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Evaluation of the methoxy-X04 derivative BSC4090 for diagnosis of prodromal and early Alzheimer's disease from bioptic olfactory mucosa

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Abstract

Alzheimer's disease (AD) pathology precedes the onset of clinical symptoms by several decades. Thus, biomarkers are required to identify prodromal disease stages to allow for the early and effective treatment. The methoxy-X04-derivative BSC4090 is a fluorescent ligand which was designed to target neurofibrillary tangles in AD. BSC4090 staining was previously detected in post-mortem brains and olfactory mucosa derived from AD patients. We tested BSC4090 as a potential diagnostic marker of prodromal and early AD using olfactory mucosa biopsies from 12 individuals with AD, 13 with mild cognitive impairment (MCI), and 10 cognitively normal (CN) controls. Receiver-operating curve analysis revealed areas under the curve of 0.78 for AD versus CN and of 0.86 for MCI due to AD versus MCI of other causes. BSC4090 labeling correlated significantly with cerebrospinal fluid levels of tau protein phosphorylated at T181. Using NMR spectroscopy, we find that BSC4090 binds to fibrillar and pre-fibrillar but not to monomeric tau. Thus, BSC4090 may be an interesting candidate to detect AD at the early disease stages.

 $\textbf{Keywords} \ \ Alzheimer's \ disease \cdot Biomarker \cdot Tau \cdot Olfactory \ epithelia \cdot Methoxy-X04$

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Abbreviations

AD Alzheimer's disease AUC Area under the curve

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CERAD Consortium to Establish a Registry

for Alzheimer's Disease

CN Cognitively normal
CSF Cerebrospinal fluid
FCS Fetal calf serum

FPLC Fast protein liquid chromatography HSQC Heteronuclear single quantum

coherence

MMSE Mini-mental state examination
NIA-AA National Institute on Aging-Alzhei-

mer's Association

NFT Neurofibrillary Tangles

NINCDS-ADRDA National Institute of Neurological

and Communicative Disorders and Stroke and the Alzheimer's Disease and Related Disorders Association

NMR Nuclear magnetic resonance

OE Olfactory epithelia

PET Positron emission tomography
ROC Receiver-operating curve
SUVR Standard uptake value ratio
STD Saturation-transfer difference

Introduction

Alzheimer's disease (AD) is characterized by deposition of amyloid plaques and neurofibrillary tangles (NFTs) composed of aggregated tau protein [14, 21, 38]. AD pathology begins decades before clinical symptoms emerge [7, 23, 35]. Therefore, therapeutic interventions to prevent or slow down disease progression should start at the earliest possible time point. Thus, there is an urgent need for novel biomarkers to detect AD pathology in the prodromal or even preclinical disease stage. Whereas substantial progress has been achieved with PET tracers detecting amyloid plaques, biomarkers indicating tau pathology in AD are restricted to high levels of phosphorylated tau in cerebrospinal fluid (CSF).

Olfactory impairment is observed in many neurodegenerative disorders including AD [6, 16]. It precedes the onset of clinical symptoms by many years, constitutes a risk factor for mild cognitive impairment (MCI), and is associated with rapid cognitive decline [15, 40] and a high burden of tau pathology. AD pathology has been observed in the olfactory bulb but also in peripheral olfactory epithelia (OE) [4]. For example, tau immunoreactive dystrophic neurites [2, 37], pathologically phosphorylated tau and fibrillar tau deposits [3, 27, 43] were detected in post-mortem and bioptic OE tissue [36] derived from AD patients. However, the sensitivity to detect AD and the specificity to distinguish AD from controls were low. Of note, immunoreactivity against phosphorylated tau was absent in OE biopsies from five patients

with mild and moderate AD and may thus emerge only at later disease stages [22].

The fluorescent methoxy-XO4 derivative BSC4090 binds to NFTs in human AD brains with high affinity and specificity and colocalizes with NFT-tau phosphorylated at S202/T205 (AT8) [13]. BSC4090 also labels post-mortem OE from neuropathologically confirmed AD patients [13].

We, therefore, evaluated the potential of BSC4090 to detect tau pathology in OE biopsies of individuals in the early and prodromal AD stages.

Materials and methods

Standard protocol approvals, registrations, and patient consents

After written informed consent, nasal mucosa biopsies were collected at the University Medical Center Göttingen, Germany. Between 2012 and 2014, 12 subjects with a diagnosis of AD, 13 with a diagnosis of MCI, and 10 cognitively normal controls (CN) underwent biopsy (IRB approval 25/2/12 by the local ethics committee). All procedures involving human participants were in accordance with the 1964 Helsinki declaration and its later amendments.

