

Schlussbericht zum Vorhaben:
Mechanistische Untersuchungen der
elektrochemischen Sauerstoffentwicklung auf
Modellelektroden – Stabilität der Elektroden, Natur der
Oxide und Intermediate (BMBF-Förderkennzeichen
03SF0507).
Teilvorhaben des Clusterprojekts “Mangan”

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Die Verantwortung für den Inhalt dieser Veröffentlichung liegt bei den Autoren.

GEFÖRDERT VOM



Bundesministerium
für Bildung
und Forschung

1 Kurze Darstellung

1.1 Aufgabenstellung

Als Teilvorhaben des Verbundprojektes MANGAN sollten am Max-Planck-Institut für Eisenforschung (MPIE) grundlegende mechanistische Fragen der elektrochemischen Sauerstoffentwicklungsreaktion (oxygen evolution reaction - OER) untersucht werden.

Das Teilvorhaben unterteilte sich in zwei Teilprojekte (A und B), die die unterschiedlichen Prozesse, die bei hohen Strömen an Elektroden ablaufen untersuchen sollten. Die Auflösung des Elektrodenmaterials und des Katalysators kann bei hohen Strömen ein signifikantes Problem darstellen was in Teilprojekt A untersucht wurde. Die Veränderung der katalytischen Oberfläche und die Bildung von Oxidschichten sowie die Natur möglicher Intermediate sollte in Teilprojekt B untersucht werden.

1.2 Voraussetzungen

Beide Teilvorhaben wurden am Max-Planck-Institut für Eisenforschung, Düsseldorf (MPIE) in der Abteilung für Grenzflächenchemie und Oberflächentechnik (GO) durchgeführt. Am MPIE und insbesondere in der GO bestehen diverse institutionelle und technische Voraussetzungen die für die Durchführung der Projekte notwendig waren: Anlagen für die physikalische Gasphasenabscheidung (engl.: physical vapour deposition, PVD), Anlagen für die chemische Analyse mittels Photoelektronenspektroskopie (engl.: electron spectroscopy for chemical analysis, ESCA), Elektrokatalyselabor mit Online-Elektrolytanalyse via ICP-MS (inductively coupled plasma – mass spectrometry) und ICP-OES (inductively coupled plasma – optical emission spectroscopy), chemische Labore, optisches Labor mit diversen Spektrometern (IR, UV/Vis, Ellipsometer), Metallografielabor, mechanische und elektronische Werkstatt. Ebenso existiert durch intensive Arbeiten zu Mangan als Legierungselement in Stählen eine Expertise hinsichtlich der Handhabung und der Eigenschaften von Mangan.

Die Arbeiten zu Teilprojekt A wurden in der Arbeitsgruppe Elektrokatalyse durchgeführt in der insbesondere eine Expertise in der Entwicklung und Anwendung der rasternden kapillaren Flusszelle (engl.: scanning flow cell, SFC) in Kombination mit Massenspektrometrie (ICP-MS) besteht. Der Gruppenleiter K. Mayrhofer ist seit Ende 2015 hauptamtlich als Leiter der Abteilung Elektrokatalyse am Helmholtz Institute Erlangen-Nürnberg for Renewable Energy (HIERN) in Erlangen tätig. Das HIERN ist Teil der Forschungszentrum Jülich GmbH.

Teilprojekt B wurde in der Arbeitsgruppe Grenzflächenspektroskopie durchgeführt. In dieser Gruppe besteht eine besondere Expertise für die Kopplung elektrochemischer Messungen mit optischer Grenzflächenspektroskopie (IR, Raman, Ellipsometrie). Der Gruppenleiter A. Erbe ist seit Dezember 2015 hauptberuflich als Professor an Norwegens technisch-naturwissenschaftlicher Universität (Norges teknisk naturvitenskapelige universitet, NTNU), Trondheim, Norwegen, tätig.

Beide Gruppenleiter hatten während der Projektlaufzeit eine vertragliche Bindung zum MPIE, sodass die wissenschaftliche, organisatorische und administrative Betreuung des Projekts jederzeit sichergestellt war.

1.3 Planung und Ablauf

Für die Teilprojekte wurden verschiedene Arbeitspakete geplant und abgearbeitet (zu den Details der Abarbeitung siehe Abschnitt 3. Erfolgskontrollbericht)

Teilprojekt A:

- AP1: Aufbau der rasternden kapillaren Flusszelle (SFC)

- AP2: Kombinatorische Untersuchung von gradienten Materialbibliotheken
- AP3: Aktivität und Stabilität von realen Mn-Mischoxiden
- AP4: Grundlegender Mechanismus der Auflösung

Teilprojekt B:

Teil A – Natur der Oxidschichten auf Mn während der OER:

- BA1: Messung der optischen Konstanten (d.h. dielektrischen Funktion) des Substrates Mn.
- BA2: Elektrochemische Ellipsometriemessungen im oxidbedeckten Potentialbereich bis hinein in den Bereich der beginnenden OER auf Mn.
- BA3: Detaillierte Datenanalyse.
- BA4: Immobilisation der Katalysatormaterialien der Partnergruppen und Messung von Spektren während der OER.
- BA5: Schnelles Sprungexperiment mit polarimetrischer Spektroskopie.

Teil B – Natur der Intermediate in der OER (ohne weitere Gliederung)

Für beide Teilprojekte wurden jeweils ein wissenschaftlicher Mitarbeiter eingeplant und eingestellt, die neben den Gruppenleitern die Projekte bearbeiten. Bei Antragsstellung wurden für Arbeitspaket BA5 Geräteinvestitionen geplant. Nach Projektbeginn klärten sich zunehmend die experimentellen und technischen Randbedingungen sowie die Anforderungen, die sich durch das Projekt an die geplanten Experimente ergaben. Daraufhin wurde die Geräteliste nach Absprache mit dem Projektträger Jülich überarbeitet und im Rahmen des Gesamtbudgets angepasst und beschafft.

1.4. Wissenschaftlicher und Technischer Stand bei Projektbeginn

Teilprojekt A

Bei Beantragung existierten in der Elektrokatalysegruppe am MPIE große Erfahrungen mit dem Umgang, der Modifizierung und Adaption der SFC (Klemm et al. 2011; Schuppert et al. 2012), deren Einsatz in diesem Projekt eine entscheidende Rolle spielte. Die SFC ist ein technisch ausgefeilter elektrochemischer Versuchsaufbau, der an der Heinrich-Heine-Universität Düsseldorf (Lohrengel et al. 2000; Lohrengel et al. 2004) entwickelt und am Max-Planck-Institut für Eisenforschung in den vorangegangenen zwei Jahren weiter ausgebaut wurde. Das Setup erlaubt hohe Durchsatzraten bei der elektrochemischen Oberflächenanalyse, mit einer Auflösung bis in den μm -Bereich. Sie ist eine Weiterentwicklung der Rasternde Tropfenzelle und wurde für Korrosionsstudien (Klemm et al. 2011), im Bereich der elektrochemischen Abtragung (Electrochemical Machining, ECM) (Lohrengel et al. 2003), und zur Untersuchung von Elektrokatalysatoren eingesetzt (Schuppert et al. 2012).

Teilprojekt B

Die Natur von Passivschichten auf Metallen wurde detailliert untersucht (Hassel, Schultze, 2003). Insbesondere für Eisen und Cobalt liegen über reine elektrochemische Messungen hinaus auch Daten vor, die in situ im Elektrolyten gewonnen wurden (Chen, Cahan, 1982; Ohtsuka, Sato, 1983; Bewick, Gutierrez, Larramona, 1992). Wesentlich geringer ausgeprägt ist das Verständnis der Transpassivität, also der OER auf oxidbedeckten Metallelektroden. Diese ist aber entscheidend für die elektrochemische Wasserspaltung. Zwar zeigten Arbeiten z.B. an Manganoxiden sowohl Zusammenhänge zwischen Struktur und Aktivität für die photoelektrochemische Wasserspaltung (Robinson, Go, et al., 2013), als auch den Zusammenhang zwischen elektrochemischer Geschichte

und Natur der Oxide im ex situ Photoelektronenspektroskopieexperiment (Gorlin, Jaramillo, 2012). Weitergehendes mechanistisches Verständnis wurde u.a. durch theoretische Untersuchungen erzielt (Su, Gorlin, et al., 2012). In situ Untersuchungen gab es nur für Partikelensembles durch Röntgenabsorptionsspektroskopie (Gorlin, Lassalle-Kaiser, et al., 2013), sowie durch Transmissionselektronenmikroskopie und -spektroskopie unter Modellbedingungen (Raabe, Mierwaldt et al., 2012).

Die Natur der elektronischen Struktur der aktiven Oxidspezies auf Elektrodenoberflächen wurde z.B. für die OER durch optische Absorptionsspektroskopie in Transmission auf transparenten Elektroden verfolgt (Trotochaud, Mills, Boettcher, 2013). Strukturelle Informationen wurden durch Schwingungsspektroskopie, z.B. Raman-Spektroskopie erhalten (Yeo, Bell, 2012).

Vorangegangene Arbeiten der AG Grenzflächenspektroskopie hatten in situ quantitative Analysen der Oxidschichtdicken und quantitative bzw. qualitative Analysen der Absorptionscharakteristika der Oxidschichten auf Zink zum Thema, wobei eine wesentliche Methode die spektroskopische Ellipsometrie war (Zuo, Erbe, 2010; Chen, Schneider, Erbe, 2012; Chen, Erbe, 2013; Chen, Schneider et al., 2013). Zum Zeitpunkt der Antragsstellung lief auch ein Projekt indem eine ähnliche Datenanalyse für Cu getestet wurde (Toparli et al., 2015). Dieses stellte einen Prototyp für Übergangsmetalle dar, bei denen die Datenanalyse komplizierter ist als für Zn. Bei Arbeiten zur Wasserstoffentwicklung konnte gezeigt werden, dass Blasenbildung auf der Oberfläche bis zu einem bestimmten Grad die Messungen nicht beeinträchtigt (Muglali, Erbe et al., 2013; Kemnade, Chen et al., 2014). Weiterhin wurden mittels IR-Absorptionsspektroskopie in abgeschwächter Totalreflexion (ATR-IR) die Intermediate in der Sauerstoffreduktion (ORR) untersucht (Nayak, Biedermann et al., 2013a; Nayak, Biedermann et al., 2013b).

1.5 Zusammenarbeit mit anderen Stellen

Es gab eine interne Zusammenarbeit zwischen Teilprojekt A & B. Dafür wurden elektrochemische Messungen mit der SFC ICP-MS an den metallischen Manganproben aus Teilprojekt B durchgeführt.

Des Weiteren wurden unterschiedliche Proben von Katalysatormaterialien von anderen Partnern im Forschungsverbund MANGAN zur Stabilitätsuntersuchung an das MPIE geschickt (Tabelle 1).

Tabelle 1. Proben von Katalysatormaterialien von anderen Partnern im Forschungsverbund MANGAN, die zur Stabilitätsuntersuchung an das MPIE geschickt wurden.

Probe	Quelle
Ni ₂ Co ₂ O ₄ (standard)	Sigma Aldrich
Bixbyite, Mn ₂ O ₃	Uni Freiburg
Ca-Birnessite, Ca _{0.2} MnO _{2.02}	Uni Freiburg
MnO _x /G_1	RUB
MnO _x /G_2	RUB
MnO _x /G_3	RUB
CaMn_LDH	RUB
Mn _x O _y /NC	RUB

BR037, sputtered Mn-O	HZB
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Da die Gruppenleiter während des Projektes Aufgaben an neuen Instituten übernahmen, wurde auch deren neue Umgebung am HIERN bzw. der NTNU konzeptionell und zum Vorteil des Projektes in die Arbeiten einbezogen. Dazu gab es jeweils vertragliche Vereinbarungen zwischen MPIE und HiERN bzw. NTNU.

2 Eingehende Darstellung

2.1 Verwendung der Zuwendung und erzielte Ergebnisse

erzieltes Ergebnis im Einzelnen mit Gegenüberstellung der vorgegebenen Ziele

Personalausgaben

0812 Beschäftigte E12-E15

Verwendung für die Gehaltszahlungen der für das Projekt angestellten wissenschaftlichen Mitarbeiter nach TVÖD/E13.

Erzieltes Ergebnis: Die Arbeitspakete (vgl. I – Planung und Ablauf) konnten planmäßig bearbeitet werden.

Sächliche Verwaltungsausgaben

0843 Sonstige allgemeine Verwaltungsausgaben

Verwendung für Overhead, also die Finanzierung und Erhalt der allgemeinen Infrastruktur des MPIE (Verwaltung, Labortechnik, Haustechnik etc.)

