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# A multi-data assessment of land use and land cover emissions from Brazil during 2000-2019

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## Abstract

Brazil is currently the largest contributor of land use and land cover change carbon dioxide net emissions worldwide, representing 17-29% of the global total. There is, however, a lack of agreement among different methodologies on the magnitude and trends in land use and land cover change emissions and their geographic distribution. Here we perform an evaluation of land use and land cover change (LULCC) datasets for Brazil, including those used in the annual Global Carbon Budget (GCB), and national Brazilian assessments over the period 2000-2018. Results show that the latest global HYDE 3.3 LULCC dataset, based on new FAO inventory estimates and multi-annual ESA CCI satellite-based land cover maps, can represent the observed spatial variation in LULCC over the last decades, representing an improvement on the HYDE 3.2 data previously used in GCB. However, the magnitude of LULCC assessed with HYDE 3.3 is lower than estimates based on MapBiomass. We use HYDE 3.3 and MapBiomass as input to a global bookkeeping model (BLUE) and a process-based Dynamic Global Vegetation Model (JULES-ES) to determine Brazil's LULCC emissions over the period 2000-2019. Results show mean annual LULCC emissions of 0.1-0.4 PgC/yr, compared with 0.1-0.24 PgC/yr reported by the Greenhouse Gas Emissions Estimation System of Land Use Changes and Forest sector (SEEG/LULUCF) and by FAO in its latest assessment of deforestation emissions in Brazil. Both JULES-ES and BLUE now simulate a slowdown in emissions after 2004 (-0.006 and -0.004 PgC/yr<sup>2</sup> with HYDE 3.3, -0.014 and -0.016 PgC/yr<sup>2</sup> with MapBiomass, respectively), in agreement with the Brazilian INPE-EM, global H&N book-keeping models, FAO and as reported in the 4<sup>th</sup> National GHG inventories (NGHGI). The inclusion of Earth observation data has improved spatial representation of LULCC in HYDE and thus model capability to simulate Brazil's LULCC emissions. This will likely contribute to reduce

uncertainty in global LULCC emissions, and thus better constrains global carbon budget assessments.

Keywords: Land-use and Land cover change, deforestation, land-use emissions, global carbon budget, Amazon forest

## 1. Introduction

Brazilian ecosystems and especially forests play a fundamental role in regional and global carbon stocks and natural land C sinks. The Amazon forest is estimated to contain around 229-280 PgC in living biomass and soils (Gloor *et al* 2012, Malhi *et al* 2006), representing ~10% of global land C stocks (Ciais *et al* 2013), and approximately 60% of its area is in Brazil. Carbon stocks in Brazilian ecosystems have been negatively impacted by significant land use and land cover change (LULCC) associated with demographic and agricultural expansion, resulting in large land-use emissions to the atmosphere (Aide *et al* 2013, Houghton 2012). Globally, in the last decade (2009-2018), a total of  $1.5 \pm 0.7$  PgC yr<sup>-1</sup> was released to the atmosphere due to LULCC (Friedlingstein *et al* 2019). Despite a significant slowdown in deforestation in Brazil after a peak in 2004, mainly due to policy introduced to curb deforestation (Godar *et al* 2014, Arima *et al* 2014, West and Fearnside 2021), Brazil is still contributing with between 17 and 29% of global LULCC emissions (ELUC) (Friedlingstein *et al* 2019). However, divergent ELUC estimates for Brazil in the global carbon cycle budget have contributed to a large fraction of the corresponding overall global uncertainty (Bastos *et al.*, 2020).

In the Global Carbon Budget (GCB2020), ELUC is defined as the net anthropogenic LULCC flux and includes removals (e.g. from forest regrowth after harvest and agricultural abandonment) and emissions e.g. from clearing natural vegetation and transitions (Friedlingstein *et al* 2020). These definitions are different from those used by countries and FAO to estimate and report emissions from LULCC within the IPCC Land Use, Land Use Change and Forestry (LULUCF) category of the National greenhouse gas inventory (NGHGI). Within the IPCC guidelines, LULCC is limited to emissions associated only to anthropogenic-related processes driven changes in land use and land cover (see SI Table 3). In the GCB, differently, the ELUC is estimated by two different bottom-up approaches, namely process-based and bookkeeping models (Friedlingstein *et al* 2019). An ensemble of process-based, Dynamic Global Vegetation Models (DGVMs) from the Trends in Net Carbon Exchange Project (TRENDY) (Sitch *et al* 2015), are applied using observed historical CO<sub>2</sub> in atmosphere, climate and LULCC fields.

An additional method is the carbon stock change approach of the IPCC (2003), followed by FAO for its ELUC estimates

(Tubiello, *et al.*, 2020). The advantage of this method is the possibility to perform complex calculations using a very small set of input data, while on the other hand it cannot distinguish necessarily between natural and anthropogenic fluxes. Bookkeeping models include Houghton and Nassikas (Houghton and Nassikas 2017) and Bookkeeping of Land Use Emissions (BLUE) (Hansis *et al* 2015). Both TRENDY and BLUE use the same LULCC dataset as spatially explicit input based on the History Database of the Global Environment (HYDE 3.2) (Goldewijk *et al* 2017) for annual change in pasture, rangeland and cropland area. There are many uncertainties related to these global LULCC datasets, since they use FAO statistics as input, which are provided as national aggregates (FAO, 2020) and then rely on a suite of methods to disaggregate that information spatially. With the increasing availability of land cover datasets based on Earth Observation (EO) covering the last 30 years, it has been possible to integrate time varying remote sensing data with the FAO national statistics to generate new and improved spatially explicit global LULCC datasets. Several EO-based products of LULCC and deforestation have been developed in Brazil, such as the Amazon Deforestation Monitoring Project (PRODES) from the National Institute for Space Research (INPE), and the MapBiomass dataset, which was developed specifically for Brazilian biomes and provide annual LULCC maps for the whole of Brazil from 1985 up to present. However, these datasets have hitherto not been used to assess the impact of LULCC in global C-cycle assessments. Therefore there is an urgent need to improve estimates of ELUC for Brazil to better represent the spatio-temporal trends in future GCB annual assessments. Furthermore, accurate estimates of ELUC contribute to the quantification of emissions and removals from LULUCF processes that are needed to guide global and national policies to achieve the overarching goal of the Paris Agreement (UNFCCC 2015).