CSF collection

Cerebrospinal fluid (CSF) samples were obtained from nine subjects with AD, 13 with MCI, and two from the CN group. All CSF samples were retrieved by lumbar puncture, collected in polypropylene tubes, and centrifuged at $2000\times g$ for 10 min at room temperature. Supernatants were aliquoted and frozen at -80 °C within 30 min of the procedure's completion.

Assessments

AD patients fulfilled the National Institute of Neurological and Communicative Disorders and Stroke and the Alzheimer's Disease and Related Disorders Association (NINCDS-ADRDA) criteria for probable AD. MCI diagnosis was based on the recommendations from the National Institute on Aging–Alzheimer's Association workgroups on diagnostic guidelines for Alzheimer's disease (NIA–AA) [1]. According to the NIA–AA criteria, a diagnosis of MCI due to AD was given based on the presence of AD biomarkers in CSF. Subjects fulfilling the clinical and neuropsychological criteria of MCI were classified as MCI due to AD if either CSF tau or CSF amyloid- β 42 or both were in the pathological range [1] with CSF tau levels above and/or CSF amyloid- β 42 concentrations below the cutoff. Cut-off



values were based on Dumurigier et al. [17], with 568 pg/mL as cutoff for CSF amyloid-β 42 and 307 pg/mL for CSF tau.

All participants with AD or MCI underwent brain MRI or cranial computer tomography imaging to rule out the other causes of dementia or cognitive impairment.

Neuropsychological assessments

AD, MCI, and CN control subjects were assessed with the Consortium to establish a registry for Alzheimer's disease (CERAD) Plus neuropsychological test battery [18, 32]. MCI was defined as the combination of memory complaints plus a performance of 1-1.5 standard deviations below the age norm on at least one cognitive domain, while activities of daily living were preserved [41]. CN controls were recruited from the departments of urology and otorhinolaryngology, head and neck surgery at the University Medical Center Göttingen, and included patients with prostate and bladder cancer or chronic sinusitis who underwent surgery with or without spinal anesthesia. Specimens of cognitively normal controls were collected from the endonasal mucosa in the olfactory area where endoscopically no sign of chronic sinusitis was detected. Patients recruited from the department of urology had no history of nasal pathology. Patients recruited from the department of otorhinolaryngology, head and neck surgery had mild chronic sinusitis without nasal polyps affecting single sinuses due to anatomical abnormalities (e.g., deviated nasal septum narrowing the ostiomeatal complex). This had no impact on the mucosa in the region where specimens have been collected. None of the CN controls fulfilled criteria for MCI or dementia nor suffered from a neurodegenerative disease. For patients' characterization, please refer to supplementary Table S1.

Sniffin sticks test

Olfactory function was evaluated using the Sniffin Sticks test battery consisting of a discrimination and an identification test [10]. For odor presentation, the cap of the pen was removed by the investigator for approximately 3 s and the odorized tip was placed approximately 2 cm in front of the subject's nostrils. All olfactory tests were performed bilaterally.

Biopsies of nasal mucosa

Specimens were taken randomly or at the side that was most easily accessible if a deviated nasal led to a narrow septum on one side. Local anesthesia was obtained by placing a cotton wool with Tetracaine hydrochloride 2% and Xylometazoline hydrochloride 0.1% in the nasal cavity above the middle turbinate. Consequently, an angled Blakesley forceps was introduced through the naris and advanced between

the septum and middle turbinate. The cutting edge was pressed against the olfactory mucosa and withdrawn with the mucosal specimen. The specimens were immediately placed on 4% paraformaldehyde in PBS for 1–2 days at 4 °C.

Immunohistochemistry and BSC4090 labeling

Fixed tissue was embedded in paraffin and serially sectioned at $1 \propto m$ intervals. Sections were deparaffinised and boiled for 30 min in 10 mM citrate buffer (pH 6.0). After 10 min incubation in 3% H₂O₂ and blocking for 30 min in 10% fetal calf serum (FCS), probes were stained with antibodies against hyperphosphorylated tau (AT8, 1:1000, Thermo Scientific; Germany). Sections were treated with biotin-conjugated anti-mouse immunoglobulins (Abcam) which were visualized by a horseradish peroxidase-diaminobenzidine (Sigma-Aldrich, Germany) reaction. All sections were additionally stained with hemalaun following standard protocols.