Verwendung für direkt Verbrauchsmittel der beantragten Forschungsarbeiten.

Erzieltes Ergebnis: Die Randbedingungen für die Durchführung der beantragten Forschung wurden geschaffen und sichergestellt.

0846 Dienstreisen

Verwendung für Dienstreisen zu Projekttreffen, projektbezogenen wissenschaftlichen Vorträgen, (internationalen) Konferenzen, Besprechungen mit Projektpartnern, Reisen der Gruppenleiter zum Durchführungsort MPIE gemäß Genehmigung vom Projektträger.

Erzieltes Ergebnis: Wissenschaftliche Ergebnisse aller Arbeitspakete konnten präsentiert und diskutiert werden sowohl intern als auch mit Partnern im Forschungsverbund und mit Externen. Die wissenschaftlichen Ansätze konnten weiterentwickelt werden, die Forschenden erhielten tiefgehende Einblicke in die aktuellen Entwicklungen im Forschungsfeld. Die den aktuellen Entwicklungen angepasste Durchführung der Forschung wurde somit ermöglicht.

0850 Gegenstände und Investitionen

Verwendung für Beschaffung der notwendigen Teile für einen schnellen in-situ polarimetrischen Spektrometeraufbau (Arbeitspaket BA5).

Erzieltes Ergebnis: Die ursprünglich beantragte Geräteliste wurde in Absprache mit dem Projektträger an die experimentellen Erfordernisse angepasst und die notwendigen Komponenten wurden beschafft. Der optische Aufbau konnte, entsprechend den geplanten Experimenten in Arbeitspaket BA5 verwirklicht werden.

Weitere dem Projekt zu Gute kommende Geräte wurden vor Beginn der Laufzeit vom Koordinationsprojekt am MPI für chemische Energiekonversion beschafft und standen dem Projekt zur Verfügung.

Dies betrifft einen Potentiostaten Ivium CompactStat.DC, der für die elektrochemische Steuerung der verwendeten in situ spektroskopischen Experimente verwendet wurde, insbesondere wie

geplant für den im Projekt erstellten Aufbau, allerdings auch für Messungen, die im Rahmen des Projekts an anderen Aufbauten durchgeführt wurden.

Zum anderen wurden diverse Zubehörteile, genauer ein Breadboard, Massendurchflussregler, eine Peristaltikpumpe, Swagelok-Verbindungen für Gasatmosphärenkontrolle, ein Potentiostat Gamry Reference 600 und ein Linearverstärker für einen spezialisierten SFC-Aufbau wie im Antrag geschildert beschafft und für die Messungen im Projekt verwendet.

2.2 Wichtigste Positionen des zahlenmäßigen Nachweises

0850 Gegenstände und Investitionen für Spektrometeraufbau (Arbeitspaket BA5)

HPX 2000 HP DUV, High Power Xenon Source: Lichtquellen mit hoher Intensität und verschiedene Spektralbereiche von UV bis NIR.

Reflexionsspektrometer mit dopp. Strahlführung: Spektrometer zur Aufnahme der reflektierten und/oder gestreuten Strahlung. Hohe Präzision und Aufnahmegeschwindigkeiten für hohe Zeitauflösung.

OWIS Motoren: Motoren und Steuerung für die schnelle Rotation der optischen Polarisatoren, sowie optomechanische Teile (Reiter, Reduziereinsatz).

Edmund Polarizer: Gefasste Rochonprismen die als rotierender Polarisator und Analysator dienen

Weitere optomechanische Teile zur Realisierung des Aufbaus (*Right Angle Plate, Justieraufnahmeplatte, Irisblenden, Custom Fiber, Justieraufnahmeplatte u.a.*)

Ausrüstung, die über das Koordinationsprojekt beschafft wurde

Potentiostaten: Zur Steuerung der elektrochemischen Teile der entsprechenden Experimente (SFC bzw. In situ Spektroskopie)

Zubehör für SFC-Aufbau: Breadboard, Massendurchflussregler, Peristaltikpumpe, Swagelok-Verbindungen, Linearverstärker

Unter Voraussetzung der Zustimmung von Seiten des BMBF ist geplant, diese Gegenstände weiterhin am MPIE für Forschungstätigkeit im Umfeld des Projektes zu verwenden.

2.3 Notwendigkeit und Angemessenheit der geleisteten Arbeit

Die geleisteten Arbeiten waren notwendig und angemessen, um die Projektziele zu erreichen. Es wurden – wie in einem Projekt dieser Art üblich - neue Messaufbauten entwickelt. Diese wurden gemeinsam mit bestehenden Experimenten genutzt, um die zu Grunde liegenden wissenschaftlichen Fragestellungen zu bearbeiten. Dabei wurden interessante und nützliche Erkenntnisse erzielt.

2.4 Voraussichtlicher Nutzen

Wichtige Ergebnisse der Arbeiten der Teilprojekte wurden wissenschaftlich veröffentlicht. Zumindest eine weitere Veröffentlichung ist in Vorbereitung.

Die Ergebnisse weisen auf erhebliche Hürden für den technischen Einsatz von Manganoxiden als Katalysatoren für die elektrochemische Wasserspaltung hin. Insbesondere die geringen Stabilitäten und hohen Auflösungsraten sind hier hervorzuheben. Dies stellt insofern einen voraussichtlichen Nutzen dar, als dass weitere Forschungen in dieser Richtung unterbleiben kann, wenn es keine grundlegend neuen Ansätze zur Umgehung der identifizierten Probleme gibt. Allerdings ergeben sich aus der Arbeit hier durchaus Ansatzpunkte wie dies geschehen könnte.

Anschlussfähigkeit ergibt sich aus den aufgebauten experimentellen Setups und den erweiterten Verfahren zur Datenanalyse die für wissenschaftliche Folgeprojekte von großem Interesse sind.

2.5 Fortschritt bei anderen Stellen

Die Arbeit an Katalysatoren für die elektrochemische Wasserspaltung ist ein dynamisches Forschungsfeld mit weltweiter Aufmerksamkeit. Im Rahmen dieses Berichtes einen Überblick zu geben ist nicht möglich. Eine Übersicht über den Fortschritt des Feldes speziell für Manganoxide wurde kürzlich von anderen Projektteilnehmern des Verbundprojektes MANGAN publiziert (Melder et al., 2020).

Projektpartner im Forschungsverbund MANGAN berichten von Problemen bei der Immobilisierung und insbesondere bei der elektrochemischen Langzeitstabilität synthetischer, manganbasierter OER-Katalysatoren. Diese Probleme stellen eine immense Hürde eine technische Anwendbarkeit solcher Systeme dar. Diese Ergebnisse decken sich mit den Ergebnissen der hier bearbeiteten Teilprojekte.

Verschiedene Projektpartner verwenden in-situ oder operando Methoden zur Untersuchung ihrer Katalysatorsysteme. Ein Manuskript für ein 'Perspective Paper', das eine Übersicht über die Arbeiten von Projektpartnern sowie anderer Gruppen unter Verwendung von in-situ und operando Methoden gibt, ist in Vorbereitung.

2.6 Veröffentlichungen

DeBeer, S.; Erbe, A.; Kaiser, B.; Kasian, O.; Rüdiger, O.; Tesch, M.F.; Rabe, M.; Perspective paper in preparation.

Rabe, M.; Toparli, C.; Chen, Y.-H.; Kasian, O.; Mayrhofer, K. J. J. and Erbe, A. Alkaline manganese electrochemistry studied by in situ and operando spectroscopic methods – metal dissolution, oxide formation and oxygen evolution, *Physical Chemistry Chemical Physics*, **2019**, 21, 10457-10469. (Diese Veröffentlichung enthält in kondensierter Form einen Großteil der wichtigen Ergebnisse des Vorhabens und ist deshalb dem Bericht als Anhang beigelegt.)

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Anlagen:

- (1) Artikel "Alkaline manganese electrochemistry studied by in situ and operando spectroscopic methods – metal dissolution, oxide formation and oxygen evolution."
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Alkaline manganese electrochemistry studied by *in situ* and *operando* spectroscopic methods – metal dissolution, oxide formation and oxygen evolution†

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Manganese-based systems are considered as candidate electrocatalysts for the electrochemical oxygen evolution reaction (OER), because of their abundance in biochemical oxygen producing catalyst systems. In this work, the surface of metallic manganese was investigated *in situ* and *operando* in potentiodynamic cyclic voltammetry (CV) experiments and potentiostatic chronoamperometry (CA) experiments in NaOH. In both cases, the surfaces were initially reduced. At corresponding potentials, no oxide species can be detected by Raman spectroscopy, though electrochemical data and the absence of dissolution above the reversible potential for reactions of type $\text{Mn}^{\text{II}} \rightarrow \text{Mn}^{\text{III}}$ indicate that the material is passive. The CV shows anodic peaks at potentials in line with expectations on the basis of thermodynamic data for the oxidation to Mn_3O_4 and Mn_2O_3 ; the thickness of the surface layer increases by a few nm during these peaks, as evidenced by spectroscopic ellipsometry. Dissolution of Mn as evidenced by downstream electrolyte analysis by inductively coupled plasma mass spectrometry in a scanning flow cell (SFC-ICP-MS) of the electrolyte is negligible in the range of electrode potential vs. Ag|AgCl|3 M KCl , $E_{\text{Ag|AgCl}}$, up to 0.3 V. Remarkably, Raman spectra already show the occurrence of $\alpha\text{-MnO}_2$ at $E_{\text{Ag|AgCl}} > -0.25$ V, which is ca. 0.5 V below the potential at which oxidation to MnO_2 is expected. This observation is attributed to disproportionation above a certain level of Mn^{III} . For $E_{\text{Ag|AgCl}} > 0.4$ V, dissolution sets in, at a constant layer thickness. Above the onset potential of the OER, at $E_{\text{Ag|AgCl}} \approx 0.6$ V, SFC-ICP-MS analysis shows fast dissolution, and the oxide layer thickness is constant or increases. CA experiments during the OER show strong dissolution, and the re-formation of a strongly disordered, $\beta\text{-MnO}_2$ -like oxide, which exists in a quasi-stationary state at the interface. Several CV cycles increase the dissolution per cycle and the fraction of $\alpha\text{-MnO}_2$ on the surface which cannot be reduced. The high dissolution currents show that metallic Mn is hardly suitable as an OER catalyst, however, at least the Mn^{IV} oxides remain stationarily present in the system.

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1 Introduction

The high demand for clean, secure and economic energy storage has stimulated great interest in the research for efficient energy conversion and storage devices. Producing H_2 through water splitting is one of the most efficient and cleanest ways to meet this demand.^{1–3} The electrochemical splitting of water involves a hydrogen evolution reaction (HER) at the cathode and an oxygen evolution reaction (OER) at the anode.^{1–3} While the HER is the desired reaction, the OER as the counter reaction has a large overpotential, due to its complex four electron transfer mechanism. In order to reduce losses during the OER, new catalyst systems are being developed. For knowledge based development, detailed understanding of the involved catalytic processes is essential. Biological oxygen evolution

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during photosynthesis is achieved in enzymes containing manganese ions in the reaction center,^{4–7} which has inspired much research on Mn containing heterogeneous and homogeneous catalysts. Accordingly, it is anticipated that manganese oxides are promising materials for catalysts of the oxygen evolution reaction in both natural systems and water electrolyzers. A great advantage of manganese is its high abundance on earth, making it available for industrial applications at a low price with low criticality and low vulnerability.^{8,9}

A number of studies have been published employing *ex situ* methods to study manganese oxides MnO_x as electrocatalysts, while the number of studies employing *in situ* techniques is rather limited.^{10–13} In an *ex situ* X-ray photo electron spectroscopy (XPS) study, small amounts of Mn^{IV} were found after polarisation to potentials at which the OER occurs.¹⁰ Increased activity of MnCo_2O_4 was observed in alkaline electrolyte with the reduction of $\text{Mn}^{3.7+}$ to $\text{Mn}^{3.2+}$ based on *ex situ* K-edge X-ray absorption spectroscopy (XAS).¹¹ On the other hand, a higher oxidation state of Mn (close to Mn^{IV}) was detected with *ex situ* K-edge XAS in an alkaline environment after the OER.¹³ Even though *in situ* and *operando* are experimentally more challenging than *ex situ* studies, they can provide detailed insight into the conditions under which electrocatalytic systems work, including the investigation of transient states. Thus *in situ* studies are essential in order to gain a deep understanding of the catalytic mode of action.^{14,15} *In situ* X-ray absorption near edge structure (XANES) spectroscopy studies on bifunctional MnO_x catalysts showed that mixed $\text{Mn}^{\text{II,III,IV}}$ oxide was partially oxidized to a $\text{Mn}^{\text{III,IV}}$ oxide phase with a structure similar to birnessite under OER conditions.¹⁶ Spectroelectrochemical measurements revealed that Mn^{III} becomes unstable in neutral electrolyte during the OER and thus the activity of the catalyst decreases.¹⁷ Oxidation of MnO nanoparticles to Mn^{IV} under OER conditions in neutral electrolyte was observed by *in situ* Raman and UV-vis spectroscopy.¹⁸ Overall, it emerges from these studies that presence of Mn^{III} in the oxides results in a higher catalytic activity. Furthermore, formation of other metal cations in a high valence state during the OER was observed, e.g. in Co, Mn, Ir and Ni-based systems.^{19–22}