Here we present a critical analysis and evaluation of LULCC in Brazil and associated C emissions over the 21<sup>st</sup> century (2000-2019). We use two versions of the HYDE land use dataset, HYDE 3.2 (Klein Goldewijk *et al*, 2017; used in Global Carbon Budget 2019) and the new HYDE 3.3 based on updated FAOSTAT statistics (FAO 2020a, 2020b) and time-varying land cover data from the European Space Agency Climate Change Initiative (ESACCI-LC 2017). First, we evaluate the two versions of HYDE using the national LULCC MapBiomass product. Then, we compare simulated ELUC for

Brazil based on the two HYDE versions and MapBiomias using the process-based JULES-ES and BLUE bookkeeping models. Finally, we discuss simulated ELUC dynamics from BLUE and JULES-ES using HYDE 3.3 and MapBiomias with the other published estimates.

## 2. Methods

### 2.1 Land Use datasets

#### 2.1.1 HYDE

HYDE is a spatially explicit dataset of historical population estimates and time-dependent weighting maps of land use categories (Goldewijk *et al* 2017). The period covered is 10,000 before the Common Era (BCE) to 2019 Common Era (CE). The land use maps produced by HYDE are based on an allocation algorithm that uses country totals, FAOSTAT statistical data of 'Cropland' and 'Permanent meadows and pastures' (henceforth indicated as grazing in HYDE dataset) available from 1961 up to present (FAO 2020b). In addition, HYDE includes the ESA CCI Land Cover maps to spatially allocate the FAO land use areas.

HYDE is available at 5 arc minutes (approximately 9-km at the equator) of spatial resolution. The grazing land use category has a distinction based on the intensity of use; grazing is divided in rangelands (extensive grazing on natural grasslands, shrublands, woodlands, wetlands and deserts), managed pastures (intensive grazing or mowing, on any natural vegetation type) and converted rangeland (located in forest biomes in areas with low human population density, and assumed to have undergone a conversion of natural vegetation, such as the Amazon biome).

There are two major updates from HYDE version 3.2 to HYDE version 3.3. First, the ESA CCI land cover data is now used for allocation of cropland and grazing land on a yearly basis for the period 1992-2018, instead of only the base year 2010 (HYDE 3.2). The method of reclassifying the ESA-CCI classes into cropland and grazing land remained the same and is described in Klein Goldewijk *et al* (2017). Second, updated FAO statistics for cropland and grazing land are used and extended to the year 2018 (the last available FAOSTAT year).

Importantly, major FAOSTAT revisions made in 2019 for agricultural area in Brazil, reflecting new data from the national Census 2017 (e.g., see country notes in FAOSTAT, 2020) impacted HYDE 3.3 total areas. The revised FAOSTAT data is consistent with recent GIS-based data (Novaes *et al.*, submitted).

#### 2.1.2. MapBiomias

The Brazilian Annual Land Use and Land Cover Mapping Project (MapBiomias) is an initiative to produce annual LULCC maps for Brazil for the Greenhouse Gas Emissions Estimation System (SEEG) (De Azevedo *et al* 2018) from the

Brazil Climate Observatory's. This dataset is produced using the Google Earth Engine platform and the historical Landsat satellite images (Souza *et al* 2020). The classification process consists of using annual Landsat mosaics composed of pixels filtered by cloud coverage and ancillary information to classify each year. The LULCC maps produced by MapBiomias have a spatial resolution of 30 meters and span over the 1985-2019 period for the collection (5) used in this research (<http://mapbiomas.org/>). The overall accuracy reported for entire Brazil classification was 89%, the method and explanation of the validation process is on Souza *et al* (2020). Given its higher spatial resolution of 30m compared to the global products, such as ESA CCI LC at 300m (with change detection at 1km resolution), MapBiomias enables relatively small changes in LULCC to be detected across the whole country in both space and time.

### 2.2 Estimates of ELUC

#### 2.2.1. Process-based approach

Process-based DGVMs simulate dynamics of carbon pools in vegetation, soil and wood products, and their response to changing environmental conditions. A consortium of international research groups (TRENDY) contributes annually to GCB with an ensemble of DGVMs, applying their models with common meteorological forcing and LULCC datasets to estimate the natural land sink and ELUC, and to attribute changes in the carbon cycle to individual environmental drivers at multiple temporal and spatial scales (Sitch *et al* 2015). In this study we use the JULES-ES (Joint UK Land Environment Simulator - Earth System configuration) (Sellar *et al* 2019) model, which also contributes to TRENDY and GCB (Friedlingstein *et al* 2019). JULES-ES has detailed representation of land surface processes (e.g. surface energy balance, coupled carbon and water cycle) and includes recent developments in surface physical processes (Wiltshire *et al* 2020b), the representation of plant physiology and plant functional types (Harper *et al* 2018, 2016), land use and nitrogen cycling (Wiltshire *et al* 2020a), dynamic vegetation (Cox 2001, Harper *et al* 2018), and wood products (Jones *et al* 2011). Additionally, it simulates natural vegetation cover, and human activities (e.g. land-use) can be prescribed with ancillary data representing annual cropland and pasture fractions, for example from the HYDE dataset.

#### 2.2.2. Bookkeeping approach

Bookkeeping models track changes in the carbon stored in vegetation, soils and products before and after LULCC using prescribed rates of growth and decay through time. Unlike DGVMs they do not include the effect of changing environmental factors on vegetation growth rates (e.g. climate and CO<sub>2</sub> fertilization). Instead of simulating carbon stocks, bookkeeping models use directly observational data for

carbon densities, such as literature-based biome-level values and from inventory data. Two main global bookkeeping models are used in GCB: the Houghton and Nassikas (H&N) model (Houghton and Nassikas 2017) and the BLUE model (Hansis *et al* 2015). There is also a regional bookkeeping model developed for Brazil by the National Institute for Space Research (INPE), INPE-EM bookkeeping model (Aguiar *et al* 2012, Assis *et al* 2020).

