# Statistical characteristics of the SOL turbulence in the first divertor plasma operation of W7-X using a reciprocating probe

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#### **Abstract**

The statistical characteristics of the scrape-off layer (SOL) turbulence have been investigated in the first divertor plasma operation of W7-X using a reciprocating probe. The turbulence spectra, auto-correlation time and the statistical parameters are analysed in three magnetic configurations. In standard and high mirror configurations, the SOL turbulence can be classified into four patterns from the outer SOL to the inner SOL, and each pattern is characterized by the poloidal cross-correlation spectrum and the turbulence propagation property. A strong dependence of the SOL turbulence on the magnetic topology is demonstrated experimentally. In high iota configuration, the SOL island is relatively narrow and consequently the fluctuation level of turbulence is weak in the far SOL but enhanced significantly in the near SOL. In the reversed field case, the SOL turbulence reveals similar propagation properties and statistical parameters with those in the normal field case, demonstrating the same turbulence structure in both toroidal field directions.

#### I. Introduction

Turbulence plays an important role in the plasma instability and transport in the magnetic controlled fusion devices. The anomalous transport which is considered to be driven by the drift wave turbulence dominates the edge cross-field transport.<sup>2</sup> Turbulence induced transport is closely related to the gradient of plasma density, temperature and radial electric field shear.<sup>3</sup> According to the free energy source, turbulence can be classified into some groups which are characterized by some important parameters, such as fluctuation levels of plasma density and temperature, propagation speed and direction, frequency range and wave number.<sup>3</sup> Consequently, the study of edge and scrape-off layer (SOL) turbulence characteristics is of great benefit to the understanding of edge plasma transport. Usually the edge and SOL turbulence is measured by some diagnostics, such as Langmuir probe,4 reflectometry,<sup>5</sup> gas puff imaging<sup>6</sup> and beam emission spectroscopy<sup>7</sup>.

In Wendelstein 7-X (W7-X), the SOL turbulence has been measured by reciprocating probes and reflectometry.<sup>8, 9</sup> Since W7-X is a stellarator with inherent three-dimensional magnetic topology, the SOL turbulence structure exhibits strong topology dependence. In the limiter configuration of W7-X, an electromagnetic coherent mode is observed in the

SOL magnetic island and enhanced in the configuration with long connection length.<sup>8</sup> In the divertor configuration, the SOL plasma profiles are affected significantly by the divertor configurations, including the electron temperature, density, electric field and parallel flow. 10, 11 As measured by the reflectometry, a quasi-coherent mode near the plasma edge appears in the standard divertor configuration but disappears in the high iota configuration on W7-X.<sup>12</sup> The SOL turbulence transport exhibits two distinct transport patterns: a broadband turbulence dominant region in the outer SOL and a low frequency dominant region in the inner SOL.<sup>13</sup> In our previous work, the SOL turbulence properties in the limiter configuration have been analyzed systematically, including the fluctuation level, probability density function, autocorrelation time, turbulence correlation length, phase velocity and poloidal power spectra.<sup>14</sup> In this paper, the statistical characteristics of the SOL turbulence in the first divertor experimental campaign (OP 1.2a) of W7-X will be presented. The rest of the paper is organized as follows. The experimental setup is described in section II. The characterization of the SOL turbulence in standard configuration is presented in section III. The SOL turbulence characterization in high mirror configuration and high iota configuration is given in section IV and V, respectively. Section VI is the summary.

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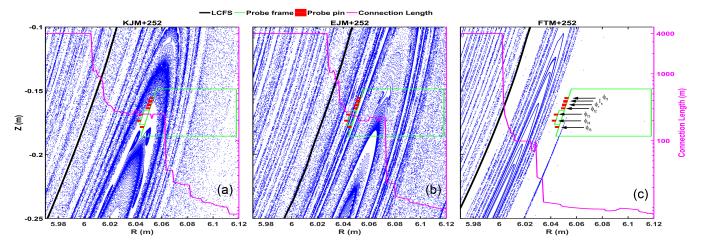


Figure 1. The Poincaré plot of the three divertor configurations in OP1.2a. From left to right, they are the high mirror configuration (a), standard divertor configuration (b) and the high iota configuration (c), respectively. The probe frame is shown in green and the probe pins are highlighted in red, and the physics name of each pin is labelled in (c). The connection length is shown in the magenta line.

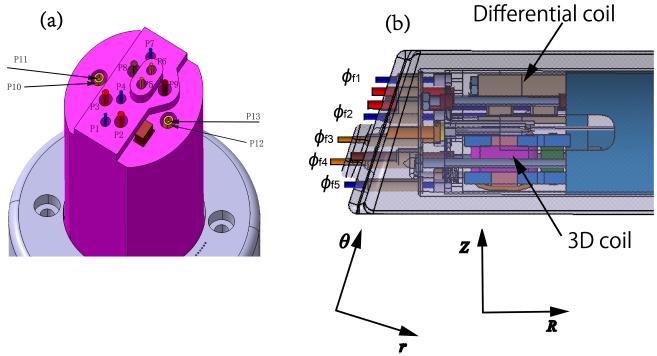


Figure 2. The arrangement of the FZJ-COMB2 probe head. (a) Sketch of probe pins; (b) Side view of probe head.

## II. Experimental Setup

W7-X, a new optimized stellarator with a major radius of 5.5 m and a minor radius of ~0.5 m, <sup>15</sup> is equipped with 50 non-planar coils and 20 planar coils to accommodate flexible magnetic configurations. <sup>16</sup> The rotational transform iota is defined as  $\iota/2\pi = n/m$ , where m is the poloidal mode and n is the toroidal mode. In the first divertor experimental campaign, there are three divertor configurations, including the high mirror configuration (KJM+252) with an  $\iota/2\pi = 5/5$  island chain in the SOL, the standard divertor configuration (EJM+252 and EJM-252) with an  $\iota/2\pi = 5/5$  island chain, and high iota configuration (FTM+252) with an  $\iota/2\pi = 5/4$  island chain. Note that the sign in the definition of configuration name denotes the direction of the toroidal magnetic field, with positive sign in the anti-clockwise

direction viewed from top, and the negative sign in the clockwise direction. The Poincaré plot in the poloidal plane of the reciprocating probe is shown in Figure 1 for the three divertor configurations in OP 1.2a. It should be pointed out that the Poincaré plot and the field line connection length along the probe path are derived from the field line tracer of W7-X by using the real coil settings, and the uncertainty of the magnetic equilibrium could be up to 1 cm.<sup>17-19</sup> In the calculation of connection length, all the components of the first wall on W7-X in OP 1.2a are taken into account. The connection length has a sharp increase at the outer boundary of the island, keeps almost constant inside the island, then increases quickly again in the near SOL and finally reaches the upper limit. As illustrated in Figure 1, the width of the magnetic island in high mirror and standard configurations is much larger than that in high iota configuration. Along the

probe path, the island with clear nested field lines is located in the radial region R = 6.022-6.078 m for high mirror configuration, 6.036-6.096 m for standard configuration, and 6.014-6.036 m for high iota configuration. The last closed flux surface (LCFS), as shown in the black line in Figure 1, is about R = 6.005, 6.027 and 6.001 m for the KJM+252, EJM+252 and FTM+252 configurations, respectively.

The multiple-purpose manipulator (MPM) has been developed and operated on W7-X since 2015, which is located under the outer midplane with vertical coordinate Z = -167mm.<sup>20, 21</sup> The temporal resolution of MPM is 2 MHz. The layout of the probe head (FZJ-COMB2) used in our experiment is illustrated in Figure 1 and Figure 2. The front surface of probe head is designed to align the local flux surface, therefore the plasma parameters and turbulence information on the same flux surface can be obtained. In Figure 2 (b), the pins measuring the floating potential are  $\phi_{f1}$ ,  $\phi_{f2}, \ \phi_{f3}, \ \phi_{f4}$  and  $\phi_{f5}$ . The two pins  $\phi_{f3}$  and  $\phi_{f4}$  are on the top plateau which is 3.8 mm higher than the other three floating potential pins. The double probe consists of  $I_s$  and  $\phi_{+}$ , with a biasing voltage of 286 V. Note that all the pins measuring floating potential have a diameter of 1.4 mm, while the pins of the double probe have a diameter of 2 mm. All the pins have the same length of 3 mm. With the setup of the Langmuir probe pins, it is able the study the turbulence poloidal structure through  $\phi_{f1}$ ,  $\phi_{f2}$  and  $\phi_{f5}$  or  $\phi_{f3}$  and  $\phi_{f4}$ . In order to minimized the block effect between probe pins, every pin has a gap (~ 1 mm) with its adjacent pin along the poloidal direction. In addition, as illustrated in Figure 2 (a), the middle plateau is shaped as a rectangle and 3.5 mm higher than the lowest plateau, which also can minimize the block effect due to the probe boron nitride cover when the magnetic field line has a small angle with the front surface plane.

In this paper, four discharges with deep probe plunge are analysed to characterize the SOL turbulence, with plasma program #20171026.38 in EJM+252 (standard) configuration, #20171207.49 in EJM-252 (standard) configuration, #20171017.47 in KJM+252 (high mirror) configuration and #20171025.35 in FTM+252 (high iota) configuration. The plasma parameters of three discharges are illustrated in Figure 3. During the probe plunge, the electron cyclotron resonance heating (ECRH) power and plasma stored energy are almost constant, indicating stable plasma conditions. As calculated by the field line tracer and demonstrated by experiments, the toroidal plasma current reveals a significant impact on the edge magnetic topology. <sup>10, 11</sup> As illustrated in Figure 3 (c), the changes of plasma current is very small during the probe plunge, and the induced topology variation can be ignored. In the calculation of field line connection length and Poincaré plot, the averaged plasma current during probe plunge is taken into account. Note that in discharge #20171207.49 the probe is plunged at 24 s, which is much longer than the pulse lengths of the other three, therefore its plasma parameters which are also stable during probe plunge are not shown in Figure 3.

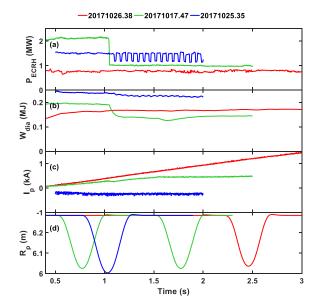


Figure 3. Plasma parameters of three discharges used in this paper. (a) ECRH heating power; (b) plasma stored energy; (c) toroidal plasma current; (d) radial position of probe.

