

Microtubule instability driven by longitudinal and lateral strain propagation

Maxim Igaev^{1,*}, Helmut Grubmüller^{1,*}

¹ Max Planck Institute for Biophysical Chemistry, Am Fassberg 11, D-37077 Göttingen, Germany

* E-mail: migaev@mpibpc.mpg.de or hgrubmu@gwdg.de

Abstract

1 Tubulin dimers associate longitudinally and laterally to form metastable microtubules (MTs). MT
2 disassembly is preceded by subtle structural changes in tubulin fueled by GTP hydrolysis. These
3 changes render the MT lattice unstable, but it is unclear exactly how they affect lattice energetics
4 and strain. We performed long-time atomistic simulations to interrogate the impacts of GTP
5 hydrolysis on tubulin lattice conformation, lateral inter-dimer interactions, and (non-)local lateral
6 coordination of dimer motions. The simulations suggest that most of the hydrolysis energy is
7 stored in the lattice in the form of longitudinal strain. While not significantly affecting lateral bond
8 stability, the stored elastic energy results in more strongly confined and correlated dynamics of
9 GDP-tubulins, thereby entropically destabilizing the MT lattice.

10 Introduction

11 Microtubules (MTs) are one of the major components of the eukaryotic cytoskeleton and essential
12 for intracellular transport, cell motility, and chromosome separation during mitosis. These are
13 filamentous assemblies of $\alpha\beta$ -tubulin dimers stacked head-to-tail in polar protofilaments (PFs)
14 and folded into hollow tubes via lateral interactions [1,2] (Fig. 1a). Each dimer binds two GTP
15 molecules of which only the one bound to β -tubulin is hydrolyzed in the MT lattice over time [3,4].
16 This hydrolysis reaction is fundamental to MT dynamic instability [5], *i.e.* random switching
17 between phases of growth and shrinkage (Fig. 1a). Remarkably, both slow assembly and rapid
18 disassembly of MTs – the latter termed *catastrophe* – are able to perform mechanical work because
19 each tubulin dimer is a storage of chemical energy [6–8].

20 The switch from a relaxed 'curved' conformation of tubulin favored in solution to a higher-energy
21 'straight' one is inherent to MT assembly [9–15]. It allows growing MTs to recruit and temporarily
22 stabilize GTP-tubulin in the straight form, most likely due to the greater bending flexibility of GTP-
23 PFs at intra- and inter-dimer interfaces [13,16–18]. It is therefore conceivable that collapsing MTs
24 would follow a reverse pathway during disassembly; namely, they would release the conformational
25 tension stored in GDP-tubulins that lateral bonds can no longer counteract. However, due to
26 the system complexity and together with the inability of modern structural methods to directly
27 visualize all sequential steps in the GTPase cycle in the straight MT body at high resolutions, it is
28 still unknown exactly how and where the hydrolysis energy is converted to mechanical strain in the
29 lattice.

30 Recent high- and low-resolution structural studies have revealed, in line with the early finding [19],
31 that the use of a non-hydrolyzable GTP analog, GMPCPP, for MT assembly results in a more
32 expanded MT lattice compared to a fully hydrolyzed GDP-lattice [20–24], which is commonly
33 interpreted as the lattice response to GTP hydrolysis (Fig. 1b). Because by itself this global lattice
34 rearrangement does not fully indicate how and whether at all it is linked to GTP hydrolysis and

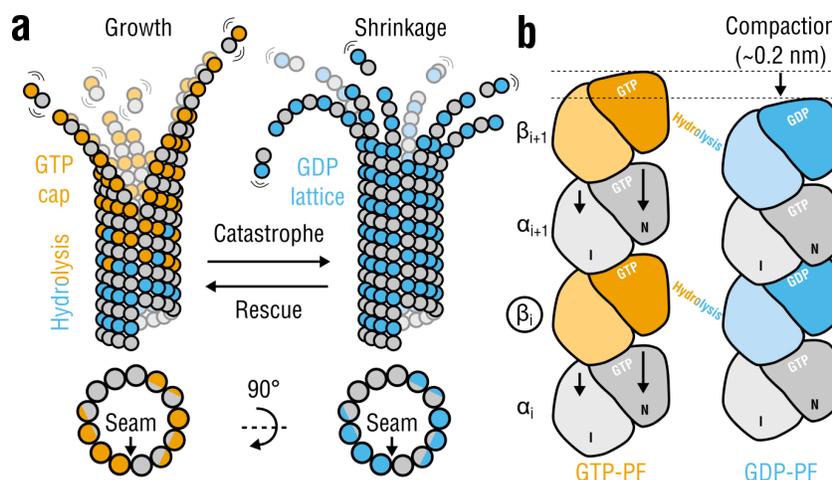


Figure 1. Tubulin life cycle and lattice compaction upon GTP hydrolysis. **a**, Cartoon representation of structural intermediates in MT assembly and disassembly. Individual dimers are composed of α -tubulins (gray circles) and β -tubulins (orange circles when GTP-bound or cyan circles when GDP-bound). Lattice cross-sections (bottom) indicate the location of the seam interface. **b**, Local conformational changes proposed to accompany GTP hydrolysis are shown schematically (viewed from within the lumen). Each monomer is illustrated as two domains: intermediate or I and nucleotide-binding or N (C-terminal domains are not shown). Rearrangements in α -tubulin around the nucleotide-binding pocket at the inter-dimer interface result in a ~ 0.2 -nm lattice compaction. The PFs are aligned with respect to monomer β_i (marked with a circle). Other more subtle changes (*e.g.*, PF twisting) or intermediate nucleotide states (*e.g.*, GDP-Pi) are not shown for simplicity.

35 strain accumulation at the single-dimer level, several competing models of MT cap maturation and
 36 MT disassembly have been proposed. According to the *seam-centric* or *strain* model [20–22], the
 37 gradual build-up of longitudinal tension along the lattice upon GTP hydrolysis is the primary source
 38 of MT instability, where the lateral interfaces play only a passive role. In this model, lattice rupture
 39 is initiated at the seam because of the greater distance, and presumably weaker interactions, between
 40 PFs at this interface observed in unsymmetrized cryo-EM reconstructions. In contrast, the *holistic*
 41 or *bond* model [23, 25] assumes that MT catastrophe can be explained by a sequential weakening of
 42 lateral inter-dimer contacts accompanied by a simultaneous strengthening of longitudinal contacts.
 43 Here, the exceptional mechanical weakness of the seam, which has been challenged recently [26],
 44 is not a prerequisite for MT catastrophe. Finally, the most recent ‘*no expansion*’ model [24]
 45 provides an alternative view of the cap maturation process in which both pure GTP- and pure
 46 GDP-MTs have equally compacted lattices, while the higher-energy expanded lattice induced by
 47 GTP hydrolysis (mimicked by GMPCPP) corresponds to an intermediate, phosphate-releasing
 48 state. This model is partially supported by the observation that the extent of lattice compaction
 49 differs across different eukaryotic species [27].

50 The coexistence of the three models originates from the fact that the interplay between tubulin
 51 intrinsic strain and lateral binding inside the straight MT body is largely unclear. Indeed, the subtle
 52 changes in lattice compaction and dimer-dimer contacts would be best studied within straight PF
 53 assemblies in the presence or absence of lateral neighbors and conditioned on a fixed nucleotide
 54 state, which has not yet been achieved. This has prompted us to assess the mechanochemistry
 55 of both lattice compaction and lateral inter-dimer coupling using extensive molecular dynamics
 56 (MD) simulations of (i) isolated PFs, (ii) standard (homotypic) double-PF systems, as well as
 57 (iii) three-PF lattice patches. In all cases the PFs are locked in the straight conformation due to
 58 the use of periodic boundaries along the MT axis. Essentially and by construction, this setup

59 allows to probe both mechanics and lateral cooperativity of *individual* dimers embedded in straight
 60 lattice regions distant from the dynamic tip. Because the three models of MT disassembly assume
 61 different properties of assembled tubulins and their lateral interactions, it is hence possible to test
 62 all models directly. By focusing on small, controllable MT-like subsystems, our simulations provide
 63 new insights into the lattice mechanics and energetics that drive MT disassembly.