In this study we focus on BLUE to test the impact of the new HYDE 3.3 and MapBiomas, as it calculates ELUC on a spatially explicit basis for transitions from natural vegetation types to agricultural lands. Specifically, it considers transformations of natural vegetation to agriculture (cropland, pasture) and back, including gross transitions at the sub-grid scale (“shifting cultivation”), transitions between crop and pasture, and wood harvesting (Hansis *et al* 2015). Biome-level carbon densities are based on literature values and provided in Hansis *et al* (2015) (SI Figure 2). Similarly, the temporary evolution of carbon gain or loss, i.e. how fast carbon pools decay or regrow following a land-use change, is based on response curves derived from literature (Hansis *et al.*, 2015). The response curves describe decay of vegetation and soil carbon, including transfer to product pools of different lifetimes, as well as carbon uptake due to regrowth of vegetation and subsequent refilling of soil carbon pools.

### 2.3. Analyses

#### 2.3.1. Land use change analysis

To assess the differences between the LULCC products for Brazil and to understand LULCC dynamics, HYDE was evaluated against the national MapBiomas dataset. MapBiomas was processed as follows: first each category is reclassified with the proportion of crop and pasture at 30m spatial resolution. The following class aggregation applies to cropland for MapBiomas: the categories, Annual and Perennial Crop and Semi-Perennial Crop were defined to contain 100% cropland in each pixel; the category Mosaic of Agriculture and Pasture as 40% cropland. For pastures we consider Pasture category as 100% and Mosaic of Agriculture and Pasture to contain 60% of pasture as most of these mosaic categories are used in extensive cattle ranching and small-scale agriculture. Then we re-grid to 0.5 degree to generate gridded maps of cropland and pasture cover fraction.

The resulting maps were compared against ESA CCI cropland categories 10, 11, 12, 20 which contain 100% cropland (Table 1 SI description). Further categories were included, such as mosaic categories 30 (cropland >50%), 40 (cropland <50%), assuming a cropland area proportion of 60% and 40%, respectively, based on (Liu *et al* 2018). Note, ESA CCI does not have an explicit ‘pasture’ category, rather it is included in the ‘cropland’ category. For representation, we report the sum of cropland and pasture categories. These maps

between land cover and land use categories introduce a level of uncertainty that is currently poorly quantified. Furthermore, the choice of the underlying land cover map introduces uncertainties, for instance results discussed herein are likely different from those that would be obtained using MODIS land cover maps rather the ESA CCI (e.g., see FAO, 2020b).

To test the spatial similarity of the LULCC maps from HYDE against MapBiomas we apply the *fuzzy numerical* method implemented in the Map Comparison Kit 3 (MCK) application (Visser and de Nijs 2006). It is a cell-by-cell comparison method for numerical maps that also considers the neighbourhood to show the similarity of each pair of grid-cells in a range between 0 (distinct) and 1 (identical). Here, we adopt the default settings provided by the algorithm, with an exponential decay function called *Halving* distance equal to 2 and neighbourhood distance equal to 4 grid-cells. This provides a spatial assessment showing the location and severity of the disagreement between two maps.

Since agricultural area change is currently used to infer tree cover loss in DGVMs, we calculated the pairwise Pearson correlation between increase of net land cover (cropland + pasture) with the vegetation cover loss (deforestation) from MapBiomas c5 including both primary and secondary vegetation and the following natural vegetation categories in MapBiomas: forest, savanna and natural grassland. We performed this correlation analysis for the changes between 2000 and 2019 comparing the grid-cells with increase in LULCC from HYDE 3.2, HYDE 3.3 and MapBiomas to grid-cells with vegetation cover loss for the same period.

#### 2.3.2. Carbon emissions from LULCC

HYDE 3.3 and MapBiomas fraction maps were re-gridded for using in JULES-ES at N96 resolution (1.25° latitude x 1.875° longitude) with CDO (Climate Data Operators) using first-order conservative remapping method. For this analysis, we also considered converted rangeland fraction from HYDE 3.3 in order to better represent the LULCC changes across the Amazon arc of deforestation (low human population density but transitions to extensive pastures), which was not considered in JULES-ES, TRENDY-v9. We run JULES-ES using the LULCC fields (cropland, pasture and converted rangeland) from HYDE 3.3 and MapBiomas (cropland and pasture) with the same configuration as in TRENDY-v9 (GCB2020), including time varying climate, CO<sub>2</sub>, and nitrogen deposition following the TRENDY protocol. The simulations using HYDE 3.3 extend over the period 1700-2019 whereas the MapBiomas simulations are over the more recent period 1986-2019. JULES-ES is spun-up to steady state conditions and then we perform the following experiments: S2 (CO<sub>2</sub> and climate forcing varying, land-use constant at 1700 for HYDE 3.3 and 1986 for MapBiomas) and S3 (CO<sub>2</sub>, climate and land-use time variant) over 1700 to 2019 for HYDE 3.3 and 1986 to 2019 for MapBiomas. ELUC is diagnosed as the

1  
2  
3 difference in Net Biome Productivity (NBP) at a grid-cell  
4 level between the two runs, i.e. S2-S3:

$$5 \quad (S2) \text{ NBP} = \text{NPP} - \text{RH} - \text{HARVEST}$$

$$6 \quad (S3) \text{ NBP} = \text{NPP} - \text{RH} - \text{HARVEST} - \text{PRODUCT\_DECAY}$$

7  
8 where NPP is Net Primary Productivity, RH is the  
9 heterotrophic respiration, and the human disturbances in this  
10 study are represented by Harvest which is the crop harvest and  
11 Product decay which is the decay flux from wood product  
12 pools (Jones et al., 2011). Further explanation on the  
13 MapBiomass legacy flux estimation can be found in the  
14 supplementary information and in SI Table 5.

15  
16  
17 BLUE used the same configuration as in GCB2019,  
18 however land-use forcing (LUH2) was replaced by the two  
19 HYDE versions and wood harvest as well as sub-grid scale  
20 transitions (i.e. shifting cultivation) were consequently not  
21 considered because HYDE only provides net area changes per  
22 land cover category, and does not consider gross transitions  
23 within our large grid-cell areas (>27km). Both BLUE  
24 simulations were initialized in 1961 for HYDE and 1986 for  
25 MapBiomass. In order to minimize differences to the  
26 GCB2019 setup to allow a cleaner comparison, HYDE 3.2 and  
27 HYDE 3.3 were re-gridded to a spatial resolution of 0.25° x  
28 0.25° with CDO using first-order conservative remapping  
29 method. The re-gridded HYDE data was processed to match  
30 the pre-processing of the land-use forcing data used in other  
31 BLUE simulations. In particular rangeland areas were  
32 considered to imply clearing of natural vegetation only when  
33 the forest/non-forest map of LUH2 -original land cover data  
34 set in BLUE- indicates forest. Potential vegetated grid cell  
35 fractions not in cropland or pasture were split into primary  
36 and secondary land according to the proportion in LUH2. The set-  
37 up of BLUE simulations can be found at SI Table 5.