The divinylpyridazine derivate 4-((1*E*)-2-(6-(4-(dimethylamino)styryl)pyrimidin-4-yl)vinyl)-*N*,*N*-dimethylbenzylamin BSC4090 was synthesized and used for staining of adjacent sections as described previously [12]. Briefly, deparaffinized sections were incubated for 10 min at room temperature in 1 g/l BSC4090 dissolved in methanol. Sections were then washed for 1 min in distilled water and incubated in 1% acetic acid for 15 min at room temperature, followed by two 1 min washing steps in distilled water. Bowman glands were identified by their characteristic morphology.

Microscopy

Fluorescence images were acquired with a confocal laser scanning microscope (Leica SP2, Leica, Germany). BSC4090 was excited at 488 nm with identical laser settings for all the samples. DAB stained sections and images for quantitative analysis of BSC4090 were acquired at an inverse epifluorescence microscope (MEA53100 Ti-E, Nikon, Düsseldorf, Germany). Large-scale images to cover the complete section were composed by stitching single images, using the NIS Elements AR software (Nikon, Düsseldorf, Germany).

CSF analysis

The analysis of CSF biomarkers was performed by solidphase enzyme immunoassays for amyloid- β_{1-42} , total tau, and p-tau 181 (Innotest, Fujirebio Europe).

Data analysis

Data analysis was performed by a rater blinded to the diagnosis. For all BSC4090 labeled sections, adjacent sections were stained with haemalaun to verify the presence



of olfactory Bowman glands. At least three different sections were analyzed per participant. The BSC4090 ratio was calculated as the number of BSC4090 positive to the total number of Bowman gland cells from each section.

NMR spectroscopy

Proteins and chemicals

pNG2 plasmid with inserted coding sequences of the full-length tau isoform hTau40 and tau construct K18 (amino acids 244–372) were transformed into *E. coli* strain BL21(DE3) (Merck-Novagen, Darmstadt) [20]. Proteins were purified as described previously [5]. Uniformly ¹⁵N enriched htau40 sample was prepared by growing *E. coli* bacteria in minimal medium containing 1 g/l of ¹⁵NH₄Cl according to the established protocols [33, 34]. The compound BSC4090 was synthesized in-house [13].

Protein aggregation

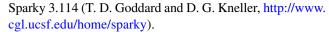
Aggregation of K18 was induced by incubating 10 μ M soluble K18 and 1 mM DTT by adding the anionic cofactor heparin (Sigma, Germany) in 50 mM sodium phosphate buffer, pH 6.8 at 37 °C, with a molar ratio of K18 to heparin of 4:1. The solution was incubated at 37 °C before NMR experiments for 30 min and continued at 25 °C for ~ 3 days during the course of NMR experiment. A parallel sample was used to monitor the formation of aggregates by electron microscopy.

Electron microscopy

Samples containing aggregates of K18 were diluted, deposited onto carbon-coated copper mesh grids and negatively stained with 1% (w/v) uranyl acetate. Samples were examined using a Philips CM 120 BioTwin transmission electron microscope (FEI, Eindhoven, The Netherlands). Pictures were taken with a TemCam F416 CMOS camera (TVIPS, Gauting, Germany).

NMR spectroscopy

BSC4090 was dissolved in DMSO-D6 at a concentration of 10 mM. NMR measurements were performed in 50 mM sodium phosphate buffer, pH 6.8, 10% D₂O and 3% DMSO-D6. Two-dimensional (2D) ¹H-¹⁵N heteronuclear single quantum coherence (HSQC) experiments [8, 9, 11] of tau in the presence and absence of the compound BSc4090 were recorded at 5 °C on a 700 MHz Bruker spectrometer equipped with a cryoprobe prodigy. The spectra were processed using Topspin 3.1 (Bruker) and analyzed using



To probe the interaction of the ligand BSC4090 with the aggregates/fibrils of K18 (tau244-372), saturation-transfer difference (STD) NMR spectra [25, 28] of BSC4090 with and without K18 aggregates were recorded. The concentrations of the ligand and K18 were 150 μ M and 10 μ M, respectively. 1D STD NMR spectra were recorded at 25 °C on a 600 MHz spectrometer equipped with a cryoprobe using a series of 40 equally spaced 50 ms Gaussian-shaped pulses for saturation of the protein, with a total saturation time of 1.5 s. To saturate the protein protons, on- and off-resonance frequencies were set to - 0.5 ppm and 60 ppm, respectively.

Results

Collection of olfactory mucosa biopsies

To test the potential of BSC4090 as a diagnostic antemortem marker of AD pathology, we obtained biopsies from study participants diagnosed with either AD, MCI or from CN controls. The cognitive state of each individual was assessed by the CERAD Plus test battery [32].

