $k_y < 0$) energy-resolved cuts along Γ - Γ - Γ ($k_x = 0$).

Hence, there remains scenarios (iii) and (iv) as plausible origins of the observed ultrafast Lifshitz transition. As shown in the Supplementary Materials, γ pockets lying slightly below the Fermi energy are also a feature of the $1T'$ phase of MoTe_2 . A photoinduced structural phase transition from the T_d to the $1T'$ phase would, therefore, lead to the γ pockets crossing the Fermi level upon photoexcitation on the time scale of these structural changes. Zhang *et al.* (28) has recently studied this ultrafast lattice symmetry switching (T_d to $1T'$) using optical techniques by looking at the fluence dependence of coherent phonon modes and of the intensity loss of second-harmonic generation. When pumping above a critical fluence of $>2 \text{ mJ/cm}^2$, they showed that the structural phase transition occurs on the time scale of $\sim 700 \text{ fs}$ and recovers to the T_d phase within hundreds of picoseconds. The absorbed pump fluence that we used ($\sim 0.6 \text{ mJ/cm}^2$) is significantly lower than the structural phase transition critical fluence, and we observe a sub-400-fs modification of the Fermi surface topology with a sub-1.5-ps recovery time scale, which does not seem to be compatible with a photoinduced structural phase transition as observed in Zhang *et al.* (28). We did not observe any signature of coherent phonons in our experimental data. Moreover, because of the longer wavelength of our pump pulse (1030 nm versus 800 nm), the penetration depth ($\delta = 410 \text{ nm}$) is more than four times larger than at 800 nm ($\delta = 100 \text{ nm}$). This means that the pulse

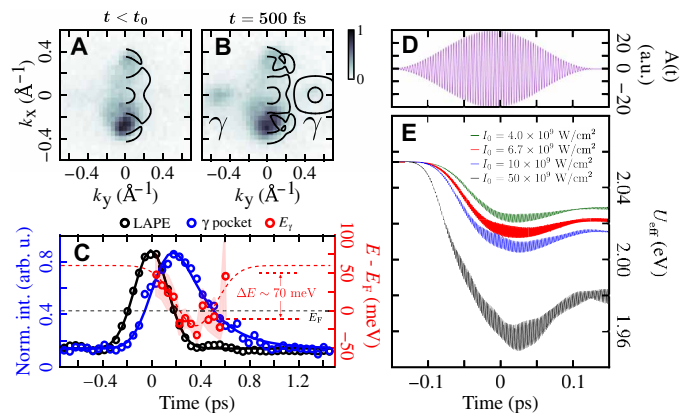


Fig. 3. Ultrafast modification of Hubbard U at the origin of the dynamical Lifshitz transition. (A and B) Experimentally measured Fermi surface for $t < t_0$ and $t = 500 \text{ fs}$ and Fermi surface before and after the pump pulse, obtained by TDDFT+ U simulations using the same intensity as in the experiment ($I_0 = 6.7 \times 10^9 \text{ W/cm}^2$). In both cases (theory and experiment), the γ electron pocket close to the Γ point, i.e., the hallmark of the Lifshitz transition, is clearly showing up after the interaction with the pump pulse. (C) Normalized laser-assisted photoemission signal (LAPE) (black), normalized γ pocket excited state signal (blue), and energy position of the bottom of the γ pocket (red), as a function of pump-probe delay. The shallow red curve represents the 95% confidence intervals of the γ pocket position extracted from EDC fitting. The dashed red curve serves as a guide to the eye. (D) The vector potential of the laser pulse used in the self-consistent TDDFT+ U simulations, with the same wavelength and duration as used in the experiment. (E) Dynamical evolution of U_{eff} for different laser fluences.

energy is deposited on a much larger volume, leading to a much smaller effective temperature increase. Using the equation $S\delta\rho/M \int_{T_0}^{T_0+\Delta T} C_p(T) dT = FS$, where S , ρ , M , C_p , and F are, respectively, the excitation area, the mass density, the molar mass, the heat capacity, and the absorbed fluence, we can estimate the lattice temperature rise ΔT after the interaction with the pump (28). Using the experimental values of ρ , M , and C_p (29), we obtain that our laser excitation raises the lattice temperature from $T_0 = 30 \text{ K}$ to $T_0 + \Delta T = 71 \text{ K}$, which is well below the critical structural phase transition temperature of $\sim 250 \text{ K}$. Therefore, the photoinduced structural phase transition [scenario (iii)] can be safely excluded.

