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3 **The CO<sub>2</sub> record at the Amazon Tall Tower**  
4 **Observatory: a new opportunity to study**  
5 **processes on seasonal and inter-annual scales**

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## 1 | ABSTRACT

High quality atmospheric CO<sub>2</sub> measurements are sparse in Amazonia, but can provide critical insights into the spatial and temporal variability of sources and sinks of CO<sub>2</sub>. In this study we present the first six years (2014-2019) of continuous, high-precision measurements of atmospheric CO<sub>2</sub> at the Amazon Tall Tower Observatory (ATTO, 2.1°S, 58.9°W). After subtracting the simulated background concentrations from our observational record, we define a CO<sub>2</sub> regional signal ( $\Delta\text{CO}_{2_{obs}}$ ) that has a marked seasonal cycle with an amplitude of about 4 ppm. At both seasonal and inter-annual scales we find differences in phase between  $\Delta\text{CO}_{2_{obs}}$  and the local eddy covariance net ecosystem exchange (EC-NEE), which is interpreted as an indicator of a decoupling between local and non-local drivers of  $\Delta\text{CO}_{2_{obs}}$ . In addition, we present how the 2015/2016 El Niño-induced drought was captured by our atmospheric record as a positive  $2\sigma$  anomaly in both the wet and dry season of 2016. Furthermore, we analyzed the observed seasonal cycle and inter-annual variability of  $\Delta\text{CO}_{2_{obs}}$  together with net ecosystem exchange (NEE) using a suite of modeled flux products representing biospheric and aquatic CO<sub>2</sub> exchange. We use both non-optimized and optimized (i.e., resulting from atmospheric inverse modeling) NEE fluxes as input in an atmospheric transport model (STILT). The observed shape and amplitude of the seasonal cycle was captured neither by the simulations using the optimized fluxes nor by those using the diagnostic Vegetation and Photosynthesis Respiration Model (VPRM). We show that including the contribution of CO<sub>2</sub> from river evasion improves the simulated shape (not the magnitude) of the seasonal cycle when using a data-driven non-optimized NEE product (FLUXCOM). The simulated contribution from river evasion was found to be 25% of the seasonal cycle amplitude. Our study demonstrates the importance of the ATTO record to better understand the Amazon carbon cycle at various spatial and temporal scales.

## 2 | INTRODUCTION

Amazonia covers approximately one third of South America, and 70-80% of its area is rain forest (Goulding et al., 2003). This vast expanse of forest stores approximately 85-130 Pg of carbon in above- and below-ground biomass, making it one of the largest carbon pools on the globe (Malhi et al., 2006; Saatchi et al., 2007; Feldpausch et al., 2012; Baccini et al., 2012). Hence, Amazonia plays a fundamental role in the global carbon cycle not only by storing massive amounts of carbon, but also by acting as an immense "biogeochemical reactor" (Andreae, 2001). The exchange between the biosphere and the atmosphere occurs mainly through CO<sub>2</sub> exchange (Friedlingstein et al., 2020). Therefore, atmospheric mole fraction measurements of CO<sub>2</sub> can provide information about this exchange, as they integrate signals from the underlying ecosystem over large scales. Atmospheric CO<sub>2</sub> can thus be used to study the spatial and temporal variability of the dominant sources and sinks of carbon, which in the central part of Amazonia are mainly photosynthesis and respiration (Malhi et al., 2015).

The principal threats to Amazonia are forest degradation and deforestation, agricultural expansion and climate variability (Davidson et al., 2012; Mitchard, 2018). Deforestation was recently shown to cause disturbed rainfall patterns upwind and downwind of the cleared areas during the dry season in Amazonia (Khanna et al., 2017). In addition, deforestation and agricultural expansion are directly associated with biomass burning (van der Werf et al., 2010; Barlow et al., 2020), which in turn can be intensified by severe drought (Gatti et al., 2014; van der Laan-Luijckx et al., 2015; Marengo and Espinoza, 2016; Aragão et al., 2018). Extremes in the hydrological cycle include both droughts and flooding, which can be enhanced by large-scale events, such as those occurring during the extreme phases of the El Niño Southern Oscillation (ENSO) cycle (Marengo and Espinoza, 2016; van Schaik et al., 2018; Malhi et al., 2018). Variability in the hydrological cycle in the Amazon has increased over the last two decades (Gloor et al.,

2015), with more frequent extreme events. At the same time, a significant increase in the length of the dry season in southern Amazonia has been reported by Fu et al. (2013). Gloor et al. (2012) suggest that even though biospheric carbon uptake currently compensates for deforestation and fossil fuel emissions in South America, the continent could become a net source of carbon over the next decades, as projected by up-scaled plot level studies (Brienen et al., 2015; Hubau et al., 2020). Therefore, observational ground sites (such as ATTO) that can provide ground truth data for evaluating predictions are critical to improve our understanding of the carbon cycle in Amazonia.