Intranasal biopsies were well tolerated. No adverse events such as excessive bleeding, pain, or olfactory nerve injury were observed. Tissue specimens were cut into 1 µm sections and every tenth section was labeled with BSC4090. Sections with less than 5 Bowman glands were excluded from analysis. Tissue volumes of at least 2 mm diameter and correct location of the biopsy from the nasal cavity above the middle turbinate allowed the quantification of gland pathology and were obtained after training of the biopsy procedure. An overview of demographic data including age, gender, and MMSE is given in Supplementary Table S1.

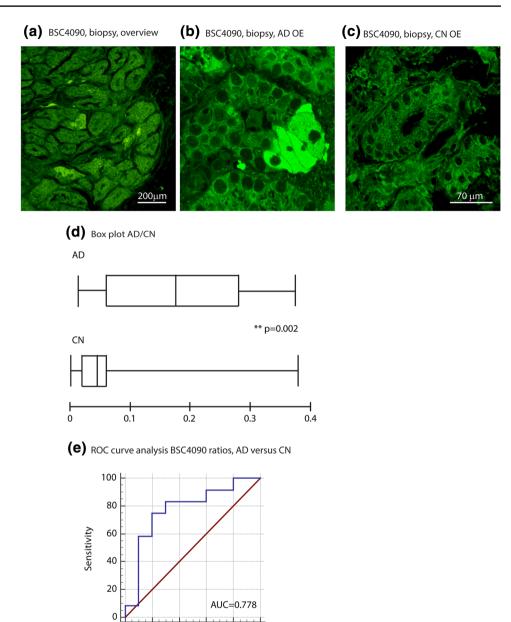
BSC4090 labeling of mucosal biopsies distinguishes between AD and CN controls

Evaluation of BSC4090 labeling was carried out by a rater blinded to the diagnosis. All 12 mild AD cases showed strong BSC4090 labeling of Bowman glands (Fig. 1a, b). Frequently, BSC4090 staining was restricted to several, mostly adjacent cells or single cells within Bowman glands. BSC4090 labeling was mainly homogenous or granular but never fibrillar. This staining pattern is similar to that described for post-mortem AD OE [12, 13].

BSC4090 staining was also detected in eight out of ten CN controls, albeit to a markedly lower extent (Fig. 1c). We next quantified the ratio of BSC4090 positive to the total number of Bowman gland cells from at least three different sections per biopsy (Fig. 1d). BSC4090 ratios were significantly higher in the AD group as compared to



Fig. 1 BSC4090 distinguishes between Alzheimer tissue (AD) and controls (CN). a Overview of BSC4090 labeling in olfactory mucosa of bioptic AD OE tissue. Scale bar 200 μm. **b** Higher magnification of BSC4090 positive Bowman glands in olfactory mucosa of bioptic AD OE tissue. Scale bar 70 um. c No BSC4090 labeling in a biopsy of a cognitively normal (CN) control (CSF biomarker negative). Scale bar 70 µm. d Box blot of BSC4090 ratios (=BSC4090 positive OE cells versus all OE cells) of AD and CN controls; p = 0.002. e ROC curve analysis was performed to evaluate the diagnostic performance of BSC4090 ratios to distinguish AD from CN controls (area under the curve AUC = 0.778, p = 0.0125)



20 40

60 80 100

100-Specificity

the CN group (AD: mean 0.18, n = 12; CN: mean 0.073, n = 10, p = 0.002; Mann–Whitney U test) (Fig. 1d). Receiver-operating curve (ROC) analysis revealed that the BSC4090 ratio distinguishes between AD and CN controls with 75% sensitivity and a specificity of 80%. The area under the curve (AUC) was 0.778 (p = 0.0125) (Fig. 1e). Of note, one participant from the CN group reached a BSC4090 ratio of 0.377 far above the mean value of this group (0.076). It is tempting to speculate that this finding may indicate a preclinical stage of AD, although CSF data were not available for this participant to further support this notion.