In the cyclic voltammograms (CVs) of metal electrodes, the onset of the OER is indicated by a strong increase in the current. Depending on the system, the high current density can originate from several simultaneous processes in addition to the OER such as transpassive metal dissolution, dissolution of the metal oxide, or rapid pitting corrosion.²³ Thus, the ideal *in situ* method should probe the oxide composition as well as film parameters such as thickness, density or porosity at the same time. In former studies our group successfully employed *in situ* spectroscopic ellipsometry (SE) to probe metal supported oxide layer thicknesses simultaneously with spectral changes that can be attributed to composition changes in electrochemical setups.^{24–26} It was shown that passive oxide films forming on Cu dissolve because of single charge oxygen vacancy formation during the OER.²⁵ *In situ* and *operando* measurements on metallic Cu showed that metastable Cu_4O_3 forms before the oxide dissolution.^{24,25} One of the key parameters for the OER is

the nature of oxides and their stability during the reaction. Formation of stable oxide films on metallic Mn is possible under neutral and basic aqueous environments. Under such conditions several stable oxides such as MnO , Mn_3O_4 , Mn_2O_3 , and MnO_2 in various crystal structures may form.²⁷ Most importantly, the structure and properties of thin oxide films formed in electrochemical processes can significantly deviate from those found in the respective bulk system.^{24–26,28–30}

Different compositions and stability of different manganese oxides with respect to pH and electrode potential can be accessed through the Pourbaix diagram (cf. Fig. S1, ESI†).³¹ Mn dissolution leads to formation of $\text{Mn}(\text{OH})_2$ in alkaline solution, followed by Mn_3O_4 and Mn_2O_3 formation upon a potential increase. From the corrosion point of view, manganese oxides are judged as poorly protecting.³² According to the Pourbaix diagram at $E_{\text{Ag|AgCl}} \approx 0$ V Mn_2O_3 transforms to MnO_2 and MnO_4^- forms in the OER potential region. A detailed understanding of the formation of MnO_x during the OER is essential in order to optimize MnO_x electrodes for possible applications. It is assumed that Mn^{III} forms during the OER.³³ However its existence and why Mn^{III} is stable instead of Mn^{IV} under such oxidation conditions are controversial.

Here, we extend the fundamental understanding of MnO_x in general and during the OER in particular by investigating the oxide formation on metallic Mn surfaces in alkaline electrolyte at $\text{pH} \approx 13$. We studied the oxide growth, nature and optical properties of oxides by means of *in situ* spectroelectrochemical studies during CV and chronoamperometry (CA) potential step experiments. The electrochemistry experiments are coupled with *in situ* SE as well as *in situ* Raman spectroscopy. The Mn concentration was analysed downstream by a scanning flow cell-inductively coupled plasma mass spectrometer (SFC-ICP-MS) setup. These techniques allow monitoring of the changes in layer thickness, electronic structure of the oxides on the electrode interface, and monitoring of dissolution in the course of electrochemical reactions.

2 Materials and methods

2.1 Sample preparation

Electron beam evaporated Mn was used as the working electrode. Si(100) single crystal wafers (Siegert Wafer, Aachen, Germany) were used as substrates. Cr and Mn pellets with purity 99.999% (Wieland Edelmetalle, Pforzheim, Germany) were used. Firstly, a 10 nm Cr adhesion layer was deposited on a cleaned Si(100) surface. Subsequently, Mn was evaporated by electron beam evaporation. All evaporation was carried out in a Bestec PVD chamber (BesTec GmbH, Berlin, Germany). During evaporation, the pressure was kept at $\approx 2 \times 10^{-6}$ mbar. Mn was evaporated at an evaporation rate of 1 nm min^{-1} and a 200 nm thick layer was obtained. Depth profiles of the obtained samples were measured by XPS to ensure the absence of oxidation during evaporation (cf. Section S5, ESI†).

2.2 *In situ* spectroscopic ellipsometry (SE)

Electrochemistry coupled *in situ* SE experiments were performed using a SE 800 spectroscopic ellipsometer (Sentech Instruments

GmbH, Berlin, Germany) working in the wavelength range of 280–810 nm (1.5–4.4 eV). The measurements were carried out during both CV and CA. A Compactstat potentiostat (Ivium Technologies, Eindhoven, The Netherlands) was used to control the electrode potential. A custom build cell was used for *in situ* SE.^{28,29} A freshly prepared Mn sample was directly mounted in this cell, which was equipped with liquid flow connections. Cu tape was used to provide an electric contact of the surface of the sample. A Pt counter electrode and Ag|AgCl|3 M KCl micro-reference electrode (DriRef-2SH, World Precision Instruments, Sarasota, FL, USA) were used. All electrode potentials reported in this work (also resulting from other experimental electrochemical techniques) are referenced against Ag|AgCl|3 M KCl. The electrode potential of the employed microreference electrode has been determined as +0.208 mV vs. standard hydrogen electrode by a calibrated Ag|AgCl|3 M KCl electrode (Metrohm, Filderstadt, Germany). 0.1 M NaOH was used as the electrolyte. During the experiments the electrolyte was externally purged with argon, and flowed through the cell with a rate of 2 mL min⁻¹ using a peristaltic pump (Ismatec IDEX Health and Science, Glatbrugg, Switzerland).

During the measurement, the pump rate was reduced to 10.6 $\mu\text{L min}^{-1}$. Ellipsometric spectra of Ψ and Δ were recorded with a time resolution of ≈ 55 s. The CV of Mn was conducted with a scan rate of 2 mV s⁻¹ in the potential range of -1.3 V to 0.8 V. Thus, one ellipsometric measurement spans over a range of ≈ 110 mV. To allow for repetitive cycling, the sweep limits were chosen at the onsets of the HER and OER in order to prevent high currents accompanied by excessive formation of gas bubbles, which obscures spectral measurements. At the solid/liquid interface, reasonable results were obtained in former studies with an interpretation of ellipsometric spectra relative to a reference state, typically an oxide free metal in contact with the electrolyte.

Thus, the first potential scan started at the open circuit potential (OCP) with a cathodic scan until -1.3 V, to reduce the pre-formed oxide on the surface. Similarly, the CA experiments started with a potential step to -1.3 V to clean the surface. The potential and period have been carefully optimised to avoid strong hydrogen evolution and to avoid dissolution. Subsequently, four potential steps were set at 0.1, 0.4, 0.7, and 1.0 V. Each potential step was kept for 5 min.

2.3 Interpretation of ellipsometric spectra

The interpretation of the measured ellipsometric angles Ψ and Δ assumes formation of a thin (thickness $d \ll$ wavelength of light λ) layer of oxide between the bulk metal and bulk electrolyte. First, the time dependent thickness of the oxide layers was determined using a calibration approach. $\Delta(\lambda)$ spectra in the range 300–800 nm upon increasing d were simulated using a stratified layer model implemented in a home made implementation of a matrix method,³⁴ the function of which has been described elsewhere.³⁵ For each layer, literature values of the complex dielectric functions $\varepsilon = (n + ik)^2$, the square of the complex refractive index, were used. The shift of these spectra

with respect to a pure metal–electrolyte interface was then calculated.

The employed complex dielectric function for NaOH (0.1 M) was based on the Sellmeier dispersion for water at 20 °C by Daimon and Masura,³⁶ and $k = 0$. To this data, an offset was added that was calculated from a 4th degree polynomial fit of the concentration dependent refractive index of aqueous NaOH solution at 598 nm.³⁷ The dielectric function for Mn was taken from Johnson and Christy.³⁸ For the optical constants of MnO_x films, different reported data were compared.^{39,40} Below 10 nm the calculated film thicknesses δd as a function of the shift in Δ were found to be equal within ± 2 nm, as expected for such thin layers.⁴¹ The ‘HO MnO_x’ dataset from ref. 39 was chosen for calibration for further calculations. The calibration was calculated by a 2nd degree polynomial fit of δd as a function of the shift in $\delta\Delta$ at 700 nm, which was found to be free of major spectral features. The calibration curves and fit result are given in Fig. S2 (ESI†).

For calculation of spectra of the extinction coefficient k of the formed oxide layer a perturbation approach described by Lekner was employed.⁴¹ This approach was described in detail in earlier studies of our group.^{24,25,28,29} Briefly, the measured ellipsometric ratio

$$\rho = \Psi e^{-i\Delta} \quad (1)$$

is described by means of a perturbation from ρ_0 of the oxide free metal–electrolyte interface,

$$\rho = \rho_0 + F(\varepsilon_{1,2}, \theta_{1,2}) J_1 \quad (2)$$

ρ_0 is measured at low potentials (here $E_{\text{Ag}/\text{AgCl}} = -1.3$ V). The factor F depends on the optical system with the dielectric functions of the electrolyte ε_1 and bulk metal ε_2 and θ_1 and θ_2 the incident angles at the electrolyte–oxide and oxide–metal interfaces, respectively.^{28,41} Thus, the first order perturbation parameter J_1 can be obtained from measurements of the oxide covered metal interface and is interpreted by

$$J_1 = \frac{(\varepsilon_1 - \varepsilon_S)(\varepsilon_S - \varepsilon_2)}{\varepsilon_S} \quad (3)$$

with the dielectric function of the surface oxide layer ε_S . The real and imaginary part ($\varepsilon_{S,\text{Re}}, \varepsilon_{S,\text{Im}}$) of the two analytical solutions for $\varepsilon_S(J_1, d, \varepsilon_1, \varepsilon_2)$ with $d > 0$, $\varepsilon_1 \in \mathbb{R}$, $\varepsilon_S \neq 0$ were calculated from eqn (3) using the MatLab Symbolic Math Toolbox function solve(...). ε_2 was calculated from ρ_0 , assuming an ambient-substrate model. Numerical solutions for the imaginary part of the refractive index k were calculated by

$$k = \sqrt{\frac{\sqrt{\varepsilon_{S,\text{Re}}^2 + \varepsilon_{S,\text{Im}}^2} - \varepsilon_{S,\text{Re}}}{2}} \quad (4)$$

2.4 In situ Raman spectroscopy

In situ Raman spectroscopy measurements were conducted using a Labram confocal Raman microscope (Horiba Jobin Yvon, France). During the *in situ* experiments an objective with 10 \times magnification and a numerical aperture of 0.25 was used

to illuminate the sample with light from the 514 nm (2.1 eV) line of an Ar ion laser. A Compactstat potentiostat (Ivium Technologies, Eindhoven, The Netherlands) was used for the potential control and current measurements. A custom build Teflon cell was used. Argon saturated NaOH solution (0.1 M) was used as the electrolyte both for CV and CA experiments. The electrolyte was externally purged with argon and it was introduced into the cell using a peristaltic pump (Ismatec IDEX Health and Science, Glattbrugg, Switzerland). A Ag|AgCl|3 M KCl microreference electrode (DriRef-2SH, World Precision Instruments, Sarasota, FL, USA) was used as a reference electrode. A graphite rod was used as a counter electrode.

For CA experiments, the potential steps were set at -1.3 , 0.1 , 0.4 , 0.7 and 1.0 V, and were kept for 5 min respectively, the same as the condition in SE experiments. The Raman spectra were recorded with an integration time of ≈ 32 s. Before applying a controlled electrode potential, three spectra were collected at the OCP. During the potential steps, the spectra were collected from 0.5 min to 4.5 min after applying a certain potential and then averaged. In order to view results from individual spectra in the initial experiments, the whole dataset has been denoised by singular value decomposition (SVD) in GNU Octave. The information from the first 18 components was used to judge trends. Spectra presented in the manuscript are not SVD filtered.

