1	How young children integrate information sources to infer the meaning of words
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#### Abstract

Before formal education begins, children typically acquire a vocabulary of thousands of 9 words. This learning process requires the use of many different information sources in their 10 social environment, including the context in which they hear words used and their current 11 state of knowledge. How is this information integrated? We specify a developmental model 12 according to which children consider information sources in an age-specific way and 13 integrate them via Bayesian inference. This model accurately predicted 2-to-5 year-old 14 children's word learning across a range of experimental conditions in which they had to 15 integrate three information sources. Model comparison suggests that the central locus of 16 development is an increased sensitivity to individual information sources, rather than 17 changes in integration ability. This work presents a quantitative developmental theory of 18 information integration during language learning, and illustrates how formal models can be 19 used to make a quantitative test of the predictive and explanatory power of competing 20 theories. 21

*Keywords:* language acquisition, social cognition, pragmatics, Bayesian modeling,
 common ground

How young children integrate information sources to infer the meaning of words

Human communicative abilities are unrivaled in the animal kingdom.<sup>1-3</sup> Language – 25 in whatever modality – is the medium that allows humans to collaborate and coordinate in 26 species-unique ways, making it the bedrock of human culture and society.<sup>4</sup> Thus, to absorb 27 the culture around them and become functioning members of society, children need to learn 28 language.<sup>5</sup> A central problem in language learning is referent identification: To acquire the 29 conventional symbolic relation between a word and an object, a child must determine the 30 intended referent of the word. However, there is no unique cue to reference that can be 31 used across all situations.<sup>6</sup> Instead, referents can only be identified inferentially by 32 reasoning about the speaker's intentions.<sup>7–10</sup> That is, the child has to infer what the speaker 33 is communicating about based on information sources in the utterance's social context. 34

From early in development, children use several different mechanisms to harness 35 social-contextual information sources.<sup>7,9,11</sup> Children expect speakers to use novel words for 36 unknown objects,<sup>12–15</sup> to talk about objects that are relevant,<sup>16,17</sup> new in context,<sup>18,19</sup> or 37 related to the ongoing conversation.<sup>20–22</sup> These different mechanisms, however, have been 38 mainly described and theorized about in isolation. The picture of the learning process that 39 emerges is that of a "bag of tricks": mechanisms that operate (and develop) independently 40 from one another.<sup>11</sup> As such, this view of the learning process does not address the 41 complexity of natural social interaction during which many sources of information are 42 present.<sup>6,23</sup> How do children arbitrate between these sources in order to accurately infer a 43 speaker's intention? 44

When information integration is studied directly, the focus is mostly on how children interpret or learn words in light of social-contextual information.<sup>24–32</sup> In one classic study,<sup>33</sup> children faced a 2 x 2 display with a ball, a pen and two glasses in it. The speaker, sitting on the opposite side of the display, saw only three of the four compartments: the ball, the pen, and one of the glasses. When the speaker asked for "the glass", children had to

integrate the semantics of the utterance with the speaker's perspective to correctly infer 50 which of the glasses the speaker was referring to. This study advanced our understanding 51 by documenting that preschoolers use both information sources, a finding confirmed by a 52 variety of other work.<sup>26,29,31</sup> Yet these studies do not specify – or test – the process by which 53 children integrate different information sources. When interpreting their findings, work in 54 this tradition refers to social-pragmatic theories of language use and learning,<sup>9,10,34-36</sup> all of 55 which assume that information is integrated as part of a social inference process, but none 56 of which clearly defines the process. As a consequence, we have no explicit and quantitative 57 theory of how different information sources (and word learning mechanisms) are integrated. 58

We present a theory of this integration process. Following social-pragmatic theories of 59 language learning,<sup>9,10</sup> our theory is based on the following premises: information sources 60 serve different functional roles but are combined as part of an integrated social inference 61 process.<sup>34–37</sup> Children use all available information to make inferences about the intentions 62 behind a speaker's utterance, which then leads them to correctly identify referents in the 63 world and learn conventional word-object mappings. We formalize the computational steps 64 that underlie this inference process in a cognitive  $model^{38-40}$ . In contrast to earlier 65 modelling work, we treat word learning as the outcome of a social inference process instead 66 of a cross-situational<sup>41,42</sup> or principle-based learning process.<sup>43</sup> In the remainder of this 67 paper, we rigorously test this theory by asking how well it serves the two purposes of any 68 psychological theory: prediction and explanation.<sup>44,45</sup> First, we use the model to make 69 quantitative predictions about children's behavior in new situations – predictions we test 70 against new data. This form of model testing has been successfully used with adults<sup>38,46</sup> 71 and here we extend it to children. Next, we quantify how well the model explains the 72 integration process by comparing it to alternative models that make different assumptions 73 about whether information is integrated, how it is integrated, and how the integration 74 process *develops*. Alternative models either assume that children ignore some information 75 sources or - in line with a "bag of tricks" approach - they assume that children compute 76

<sup>77</sup> isolated inferences and then weigh their outcome in a post-hoc manner.