MCI due to AD is detected by BSC4090 staining

Based on CSF amyloid- β 42 and tau levels, we divided the MCI group into MCI due to AD (n = 6) and MCI with other causes (n = 7) (Fig. 2a, b). The BSC4090 ratio in the MCI due to AD group was significantly higher than in the MCI with other causes' group (MCI due to AD, mean 0.158; MCI other causes, mean 0.060, p = 0.0385; Mann–Whitney U test) (Fig. 2b). Of note, the BSC4090 ratios for MCI with other causes were similar to those of the CN group (MCI other causes, mean 0.060, compared to CN, mean 0.073, p = 0.928, Mann–Whitney U test). BSC4090 ratios detected



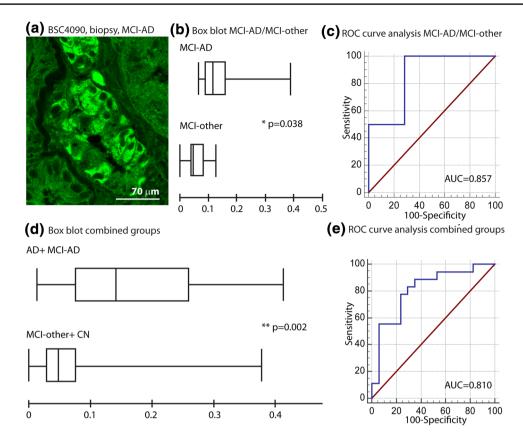


Fig. 2 BSC4090 distinguishes between MCI due to AD and MCI caused by other reasons. **a** BSC4090 staining of Bowman glands in olfactory mucosa biopsies of participants with MCI due to AD. Scale bar 70 μ m. **b** Box blot analysis of BSC4090 ratios of olfactory mucosa biopsies of participants with MCI due to AD (upper bar) versus MCI caused by other reasons (lower bar); p=0.038. **c** ROC curve of bioptic olfactory mucosa BSC4090 ratios to distinguish MCI due

to AD from MCI caused by other reasons (AUC 0.857, p=0.0015). **d** Boxplot of BSC4090 ratios in olfactory mucosa biopsies of AD and MCI due to AD (AD pathology group) compared to the MCI caused by other reasons and CN group (control group) (p=0.002). **e** ROC analysis of BSC4090 ratios to distinguish between AD and MCI due to AD (AD pathology group) compared to the MCI caused by other reasons and CN group (control group)(AUC 0.81, p<0.0001)

AD due to MCI with a sensitivity of 100% and distinguished it from MCI with other causes with a specificity of 71.4%. ROC analysis revealed an AUC value of 0.857~(p=0.0015) (Fig. 2c). We then combined AD and MCI due to AD into an "AD pathology group" and MCI with other causes and CN into a joint "control group". Figure 2d shows box plots of these two groups with a mean BSC4090 ratio of 0.172 in the "AD pathology group" and 0.068 in the "control group" (p=0.002, Mann–Whitney U test) (Fig. 2d). The sensitivity of BSC4090 ratios to detect AD pathology amounted to 77.8% and the specificity was 76.5%. ROC analysis revealed an AUC of 0.810 (p < 0.0001) (Fig. 2e).

BSC4090 ratio correlates with CSF levels of ptau 181

We next tested whether the percentage of BSC4090 positive OE cells increases with disease progression. To this end, we correlated BSC4090 ratios to CSF levels of tau as a marker of neuronal injury and tau phosphorylated at T181 (ptau181) as a more specific measure of AD tau

pathology [19]. However, we did not observe a significant correlation between CSF levels of total tau and BSC4090 ratios (Fig. 3a). In contrast, CSF concentrations of ptau181 were positively correlated with the BSC4090 ratio over all diagnostic groups (Pearson correlation r = 0.438846, p = 0.0466, n = 21) (Fig. 3b). BSC4090 ratios did not correlate with CSF amyloidβ-42 concentrations (Pearson correlation 0.20, p = 0.35, n = 22) (Fig. 3c) nor with Mini-Mental State Examination (MMSE) scores (Pearson correlation -0.22, p = 0.29, n = 35, all diagnostic groups) (Fig. 2d). Pearson correlation between MMSE and BSC4090 ratios amounted to -0.07 in the combined AD + MCI due to AD group (n.s., n = 17, data not shown) and to -0.05 in the AD group (n.s., n = 11, data not shown). MMSE scores may not accurately reflect cognitive impairment in MCI due to ceiling effects. Unfortunately, a possible correlation of CERAD test battery subscores with BSC4090 ratios could not be calculated due to the low number of subjects in the MCI due to AD group (n=6)and bottom effects in the AD group (data not shown).