38  
39 Finally, we discuss our new ELUC results using HYDE  
40 3.3, MapBiomass and other published estimates. We compared  
41 ELUC estimates from JULES-ES and BLUE (2000-2019) for  
42 the whole of Brazil with the H&N global bookkeeping model  
43 (Houghton and Nassikas 2017), the FAOSTAT (Tubiello, et  
44 al., 2020), and national inventory datasets: the 4<sup>th</sup> National  
45 Communication of GHG to the United Nations Framework  
46 Convention on Climate Change (UNFCCC) (Brazil MCTI  
47 2020) and provides estimates up to 2016, and the SEEG Land  
48 Use Changes and Forest sector (LULUCF) estimates based on  
49 MapBiomass dataset (De Azevedo *et al* 2018). Comparison of  
50 ELUC for Amazonia and Cerrado is done using estimates  
51 from INPE-EM for the biome boundaries. The INPE-EM  
52 ELUC (Aguar *et al* 2012) estimate is based on the official  
53 deforestation data from the National Institute for Space  
54 Research (INPE) for both biomes using the 2<sup>nd</sup> order estimates  
55 (i.e. instantaneous and legacy C emissions). A table with the  
56 model components considered to estimate ELUC is in SI  
57 Table 2.

### 3. Results

#### 3.1 Land Use and Land Cover Changes in Brazil

We find temporal agreement between both remote sensing-based products (ESA CCI and MapBiomass) and HYDE 3.3 at country level. The three datasets agreed on the peak LULCC in Brazil between 2003-2005 with a negative trend thereafter opposite to the positive trend shown by HYDE 3.2 (Figure 1a). This period corresponds to the peak of deforestation in the Brazilian Amazon between 2003-2004 and is followed by a slowdown in deforestation rates due to the implementation of governmental regulations to reduce deforestation thereafter. Additional economic factors (West and Fearnside 2021), and improvement in the use of verification and detection tools based on remote sensing, e.g. PRODES and the DETER program for near-real time deforestation detection also contributed to the deforestation slowdown in Brazil. However, both ESA CCI and consequently HYDE 3.3 showed lower LULCC after 2005 compared to MapBiomass. This may partially be due to the pervasive increase in small-scale deforestation (<1ha) (Kalamandeen *et al* 2018), which may remain undetected using the 1km change detection implemented in ESA CCI LC methodology (ESACCI-LC 2017). In addition, the total LULCC in HYDE 3.3 reflected the updated cropland and land under permanent meadow and pasture area in FAOSTAT (FAO 2020b) based on the decadal Brazilian Agriculture census. To balance the total land area, FAO increased the residual area in another category called 'Other land' which is not used by the HYDE dataset and may include a proportion of the land use and land cover changes associated with deforestation. Further explanation of the Other land category can be found in the supplementary information. All these changes contribute to lower net LULCC observed using HYDE 3.3 data when compared to MapBiomass (Figure 1b).

Spatially, there were large differences between the global LULCC products and MapBiomass (Figure 2). HYDE 3.2 had the largest LULCC located in SE Brazil associated with cropland expansion and lower changes in pasture area. These changes are not consistent with MapBiomass (Figure 2), were large losses of natural vegetation are found in Amazonia and the Cerrado biomes, and an intensification of cropland areas mostly concentrated in repurposed pastures in the SE Brazil (Zalles et al 2019). HYDE 3.2 used ESA CCI LC baseline for 2010 but to estimate year-to-year changes it employs an algorithm to allocate transitions within a country giving preference for conversion of lands near existing agriculture and with high NPP. This may not be sufficient to capture deforestation in more remote regions in a large country like Brazil, hence the LULCC allocation in HYDE 3.2 was centred in SE Brazil in consolidated areas.

Although HYDE 3.3 showed lower magnitude change compared to MapBiomass, the main gain with the updated

version was the spatial allocation of LULCC. The similarity analysis showed that 20.2% of the grid-cells from HYDE 3.2 are dissimilar (similarity = 0) when compared to MapBiomass in grid-cells mainly located in the SE and NW Brazil (SI Figure 3) indicating a larger spatial inconsistency in the LULCC for this region. HYDE 3.3 on the other hand, had only 2.2% of the grid-cells with similarity index equal to 0 when compared with MapBiomass and those grid-cells tend to be spatially spread, indicating an improvement on the spatial allocation. The spatial distribution of the similarity index and the frequency histogram is shown in SI Figure 3. Additionally, the net changes from MapBiomass and natural vegetation cover loss showed a strong correlation ( $R=0.94$ ; SI Figure 4), with net changes mainly due at expense of forest loss. This

indicates that net observed LULCC change can be used as a proxy of the deforestation process. A pixelwise correlation comparison between both HYDE net LULCC versions and MapBiomass vegetation loss, indicated a superior performance in HYDE 3.3 ( $R=0.55$ , SI Figure 4) compared with HYDE 3.2 ( $R=0.094$ ; SI figure 4). This result demonstrates that although HYDE 3.3 still underestimates the changes, it is able to better reproduce and allocate spatially the deforestation pattern than HYDE 3.2.