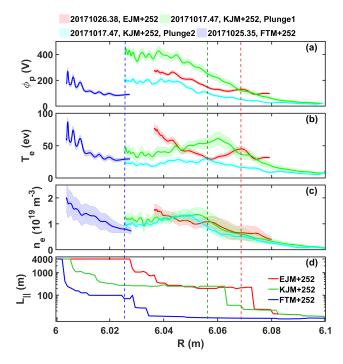


Figure 4. The edge plasma profiles measured by the FZJ-COMB2 probe. (a) Plasma potential; (b) electron temperature; (c) electron density; (d) field line connection length. The shaded regions in (a), (b) and (c) denote the standard deviation. The vertical dashed lines signify the location of the island center along the probe path for each configuration.

The SOL profiles measured by probe are illustrated in Figure 4. The electron temperature and electron density are derived from the four-tip triple probe, with  $T_e = [\phi_+ - (\phi_{f1} + \phi_{f2})/2]/ln2$  and  $n_e = I_s/(0.49eA_{eff}\sqrt{T_e/m_i})$ , where  $A_{eff} = 12 \text{ mm}^2$ . With the increase of the field line connection length in the outer SOL, the electron temperature and density increase significantly. In the standard configuration, the island

center along probe path is located around R = 6.068 m. The electron density is flat at the island center, exhibits a steep gradient at the inner side of the island center and reaches a plateau, then decreases slightly, finally increases gradually in the near SOL. The electron temperature peaks at the island center with a narrow plateau, then decreases gradually to the minimum at R = 6.058 m, finally increases again in the near SOL. In the radial region of R = 6.037 - 6.041 m and R = 6.044 - 6.0416.046 m, the gradient of the electron temperature is large. In the high mirror configuration, the radial evolutions of the electron temperature and electron density are similar with those in the standard configuration, except that the profiles are wider in the high mirror configuration because of the wider island structure. When the ECRH heating power raises from 1 MW to 2.1 MW, the electron temperature increases 2 times around the island center, but the change of the electron density is small. In high iota configuration, the electron temperature and density increase monotonously with the decreasing R, and the SOL widths of them are much smaller than those in the other two configurations, which is consistent with the narrower plateau of the connection length. In addition, the connection length at the plateau is around 100 m in high iota configuration, however it is around 250 m in the standard and high mirror configurations. For the SOL profile measured in Figure 4, the Debye length is about 0.02 mm, which is much smaller than the diameter of probe tip. The ion Larmor radius is smaller than 0.6 mm, which is shorter than the gap (1 mm) between two adjacent probe pins, indicating no block effect for the ion collection.

## III. SOL Turbulence in standard divertor configuration

#### Floating potential 150 (a), 20171026.38 100 50 2.3 2.4 2.5 2.7 200 (b), 20171207.49 150 S 100 50 24.2 24.4 24.5 24.6 24 24.1 24.3 400 (c), 20171017.47 300 200 100 0.5 Time (s)

## A. Turbulence spectrum

In the standard configuration, two discharges with similar plasma parameters are chosen to illustrate the SOL turbulence structure, with the ECRH power of 1 MW. Plasma program #20171026.38 is in EJM+252 configuration ( $B_t$  in anticlockwise direction viewed from top, normal field), and the plasma program #20171207.49 is in EJM-252 configuration ( $B_t$  in clockwise direction, reversed field). As shown in Figure 1, the LCFS is located at R = 6.027 m in standard configuration, and the innermost point of the probe is 5 mm outside the LCFS in the plasma program #20171026.38. The auto-power spectral density (APSD) of floating potential in standard and high mirror configurations are shown in Figure 5. Here the APSD is calculated by  $P_{xx}(\omega) = F_x^*(\omega)F_x(\omega)$ , and  $F_x(\omega)$  is the Fourier transform of signal x(t).<sup>23</sup> Note that in Figure 5 and Figure 18, 2048 sample points (~1 ms) are used to calculate the discrete Fourier transform. The temporal evolution of floating potential is presented in Figure 5 (a) and (b), revealing the same radial variation between the plunge-in and plunge-out phases. In Figure 5 (d) and (e), the APSD increases sharply with the increasement of the connection length at the outer boundary of magnetic island. From the outer SOL to inner SOL, the intensive APSD is distributed in a wide frequency range (up to 200 kHz) at first, then is concentrated in low frequency range, and finally is distributed in a wide frequency range and its intensity decreases gradually with frequency which follows the increasement of connection length in the inner SOL.

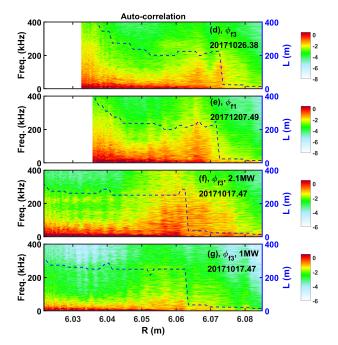


Figure 5. (a-c) Floating potential temporal evolution for standard and high mirror configurations; (d-g) auto-power spectral density of floating potential, and the field line connection length is illustrated in dashed lines. The plasma program and signal name are annotated in each panel.

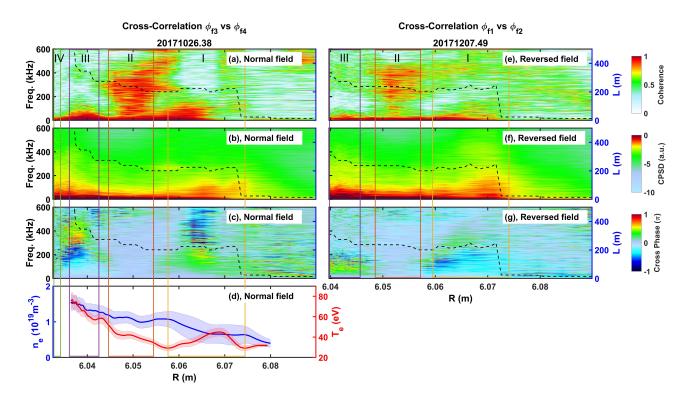


Figure 6. The cross-correlation between two floating potential pins for the normal field (left panels) and reversed field (right panels) in standard configuration. Cross-coherence (a) and (e); CPSD (b) and (f); Cross-phase (c) and (g). The profiles of electron density and temperature in normal field are shown in (d). The field line connection length is illustrated in dashed lines. The rectangles denote the radial region of turbulence patterns.

The cross-correlation spectrum between two poloidally separated floating potential pins is shown in Figure 6 for the standard configuration. The cross-power spectrum is calculated by  $P_{xy}(\omega) = F_x^*(\omega)F_y(\omega) = |P_{xy}(\omega)|e^{i\alpha(\omega)}$ , where  $F_x(\omega)$  and  $F_y(\omega)$  are the corresponding Fourier transform of signals x(t) and y(t),  $|P_{xy}(\omega)|$  is the cross-power spectral density (CPSD), and  $\alpha(\omega)$  is the cross-phase.<sup>23</sup> The spectral coherence is defined by  $\gamma = |P_{xy}(\omega)|/2$ 

 $|P_{xx}(\omega)P_{yy}(\omega)|$ . Because the two floating potential pins  $\phi_{f3}$  and  $\phi_{f4}$  are on the highest plateau and the poloidal distance between them is only 5.2 mm, we choose these two pins to calculate the cross-correlation and characterize the SOL turbulence structure. However, in the reversed field case (#171207049), the circuits of pins  $\phi_{f3}$ ,  $\phi_{f4}$  and the double probe are damaged, consequently the cross-correlation is performed by another two floating potential pins  $\phi_{f1}$  and  $\phi_{f2}$  which are separated by 8.8 mm poloidally. Besides, the electron density and temperature are not available in reversed field in Figure 6. In Figure 6, Figure 13 and Figure 18, 2048 sample points (~1 ms) are used to calculate the discrete Fourier transform of the cross-correlation. The CPSD reveals similar radial structure in both the normal and reversed fields. In the normal field, the CPSD increases dramatically when the field line connection length is larger than 200 m, and the high level CPSD is distributed in a large frequency regime (up to 200 kHz). A broadband turbulence below 160 kHz is located in the radial region of R = 6.057 - 6.074 m. When R = 6.045 -

6.055 m, the CPSD is concentrated in the low frequency turbulence below 40 kHz. When R < 6.042 m, the CPSD is distributed in a wider frequency range (up to 200 kHz). In the reversed field, the same radial structure of the CPSD can be found in Figure 6 (f), apart from the slight enlargement of the frequency range of the CPSD. In normal field, the normalized cross-coherence is above 0.8 in the inner half region (R =6.057-6.065 m) of the broadband turbulence, as presented in Figure 6 (a). When the probe continues to move inwards, the high cross-coherence in 60–160 kHz disappears, while only the low frequency turbulence has high cross-coherence. In the radial region of R = 6.045-6.054 m, there is a wide frequency region (40–450 kHz) with cross-coherence above 0.8, and the low frequency turbulence also has high cross-coherence. When R < 6.045 m, only the low frequency turbulence below 30 kHz has high cross-coherence, except the radial region of R = 6.037 - 6.042 m where the cross-coherence is above 0.6 in the frequency range up to 100 kHz and decreases gradually with the frequency. In the reversed field, the cross-coherence exhibits the same radial structure as the normal field case, but the value is smaller. The cross-phase between  $\,\phi_{f3}\,$  and  $\,\phi_{f4}\,$ in the normal field is presented in Figure 6 (c), and the crossphase between  $\phi_{f1}$  and  $\phi_{f2}$  in the reversed field is presented in Figure 6 (g). As illustrated in Figure 2 (b), pin  $\phi_{f1}$  is on the upward side of pin  $\phi_{f2}$ , and pin  $\phi_{f3}$  is on the upward side of pin  $\phi_{f4}$ . The same cross-correlation process is performed for  $\phi_{f1}$  vs  $\phi_{f2}$  and  $\phi_{f3}$  vs  $\phi_{f4}$ . The crossphase is positive for the broadband turbulence in the normal field, but is negative for the broadband turbulence in the

reversed field, denoting that the broadband turbulence propagates upwards in the normal field but downwards in the reversed field, as expected for a  $E_r \times B$  dominant poloidal phase velocity. For example, the detailed cross-phase structure can be found in the normal field: the phase of the broadband turbulence in R = 6.057-6.072 m is positive, but phase of the turbulence from 30 to 100 kHz with high cross-coherence in R = 6.037-6.042 m is negative. The turbulence with high cross-coherence in 40-450 kHz located at R = 6.045-6.054 m has a very small cross-phase. Because the probe position of plasma program #20171026.38 is much closer to the LCFS than other discharges in standard and high mirror configurations, the complete radial variations of the SOL turbulence in the island divertor configuration can be illustrated by the plasma program #20171026.38.