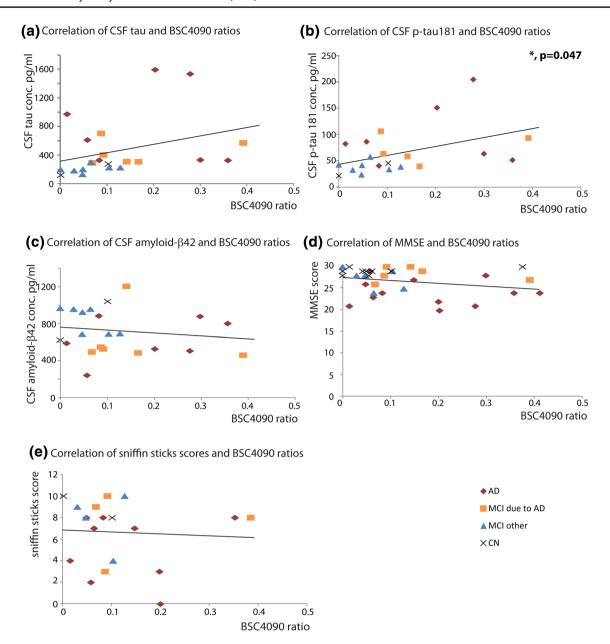


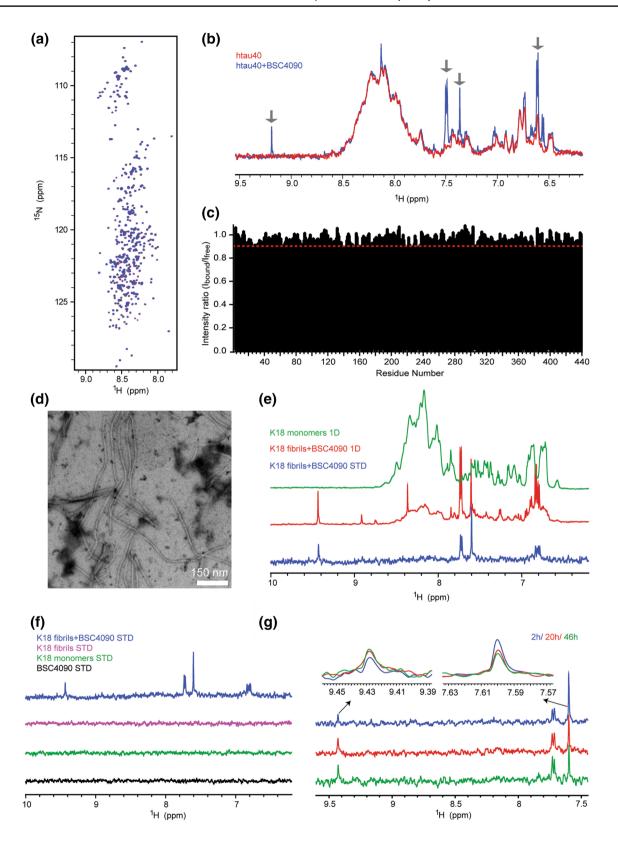
Fig. 3 BSC4090 ratios correlate with CSF concentrations of p-tau 181. **a** No correlation of CSF tau concentrations with corresponding BSC4090 ratios in olfactory mucosa biopsies. Pearson correlation coefficient r=0.333, p=0.129, n=22 across all diagnostic groups for which CSF was available. **b** BSC4090 ratio of olfactory mucosa biopsies blotted against the corresponding CSF concentration of tau phosphorylated at T181, n=21 across all diagnostic groups for which CSF was available. Pearson correlation coefficient r=0.439, p=0.0466. **c** No correlation of CSF amyloid-β42 concentrations and

BSC4090 ratios. Pearson correlation coefficient r=0.333, p=0.129, n=22 across all diagnostic groups for which CSF was available. **d** No correlation between MMSE scores and BSC4090 ratios. Pearson correlation coefficient r= - 0.271, p=0.117. n=35 across all diagnostic groups for which MMSE scores were available. **e** No correlation of sniffin sticks scores with BSC4090 ratios. Pearson correlation coefficient r=0.063, p=0.798. n=19 across all diagnostic groups for which sniffin sticks scores were available

Interestingly, BSC4090 ratios did also not correlate with olfactory dysfunction as quantified by the sniffin sticks test (Pearson correlation -0.088, p = 0.72, n = 19) (Fig. 3e), although it cannot be excluded that olfactory dysfunction in the AD group rather reflects cognitive impairment than

the ability to detect the odor. Importantly, BSC4090 ratios did not significantly correlate with age in the joint CN and MCI non AD group, indicating that age per se is not the reason for the higher values observed in the AD and MCI due to AD groups (data not shown).