2.5 *In situ* measurements of Mn dissolution

The SFC-ICP-MS measurements were conducted using the setup described previously.⁴² Herein an ICP-MS (NexION 300X, PerkinElmer) records dissolution of Mn thin films during the applied electrochemical protocols for CV (2 mV s^{-1}) and CA as described above. As a plasma-based detection method, determination of the valency of the dissolved species is not possible. The evaporated Mn served as a working electrode placed underneath the SFC. The geometric surface area of the electrode corresponds to the opening of the SFC and was $1 \times 10^{-2} \text{ cm}^2$. A graphite rod placed in the inlet channel of the SFC and a Ag|AgCl_{sat.} electrode (Metrohm, Germany) were utilized as the counter electrode and the reference electrode, respectively. The measurements were done in argon saturated 0.05 M NaOH solution. A potentiostat (Gamry Reference 600, USA) was used for the electrochemical measurements. Because of technical limitations, high salt contents are unsuitable for measurements with ICP-MS, thus a diluted version of the same electrolyte as for other experiments was used. The potential range was adjusted such that the same potentials vs. reversible hydrogen electrode as in Raman and SE were employed. As an internal standard for detection of ^{55}Mn , ^{74}Ge was used to ensure stable performance of the ICP-MS. The electrolyte flow rate through the SFC was $196 \mu\text{L min}^{-1}$. Prior to introduction into the ICP-MS, the electrolyte was mixed with a $10 \mu\text{g L}^{-1}$ solution of internal standard in a Y-connector (mixing ratio 1:1) after the electrochemical cell.

In the analysis, it is instructive to compare the dissolved amount with the amount of a single atomic layer per cm^2 . Considering that the covalent radius of Mn in the lattice is

$117 \times 10^{-10} \text{ cm}$ and the density of Mn is 7.21 g cm^{-3} , the volume of 1 atomic layer for 1 cm^2 of the surface would be $2 \times 117 \times 10^{-10} \text{ cm}$. Taking into account that 7.21 g of Mn corresponds to a volume of 1 cm^3 , the mass of 1 atomic layer for 1 cm^2 is $2 \times 117 \times 10^{-10} \text{ cm}^3 \times 7.21 \text{ g} \times 1 \text{ cm}^{-3}$, which equals $168.7 \times 10^{-9} \text{ g}$.

3 Results

The raw data, calibration data and models for all methods are available in a data package associated with this work.⁴³ All electrode potentials in the following sections and figures are reported referenced to Ag|AgCl|3 M KCl, if not explicitly stated otherwise.

3.1 Electrochemistry of Mn in alkaline electrolyte

Fig. 1 shows a typical CV of a Mn working electrode in NaOH (0.1 M), as measured in the *in situ* SE setup. In these experiments, currents during the OER and HER were kept low to avoid formation of disruptive gas bubbles during the long lasting *in situ* spectroscopy experiments. The first anodic scan of a CV showing the typical high currents below the HER onset at -1.2 V and above the OER onset at ≈ 0.55 V is shown in Fig. S3 (ESI[†]).

The CV experiments started with a cathodic scan to obtain a reference state in which the surface contains a minimum amount of oxide, as is used in interpretation of SE data below. A sharp cathodic peak at -0.3 V indicates the reduction of preformed oxide. A broad anodic peak at ≈ -0.75 V follows,

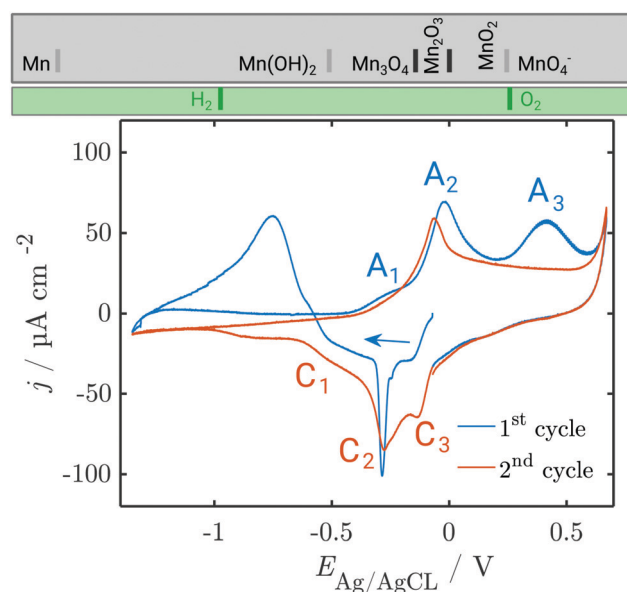


Fig. 1 CV of metallic Mn in 0.1 M NaOH, deoxygenated by Ar purging. The first and second cycle are shown; subsequent cycles resemble the second cycle. Scan rate = 2 mV s^{-1} . At the top, the thermodynamic stability ranges of different manganese oxides (grey box) and water species (green box) are shown. Grey separation lines depend on the activity of dissolved Mn, while black separation lines do not. See the ESI[†] for detailed thermodynamic diagrams.

unusual in a cathodic scan, but observed previously in comparable systems.³¹

In the first positive scan, the current is initially low, before three major peaks are observed, labelled A_1 , A_2 and A_3 (see Section 4). The second as well as the subsequent cycles differ from the first. In the cathodic sweep, three major cathodic peaks are observed (C_1 – C_3) indicating the stepwise reversible reduction of the oxide layer. The anodic peak is not reproduced in the subsequent cathodic sweeps. In the second anodic sweep, only one major peak is observed at *ca.* -0.05 V. The differences between the first and the subsequent cycles show that the initial interface was changed irreversibly during the first cycle. The anodic peak A_3 does not reappear in the subsequent cycles. However, the current density remains increased and a possible oxidation peak might be covered.

In order to resolve the dissolution of Mn, SFC-ICP-MS experiments were carried out. No Mn dissolution was observed during an initial reduction for 2 min at -1.2 V. The dissolution profile measured online during the CV is shown in Fig. 2a and b. The close up view of the first cycle reveals that three major dissolution features are observed during the first cycle (Fig. 2c and d).

The first dissolution peak observed during the anodic scan with the onset at ≈ -0.3 V corresponds to the peak A_1 in Fig. 1 with the same onset potential. A quantification shows that ≈ 3 ng cm^{-2} was dissolved from the surface. A further increase of the potential up to 0.3 V results in decreased dissolution. At 0.3 V the dissolution starts rapidly increasing. The onset of dissolution coincides with the A_3 peak (Fig. 1). This peak results in a loss of 233 ng cm^{-2} of Mn from the surface, making it the dominant contribution to the dissolution of Mn under the applied electrochemical procedure.

During the cathodic potential sweep, only insignificant amounts of Mn dissolve as soon as the potential approaches 0.01 V, coinciding with C_3 (Fig. 1). Overall, ≈ 239.1 ng cm^{-2} of Mn was dissolved during the first cycle. With each new cycle, dissolution decreases. During the second cycle, ≈ 234 ng cm^{-2} was removed, while the third cycle led to dissolution of

210 ng cm^{-2} . For comparison, the dissolution of one atomic layer corresponds to a loss of 169 ng cm^{-2} (see Section 2.5).

3.2 Structural investigations of the oxides formed during CV

To elucidate structural details of the transformations encountered, *in situ* Raman measurements were conducted during CV. The overall spectrum is dominated by the Raman modes of NaOH. Significant potential-dependent spectral features of interest were observed in the region 300–800 cm^{-1} (Fig. 3). The overview shows that during the three performed cycles, peaks evolve and diminish upon oxidation and reduction.

In the initial Raman measurements at the OCP, two peaks start to emerge at 590, 630 and 725 cm^{-1} . The two peaks at 590 and 630 cm^{-1} grow in intensity at the OCP, and disappear at the beginning of the reduction process, while the latter is almost unaffected by the ongoing reduction process. During the initial cathodic scan, and in the first anodic scan until the potential increases above ≈ -0.25 V, the only peak visible in the oxide region is the one at 725 cm^{-1} . The potential at which the peaks at 590 and 630 cm^{-1} start to reappear coincides with the later stages of the A_1 and the early stages of the A_2 peak in the CV.

From the second scan onward, throughout the studied potential range, and also throughout the remaining scans, peaks are observed at ≈ 405 , 470, 505, 590, 630 and 725 cm^{-1} , with the peak at 590 cm^{-1} and its shoulder at 630 cm^{-1} dominating at least at the high potentials.

Fig. 4 shows the results of a SE measurement during 4 consecutive voltammetric sweeps. The thickness of the oxide layer was calculated from ellipsometric data as described in Section 2.3. The oxide layer thickness δd increases in parallel to the rising of the anodic peaks in the current density and decreases simultaneously with the cathodic peaks. The increase and following decrease in δd become larger with each cycle, being a maximum of ≈ 6 nm in the first and 13 nm in the fourth cycle. Generally, most of the oxide produced during the anodic sweep is reduced during the cathodic sweep. Furthermore, a continuous increase of δd with time is found spanning all 4 measured cycles. This observation might be an artefact due to increasing roughening of the surface during cycling or similar effects not regarded in the data analysis.

For a detailed analysis of the oxide layer formation and stability, the differential film growth rate $\delta(\delta d)/\delta t$ was calculated by numerical differentiation of the thickness and is plotted together with the current density in Fig. 4c for the first and second cycle. At the anodic peak A_2 at *ca.* 0.1 V, strong growth is observed, followed by a period of more moderate growth, and the A_3 region with moderate current density in the potential region 0.2–0.5 V. Remarkably, at the onset of the OER above 0.55 V, which is indicated by the increase of the current density after ≈ 27 min and ≈ 60 min, the growth rate drops to zero. In the following cathodic sweeps, the growth rate becomes negative, *i.e.* the layer shrinks, under the cathodic peaks, indicating the reduction of the oxide layer.

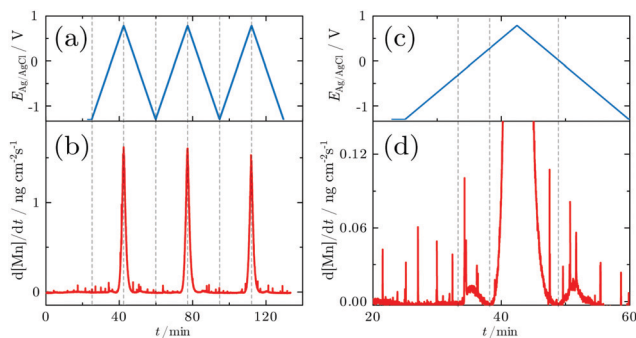


Fig. 2 Dissolution profiles of a Mn electrode in alkaline solution: (a and c) applied potentials and (b and d) dissolution profiles measured *in situ* during the three CV experiments in the range between -1.2 and 0.8 V with a scan rate of 2 mV s^{-1} . (c and d) Close up view of the Mn dissolution rate measured during the first CV. Dissolution of one atomic Mn layer corresponds to 169 ng cm^{-2} .

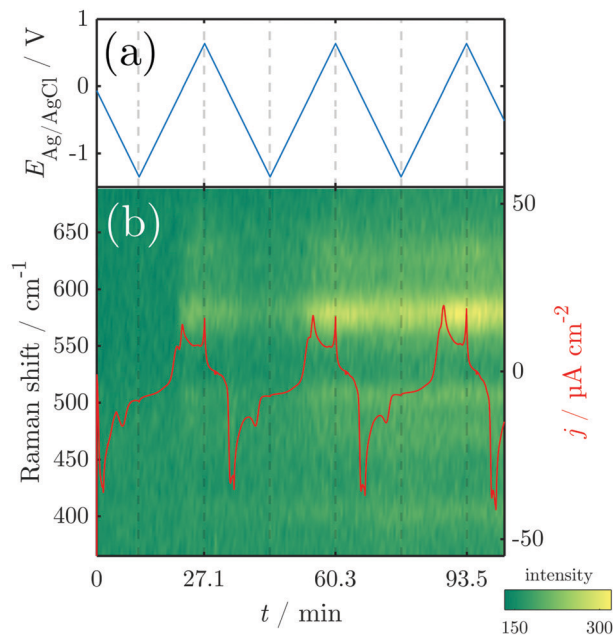


Fig. 3 Results of *in situ* Raman spectroscopy during CV: (a) sweep potential; (b) overview of the Raman spectra and current density obtained during several CV cycles. (c) Raman spectra averaged over the region indicated in the graph.

The k spectra of the growing oxide layer during all CV cycles are shown in Fig. 5. Generally, spectra can only be considered meaningful in the regions where a measurable oxide film thickness is present, *i.e.* after the onset of the anodic peaks and before the tail off of the cathodic peaks. In these regions the spectra show broad peaks that are centered in the region 2.5–3.0 eV (Fig. 5). The peaks change in a repeatable pattern that is reproducible upon subsequent cycles as shown for the 3rd cycle in Fig. 5c. At the onset of the anodic oxide film growth at 0 V, the peak is centered around 2.9 eV and has significant intensity around 3.5 eV. With increasing potential, it shifts continuously to reach *ca.* 2.7 eV at the onset of the OER at 0.64 V. At the same time, the peak becomes narrower, losing significant intensity at the high energy side around 3.5 eV. Upon the subsequent decrease of the potential, the peak maximum shifts back to 2.9 eV and broadens significantly on the high energy side of the peak.