We focus on three information sources that play a central part in theorizing about language use and learning: (1) expectations that speakers communicate in a cooperative and informative manner,<sup>12,16,35</sup> (2) shared common ground about what is being talked about in conversation,<sup>36,47,48</sup> and (3) semantic knowledge about previously learned word-object mappings.<sup>11,49</sup>

Our rational integration model arbitrates between information sources via Bayesian 83 inference (see Fig. 1f for model formulae). A listener  $(L_1)$  reasons about the referent of a 84 speaker's  $(S_1)$  utterance. This reasoning is contextualized by the prior probability of each 85 referent  $\rho$ . We treat  $\rho$  as a conversational prior which originates from the common ground 86 shared between the listener and the speaker. This interpretation follows from the social 87 nature of our experiments (see below). From a modelling perspective,  $\rho$  can be (and also 88 also has been) used to capture non-social aspects of a referent, for example its visual 89 salience<sup>38</sup>. To decide between referents, the listener  $(L_1)$  reasons about what a rational 90 speaker  $(S_1)$  with informativeness  $\alpha$  would say given an intended referent. This speaker is 91 assumed to compute the informativity for each available utterance and then choose the 92 most informative one. The informativity of each utterance is given by imagining which 93 referent a listener, who interprets words according to their literal semantics (what we call a 94 literal listener,  $L_0$ ), would infer upon hearing the utterance. Naturally, this reasoning 95 depends on what kind of semantic knowledge (for object j)  $\theta_j$  the speaker ascribes to the 96 (literal) listener. 97

Taken together, this model provides a quantitative theory of information integration during language learning. The three information sources operate on different timescales: speaker informativeness is a momentary expectation about a particular utterance, common ground grows over the course of a conversation, and semantic knowledge is learned across development. This interplay of timescales has been hypothesized to be an important

component of word meaning inference,  $^{42,50}$  and we link these different time-dependent 103 processes together via their hypothesized impact on model components. Furthermore, the 104 model presents an explicit and substantive theory of development. It assumes that, while 105 children's sensitivity to the individual information sources increases with age, the way 106 integration proceeds remains constant.<sup>7,51</sup> In the model, this is accomplished by creating 107 age-dependent parameters capturing developmental changes in sensitivity to speaker 108 informativeness ( $\alpha_i$ , Fig. 1d), the common ground ( $\rho_i$ , Fig. 1c), and object specific 109 semantic knowledge ( $\theta_{i,j}$ , Fig. 1e). 110

To test the predictive and explanatory power of our model we designed a 111 word-learning experiment in which we jointly manipulated the three information sources 112 (Fig. 1). Children interacted on a tablet computer with a series of storybook speakers.<sup>52</sup> 113 This situation is depicted in Fig. 1a iv, in which a speaker (here, a frog) appears with a 114 known object (a duck, left) and an unfamiliar object (the diamond-shaped object, right). 115 The speakers used a novel word (e.g., "wug") in the context of two potential referents, and 116 then the child was asked to identify a new instance of the novel word, testing their 117 inference about the speaker's intended referent. To vary the strength of the child's 118 inference, we systematically manipulated the familiarity of the known object (from e.g., the 119 highly familiar "duck" to the relatively unfamiliar "pawn") and whether the familiar or 120 novel object was new to the speaker (meaning that it was not part of common ground). 121

This paradigm allows us to examine the integration of the three information sources 122 described above. First, the child may infer that a cooperative and informative speaker  $^{12,16}$ 123 would have used the word "duck" to refer to the known object (the duck); the fact that the 124 speaker did not say "duck" then suggests that the speaker is most likely referring to a 125 different object (the unfamiliar object). This basic inference is oftentimes referred to as a 126 mutual exclusivity inference.<sup>13,15</sup> Second, the child may draw upon what has already been 127 established in the common ground with the speaker. Listeners expect speakers to 128 communicate about things that are new to the common ground.<sup>18,19</sup> Thus, the inference 129

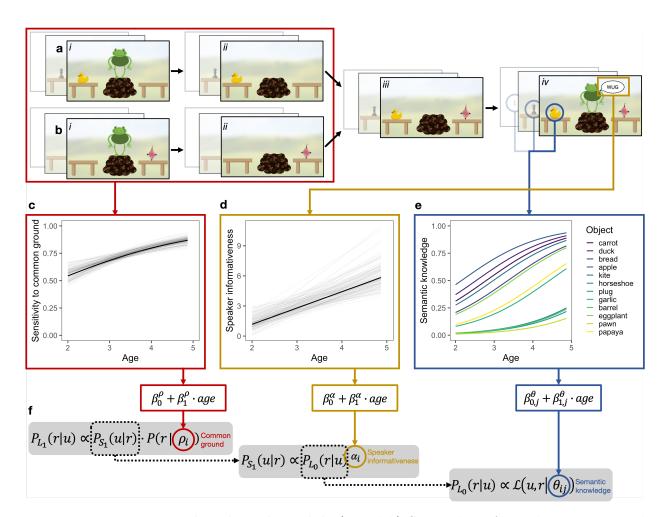


Figure 1. Experimental task and model. (a and b) Screenshots from the experimental task. (i) The speaker encounters one object and then leaves the scene. (ii) While the speaker is away, (iii) a second object appears, (iv) when returning, the speaker uses a novel word to request an object. Sections (i) to (iii) establish common ground between the speaker and the listener, in that one object is new in context (red). The request in (iv) licenses an inference based on expectations about how informative speakers are (gold). Listeners' semantic knowledge enters the task because the identity of the known object on one of the tables is varied from well-known objects like a duck to relatively unfamiliar objects like a chess pawn (total of 12 objects – blue). (a) shows the condition of the experiment in which common ground information is congruent (i.e., point to the same object) with speaker informativeness and (b) shows the incongruent condition. The congruent and incongruent conditions are each paired with the 12 known objects, resulting in 24 unique conditions. Developmental trajectories are shown for (c) sensitivity to common ground, (d) speaker informativeness and (e) semantic knowledge, estimated based on separate experiments (see main text). (f) gives the model equation for the rational integration model and links information sources to model parameters.