∢Fig. 4 BSC4090 binds to fibrillar and pre-fibrillar tau. **a, b** Twodimensional ¹H-¹⁵N HSOC NMR and one-dimensional ¹H NMR spectra of full-length, 4-repeat tau (htau40) with and without BSC4090. An overview on recombinant tau constructs is given in suppl. Fig. S1. Htau40 spectra are depicted in red and htau40 with BSC4090 in blue. Gray arrows indicate ¹H NMR signals originating from BSC4090. c NMR signal intensity ratios of cross peaks in the two-dimensional ¹H-¹⁵N HSQC NMR spectra of htau40 with and without BSC4090 were above 0.9 (dashed red line). d Electron micrograph (EM) of K18 fibrils generated by co-incubation of monomeric K18 with heparin. Scale bar 150 nm. e Identification of the protons in BSC4090 involved in binding with K18 fibrils. Comparison of the 1D NMR spectrum of K18 monomers (green) with the 1D (red) and STD NMR spectra (blue) of K18 fibrils in the presence of BSC4090. f Comparison of STD NMR spectra of K18 fibrils with and without BSC4090. Blue: K18 fibrils BSC4090 STD, magenta: K18 fibrils STD, green: K18 monomers STD, black: BSC4090 STD. g Comparison of STD NMR spectra during fibril formation. K18 was incubated with heparin for 2 h (blue), 20 h (red), and 46 h (green). Fibril formation was tested by EM, which detected no fibrillar tau after 2 h in contrast to abundant fibril formation after 46 h (suppl. Fig. S1). STD NMR spectra indicate that BSC4090 binds to K18 coincubated with heparin for 2 h, e.g., in the absence of fibrils, whereas BSC4090 does not bind to K18 monomers (f)

BSC4090 binds to pre-fibrillar and fibrillar tau

Since the BSC4090 staining pattern in Bowman glands did not resemble fibrillar morphology, we wondered whether BSC4090 would detect pre-fibrillar tau. Towards this end, we performed NMR spectroscopy of recombinant full-length tau (htau40, suppl. Fig. S1) with and without BSC4090. ¹H-¹⁵N HSQC NMR spectra indicated that BSC4090 does not bind monomeric tau (Fig. 4a-c). In contrast, STD spectra of tau fibrils, which were assembled from the four repeat region of recombinant human tau (K18, suppl. Fig. S1) in vitro and either measured in the absence or presence of BSC4090, suggested the binding of BSC4090 to fibrillar tau (Fig. 4d–g). The observed STD signals arise only from the protons of BSC4090 involved in binding (Fig. 4f). Comparison of STD NMR spectra of K18 measured in the presence of BSC4090 at different time points during in vitro aggregation revealed no major differences between K18 incubated for 2, 20 or 46 h (Fig. 4g). As shown by electron microscopy, K18 readily formed filaments after 46 h, whereas no filamentous structures were detectable after 2 h of incubation (suppl. Fig. S2). This indicates that BSC4090 also binds pre-fibrillar intermediates of tau in addition to fibrillar tau aggregates.

Discussion

Here, we find that the methoxy-X04 derivative BSC4090 detects tau pathology in OE biopsies already in the early disease stages such as MCI. In our pilot study, the ratio of BSC4090 positive Bowman gland cells distinguishes both AD dementia and MCI due to AD from cognitively

unaffected controls and MCI caused by other diseases than AD. Furthermore, the BSC4090 ratio correlates with the CSF concentrations of phosphorylated tau (ptau181), a marker of AD-related tau pathology. Thus, BSC4090 staining of olfactory mucosa biopsies could be a potential biomarker for the detection of tau in prodromal and early AD and probably even in preclinical disease stages, especially as the procedure is cost-effective and safe. We did not observe any serious side effects and olfactory mucosa is easily accessible by transnasal biopsy in an outpatient setting within an approximately 30 min time period. Analysis of BSC4090 ratios resulted in the AUC values comparable to that of established CSF biomarkers and both sensitivity and specificity of BSC4090 could be further optimized by chemical modification. Importantly, BSC4090 labeling was already positive in the early disease stages.

Several previous studies support the notion that olfactory mucosa may reflect pathology of the central nervous system, e.g., in Parkinson's disease, schizophrenia, and rodent tauopathy models [26, 31, 39, 42]. Interestingly, Moon et al. found higher levels of miRNA-206 in olfactory mucosa in MCI and even more in manifest AD as compared to controls, thus supporting the suitability of olfactory mucosa tissue as a possible biomarker [30].