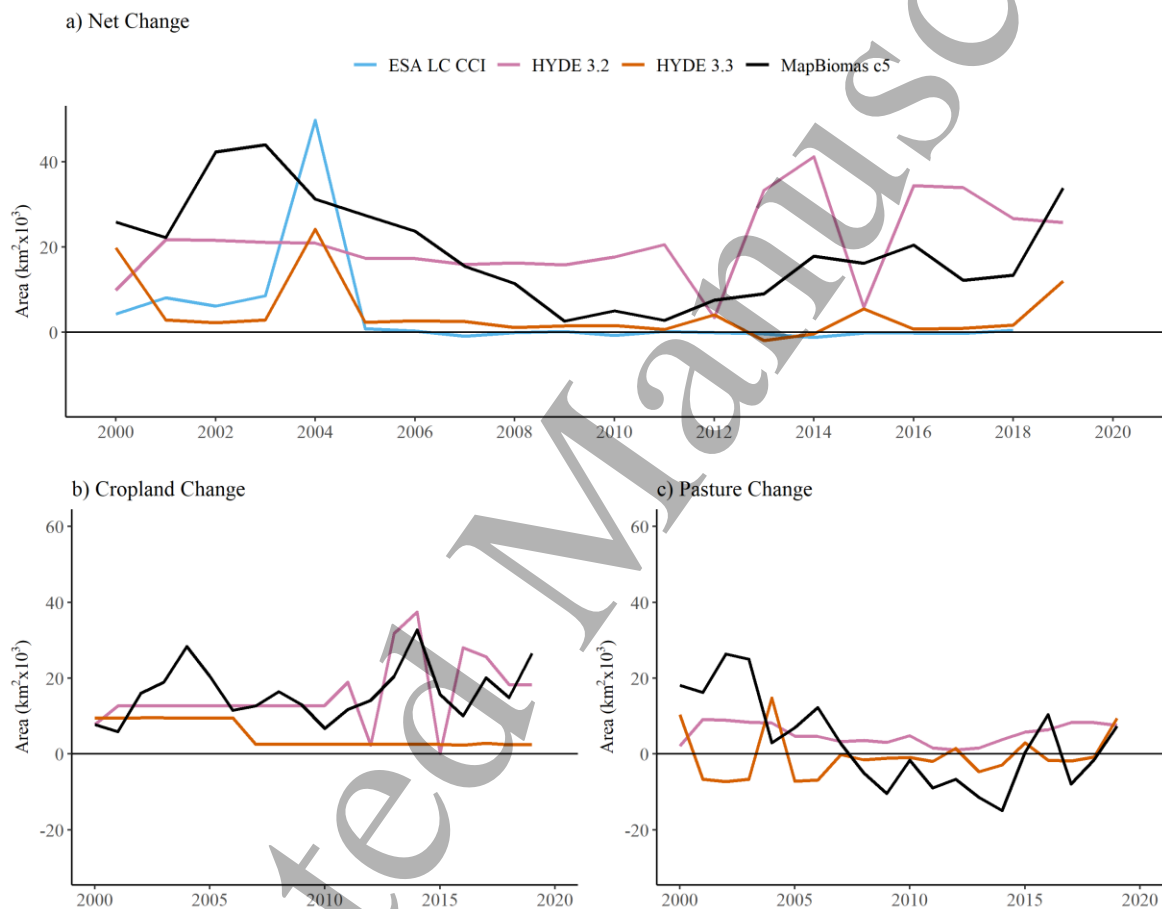


Figure 1. Annual land use change in Brazil ( $\text{km}^2/\text{year}$ ) considering only the two main forcing: Cropland and Pasture\* for HYDE 3.2, HYDE 3.3, ESA LC CCI and MapBiomass c5. a) Net change (sum of cropland and pasture); b) Cropland category change and c) Pasture category change. \*Pasture for both HYDE versions include pasture + converted rangeland categories.