As mentioned in section II, the electron temperature and density reach a plateau near the island center along the probe path (R = 6.067–6.07 m), where the decrease of the CPSD is observed, as shown in Figure 6 (b). In R = 6.057–6.066 m, there is a large radial gradient of electron density, which is consistent with the existence of broadband turbulence in this region. When R = 6.045–6.055 m, the variation of electron density is very small, where the low frequency turbulence is dominated. When R < 6.045 m, the electron density and temperature increase again with the decreasing R, and a large radial gradient of electron temperature can be found in R = 6.037–6.042 m, where the high cross-correlation turbulence below 100 kHz appears.

The auto-correlation time of turbulence can be derived from the e-folding time of the auto-correlation function of floating potential. Note that 1000 sample points (~ 500 μs) are used to calculate the auto-covariance, and the corresponding autocorrelation function is obtained by taking the average of all the auto-covariance within the given time period (5-10 ms). The raw signal is applied by a high-pass filter to extract the fluctuations above 1 kHz. The noise level of auto-correlation function is estimated by the significance test with 95% confidence interval and illustrated in the green dashed lines in Figure 7. Normally the auto-correlation time of turbulence is fitted by the function of  $f(t) = e^{-t/\tau_d}$ , with  $\tau_d \approx 20 \,\mu s$  for the broadband turbulence dominant region and  $\tau_d = 35-45$ μs for the low frequency dominant region, as shown in Figure 7 (a) and (b). In Figure 7 (c), the auto-correlation time decreases slightly compared to that in Figure 7 (b) and (d), due to the turbulence in 0-100 kHz with high cross-coherence in Figure 6 (a). There is no large difference between the autocorrelation time in normal field and reversed field. However, the fitted curve exhibits some discrepancy with the autocorrelation function in Figure 7 (a) and (b). Consequently, a bi-exponential model  $f(t) = a_1 e^{-t/\tau_{d1}} + a_2 e^{-t/\tau_{d2}}$  is used to distinct the fast  $(a_1, \tau_{d1})$  and slow  $(a_2, \tau_{d2})$  decay components. In Figure 7 (e) and (f), the fitted curve of biexponential model matches the auto-correlation function very well. For the broadband turbulence region, the fast part is the major decay component with  $a_1 = 0.62-0.65$  and  $\tau_{d1} =$ 14–18 μs, while the slow part also play an important role with  $a_2 = 0.19$  and  $\tau_{d2} = 148$  µs for normal field and  $a_2 =$ 0.16 and  $\tau_{d2} = 209 \,\mu s$  for reversed field. In Figure 7 (e), the fitted auto-correlation coefficient is about 0.78-0.84 at  $\tau_{lag} = 0$ , which is smaller than 1. In addition, the curve of auto-correlation function and the fitted line still have significant discrepancy when  $\tau_{lag} < 10 \mu s$ , indicating the existence of a much faster decay component than the fitting results of the bi-exponential model. In order to study the relationship between the broadband turbulence and this fast decay component ( $\tau_{lag}$  < 10 µs), the bi-exponential model is applied to the fluctuation signal in the frequency range from 40 to 200 kHz, and the derived fast decay component with  $\tau_{d1} = 5 \mu s$  is consistent with the fast decrease of autocorrelation coefficient when  $\tau_{lag} < 10 \,\mu s$  in Figure 7 (e), and the slow decay component ( $a_2 = 0.03$  and  $\tau_{d2} \approx 350 \ \mu s$ ) can be ignored. In consequence, the auto-correlation time  $\tau_{d1}$ in Figure 7 (e) is contributed by the combination of the broadband turbulence and the low frequency turbulence. For the low frequency turbulence dominant region in Figure 7 (f), the fast decay time is  $\tau_{d1} = 22 \,\mu s$  and the slow decay time is  $\tau_{d2} = 247 \mu s$ , while the weight factor of the slow decay component is  $a_2 = 0.14-0.2$ . If the bi-exponential model is applied for the fluctuation signal in the frequency range of 5– 30 kHz, the derived decay time is  $\tau_{d1} = 35-50 \,\mu s$  which is larger than that in Figure 7 (f). In Figure 7 (g) and (h), the weighted factor  $a_2$  is much smaller, indicating that the slow decay component can be ignored compared with the fast decay component. In summary, from the broadband dominant region to the low frequency dominant region, the increase of autocorrelation time is significant.

The turbulence decorrelation rate in the plasma frame can be  $\gamma_{decorr}^{pl} = \sqrt{\gamma_{decorr|lab}^2 - (v/l)^2} \quad ,$ derived  $\gamma_{decorr|lab}$  is the turbulence decorrelation rate in the laboratory frame and can be estimated by  $1/\tau_d$ , v is the turbulence velocity, and l is the correlation length.<sup>24</sup> Note that  $v = \sqrt{v_{\theta}^2 + v_r^2}$  is estimated by the turbulence phase velocity, and  $l = \sqrt{l_{\theta}^2 + l_r^2}$  are estimated by the two-point technique with  $v_{\theta}$  (  $l_{\theta}$  ) as the poloidal phase velocity (correlation length) and  $v_r(l_r)$  as the radial phase velocity (correlation length). For the broadband turbulence (40-200 kHz) in normal field, the auto-correlation time is around 5 μs, correlation length  $l \approx 2$  cm, turbulence velocity  $v \approx 3$  km/s, therefore the corresponding  $\gamma_{decorr}^{pl} = 1.3 \times 10^5 \, \mathrm{s}^{\text{-}1}$ . For the low frequency turbulence (5-30 kHz), the auto-correlation time is about 35 µs,  $l \approx 8.5$  cm and  $v \approx 1$  km/s, so the corresponding  $\gamma_{decorr}^{pl}$  =2.6 ×10<sup>4</sup> s<sup>-1</sup>. It should be pointed out that this is a rough estimation of the turbulence decorrelation rate in the plasma frame.

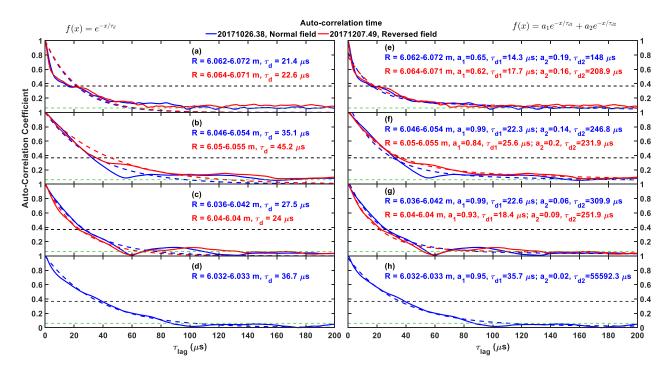


Figure 7. The auto-correlation function of the floating potential for normal field and reversed field in standard configuration. The auto-correlation time  $\tau_d$  is fitted by  $f(t) = e^{-t/\tau_d}$  and displayed in panels (a-d), as illustrated in the dashed lines; in panels (e-h), the auto-correlation time is fitted by bi-exponential model  $f(t) = a_1 e^{-t/\tau_{d1}} + a_2 e^{-t/\tau_{d2}}$ . The green dashed line denotes the noise level.

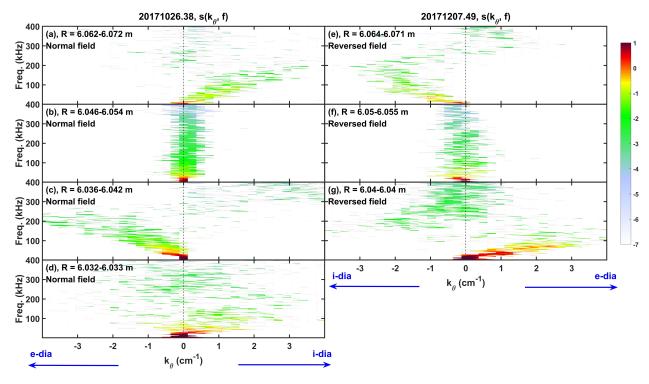


Figure 8. The poloidal cross-power spectral density  $s(k_{\theta}, f)$  in the standard configuration. The normal field discharge is in panels (a-d), while the reversed field discharge is in panels (e-g). From the top row to the bottom row, the radial position of probe decreases gradually. The direction of  $k_{\theta}$  is illustrated at the bottom panel.

#### B. Statistical characteristics

In order to study the statistical characteristics of the SOL turbulence in the four radial region in Figure 6, the poloidal cross-power spectral density  $s(k_{\theta}, f)$ , derived from the two-

point cross-correlation technique,<sup>25, 26</sup> is presented in Figure 8. Since the damage of pins  $\phi_{f3}$  and  $\phi_{f4}$  in the plasma program #171207049,  $\phi_{f1}$  and  $\phi_{f2}$  are used to perform the two-point cross-correlation analysis for this discharge, as

illustrated in Figure 8, Figure 9 and Figure 10. For other discharges,  $\phi_{f3}$  and  $\phi_{f4}$  are used in the two-point crosscorrelation analysis, as shown in Figure 8, Figure 9, Figure 10, Figure 11, Figure 15, Figure 16, Figure 17, Figure 20 and Figure 21. In all the two-point cross-correlation analysis, 1024 sample points (500 µs) are used to calculate the discrete Fourier transform, and the number of total points used in each  $s(k_{\theta}, f)$  spectrum in Figure 8 depends on the given time period (5–10 ms). It should be pointed out that the spectrum  $s(k_{\theta}, f)$  in Figure 8 is the true cross-power spectral density which reflects the cross-power variation in different radial regions. Another parameter in two-point cross-correlation analysis is the normalized CPSD  $S(k_{\theta}, f) = s(k_{\theta}, f)/s$  $\sum_{k_{\theta},f} s(k_{\theta},f)$  which will be used to calculate other statistical parameters. The two-point cross-correlation technique is performed in the frequency range from 1 to 500 kHz, as shown in Figure 8, Figure 9, Figure 15, Figure 16 and Figure 20. The spectrum  $s(k_{\theta}, f)$  of the broadband turbulence dominant region is shown in Figure 8 (a), and the turbulence below 160 kHz is located on the side of  $k_{\theta} > 0$ , signifying that the broadband turbulence propagates along the direction of ion diamagnetic drift in the laboratory frame. In Figure 8 (b), the spectrum  $s(k_{\theta}, f)$  is symmetrical about  $k_{\theta} = 0$  in a wide frequency range, and most of the cross-power is concentrated in the low frequency region. In Figure 8 (c), the turbulence below 200 kHz propagates along the direction of electron diamagnetic drift in the laboratory frame, and most of the cross-power is located in the frequency below 100 kHz. In Figure 8 (d), at the innermost position of the probe (5 mm outside the LCFS), most of the cross-power  $s(k_{\theta}, f)$  is concentrated in the low frequency turbulence (below 30 kHz) with the poloidal wave number  $k_{\theta} \approx 0$ . When the direction of the toroidal magnetic field  $B_t$  changes from anti-clockwise (normal field) to clockwise (reversed field), the propagation direction of the turbulence is reversed, i.e., from upwards to downwards for the broadband turbulence (Figure 8 (a) and (e)), and from downwards to upwards for the turbulence with high cross-coherence in 0–100 kHz (Figure 8 (c) and (g)). It should be pointed out that the direction of the ion diamagnetic drift is upward in the normal field but downward in the reversed field. Therefore, the broadband turbulence propagates along the direction of ion diamagnetic drift in both the normal and reversed fields, and the turbulence with high cross-coherence in 0-100 kHz propagates along the direction of electron diamagnetic drift in both the normal and reversed fields.