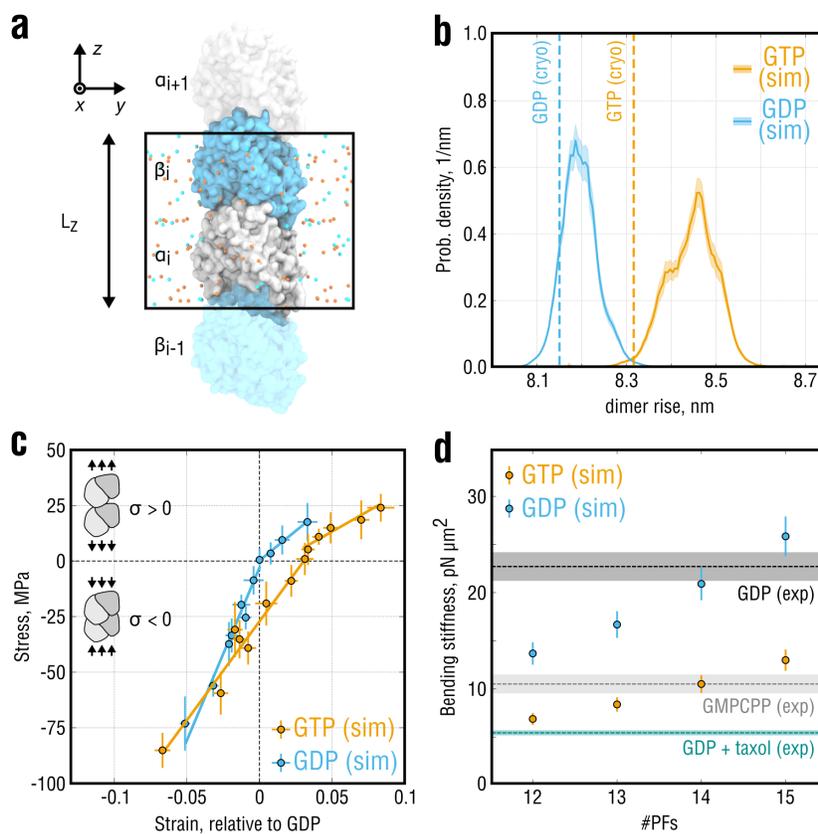


Figure 2. Elastic properties of isolated 'infinite' PFs. **a**, Simulation setup for the single-PF system. α -tubulin (gray) and β -tubulin (cyan) are shown in surface representation. Potassium and chloride ions are shown as orange and cyan spheres, respectively. Water molecules are hidden for clarity. Periodic box with the axial dimension L_z is marked by a black rectangle. **b**, Equilibrium probability distributions of the dimer rise in the PFs obtained from stress-free simulations of the system in **a**. Shaded areas show statistical uncertainties of the distributions estimated with umbrella sampling. Dashed lines indicate the dimer rise values observed in the cryo-EM densities of GMPCPP- and GDP-MTs. **c**, Stress-strain curves calculated for the system in **a** in both GTP- (orange) and GDP-state (cyan). Strain is computed relative to the equilibrium dimer length of GDP-PF, and negative (positive) stresses correspond to PF compression (extension). Only separate fits to the positive and negative stress ranges are shown. **d**, Bending stiffness parameters of GTP- and GDP-MTs calculated using the elastic moduli in **b** (all stress values) and for varying PF numbers (orange and cyan dots, respectively). Experimental values (dashed lines with shaded areas) represent inverse-variance weighted means and standard deviations that combine multiple independent thermal fluctuation measurements summarized in [28] and recently updated in [29].

64 Results

65 GTP hydrolysis in β -tubulin stiffens individual PFs

66 If MTs accumulate longitudinal elastic strain upon GTP hydrolysis, one would expect them to
67 change the mechanical properties of individual PFs also in the absence of lateral interactions. We
68 therefore asked how the nucleotide state affects both equilibrium conformation and elasticity of
69 isolated PFs and how much mechanical energy can be potentially stored in a single dimer upon
70 GTP hydrolysis. The recent cryo-EM reconstructions of MTs in non-hydrolyzable GMPCPP-
71 (mimicking GTP) and GDP-state [21, 22] enabled us to construct atomistic models of isolated
72 PFs (Fig. 2a) using correlation-driven molecular dynamics [30] and to assess their equilibrium and
73 elastic properties by atomistic MD simulations (see Methods for details on system preparation,
74 cryo-EM model refinement, simulation protocol).

75 To assess the equilibrium properties of isolated PFs at room temperature, we first performed
76 multiple simulations of GTP- and GDP-PFs totaling $\sim 23 \mu\text{s}$ and $\sim 13 \mu\text{s}$, respectively, following
77 a previously published protocol [31]. We monitored the dynamics of both tubulin dimer shape
78 and axial periodic box size L_z , *i.e.* the lattice spacing (Fig. 2a). There are three possibilities
79 how the conformation of the PF could contribute to an increase or decrease of L_z : (a) changes
80 at the intra-dimer interface between α - and β -subunits belonging to the same dimer (referred to
81 as 'dimer spacing'), (b) changes at the inter-dimer interface between α - and β -subunits belonging
82 to neighboring dimers along the PF axis (referred to as 'PF spacing'), and (c) changes in the
83 shapes of α - and β -subunits due to elastic deformations. We then employed the Functional Mode
84 Analysis [32, 33] to train a regression model on the dynamics of L_z and to derive a reaction
85 coordinate that best describes the compaction/expansion dynamics of the PF in equilibrium (see
86 Methods). This reaction coordinate, that we termed '*dimer rise*' for consistency with cryo-EM
87 experiments, was used in all subsequent free energy calculations.

88 Figure 2b shows the equilibrium probability distributions of the dimer rise as a function of the
89 nucleotide state computed with additional umbrella sampling simulations ($\sim 114 \mu\text{s}$ of cumulative
90 simulation time; see Methods). Both GTP- and GDP-PFs slightly increased the lattice period
91 during the simulations relative to their initial cryo-EM conformations. This slight elongation might
92 be caused by thermal expansion of the 70 – 80 K cryo-EM structures after re-equilibration at room
93 temperature. This possibility is supported by the observation that the relative elongation is largely
94 independent of system size and sampling, as will be seen further. However, GTP-PFs maintained a
95 significantly longer dimer rise compared with GDP-PFs ($+0.25 \pm 0.07 \text{ nm}$), consistent with the
96 experimentally observed difference of $\sim 0.2 \text{ nm}$. In the following, we will refer to these two states as
97 *expanded* and *compacted*. Hence, it is likely that the difference between the global states of MT
98 structure seen by cryo-EM reflects a local response of tubulin to GTP hydrolysis; otherwise, it
99 would vanish in the absence of lateral contacts.

100 Further, GTP-PFs sampled a wider range of dimer rise values as indicated by the distribution
101 widths in Fig. 2b, which suggests that GTP-PFs are mechanically more flexible. We previously
102 showed that, when placed in solution, GTP-tubulin exhibits higher bending flexibility than GDP-
103 tubulin [17]. It was therefore surprising that, when tubulin was locked in the straight MT-like
104 conformation, also the longitudinal elasticity of the dimer was affected by the nucleotide state.

105 To quantify the mechanical elasticity of the system in Fig. 2a, we performed a set of steady-state
106 compression/extension simulations at constant values of the axial component P_{zz} of the pressure
107 tensor (along the PF). Figure 2c shows the obtained strain-stress curves, where the strain was
108 computed relative to the equilibrium conformation of GDP-PFs. Irrespective of the nucleotide state,
109 the stress-strain data clearly falls into two elastic regimes: a rather soft response for positive stresses
110 (extension) and a much stiffer response for negative stresses (compression). This previously observed
111 behavior of GDP-tubulin [31], which we here confirmed using higher quality structures of both
112 nucleotide states and wider strain ranges, emerges likely because different parts of the heterodimer
113 are involved in the mechanical response upon compression or extension. Whereas extension mainly
114 stretches the inter-dimer and, to a lesser extent, intra-dimer interfaces, compression forces individual

115 monomers to change their shapes, causing much more resistance. We therefore analyzed the positive
116 and negative strain ranges separately.