The ecosystem net carbon exchange can be estimated using either a top-down or a bottom-up approach. Atmospheric inversions (i.e., the top-down approach) use measurements of atmospheric CO<sub>2</sub> mole fractions to optimize a prior estimate of net ecosystem exchange (NEE) fluxes at global (Gurney et al., 2002; Rödenbeck et al., 2003; van der Laan-Luijkx et al., 2017) and continental scales (Gerbig et al., 2003; Peters et al., 2007; Schuh et al., 2010; Shiga et al., 2018; Kountouris et al., 2018; Hu et al., 2019). This method is highly dependent on well-calibrated accurate measurements, their spatial density and representativeness (Gerbig et al., 2009). Typically, fluxes in regions with few measurements will be estimated with high uncertainties that will lead to limited understanding of spatial and temporal patterns (Gurney et al., 2002; Peylin et al., 2013; van der Laan-Luijkx et al., 2015; Thompson et al., 2016). Global inverse models have been found to be under-constrained (Gurney et al., 2002; Gaubert et al., 2019) and to a large extent hampered by model uncertainties and insufficient measurements in the Amazon region (Molina et al., 2015). Moreover, the density of remotely-sensed satellite columns over the region, characterized by deep convection, is highly reduced due to persistent cloud cover (Liu et al., 2017; Basu et al., 2018). The combination of these factors makes it difficult to constrain the seasonal and inter-annual variability of carbon exchange in Amazonia (Molina et al., 2015). The aircraft network of CO<sub>2</sub> profiles (Gatti et al., 2014) at several sites across Amazonia represents an important advance in the regional effort to improve the observational constraint for inverse modeling studies (van der Laan-Luijkx et al., 2015; Alden et al., 2016), yet identifying the relevant processes responsible for inter-annual and seasonal changes remains challenging.

Process-based biosphere models (representing the bottom-up approach) provide an alternative to constrain carbon exchange across a wide range of ecosystems (Sitch et al., 2015). However, the inability to reproduce the cycle of gross primary productivity (GPP), which influences the amplitude and phase of NEE at equatorial sites in Amazonia is one of the important limitations of biosphere models (Restrepo-Coupe et al., 2017). Others include e.g. CO<sub>2</sub> fertilization effect (Fleischer et al., 2019) and ecosystem respiration (Carvalho et al., 2014). While process-based model simulations show a decline in dry-season GPP at equatorial sites, presumably based on an incorrect assumption of water-limitation, observations typically suggest that GPP increases during the dry season (Huete et al., 2006; Myneni et al., 2007; Brando et al., 2010; Restrepo-Coupe et al., 2013; Borchert et al., 2015; Wu et al., 2016; Green et al., 2020). This discrepancy may be explained by the lack of leaf phenology in model formulations (Gonçalves et al., 2020). Chen et al. (2020) recently corroborated this by implementing this mechanism in the biosphere model ORCHIDEE, yet it is still missing in other biosphere models.

The evaluation of model-based biosphere-atmosphere flux estimates is generally performed by comparing simulated fluxes with in-situ flux measurements. Eddy-flux and plot-level studies serve such purposes and are valuable for understanding processes and underlying drivers of carbon exchange (Verbeeck et al., 2011; von Randow et al., 2013; Restrepo-Coupe et al., 2017). An alternative approach to evaluate both biosphere models and inverse modeling results is to use surface fluxes as an input in atmospheric transport models, and compare simulated and observed mole fractions at independent measurement sites. This method has the advantage of attributing the observed CO<sub>2</sub> regional signal to a larger spatial area, as compared to local eddy-flux spatial coverage, especially when atmospheric CO<sub>2</sub> is measured at a tall tower (Gloor et al., 2001). However, atmospheric transport errors can add additional biases that should be considered when interpreting patterns at different temporal scales (Gerbig et al., 2008). This leads to



106 different models presenting widely varying perspectives on the processes influencing Amazonia's carbon budget, with  
107 most of them being poorly constrained by actual observations.

108 All things considered, accurate atmospheric CO<sub>2</sub> measurements at high temporal resolution can provide valuable  
109 information about the spatial and temporal variability of sources and sinks of CO<sub>2</sub>. In this work, we present six years  
110 of observations from the Amazon Tall Tower Observatory (ATTO) in central Amazonia, and demonstrate how they  
111 can be used to increase our process understanding by identifying the main sources of variability at seasonal and  
112 inter-annual scales. Furthermore, we use the CO<sub>2</sub> measurements to evaluate state-of-the-art top-down as well as  
113 bottom-up NEE products using an atmospheric transport model. A highlight of this study is that we use three different  
114 estimates of NEE fluxes generated using CarbonTracker South America (an inverse modeling system) (van der Laan-  
115 Luijckx et al., 2015), the Vegetation Photosynthesis and Respiration diagnostic model (VPRM) (Mahadevan et al., 2008),  
116 and a statistically upscaled NEE product (Bodesheim et al., 2018) (FLUXCOM). With such a diverse dataset of NEE  
117 fluxes, we cover the inherent variability of different model formulations. We also evaluate the capability of an inversion  
118 system, using different data streams for optimization, to constrain the variability of atmospheric CO<sub>2</sub> at ATTO. Thus,  
119 we provide valuable insights that will serve not only to better understand the processes that control atmospheric CO<sub>2</sub>  
120 at ATTO, but also to evaluate biosphere flux models from an atmospheric perspective.

## 121 3 | DATA AND METHODS

### 122 3.1 | Site description

123 The Amazon Tall Tower Observatory (ATTO) site (2.14°S, 58.99°W, see Figure 6) has been described extensively in  
124 Andreae et al. (2015). In this paper, we present aspects considered important for our study. ATTO is located in the  
125 Uatumã Sustainable Development Reserve (USDR) in central Amazonia, 150 km northeast of the closest large city,  
126 Manaus. The main infrastructure and research facilities were built in the dense upland forest (terra firme, at 130 m  
127 a.s.l.), where the highest vegetation is found. The canopy height at the tower location is around 37 m, however the  
128 average tree height on the terra firme forest plateau is 20.7 ± 0.4 m (Andreae et al., 2015).