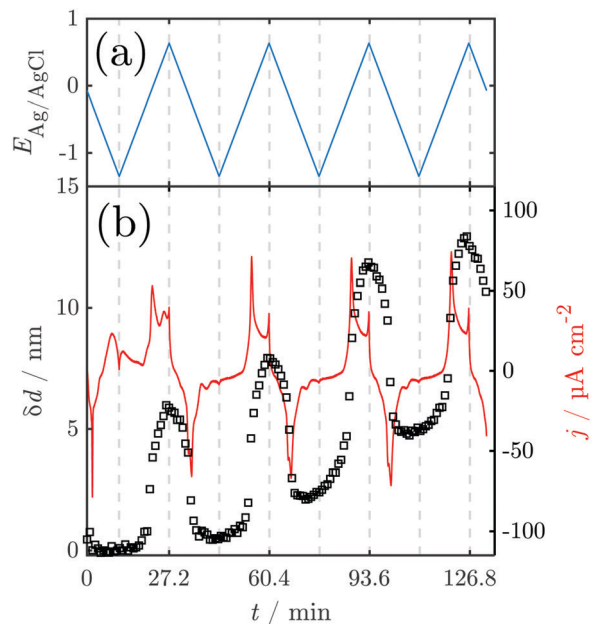


Fig. 4 Results of *in situ* SE during CV: (a) sweep potential; (b) change of the oxide layer thickness and current density. (c) Oxide layer growth rate and current density for the first full cycle against time. The horizontal line indicates $\frac{\delta(\delta d)}{\delta t} = 0$.

3.3 *In situ* investigations during CA experiments

In order to probe differences between potential-dependent features as observed in CV experiments and time-dependent features, such as *e.g.* nucleation triggered processes, Mn electrodes were investigated under potentiostatic conditions in CA experiments. Fig. 6 shows the applied potential program (a) and corresponding dissolution rate (b) as a function of time. The potentiostatic steps were chosen in the oxidative regime to cover the potential region just above the oxidation peaks A_2 (0.1 V) and A_3 (0.4 V) and the OER region at low current (0.7 V) and higher current (1.0 V). No dissolution is observed when the electrode is polarised at 0.1 V, while 2.3 ng cm^{-2} and 12.7 ng cm^{-2} of Mn dissolve during the 5 min of polarisation at 0.4 V and 0.7 V, respectively. The amount of dissolved Mn is significantly increasing when the electrode is polarised at 1 V,

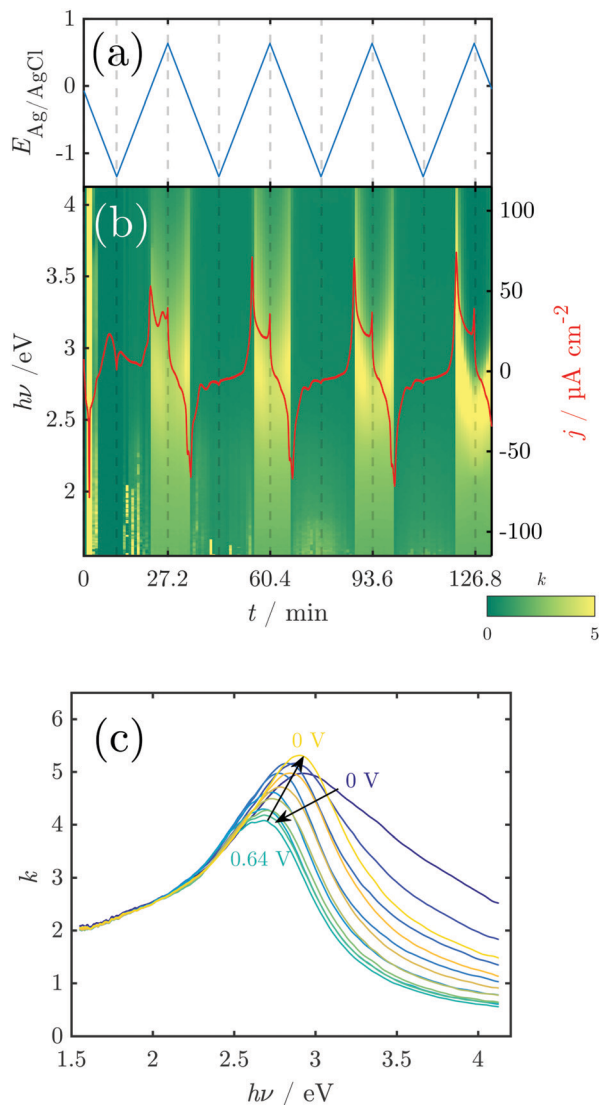


Fig. 5 Results of *in situ* SE during CV: (a) sweep potential; (b) k -spectra and current density. (c) k -Spectra above 0 V during the 3rd cycle illustrate a reversible red shift of the peak maximum between 0.0 and 0.5 V.

where the OER takes place. The dissolution profile has two regions with different slopes. The dissolution rate at 1 V Mn is enormously high, and thus far beyond the linearity region of the calibration curve of the ICP-MS. Consequently, dissolution in this regime cannot be quantified reliably.

Fig. 7 shows Raman spectra measured during CA experiments. At the OCP, a native Mn oxide with peaks at ≈ 406 , 482, 505 and 582 cm^{-1} is observed. When applying a reducing potential at -1.3 V for 5 min, all peaks vanish. With the first oxidation step at 0.1 V, peaks at 482, 505, 586 and 626 cm^{-1} are observed. When the potential is stepped to 0.4 V, the intensity of all peaks decreases, though a small increase in thickness is detected. At 0.7 V, no obvious change is observed. After a further potential step to 1.0 V, two sharp peaks at 516 and 839 cm^{-1} with a broad peak at ≈ 620 cm^{-1} are detected.

Fig. 8a shows the current density and oxide thickness during a CA experiment. The initial 3 spectra were recorded at the OCP

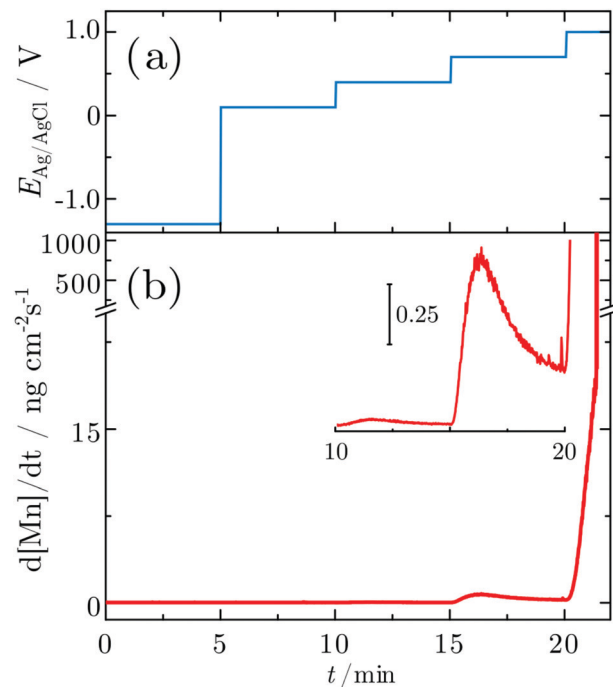


Fig. 6 Dissolution profile of a Mn electrode during CA. (a) Applied potentials and (b) the dissolution profiles measured *in situ*. The inset shows an enlarged view of the dissolution profile during stepping the potential from 0.4 V to 0.7 V. Dissolution of one atomic Mn layer corresponds to 169 ng cm^{-2} .

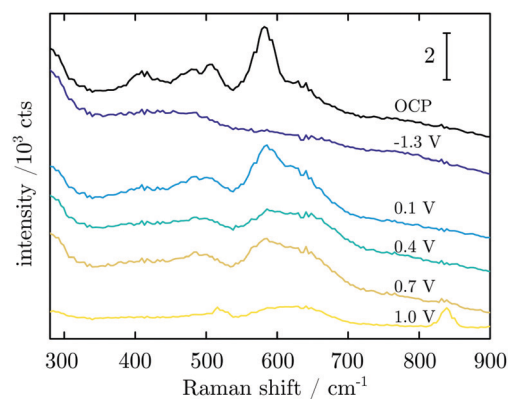


Fig. 7 Averaged *in situ* Raman spectra obtained in CA experiments at the potentials as indicated in the figure.

before the application of potential control. A 2 nm thick native oxide was reduced at -1.3 V. The layer thickness increases rapidly when stepping the potential to 0.1 V and remains then at ≈ 4 –5 nm. When the potential steps to 0.4 V, the layer thickness quickly increases slightly to 5–6 nm. The layer thickness does not change significantly at 0.7 V (Fig. 8), indicating a constant layer thickness at the beginning of the OER regime. However, with a further potential step to 1.0 V, the thickness initially remains constant but then starts to linearly increase with time. At the same time, the relatively high constant current density indicates oxygen formation. It should be noted that the film growth does not slow down or stop within the 5 min the

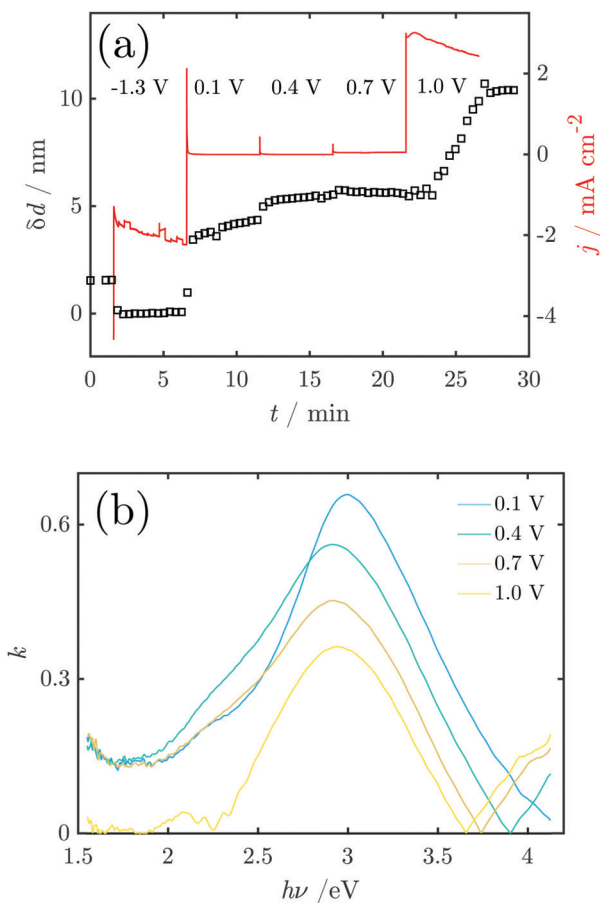


Fig. 8 (a) Current densities and thickness of the growing oxide layer as a function of applied potential. The applied electrode potentials are indicated in the curve. (b) Examples of the imaginary part k of the refractive index of the oxide layer on Mn obtained *in situ* during CA experiments.

potential is held at 1.0 V. The final range of constant thickness starting after ≈ 27 min in Fig. 8 is when the potential control is turned off, *i.e.* when the potential relaxes back to the OCP. Thus, the film formed during the OER remains stable on the interface.

Fig. 8b shows the k -spectrum of the oxide layer at different electrode potentials. The initial peak maximum at $E = 0.1$ V is found at 3.0 eV, which shifts to 2.9 eV at higher potentials. Furthermore, the peaks become broader at the low energy side around 2.5–2.7 eV, indicating a shoulder in that region.

4 Discussion

4.1 Assignment of the Raman spectra

First, it is attempted to assign the peaks observed in the Raman spectra during CV; the features in the spectrum at the highest CA potential shall be discussed in Section 4.3. A table with an overview of the observed peaks and possible assignments to certain modes of certain species is given in Table S2 (ESI†). α -MnO₂ (hollandite) is known to show vibrational modes close to all the peak wavenumbers observed during CV experiments reported here, except 725 cm⁻¹.^{44,45} The peaks at 590 and

630 cm⁻¹ dominate the spectra. The mode at ≈ 470 cm⁻¹ is usually not Raman active in α -MnO₂,⁴⁵ however, defects may lead to a local symmetry break and a consequent change in the selection rules. Based on a comparison with spectra from many different manganese oxide phases (*cf.* Table S2, ESI†),⁴⁵ we conclude here that α -MnO₂ is present and dominating the spectra. From all phases analysed in ref. 45 a peak at ≈ 725 cm⁻¹ is present in the MnO₂ modifications romanechite and nsutite. However, this peak was here already present in the initial stages of the experiment, not observed in the CA experiments and does not follow a strong potential dependence, and may as well be related to a different species. It shall hence not be used for further analysis.