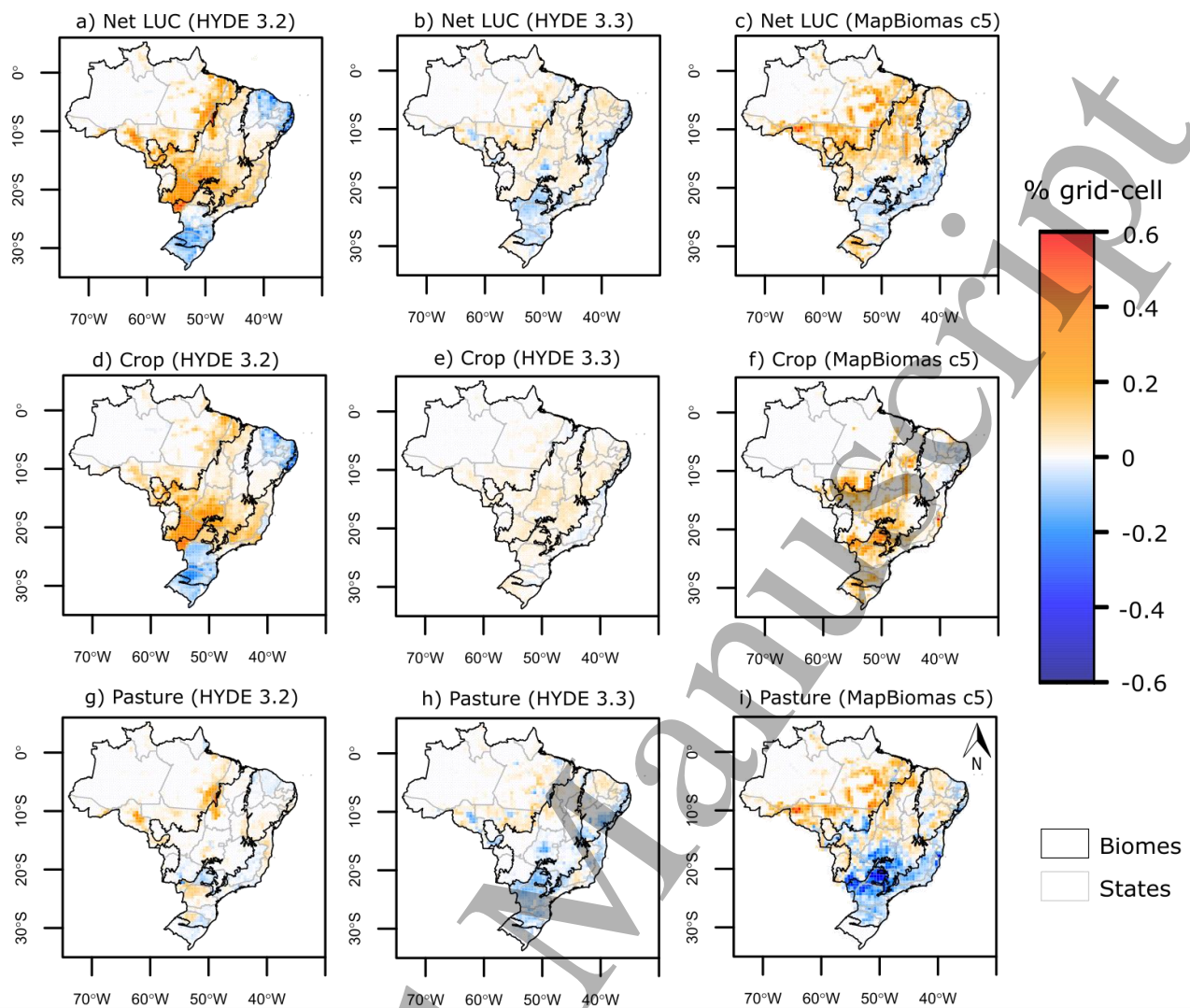


Figure 2. Spatial land use change fraction (0-100% of grid-cell converted to 0-1) between 2000 and 2017 for Brazil. a) Net land use change in HYDE 3.2; b) Net land use change in HYDE 3.3; c) Net land use change MapBiomass c5; d) Cropland change HYDE 3.2; e) Cropland change HYDE 3.3; f) Cropland change MapBiomass c.5; g) Pasture change HYDE 3.3; h) Pasture change HYDE 3.3; i) Pasture change MapBiomass v.4. \*Net land use change = cropland + pasture. \*\*Pasture for both HYDE versions include pasture+converted rangeland categories.

### 3.2 Land Use and Land Cover Change Emissions (ELUC) in Brazil

Simulated average ELUC for Brazil after the peak in 2004 was  $0.34 \text{ PgCyr}^{-1}$ ,  $0.18 \text{ PgCyr}^{-1}$  and  $0.32 \text{ PgCyr}^{-1}$  in JULES-ES simulations with HYDE 3.2 (2005-2017), HYDE 3.3 and MapBiomass (2005-2019), respectively. ELUC with the BLUE model was  $0.19 \text{ PgCyr}^{-1}$ ,  $0.11 \text{ PgCyr}^{-1}$  and  $0.39 \text{ PgCyr}^{-1}$  for HYDE 3.2, HYDE 3.3 and MapBiomass, respectively (SI Table 4). Although simulations based on HYDE 3.2 showed higher emissions than HYDE 3.3-based, both models when forced with HYDE 3.3 showed a negative trend after 2004. This is in agreement with the trend from our reference run based on MapBiomass LULCC forcing. Therefore, differences

between MapBiomass and HYDE 3.3 simulations can reach up to  $0.3 \text{ PgC/yr}$  in some years due to the higher LULCC in MapBiomass than HYDE datasets (Figure 3a).

At the biome level, simulations with HYDE 3.3 and MapBiomass agreed on a downward trend after 2004 ( $p < 0.05$ , Figure 3b) for the Amazon biome which is also consistent with INPE-EM bookkeeping model based on official deforestation data. However, for the same period simulations with HYDE 3.2 had an opposite direction and showed an increase of ELUC in both the Amazon and Cerrado biomes with the greatest increase in the latter (Figure 3c). The update in HYDE also reflects an improvement in the contribution of each biome to the country total ELUC. Our results indicated that with the HYDE 3.2 version the Cerrado biome (Brazilian savannas)



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3 was the highest contributor (40.7%-61%) in contrast to  
4 Amazon (14.6%-22.5%) (SI Figure 5). This is opposite to data  
5 from INPE-EM, which confirms that Amazon emissions are  
6 on average 59% higher than Cerrado emissions. The spatial  
7 improvement in the allocation method of HYDE 3.3 increased  
8 the contribution of Amazon biome to the total ELUC, now  
9 responsible for about 40% and the Cerrado 24-32% of the  
10 country's total (SI Figure 5). These improvements are  
11 important to better represent spatially the human disturbances  
12 across the Brazilian biomes.

13 Spatially, the main differences between the HYDE-based  
14 simulations are in SE Brazil and the arc of deforestation in  
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Amazonia (Figure 3d-i). Both models using HYDE 3.2  
simulate higher emissions concentrated in the SE Brazil (i.e.  
Sao Paulo, Mato Grosso do Sul and Parana states) (Figure 3b,  
d) when compared to the Amazon region, which is unrealistic  
given the dynamic of recent trends in deforestation hotspots in  
Brazil (i.e. Amazon and north of Cerrado regions as shown in  
SI Figure 4). Therefore, both HYDE-based simulations still  
spatially underestimate the emissions in the Amazon 'Arc of  
deforestation' as shown by the spatial simulated emissions  
based on MapBiomass (Figure 3f and i).