129 The local precipitation regime shows a distinct seasonality (see Figure S1 left panel), and agrees very well ( $r=0.8$ ,  
130  $p\text{-value}<0.01$ ) with the Multi-Satellite Precipitation Analysis from the Tropical Rainfall Measuring Mission (TRMM  
131 3B42-daily at a resolution of 0.25 deg, obtained from: [https://disc.gsfc.nasa.gov/datasets/TRMM\\_3B42\\_Daily\\_7/summary](https://disc.gsfc.nasa.gov/datasets/TRMM_3B42_Daily_7/summary)) (Huffman et al., 2016) sampled at the grid cell closest to ATTO (2.12°S, 58.87°W). However the local  
132 measurements show a lower mean annual precipitation (MAP) than the climatological average obtained using the  
133 TRMM dataset (1934.1 mm yr<sup>-1</sup> vs 2382.2 mm yr<sup>-1</sup>). The monthly and annual mean biases of the TRMM estimate with  
134 respect to the local measurements is +40 mm and +489 mm, respectively. The local time series is based on an 8-year  
135 record (2012-2019), and thus the seasonal average is highly affected by the 2015/2016 El Niño drought. Therefore,  
136 we consider the longer TRMM dataset (ATTO-TRMM 1998-2019 in Table 1) to be more reliable as a climatology. Thus,  
137 we use the ATTO-TRMM (1998-2019) record as a reference; the dry season length (DSL) is 3 months with a mean dry  
138 season precipitation of 63.3 mm month<sup>-1</sup>. The annual minimum average precipitation (MiAP) is 45.1 mm month<sup>-1</sup>.  
139 A comparison of these values between the local record and the TRMM dataset is shown in Table 1. For this study  
140 we have defined the climatological dry season as the months whose seasonal median is lower than 100 mm (July to  
141 October). For the wet season we selected the months whose 25th percentile was clearly above 200 mm (February to  
142 June), see Figure S1 right panel for details.

**TABLE 1** Mean annual precipitation (MAP), mean dry season precipitation (DSP), mean dry season length (DSL) and annual minimum average precipitation (MiAP) at ATTO using the local precipitation measurements (2012-2019) and data from the tropical rainfall measuring mission (TRMM) from 1998-2019 (Huffman et al., 2016). For comparison we show the same values reported by Restrepo-Coupe et al. (2017) for the research station (K34) for the period 1998-2014.

Site	Lat (°)	Lon(°)	MAP (mm yr <sup>-1</sup> )	DSP (mm month <sup>-1</sup> )	DSL (months)	MiAP (mm month <sup>-1</sup> )
ATTO-Local (2012-2019)	-2.14	-58.99	1934.1	53.2	3.8	25.3
ATTO-TRMM (2012-2019)	-2.12	-58.87	2422.6	63.3	2.8	42.2
ATTO-TRMM (1998-2019)	-2.12	-58.87	2382.2	63.3	3	45.1
K34-TRMM (1998-2014)	-2.61	-60.21	2672.6	99.7	1-2	99.7

### 144 3.2 | Atmospheric mole fraction measurements

145 The continuous measurement system was installed in March 2012 at the 81 m walk-up tower at ATTO and has been  
 146 described in Andreae et al. (2015) and Botía et al. (2020). Here we highlight the features relevant for this study. The  
 147 atmospheric mixing ratio data presented here were collected with two cavity ring-down-based analyzers (Picarro Inc.,  
 148 USA), a G1301 and a G1302 measuring CH<sub>4</sub>/CO<sub>2</sub> and CO<sub>2</sub>/CO, respectively. Both analyzers provide CO<sub>2</sub> data at  
 149 a 15-minute resolution calibrated on the World Meteorological Organization (WMO) CO<sub>2</sub> X2007 scale. These data  
 150 were subsequently averaged to half-hourly data. The overall accuracy of both analyzers, including the uncertainties  
 151 of the water vapor correction, are estimated to be 0.09 ppm CO<sub>2</sub> (1 ppm = 1 μmol mol<sup>-1</sup> of dry air). The analyzers  
 152 measure the air from five lines connected to inlets located at 79, 53, 38, 24 and 4 m above ground. Downstream  
 153 of each sampling line, a stainless steel sphere (8 liters volume) acts as a buffer volume. By mixing the sampled air,  
 154 these buffers integrate the atmospheric signal, allowing a continuous, near-concurrent measurement from all heights  
 155 (Winderlich et al., 2010). The time series presented here is based on only daytime dry air mole fractions (i.e., 13:00 to  
 156 17:00 local time (LT)), representative of well-mixed convective conditions. In order to maximize the data coverage, we  
 157 use observations from both instruments whenever they are available, with the mean calculated for the periods when  
 158 both were operational simultaneously. The mean bias between the datasets at half-hourly resolution was estimated to  
 159 be 0.02 ppm CO<sub>2</sub>. The data presented here are available upon request at <https://attodata.org> (last access: 25 January  
 160 2021).