Figure 9 presents the radial evolution of the conditional poloidal cross-power spectral density  $S(k_{\theta}|f) = S(k_{\theta},f)/$  $S(f) = S(k_{\theta}, f) / \sum_{k_{\theta}} S(k_{\theta}, f)$  in the SOL of the standard divertor configuration. The group velocity of the broadband turbulence can be estimated by the slope  $V_{group} = 2\pi\Delta f/$  $\Delta k_{\theta}$  in Figure 9 (a) and (e), with  $V_{group} \approx 3 \pm 0.6$  km/s for normal field and  $3 \pm 1.1$  km/s for reversed field. Both velocities are along the ion diamagnetic drift direction. Note that this group velocity is estimated by fitting  $k_{center}$  and  $f(k_{center})$  with a linear function  $f = ak_{center} + b$  within the frequency range of the turbulence structure, i.e., 30-160 kHz for the broadband turbulence. Here  $k_{center}(f_i) =$  $\left[\sum_{k_{\theta}} k_{\theta} S_{f(i)}(k_{\theta}|f)\right] / \sum_{k_{\theta}} S_{f(i)}(k_{\theta}|f)$  is the barycenter of  $S(k_{\theta}|f)$  at the frequency slice  $f_i$ , where  $S_{f(i)}(k_{\theta}|f)$  is the conditional CPSD at frequency  $f_i$ . The error bar of the group velocity is given by fitting. In Figure 9 (c) and (g), the group velocity of the turbulence with high cross-coherence in the near SOL is  $V_{group} \approx 3.5 \pm 0.7$  km/s for normal field and  $1.8 \pm 0.6$  km/s for reversed field, with their directions along the electron diamagnetic drift. In the low frequency turbulence dominant region, the power spectrum  $S(k_{\theta}|f)$  below 30 kHz is concentrated near  $k_{\theta} = 0$ .

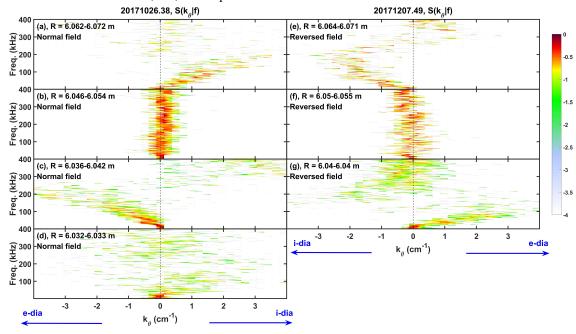


Figure 9. The conditional poloidal cross-power spectral density  $S(k_{\theta}|f)$  for the standard configuration, with normal field in panels (a-d) and reversed field in panels (e-g).

When performing the poloidal cross-correlation between two probe pins, the poloidal distance d of these two pins should be smaller than half of the interesting turbulence wave length  $\lambda$ , i.e.,  $d < \lambda/2$ , or  $k_{\theta} < \pi/d = \pi/0.52 = 6$  cm, which is satisfied in Figure 8.

The poloidal statistical parameters in the standard divertor configuration are illustrated in Figure 10. During the calculation of each data point of the statistical parameter, 15360 sample points (7.68 ms) are used to perform the twopoint cross-correlation. In order to study the statistical parameters in the low frequency turbulence and the broadband turbulence, the raw signals are filtered with a bandpass filter, i.e., 5–30 kHz for the low frequency turbulence, 40–200 kHz for the broadband turbulence, and 5-200 kHz for the turbulence with most of the power  $s(k_{\theta}, f)$ . Note that the spectra  $s(k_{\theta}, f)$  in these three frequency regions have clear structures, which could reduce the noise level of statistical parameters. For the normal field, from the broadband turbulence dominant region to the low frequency turbulence dominant region, the averaged frequency  $\langle f \rangle = \sum f S(f)$  and averaged poloidal wave number  $\langle k_{\theta} \rangle = \sum_{f} \bar{k}_{\theta}(f) S(f)$ reduce gradually with decreasing R, where  $\bar{k}_{\theta}(f) =$  $\sum_{k_{\theta}} k_{\theta} S(k_{\theta}|f)$ is the power-averaged dispersion relationship, as presented in Figure 10 (p) and (q). As shown in Figure 10 (j) and (k), the broadband turbulence (R = 6.057– 6.074 m) has  $\langle f \rangle = 80-100 \text{ kHz}$  and  $\langle k_{\theta} \rangle \approx 1.1 \text{ cm}^{-1}$ ; while in Figure 10 (d) and (e), the low frequency turbulence (R =6.045–6.055 m) has  $\langle f \rangle \approx 12$  kHz and  $\langle k_{\theta} \rangle \approx 0$ . In Figure 10 (m), the turbulence poloidal phase velocity defined by  $V_{phase} = \sum_{k_{\theta}, f} 2\pi f S(k_{\theta}, f) / k_{\theta}$  has its maximum speed of 3 km/s along the direction of ion diamagnetic drift in the broadband turbulence dominant region, then decreases gradually with decreasing R, and turns to electron diamagnetic drift direction with a speed of -2.5 km/s in the radial region of R = 6.037 - 6.042 m where the turbulence in 0–100 kHz has high cross-coherence. In Figure 10 (g), the turbulence phase velocity of the broadband turbulence calculated from frequency range 40–200 kHz is about  $V_{phase} \approx 5$  km/s; while in Figure 10 (a), the phase velocity of the low frequency turbulence calculated from frequency range 5-30 kHz is  $V_{phase} = 1-2$  km/s. Note that error bar of phase velocity is estimated  $\sigma = \sigma_1 + \sigma_2$  , where  $\sqrt{\sum_{k_{\theta},f} [2\pi f S(k_{\theta},f)/k_{\theta} - v_{phase}]^2/(N-1)}$  is the standard deviation in the two-point cross-correlation calculation, where N is the data number of  $S(k_{\theta}, f)$ , and  $\sigma_2$  is the standard

deviation among the probe plunges with similar plasma discharge conditions. In Figure 10 (n), the poloidal correlation  $l_{c_{\theta}} = 1/\langle \sigma_{k\theta} \rangle = 1/\sqrt{\langle \sigma_{k\theta}^2 \rangle}$  increases with the decreasing R, from 1 to 7 cm, with its maximum located in the low frequency turbulence dominant region, where the poloidal wave number spectral width is given by  $\langle \sigma_{k_{\rho}}^2 \rangle =$  $\sum_f \bar{\sigma}_{k_\theta}^2 S(f) = \sum_f S(f) \left\{ \sum_{k_\theta} \left[ k_\theta - \overline{k_\theta}(f) \right]^2 S(k_\theta | f) \right\} \quad . \quad \text{As}$ illustrated in Figure 10 (h) and (b), the poloidal correlation length is  $l_{co} \approx 2$  cm for the broadband turbulence and 8 cm for the low frequency turbulence. In Figure 10 (o), the correlation coefficient  $\gamma = \langle \sum_{f} [\gamma(f). P_{xy}(f)] / \sum_{f} P_{xy}(f) \rangle$ is from 0.5 to 0.95, where  $P_{xy}(f)$  and  $\gamma(f)$  are the CPSD and cross-coherence from cross-correlation calculation, respectively, and the angle bracket here denotes the ensemble average. As presented in Figure 10 (i) and (c),  $\gamma$ in the broadband turbulence is a bit smaller than that of low the frequency turbulence. At the innermost position of the probe, the cross-power  $s(k_{\theta}, f)$  below 30 kHz is much larger than that above 30 kHz, i.e., most of the power is deposited in the low frequency region, with averaged frequency  $\langle f \rangle = 20$ kHz, averaged poloidal wave number  $\langle k_{\theta} \rangle \approx 0$ , correlation coefficient  $\gamma = 0.82$ , poloidal correlation length  $l_{c\theta} = 2$  cm and phase velocity  $V_{phase} = 0.9$  km/s along the direction of electron diamagnetic drift, as shown in the right panels of Figure 10. It is suggested that the scale of turbulence at the innermost position is smaller than that in the radial region of R = 6.045 - 6.055 m. The statistical parameters in the reversed field agree well with those in the normal field, including the radial evolution and amplitude.

Taylor's hypothesis, an assumption that the turbulence structure past a fixed point can be treated as frozen in the mean flow,<sup>27</sup> holds when the turbulence propagates very rapidly in the plasma frame and its structure propagation speed varies little. In the application of the two-point cross-correlation technique in our experiment, the Taylor's hypothesis can be interpreted as follow: the characteristic time scale of turbulence structure should be much larger than the time period of turbulence passing the two probe pins. In our experiment, the poloidal distance between  $\phi_{f3}$  and  $\phi_{f4}$  is 5.2 mm, and the mean flow velocity can be estimated by the turbulence phase velocity which is between 1-4 km/s, and the corresponding passing time is  $\Delta t_{pass} = 1.3-5$  µs. The turbulence auto-correlation time is  $\tau_d = 15-20 \mu s$ , which is much larger than the turbulence passing time, indicating the validation of Taylor's hypothesis in this radial region.