117 A linear fit to the negative stress data of the GTP- and GDP-PF simulations yielded elastic
118 moduli of 0.89 ± 0.07 GPa and 1.77 ± 0.13 GPa, respectively. Fitting to the positive stress data
119 yielded systematically smaller moduli of 0.37 ± 0.07 GPa (GTP-PF) and 0.53 ± 0.03 GPa (GDP-PF).
120 A fit to the entire stress range analyzed in our simulations resulted in values of 0.82 ± 0.06 GPa
121 (GTP-PF) and 1.55 ± 0.15 GPa (GDP-PF) that agreed better with the moduli obtained by fitting
122 the negative stress data only. Whereas the single-PF system tolerated high compression stresses
123 up to $P_{zz} = +200$ bar without undergoing plastic deformations and irrespective of the nucleotide
124 state, this was not the case for extension stresses. GTP-PFs withstood stretching up to $P_{zz} = -65$
125 bar without rupturing at the inter-dimer interface in the course of our simulations ($\sim 1 \mu\text{s}$ each). In
126 contrast, GDP-PFs ruptured already at stress values below $P_{zz} = -40$ bar, implying that a lower
127 force is likely sufficient to break the longitudinal bond. Although more sampling would be required
128 to investigate PF rupture pathways, our stress-strain data (Fig. 2c) together with the equilibrium
129 free energy calculations (Fig. 2b) support the interpretation that straight GDP-PFs are stiffer than
130 GTP-PFs while they might possess more fragile inter-dimer longitudinal bonds.

131 The factor of two difference in the elastic moduli of GTP- and GDP-PFs is remarkable given the
132 high structural similarity of the two conformational states. Careful review of existing measurements
133 of MT bending mechanics reveals that, despite variations in the experimental protocols and
134 theoretical models used to analyze such data, MTs are intrinsically softer when polymerized in the
135 presence of GMPCPP and/or Taxol [28,29]. This is reflected, *e.g.*, in a significantly distinct bending
136 stiffness $E \times I$, where E is the elastic modulus and I is the second moment of the cross-sectional
137 area of the MT. We therefore asked if the nucleotide-dependent elasticity of PFs (Fig. 2b,c) might
138 explain the experimentally observed differences in coarse-grained elastic properties of MTs.

139 Bending stiffness of MTs is typically obtained by monitoring and quantifying their equilibrium
140 fluctuations or by directly applying a force to bend MTs and then measure their resistance (e.g., by
141 using optical tweezers) [28]. It is known that in thermal fluctuation experiments, MTs behave on
142 average stiffer than in force-probing experiments [28,29]. It has been proposed that this discrepancy
143 can be reconciled by taking into account that large deformations caused by external forces acting on
144 MTs could surpass the elastic limit, which would lead to non-elastic deformations of tubulin dimers
145 and/or breakage of inter-dimer contacts [29]. Because such events are, by construction, unlikely
146 to happen in our simulation setup, we compared our results only with equilibrium fluctuation
147 experiments, where tubulin dimers are mostly subject to small-strain elastic deformations.

148 Figure 2d compares bending stiffnesses of hypothetical MTs with varying PF numbers calculated
149 using our data in Fig. 2c (all stress values) and consensus values calculated as precision-weighted
150 averages from a pool of independent experimental measurements (reviewed in [28] and recently
151 updated in [29]; see Methods). The comparison revealed that a good agreement between the
152 experimental data and our calculations can only be achieved if the PF number is approximately 14
153 for both GTP- and GDP-MTs. It is known that MT mechanics is highly sensitive to changes in
154 the PF number (see Discussion in [34]). Most MTs polymerized *in vitro* without co-factors and
155 MT-binding drugs possess 14 PFs [35], with ratios of 13-PF to 14-PF MTs reaching approximately
156 1:9 for GDP-MTs and 1:3 for GMPCPP-MTs [21,22]. Assuming that tubulin axial elasticity does
157 not depend on the PF number, the two-fold higher bending stiffness of GDP-MTs can be accounted
158 for almost entirely by a two-fold higher elastic modulus of GDP-PFs, at least for small-strain
159 deformations.

160 Finally, the good agreement of our elasticity calculations with experimental knowledge allowed
161 us to estimate that a free energy of $\Delta G_{el} \approx 11.6 k_B T$, where k_B is the Boltzmann constant, would
162 be stored in a GTP-PF per dimer when mechanically compressed to the state of a GDP-PF (see
163 Supplementary Material). Remarkably, this energy is very close to both the energy harvested by
164 MTs upon GTP hydrolysis [19,36] and the maximal excess energy that can be stored in an MT
165 lattice to maintain one of the most favorable configurations ($\sim 11 k_B T$ per dimer for MTs with
166 13 or 14 PFs [37–39]). Together with the consistency of our calculated elastic moduli with the

167 observed softening of GMPCPP-MTs or Taxol-stabilized MTs *vs.* GDP-MTs, this strongly suggests
 168 that almost the entire energy available from GTP hydrolysis is stored in the MT lattice in the form
 169 of longitudinal elastic strain. We note that, during model preparation, the GMPCPP molecules
 170 were manually converted to GTP, and the starting structures were allowed to adapt to this change
 171 in the subsequent production simulations (see Methods). However, it cannot be ruled out that
 172 some effects of GMPCPP on the dimer conformation may still remain. Nevertheless, in the absence
 173 of alternative high-resolution models of the putative GTP state, we consider our tubulin model a
 174 sufficiently good approximation of the true GTP-tubulin structure in MTs.

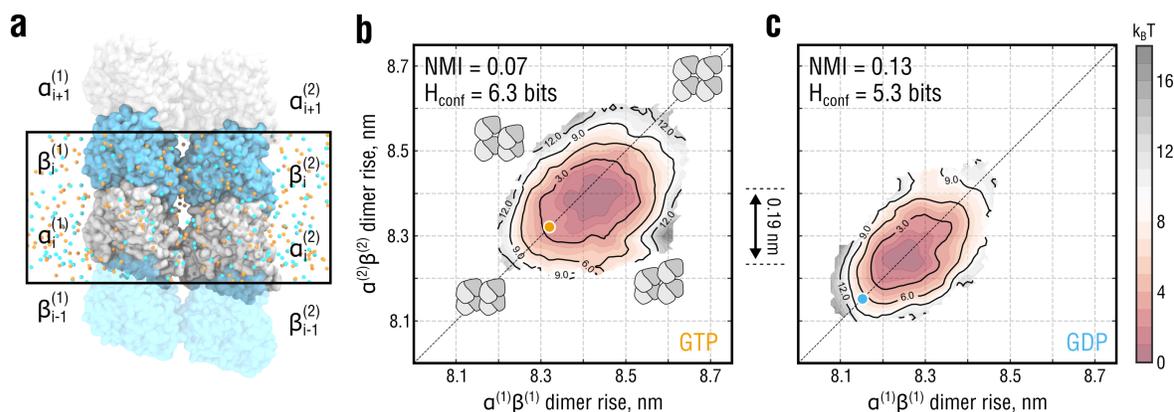


Figure 3. Lateral coupling and nucleotide state affect PF dynamics. **a**, Simulation setup for the double-PF system mimicking a standard (homotypic) lateral interface. Color coding as in Fig. 2a. Water molecules are hidden for clarity. Periodic box is marked by a black rectangle. Individual PFs are labeled as (1) and (2). **b** and **c**, Free energy surfaces of the system in **a** as a function of dimer rise and nucleotide state obtained by umbrella sampling. The surfaces are color-coded by free energy values with an increment of 1 $k_B T$ (dark red to gray). Black solid lines additionally show isoenergetic contours. Orange and cyan circles indicate the dimer rise values observed in the cryo-EM densities of GMPCPP- and GDP-MTs, respectively. Cartooned dimers in **b** schematically show the extreme conformations of the double-PF system in which both are similarly expanded or compacted (along the diagonal) or in conflicting conformations (along the anti-diagonal). The relative shift of 0.19 nm between the minima of the free energy surfaces in **b** and **c** is additionally indicated.