While some of the observed peaks would also correspond to vibrational modes in other manganese oxides, other characteristic, strong peaks from these are absent in the spectra recorded here.⁴⁵ For instance, Mn₃O₄ should show a dominant mode at 650 cm⁻¹ absent here and α -Mn₂O₃ should show peaks at 314 and 700 cm⁻¹ which are absent here. γ -Mn₂O₃ should show a mode at 310 cm⁻¹ which is absent and has no peak at around 580–600 cm⁻¹ where the species have their dominant mode. Also the reference spectra of MnO and MnOOH have little resemblance with those observed here.⁴⁵ It must be pointed out, though, that the absence of dominant signatures in the Raman spectra does not exclude the presence of these species on the surface; indeed, α -MnO₂ may only be the dominant species because of its large Raman intensity.

Strikingly, all mentioned peaks except the one at 725 cm⁻¹ appear coincidentally and increase and decrease more or less evenly throughout the experiment. This means that no major changes in the relative composition of the oxide film are probed with Raman spectroscopy. As expected, the peak intensities increase during the anodic scan while they decrease during the cathodic sweep. However, the peaks do not vanish completely after the reduction peak, which is particularly obvious in the later cycles (Fig. 3c). The remaining intensity after reduction increases with every cycle (Fig. 3b), showing the existence of remaining oxide that cannot be reduced.

4.2 Oxides and dissolution during CV

Starting from the OCP, we shall discuss the fate of the surface during a CV experiment, in the sequence of the experiments conducted here.

The nature of the broad anodic peak in the initial negative scan at ≈ -0.75 V was previously not understood³¹ and as no Raman peaks were observed associated with this peak, no assignment is possible in this work. However, anodic peaks in negative scans are the sign of a feedback step in the reaction mechanism.⁴⁶ The disproportionation of Mn^{III} may play a role in such a mechanism.⁴⁷ Also in the positive scan a decrease in current is observed with increasing potential, nevertheless this interesting feature is only characteristic of the first scan, and shall therefore not be investigated further in this work.

For a metal which is as active as Mn, the Pourbaix diagrams show that full reduction of the oxide is thermodynamically only possible outside the stability range of water.^{31,48,49} Consequently,

a surface oxide can thermodynamically form also at negative electrode potentials. With a reversible potential for the oxidation of Mn to Mn^{II} below the lower limit of the scan's potential window, and the absence of Mn dissolution below -0.3 V, we reason that some passivating surface species must be present in the system, for which $\text{Mn}(\text{OH})_2$ is a candidate (*cf.* Fig. S1, ESI \dagger). The oxide amount is below the sensitivity of the Raman experiment; the ellipsometric thickness measurements thus are in relation to a surface covered with this type of oxide. The absence of peaks in Raman in this potential range justifies this procedure. As $\text{Mn}(\text{OH})_2$ is dominant only at dissolved Mn activities $>10^{-6}$ (Fig. S1a, ESI \dagger), the activity of dissolved Mn must be on this order of magnitude, determined by the solubility of the surface oxide.

The peak A_1 was assigned earlier to Mn_3O_4 formation,³¹ which is in line with thermodynamic expectations (Fig. 1 and Fig. S1, ESI \dagger). During formation of this oxide, only submonolayer fractions of Mn dissolve, and a layer with a ≈ 4 nm thickness of Mn_3O_4 forms, effectively hindering dissolution. The layer growth rate (Fig. 4c) shows that the current in this region is predominantly leading to layer growth.

A_2 was attributed to the formation of Mn_2O_3 , also in line with thermodynamic expectations.³¹ Low dissolution shows that this oxide is also protecting the surface; the observed layer thickness grows by ≈ 2 nm. However, Raman spectra show that during this peak, Mn^{IV} is already present. Beam damage has been investigated and discussed previously⁴⁴ and, based on experience with other systems sensitive to beam damage,⁵⁰ we do not consider it likely to occur under the conditions of this work.

A_3 was earlier attributed to the formation of MnO_2 .³¹ Strong dissolution was observed during and after A_3 , while the layer thickness did not grow significantly during this peak (Fig. 4). Thermodynamically, MnO_2 formation may also begin under the peak A_2 , with A_3 indicating permanganate formation. The dissolution current during the peak is, however, still on the order of a few atomic layers of Mn (Fig. 2) so that some level of passivity is maintained during A_3 .

Remarkably, MnO_2 is observed in the Raman spectrum already in the region of the overlapping peaks A_1/A_2 , *i.e.* well below its reversible potential. As the onset of the observation of MnO_2 is not clearly related to one peak, we reason here that above a certain critical concentration of Mn^{III} , disproportionation sets in, $2\text{Mn}^{\text{III}} \rightarrow \text{Mn}^{\text{II}} + \text{Mn}^{\text{IV}}$.^{47,51} As a small amount of dissolution was observed before MnO_2 formation, dissolved Mn^{III} may or may not play a role here.

A sharp increase in current density at 0.55 V indicates the onset of the OER. Thus, the OER in this system begins with an overpotential of 0.3 V above the value thermodynamically expected at this pH (*cf.* Fig. 1 and Pourbaix diagram in Fig. S1, ESI \dagger). When the OER starts the oxide layer does not grow further, but it also does not shrink. On the other hand, strong dissolution currents are observed, implying that a layer with constant thickness exists, in which dissolution and re-formation lead to a stationary thickness.

During the negative scans, the thickness of the layer decreases at insignificant dissolution. Dissolution is only

observed during peak C_3 , implying that the reduction of the oxides of oxidation number $< \text{IV}$ happens in the solid.

Cycling stabilises the Mn surface, and decreases the amount of dissolved Mn per cycle (Fig. 2), in agreement with the Raman data presented in Fig. 3b, indicating formation of an MnO_2 -fraction that cannot be reduced in the window of potentials chosen for the experiments. Complementary, the *in situ* SE measurements presented in Fig. 4 confirm an increase in the thickness of the oxide layer with each new cycle; the fraction of thickness that cannot be reduced must be related to the presence of MnO_2 and prevent dissolution of some fraction of metallic Mn. While such oxide formation is not observed in the early stages of the experiments, the continuous cycling obviously modifies the surface such that a complete reduction of oxide is not possible any more in later stages of the experiment. We do not consider dissolution and redeposition of Mn as very likely here, as (i) the chosen potential is above the deposition potential of Mn and (ii) deposition of metallic Mn would significantly decrease the intensity of the MnO_2 peaks in the Raman spectrum, due to its intransparency. Rather, we argue here that the fact that the oxide cannot be completely reduced is responsible for the differences between the different CV cycles. While *ex situ* XPS measurements show that we start with an Mn^{II} oxide, this oxide is not recovered after once generating MnO_2 at the surface, thus the A_1 peak disappears.

The Raman results show that the formation of MnO_2 begins already at the first anodic peak, *i.e.* at lower potentials than suggested previously.³¹ The peaks associated with MnO_2 remain present up to the OER onset and the Raman spectra show no evidence of formation of other oxide species. It should, however, be noted that this does not exclude the possibility of the existence of other oxides.

The observed *k*-spectra may indicate the presence of different oxide species. The allowed direct band gap of electrodeposited MnO_2 was found to be 2.7 eV, while the allowed indirect band gap was measured as 2.1 eV through UV-vis spectroscopy.^{52,53} Thus the peak at ≈ 2.7 eV found here at the onset of the OER may indicate the direct band to band transition of MnO_2 .^{52,53} However, for a defect-free semiconductor, the bandgap marks the lowest photon energy of photon absorption, not a peak. Consequently, significant defect concentrations must be present in the oxide to modify the absorption spectrum, or at least part of the oxide must have a significantly lower band gap than 2.7 eV. It is worth noting the absence of photoluminescence from the Raman spectra; consequently no direct bandgap can exist in the system of < 2.33 eV. An *in situ* UV-vis study on a manganese oxide electrocatalyst in neutral electrolyte showed an absorption peak shifting from 470 nm to 510 nm (≈ 2.65 eV to ≈ 2.4 eV) by increasing the electrode potential to the OER region.¹⁷ Other studies suggested the formation of Mn^{III} and also a birnessite type structure during the OER.^{16,54} The direct band gap of a cation free birnessite structure was calculated as about 3.0 eV, and the indirect band gap as ≈ 2.6 eV.⁵⁵ Thus, the shift in the peak during the OER observed here may be associated with the formation of a reduced birnessite-type structure. At the same time, MnO_2 must still

be present at the surface during the OER, as evidenced by Raman spectroscopy.

After the experiments, XPS results indicate the presence of Mn_3O_4 on the surface (Section S5, ESI†). It is thus likely that outside an aqueous environment, the surface is partially reduced.

4.3 Oxides and dissolution during CA

Raman spectra at the OCP show the peaks assigned above to $\alpha\text{-MnO}_2$ (hollandite) vibrational modes.^{44,45} Compared to the result during CV, the peaks are broader, indicating that the native oxide is more strongly disordered, and may also include different kinds of Mn oxides with $\alpha\text{-MnO}_2$ as the dominant phase. In addition, the peak at 725 cm^{-1} not related to $\alpha\text{-MnO}_2$ and the Raman inactive peak at 470 cm^{-1} ,⁴⁵ which are observed during CV, are not observed in CA experiments. It is possible that the latter peak is related to the transition product during oxide formation. The native oxide is again reduced to the maximum possible extent, shown by the thickness decrease measured by SE and the absence of peaks in the Raman spectrum.

From -1.3 V , the potential was stepped to 0.1 V , a potential above peak A_2 from the CV, and no dissolved Mn was detected at this potential. The Raman peaks of $\alpha\text{-MnO}_2$ were observed again, and no peaks indicating other oxides.^{44,45} The surface layer thickness jumped to $>4\text{ nm}$ with the potential jump, and continued to grow; the anodic current consequently mainly leads to oxide grow rather than dissolution at this potential.

The second potential jump to 0.4 V leads to a potential just above the maximum of CV peak A_3 . Only negligible dissolution is observed by ICP-MS, and the current is stable. The additional oxide growth is below 1 nm . Remarkably, the Raman peak intensity decreases throughout the spectrum. This decrease cannot be related to oxide dissolution, and must be related to a transition of the oxide into a form which is less Raman active. The third potential jump to 0.7 V goes just above the OER onset and no change in thickness or in Raman intensities was detected, but dissolution on the dimension of a monolayer. Consequently, the observed current in this region of the potential is dominated by the OER and dissolution.

When stepping to 1.0 V , the OER and very strong dissolution are observed. The measured dissolution concentration had two slopes with time, indicating two different mechanisms. The initial slope might be related to dissolution accompanied by oxidation, while the second, steeper slope is a result of OER triggered dissolution of already grown oxide film and unoxidized metallic Mn.

The Raman spectrum in this region changes considerably. The main Raman peak from lower potentials at 590 cm^{-1} decreases in intensity and becomes part of a very broad peak ranging from $550\text{--}690\text{ cm}^{-1}$, with a maximum at $\approx 620\text{ cm}^{-1}$. In addition, well-developed peaks emerge at 839 cm^{-1} , and 516 cm^{-1} , the latter with a shoulder at higher wavenumbers. A peak at $\approx 840\text{ cm}^{-1}$ was previously reported in reference spectra for $\beta\text{-MnO}_2$, which also has a dominant peak at $\approx 670\text{ cm}^{-1}$,^{56,57} where considerable intensity is observed in

the experiments here. The third important peak in $\beta\text{-MnO}_2$ is observed at 539 cm^{-1} ,^{44,45,57} which corresponds approximately to the shoulder observed in the spectra here. We thus conclude that $\beta\text{-MnO}_2$ is an important component in the oxide emerging during the OER. Birnessite contains a main peak at 513 cm^{-1} ,⁴⁵ in close proximity to where the maximum of the lower frequency peak is observed here, and may also be present; however, its major feature at 580 cm^{-1} is not very prominent in the spectra here.