about the novel word referring to the unfamiliar object also depends on which object is 130 new in context (Fig. 1a and b i-iii). Finally, the child may use their previously acquired 131 semantic knowledge, that is, how sure they are that the known object is called "duck". If 132 the known object is something less familiar, such as a chess piece (e.g., a pawn), a 133 3-year-old child may draw a weaker inference, if they draw any inference at all.<sup>53–55</sup> Taken 134 together, the child has the opportunity to integrate their assumptions about (1)135 cooperative communication, (2) their understanding of the common ground, and (3) their 136 existing semantic knowledge. In one condition of the experiment, information sources were 137 aligned (Fig. 1a) while in the other they were in conflict (Fig. 1b). 138

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# Results

#### <sup>140</sup> Predicting information integration across development

We tested the model in its ability to predict 2 - 5 year-old children's judgments about 141 word meaning. We estimated children's (N=148) developing sensitivity to individual 142 information sources in two separate experiments (Experiments 1 and 2; see Fig. 1c-e). In 143 Experiment 1, we jointly estimated children's sensitivity to informativeness and their 144 semantic knowledge. In Experiment 2, we estimated sensitivity to common ground. We 145 then generated parameter-free *a priori* model predictions (developmental trajectories) 146 representing the model's expectations about how children should behave in a new situation 147 in which all three information sources had to be integrated. We generated predictions for 148 24 experimental conditions: 12 objects of different familiarities (requiring different levels of 149 semantic knowledge), with novelty either conflicting or coinciding; Fig. 1. We compared 150 these predictions to newly collected data from N = 220 children from the same age range 151 (Experiment 3). All procedures, sample sizes and analysis were pre-registered (see 152 methods). 153

<sup>154</sup> The results showed a very close alignment between model predictions and the data

across the entire age range. That is, the average developmental trajectories predicted by 155 the model resembled the trajectories found in the data (Fig. S6). For a more quantitative 156 analysis, we binned predictions and data by child age (in years) and correlated the two. We 157 found a high correlation, with the model explaining 79% of the variance in the data (Fig. 158 2a). These results support the assumption of the model that children integrate three all 159 available information sources. However, it is still possible that simpler models might make 160 equally good – or even better – predictions. For example, work on children's use of 161 statistical information during word learning showed that their behaviour was best 162 explained by a model which selectively ignored parts of the input.<sup>56</sup> 163

Thus, we formalized the alternative view that children selectively ignore information sources in the form of three lesioned models (Fig. 2b). These models assume that children follow the heuristic "ignore x" (with x being one of the information sources) when multiple information sources are presented together.

The no word knowledge model uses the same model architecture as the rational 168 integration model. It uses expectations about speaker informativeness and common ground 169 but omits semantic knowledge that is specific to the familiar objects (i.e., uses only general 170 semantic knowledge). That is, the model assumes a listener whose inference does not vary 171 depending on the particular familiar object but only depends on the age-specific average 172 semantic knowledge. The no common ground model takes in object-specific semantic 173 knowledge and speaker informativeness but ignores common ground information. Instead 174 of assuming that one object has a higher prior probability to be the referent because it is 175 new in context, the speaker thinks that both objects are equally likely to be the referent. 176 As a consequence, the listener does not differentiate between situations in which common 177 ground is aligned or in conflict with the other information sources. Finally, according to 178 the no speaker informativeness model, the listener does not assume that the speaker is 179 communicating in an informative way and hence ignores the utterance. As a consequence, 180 the inference is solely based on common ground expectations. 181

We found little support for these heuristic models (Fig. 2b). When using Bayesian 182 model comparison via marginal likelihood of the data,<sup>57</sup> we find that the data was several 183 orders of magnitude more likely under the rational integration model compared to any of 184 the lesioned models (Fig. 2). Figure 2c exemplifies the differences between the models: all 185 heuristic models systematically underestimate children's performance in the congruent 186 condition. Thus, even when the information sources are redundant (i.e. they all point to 187 the same referent), children's inferences are notably strengthened by each of them. In the 188 incongruent condition, the no word knowledge model underestimates performance, because 189 it does not differentiate between the different familiar objects, and in the case of a highly 190 familiar word such as duck, underestimates the strength of the mutual exclusivity inference 191 and its compensatory effect. The no speaker informativeness completely ignores this 192 inferences, which leads to even worse predictions. On the contrary, the no common ground 193 model overestimates performance because it ignores the dampening effect of common 194 ground favoring a different referent. Taken together, we conclude that children considered 195 all available information sources. 196

## <sup>197</sup> Explaining the process of information integration

In the previous section, we established that children integrated all available information sources. This result, however, does not speak to the process by which information is assumed to be integrated. Thus, in this section, we ask which integration process best explains children's behavior.