175 Lateral coupling and GTP hydrolysis reduce conformational freedom of 176 tubulin in PFs

177 One of the key unanswered questions is how the MT lattice would accommodate laterally coupled
 178 dimers in conflicting conformational states (expanded *vs.* compacted), a situation that is very likely
 179 to arise downstream from the growing MT tip. It was previously speculated that such a structural
 180 conflict would either weaken the lateral interactions between incompatible dimers or increase the
 181 rate of GTPase activity [40, 41]. In the latter case, the hydrolysis-triggered compaction of an
 182 expanded dimer located next to a compacted dimer would be more favorable. However, testing
 183 these hypotheses experimentally is currently challenging.

184 To get insight into how the presence and conformation of a lateral neighbor affects the compaction-
 185 expansion dynamics of tubulin in PFs, we constructed atomistic models of double-PF systems
 186 in both nucleotide states (Fig. 3a; see Methods for model refinement and simulation protocol).
 187 We then computed free energy surfaces of the double-PF systems as a function of dimer rise and
 188 nucleotide state using the umbrella sampling approach with $\sim 80 \mu s$ of cumulative simulation time
 189 (Fig. 3b,c; see Supplementary Fig. 1 for statistical uncertainties). Like the isolated PFs (Fig. 2b),

190 the double-PF systems adopted, on average, slightly more expanded conformations relative to their
191 starting cryo-EM structures, most likely, due to thermal expansion. Also, the constant shift between
192 the two distributions by 0.19 ± 0.05 nm was preserved, which was close to the experimentally
193 observed difference of ~ 0.2 nm and, within statistical error, consistent with the difference of
194 0.25 ± 0.07 nm calculated for the isolated PFs (Fig. 2b).

195 As described above, one would expect each PF in the double-PF system to behave differently
196 depending on both conformational state of the neighbor and own nucleotide state. In particular,
197 their motion should be statistically correlated due to lateral coupling. In addition, the substantial
198 difference in mechanical flexibility of isolated GTP- and GDP-PFs (Fig 2b,c) should be reflected in
199 the dynamics of coupled PFs as well. To test these expectations, we introduced two metrics to
200 quantify the changes in the double-PF free energy profiles upon nucleotide exchange. First, we used
201 the *normalized mutual information* (NMI) which is a mere statistical measure of both linear and
202 nonlinear correlation between two stochastic variables (see Methods for the rigorous definition). For
203 the particular system in Fig. 3a, NMI would be zero if the PFs moved fully independently or unity
204 if their dimer rise fluctuations were fully synchronized. Second, we used the *confinement entropy*
205 H_{conf} that quantifies the conformational space 'volume' available to both PFs, irrespective of how
206 much the PF motions are correlated (see Methods for the rigorous definition). For the particular
207 system in Fig. 3a, H_{conf} would be close to zero if the dimer rise fluctuations were strongly localized
208 around fixed values or maximal if all dimer rise values were equally likely. Both stronger inter-PF
209 correlation (higher NMI) and higher PF stiffness (lower H_{conf}) would naturally result into the PFs
210 having a more restrictive influence on each other, moving the double-PF system up in free energy
211 due to the associated loss of conformational entropy. Vice versa, the joint conformational space
212 increases when the PFs become more flexible and their fluctuations become less correlated, hence
213 moving the double-PF system down in free energy.

214 As visible from the free energy surfaces having elliptic shapes extended along the diagonal
215 (Fig. 3b,c) and supported by the calculated NMI values, the conformations of the double-PF system
216 in which the PFs were similarly expanded or compacted were lower in free energy than those
217 in which the PFs adopted conflicting conformations. Thus, the PFs have a mutually restrictive
218 influence on each other, penalizing configurations in which the PF conformations are too different.
219 As a result, the double-PF system exhibits more correlated dynamics than would be the case if
220 the PFs were isolated. Furthermore, the correlation and confinement effect was stronger for the
221 system in GDP-state ($\Delta\text{NMI} = +0.06$ and $\Delta H_{\text{conf}} = -1.0$ bits compared to GTP-state). Together
222 with the PF stiffening upon GTP hydrolysis (Fig. 2b,c), this suggests that GTP hydrolysis further
223 reduces the conformational space available to tubulin dimers in the double-PF system, making it
224 thermodynamically less favorable than that in GTP-state.

225 Nearest-neighbor interactions between PFs modulate GTPase response 226 of tubulin

227 Our observation that the double-PF system favors conformations in which the PFs are similarly
228 expanded/compact suggests that the system is less stable when there is a conformational mismatch
229 between the PFs, likely because the lateral bond would be under excessive shear tension. To quantify
230 the extent of lateral bond destabilization by the conformational mismatch between the PFs, we
231 considered a thermodynamic cycle shown in Fig. 4a, following a previous scheme [42]. We assume
232 that the equilibrium conformation of the double-PF system can be changed into the one with
233 a conformational mismatch between the PFs (vertical transitions in Fig. 4a). The free energy
234 cost associated with this transformations ($\Delta G_{\text{eq} \rightarrow \text{mis}}$) was calculated using our previous umbrella
235 sampling results for both single- and double-PF system (Fig. 2b and Fig. 3b,c; see Supplementary
236 Material). Because the sum over all transition paths in the cycle must vanish, the difference between
237 these values, $\Delta G_{\text{eq} \rightarrow \text{mis}}^{\text{double}} - \Delta G_{\text{eq} \rightarrow \text{mis}}^{\text{single}}$, equals the bond stability of the mismatched double-PF system
238 relative to the equilibrium case, $\Delta \Delta G^{\text{assoc}} = \Delta G_{\text{mis}}^{\text{assoc}} - \Delta G_{\text{eq}}^{\text{assoc}}$ (horizontal transitions in Fig. 4a).
239 Hence, a positive $\Delta \Delta G^{\text{assoc}}$ equally implies that (a) the PF association is less favorable when the

240 PFs are in conflicting compaction states, or that (b) the GTPase response of an expanded dimer is
 241 stimulated if its nearest neighbor is in the compacted state.

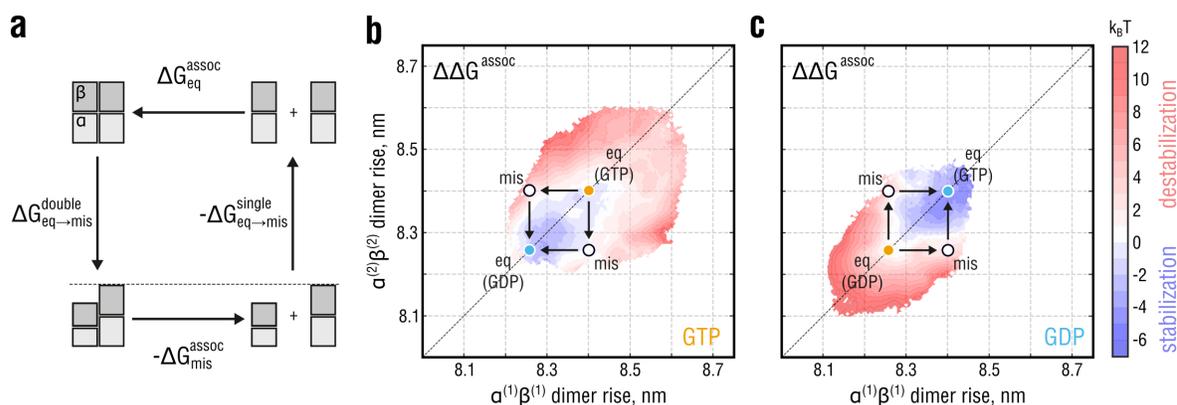


Figure 4. Relative thermodynamic stability of the lateral bond in the double-PF system. **a**, Thermodynamic cycle demonstrating the idea behind estimating the effect of unequal PF conformations on the association free energy between the PFs. While simulating the horizontal transitions (PF association) is computationally more expensive, the free energy changes linked to the vertical transitions (PF compaction) have already been obtained (Fig. 2 and Fig. 3). **b** and **c**, Distributions of the relative stability of the double-PF systems with respect to their equilibrium conformations marked with orange and cyan circles for GTP- and GDP-state, respectively, as a function of dimer rise and nucleotide state. White circles denote conformations with the strongest observed dimer rise mismatch. Free energy color coding is adjusted such that red (blue) areas correspond to conformations of the double-PF system in which the lateral bond is destabilized (stabilized) relative to equilibrium. White areas correspond to no change in the lateral bond stability.