The high proportion of cognitively normal individuals who showed at least some BSC4090 positive OE cells could partially be explained by preclinical AD pathology in the CN group. Based on a recent meta-analysis including 2914 subjects with normal cognition, Jansen et al. reported a 10–44% prevalence of AD pathology defined by either positive amyloid-β PET imaging or pathological CSF biomarkers in the age group between 50 and 90 years [24]. Autopsy studies revealed even higher rates of AD pathology in cognitively normal individuals, ranging from 10% to as much as 60% [29].

One of the limitations of our study is the lack of clinical longitudinal follow-up data to assess potential conversions from MCI to AD or cognitive decline from CN to MCI and/or AD. As clinical follow-up data were not available in all the cases and the maximum follow-up interval was 2 years, we had to rely on a CSF biomarker-based distinction between MCI due to AD and MCI due to other causes [1]. It is likely that longitudinal follow-up data on MCI progression would have resulted in a better specificity of the BSC4090 marker, as would have post-mortem verification of diagnoses. During the 2 year period following this study, two of the six subjects in the MCI due to AD group clinically converted to AD dementia. Both showed the initial BSC4090 ratios well above the cut-off value (0.065) with BSC4090 ratios of 0.392 and 0.87, respectively. It would have been interesting to follow whether other participants in the MCI and CN groups with BSC4090 ratios above the cut-off value would later on progress towards AD dementia. Another limitation



of our study is the small cohort size. Clearly, our results warrant a biomarker-aided, prospective, and longitudinal cohort with a higher number of participants, ideally with post-mortem verification of diagnoses, to further validate our findings.

In OE, the staining pattern of BSC4090 was never fibrillar and also negative for Thioflavin T which binds to β -sheet structures present in amyloid- β or tau fibrils (data not shown). As our in vitro NMR data suggest that BSC4090 can bind to both, fibrillar and pre-fibrillar tau species, but not to monomeric tau, BSC4090 positive tau in OE is most likely pre-fibrillar. Binding of BSC4090 to pre-fibrillar tau aggregates may also explain why BSC4090 detects very early stages of disease pathology.

In an in vitro assay to test BSC4090 binding affinities to recombinant tau and amyloid-β aggregates, the IC50 values for BSC4090 were 4 and 54 nM, respectively [13]. This indicates that the methoxy-X04 derivative BSC4090 has a high affinity and selectivity for aggregated tau versus amyloid-β fibrils. We observed partial colocalisation of BSC4090 with tau phosphorylated at S202/T205 (AT8) (suppl. Fig. S3). However, we could not detect amyloid-β pathology in our OE biopsies as immunostaining with 6E10 antibody against amino acids 3-8 of the amyloid-β peptide revealed no 6E10 positive olfactory epithelial cells (data not shown). We cannot rule out that BSC4090 labeling of OE glands is caused by unspecific binding of BSC4090 to other proteins than tau, although, in post-mortem brain, BSC4090 labeling patterns resembled the typical morphology of NFTs and colocalized with AT8 tau staining [13].

Conclusions

Although the number of subjects in our study is limited and a longitudinal observation of disease progression would have been desirable, we provide the evidence that (1) OE biopsies are a feasible diagnostic approach, (2) BSC4090 positive pathology is present in OE in prodromal and clinical AD and (3) BSC4090 may be applicable to ante mortem diagnosis and even may bear the potential to be employed as a prognostic marker in prodromal and preclinical AD. However, biomarker-aided longitudinal cohorts are required to better evaluate the diagnostic and prognostic potential of BSC4090 and other methoxy-XO derivatives, as well as indepth analysis of potentially unspecific binding sites.

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Author contributions HP, MaC, MZ, EM, and AS: conception and design of the study. FI, BW, JT, HK, MC, MK, DR, FL, PW, CB, SH, SR, ES, LT, BS, MZ, MoC, and AS: acquisition and analysis of data. MZ, EM, and AS: drafting the manuscript. All authors read and approved the final manuscript.

Compliance with ethical standards

Conflict of interest Dr. Schneider received research support from Actelion which was unrelated to the present study. She is a principal investigator in industry-sponsored clinical trials for Merck MSD, Eli Lilly, Biogen and Ionis. Dr. Pellkofer Dr. Ihler, Dr. Weiss, J. Trothe, Dr. Kadavath, M. Chongtham, Dr. Kunadt, Dr. Riedel, F. Lornsen, Dr. Wilken, Dr. Bartels, S. Hirschel, S. Russo, E. Stransky, Dr. Trojan, Dr. Schmidt, Dr. Mandelkow, Dr. Zweckstetter, and Dr. Canis reported no potential conflicts of interest relevant to this manuscript.

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