The rational integration model assumes that all information sources enter into a joint inference process, but alternative integration processes are conceivable and might be consistent with the data. For example, the "bag of tricks"<sup>11</sup> hypothesis mentioned in the introduction could be re-phrased as a modular integration process: children might compute independent inferences based on subsets of the available information and then integrate them in a post-hoc manner by weighting them according to some parameter. This view

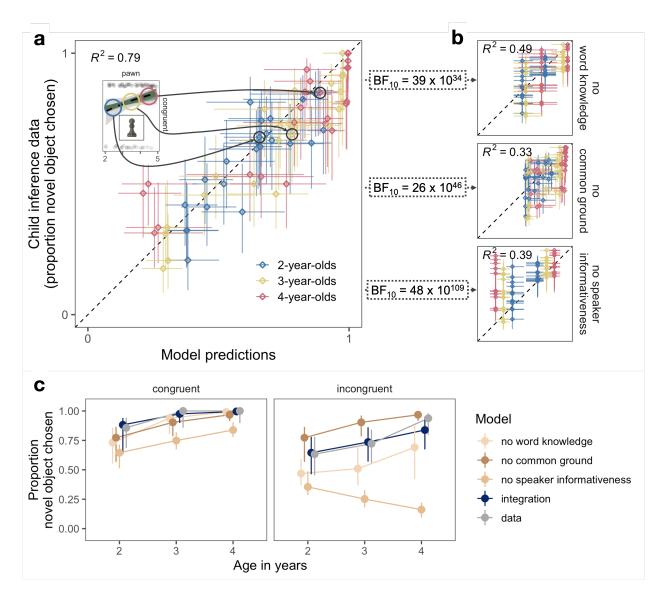


Figure 2. Predicting information integration. Correlation between model predictions and child inference data for all 24 conditions and for each age group (binned by year) for the rational integration model (a) and the three lesioned models (b). Horizontal and vertical error bars show 95% HDI. Inset shows an example of model predictions as developmental trajectories (see Fig. 3). BF<sub>10</sub> gives the Bayes Factor in favor of the integration model based on the marginal likelihood of the data under each model. (c) Predictions from all models considered alongside the data (with 95% HDI) for two experimental conditions (familiar word: *duck*).

would allow for the possibility that some information sources are considered to be more 208 important than others. In other words, children might be biased towards some information 209 sources. We formalized this alternative view as a *biased integration model*. This model 210 assumes that semantic knowledge and expectations about speaker informativeness enter 211 into one inference (mutual exclusivity inference)<sup>12,13,53</sup> while common ground information 212 enters into a second one. The outcomes of both processes are then weighted according to 213 the parameter  $\phi$ . Like the rational integration model, this model takes in all available 214 information sources in an age-sensitive way and assumes that they are integrated. The only 215 difference lies in the nature of the integration process: the biased integration model 216 privileges some information sources over others in an ad-hoc manner. 217

The parameter  $\phi$  in the biased integration model is unknown ahead of time and has 218 to be estimated based on the experimental data. That is, through Experiment 1 and 2 219 alone, we do not learn anything about the relative importance of the information sources. 220 As a consequence – and in contrast to the rational integration model – the biased 221 integration model does not allow us to make a priori predictions about new data in the 222 way we describe above. For a fair comparison, we therefore constrained the parameters in 223 the rational integration model by the data from Experiment 3 as well. As a consequence, 224 both models estimate their parameters using all the data available in a fully Bayesian 225 manner (see Fig. S4). 226

The biased integration model makes reasonable predictions and explains 78% of the 227 variance in the data (Fig. 3b). The parameter  $\phi$  – indicating the bias to one of the 228 inferences – was estimated to favor the mutual exclusivity inference (Maximum 229 A-Posteriori estimate = 0.65; 95% highest density interval (HDI): 0.60 - 0.71, see Fig. 3d). 230 However, the rational integration model presented a much better fit to the data, both in 231 terms of correlation and the marginal likelihood of the data (Fig. 3). When constrained by 232 the data from all experiments, the rational integration model explains 87% of the variance 233 in the data. Fig. 3e exemplifies the difference between the models: the biased integration 234

model puts extra weight on the mutual exclusivity inference and thus fails to capture
performance when this inference is weak compared to the common ground inference – such
as in the congruent condition for younger children. As a result, a fully integrated – as
opposed to a modular and biased – integration process explained the data better.

The rational integration model assumes that the integration process itself does not 239 change with age.<sup>7</sup> That is, while children's sensitivity to each information source develops, 240 the way they relate to one another remains the same. The biased integration model 241 provides an alternative proposal about developmental change, one in which the integration 242 process itself changes with age. That is, children may be biased towards some information 243 sources, and that bias itself may change with age. We formalize such an alternative view as 244 a *developmental bias model* which is structurally identical to the biased integration model 245 but in which the parameter  $\phi$  changes with age. The model assumes that the importance 246 of the different information sources changes with age. 247

The developmental bias model also explains a substantial portion of the variance in 248 the data: 78% (Fig. 3c). The estimated developmental trajectory for the bias parameter  $\phi$ 249 suggests that younger children put a stronger emphasis on common ground information, 250 while older children rely more on the mutual exclusivity inference (Fig. 3d). The relative 251 importance of the two inferences seems to switch at around age 3. Yet again, when we 252 directly compare the competitor models, we find that the data is several orders of 253 magnitude more likely under the rational integration model (Fig. 3). Looking at Figure 3e, 254 we can see that the developmental bias model tends to underestimate children's 255 performance because the supportive interplay between the different inferences is 256 constrained. In the biased models, the overall inference can only be as strong as the 257 strongest of the components - in the rational integration model, the components interact 258 with one another, enabling a stronger overall inference. 259