The remaining scenario, which fits with all experimental observations, is that the ultrafast Lifshitz transition is of electronic nature and originates from the dynamical modification of U . To investigate this scheme in greater details, we have performed first-principle self-consistent TDDFT+ U calculations (17). This method captures both the laser-induced dynamical populations (nonthermal distribution of states) and the time dependence of the effective electronic correlations (dynamical Hubbard U). For these calculations, we assume a frozen lattice.

The calculated Fermi surfaces before and after the interaction with the pump pulse, shown in Fig. 3 (A and B), clearly show that the TDDFT+ U simulations predict the ultrafast dynamical Lifshitz transition, in agreement with the experimental observations. Moreover, as predicted for the strongly correlated charge-transfer insulator NiO (18) and a pyrochlore iridate (19), the Hubbard U is found to decrease upon photoexcitation for T_d - MoTe_2 (Fig. 3, D and E). This can be understood in terms of dynamical enhancement of the electronic screening due to the delocalized nature of the pump-induced excited electrons (18). In the simulations, the modification

of the Hubbard U seems to reach a plateau after the end of the laser pulse (Fig. 3E), while in the experiment, the energy shift of the pockets is delayed compared to the pump laser pulse, timed by the laser-assisted photoemission signal (Fig. 3C). This may be understood as the effect of other scattering processes, such as electron-phonon coupling, unaccounted for in the simulation that will prevent the laser-driven quenching of U from persisting indefinitely. In other words, the simulations intrinsically cannot capture the energy upshift of the pocket at longer pump-probe delays. Moreover, we note that the good agreement (for small pump-probe delays) between theory, which assumes a frozen lattice, and experiment in describing the lowering of the γ pocket is yet another indication that coherent phonons are not playing an important role observed dynamics.

Another interesting observation is that the calculated dynamical reduction of the U_{eff} (Fig. 3E) is found to be much smaller than what is expected for reaching the Lifshitz transition from equilibrium calculations (Fig. 1C). To explain why such a small change in U_{eff} can induce a Lifshitz transition in the nonequilibrium case, whereas this would not be the case for an adiabatic (equilibrium) scenario, we have to remember that both dynamical populations and time-dependent Hubbard U can strongly affect the electronic structure and the Fermi surface of T_d -MoTe₂. We, therefore, investigated their respective role in driving the nonequilibrium Lifshitz transition (see Supplementary Materials). From this detailed analysis, we found that modifications of both dynamical populations and Hubbard U are required to reach the ultrafast dynamical Lifshitz transition. If we freeze the Hubbard U in the simulations, then the Lifshitz transition does not occur. We also found that using the adiabatic electronic states to define the Fermi surface after laser excitation does not lead to the Lifshitz transition.

Our TDDFT+ U framework, which assumes a frozen lattice, cannot quantitatively reproduce the full dynamics from photoexcitation to thermalization, e.g., because of the lack of electron-phonon coupling. However, qualitatively, the fact that this novel nonequilibrium route requires a significantly smaller modification of the Hubbard U to reach the Lifshitz transition has strong implications. First, it reveals that nonadiabaticity plays a key role in the observed ultrafast Lifshitz transition. Moreover, our results establish that the synergy between dynamical populations and Hubbard U can facilitate reaching topological electronic transitions; in cases where only changing U , using adiabatic techniques would not allow it.

In conclusion, using time-resolved multidimensional photoemission spectroscopy, we have demonstrated a fundamentally new nonequilibrium scheme that allows us to drive an ultrafast Lifshitz transition in the Weyl semimetal T_d -MoTe₂ upon transient and reversible modification of the band structure. Our first-principle simulations revealed the role of the dynamical modulation of the populations and of the electronic correlations in reaching the topological electronic transition on ultrafast time scales. Our work thus demonstrates that dynamical correlations and nonadiabaticity are key ingredients to drive the nonequilibrium Lifshitz transition. This ultrafast topological transition thus finds its roots in different physical mechanisms than more conventional adiabatic Lifshitz transitions. Moreover, the ultrafast Lifshitz transition presented here is of electronic origin, allowing to switch between different Fermi surface topologies and thus to switch the material's (e.g., transport) properties (30) on very fast time scales. Combining this scheme with the emerging field of twistronics (31) will allow an unprecedented level

of control on the electronic correlations on time scales not accessible to established adiabatic methods.