### 161 3.3 | Phenology measurements and leaf area index age classes

162 Upper canopy leaf phenology is monitored with a RGB Stardot Netcam model XL 3MP (2048×2536 pixels) mounted  
 163 on the top of the 81 m tower. For an in-depth description of the camera set-up, radiometric calibration and detection  
 164 of phenostages, we refer the reader to Lopes et al. (2016). We used only pictures obtained in the morning (i.e., no  
 165 backlit crowns), under cloudy sky or under the shadow of a cloud, providing a spatially even and temporally consistent  
 166 illumination of the irregular canopy surface. For each crown (n=194), we were able to detect abrupt increase in  
 167 greenness (i.e., leaf flush) or abrupt green-down (i.e., leaf abscission). By counting the number of individual trees per  
 168 month for each category (flush or abscission), we built a monthly time series for the period between July 2013 and  
 169 November 2018. From the trees that the camera sees, 69% (n=134) have clear flushing and abscission patterns, and  
 170 from these the time series was built.

171 Using the number of days after each individual flushing event, we determined leaf age classes and attributed a  
 172 fraction of the upper canopy crowns to an age class at monthly intervals. As in Wu et al. (2016), we defined the

173 following leaf age classes: i) young leaves (0-2 months), ii) mature leaves (2-6 months), and iii) old leaves (>6 months).  
174 Next, we partitioned the age classes into classes of leaf area index (LAI) (i.e., young, mature, and old LAI) by nor-  
175 malizing each leaf age class with the total LAI measured at ATTO. We used a constant LAI of  $5.32 \text{ m}^2 \text{ m}^{-2}$  for all  
176 months, as the variability of this number throughout the year was not statistically significant (unpublished results).  
177 For the normalization we took into account the total number of trees in the camera frame ( $n=194$ ), assuming that  
178 the 30% that does not have clear flushing patterns are part of the old age class. For more details on the methods  
179 and assumptions for the separation of LAI into leaf age classes, see Wu et al. (2016). LAI was measured using two  
180 LAI-2200 PCA sensors (LI-COR Inc., Lincoln, NE, USA) recording simultaneous readings above and within the canopy.  
181 The sensor above the canopy (the reference) was installed on the 80 m tower (approximately 50 meters above top  
182 canopy). All measurements were performed under diffuse light conditions. The within-canopy measurements were  
183 carried out using 40 cm supports (sampling points) on the ground. The spatial sampling design was a square grid  
184 with 42 sampling points ( $21 \times 2$  and 80m between points). We carried out monthly campaigns from March 2016 to  
185 March 2019. The flushing and abscission data (<http://doi.org/10.17871/atto.223.7.840>) together with the raw LAI  
186 age classes (<http://doi.org/10.17871/atto.230.4.842>) are available upon request request at <https://attodata.org>.

### 187 3.4 | Eddy covariance measurements

188 In this study, we use eddy covariance (EC) measurements from 2014 to 2019. They were done using a 3-D sonic  
189 anemometer (CSAT3, Campbell Scientific Inc., Logan, USA) and an open-path infrared gas analyser (LI7500, Li-COR  
190 Inc., Lincoln, USA), both installed at the top of the 81 m tower, approximately 40 m above the local canopy top.  
191 Half-hourly EC-sensible heat (EC-H), EC-latent heat (EC-LE), and EC- $\text{CO}_2$  fluxes were calculated by using EddyPro  
192 software (Li-COR Inc., Lincoln, USA). Raw time series data were de-spiked and screened according to Vickers and  
193 Mahrt (1997), and data quality control on half-hourly EC-H/LE/ $\text{CO}_2$  fluxes was carried out following the method of  
194 Mauder and Foken (2004). EC flux data meeting the highest quality criteria (flags 0 and 1) for H, LE and  $\text{CO}_2$  and from  
195 the EC-favourable wind direction ( $[-90^\circ : +90^\circ]$  sector) were selected for further analysis. The raw eddy-flux data are  
196 available upon request at <https://attodata.org> (last access: 25 January 2021).

197 Net ecosystem exchange (EC-NEE) was calculated as the sum of the half-hourly EC- $\text{CO}_2$  flux and storage  $\text{CO}_2$   
198 flux. The storage flux was obtained using the 5-inlet  $\text{CO}_2$  mole fraction profile measurements at the 81 m tower  
199 (cf. section 3.2) following the calculation procedure of Winderlich et al. (2014). When the profiles were missing  
200 measurements from one or two heights, the storage flux was obtained from 3 or 4 inlets that included both the 4 m  
201 and 79 m heights, this occurred only 2.42% of the time over the six years. In cases where only half-hourly EC- $\text{CO}_2$  flux  
202 data were available, missing  $\text{CO}_2$  storage fluxes were gap-filled with mean diurnal variations over  $\pm 14$ -day periods  
203 as performed by the REddyProc package (Wutzler et al., 2018). In addition, negative EC-NEE data during nighttime  
204 periods (defined as 18:00 to 6:00 with global radiation ( $R_g$ )  $< 20 \text{ W m}^{-2}$ ) were removed. In cases where nighttime  $R_g$   
205 data were not available, we discarded negative EC-NEE data between 19:00 and 5:00.