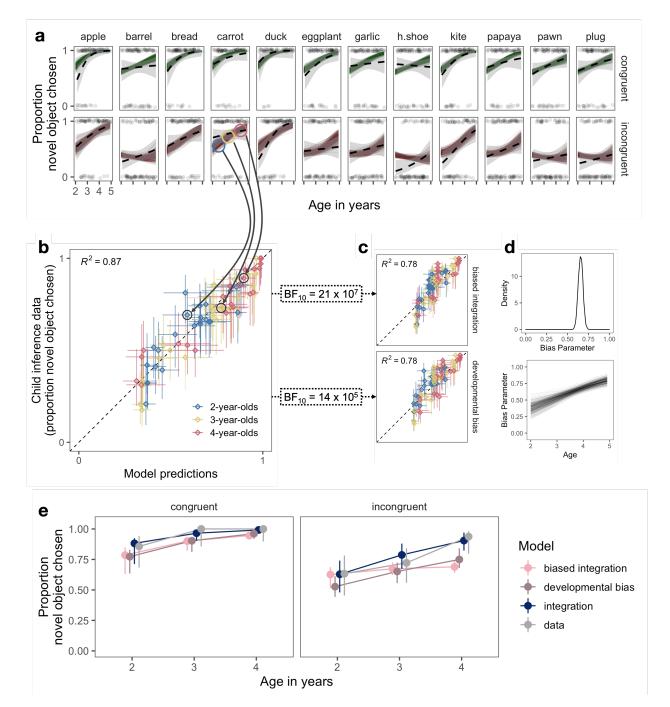


Figure 3. Explaining information integration across development. (a) Model predictions from the rational integration model (colored lines) next to the behavioral data (dotted black lines with 95% CI in gray) for all 24 experimental conditions. Top row (blue) shows congruent conditions, bottom row (red) shows incongruent conditions. Familiar objects are ordered based on their rated age of acquisition (left to right). Light dots represent individual data points. (b) Correlations between model predictions binned by age and condition for the integration model and (c) the two biased models. Vertical and horizontal error bars show 95% HDIs. BF<sub>10</sub> gives the Bayes Factor in favor of the rational integration model based on the marginal likelihood of the data under each model. (d) Posterior distribution of the bias parameter in the biased integration model and developmental trajectories for the bias parameter in the developmental bias model (e) Predictions from all models considered alongside the data (with 95% HDI) for two experimental conditions (familiar word: duck).

#### Discussion

The environment in which children learn language is complex. Children have to integrate different information sources, some of which relate to expectations in the moment, others to the dynamics of the unfolding interactions, and yet others to their previously acquired knowledge. Our findings show that young children can integrate multiple information sources during language learning – even from relatively early in development. To answer the question of how they do so, we presented a formal cognitive model that assumes that information sources are rationally integrated via Bayesian inference.

Previous work on the study of information integration during language 268 comprehension focused on how adults combine perceptual, semantic or syntactic 269 information.<sup>58–62</sup> Our work extends this work to the development of pragmatics. Our model 270 is based on classic social-pragmatic theories on language use and comprehension.<sup>10,34–36</sup> As 271 a consequence, instead of assuming that different information sources feed into separate 272 word-learning mechanisms (the "bag of tricks" view), we assume that all of these 273 information sources play a functional role in an integrated social inference process. Our 274 model goes beyond previous theoretical and empirical work by specifying the computations 275 that underlie this inference process. Furthermore, we present a substantive theory about 276 how this integration process develops: We assume that children become increasingly 277 sensitive to different information sources, but that the way these information sources are 278 integrated remains the same. We used this model to predict and explain children's 279 information integration in a new word learning paradigm in which they had to integrate (1) 280 their assumptions about informative communication, (2) their understanding of the 281 common ground, and (3) their existing semantic knowledge. 282

We found that this rational integration model made accurate quantitative predictions across a range of experimental conditions both when information sources were aligned and were in conflict. Predictions from the model better explained the data compared to

lesioned models which assumed that children ignore one of the information sources, 286 suggesting that children used all available information. To test the explanatory power of 287 the model – how well it explains the process by which information is integrated – we 288 formalized an alternative, modular, view. According to the biased integration model, 289 children use all available information sources but compute separate inferences based on a 290 subset of them. Integration happens by weighing the outcomes of these separate inferences 291 by some parameter. Finally, we tested an alternative view on the development of the 292 integration process. According to the developmental bias model, the importance of the 293 different information sources changed with age. In both cases, the rational integration 294 model provided a much better fit to the data, suggesting that the integration process 295 remains stable over time. That is, there is developmental continuity and therefore no 296 qualitative difference in how a 2-year-old integrates information compared to a 4-year-old. 297

The rational integration model is derived from a more general framework for 298 pragmatic inference, which has been used to explain a wide variety of phenomena in adults' 299 language use and comprehension.<sup>38,39,63–67</sup> Thus, it can be generalized in a natural way to 300 capture word learning in contexts that offer more, fewer, or different types of information. 301 For example, non-verbal aspects of the utterance (e.g. eye-gaze or gestures) can affect 302 children's mutual exclusivity inference. $^{68-72}$  As a first step in this direction, we recently 303 studied how adults and children integrate non-verbal utterances with common ground<sup>51</sup>. 304 Using a structurally similar model, we also found a close alignment between model 305 predictions and the data. The flexibility of this modeling framework stems from its 306 conceptualization of human communication as a form of rational social action. As such, it 307 connects to computational and empirical work that tries to explain social reasoning by 308 assuming that humans expect each other to behave in a way that maximizes the benefits 309 and minimizes the cost associated with actions.<sup>28,73,74</sup> 310