242 Figure 4**b,c** shows $\Delta\Delta G^{\text{assoc}}$ relative to the lowest-energy system configuration (free energy
 243 minima in Fig. 3**b,c**, respectively) as a function of dimer rise and nucleotide state. The calculations
 244 suggest that a conformational mismatch between the PFs would have a statistically significant
 245 effect on the thermodynamic stability of the double-PF system, corresponding to a change of
 246 $\Delta\Delta G^{\text{assoc}} = +4.0 \pm 1.6 \text{ k}_B\text{T}$ (equilibrium constant fold-change by ~ 55). In contrast, simultaneous
 247 compaction/expansion of the two PFs has no statistically significant effect on the stability of the
 248 double-PF system with a relative change of $\Delta\Delta G^{\text{assoc}} = -1.0 \pm 1.5 \text{ k}_B\text{T}$ (equilibrium constant
 249 fold-change by ~ 0.37), implying that the lateral bond is stabilized once the conformational mismatch
 250 is resolved. Our results, therefore, provide quantitative evidence for the previous ideas that a
 251 structural conflict at the lateral interface due to unequal nucleotide states would either weaken
 252 it or locally increase the rate of GTPase activity [40, 41], *i.e.* locally facilitate the compaction
 253 transition. However, not only do we propose that both ideas would be equivalent, but we also
 254 estimate the magnitude of lateral bond destabilization and predict that it would be a transient and
 255 reversible effect. Our estimate for the bond destabilization energy in the absence of any lateral
 256 mismatch, $\Delta\Delta G^{\text{assoc}} = -1.0 \pm 1.5 \text{ k}_B\text{T}$, also agrees well with a recent computational study by the
 257 Odde lab [43], where only a weak nucleotide dependence of the lateral bond stability was found,
 258 though using a finite PF setup.

259 We note that the association free energy differences in Fig. 4**a** (representing lateral transitions)
 260 refer to a situation in which one long and straight PF fully associates with another. The considered
 261 scheme differs from how PFs most likely associate/dissociate at the dynamic MT tip, namely,
 262 dimer by dimer while bending away from the MT lumen. Hence, $\Delta G_{\text{mis}}^{\text{assoc}}$ and $\Delta G_{\text{eq}}^{\text{assoc}}$ describe as
 263 per-dimer contributions to the lateral thermodynamic stability of MT lattices in regions distant
 264 from the dynamic MT tip.

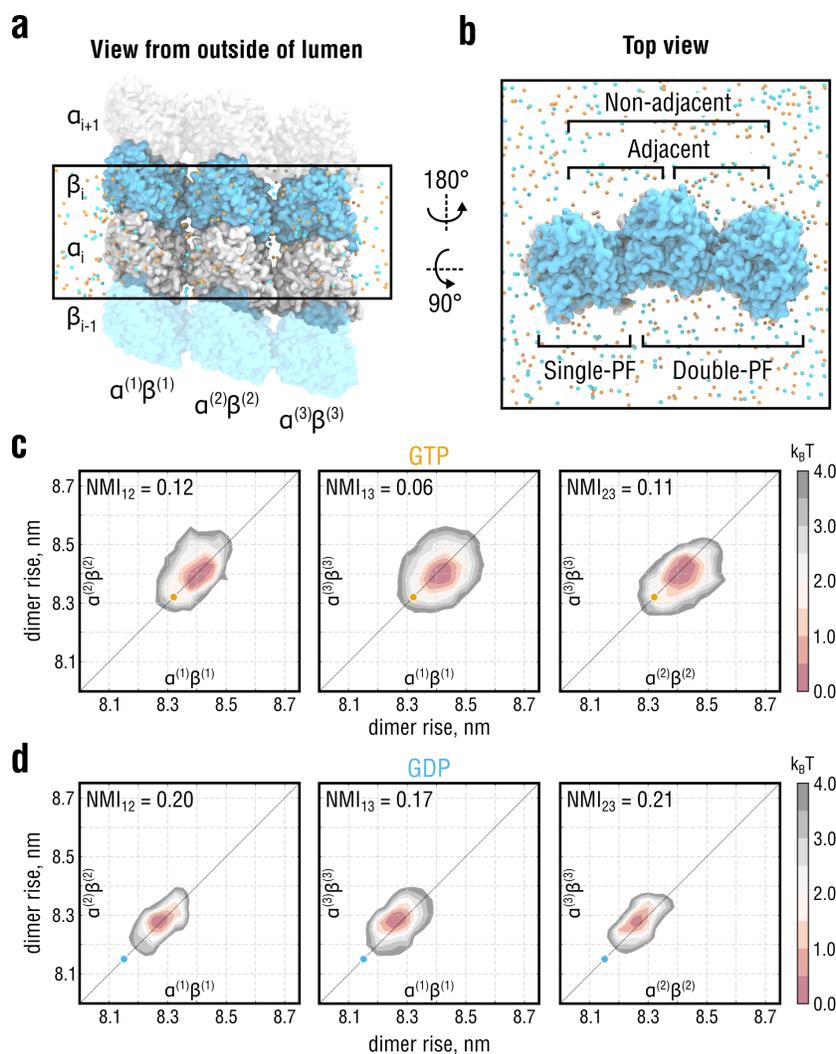


Figure 5. Lateral coupling induces long-range correlations between distant PFs. **a** and **b**, Side and top views of the simulation setup for the three-PF system mimicking a larger segment of the MT lattice. Color coding as in Fig. 2a and Fig. 3a. Water molecules are hidden for clarity. Individual PFs are labeled as (1), (2) and (3). **c** and **d**, Free energy energy landscapes of the system in **a** as a function of dimer rise and nucleotide state. The 3D landscapes were pairwise projected onto planes corresponding to 2D free energy landscapes of adjacent ($\alpha^{(1)}\beta^{(1)} - \alpha^{(2)}\beta^{(2)}$ and $\alpha^{(2)}\beta^{(2)} - \alpha^{(3)}\beta^{(3)}$, left and right, respectively) and non-adjacent PFs ($\alpha^{(1)}\beta^{(1)} - \alpha^{(3)}\beta^{(3)}$, center). Orange and cyan circles indicate the dimer rise values observed in the cryo-EM densities of GMPCPP- and GDP-MTs, respectively. Note the shift between the GTP and GDP distributions by ~ 0.2 nm along both reaction coordinates, consistent with the other simulations in Fig. 2 and Fig. 3.

265 **Nearest-neighbor interactions between PFs cause long-range correlations**
 266 **in the lattice**

267 The finding that lateral coupling leads to more confined and correlated dynamics of tubulin in
 268 the double-PF system is explained by the nearest-neighbor interaction that prevents dimers in the
 269 adjacent PFs from adopting conflicting conformations by energetically penalizing local mismatches.

270 It is therefore clear that also the motions of dimers situated in distant PFs should be correlated as
271 a consequence of the elementary short-range interactions shown in Fig. 3*b,c*. However, it is unclear
272 to what extent the nucleotide state would affect such *long-range correlations*. To quantify their
273 magnitude and the dependence on the bound nucleotide in similar minimalist but computationally
274 feasible settings, we constructed a larger PF system comprising 3×1 dimers per periodic box
275 dimension L_z (Fig. 5*a,b*), which allowed us to quantify the statistical correlation between a pair of
276 non-adjacent PFs. From the equilibrium dynamics of this three-PF system, similarly as above, we
277 estimated its free energy landscape as a function of dimer rise and nucleotide state and subsequently
278 disentangled nearest-neighbor interactions and long-range correlations.