MATERIALS AND METHODS

Time-resolved multidimensional photoemission spectroscopy

The time-resolved multidimensional photoemission spectroscopy experiments were performed at the Fritz Haber Institute of the Max Planck Society. We used a homebuilt optical parametric chirped-pulse amplifier (OPCPA) delivering 15 W (800 nm, 30 fs) at 500-kHz repetition rate. The second harmonic of the OPCPA output (400 nm) is used to drive the HHG by tightly focusing (15- μm FWHM) p -polarized laser pulses onto a thin and dense Argon gas jet. The extremely nonlinear interaction between the laser pulses and the Argon atoms leads to the generation of a comb of odd harmonics of the driving laser, extending up to the 11th order. The copropagating driving laser and the harmonics are reflected onto a silicon wafer at Brewster's angle of the 400 nm to filter out the energy of the fundamental driving laser. Next, a single harmonic (seventh order, 21.7 eV) is isolated by reflection on a focusing multilayer XUV mirror and propagation through a 400-nm-thick Sn metallic filter. A photon flux of up to 2×10^{11} photons/s at the sample position is obtained (110-meV FWHM) (25).

As a pump beam, we used a fraction of the compressed 1030-nm pulses used to generate the white light seed in the OPCPA. The combination of longer wavelength and longer pulse duration (compared with 800 nm, 30 fs typically used) allows us to use higher pump fluence before reaching the threshold of pump-induced multiphoton photoemission, which introduces space-charge distortion in the time-resolved multidimensional photoemission measurement. Using high enough fluence is essential to drive the nonequilibrium Lifshitz transition. The drawback is that the temporal resolution decreases to ~ 140 -fs FWHM. The pump beam was also linearly p -polarized (along the Γ -X direction of the crystal) and was at an angle of incidence of 65° to the sample surface normal.

The bulk T_d -MoTe₂ samples are cooled to 30 K on the 6-axis cryogenic manipulator (SPECS GmbH) and cleaved at a base pressure of 2×10^{-11} mbar. The data are acquired using a time-of-flight momentum microscope (METIS1000, SPECS GmbH), allowing to detect each photoelectron as a single event and as a function of pump-probe delay. The resulting 4D photoemission intensity data have the coordinates $I(E_B, k_x, k_y, \Delta t)$. This represents an improvement with respect to standard time- and angle-resolved photoemission spectroscopy, where 3D photoemission intensity, i.e., $I(E_B, k_{\parallel}, \Delta t)$, is typically measured and where k_{\parallel} is the parallel momentum of the electron in the crystal along one specific direction of the Brillouin zone. The measured k_{\parallel} is determined by the orientation of the crystal with respect to the hemispherical analyzer slit.

Concerning the data after processing, the combination of the high repetition rate of our beamline and the multidimensional data recording scheme (16) leads to typical datasets involving 10^9 - 10^{11} detected events and a typical dataset size of few hundreds of gigabytes (GBs). We thus use a recently developed open-source workflow (32) to efficiently convert these raw single-event-based datasets into binned calibrated data hypervolumes of the desired dimension, including axes calibration and detector artifact corrections. Binning of the single-event data to a specific hypervolume reduces the data to a manageable size of up to a few GBs.

DFT+U and TDDFT+U calculations

All the calculations presented in the article and in the Supplementary Materials were performed for bulk T_d -MoTe₂ unless stated otherwise. Calculations were performed using fully relativistic Hartwigsen-Goedecker-Hutter norm-conserving pseudopotentials using the crystal structure taken from (33) (real-space spacing of $\Delta r = 0.158 \text{ \AA}$). Time-dependent calculations have been performed using a $6 \times 5 \times 3$ k-point grid to sample the Brillouin zone, whereas ground-state calculations were performed using a $12 \times 10 \times 6$ k-point grid. We found that this choice does not lead to a sizable change in the value of the computed Hubbard U_{eff} . The pump driving field is applied along the (100) crystallographic direction in all the calculations, which corresponds to the Γ -X direction. We considered a laser pulse of 140-fs duration (FWHM) with a sine-square envelope for the vector potential. The carrier wavelength λ is 1030 nm. The time-dependent wavefunctions and Hubbard U_{eff} are computed by propagating generalized Kohn-Sham equations within real-time TDDFT+U, as provided by the Octopus package (34–36). We used the local density approximation for describing the local DFT part, and we computed the effective $U_{\text{eff}} = U - J$ for Mo d orbitals using localized atomic orbitals from the corresponding pseudopotentials (17).