Our model and empirical paradigm provide a foundation on which to test deeper questions about language development. First, our findings should be replicated in children

from different cultural backgrounds, learning different languages.<sup>75</sup> In such studies, we 313 would not expect our results to replicate in a strict sense; that is, we would not expect to 314 see the same developmental trajectories in all cultures and languages. Substantial variation 315 is much more likely. Studies on children's pragmatic inferences in different cultures have 316 documented similar<sup>76,77</sup> and different<sup>78</sup> developmental trajectories. Nevertheless, our model 317 provides a way to think about how to reconcile cross-cultural variation with a shared 318 cognitive architecture: We predict differences in how sensitive children are to the individual 319 information sources at different ages, but similarities in how information is integrated.<sup>7</sup> In 320 computational terms, we assume a universal architecture that specifies the relation between 321 a set of varying parameters. Of course, either confirmation or disconfirmation of this 322 prediction would be informative. 323

Second, it would be useful to flesh out the cognitive processes that underlie reasoning 324 about common ground. The basic assumption that common ground changes interlocutors' 325 expectations about what are likely referents<sup>79</sup> has been used in earlier modelling work on 326 the role of common ground in reference resolution.<sup>62</sup> Here we went one step further and 327 measured the strength of these expectations to inform the parameter values in our model. 328 However, in its current form, our model treats common ground as a conversational prior 329 and does not specify how the listener arrives at the expectation that some objects are more 330 likely referents because they are new in common ground. That is, computationally, our 331 model does not differentiate between common ground information and other reasons that 332 might make an object contextually more salient. An interesting starting point to overcome 333 this shortcoming would be modelling work on the role of common ground in conversational 334 turn taking.<sup>80</sup> 335

Finally, our model is a model of referent identification in the moment of the utterance. At the same time, the constructs made use of by our model are shaped by factors that unfold across multiple time points and contexts: Common ground is built over the course of a conversation, and the lexical knowledge of a child is shaped across a

language developmental time-scale. Even speaker informativeness could be imagined to 340 vary over time following repeated interactions with a particular speaker. What is more, 341 assessing speaker informativeness is unlikely to be the outcome of a single, easy-to-define 342 process. The expectations about informative communication that we take it to represent 343 are probably the result of the interplay between multiple social and non-social inference 344 processes. Thus, our model makes use of unidimensional representations of these 345 high-dimensional, structured processes and examines how these representations are 346 integrated. Connecting our model with other frameworks that focus on the cognitive, 347 temporal and cross-situational aspects of word learning would elucidate further these 348 complex processes.<sup>42,50,81</sup> 349

Taken together, we hope this work advances our understanding of how children navigate the complexity of their learning environment. Methodologically, it illustrates how computational models can be used to test theories; from a theoretical perspective, it adds to broader frameworks that see the onto- and phylogenetic emergence of language as deeply rooted in social cognition.

355

# Methods

A more detailed description of the experiments and the models can be found in the 356 supplementary material. The experimental procedure, sample sizes, and analysis for each 357 experiment were pre-registered (https://osf.io/7rg9j/registrations). Experimental 358 procedures, model and analysis scripts can be found in an online repository 359 (https://github.com/manuelbohn/spin). Experiments 1 and 2 were designed to estimate 360 children's developing sensitivity to each information source. The results of these 361 experiments determine the parameter values in the model (see Fig. 1 c-f). Experiment 3 362 was designed to test how children integrate different information sources. 363

## 364 Participants

Sample sizes for each experiment were chosen to have at least 30 data points per cell 365 (i.e. unique combination of condition, item and age-group). Across the three experiments, a 366 total of 368 children participated. Experiment 1 involved 90 children, including 30 367 2-year-olds (range = 2.03 - 3.00, 15 girls), 30 3-year-olds (range = 3.03 - 3.97, 22 girls) and 368 30 4-year-olds (range = 4.03 - 4.90, 16 girls). Data from 10 additional children were not 369 included because they were either exposed to less than 75% of English at home (5), did not 370 finish at least half of the test trials (2), the technical equipment failed (2) or their parents 371 reported an autism spectrum disorder (1). 372

In Experiment 2, we tested 58 children from the same general population as in Experiment 1, including 18 2-year-olds (range = 2.02 - 2.93, 7 girls), 19 3-year-olds (range = 3.01 - 3.90, 14 girls) and 21 4-year-olds (range = 4.07 - 4.93, 14 girls). Data from 5 additional children were not included because they were either exposed to less than 75% of English at home (3) or the technical equipment failed (2).

Finally, Experiment 3 involved 220 children, including 76 2-year-olds (range = 2.04 -2.99, 7 girls), 72 3-year-olds (range = 3.00 - 3.98, 14 girls) and 72 4-year-olds (range = 4.00- 4.94, 14 girls). Data from 20 additional children were not included because they were either exposed to less than 75% of English at home (15), did not finish at least half of the test trials (3) or the technical equipment failed (2).

All participants were recruited in a children's museum in San José, California, USA. This population is characterized by a diverse ethnic background (predominantly White, Asian, or mixed-ethnicity) and high levels of parental education and socioeconomic status. Parents consented to their children's participation and provided demographic information. All experiments were approved by the Stanford Institutional Review Board (protocol no. 19960).

#### 389 Materials

All experiments were presented as an interactive picture book on a tablet computer. Tablet-based storybooks are commonly used to simulate social interactions in developmental research and interventions.<sup>82</sup> A recent, direct comparison found similar performance with tablet-based and printed storybooks in a word learning paradigm.<sup>52</sup> Furthermore, our results in Experiment 1 and 2 replicate earlier studies on mutual exclusivity and discourse novelty that used live interactions instead of storybooks.<sup>18,19</sup>

Fig. 1a and b show screenshots from the actual experiments. The general setup involved an animal standing on a little hill between two tables. For each animal character, we recorded a set of utterances (one native English speaker per animal) that were used to talk to the child and make requests. Each experiment started with two training trials in which the speaker requested known objects (car and ball).