206 A distribution of friction velocity ( $u^*$ ) thresholds (5th, 50th and 95th percentiles) in each year was estimated  
207 according to Papale et al. (2006) using REddyProc. For this study, we used the yearly median (50th percentile)  $u^*$   
208 values as representative for our site (see Table S1). Our  $u^*$  values are lower than those from previous studies due to  
209 the higher measurement height (81 m), we refer the reader to Table S2 for a comparison of  $u^*$  values in other sites  
210 in Amazonia. After the  $u^*$  filtering, 20.4 % of EC-NEE data remained. The effect of having more or less data due to  
211 a larger or lower  $u^*$  threshold does not affect the seasonal cycle of neither EC-NEE, GPP nor  $R_{eco}$ , this is shown in  
212 Figure S2. The gap-filling of the EC-NEE data was performed using REddyProc and then negative gap-filled nighttime  
213 EC-NEE data were screened out. The missing nighttime EC-NEE data were gap-filled by a linear interpolation for less

214 than two missing hours or a mean NEE value over one nighttime period. Nighttime EC-NEE was assigned as nighttime  
215 ecosystem respiration ( $R_{eco}$ ), and daytime  $R_{eco}$  was derived from averaging  $R_{eco}$  over two adjacent nighttime periods,  
216 similar to Restrepo-Coupe et al. (2013). Then, gross primary productivity (GPP) was obtained by subtracting EC-  
217 NEE from  $R_{eco}$ . We adopted the above NEE partitioning method because nighttime  $R_{eco}$  did not correlate well with  
218 nighttime air temperature, which is needed for commonly used methods (e.g., the nighttime method (Reichstein et al.,  
219 2005), the daytime method (Lasslop et al., 2010) and modified daytime methods (Keenan et al., 2019)). In this study,  
220 we interpret EC-GPP (hereafter GPP) as gross ecosystem productivity (GEP).

## 221 3.5 | STILT simulations

### 222 3.5.1 | STILT model description

223 The Stochastic Time Inverted Lagrangian Transport (STILT) model (Lin et al., 2003) is useful for diagnosing the impact  
224 of surface emissions at a specific measurement location or receptor by resolving transport in the near-field (i.e., the  
225 surface with which the planetary boundary layer air has had contact with). STILT simulates the transport in the near-  
226 field by following the time evolution of an ensemble of particles (to be interpreted as an air parcel) and by interpolating  
227 meteorological fields to the sub-grid location of each particle. Turbulent motions in the planetary boundary layer  
228 (PBL) are modelled as a Markov chain process using turbulent velocity statistics (Lin et al., 2003). Moist convection  
229 in STILT uses vertical profiles of convective mass fluxes within updrafts and downdrafts, as well as entrainment and  
230 detrainment fluxes into and out of the up- and downdrafts (for details, see Nehr Korn et al., 2010). Vertical profiles of  
231 in-cloud mass fluxes are derived from the driving meteorological fields using the Tiedtke scheme (Tiedtke, 1989).

232 The time-inverted feature of the model refers to the capability of resolving the near-field transport of the particle  
233 ensemble prior to its arrival at the receptor location. In this study, the model was run at hourly resolution for the six-  
234 year period from 2014 to 2019. Every hour a 100-particle ensemble was released at the receptor height of 80 m above  
235 ground, and the back trajectories were calculated for the preceding 10 days to ensure most backward trajectories have  
236 left the continent, such that the footprints represent the full influence of surface fluxes on measurements at ATTO. The  
237 difference between the modelled receptor height and the air inlet is only 1 meter, which we assume can be neglected.  
238 The model was driven by 3-hourly meteorological fields from ECMWF short-term forecasts (following the contem-  
239 porary IFS cycle development; for more info see <https://www.ecmwf.int/en/publications/ifs-documentation>).  
240 The original meteorological fields were preprocessed and interpolated to a spatial resolution of  $0.25^\circ$  by  $0.25^\circ$ , cov-  
241 ering South America between  $20^\circ\text{S}$ – $15^\circ\text{N}$  latitude and  $85^\circ\text{W}$ – $35^\circ\text{W}$  longitude bands. The original vertical structure  
242 was maintained, however only the 89 lowest of the 137 total levels were used, limiting the top model level to an  
243 altitude of about 21 km.

### 244 3.5.2 | Seasonally-averaged footprint calculation

245 To better interpret our measurements and attribute signals to particular regions, spatially explicit surface influence  
246 maps or footprints were calculated using the STILT model. From the back trajectory particle ensembles we derived  
247 hourly-gridded footprints. The footprints are derived at higher spatial resolution ( $1/12^\circ$  by  $1/8^\circ$ ) than the driving  
248 meteorological data, and they can be defined as the flux sensitivity of mole fractions measured at the receptor loca-  
249 tion, with units of ppm per  $\mu\text{mol m}^{-2} \text{s}^{-1}$ . To obtain the seasonally-averaged footprints, we first filtered for daytime  
250 (i.e., 13:00–17:00 LT at the receptor) values to ensure well-mixed convective conditions at the measurement location.  
251 These individual hourly footprints were aggregated to a climatological monthly mean. From these monthly means we

252 averaged over: November, December, January (NDJ), February, March, April (FMA), May, June, July (MJJ) and August,  
253 September and October (ASO). The averaging periods were chosen in this way to allow a good distinction between wet  
254 and dry seasons (FMA and ASO), as well as the transition periods in between (NDJ and MJJ). The monthly climatology  
255 of concentration footprints generated for this study is available at <http://doi.org/10.17871/atto.208.8.811>.

256 The regional extent of the seasonally-averaged footprints is shown in Figure 6 to provide an idea of the dominant  
257 vegetation types within the areas of influence. The 50th percentile footprint during NDJ and FMA covers an area of  
258 mainly intact forest, whereas in MJJ and ASO the footprints cover areas characterized by a larger presence of disturbed  
259 forest, located on the southern bank of the Amazon River. The area of the 50th percentile footprint increases from  
260 208,058 km<sup>2</sup> in NDJ to 236,969 km<sup>2</sup> in FMA and decreases from 244,482 km<sup>2</sup> in MJJ to 207,812 km<sup>2</sup> in ASO. Note  
261 that the Cerrado and Caatinga biomes (semiarid ecosystems), are within the 75th percentile footprint in MJJ and ASO,  
262 although their relative influence on the signals measured at ATTO is estimated to be low.