We used the real-time TDDFT+U formalism (17) based on the recently proposed Agapito-Curtarolo-Buongiorno Nardelli (ACBNO) functional (37), which can be seen as a pseudo-hybrid reformulation of the DFT+U method. The time-dependent generalized Kohn-Sham equation within the adiabatic approximation reads (the nonlocal part of the pseudopotential is omitted for conciseness)

$$i \frac{\partial}{\partial t} |\Psi_{n,\mathbf{k}}(t)\rangle = \left[\frac{\hat{\mathbf{p}} - \mathbf{A}(t)/c}{2} + \hat{v}_{\text{ext}} + \hat{v}_{\text{H}}[n(\mathbf{r}, t)] + \hat{v}_{\text{xc}}[n(\mathbf{r}, t)] + \hat{v}_{\text{U}}[n(\mathbf{r}, t), \{n_{mm'}^{\sigma\sigma'}\}] \right] |\Psi_{n,\mathbf{k}}(t)\rangle \quad (1)$$

where $|\Psi_{n,\mathbf{k}}\rangle$ is a Pauli spinor representing the Bloch state with a band index n at the point \mathbf{k} in the Brillouin zone, \hat{v}_{ext} is the ionic potential, $\mathbf{A}(t)$ is the external vector potential describing the laser field, \hat{v}_{H} is the Hartree potential, \hat{v}_{xc} is the exchange-correlation potential, and \hat{v}_{U} is the (nonlocal) operator

$$\hat{v}_{\text{U}}[n, \{n_{mm'}^{\sigma\sigma'}\}] = U_{\text{eff}} \sum_{m,m'} \left(\frac{1}{2} \delta_{mm'} - n_{mm'} \right) \hat{P}_{m,m'} \quad (2)$$

Here, $\hat{P}_{mm'}^{\sigma\sigma'} = |\phi_m^{\sigma\sigma'}\rangle \langle \phi_{m'}^{\sigma\sigma'}|$ is the projector onto the localized subspace defined by the localized orbitals $\{\phi_m^{\sigma\sigma'}\}$ and $n_{mm'}^{\sigma\sigma'}$ is the density matrix of the localized subspace, both of these quantities are non-diagonal in spin space (17). The expressions of U and J can be found in (17) for the noncollinear spin case.

SUPPLEMENTARY MATERIALS

Supplementary material for this article is available at <http://advances.sciencemag.org/cgi/content/full/7/17/eabd9275/DC1>

REFERENCES AND NOTES

- M. I. Kaganov, I. M. Lifshitz, Electron theory of metals and geometry. *Physica-Uspheki* **22**, 904–927 (1979).
- Y. Wu, N. H. Jo, M. Ochi, L. Huang, D. Mou, S. L. Bud'ko, P. C. Canfield, N. Trivedi, R. Arita, A. Kaminski, Temperature-induced Lifshitz transition in WTe₂. *Phys. Rev. Lett.* **115**, 166602 (2015).
- Y. Zhang, C. Wang, L. Yu, G. Liu, A. Liang, J. Huang, S. Nie, X. Sun, Y. Zhang, B. Shen, J. Liu, H. Weng, L. Zhao, G. Chen, X. Jia, C. Hu, Y. Ding, W. Zhao, Q. Gao, C. Li, S. He, L. Zhao, F. Zhang, S. Zhang, F. Yang, Z. Wang, Q. Peng, X. Dai, Z. Fang, Z. Xu, C. Chen, X. J. Zhou, Electronic evidence of temperature-induced Lifshitz transition and topological nature in ZrTe₅. *Nat. Commun.* **8**, 15512 (2017).