### 401 **Procedure**

Experiment 1 tested the mutual exclusivity inference.<sup>13,53</sup> On one table, there was a 402 familiar object, on the other table, there was an unfamiliar object (a novel design drawn for 403 the purpose of the study) (Fig. 1a/b iv and Fig. S1a). The speaker requested an object by 404 saying "Oh cool, there is a [non-word] on the table, how neat, can you give me the 405 [non-word]?". Children responded by touching one of the objects. The location of the 406 unfamiliar object (left or right table) and the animal character were counterbalanced. We 407 coded a response as a correct choice if children chose the unfamiliar object as the referent 408 of the novel word. Each child completed 12 trials, each with a different familiar and a 409 different unfamiliar object. We used familiar objects that we expected to vary along the 410 dimension of how likely children were to know the word for it. This set included objects 411 that most 2-year-olds can name (e.g. a duck) as well as objects that only very few 412 5-year-olds can name (e.g. a pawn [chess piece]). The selection was based on the age of 413

acquisition ratings from Kuperman and colleagues.<sup>83</sup> While these ratings do not capture
the absolute age when children acquire these words, they capture the relative order in
which words are learned. Fig. S2A in the supplementary material shows the words and
objects used in the experiment.

Experiment 2 tested children's sensitivity to common ground that is built up over the 418 course of a conversation. In particular, we tested whether children keep track of which 419 object is new to a speaker and which they have encountered previously.<sup>18,19</sup> The general 420 setup was the same as in Experiment 1 (Fig. S1b). The speaker was positioned between 421 the tables. There was an unfamiliar object (drawn for the purpose of the study) on one of 422 the tables while the other table was empty. Next, the speaker turned to one of the tables 423 and either commented on the presence ("Aha, look at that.") or the absence ("Hm, nothing 424 there") of an object. Then the speaker disappeared. While the speaker was away, a second 425 unfamiliar object appeared on the previously empty table. Then the speaker returned and 426 requested an object in the same way as in Experiment 1. The positioning of the unfamiliar 427 object at the beginning of the experiment, the speaker as well as the location the speaker 428 turned to first was counterbalanced. Children completed five trials, each with a different 429 pair of unfamiliar objects. We coded a response as a correct choice if children chose as the 430 referent of the novel word the object that was new to the speaker. 431

Experiment 3 combined the procedures from Experiments 1 and 2. It followed the 432 same procedure as Experiment 2 but involved the same objects as Experiment 1 (Fig. 1) 433 i-iv and Fig. S1c). In the beginning, one table was empty while there was an object 434 (unfamiliar or familiar) on the other one. After commenting on the presence or absence of 435 an object on each table, the speaker disappeared and a second object appeared (familiar or 436 unfamiliar). Next, the speaker re-appeared and made the usual request ("Oh cool, there is 437 a [non-word] on the table, how neat, can you give me the [non-word]?"). In the congruent 438 condition, the familiar object was present in the beginning and the unfamiliar object 439 appeared while the speaker was away (Fig. 1a and Fig. S1c - left). In this case, both the 440

mutual exclusivity and the common ground inference pointed to the novel object as the 441 referent (i.e., it was both novel to the speaker in the context and it was an object that does 442 not have a label in the lexicon). In the incongruent condition, the unfamiliar object was 443 present in the beginning and the familiar object appeared later. In this case, the two 444 inferences pointed to different objects (Fig. 1b and Fig. S1c - right). This resulted in a 445 total of 2 alignments (congruent vs incongruent) x 12 familiar objects = 24 different 446 conditions. Participants received up to 12 test trials, six in each alignment condition, each 447 with a different familiar and unfamiliar object. Familiar objects were the same as in 448 Experiment 1. The positioning of the objects on the tables, the speaker, and the location 440 the speaker first turned to were counterbalanced. Participants could stop the experiment 450 after six trials (three per alignment condition). If a participant stopped after half of the 451 trials, we tested an additional participant to reach the pre-registered number of data points 452 per age group (2-, 3- and 4-year-olds). 453

#### 454 Data analysis

To analyze how the manipulations in each experiment affected children's behavior, we 455 used generalized linear mixed models. Since the focus of the paper is on how information 456 sources were integrated, we discuss these models in the supplementary material and focus 457 here on the cognitive models instead. A detailed, mathematical description of the different 458 cognitive models along with details about estimation procedures and priors can be found in 459 the supplementary material. All cognitive models and Bayesian data analytic models were 460 implemented in the probabilistic programming language WebPPL.<sup>84</sup> The corresponding 461 model code can be found in the associated online repository. Information about priors for 462 parameter estimation and Markov chain Monte Carlo settings can also be found in the 463 supplementary information and the online repository. 464

As a first step, we used the data from Experiments 1 and 2 to estimate children's developing sensitivity to each information source. To estimate the parameters for semantic

knowledge ( $\theta$ ) and speaker informativeness ( $\alpha$ ), we adapted the rational integration model 467 to model a situation in which both objects (novel and familiar) have equal prior probability 468 (i.e., no common ground information). We used the data from Experiment 1 to then infer 469 the semantic knowledge and speaker informativeness parameters in an age-sensitive 470 manner. Specifically, we inferred the intercepts and slopes for speaker informativeness via a 471 linear regression submodel and semantic knowledge via a logistic regression submodel, the 472 values of which were then combined in the cognitive model to generate model predictions 473 to predict the responses generated in Experiment 1. To estimate the parameters 474 representing sensitivity to common ground  $(\rho)$ , we used a simple logistic regression to infer 475 which combination of intercept and slope would generate predictions that corresponded to 476 the average proportion of correct responses measured in Experiment 2. For the 477 "prediction" models, the parameters whose values were inferred by the data from 478 Experiments 1 & 2 were then used to make out-of-sample predictions for Experiment 3. 479 For the "explanation" models, these parameters were additionally constrained by the data 480 from Experiment 3. A more detailed description of how these parameters were estimated 481 (including a graphical model) can be found in the supplementary material. 482