279 As it was unfeasible to perform sufficiently accurate free energy calculations for such a large
280 system, we instead resorted to a Bayesian inference approach that integrates prior knowledge
281 about the energetics of the smaller subsystems (Fig. 2*a* and Fig. 3*a*) to infer the joint free
282 energy distribution of the three-PF system from unbiased MD simulations (see Supplementary
283 Material). To this end, six independent, 600-ns long equilibrium simulations of the three-PF
284 system in each nucleotide state were performed, yielding a total of $\sim 7.2 \mu\text{s}$ of sampling time. The
285 inferred three-dimensional (3D) joint free energy distributions were then pairwise projected onto
286 planes corresponding to two-dimensional (2D) free energy landscapes of adjacent and non-adjacent
287 double-PF subsystems (Fig. 5*c,d*). Consistent with the single-PF and double-PF systems analyzed
288 above (Fig. 2 and Fig. 3), the conformation of the three-PF system in our simulations was more
289 expanded than the underlying cryo-EM structures, while the nucleotide-dependent difference in
290 lattice compaction, again, was preserved.

291 The NMI values for the non-adjacent free energy landscapes were calculated (NMI_{13}) and
292 compared with those for the adjacent landscapes in the same system (NMI_{12} and NMI_{23}). If the
293 non-adjacent PFs did not interfere, NMI_{13} would be negligible relative to both NMI_{12} and NMI_{23} ,
294 yielding almost circular free energy landscapes in Fig. 5*c,d* (center). However, we found that NMI_{13}
295 is only by a factor ~ 0.5 and ~ 0.85 smaller than the values for the directly interacting PFs in GTP-
296 and GDP-state, respectively. This suggests that the correlations between non-adjacent PF induced
297 by the nearest-neighbor PF interactions are enhanced upon GTP hydrolysis.

298 In fact, several recent findings provide intriguing evidence that weaker intra-lattice correlations
299 might stabilize the MT. First, some MT-stabilizing drugs such as Taxol have been recently shown
300 to increase the lattice heterogeneity of GDP-MTs as compared to drug-free GDP-MTs [44], which
301 resonates with the ability of Taxol to restore the bending flexibility of GDP-MTs [45, 46]. Second,
302 a very similar effect on MT stability and mechanical resilience has been reported for acetylated *vs.*
303 wild-type MTs [47, 48], likely due to a small but additive allosteric effect of α -tubulin acetylation
304 at residue K40 [49]. In light of our drug- and acetylation-free simulation results, we propose that
305 GTP hydrolysis reduces tubulin axial flexibility and enhances short- and long-range correlations
306 between PFs, thereby leading to a loss of conformational entropy by the MT lattice.

307 Discussion

308 Tubulin dimers locked in the MT lattice operate as 'loadable springs' and 'conformational switches'
309 whose load and conformation critically depend on both nucleotide state and lattice surrounding. The
310 result is a metastable behavior of MTs because they have to reconcile the favorable dimer-to-lattice
311 binding and the internal strain build-up that is fueled by GTP hydrolysis and has a destabilizing
312 effect. Not surprisingly, it is hard to reach a consensus on precisely where this chemical energy is
313 converted to mechanical strain and spread over the lattice because the system complexity allows
314 various interpretations.

315 To clarify this issue, we have aimed at a quantitative understanding of the interplay between
316 tubulin intrinsic strain and lateral binding inside straight MT-like compartments. Our results
317 support the following conclusions: (i) there is a two-fold increase in longitudinal lattice tension
318 upon nucleotide hydrolysis, which we attribute to the increased stiffness of GDP-PFs; (ii) lateral
319 coupling between PFs reduces the conformational flexibility of tubulin by entropically penalizing PF

320 conformations that are too different; (iii) restrictive interactions between neighboring PFs induce
321 long-range correlated motions of non-adjacent PFs; (iv) both short- and long-range cooperativity
322 of PF motions is stronger for GDP-PFs suggesting a loss of conformational entropy by MT lattices
323 upon GTP hydrolysis.

324 Our computational findings provide quantitative insights into tubulin mechanochemistry and,
325 therefore, the structural and energetic basis of MT dynamic instability. The results presented here
326 enable us to test the three major models of MT cap maturation and MT catastrophe: (i) the strain
327 model proposed by Nogales, Alushin, Zhang and colleagues [20–22], (ii) the bond model proposed
328 by the Moores lab [23, 25], and (iii) the most recent ‘no expansion’ model by Estévez-Gallego et
329 al. [24]. Our results do not support the bond model because we do not find a statistically significant
330 effect of the nucleotide state on the lateral bond stability, which is key to the bond model. This
331 has also been confirmed by an earlier computational study from the Odde lab using a finite PF
332 setup [43]. Rather, our results are consistent with a weakening of longitudinal bonds upon GTP
333 hydrolysis, based on the stronger response of single GDP-PFs to mechanical stretching (Fig. 2c),
334 which is indicative of more fragile longitudinal bonds between GDP dimers. Further, our results
335 do not support the most recent model by Estévez-Gallego et al. [24] postulating that both pre-
336 and post-hydrolysis MT segments are equally compact, while the lattice undergoes a transient,
337 hydrolysis-energy-consuming expansion (approximated by the GMPCPP lattice) to release the
338 γ -phosphate. Our combined evidence (Figs. 2-5) rather suggests: (a) whatever the GMPCPP state
339 corresponds to in the GTPase cycle of tubulin, it most likely precedes the GDP state; and (b) a
340 pure GDP lattice is higher in free energy than a pure GMPCPP one because the transition to the
341 GDP lattice can only be achieved by investing a per-dimer energy on the order of 11 $k_B T$. Thus,
342 the conformational cycle proposed by Estévez-Gallego et al. would involve around twice the energy
343 available from GTP hydrolysis to first expand and then compact the lattice, which is energetically
344 implausible according to our estimates.

345 Overall, our results are currently most consistent with the strain model by Nogales, Alushin,
346 Zhang et al [20–22]. However, until the status of the GMPCPP lattice is entirely clear, the strain
347 model remains incomplete. This model does not describe the behavior of mixed nucleotide MT
348 lattices, which we have now predicted using long-time MD simulations and free energy calculations.
349 At present, the strain model cannot preclude the possibility of tubulin adopting unknown pre-
350 hydrolysis conformations prior to that mimicked by GMPCPP. Although our simulations do not
351 show large rearrangements of the GMPCPP-tubulin structure upon replacement of GMPCPP
352 with GTP, we still have to assume that the GMPCPP-MT lattice is sufficiently similar to the
353 unknown pre-hydrolysis GTP-MT lattice. Whatever the precise conformational cycle, our results
354 agree best with the view of GMPCPP-tubulin being *one* of the cap-stabilizing, expanded, and
355 flexible conformations that are unlikely to be preceded by stiffer and compacted ones. Perhaps a
356 more promising approach toward ultimately resolving this issue in future structure determination
357 efforts would be to use knowledge-based point mutations that selectively uncouple the tubulin
358 conformational and GTPase cycles [50, 51].

359 Taken together, a new picture emerges in which the MT lattice stability is not exclusively
360 determined by the nucleotide-dependent dynamics of individual dimers, but more generally, by their
361 non-additive collective behavior. In this work, we provide a thermodynamic explanation for the
362 intrinsic destabilization (distant from the dynamic tip) which precedes MT breakdown and which
363 relies on the idea that MT lattices gradually accumulate mechanical strain and lose conformational
364 entropy as GTP hydrolysis proceeds. In other words, the MT becomes thermodynamically less
365 and less stable already during the growing phase, which predisposes it to explosive strain release.
366 Exascale atomistic simulations ($\gg 10^6$ atoms) and coarse-grained kinetic models can now be used
367 to extrapolate how the results of our study will apply to the time evolution of the MT plus-end tip
368 at much larger spatiotemporal scales.