- F. C. Chen, Y. Fei, S. J. Li, Q. Wang, X. Luo, J. Yan, W. J. Lu, P. Tong, W. H. Song, X. B. Zhu, L. Zhang, H. B. Zhou, F. W. Zheng, P. Zhang, A. L. Lichtenstein, M. I. Katsnelson, Y. Yin, N. Hao, Y. P. Sun, Temperature-induced Lifshitz transition and possible excitonic instability in ZrSiSe. *Phys. Rev. Lett.* **124**, 236601 (2020).
- Z. J. Xiang, G. J. Ye, C. Shang, B. Lei, N. Z. Wang, K. S. Yang, D. Y. Liu, F. B. Meng, X. G. Luo, L. J. Zou, Z. Sun, Y. Zhang, X. H. Chen, Pressure-induced electronic transition in black phosphorus. *Phys. Rev. Lett.* **115**, 186403 (2015).
- D. Kang, Y. Zhou, W. Yi, C. Yang, J. Guo, Y. Shi, S. Zhang, Z. Wang, C. Zhang, S. Jiang, A. Li, K. Yang, Q. Wu, G. Zhang, L. Sun, Z. Zhao, Superconductivity emerging from a suppressed large magnetoresistant state in tungsten ditelluride. *Nat. Commun.* **6**, 7804 (2015).
- V. Sunko, E. Abarca Morales, I. Markovic, M. E. Barber, D. Milosavljevic, F. Mazzola, D. A. Sokolov, N. Kikugawa, C. Cacho, P. Dudin, H. Rosner, C. W. Hicks, P. D. C. King, A. P. Mackenzie, Direct observation of an uniaxial stress-driven Lifshitz transition in Sr₂RuO₄. *npj Quant. Mater.* **4**, 46 (2019).
- B. Burganov, C. Adamo, A. Mulder, M. Uchida, P. D. C. King, J. W. Harter, D. E. Shai, A. S. Gibbs, A. P. Mackenzie, R. Uecker, M. Bruetzmann, M. R. Beasley, C. J. Fennie, D. G. Schlom, K. M. Shen, Strain control of Fermiology and many-body interactions in two-dimensional ruthenates. *Phys. Rev. Lett.* **116**, 197003 (2016).
- A. Ptok, K. J. Kapcia, A. Cichy, A. M. Oles, P. Piekarz, Magnetic Lifshitz transition and its consequences in multi-band iron-based superconductors. *Sci. Rep.* **7**, 41979 (2017).
- C. Liu, T. Kondo, R. M. Fernandes, A. D. Palczewski, E. D. Mun, N. Ni, A. N. Thaler, A. Bostwick, E. Rotenberg, J. Schmalian, S. L. Bud'ko, P. C. Canfield, A. Kaminski, Evidence for a Lifshitz transition in electron-doped iron arsenic superconductors at the onset of superconductivity. *Nat. Phys.* **6**, 419–423 (2010).
- X. Shi, Z.-Q. Han, X.-L. Peng, P. Richard, T. Qian, X.-X. Wu, M.-W. Qiu, S. C. Wang, J. P. Hu, Y.-J. Sun, H. Ding, Enhanced superconductivity accompanying a Lifshitz transition in electron-doped FeSe monolayer. *Nat. Commun.* **8**, 14988 (2017).
- I. M. Lifshitz, Anomalies of electron characteristics of a metal in the high pressure region. *J. Exp. Theor. Phys.* **38**, 1569 (1960).
- Y. Wang, M. N. Gastiasoro, B. M. Andersen, M. Tomić, H. O. Jeschke, R. Valentí, I. Paul, P. J. Hirschfeld, Effects of Lifshitz transition on charge transport in magnetic phases of Fe-based superconductors. *Phys. Rev. Lett.* **114**, 097003 (2015).
- H. Chi, C. Zhang, G. Gu, D. E. Kharzeev, X. Dai, Q. Li, Lifshitz transition mediated electronic transport anomaly in bulk ZrTe₅. *New J. Phys.* **19**, 015005 (2017).