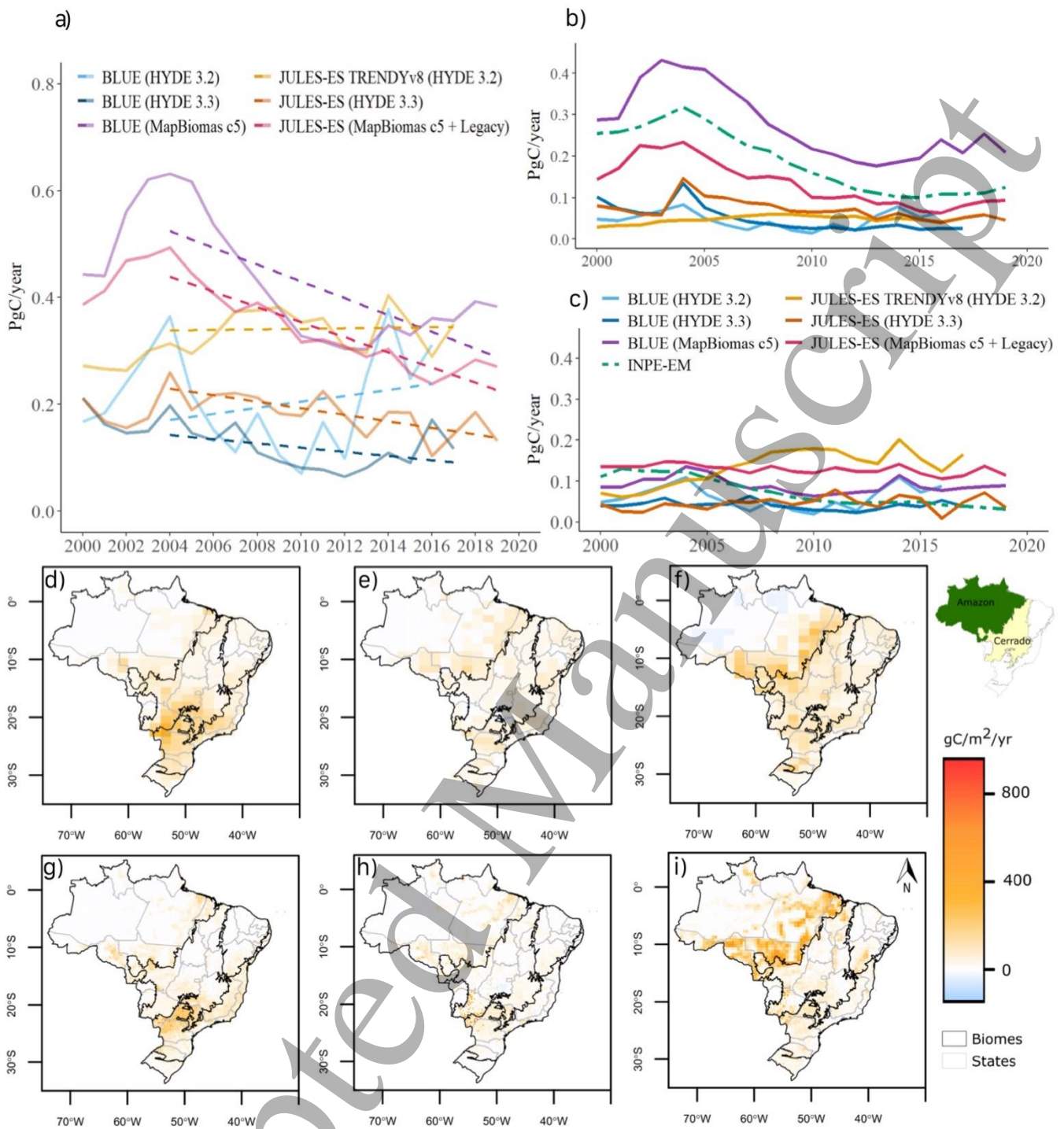


Figure 3. a) Brazil total ELUC (PgC/yr) from 2000 and trendline from 2004 onwards; b) Amazon biome ELUC (PgC/yr); c) Cerrado biome ELUC (PgC/yr); d) Simulated spatial explicit mean ELUC (gC/m<sup>2</sup>/yr) for Brazil (2000-2016\*) by JULES-ES TRENDYv8 with HYDE 3.2; e) JULES-ES with HYDE 3.3; f) JULES-ES with MapBiomias c5 + Legacy; g) BLUE with HYDE 3.2; h) BLUE with HYDE 3.3; i) BLUE with MapBiomias c5. \*Here we spatially show 2000-2016 mean and difference because BLUE (HYDE 3.2) was only available up to 2016.

#### 4. Discussion

The goal of this study was to evaluate global land use with additional country-specific data (MapBiomias) and products used to estimate Brazil ELUC emissions comparing and estimate the impact of the new HYDE 3.3 dataset on the

simulated ELUC. One of the factors of uncertainty and disagreement in the mean and trends in ELUC for Brazil is the driving LULCC dataset (Bastos *et al* 2020, Gasser *et al* 2020). Our results show that the HYDE 3.3 including updated FAO statistics and an improvement in the allocation method using multi-annual remote sensing-based ESA CCI land cover) product better distributed the LULCC and consequently ELUC across Brazil compared to the previous version. Unlike ELUC based on HYDE 3.2, our new HYDE 3.3-based estimates now agree on an overall negative trend after 2004 as

shown in our reference MapBiomass simulation and other national datasets (NGHGI and SEEG LULUCF), FAOSTAT and H&N global bookkeeping models (Figure 4 and SI table 4). Although there are still differences in the scale of emissions between the estimates in Figure 4, these can be attributed to different methodological approaches, processes considered, and input data used to estimate ELUC (SI Table 3).

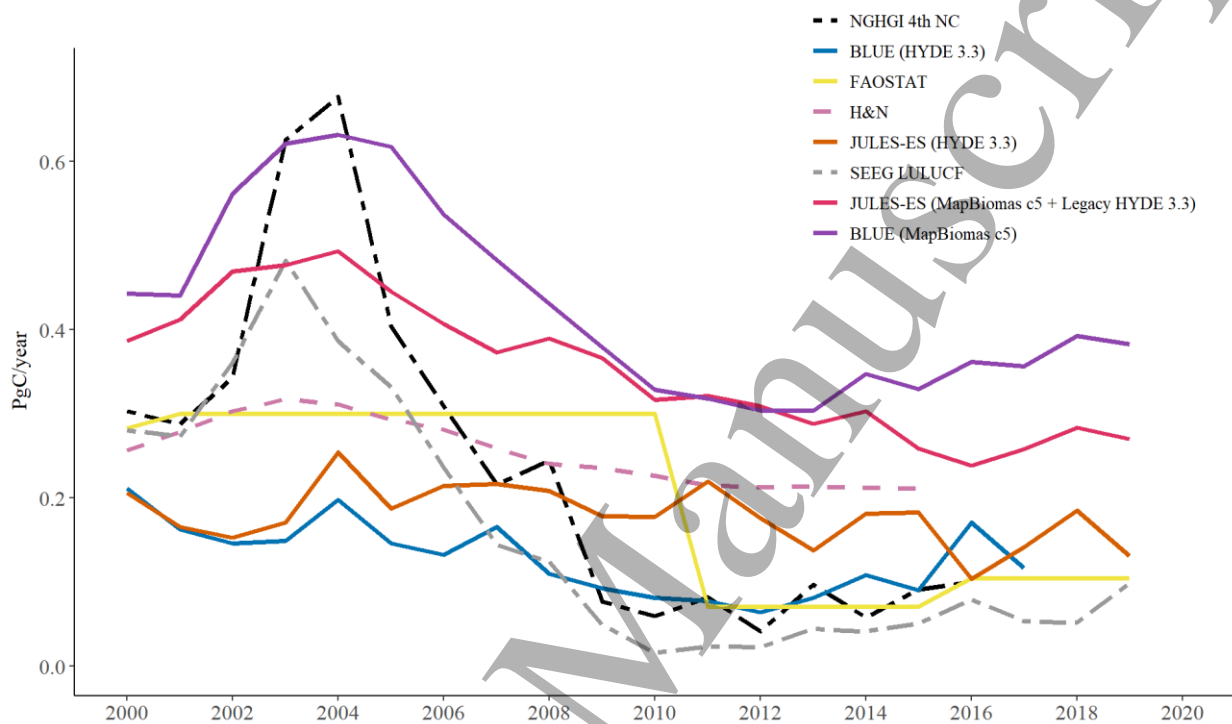


Figure 4. a) Brazil ELUC from both models used in this study with HYDE 3.3 and MapBiomass and other estimates including the Houghton & Nassikas (H&N), the FAOSTAT, the 4<sup>th</sup> National Communication of the Brazilian estimates on GHG estimates (NGHGI 4<sup>th</sup> NC) and 8<sup>th</sup> edition of SEEG LULUCF. A table with the average estimates for Brazil and the trend are in SI Table 4.