369 By construction, our lattice simulations focus on straight ‘infinite’ PF systems with only one
370 dimer layer per periodic box length. As a result, each dimer interacts with itself along the MT
371 axis. Such setups are established and well-tested in the MD field, and the resulting artifacts are

well-characterised [31, 52–54]. For the periodic tubulin systems at hand, two types of artifacts warrant attention. First, fluctuations with wavelengths larger than the box size are suppressed and, therefore, fluctuations of the dimer rise may be smaller than in a simulation with a much larger box size or in reality. Second, 'diagonal' correlations are not present in the double- and three-PF systems, *i.e.* a dimer is unable to influence the conformations of other dimers in the neighboring PFs located in the layer above or below that dimer. Another possible issue is the fact that our simulations did not include a closed segment of the MT body with 14 PFs so that possible 'edge' effects cannot be fully discounted. Contrary to the periodic boundaries, this simplification of the MT geometry might lead to more relaxed fluctuations of the dimer rise for those PFs having only a single neighbor. It is conceivable that, to some extent, the periodic boundaries mitigate the absence of a closed MT lattice due to error cancellation.

It is therefore justified to ask what the simulated PF models actually represent in a real MT system and how strongly the chosen simulation setup affects the conclusions of our study. Two observations are important here. First, our PF elasticity calculations provide estimates that are largely consistent with previous experimental knowledge, indicating that longitudinal PF mechanics does not significantly depend on the choice of simulation protocol. Second, we primarily focus on the effect of the nucleotide state and always compare the results of GTP and GDP simulations. It is likely that, by considering relative changes, some of these artifacts cancel out and their effect on the conclusions is smaller than it would be for absolute values. Therefore, the periodic and finite size effects described above are unlikely to significantly affect the conclusions. Overall, we assume that our PF models sufficiently accurately describe the dynamics of straight MT lattice regions distant from the dynamic MT tip, with the important simplification that intra-lattice correlations are restricted to the lateral dimension.

Methods

Force-field parameters and protonation states

The CHARMM22* force field [55] and the CHARMM-modified TIP3P water model [56] were used in all simulations. GTP/GDP parameters were adapted from those for ATP/ADP implemented in the CHARMM22/CMAP force field [56, 57]. Titration curves of histidines were calculated using the GMCT package [58] and assigned as described previously [17].

Simulation system preparation and cryo-EM refinement

Initial models for the tubulin dimers were obtained from PDB IDs 3JAT (GMPCPP) and 3JAS (GDP) [21] by extracting the central dimer from the 3×2 lattice patches (chains A and H in the original PDBs). GMPCPP was converted into GTP by replacing the carbon atom between α - and β -phosphate with an oxygen atom. The missing loop in the α -subunit (residues 38–46) was modelled in for structure consistency using MODELLER version 9.17 [59] but excluded from further refinement. Unlike in our previous study [17], we did not include the missing C-termini (α :437–451 and β :426–445) in our simulations to reduce the system size and reach the best possible sampling. Unless differently specified, all structure and map manipulations were performed using UCSF Chimera [60] or VMD [61].

In all refinement simulations, the following data sets were used: EMD-6352 and EMD-6353 for symmetrized cryo-EM reconstructions of 14-PF GMPCPP- and GDP-MTs decorated with kinesin [21]. To create 'infinite' single-, double-, and three-PF systems, where the actual simulated part comprises exactly one layer of dimers and is coupled to copies of itself through axial periodic boundaries, we first constructed finite PF systems comprising two layers of dimers in the axial direction. To this end, subsections of the cryo-EM maps with the desired PF topology were extracted using an orthorhombic box, and the single dimer models were rigid-body fitted into the PF maps. The constructed PF systems were solvated in a triclinic water box of size $8.0 \times 8.0 \times 22.0 \text{ nm}^3$

419 (single-PF), $12.7 \times 12.7 \times 22.0 \text{ nm}^3$ (double-PF), or $19.0 \times 19.0 \times 22.0 \text{ nm}^3$ (three-PF). The systems
420 were then neutralized with 150 mM KCl.

421 Refinement was done with correlation-driven molecular dynamics implemented as a custom
422 module in the GROMACS 5.0.7 package [62], following our previously published protocols [30].
423 Briefly, we used the cold-fitting protocol with the longest refinement time (*i.e.* $T = 100 \text{ K}$ and total
424 run time of 50 ns) followed by 15 ns of simulated annealing. The starting values for the biasing
425 strength and the simulated map resolution were set to $1 \times 10^5 \text{ kJ mol}^{-1}$ and 0.6 nm and linearly
426 ramped to $5 \times 10^5 \text{ kJ mol}^{-1}$ and 0.2 nm, respectively. The quality of the resulting models and
427 the goodness of fit were ensured by calculating common stereochemical and correlation metrics
428 (Supplementary Table 1).

429 MD simulations

430 The finite PF models were converted into 'infinite' PF models by removing the extra tubulin
431 monomers and nucleotides. Water and ion atoms were then trimmed to conform to the experimental
432 value of the axial periodic dimension L_z , namely, 8.31 nm for GMPCPP-MTs and 8.15 nm for
433 GDP-MTs [21]. The number of ions in the trimmed water shell was fixed such as to keep the systems
434 neutral and to maintain the ionic strength of 150 mM KCl. All subsequent MD simulations were
435 carried out with GROMACS 5.0.7 [62]. Lennard-Jones and short-range electrostatic interactions
436 were calculated with a 0.95-nm cutoff, while long-range electrostatic interactions were treated
437 using particle-mesh Ewald summation [63] with a 0.12-nm grid spacing. The bond lengths were
438 constrained using the LINCS algorithm [64] (hydrogen bonds during equilibration and all bonds in
439 the production runs). Velocity rescaling [65] with a heat bath coupling constant of 0.5 ps was used
440 to control the temperature for solute and solvent separately. Applying virtual site constraints [66]
441 allowed us to increase the integration step size to 4 fs in the production runs. Center-of-mass
442 correction was applied to solute and solvent separately every 100 steps.

443 With the above parameters fixed, the equilibration protocol consisted of the following steps: (i)
444 energy minimization using steepest descent; (ii) short NVT equilibration for 1 ns at $T = 100 \text{ K}$ with
445 position restraints on heavy atoms and using a 1-fs integration time step; (iii) gradually heating
446 up the system to 300 K within 10 ns in the NPT ensemble (Berendsen barostat [67] with a 5-ps
447 coupling constant) using a 2-fs integration time step; (iv) equilibration in the NPT ensemble for
448 30 ns using isotropic Parrinello-Rahman barostat [68] with a 5-ps coupling constant and using a
449 2-fs integration time step; (v) equilibration in the NPT ensemble for 100 ns using semi-isotropic
450 Parrinello-Rahman barostat with a 5-ps coupling constant and using a 2-fs time step. The last
451 frame of step (v) was used to spawn stress-free production runs, stress-strain calculations, and
452 umbrella sampling simulations.

453 Derivation of the reaction coordinate

454 We carried out 20 independent, 1- μs long equilibrium simulations of the single-PF system in
455 GTP-state, where the starting structure for each simulation was drawn every 150 ns from a 'seeding'
456 simulation trajectory of 3 μs . For the single-PF system in GDP-state, we carried out 10 independent
457 simulations (1 μs each) with the starting configurations drawn every 300 ns from a 3- μs 'seeding'
458 trajectory. We then extracted backbone atoms (N , C_α , C and O) and excluded flexible protein
459 regions (α : 38-46, α : 278-284 and β : 276-284) from further analysis.

460 Partial least-squares (PLS) functional mode analysis [32,33] was then applied to the combined
461 simulation set (both GTP- and GDP-state) to derive the collective mode of motion that correlated
462 best with the fluctuations of the axial periodic dimension L_z and had the largest variance in
463 terms of molecular motion. The linear regression model was trained on the first half of the GTP
464 data set ($\sim 13 \mu\text{s}$) and the second half of the GDP data set ($\sim 7 \mu\text{s}$), and the remaining halves
465 were used for cross-validation. The cross-validation revealed that the ensemble-weighted collective
466 mode (corresponds to the solution with one PLS component by construction) had correlation
467 coefficients of 0.9 (training set) and 0.85 (validation set), hence yielding a robust representation of

468 the conformational transition between the expanded GTP- and compacted GDP-state (Fig. 2b). A
469 visualization of this transition is shown in Supplementary Movie 1.