- N. Xu, Z. W. Wang, A. Magrez, P. Bugnon, H. Berger, C. E. Matt, V. N. Strocov, N. C. Plumb, M. Radovic, E. Pomjakushina, K. Conder, J. H. Dil, J. Mesot, R. Yu, H. Ding, M. Shi, Evidence of a Coulomb-interaction-induced Lifshitz transition and robust hybrid Weyl semimetal in T_d-MoTe₂. *Phys. Rev. Lett.* **121**, 136401 (2018).
- D. Kutnyakhov, R. P. Xian, M. Dendzik, M. Heber, F. Pressacco, S. Y. Agustsson, L. Wenthous, H. Meyer, S. Gieschen, G. Mercurio, A. Benz, K. Bühlman, S. Däster, R. Gort, D. Curcio, K. Volckaert, M. Bianchi, C. Sanders, J. A. Miwa, S. Ulstrup, A. Oelsner, C. Tusche, Y.-J. Chen, D. Vasilyev, K. Medjanik, G. Brenner, S. Dziarzhyski, H. Redlin, B. Manschwetus, S. Dong, J. Hauer, L. Rettig, F. Diekmann, K. Rossnagel, J. Demsar, H.-J. Elmers, P. Hofmann, R. Ernstorfer, G. Schönhense, Y. Acremann, W. Wurth, Time- and momentum-resolved photoemission studies using time-of-flight momentum microscopy at a free-electron laser. *Rev. Sci. Instrum.* **91**, 013109 (2020).
- N. Tancogne-Dejean, M. J. T. Oliveira, A. Rubio, Self-consistent DFT + U method for real-space time-dependent density functional theory calculations. *Phys. Rev. B* **96**, 245133 (2017).
- N. Tancogne-Dejean, M. A. Sentef, A. Rubio, Ultrafast modification of Hubbard U in a strongly correlated material: Ab initio high-harmonic generation in NiO. *Phys. Rev. Lett.* **121**, 097402 (2018).
- G. E. Topp, N. Tancogne-Dejean, A. F. Kemper, A. Rubio, M. A. Sentef, All-optical nonequilibrium pathway to stabilising magnetic Weyl semimetals in pyrochlore iridates. *Nat. Commun.* **9**, 4452 (2018).
- A. A. Soluyanov, D. Gresch, Z. Wang, Q. Wu, M. Troyer, X. Dai, B. A. Bernevig, Type-II Weyl semimetals. *Nature* **527**, 495–498 (2015).
- X. Wan, A. M. Turner, A. Vishwanath, S. Y. Savrasov, Topological semimetal and Fermi-arc surface states in the electronic structure of pyrochlore iridates. *Phys. Rev. B* **83**, 205101 (2011).
- S.-Y. Xu, I. Belopolski, N. Alidoust, M. Neupane, G. Bian, C. Zhang, R. Sankar, G. Chang, Z. Yuan, C.-C. Lee, S.-M. Huang, H. Zheng, J. Ma, D. S. Sanchez, B. Wang, A. Bansil, F. Chou, P. P. Shihayev, H. Lin, S. Jia, M. Z. Hasan, Discovery of a Weyl fermion semimetal and topological Fermi arcs. *Science* **349**, 613–617 (2015).
- P. Hosur, X. Qi, Recent developments in transport phenomena in Weyl semimetals. *Comptes Rendus Physique* **14**, 857–870 (2013).
- N. Aryal, E. Manousakis, Importance of electron correlations in understanding photoelectron spectroscopy and Weyl character of MoTe₂. *Phys. Rev. B* **99**, 035123 (2019).
- M. Puppini, Y. Deng, C. W. Nicholson, J. Feldl, N. B. M. Schröter, H. Vita, P. S. Kirchmann, C. Monney, L. Rettig, M. Wolf, R. Ernstorfer, Time- and angle-resolved photoemission spectroscopy of solids in the extreme ultraviolet at 500 kHz repetition rate. *Rev. Sci. Instrum.* **90**, 023104 (2019).