### 263 3.5.3 | STILT tracer simulations

#### 264 Lateral Boundary Conditions (LBC)

265 As we are dealing with an atmospheric transport model within a limited domain, we have to consider the influence of  
266 the air masses entering it at its borders (LBC, Lateral Boundary Conditions) to compare the simulated mole fractions  
267 to in situ observations. This additional signal, hereafter also referred to as "background", is added in STILT to the CO<sub>2</sub>  
268 mole fractions related to fluxes from within the domain. In the case of ATTO, it is almost exclusively advected from the  
269 northeastern or eastern border of our domain (see Figure 6). The LBC include the global information that influences  
270 our domain of interest, such as the increasing trend due to fossil fuel burning and variations on seasonal and synoptic  
271 scales. In this study, we have used the Jena CarboScope (s04ocv4.3) as our LBC. We refer the reader to Rödenbeck  
272 et al. (2003) and to <http://www.bgc-jena.mpg.de/CarboScope/> to get more details on the data assimilated in this  
273 system.

274 The validity of the LBC is a fundamental aspect in our tracer simulations. To assess this validity and potential  
275 biases, we evaluated the 3D fields of CO<sub>2</sub> used as LBC at three background stations located at the east and northeast  
276 of our regional domain: Ragged Point Barbados (RPB, 13.16°N, 59.43°W), Ascension Island (ASC, 7.94°S, 14.35°W)  
277 and Cape Verde (CVR, 15.12°N, 23.60°W). We sampled the original global fields at the location of each station and  
278 calculated the difference between the simulated and observed mole fractions (see Figure S3). Since the data from the  
279 above stations were assimilated in the Jena CarboScope inversion system, they have small Mean Bias Errors (MBE)  
280 ( $-0.09 \pm 0.26$  ppm at RPB,  $-0.036 \pm 0.28$  ppm at ASC and  $-0.176 \pm 0.8$  ppm at CVR). Even though these small MBE  
281 indicate a strong constraint on the LBC, we have bias corrected the LBC used to calculate the observed regional signal.  
282 The magnitude of the bias-correction will be shown in the Results section. We define an observed regional signal  
283 ( $\Delta\text{CO}_{2_{obs}}$ , which is bias-corrected) and a simulated regional signal ( $\Delta\text{CO}_{2_{sim}}$ ). The first is calculated by subtracting the  
284 LBC from the measured CO<sub>2</sub> mole fractions, and the second by leaving the LBC tracer out of equations 1 and 2.

#### 285 Input fluxes

286 To obtain simulated mole fractions at the tower location, we coupled the footprints with the surface fluxes at hourly  
287 resolution. By adding all the tracer components and the LBC, we can obtain multiple realizations of simulated CO<sub>2</sub>  
288 mole fractions at the ATTO site that can be compared to observations, and assess how the underlying fluxes affect  
289 the simulated signal. To account for all the sources and sinks of CO<sub>2</sub> and their uncertainties in Amazonia, we use  
290 a wide range of available data sets, including both optimized (i.e., resulting from atmospheric inverse modeling) and  
291 non-optimized NEE flux fields (see Table 2).

292 Equations 1 and 2 show the main tracer components that were added to obtain the integrated CO<sub>2</sub> mole fractions  
 293 at ATTO. The subscripts represent the flux categories associated with different processes and the \* indicates we use  
 294 multiple NEE sources for each equation as we explain below. The complete overview of input flux fields used for each  
 295 tracer is given in Table 2.

$$CO_{2TopDown} [ppm] = \sum CO_{2k}, \quad k = LBC, NEE_{TopDown}^*, ocean, fires, fossilfuel \quad (1)$$

$$CO_{2BotUp} [ppm] = \sum CO_{2k}, \quad k = LBC, NEE_{BotUp}^*, ocean, fires, rivers, fossilfuel \quad (2)$$

296 As vegetation dominates the CO<sub>2</sub> exchange within our domain, we used five Net Ecosystem Exchange (NEE) data  
 297 sets, three of which are optimized using an atmospheric inversion system. The atmospheric inversion system (Peters  
 298 et al., 2005) utilizes available in situ and remote sensing measurements for the assimilation process; it should be noted,  
 299 however, that observations from ATTO were not assimilated in any of the products discussed here. In equations 1  
 300 and 2, NEE is replaced according to the list in Table 2 and thus we obtain five STILT-model results for simulated CO<sub>2</sub>  
 301 mole fractions at ATTO.