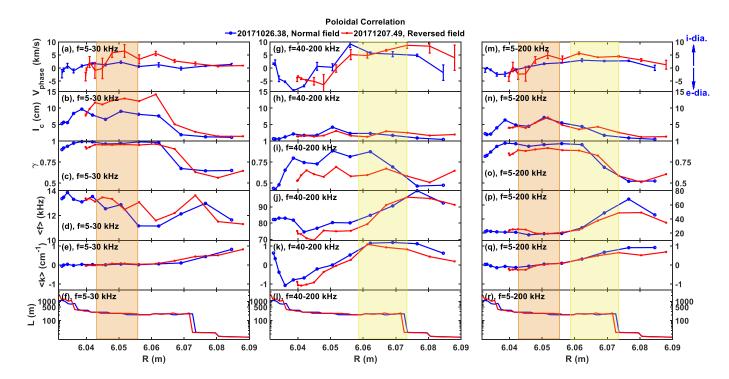


Figure 10. The poloidal statistical parameters in standard configuration, with the frequency range used in this calculation as 5-30 kHz in the left panels, 40-200 kHz in the middle panels and 5-200 kHz in the right panels. (a), (g) and (m): turbulence phase velocity, and the error bar denoting the standard deviation; (b), (h) and (n): poloidal correlation length; (c), (i) and (o): correlation coefficient; (d), (j) and (p): averaged frequency; (e), (k) and (q): averaged poloidal wave number; (f), (l) and (r): field line connection length. The positive poloidal phase velocity is in ion diamagnetic drift direction, while the negative phase velocity is in the electron diamagnetic drift direction.

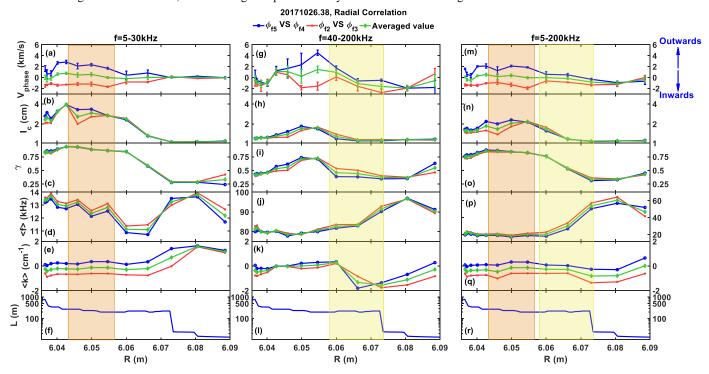


Figure 11. The radial statistical parameters for plasma program #20171026.38, with the frequency range used in this calculation as 5-30 kHz in the left panels, 40-200 kHz in the middle panels and 5-200 kHz in the right panels. The statements of (a-l) are the same as those in Figure 10, expect that (b), (h) and (n) are the radial correlation length. The positive radial phase velocity in (a), (m) and (m) is outward, while the negative phase velocity is inward. The bule (circle) line signifies the correlation between  $\phi_{f5}$  and  $\phi_{f4}$ , the red (cross) signifies the correlation between  $\phi_{f2}$  and  $\phi_{f3}$ , and the green (diamond) line signifies the averaged value of the former two correlations.

The radial statistical parameters derived from two groups of radially separated floating potential pins  $\phi_{f5}$  vs  $\phi_{f4}$  and  $\phi_{f2}$  vs  $\phi_{f3}$  in the normal field are given in Figure 11. As illustrated in Figure 2 (b), the radial and poloidal distances between  $\phi_{f5}$  and  $\phi_{f4}$  is the same as those between  $\phi_{f2}$ and  $\phi_{f3}$ . Furthermore, the poloidal direction from  $\phi_{f5}$  and  $\phi_{f4}$  is opposite with that from  $\phi_{f2}$  and  $\phi_{f3}$ . Although the probe head and tips are designed to align the local flux surface, the poloidal propagation of turbulence could affect the radial cross-correlation between two radially separated pins, because the eddy shape has a certain size and it is tilted in the poloidal vs radial plane. In consequence, an average between a statistical parameter (such as turbulence phase velocity and wave number) from the correlation between  $\phi_{f5}$  and  $\phi_{f4}$ and that between  $\phi_{f2}$  and  $\phi_{f3}$  could eliminate the poloidal effects and reveal the true radial statistical parameter of turbulence. The radial averaged frequency < f > has almost the same radial evolution as the poloidal averaged frequency. The radial correlation coefficient y also reveals similar radial evolution with the poloidal correlation coefficient, only with a slightly smaller value. The radial correlation length  $l_{cr} = 0.2$ -2 cm in frequency region 5-200 kHz increases gradually with decreasing R, with its maximum located in the low frequency turbulence dominant region, as illustrated in Figure 11 (n). In Figure 11 (h), the radial correlation length of the broadband turbulence derived from turbulence within 40-200 kHz is  $l_{cr} = 0.4-0.7$  cm; while for the low frequency turbulence the radial correlation length derived from turbulence within 5-30 kHz is  $l_{cr} = 2.5-4$  cm, as shown in Figure 11 (b). The turbulence radial phase velocity exhibits a large difference between the  $\phi_{f5}$  vs  $\phi_{f4}$  and  $\phi_{f2}$  vs  $\phi_{f3}$  cases, with the former  $V_{phase}$  directed outwards in most of the SOL region but the later  $V_{phase}$  directed inwards, which indicating the existence of the poloidal propagation component of turbulence in the radial cross-correlation between two radially separated pins. Therefore, it is necessary to make an average between the cross-correlations of  $\phi_{f5}$  vs  $\phi_{f4}$  and  $\phi_{f2}$  vs  $\phi_{f3}$ . In Figure 11 (m), the averaged  $V_{phase}$  is close to zero in the radial region of R = 6.06 - 6.075 m, and about 0.1-0.4 km/s in the region of R = 6.045 - 6.055 m. In Figure 11 (a), the averaged phase velocity of low frequency turbulence is  $V_{phase} \approx 0.5$ km/s and directed outwards; while in Figure 11 (g), the averaged phase velocity of broadband turbulence is  $V_{phase} =$ -1.5–1 km/s. In the radial region R = 6.037-6.042 m where the turbulence within 100 kHz has high cross-coefficient, the radial correlation length is about 1.3 cm which is smaller than that of the low frequency turbulence, and the radial phase velocity reduces to negative value and directed inwards.

For the low frequency turbulence in normal field, the poloidal and radial correlation lengths are about  $l_{c\theta}=8$  and  $l_{cr}=2.5-4$  cm, respectively; and the poloidal and radial phase velocities are about  $V_{phase,\theta}=1-2$  km/s and  $V_{phase,r}=0.1-0.4$  km/s, respectively. With these parameters, a sketch of the eddy shape and speed are illustrated in Figure 12. We can see the eddy is elongated, mainly along the local flux surface and

tilted slightly. The eddy motion is mainly along the poloidal direction, since the poloidal speed is much larger than the radial speed.

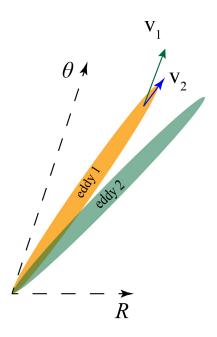


Figure 12. A simple sketch of the eddy shape by using the statistical parameters of the low frequency turbulence in discharge 20171026.38. The velocities  $v_1$  and  $v_2$  signify the angle range of the eddy speed direction.

To summarize the characterization of the SOL turbulence in the standard configuration, some common features are discovered, the SOL turbulence can be classified into four patterns according to its frequency domain and propagation property. The first pattern is the broadband turbulence near the magnetic island center along the probe path, propagating along the direction of the ion diamagnetic drift, accompanied with a large gradient of electron density. The second pattern is located on the inner side of the broadband turbulence, with most of the cross-power deposited in the low frequency region (< 30 kHz), and the poloidal power spectrum  $S(k_{\theta}|f)$ symmetric about  $k_{\theta} = 0$ . The third pattern is located on the inner side of the second one, with a CPSD distributed in a wider frequency range than that in the low frequency turbulence dominant region, and exhibiting a high crosscoherence in 0-100 kHz, propagating along the direction of electron diamagnetic drift. The third pattern turbulence could be related to the gradient of electron temperature because a large gradient of electron temperature is found in the same radial region. The fourth pattern is located in the near SOL (serval millimeter outside the LCFS), with most of the poloidal cross-power  $s(k_{\theta}, f)$  concentrated in the low frequency region (< 30 kHz). In contrast to the low frequency dominant turbulence, the broadband turbulence has smaller poloidal correlation length and auto-correlation time, but larger turbulence poloidal phase velocity and averaged poloidal wave number, indicating that the broadband turbulence is mainly driven by small-scale fluctuations, while the low frequency turbulence is driven by large-scale fluctuations. Previously the radial transport induced by the SOL turbulence has been discussed in detail <sup>13</sup>. The broadband turbulence from several tens kilo Hz to about 200 kHz contributes to outward heat flux and particle flux. The outward radial transport reduces notably in the low frequency turbulence dominant region on the inner side of this broadband turbulence, but increases in the radial region of the third pattern turbulence. The probability distribution function (PDF) of the ion saturation current obeys the Gaussian distribution in the broadband turbulence region, but has elevated tails between the Gaussian and Laplace distributions in the low frequency turbulence region, indicating the smallscale fluctuations in the broadband turbulence region and the enhancement of large-scale fluctuations in the low frequency dominant region.<sup>13</sup> The large-scale SOL turbulence

fluctuations could be referred as filaments, which has been studied in the SOL of W7-X by using a probe array, <sup>28</sup> demonstrating that these SOL filaments reveal the same properties as the interchange mode and have limited contribution to the radial transport due to their small radial displacement. In this paper, the statistical characteristics of the SOL turbulence are discussed detailed, aiming to give a complete physical image of the SOL turbulence in the island divertor configuration of OP 1.2a. The parameters of the four patterns of the SOL turbulence in normal field of standard divertor configuration are presented in Table 1. In the reversed field case, the statistical parameter and propagation properties of the SOL turbulence agree well with those in normal field case, demonstrating the same physical mechanism of turbulence in the SOL.

Table 1. The parameters of four patterns of SOL turbulence in the normal filed of the standard divertor configuration.