26. K. Medjanik, O. Fedchenko, S. Chernov, D. Kutnyakhov, M. Ellguth, A. Oelsner, B. Schönhense, T. R. F. Peixoto, P. Lutz, C.-H. Min, F. Reinert, S. Däster, Y. Acremann, J. Viehhaus, W. Wurth, H. J. Elmers, G. Schönhense, Direct 3D mapping of the Fermi surface and Fermi velocity. *Nat. Mater.* **16**, 615–621 (2017).
27. J. Maklar, S. Dong, S. Beaulieu, T. Pincelli, M. Dendzik, Y. W. Windsor, R. P. Xian, M. Wolf, R. Ernstorfer, L. Rettig, A quantitative comparison of time-of-flight momentum microscopes and hemispherical analyzers for time- and angle-resolved photoemission spectroscopy experiments. *Rev. Sci. Instrum.* **91**, 123112 (2020).
28. M. Y. Zhang, Z. X. Wang, Y. N. Li, L. Y. Shi, D. Wu, T. Lin, S. J. Zhang, Y. Q. Liu, Q. M. Liu, J. Wang, T. Dong, N. L. Wang, Light-induced subpicosecond lattice symmetry switch in MoTe₂. *Phys. Rev. X* **9**, 021036 (2019).
29. H. L. Kiwia, E. F. Westrum Jr., Low-temperature heat capacities of molybdenum diselenide and ditelluride. *J. Chem. Thermodyn.* **7**, 683–691 (1975).
30. F. C. Chen, H. Y. Lv, X. Luo, W. J. Lu, Q. L. Pei, G. T. Lin, Y. Y. Han, X. B. Zhu, W. H. Song, Y. P. Sun, Extremely large magnetoresistance in the type-II Weyl semimetal MoTe₂. *Phys. Rev. B* **94**, 235154 (2016).
31. M. Yankowitz, S. Chen, H. Polshyn, Y. Zhang, K. Watanabe, T. Taniguchi, D. Graf, A. F. Young, C. R. Dean, Tuning superconductivity in twisted bilayer graphene. *Science* **363**, 1059–1064 (2019).
32. R. P. Xian, Y. Acremann, S. Y. Agustsson, M. Dendzik, K. Bühlmann, D. Curcio, D. Kutnyakhov, F. Pressacco, M. Heber, S. Dong, T. Pincelli, J. Demsar, W. Wurth, P. Hofmann, M. Wolf, M. Scheidgen, L. Rettig, R. Ernstorfer, An open-source, end-to-end workflow for multidimensional photoemission spectroscopy. *Sci. Data* **7**, 442 (2020).
33. Y. Qi, P. G. Naumov, M. N. Ali, C. R. Rajamathi, W. Schnelle, O. Barkalov, M. Hanfland, S.-C. Wu, C. Shekhar, Y. Sun, V. Süß, M. Schmidt, U. Schwarz, E. Pippel, P. Werner, R. Hillebrand, T. Förster, E. Kampert, S. Parkin, R. J. Cava, C. Felser, B. Yan, S. A. Medvedev, Superconductivity in Weyl semimetal candidate MoTe₂. *Nat. Commun.* **7**, 11038 (2016).
34. N. Tancogne-Dejean, M. J. T. Oliveira, X. Andrade, H. Appel, C. H. Borca, G. L. Breton, F. Buchholz, A. Castro, S. Corni, A. A. Correa, U. D. Giovannini, A. Delgado, F. G. Eich, J. Flick, G. Gil, A. Gomez, N. Helbig, H. Hübener, R. Jestädt, J. Jornet-Somoza, A. H. Larsen, I. V. Lebedeva, M. Lüders, M. A. L. Marques, S. T. Ohlmann, S. Pipolo, M. Rampp, C. A. Rozzi, D. A. Strubbe, S. A. Sato, C. Schäfer, I. Theophilou, A. Welden, A. Rubio, Octopus, a computational framework for exploring light-driven phenomena and quantum dynamics in extended and finite systems. *J. Chem. Phys.* **152**, 124119 (2020).
35. X. Andrade, D. Strubbe, U. D. Giovannini, A. H. Larsen, M. J. T. Oliveira, J. Alberdi-Rodriguez, A. Varas, I. Theophilou, N. Helbig, M. J. Verstraete, L. Stella, F. Nogueira, A. Aspuru-Guzik, A. Castro, M. A. L. Marques, A. Rubio, Real-space grids and the octopus code as tools for the development of new simulation approaches for electronic systems. *Phys. Chem. Chem. Phys.* **17**, 31371–31396 (2015).
36. A. Castro, H. Appel, M. Oliveira, C. A. Rozzi, X. Andrade, F. Lorenzen, M. A. L. Marques, E. K. U. Gross, A. Rubio, Octopus: a tool for the application of time-dependent density functional theory. *Phys. Status Solidi B* **243**, 2465–2488 (2006).
37. L. A. Agapito, S. Curtarolo, M. Buongiorno Nardelli, Reformulation of DFT + U as a pseudohybrid hubbard density functional for accelerated materials discovery. *Phys. Rev. X* **5**, 011006 (2015).
38. A. Crepaldi, G. Autès, G. Gatti, S. Roth, A. Sterzi, G. Manzoni, M. Zacchigna, C. Cacho, R. T. Chapman, E. Springate, E. A. Seddon, P. Bugnon, A. Magrez, H. Berger, I. Vobornik, M. Kalläne, A. Quer, K. Rossnagel, F. Parmigiani, O. V. Yazyev, M. Grioni, Enhanced ultrafast relaxation rate in the Weyl semimetal phase of MoTe₂ measured by time- and angle-resolved photoelectron spectroscopy. *Phys. Rev. B* **96**, 241408 (2017).
39. G. Pizzi, V. Vitale, R. Arita, S. Blügel, F. Freimuth, G. Géranton, M. Gibertini, D. Gresch, C. Johnson, T. Koretsune, J. Ibañez-Azpiroz, H. Lee, J.-M. Lihm, D. Marchand, A. Marrazzo, Y. Mokrousov, J. I. Mustafa, Y. Nohara, Y. Nomura, L. Paulatto, S. Poncé, T. Ponweiser, J. Qiao, F. Thöle, S. S. Tsirkin, M. Wierzbowska, N. Marzari, D. Vanderbilt, I. Souza, A. A. Mostofi, J. R. Yates, Wannier90 as a community code: New features and applications. *J. Phys. Condens. Matter* **32**, 165902 (2020).
40. U. De Giovannini, H. Hübener, A. Rubio, A first-principles time-dependent density functional theory framework for spin and time-resolved angular-resolved photoelectron spectroscopy in periodic systems. *J. Chem. Theory Comput.* **13**, 265–273 (2016).