302 The optimized NEE flux fields (i.e. Top-down) were produced using different settings but the same CarbonTracker  
 303 Data Assimilation System (CTDAS, van der Laan-Luijkx et al. (2017)). All inversions use the transport model TM5  
 304 (Krol et al., 2005), where the default run (CTE2020) uses a global transport resolution of 3°x 2° with 1°x 1° zoom  
 305 regions over Europe and North America, and two South-America-specific setups of the system (CT-SAM, van der  
 306 Laan-Luijkx et al. (2015); Koren (2020): CT-SAM-OCO2 and CT-SAM-Flask) use a global resolution of 6°x 4° with a  
 307 nested zoom over South America of 3°x 2° and 1°x 1°. The three inversions also use different sets of atmospheric  
 308 CO<sub>2</sub> data for the assimilation: surface flask measurements from ObsPack GLOBALVIEWplus 5.0 (available here: <https://doi.org/10.25925/20190812>) (CTE2020), the same GLOBALVIEWplus 5.0 but with additional aircraft profiles (Gatti  
 309 et al., 2014) from different locations in Amazonia (CT-SAM-Flask), or OCO2 satellite column retrievals (CT-SAM-  
 310 OCO2). For the CT-SAM-OCO2 the NASA retrieval v9r was used ([https://docserver.gesdisc.eosdis.nasa.gov/public/project/OC0/OC02\\_DUG.v9.pdf](https://docserver.gesdisc.eosdis.nasa.gov/public/project/OC0/OC02_DUG.v9.pdf)). The column observations were aggregated to 10-second super observa-  
 311 tions (following the method described in (Crowell et al., 2019)) and retrievals above water were excluded. CT-SAM  
 312 optimizes NEE on a gridded state vector of 1°x 1° over South America, whereas CTE2020 optimizes NEE in the re-  
 313 gion using larger "ecoregions" following the plant-functional types in the prior biosphere model (SiBCASA, (Schaefer  
 314 et al., 2008)). Note that the driving meteorology in CTE2020 uses ERA5 (C3S, 2017) instead of ERA-interim, as in  
 315 CT-SAM-Flask and CT-SAM-OCO2.

316 The non-optimized NEE fluxes (VPRM and FLUXCOM, i.e. bottom-up) use different approaches. The Vegetation  
 317 Photosynthesis and Respiration model (VPRM) estimates NEE using a simple diagnostic light-use-efficiency model  
 318 driven by the Enhanced Vegetation Index (EVI) and Land Surface Water Index (LSWI), derived from surface reflectance  
 319 measured by the Moderate Resolution Imaging Spectroradiometer (MODIS), together with 2-m air temperature and  
 320 shortwave radiation at the surface provided from the meteorological model (Mahadevan et al., 2008), in this case  
 321 STILT. Two parameters per vegetation type (Jung et al., 2006) are optimized based on eddy covariance measurements  
 322 from 9 sites between 2001 and 2010, obtained from the LBA-ECO repository ([https://daac.ornl.gov/daacdata/1ba/carbon\\_dynamics/CD32\\_Brazil\\_Flux\\_Network/data/](https://daac.ornl.gov/daacdata/1ba/carbon_dynamics/CD32_Brazil_Flux_Network/data/), last access: 19 October 2020). The FLUXCOM product  
 323 is derived from up-scaling site-level data (FLUXNET, <http://fluxnet.fluxdata.org/> (last access: 29 September  
 324 2020)) to global scales by using a set of predictors which are fed to a random forest regression (Bodesheim et al.,  
 325 2018). The reader is referred to Bodesheim et al. (2018) and Jung et al. (2020) for more information on the predictors  
 326  
 327  
 328

**TABLE 2** Input fluxes and lateral boundary condition data sets used in STILT. Column "Input type" indicates whether the fluxes are based on atmospheric inversions (prefix "Opt").

Tracer	Product Name	Input type	Time coverage	Notes	Reference
LBC	Jena CarboScope (s04ocv4.3)	mole fractions	2014-2019	LBC - lateral boundary condition	Rödenbeck et al. (2003)
Ocean	CTE2020	Opt flux	2014-2019	Top-down (TD) and Opt. atm. inversion	van der Laan-Luijkx et al. (2017)
NEE	CTE2020	Opt flux	2014-2019	TD and Opt.	van der Laan-Luijkx et al. (2017)
NEE	FLUXCOM	Flux	2014-2019	Bottom-up (BU)	Bodesheim et al. (2018)
NEE	VPRM	Flux, online	2014-2019	BU	Mahadevan et al. (2008)
NEE	CT-SAM-OCO2	Opt flux	2015-2017	TD, not used for other years (Opt)	Koren (2020)
NEE	CT-SAM-Flask	Opt flux	2014-2017	TD, 2018 and 2019, 2008-2017 average (Opt)	Koren (2020)
Rivers	ORCHILEAK	Flux	2014-2019	1980-2010 - Climatology	Hastie et al. (2019)
Biomass burning	GFAS	Emissions	2014-2019		Kaiser et al. (2012)
Fossil Fuels	EDGAR 4.3.2	Emissions	Annual mean	All sectors, aggregated	Janssens-Maenhout et al. (2017)

329 and the up-scaling methods.

330 We use river CO<sub>2</sub> fluxes from the updated version (Hastie et al., 2019) of the ORCHILEAK model (Lauerwald et al.,  
 331 2017), which uses a high spatial resolution (100 m) wetland distribution map (Hess et al., 2015). We only add the river  
 332 flux component to the bottom-up simulations, as for the top-down simulations the river signal should be captured by  
 333 the assimilated observations (Kondo et al., 2020). In order to avoid double counting of fluxes from floodplains, which  
 334 could be captured by VPRM and FLUXCOM during a low water stage, we only used the river CO<sub>2</sub> evasion component  
 335 from the ORCHILEAK model. The tracers that are not varied in equations 1 and 2 (i.e., ocean, fires, fossil fuel) are  
 336 always added to the simulated mole fraction of each STILT-model realization.