470 Normalized mutual information and confinement entropy

471 In theory, the mutual information (MI) between two stochastic quantities χ_1 and χ_2 is

$$I(\chi_1, \chi_2) = H(\chi_1) + H(\chi_2) - H(\chi_1, \chi_2), \quad (1)$$

472 where $H(\chi_i) = -\int p_i(\chi_i)\log p(\chi_i)d\chi_i$ is the entropy and $p_i(\chi_i)$ is the probability density of χ_i
473 ($i = 1, 2$). The joint entropy $H(\chi_1, \chi_2)$ is defined similarly and requires knowledge of the joint
474 probability density $p_{12}(\chi_1, \chi_2)$.

475 In practice, calculation of the MI is very sensitive to how the underlying probability densities
476 are discretized. Too coarse-grained discretization leads to an underestimation and too detailed
477 discretization leads to an overestimation of the MI. We therefore used the Jack Knifed estimate
478 that is known to be a low bias estimate of the MI and robust to discretization bin size [69]. It is
479 defined by substituting the entropy in Eq. 1 with the following estimate $\hat{H}_{JK}(\chi_i) = N\hat{H}(\chi_i) -$
480 $\frac{N-1}{N}\sum_{j=1}^N\hat{H}_{-j}(\chi_i)$, where $\hat{H}(\chi_i)$ is the entropy calculated by a straightforward discretization
481 and $\hat{H}_{-j}(\chi_i)$ is the same as $\hat{H}(\chi_i)$ but when leaving out bin value j , and N is the total number
482 of bins. The confinement entropy used to estimate the conformational space 'volume' is then
483 $H_{\text{conf}} \equiv \hat{H}_{JK}(\chi_1, \chi_2)$, whereas the normalized mutual information is defined as:

$$\text{NMI}_{12} = \frac{\hat{H}_{JK}(\chi_1) + \hat{H}_{JK}(\chi_2) - \hat{H}_{JK}(\chi_1, \chi_2)}{\sqrt{\hat{H}_{JK}(\chi_1)\hat{H}_{JK}(\chi_2)}}. \quad (2)$$

484

485 Stress-strain simulations of isolated PFs

486 To measure the response of the single-PF systems to external axial strain, we let the prepared
487 systems equilibrate under anisotropic pressure conditions $P_{xx} = P_{yy} \neq P_{zz}$ until convergence of L_z ,
488 where P_{zz} ranged from -65 atm to $+200$ atm. All equilibration simulations were run for at least
489 $1 \mu\text{s}$, and the last 200 ns were used for further analysis. Due to the pressure difference maintained
490 by the barostat, the simulated system (both solute and solvent) was subjected to an axial force f_z
491 such that the net stress on the PF along the z -axis, σ_{zz} , is:

$$\sigma_{zz} = -\frac{f_z}{A_z} = -\frac{(P_{zz} - P_{\perp})L_x L_y}{A_z}, \quad (3)$$

492 where $P_{\perp} = (P_{xx} + P_{yy})/2$, L_x and L_y are the lateral dimensions of the simulation box, and A_z is
493 the PF cross-section area (see next section). The axial strain was computed as:

$$\varepsilon_{zz} = \frac{L_z - L_{z,\text{eq}}}{L_{z,\text{eq}}}, \quad (4)$$

494 where $L_{z,\text{eq}}$ is the mean axial periodic dimension. We also note that P_{zz} , P_{\perp} , and $L_{x,y,z}$ are,
495 generally speaking, stochastic quantities. Therefore, block averaging with five blocks per trajectory
496 and basic error propagation rules were used to estimate the mean and standard deviation of ε_{zz}
497 and σ_{zz} .

498

499 Calculation of per-dimer elastic strain energy and flexural rigidity

500 According to linear elasticity theory, the per-dimer energy stored in a GTP-PF subjected to an
501 axial elastic deformation by work required to compress it to the equilibrium state of a GDP-PF

502 is $\Delta G_{\text{el}} = \Delta g_{\text{el}}V$, where Δg_{el} is the elastic energy density and V is the effective dimer volume.
503 Using the generalized Hooke's law, we estimated the elastic energy density as $\Delta g_{\text{el}} = \frac{1}{2}E_{\text{GTP}}\varepsilon_{zz}^2$
504 in which $E_{\text{GTP}} \approx 0.89$ GPa (see Fig. 2 in the main text) and $\varepsilon_{zz} = (L_{z,\text{eq}}^{\text{GTP}} - L_{z,\text{eq}}^{\text{GDP}})/L_{z,\text{eq}}^{\text{GDP}} \approx 0.03$
505 is the axial strain tensor component reflecting the difference in the equilibrium dimer lengths of
506 GTP- and GDP-PFs (derived from stress-free simulations). We then calculated the effective dimer
507 volume by requiring that the PF cross-section area A_z matches the mass per PF unit length m , *i.e.*
508 $m = \rho A_z L_{z,\text{eq}}$, where $m \approx 100$ kDa and $\rho \approx 1.41$ g/cm³ is the mass density of globular proteins
509 with molecular weights $M > 30$ kDa [70]. This yielded $A_z \approx 14.2$ nm², which allowed us to directly
510 compute the sought elastic strain energy $\Delta G_{\text{el}} \approx 28.9$ kJ/mol ≈ 11.6 k_BT at $T = 300$ K.

511 Following previous work [26], the flexural rigidity (or bending stiffness) of a long hollow cylindrical
512 filament is a product of its axial elasticity modulus E and the second moment of the cross-sectional
513 area $I = \frac{\pi}{4}(R_{\text{out}}^4 - R_{\text{in}}^4)$, where R_{in} and R_{out} are the inner and outer radii of the cylinder, respectively.
514 Using the estimate $R_{\text{in}} \approx 10.19$ nm from [71] (for 14_3 type MTs) and requiring that the MT
515 cross-section conforms with the mass per MT unit length, *i.e.* $14 \times m = \rho\pi(R_{\text{out}}^2 - R_{\text{in}}^2)L_{z,\text{eq}}$, we
516 obtained an estimate for the outer radius $R_{\text{out}} \approx 12.95$ nm and, hence, for the second moment
517 $I \approx 1.31 \times 10^{-32}$ m⁴. This value allowed us to directly compute the flexural rigidities of GTP- and
518 GDP-MTs (see Fig. 2 in the main text).

519 Estimating MT bending stiffness from previous experimental data

520 The experimental values for MT bending stiffnesses and the respective uncertainties shown in Fig. 2d
521 were calculated using inverse-variance weighting [72]. Given a set of independent measurements
522 y_i with variances σ_i^2 , the consensus inverse-variance mean and standard deviation are given by
523 $\hat{y} = \sum_i w_i y_i / \sum_i w_i$ and $\hat{\sigma} = \sqrt{1 / \sum_i w_i}$, where the weights $w_i = 1/\sigma_i^2$. For GDP-MTs and
524 GDP-MTs stabilized with Taxol, we used the $E \times I$ values estimated by quantifying thermal
525 fluctuations of MTs, as summarized in [28] and [29]. As there were only few measurements of
526 GMPCPP-MTs in the cited publications, we extended the set by considering further thermal
527 fluctuation studies [45, 46, 73].

528 Code and supplementary data

529 All refined starting structures are provided as Supplementary Data Set. Complete MD trajectories
530 that support the findings are available from the corresponding authors upon request. Unless explicitly
531 specified, all numerical calculations were carried out using Python 2.7 [74] and Cython [75].

532 Supplementary Movie and Data Set captions

533 **Supp. Material:** (*supp_material.pdf*) Supplementary text that includes all supplementary figures
534 and tables as well as detailed information on stress-strain calculations, estimation of the per-dimer
535 elastic strain energy, umbrella sampling simulations, estimation of the relative lateral bond stability,
536 and Bayesian inference of the joint free energy distribution for the three-PF system.

537
538 **Supp. Movie 1:** (*supp_movie_1.mov*) Animation showing the compaction transition derived from
539 equilibrium simulations of the single-PF system in both GTP- and GDP-state (see Fig. 2).

540
541 **Supp. Data Set:** (*refined_models.zip*) Archive containing refined single-, double- and three-PF
542 structures in both GTP- and GDP-state.

543

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