While the $\beta\text{-MnO}_2$ spectrum from references fits part of the intensity observed here,^{44,45,57} the spectrum also contains intensity in other regions. The very broad peak between 550 and 690 cm^{-1} is consistent with a strongly disordered oxide. Peaks in this region correspond to the symmetric stretching modes of MnO_6 octahedra.^{45,57} Julien *et al.* have correlated the position of this peak to the number of shared edges of MnO_6 in the respective manganese oxides, where a lower number of shared edges corresponds to a higher stretching mode frequency.⁴⁵ The intensity observed here covers the full range of 2–8 shared edges in the investigated structures.⁴⁵ Consequently, a broad distribution of structural elements must be present in the oxide evolving during the OER. The spectra show, however, that these defect rich oxides are still based on the MnO_6 octahedral motif. The established correlation to the Mn–O bond length in these structures shows that the Mn–O bond lengths in the octahedra in the system observed during the OER are $\approx 1.9\text{--}2.1\text{ \AA}$.⁴⁵

As the CV experiments only touched the OER region, the emergence of a new oxide was not observed in CVs. Consequently, the transformation cannot be triggered at the same time as the OER sets in. The oxide layer thickness increases, which is also different from the CV situation with constant thickness. It is highly likely that the transformation to a $\beta\text{-MnO}_2$ -based strongly disordered oxide structure is responsible for the high dissolution rate in the latest stage of the experiment. However, a gradual decrease in the Mn dissolution rate upon oxidation of a non-stoichiometric phase cannot be ruled out; such dissolution was recently reported for metallic Ir and its alloys.^{58,59}

During oxidation ($0.1\text{--}0.4\text{ V}$) *k*-spectra show a peak at $\approx 2.9\text{ eV}$, with a shoulder at $\approx 2.2\text{ eV}$. *In situ* Raman spectra show formation of $\alpha\text{-MnO}_2$ under these conditions. Therefore, the absorption peak at $\approx 2.9\text{ eV}$ must be associated with the formation of MnO_2 . However, as the electrochemical data show that $\text{Mn}^{\text{II,III}}$ oxides must be present, the observed peak must contain contributions from those oxides as well. See also Section 4.2 for a discussion of the *k*-spectra. The peak shift in CA experiments is less pronounced than in CV experiments. At 1.0 V , the observed transition between $\alpha\text{-MnO}_2$ and $\beta\text{-MnO}_2$ is not reflected in significant changes in the *k*-spectrum.

XPS results obtained after emersion of the sample indicate the presence of $\text{Mn}^{\text{III,IV}}$, as opposed to the situation after CV, where the “average” oxidation state was lower (Section S5, ESI†). Continuous polarisation into the OER regime thus oxidises the surface with a lasting effect, likely because of the higher potential reached in the CA experiments. Part of the higher oxidation potential is thus also recovered post mortem.

4.4 Comparison to models and other systems

Manganese oxide films behave differently compared to copper, which we studied earlier by similar methods.²⁵ Copper oxide films were found to constantly grow with increasing potentials and showed significant transpassive dissolution associated with the oxygen evolution. The copper oxides were found to dissolve at 1.0 V through defect formation.²⁵ On the contrary the manganese oxide films studied here form rapidly and remain rather stable and only slightly grow. They show no dissolution, and rather grow during steady oxygen formation.

The observations obtained in this work can be interpreted within the point defect model. According to an early variant of the point defect model,⁶⁰ many systems that cannot be oxidised further in solution show a linear relationship between the steady state thickness of the film and potential due to the constant electric field across the barrier film.⁶¹ Furthermore, applying sufficiently high potentials leads to accelerated ejection of cations from the film.⁶¹ In the point defect model, the film properties are weakly dependent on potential at high potential because the standard rate constant and the transfer coefficient are so high. In this work, during CV and CA experiments we observed that film growth is at best only weakly dependent on the electrode potential until the onset of the OER. During the OER, the layer thickness is weakly dependent on the potential. Here, the film growth both during oxidation and the OER shows behaviour partly consistent with the point defect model. The differences observed may be because of the complex redox chemistry of Mn, both in solution as well as in the solid, which is not directly accounted for in the simplifications typically made within the point defect model.

5 Conclusions

In the experiments performed here, the initially present oxide layer was reduced within the detection limit of Raman spectroscopy. The electrochemical behaviour and dissolution measurements in comparison to thermodynamic data show that the surface still must contain a certain passivating species in the potential region < 0.5 V. A first increase in layer thickness by a few nm is observed with the first oxidation peak at ≈ -0.3 V; the corresponding oxide is not immediately detected by Raman spectroscopy. In the course of the first anodic peaks and well below the reversible potential, α -MnO₂ appears in the Raman spectrum, likely as a disproportionation product. A direct jump to a potential above the first two oxidation peaks in the CV will also show α -MnO₂ in the Raman spectrum. The amount of α -MnO₂ increases with increasing potential. A comparison of UV/vis absorption spectra with the reference spectra of pure compounds shows that absorption peaks found by *in situ* SE lie around or below the indirect band gap of MnO₂, indicating the presence of a strongly defective phase. It is possible that the surface layers containing also Mn^{II} and Mn^{III} are highly defective, and thus their Raman activity is significantly lower than that of α -MnO₂.

Cycling the Mn surface into a potential region where the OER is observed and back to reducing conditions leads to a fraction of oxide that cannot be reduced any longer. This fraction is increasing with the number of cycles. While the spectrum of this oxide looks similar to the spectrum of the native oxide, the native oxide can actually be reduced.

In a potential regime where a current rise indicates the onset of the OER, extremely strong Mn dissolution is observed, however, the oxide film does not collapse, as was observed for other systems. During the OER, large Mn dissolution is observed. At the same time, the oxide layer thickness remains stable, or increases slightly. The oxide must thus exist as a stationary surface phase due to limited solubility of Mn oxidation products near the surface. The oxide in this surface layer is a strongly disordered β -MnO₂-like oxide, based on MnO₆ octahedra, but with a broad distribution of connectivity between the octahedra, and of Mn–O bond lengths within the octahedra. The strongly disordered nature of the oxide can be explained by the limited lifetime of each structural element in the solid layer – as the layer reforms from the solid and dissolves into the liquid at the same time. The high dissolution rates of Mn rule out the use of pure Mn as OER catalysts, in line with expectations on the basis of thermodynamics. However, the oxide itself is at least able to survive the harsh conditions of the OER.

Conflicts of interest

There are no conflicts to declare.

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Electronic Supplementary Information for: Alkaline manganese electrochemistry studied by in situ and operando spectroscopic methods - metal dissolution, oxide formation and oxygen evolution

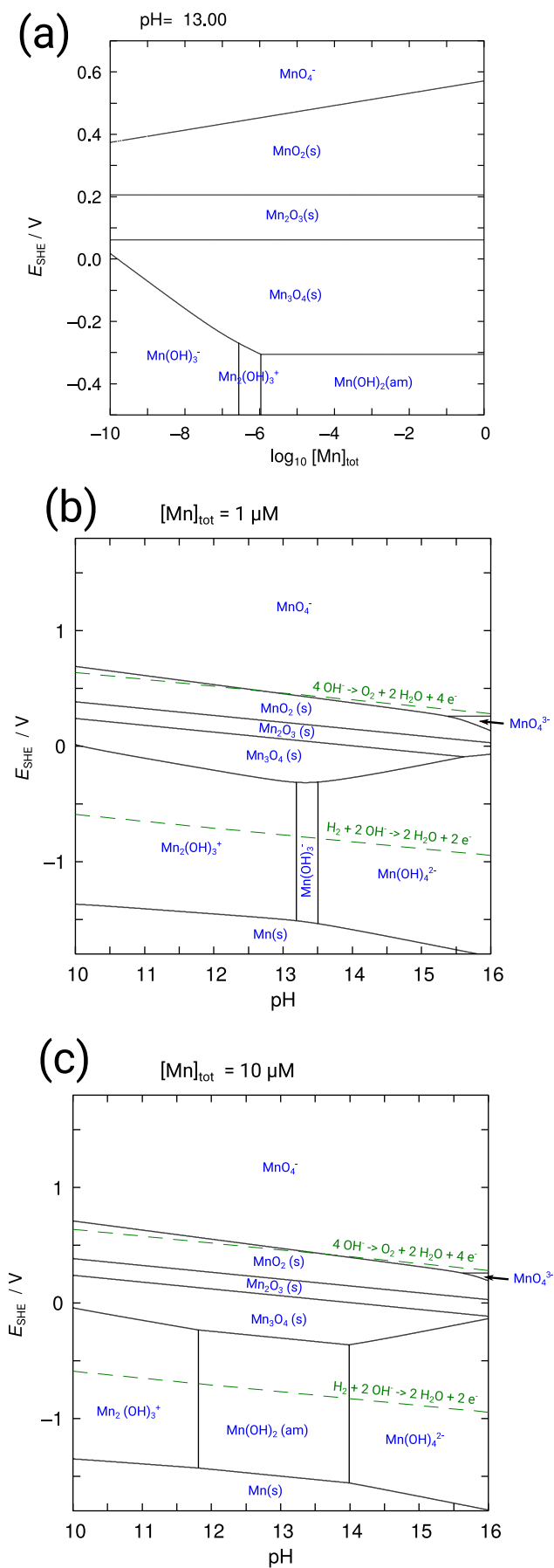
Martin Rabe Cigdem Toparli Ying-Hsuan Chen Olga Kasian
Karl J.J. Mayrhofer Andreas Erbe

1 Thermodynamic data

To obtain an overview of the thermodynamics of the system investigated, the freely available programme MEDUSA with its native HYDRA database was used to calculate predominance area diagrams.[1] MEDUSA works by implementing established algorithms.[2–4] In the calculation, 29 species were included, including 7 solid species. The latter were Mn, MnO, Mn(OH)₂, α -MnOOH, Mn₃O₄, Mn₂O₃, MnO₂. Manganese hydride species were not included. Some of the resulting diagrams are shown in ESI-Fig. 1.

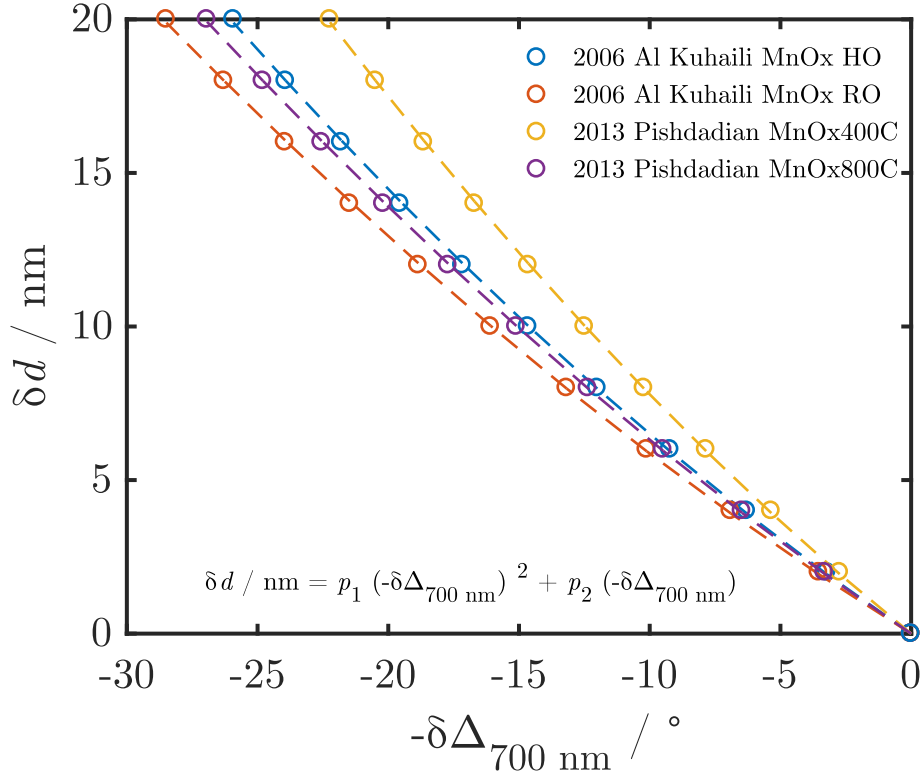
For comparison with experimental data, it is most relevant to analyse the predominance diagram in the form of electrode potential vs. total dissolved concentration of manganese species, [Mn]_{tot}, at fixed pH=13, as given in ESI-Fig. 1a. From the diagram, we obtain the following electrode potentials for transitions between the solid manganese hydroxides and oxides: Mn(OH)₂ \longrightarrow Mn₃O₄ at -0.306 V_{SHE} (-0.516 V_{Ag|AgCl}), Mn₃O₄ \longrightarrow Mn₂O₃ at +0.062 V_{SHE} (-0.148 V_{Ag|AgCl}), Mn₂O₃ \longrightarrow MnO₂ at +0.206 V_{SHE} (-0.004 V_{Ag|AgCl}), and dissolution of MnO₂ to MnO₄⁻ above +0.450 V_{SHE} (0.24 V_{Ag|AgCl}) for activity [Mn]_{tot} = 10⁻¹⁰.