Challenges remain in order to further improve representation of LULCC and ELUC in regional and global assessments. In general, DGVMs and some bookkeeping models use change in agricultural areas based on statistical data reported by countries to FAO as a forcing for tree-cover loss. By using only the agricultural areas based on FAOSTAT such as in HYDE dataset, our results demonstrated that we underestimate the ELUC compared to remote sensing-based data. This occurs because of the limitation of relying on the country reports and the assumption that all changes in natural vegetation loss can be inferred from changes in agricultural land without consideration of part of the ‘other land’ category which may be associated to the deforestation process. A recent study showed that about 13% of the tree cover loss in

Brazil goes into a long transitional land category which is land not converted to be used by agricultural activities and may be associated to land grabbing (Zalles *et al* 2021). Thus, these areas are potentially included as a residual in the ‘other land’ category from FAO and not being considered in the ELUC estimates based on HYDE dataset. When using a remote sensing-based product to extract the observed changes in LULCC areas, such as the MapBiomass, the models were able to reproduce the overall pattern of vegetation loss and consequently ELUC trends over Brazil. Another caveat is that as we do not consider gross land cover changes and expect lower simulated ELUC in the early 2000s using the HYDE 3.3 dataset, also the regrowth sink in the late 2000s will likely be underestimated (Armeth *et al* 2017). A future challenge to the DGVMs and bookkeeping models such as BLUE will be to

incorporate tree-loss directly from remote-sensing products. Nonetheless, this presents other challenges, such as the representation of legacy fluxes which occur on a timescale longer than the current availability of remote sensing datasets (Pongratz *et al* 2014). We believe this shortcoming will be overcome on the medium-term as longer time-series become available from satellite data. Moreover, global LULCC products with medium to coarse spatial resolution may not capture the increasing small-scale deforestation in Amazon (Kalamandeen *et al* 2018) which can contribute to an underestimate in the LULCC and its interannual variability. Variations in vegetation cover loss are associated with economic changes and environmental policy (Macedo *et al* 2012, West and Fearnside 2021), climatic events such as ENSO (El Niño–Southern Oscillation), which can contribute to spread of fires in intact forests (Aragão *et al* 2018, Alencar *et al* 2006), facilitating land cover conversion through fire, and also government decisions (Barlow *et al* 2020, Cardil *et al* 2020), which can lead to a high interannual variability. Using datasets based on semi- and decadal scale values such as in the updated version of FAO land-use statistical data for Brazil will result in lower year-to-year variation in vegetation loss and ELUC variability from DGVMs and Bookkeeping models. As shown in SI table 3 approaches vary in terms of LU processes included, e.g. shifting cultivation/sub-grid transitions, wood harvest, each of which could lead to an increase in ELUC estimates (Arneth *et al* 2017). In addition, forest degradation (selective logging, forest fire, edge-effects and fragmentation) is a growing threat, and may surpass deforestation in terms of both area and C emissions in several recent years (Aragão *et al* 2018, Bullock *et al* 2020, Silva Junior *et al* 2020, Matricardi *et al* 2020, Assis *et al* 2020), but is still not included in global DGVMs, bookkeeping models nor in national inventories. Hence improvements in DGVMs and global Bookkeeping models to explicitly use remote sensing derived tree-cover loss dataset and represent degradation processes are needed to further improve the representation of human disturbances on ecosystems and deliver better estimates of ELUC. Further efforts are still needed to better align (or map) the concepts between ELUC and LULUCF (Grassi *et al* 2018).

## 5. Conclusion

This study used a new global LULCC dataset based on the integration of time varying remote sensing data with updated national statistics to generate a new global land use dataset. HYDE 3.3 is shown to be superior in the spatial allocation to an earlier version based on only a single year satellite baseline. In particular, it reproduces the general spatial pattern of LULCC across Brazil when compared with national datasets, however it still underestimates the LULCC changes due to limitations associated with the assumption of a one-to-one correspondence between natural vegetation loss and changes in agricultural land based on statistical reports.

When HYDE 3.3 was applied as input to a processed-based DGVM and a global bookkeeping model, both simulated a negative trend in ELUC for Brazil, in agreement with national and other global estimates. The simulations with both HYDE 3.3 and MapBiomass also identify the Brazilian Amazon as the largest contributor to the total country ELUC. In summary, improvements in LULCC datasets have resulted in consistent estimates of ELUC trends across different methodologies for Brazil. These advances will likely improve GCB estimates, contributing to reduced uncertainty in the global estimates and improve our understanding of the global carbon cycle.

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T.M.R and S.S conceived the ideas and designed the methodology, T.M.R, R.G., J.P., K.K.G. and M.O.S. compiled the data. K.K.G developed new HYDE3.3 datasets iterating with T.M.R on Brazil. R.G. applied BLUE, and T.M.R and M.O.S applied JULES-ES using HYDE3.3 and MapBiomass. T.M.R analyzed the data, and results discussed with all authors. T.M.R. and S.S. led the writing of the manuscript to which all authors contributed. F.N.T. provided support in terms of FAO data on land use and GHG emissions as well as in providing mapping between land use classes. The views expressed in this publication are the author's and do not necessarily reflect the views or policies of FAO. We thank Renan Milagres Lage Novaes from EMBRAPA and Giorgia De Santis from FAO to help clarify the nature of FAOSTAT land use data used in the manuscript. We also thank Clement Albergel from ESA for his helpful comments on the manuscript.

MapBiomass data is freely available at <http://mapbiomas.org/> and ESA CCI LC at <http://www.esa-landcover-cci.org>. HYDE 3.3 will be available upon request

to (kees.kleingoldewijk@pbl.nl). The simulations will be available upon reasonable request to the correspondent author.

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