Acknowledgments

Funding: This work was funded by the Max Planck Society, the European Research Council (ERC) under the European Union's Horizon 2020 research and innovation program [grant nos. ERC-2015-CoG-682843, ERC-2015-AdG694097, and H2020-FETOPEN-2018-2019-2020-01 (OPTOLogic, grant agreement no. 899794)], the Grupos Consolidados (IT1249-19); the Deutsche Forschungsgemeinschaft (DFG, German Research Foundation) within the Emmy Noether program (grant nos. RE 3977/1 and SE 2558/2); the Cluster of Excellence "Advanced Imaging of Matter" (AIM), the SFB925 "Light-induced dynamics and control of correlated quantum systems"; the Collaborative Research Center/Transregio 227 "Ultrafast Spin Dynamics" (projects B07 and A09, project number 328545488); the FOR1700 project (project E5, project number 194370842); and the Priority Program SPP 2244 (project no. 443366970). The Flatiron Institute is a division of the Simons Foundation. T. P. acknowledges financial support from the Alexander von Humboldt Fellowship program of the Alexander von Humboldt Stiftung. S.B. acknowledges financial support from the NSERC-Banting Postdoctoral Fellowships Program. **Author contributions:** S.B., S.D., M.D., J.M., T.P., and L.R. performed the time-resolved multidimensional photoemission spectroscopy experiments. S.B. analyzed the experimental data. R.P.X. developed the open source workflow for data preprocessing. R.E., L.R., and M.W. were responsible for developing the infrastructures allowing these measurements and for the overall project direction. N.T.-D. performed the theoretical calculations, their analysis, and interpretation, with the guidance of M.A.S. and A.R. S.B. wrote the first draft with inputs from N.T.-D., M.A.S., and R.E. All authors contributed to the discussions and the final version of the manuscript. **Competing interests:** The authors declare that they have no competing interests. **Data and materials availability:** All data needed to evaluate the conclusions in the paper are present in the paper and/or the Supplementary Materials. All the experimental photoemission data used for the presented analysis are publicly available: <https://zenodo.org/record/4632481#.YG4MZC1h3q0>.

Submitted 24 July 2020

Accepted 4 March 2021

Published 21 April 2021

10.1126/sciadv.abd9275

Citation: S. Beaulieu, S. Dong, N. Tancogne-Dejean, M. Dendzik, T. Pincelli, J. Maklar, R. P. Xian, M. A. Sentef, M. Wolf, A. Rubio, L. Rettig, R. Ernstorfer, Ultrafast dynamical Lifshitz transition. *Sci. Adv.* **7**, eabd9275 (2021).

Ultrafast dynamical Lifshitz transition

Samuel Beaulieu, Shuo Dong, Nicolas Tancogne-Dejean, Maciej Dendzik, Tommaso Pincelli, Julian Maklar, R. Patrick Xian, Michael A. Sentef, Martin Wolf, Angel Rubio, Laurenz Rettig and Ralph Ernstorfer

Sci Adv 7 (17), eabd9275.
DOI: 10.1126/sciadv.abd9275

ARTICLE TOOLS	http://advances.sciencemag.org/content/7/17/eabd9275
SUPPLEMENTARY MATERIALS	http://advances.sciencemag.org/content/suppl/2021/04/19/7.17.eabd9275.DC1
REFERENCES	This article cites 40 articles, 2 of which you can access for free http://advances.sciencemag.org/content/7/17/eabd9275#BIBL
PERMISSIONS	http://www.sciencemag.org/help/reprints-and-permissions

Use of this article is subject to the [Terms of Service](#)

Science Advances (ISSN 2375-2548) is published by the American Association for the Advancement of Science, 1200 New York Avenue NW, Washington, DC 20005. The title *Science Advances* is a registered trademark of AAAS.

Copyright © 2021 The Authors, some rights reserved; exclusive licensee American Association for the Advancement of Science. No claim to original U.S. Government Works. Distributed under a Creative Commons Attribution License 4.0 (CC BY).