Turbulence Patterns	I	II	III	IV
R	6.057–6.074 m	6.045–6.055 m	6.037–6.042 m	< 6.037 m
Dominant frequency	40–160 kHz	0–30 kHz	0–100 kHz	0–30 kHz
Turbulence propagation	Ion diamagnetic drift	$S(k_{\theta}, f)$ symmetric about $k_{\theta} = 0$	Electron diamagnetic drift	$k_{ heta} pprox 0$
Poloidal correlation length	1–2 cm	6–9 cm	4–6 cm	2 cm
Radial correlation length	0.3–0.6 cm	2.5–4 cm	1.3 cm	_

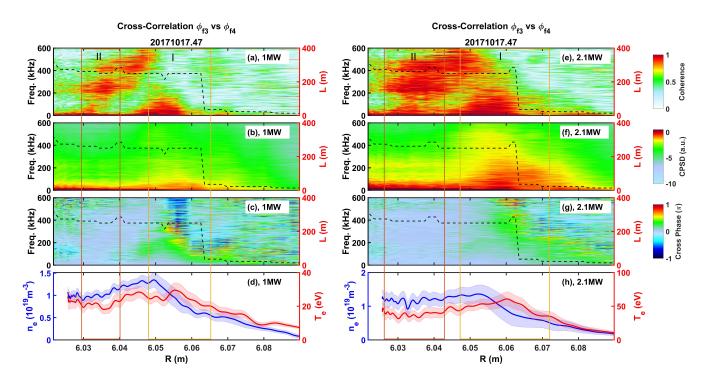


Figure 13. The cross-correlation between two floating potential pins in high mirror configuration. Cross-coherence (a) and (e); CPSD (b) and (f); Cross-phase (c) and (g). The profiles of electron density and temperature are shown in (d) and (h). The field line connection length is shown in the dashed lines. The rectangles denote the radial region of turbulence patterns.

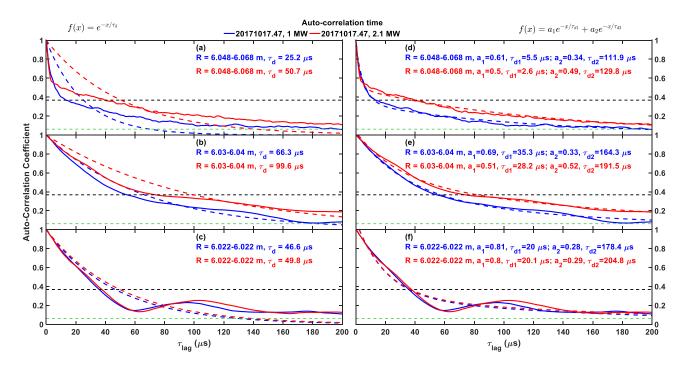


Figure 14. The auto-correlation function of the floating potential in high mirror configuration. The auto-correlation time  $\tau_d$  is fitted by  $f(t) = e^{-t/\tau_d}$  and displayed in panels (a-c), as illustrated in the dashed lines; in panels (d-f), the auto-correlation time is fitted by bi-exponential model  $f(t) = a_1 e^{-t/\tau_{d1}} + a_2 e^{-t/\tau_{d2}}$ . The green dashed line denotes the noise level.

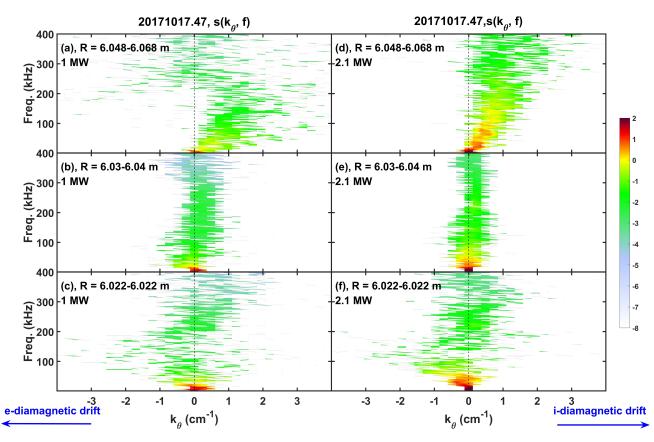


Figure 15. The poloidal cross-power spectral density  $s(k_{\theta}, f)$  of high mirror configuration. The ECRH power of left panels (a-c) is 1 MW, and 2.1 MW for the right panels (d-f). From the top row to the bottom row, the radial position of the probe decreases gradually. The positive poloidal wave number  $k_{\theta}$  denotes the direction of ion diamagnetic drift, while the negative  $k_{\theta}$  denotes the direction of the electron diamagnetic drift.

## IV. SOL Turbulence in high mirror configuration

In this section, a plasma program #20171017.47 in the high mirror configuration is analyzed. The reciprocating probe was plunged twice to measure the SOL turbulence structure in two ECRH power plateaus, with  $P_{ECRH} = 1$  and 2.1 MW, respectively. The APSD of floating potential is shown in Figure 5 (f) and (g), which has a clear existence of broadband turbulence in the outer SOL and low frequency turbulence in the inner SOL. In addition, the frequency range of the broadband turbulence in high mirror configuration is much wider than that in standard configuration, especially in the 2.1 MW case. As shown in Figure 13, the poloidal crosscorrelation of the SOL turbulence in high mirror configuration also exhibits similar radial structure with that in the standard configuration. As shown in Figure 1 (a), the LCFS is located at R = 6.005 m, and the innermost position of the reciprocating probe in this discharge is at R = 6.022 m, i.e., the probe is 17 mm outside the LCFS. In this case, only the first two SOL turbulence patterns can be observed in high mirror configuration, because the probe doesn't reach the radial region of the last two turbulence patterns. This can be confirmed by the flat profile of  $n_e$  and  $T_e$  when R < 6.04m, as illustrated in Figure 13 (d) and (h), while the third turbulence pattern is accompanied with a large gradient of  $T_e$ . Since the magnetic island and the LCFS in high mirror configuration are shifted inward when compared to those in the standard configuration, the locations of the first two SOL turbulence patterns are shifted accompanied with the magnetic island topology. In addition, the CPSD is enhanced significantly in the 2.1 MW case, and the extension of its frequency range is also observed, as shown in Figure 13 (b) and (f).

The turbulence auto-correlation time is presented in Figure 14. In Figure 14 (a-c), we can see the normal fitting f(t) = $e^{-t/\tau_d}$  has large difference with the auto-correlation function. Therefore, we use the bi-exponential model f(t) = $a_1e^{-t/\tau_{d1}} + a_2e^{-t/\tau_{d2}}$  to distinguish the fast and slow decay components. For the broadband turbulence region in Figure 14 (d), the fast decay time  $\tau_{d1}$  is 5.5 and 2.6 µs for the 1MW and 2.1 MW cases, while the slow decay time  $\tau_{d2}$  is 112 and 130 μs for the 1 and 2.1 MW cases. The weighted factor  $a_1/a_2 =$ 0.61/0.34 and 0.5/0.49 corresponding to 1 and 2.1 MW cases. If compared with the results from standard configuration in Figure 7 (e), the fitting curves match the auto-correlation function very well when  $\tau_{lag} < 10$  µs in high mirror configuration, and the corresponding fast decay time  $\tau_{d1}$  is close to that derived from the 40-200 kHz turbulence in standard configuration. This observation may be related to the wide distribution of turbulence in frequency space and the enhancement of power spectral density in high frequency region, which can be seen in Figure 5 and Figure 13. For the low frequency turbulence dominant region in Figure 14 (e), the fast decay time increases to about 30 µs, and the slow decay time increases to 164 and 192 µs for the 1 and 2.1 MW

cases. The ratio of weighted factor  $a_1/a_2 = 0.69/0.33$  and 0.51/0.52 for the 1 and 2.1 MW cases. In contrast with the results of standard configuration in Figure 7 (f), the fast decay time in high mirror configuration is a bit larger for the second turbulence pattern, but the corresponding weighted factor  $a_1$  decreases significantly. In both the broadband and low frequency turbulence dominant regions, the slow decay component plays an important role in the turbulence decay time since its weighted factor  $a_2 = 0.33$ -0.52. Furthermore, when the heating power raises from 1 MW to 2.1 MW, the fast decay time decreases, while the slow decay time increases, indicating the existence of long-time correlation and enhancement of the related large-scale fluctuations in higher heating power case.

The poloidal cross-correlation spectrum  $s(k_{\theta}, f)$  for the two patterns of the SOL turbulence is shown in Figure 15. The broadband turbulence is located in the radial region of R =6.048-6.068 m, and the  $s(k_{\theta}, f)$  is illustrated in Figure 15 (a) and (d). In the two ECRH power cases, the turbulence below 200 kHz is located on the side of  $k_{\theta} > 0$ , signifying that the broadband turbulence propagates along the direction of ion diamagnetic drift in the laboratory frame. In addition, the poloidal cross-power spectral density in 2.1 MW case is larger than that in 1 MW case. The dispersion relationship of the broadband turbulence is clear in the poloidal conditional spectrum  $S(k_{\theta}|f)$ , as shown in Figure 16 (a) and (d). The group velocity in the laboratory frame of this broadband turbulence can be derived by  $V_{group} = 2\pi \Delta f/\Delta k_{\theta}$ , with 4.3±1.5 and 12.7±1.5 km/s in the direction of ion diamagnetic drift corresponding to the 1 and 2.1 MW cases, respectively. The mean value of the poloidal electric drift velocity in the radial region of R = 6.048-6.068 m is  $V_{E\times B} = E_r/B_{\varphi} \approx 2.3\pm 1$ and 4.5±0.5 km/s in the direction of ion diamagnetic drift for the 1 and 2.1 MW cases. In consequence, the group velocity of the broadband turbulence in the plasma frame is  $V_{group}$  –  $V_{E\times B}\approx 2\pm 2.5$  and 7.2±2 km/s in the ion diamagnetic drift direction for 1 and 2.1 MW cases, respectively. In Figure 15 (b) and (e), the poloidal spectrum  $s(k_{\theta}, f)$  is almost symmetric about  $k_{\theta} = 0$ , and most of the spectral power is deposited in the low frequency turbulence. At the innermost point of the probe, as listed in Figure 15 (c) and (f),  $s(k_{\theta}, f)$ is concentrated in the low frequency turbulence below 30 kHz. These two patterns of SOL turbulence are consistent with those observed in the standard divertor configuration.

In the SOL plasma of limiter configuration on W7-X, the spectra  $s(k_{\theta}, f)$  and  $S(k_{\theta}|f)$  are mainly distributed on the side of  $k_{\theta} > 0$ , i.e., propagating along the direction of ion diamagnetic drift in the near SOL (16 mm outside the LCFS). <sup>14</sup> In the standard and high mirror configurations, the SOL turbulence reveals four patterns of structure, which suggests that the SOL turbulence is affected significantly by the edge magnetic topology in W7-X.