For two activities of totally dissolved Mn, the potential-pH diagrams are given in ESI-Fig. 1b and c, as the pH at the electrode surface is likely higher after polarising to the most negative potentials in the experiments for this work. These diagrams manifest a certain solubility of the amorphous Mn(OH)₂ which is supposed to cover the manganese surface in aqueous solutions.



ESI-Fig. 1: (a) Predominance area diagrams for Mn at pH=13. (b) and (c) shows predominance areas diagrams in the form of potential-pH diagrams for Mn at alkaline pH for two different concentration of dissolved Mn species $[\text{Mn}]_{\text{tot}}$ as indicated in the graph.

2 Calibration data to obtain thickness values from ellipsometric spectra

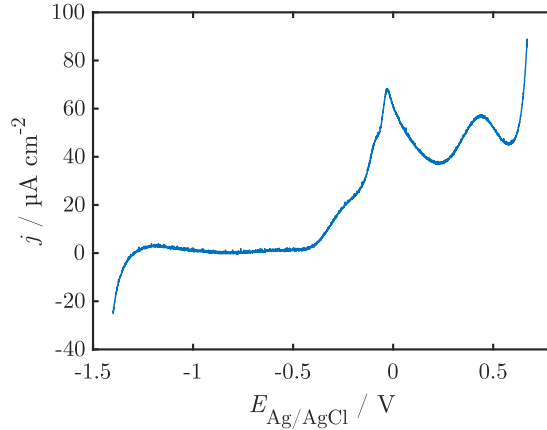


ESI-Fig. 2: Thickness calibration data. Δ was calculated for different oxide layer thicknesses δd as described in detail in the main part experimental section 2.3. Calibration was obtained by fitting the 2nd degree polynomial stated. The fitted parameters and are given in ESI-Table 1.

ESI-Table 1: Fit results for δd calibration.

n, k source	$p_1/10^{-3}$	p_2
MnOx HO[5]	7.39	-0.576
MnOx RO[5]	6.06	-0.526
MnOx 400C[6]	9.55	-0.683
MnOx 800C[6]	6.43	-0.566

3 Voltammogram with extended potential range



ESI-Fig. 3: First anodic scan in CV of Mn in NaOH (0.1 M) at 2 mV / s. Sharp current de-/increase below -1.3 V and above 0.6 V indicate the onsets of the hydrogen evolution reaction (HER) and oxygen evolution reaction (OER), respectively.

4 Overview over assignment of peaks observed in the Raman spectra

ESI-Table 2: Raman peaks of Mn oxides observed in situ in this work, with possible assignment and in relation to literature

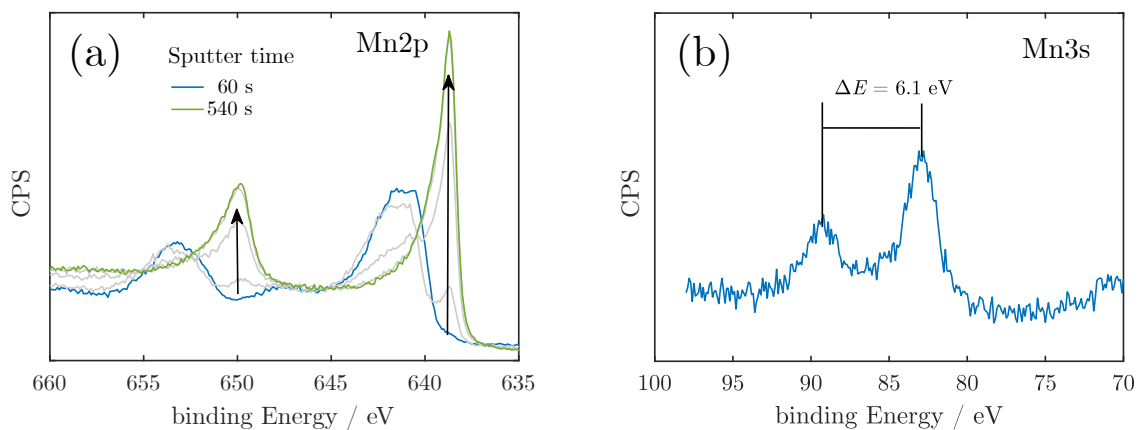
Compound	Peak / cm^{-1}	Lit. Peak / cm^{-1}	Mode	Ref.
MnO		530	LO	[7, 8]
Mn ₃ O ₄	505-508	506		[9]
Mn ₃ O ₄		575		[9]
Mn ₃ O ₄		657		[10]
Mn ₃ O ₄		660	2TO	[7]
Mn ₃ O ₄		730	2TO	[7]
α -Mn ₂ O ₃	402-406	396		[10]
α -Mn ₂ O ₃		690		[10]
α -MnO ₂	588-592	574	A _g	[10]
α -MnO ₂	626-629	634	A _g	[10]
α -MnO ₂	468-475		[IR active]	[9]
β -MnO ₂		750	B _{2g}	[10, 11]
β -MnO ₂		538	E _g	[9, 10, 12]
β -MnO ₂		162	B _{1g}	[10]
β -MnO ₂		667	A _{1g}	[9-11]

5 Ex-situ analysis by X-ray photoelectron spectroscopy (XPS)

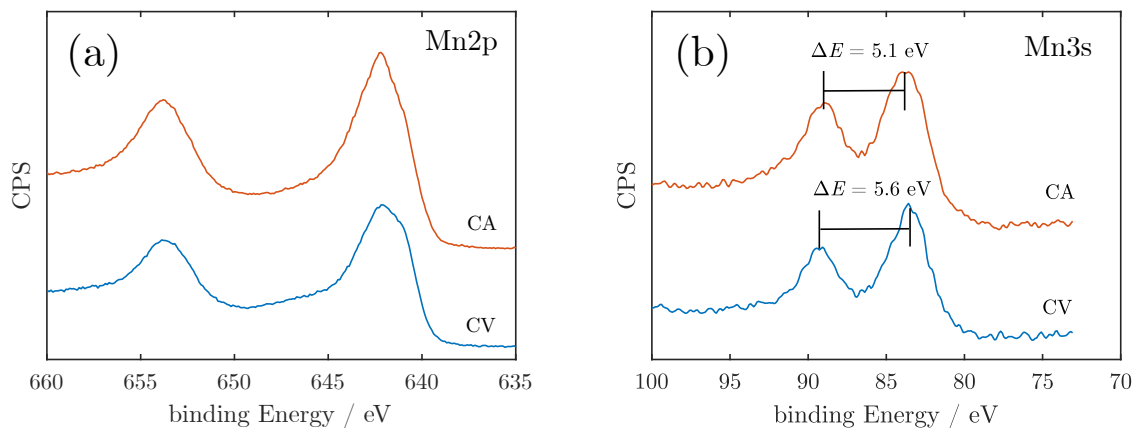
5.1 Experimental

The manganese oxidation was studied by XPS using monochromated Al K α 1486.6 eV X-rays. After sample preparation by PVD, depth profiles of Mn samples were recorded by XPS. For sputtering an Ar⁺ ion beam with an energy of 1 kV on an area of 2 mm \times 2 mm and an etching time of 60 s per step was used. For depth profiling spectra were recorded with a pass energy of 26 eV and a step size of 0.1 eV. The takeoff angle was 45°. Furthermore, two samples were investigated after CV and CA experiment, using a pass energy of 50 eV, and 0.1 eV step size. Binding energies were calibrated by positioning the main C 1s peak at 284.8 eV.

5.2 Results of Mn oxidation state determination



ESI-Fig. 4: XPS spectra of a freshly prepared Mn surface. (a) Mn 2p spectra with interleaved sputtering cycles (2 min per spectrum). Evolving Mn metal peaks at 638.7 eV and 649.9 eV prove the existence of bare metal under thin native oxide layer; (b) Mn 3s spectrum of native Mn oxide.



ESI-Fig. 5: Ex situ XPS spectra of surfaces after CV and CA experiments. (a) Mn 2p spectra; (b) Mn 3s spectra.

XPS was employed to investigate changes in the manganese oxidation state by monitoring the binding energy shift, satellite peak splitting, and exchange peak splitting for the Mn 2p and 3s peaks. These parameters for control manganese oxides samples are well documented in the literature.[13–15]

During PVD substantial amount of manganese oxide originating from the source metal may precipitate on the sample. Thus as a control depth profiles of the freshly prepared Mn samples were measured (ESI-Fig. 4). The Mn 2p signal splits into two peaks due to spin-orbit splitting. The Mn 2p spectra at short sputtering times, i.e. close to the interface are dominated by relatively broad peaks at 641.2 and 653.2 eV indicating that native oxide formed directly on the sample in contact with air (ESI-Fig. 4a). With increasing sputtering time two peaks at 638.7 and 649.9 eV grow, indicating that the bare metal is reached below the thin native oxide layer.[16]. A reliable diagnostic for Mn oxidation state is the degree of Mn 3s peak splitting that is caused primarily by the exchange interaction between the 3s and 3d electrons.[17] The Mn 3s spectrum of the native oxide show a splitting of 6.1 eV, which indicates the dominance of Mn^{II}, e.g. in the form of MnO.[18]

Two samples were investigated after in situ CV and CA experiments (ESI-Fig. 5). Mn 2p core level spectrum of the sample measured after CV and CA experiment displays two peaks at binding energies of 653.8 eV and 642 eV with a spin-orbit separation of 11.8 eV. These results are in accordance with the reported data of Mn 2p_{3/2} and Mn 2p_{1/2} for Mn₃O₄. [19, 20] The surface exposed to OER through CV and CA shows a splitting value of 5.6 eV and 5.1 eV, respectively. A splitting of 5.6 eV indicates the presence of Mn₃O₄, while the splitting value of 5.1 eV can be attributed to a mixed oxidation state between +3 and +4.[21] The results indicate that the oxidation state of the MnO_x layer under CV and CA condition is different and slightly higher oxidation state is observed after CA experiment.

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Kurzfassung des Schlussbericht zum Vorhaben: Mechanistische Untersuchungen der elektrochemischen Sauerstoffentwicklung auf Modellelektroden – Stabilität der Elektroden, Natur der Oxide und Intermediate (BMBF-Förderkennzeichen 03SF0507). Teilvorhaben des Clusterprojekts “Mangan”

Mangan-basierte Katalysatorsysteme werden derzeit als Kandidaten für eine kommerzielle Nutzung für die Katalyse der elektrochemischen Sauerstoffentwicklung als Anodenreaktion in der Wasserspaltung untersucht, da der Biokatalysator für die Sauerstoffentwicklung in der Photosynthese als aktives Zentrum einen Mangan-Komplex enthält. Dieser Bericht enthält die Zusammenfassung eines Forschungsvorhabens, in welchem die Auflösungskinetik und die Natur der Oxide auf Mangan bei anodischen Strömen bis zur Sauerstoffentwicklung untersucht wurde. Sowohl administrative Aspekte, der Hintergrund der verwendeten Methoden, und wesentliche Schlussfolgerungen werden zusammengefasst. Die wissenschaftlichen Aspekte des Projektes sind wesentlich in der Veröffentlichung in Phys. Chem. Chem. Phys. 2019, 21, 10457 zusammengefasst, die dem Bericht angehängt ist. Im Vorhaben wurden – gekoppelt mit Zyklovoltammetrie und Chronoamperometrie – operando-Messungen mit Raman-Spektroskopie und spektroskopischer Ellipsometrie durchgeführt, sowie die Elektrolyten mittels Massenspektrometrie mit induktiv gekoppeltem Plasma untersucht. Als wichtigste Oberflächenoxide auf Mangan wurde dabei α - MnO_2 detektiert, und zwar bereits bei geringeren Elektrodenpotenzialen als thermodynamisch erwartet. Dies wird auf Disproportionierungsprozesse von Mn^{III} zurückgeführt. Einmal gebildetes MnO_2 konnte nicht mehr elektrochemisch reduziert werden. Während der Sauerstoffentwicklung wurde eine extrem schnelle, sich beschleunigende Mangan-Auflösung beobachtet. Das Oxid auf der Oberfläche ist ein stark ungeordnetes, auf MnO_6 -Oktaedern basierendes Oxid mit gewisser Ähnlichkeit zu β - MnO_2 . Dieses Oxid bildet sich schneller als es aufgelöst wird, und ist somit in einer stationären Schicht für gewisse Zeit stabil. Die hohen Auflösungsraten zeigen, dass metallisches Mangan nicht besonders als Katalysator für die Sauerstoffentwicklung geeignet ist. Zumindest gibt es aber ein stationär existierendes Oberflächenoxid.