To generate model predictions, we combined the parameters according to the respective model formula. As mentioned above, common ground information could either be aligned or in conflict with the other information sources. In the congruent condition, the unfamiliar object was also new in context and thus had the prior probability  $\rho$ . In the incongruent condition, the novel object was the "old" object and thus had the prior probability of  $1 - \rho$ .

The rational integration model is a mapping from an utterance u to a referent r, defined as  $P_{L_1}^{int}(r \mid u; \{\rho_i, \alpha_i \theta_{ij}\}) \propto P_{S_1}(u \mid r; \{\alpha_i, \theta_{ij}\}) \cdot P(r \mid \rho_i)$  where *i* represents the age of the participant and the *j* the familiar object. The three lesioned models that were used to compare how well the model predicts new data are reduced versions of this model. The no word knowledge model uses the same model architecture:

 $P_{L_1}^{no\_wk}(r \mid u; \{\rho_i, \alpha_i \, \theta_i\}) \propto P_{S_1}(u \mid r; \{\alpha_i, \theta_i\}) \cdot P(r \mid \rho_i)$  and the only difference lies in the 494 parameter  $\theta$ , which does not vary as a function of j, the object (i.e.,  $\theta$  in this model is 495 analogous to a measure of gross vocabulary development). The object-specific parameters 496 for semantic knowledge are fitted via a hierarchical regression (mixed effects) model. That 497 is, there is an overall developmental trajectory for semantic knowledge (main effect –  $\theta_i$ ) 498 and then there is object-specific variation around this trajectory (random effects –  $\theta_{ij}$ ). 490 Thus, the no word knowledge model takes in the overall trajectory for semantic knowledge 500  $(\theta_i)$  but ignores object-specific variation. The no common ground model ignores common 501 ground information (represented by  $\rho$ ) and is thus defined as 502

<sup>503</sup>  $P_{L_1}^{no\_cg}(r \mid u; \{\alpha_i \, \theta_{ij}\}) \propto P_{S_1}(u \mid r; \{\alpha_i, \theta_{ij}\})$ . For the no speaker informativeness model, the <sup>504</sup> parameter  $\alpha = 0$ . As a consequence, the likelihood term in the model is 1 and the model <sup>505</sup> therefore reduces to  $P_{L_1}^{no\_si}(r \mid u; \{\rho_i\}) \propto P(r \mid \rho_i)$ .

As noted above, the explanation models used parameters that were additionally 506 constrained by the data from Experiment 3, but the way these parameters were combined 507 in the rational integration model was the same as above. The biased integration model is 508 defined as  $P_{L_1}^{biased}(r \mid u; \{\phi, \rho_i, \alpha_i, \theta_{ij}\}) = \phi \cdot P_{ME}(r \mid u; \{\alpha_i, \theta_{ij}\}) + (1 - \phi) \cdot P(r \mid \rho_i)$  with 509  $P_{ME}$  representing a mutual exclusivity inference which takes in speaker informativeness 510 and object specific semantic knowledge. This inference is then weighted by the parameter  $\phi$ 511 and added to the respective prior probability, which is weighted by  $1 - \phi_i$ . Thus,  $\phi$ 512 represents the bias in favor of the mutual exclusivity inference. In the developmental bias 513 model the parameter  $\phi$  is made to change with age  $(\phi_i)$  and the model is thus defined as 514  $P_{L_1}^{dev\_bias}(r \mid u; \{\phi_i, \rho_i, \alpha_i, \theta_{ij}\}) = \phi_i \cdot P_{ME}(r \mid u; \{\alpha_i, \theta_{ij}\}) + (1 - \phi_i).$ 515

We compared models in two ways. First, we used Pearson correlations between model predictions and the data. For this analysis, we binned the model predictions and the data by age in years and by the type of familiar object (see Fig. 2 and 3 as well as S7 and S10). Second, we compared models based on the marginal likelihood of the data under each model – the likelihood of the data averaging over ("marginalizing over") the prior

distribution on parameters; the pairwise ratio of marginal likelihoods for two models is 521 known as the Bayes Factor. It is interpreted as how many times more likely the data is 522 under one model compared to the other. Bayes Factors quantify the quality of predictions 523 of a model, averaging over the possible values of the parameters of the models (weighted by 524 the prior probabilities of those parameter values); by averaging over the prior distribution 525 on parameters, Bayes Factors implicitly take into account model complexity because 526 models with more parameters will tend to have a broader prior distribution over 527 parameters, which in effect, can water down the potential gains in predictive accuracy that 528 a model with more parameters can achieve.<sup>57</sup> For this analysis, we treated age continuously. 529

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# Author Contributions

M. Bohn, M.H. Tessler and M.C. Frank conceptualized the study, M. Merrick collected the data, M. Bohn and M.H. Tessler analyzed the data, M. Bohn, M. H. Tessler and M.C. Frank wrote the manuscript, all authors approved the final version of the manuscript.