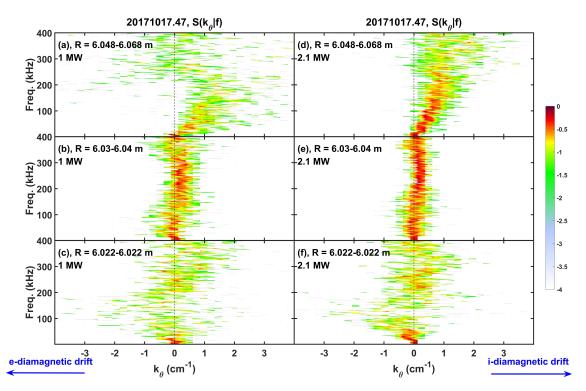


Figure 16. The conditional poloidal cross-power spectral density  $S(k_{\theta}|f)$  for high mirror configuration, with 1 MW ECRH power for panels (a-c) and 2.1 MW for panels (d-f).

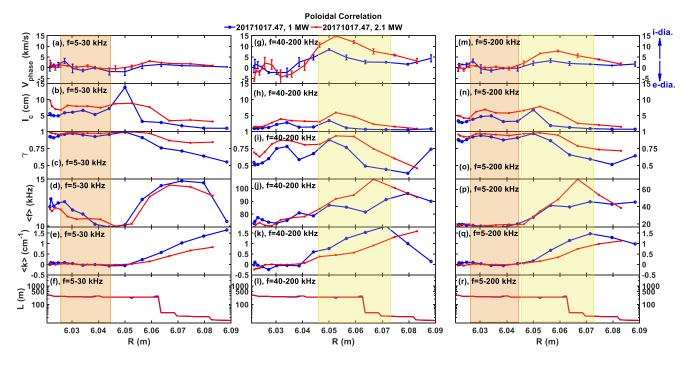


Figure 17. The poloidal statistical parameters for the high mirror configuration, with the frequency range used in this calculation as 5-30 kHz in the left panels, 40-200 kHz in the middle panels and 5-200 kHz in the right panels. The statements of (a-l) are the same as those in Figure 10.

The poloidal statistical parameters in high mirror configuration is presented in Figure 17, and exhibits similar radial evolution with those in the standard configuration. In the 1 MW case, the broadband turbulence in radial region R > 6.05 m has the following statistical parameters: when derived from the fluctuations in 5–200 kHz, turbulence poloidal phase

velocity  $V_{phase} = 1.5-3$  km/s, poloidal correlation length  $l_{c\theta} = 1-6$  cm, correlation coefficient  $\gamma = 0.5-0.97$ , averaged frequency  $\langle f \rangle = 20-45$  kHz, and averaged poloidal wave number  $\langle k_{\theta} \rangle = 0.4-1.5$  cm<sup>-1</sup>; when derived from the fluctuations in 40–200 kHz,  $V_{phase} = 2.5-8.5$  km/s,  $l_{c\theta} = 0.6-3.5$  cm,  $\gamma = 0.45-0.87$ ,  $\langle f \rangle \approx 90$  kHz, and  $\langle k_{\theta} \rangle = 0.7-1.8$ 

cm<sup>-1</sup>. In the 2.1 MW case, the statistical parameters of the broadband turbulence are as follows: when derived from the fluctuations in 5–200 kHz,  $V_{phase} = 2-7.8$  km/s,  $l_{c\theta} = 2-8$ cm,  $\gamma = 0.7-0.98$ ,  $\langle f \rangle = 20-70$  kHz, and  $\langle k_{\theta} \rangle = 0.05-0.9$  cm<sup>-</sup> 1; when derived from the fluctuations in 40-200 kHz,  $V_{phase} = 6-14 \text{ km/s}, l_{c\theta} = 2-6 \text{ cm}, \gamma = 0.7-0.93, < f > = 80-$ 110 kHz, and  $\langle k_{\theta} \rangle = 0.37-1.3$  cm<sup>-1</sup>. If compared with the results derived from fluctuations in 5-200 kHz, the statistical parameters derived from fluctuations in 40-200 kHz fluctuations directly reflect the properties of the broadband turbulence in the radial range of R = 6.048-6.072 m. For example, the poloidal phase velocities in Figure 17 (g) are much closer to the group velocity of broadband turbulence in Figure 16 (d) than the phase velocity in Figure 17 (m). With the raise of ECHR heating power, significant increases of  $V_{phase}$ ,  $l_{c\theta}$ ,  $\langle f \rangle$  are observed, and the decrease of  $\langle k_{\theta} \rangle$  is also obvious. In the low frequency turbulence dominant region, the statistical parameters are as follows: when derived from the fluctuations in 5–30 kHz,  $V_{phase} = -1.7-1$  km/s,  $l_{c\theta} = 5-6.7$ cm,  $\gamma = 0.92 - 0.97$ ,  $< f > \approx 11$  kHz, and  $< k_{\theta} > \approx 0$  cm<sup>-1</sup> for the 1 MW case;  $V_{phase} = -1-1$  km/s,  $l_{c\theta} = 7-8$  cm,  $\gamma \approx 0.98$ ,  $< f > \approx$ 11 kHz, and  $\langle k_{\theta} \rangle \approx 0$  cm<sup>-1</sup> for the 2.1 MW case. A strong enhancement of the poloidal correlation length due to the increase of the ECRH heating power is observed, indicating larger scale of turbulence in higher heating power case.

In both the standard and high mirror configurations, the statistical characteristics of the SOL turbulence exhibit the same radial evolution, which confirms that the SOL turbulence could be classified into four patterns, as discussed in section III. In the limiter configuration on W7-X, the phase velocity in the SOL is from -0.5 to 0.9 km/s and the poloidal correlation length is from 0.4 to 1 cm. <sup>14</sup> In consequence, the turbulence phase velocity and the poloidal correlation length in the divertor configuration are an order of magnitude larger than those in the limiter configuration on W7-X.

#### V. SOL Turbulence in high iota configuration

The SOL magnetic island is very narrow in high iota configuration, with the LCFS located at R = 6.001 m, as illustrated in Figure 1. Besides, a global oscillation with a frequency about 200 Hz is observed by some diagnostics covering the core and edge plasma in high iota configuration, which is also measured by the reciprocating probe in the SOL, as illustrated in the large amplitude oscillations of floating potential in Figure 18 (a). Due to the small size of the SOL island and the large global oscillation, the SOL turbulence in high iota configuration is quite different with that in the standard and high mirror configurations. As shown in Figure 18 (a), the signal of floating potential is very weak in the far SOL (R > 6.023 m), starts to increase when R < 6.018 m, and further increases when R < 6.005 m. The APSD of the floating potential is enhanced with the decreasing major radius R, as presented in Figure 18 (b). Note that the highlighted horizontal bands are caused by the 200 Hz global oscillations. The crosscorrelation between  $\phi_{f3}$  and  $\phi_{f4}$  is displayed in Figure 18 (d-f). When the connection length increases to about 100 m, the CPSD increases gradually with the decreasing R. When R < 6.005 m, the CPSD is further enhanced not only in the amplitude, but also distributed in a wider frequency space. As shown in Figure 18 (d), only the low frequency (below 20 kHz) turbulence has high cross-coherence in the radial region of R > 6.01 m. In the near SOL (R < 6.05 m), the frequency range with high cross-coherence is much wider and expands up to 100 kHz. In the region with high cross-coherence, the cross-phase is positive and the value is very small.

The turbulence auto-correlation time in high iota configuration is shown in Figure 19 with four radial positions. The turbulence auto-correlation time  $\tau_d$  from fitting equation  $f(t) = e^{-t/\tau_d}$  is 17.3, 15.7, 27.9 and 29.3 µs corresponding to four radial regions labelled in Figure 19 (ad), respectively. However, the fitting curves have some difference with the auto-correlation function, especially in the small  $\tau_{lag}$  region. With bi-exponential model f(t) = $a_1 e^{-t/\tau_{d1}} + a_2 e^{-t/\tau_{d2}}$ , the fitting curves match the autocorrelation function very well, as shown in the right panels of Figure 19. In Figure 19 (f), the fast decay component with  $\tau_{d1} = 4.3 \,\mu s$  and slow decay component with  $\tau_{d2} = 54.2 \,\mu s$ are observed when R = 6.011-6.015 m, with the corresponding weighted factor  $a_1/a_2 = 0.59/0.37$ . In the near SOL, as shown in Figure 19 (g), the fast decay time  $\tau_{d1} = 24.7 \, \mu s$  while the contribution from slow decay component is much weaker. Around the LCFS, the autocorrelation time is  $\tau_{d1}/\tau_{d2} = 15.7$  µs/107 µs, and the weighted factor is  $a_1/a_2 = 0.62/0.28$ .

The poloidal cross-power spectral density  $s(k_{\theta}, f)$  and  $S(k_{\theta}|f)$  in high iota configuration, derived from two floating potential pins  $\phi_{f3}$  and  $\phi_{f4}$ , are presented in Figure 20. In the far SOL, the poloidal power spectrum  $S(k_{\theta}, f)$  is very small compared with that in the near SOL, and there is no clear dispersion relationship. In the radial region R = 6.001-6.005m, the poloidal power spectrum  $s(k_{\theta}, f)$  increases significantly in 0-400 kHz, especially for the low frequency turbulence (below 50 kHz) which has a symmetrical distribution of  $S(k_{\theta}|f)$  about  $k_{\theta} = 0$ . The turbulence in the frequency range above 50 kHz reveals a clear dispersion relationship, propagating along the direction of the ion diamagnetic drift with a group velocity  $V_{group} = 2\pi\Delta f/$  $\Delta k_{\theta} \approx 8\pm0.7$  km/s in the laboratory frame, as illustrated in Figure 20 (c) and (g). If the poloidal electric drift velocity  $V_{E\times R} \approx 2\pm 1$  km/s in the ion diamagnetic drift is taken into account, the propagation velocity of this turbulence structure is about 6±1.7 km/s along the ion diamagnetic drift direction in the plasma frame. At the innermost point of the probe (around LCFS), most of the power  $S(k_{\theta}, f)$  is deposited in the frequency below 80 kHz, and the turbulence above 80 kHz also propagates along the ion diamagnetic drift direction.

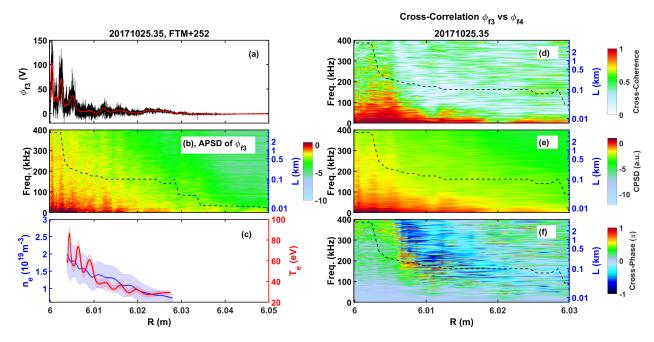


Figure 18. The turbulence power spectra in the high iota configuration. (a) The fluctuation level of the floating potential; (b) the APSD of the floating potential  $\phi_{f3}$ ; (c) the electron density and temperature profiles measured by probe; the cross-correlation between two floating potential pins ( $\phi_{f3}$  and  $\phi_{f4}$ ), with the cross-coherence (d), the CPSD (e) and the cross-phase (f). The field line connection length is shown in the black dashed lines.

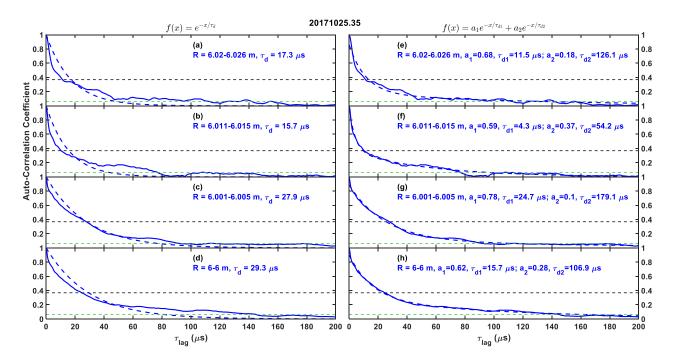


Figure 19. The auto-correlation function of the floating potential in high iota configuration. The auto-correlation time  $\tau_d$  is fitted by  $f(t) = e^{-t/\tau_d}$  and displayed in panels (a-c), as illustrated in the dashed lines; in panels (d-f), the auto-correlation time is fitted by bi-exponential model  $f(t) = a_1 e^{-t/\tau_{d1}} + a_2 e^{-t/\tau_{d2}}$ . The green dashed line denotes the noise level.

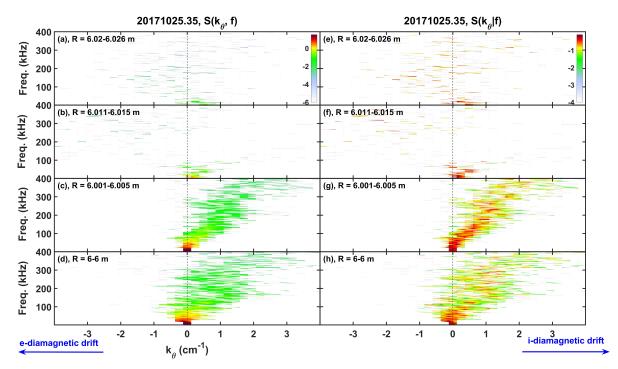


Figure 20. The poloidal cross-power spectral density  $s(k_{\theta}, f)$  (a-d) and the poloidal conditional cross-power spectral density  $S(k_{\theta}|f)$  (e-h) in high iota configuration. The direction of  $k_{\theta}$  is illustrated at the bottom panels.

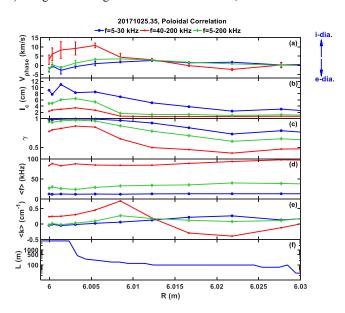


Figure 21. The poloidal statistical parameters in the high iota configuration, with the frequency range used in this calculation as 5-30 kHz in the blue curves, 40-200 kHz in the red curves and 5-200 kHz in the green curves. (a) Turbulence phase velocity, and the error bar denoting the standard deviation; (b) correlation length; (c) correlation coefficient; (d) averaged frequency; (e) averaged wave number; (f) field line connection length.

The statistical parameters in high iota configuration are presented in Figure 21. In R = 6.018–6.03 m, the statistical parameters derived from fluctuations in 5–200 kHz are as follows: averaged frequency  $< f> \approx 40$  kHz, averaged poloidal wave number  $< k_\theta > = 0.07$ –0.11 cm<sup>-1</sup>, correlation coefficient  $\gamma = 0.6$ –0.7, poloidal correlation length  $l_{c\theta} \approx 1.2$  cm, turbulence

phase velocity 0-1 km/s. From R = 6.017 to 6.008 m, significant increases are observed in the statistical parameters derived from fluctuations in 5–200 kHz:  $\langle k_{\theta} \rangle$  from 0.1 to 0.25 cm<sup>-1</sup>,  $\gamma$  from 0.7 to 0.87,  $V_{phase}$  from 1.5 to 3.5 km/s. While the averaged frequency <f> decreases slightly in this radial region, and the poloidal correlation length  $l_{c\theta} \approx 1.3$  cm. From R = 6.005 m to 6.001 m,  $V_{phase}$  and  $\langle k_{\theta} \rangle$  decrease significantly and they are close to zero near the LCFS (R = 6.001 m); < f >decreases to about 24 kHz;  $\gamma$  and  $l_{c\theta}$  increase remarkably and reach their maximums at R = 6.003 m, then decrease gradually with decreasing R. As illustrated in Figure 18 and Figure 20, the frequency range with high APSD and CPSD extends to over 200 kHz when R < 6.005 m. In this radial region, the poloidal phase velocity derived from fluctuations in 40–200 kHz is higher than that from fluctuations in 5-200 kHz, with  $V_{phase} = 6-10$  km/s which is close to the group velocity in Figure 20 (g). The corresponding poloidal correlation length is  $l_{c\theta} = 2.5-3.5$  cm. As shown in Figure 4, the connection length starts to increases greatly when R < 6.008 m, and the electron temperature and density also increase sharply in this region, which is consistent with the observation of the clear turbulence dispersion relationship in Figure 20 (c) and (g).

#### VI. Summary

The statistical characteristics of the SOL turbulence in the first divertor plasma operation of W7-X have been measured by the reciprocating probe, exhibiting a strong dependence on the magnetic configuration, toroidal field direction and ECRH heating power. Since the standard divertor and high mirror configurations have similar SOL magnetic island structure along the path of reciprocating probe, they have some common features on the radial structure of the SOL turbulence and the corresponding statistical parameters. According to the turbulence frequency domain and propagation property, the

SOL turbulence in standard and high mirror configurations can be classified into four patterns. The first pattern is the broadband turbulence near the magnetic island center along the probe path, propagating along the direction of ion diamagnetic drift, accompanied with a large gradient of electron density. The second pattern is located on the inner side of the broadband turbulence, with most of the crosspower deposited in the low frequency region (< 30 kHz), and the poloidal power spectrum  $s(k_{\theta}, f)$  symmetric about  $k_{\theta} =$ 0. The third pattern is located on the inner side of the second one, with a CPSD distributed in a wider frequency range than that in the low frequency turbulence dominant region, and exhibiting a high cross-coherence in 0–100 kHz, propagating along the direction of the electron diamagnetic drift. The third pattern turbulence could be related to the gradient of electron temperature because a large gradient of electron temperature is found in the same radial region. The fourth pattern is located in the near SOL (serval millimeter outside the LCFS), with most of the poloidal power spectrum  $s(k_{\theta}, f)$  concentrated in the low frequency region (< 30 kHz), and  $k_{\theta} \approx 0$ .

The turbulence statistical parameters have been derived by using the two-point cross-correlation technique. In the standard configuration, the typical statistical parameters of the four patterns turbulence are as below: the first pattern (broadband) turbulence has a turbulence phase velocity around 4–10 km/s along the ion diamagnetic drift direction, and a poloidal correlation length around 1–3 cm; the second pattern (low frequency dominant) turbulence has much smaller turbulence phase velocity and larger poloidal correlation length around 6–12 cm; In the radial region of the third pattern turbulence, the turbulence phase velocity turns to the direction of electron diamagnetic drift, and the poloidal correlation length is around 4-6 cm which is smaller than that of the second pattern turbulence.

The turbulence auto-correlation time is about 5 µs for the broadband turbulence and over 25 µs for the low frequency turbulence. Besides, the turbulence auto-correlation time can be decomposed into fast and slow decay components in the regions of the first and second turbulence patterns. In contrast to the low frequency dominant turbulence, the broadband turbulence has smaller poloidal correlation length and autocorrelation time, but larger turbulence phase velocity and averaged poloidal number, indicating that the broadband turbulence is mainly driven by small-scale fluctuations, while the low frequency turbulence is driven by large-scale fluctuations. In the radial region of the third pattern turbulence, the decreases of turbulence auto-correlation time and poloidal correlation length indicate that the turbulence in 0-100 kHz with high cross-coherence contributes significantly to the turbulence behaviour. Compared to the standard configuration, the locations of the SOL turbulence patterns in high mirror configuration are shifted according to the magnetic topology.

The effect of the ECRH heating power on the broadband turbulence is obvious. The turbulence power spectral density, phase velocity, poloidal correlation length and averaged frequency can be increased significantly by raising the ECRH

heating power, but the averaged poloidal wave number decreases significantly at the same time. When the toroidal magnetic field changes from anti-clockwise direction viewed from top (normal field) to clockwise direction (reversed field), the SOL turbulence propagation direction is reversed in the space but remains in the same diamagnetic drift direction. The radial statistical parameters are analysed by two groups of radially separated floating potential pins in the standard divertor configuration, with a relatively small radial correlation length for the broadband turbulence, and a value up to 3 cm for the low frequency turbulence. An outward propagation of the low frequency turbulence is observed.

In the high iota configuration, turbulence in the far SOL is very weak due to the narrow SOL island, and no clear dispersion relationship can be found in the poloidal cross-correlation spectrum. In the near SOL, the turbulence is enhanced significantly, and exhibits a clear turbulence propagation in the direction of ion diamagnetic drift. The poloidal correlation length is about 2–3 cm in the near SOL. Because of the much narrower width of the SOL magnetic island in high iota configuration when compared to the other two configurations, the four-pattern SOL turbulence structure is not observed. This confirms that the SOL turbulence and the statistical parameters in W7-X are affected significantly by the local magnetic topology.

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# Data availability

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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