337 We also simulated the anthropogenic signal component using the annual mean emissions from EDGAR v4.3.2  
 338 (Janssens-Maenhout et al., 2017) reported for 2012; original gridded emissions (0.1°x 0.1°spatial resolution) from all  
 339 fossil fuel sectors were aggregated into an emission field of a single tracer. Since anthropogenic emissions are of minor  
 340 importance in our domain we assumed constant annual emissions in our simulations. For the contribution of biomass  
 341 burning or fires we use daily emissions from the Global Fire Assimilation System (GFAS) at 0.1°x 0.1°spatial resolution  
 342 (Kaiser et al., 2012). Last but not least, we use optimized oceanic CO<sub>2</sub> fluxes from CTE2020. It is worth mentioning  
 343 that in CTE2020, different from previous releases, the ocean prior flux is taken from the Jena CarboScope system.

### 344 3.5.4 | Input flux adjustments for STILT simulations

345 The input fluxes have been converted for use in STILT into units of  $\mu\text{mol m}^{-2} \text{s}^{-1}$ . Furthermore, we have adjusted  
 346 the weekly mean posterior NEE fluxes of CTE2020, CT-SAM-OCO2 and CT-SAM-Flask to represent the original  
 347 diurnal variability of its prior biosphere model (SIBCASA) before using them as input in STILT. Equation 3 describes  
 348 this adjustment, which projects the original 3-hourly deviations from the monthly average diurnal cycle back onto  
 349 the weekly mean posterior flux. For CTE2020, equation 3 was used for each week (k) that fluxes are available, in  
 350 which the deviation of the 3-hourly ( $j=1..8$ ) flux from the corresponding monthly (i) mean is added to the weekly  
 351 posterior. For the CT-SAM-OCO2 and CT-SAM-Flask the prior and diurnal mean NEE in equation 3 ( $1^{st}$  and  $2^{nd}$  term  
 352 on the right-hand side) were replaced by its climatology for each month ( $i=1-12$ ), as their multi-annual record was  
 353 smaller and included an ENSO extreme event. This adjustment was performed in order to convert monthly optimized  
 354 NEE fluxes (CTE2020, CT-SAM-OCO2 and CT-SAM-Flask) to hourly resolution and thus couple them with the hourly  
 355 footprints. This is important because the diurnal variability in atmospheric transport has to be considered for more  
 356 accurate simulations. We consider that the adjustment is precise enough because the simulated diurnal cycle of CO<sub>2</sub>

at the tower resembles that of the other simulations which are originally provided as hourly fluxes. This is shown in Figure S4.

$$NEE_{post3hk_j} = (NEE_{prior3hk_j} - DiurnalMeanNEE_{prior3hi_j}) + NEE_{postWeekly_k} \quad (3)$$

## 4 | RESULTS

### 4.1 | ATTO atmospheric CO<sub>2</sub> time series

The observed CO<sub>2</sub> trend (Figure 6a) at ATTO for the 6-year record is 2.38 ppm year<sup>-1</sup> (2.18-2.60 95% CI), which is very similar to the mean global CO<sub>2</sub> growth rate of 2.49 ± 0.08 ppm year<sup>-1</sup> reported by Dlugokencky and Tans (2020) for the same time period. From the monthly record, we can highlight the wet seasons of 2016 and 2019 as two distinctive events of important inter-annual variability in which the footprint of the tower was likely a source of CO<sub>2</sub> to the atmosphere. In the transition from wet to dry seasons, our measurements reach a peak that is followed by a consistent decline throughout the dry season. On average, this decline has an onset in July and August. We also note that the monthly variability is lower in the dry season than in the wet season, strengthening the consistency of the dry season decline.

The simulated background mole fractions (LBC-CScope) have a marked seasonality, reaching the highest values during the wet season, indicating that the air masses coming into our domain are enriched with CO<sub>2</sub>. This is in accordance with Figure 6, in which we showed that the surface influence during the wet season is oriented to the northeast, bringing air from the northern hemisphere. When subtracting the simulated background mole fractions from our measurements, we can diagnose specifically the regional signal of CO<sub>2</sub>, defined as ΔCO<sub>2,obs</sub> in Section 3.5.3. The seasonal cycle of ΔCO<sub>2,obs</sub> (Figure 6b) has an amplitude of 4.14 ppm (default) and 4.11 ppm (bias-corrected) and two distinct periods in which the signal at ATTO is below the LBC tracer (< 0 ppm). It is worth mentioning that ΔCO<sub>2,obs</sub> contains information about the real fluxes in our domain, but it also has an atmospheric transport component, making it difficult to interpret it solely as a source (> 0 ppm) or an uptake (< 0 ppm) of CO<sub>2</sub>.

As ΔCO<sub>2,obs</sub> is the object of study in this paper, we have assessed its uncertainty by obtaining a range between an independent LBC estimate and a bias corrected version of the LBC-CScope. The first, was calculated by taking the measurements at the background stations ASC and RPB and interpolating a new LBC based on the latitude of the STILT-particles once they exit our domain. To account for the minor biases of the LBC-CScope at the background stations, we have bias corrected the LBC-CScope, the magnitude of this correction is shown in Figure 6a by the dashed blue line. The min-max range of these two ΔCO<sub>2,obs</sub> estimates is lower than the inter-annual monthly standard deviation of the ΔCO<sub>2,obs</sub>, which strengthens the robustness of this quantity. For the rest of this study we will use the bias-corrected ΔCO<sub>2,obs</sub>.

#### 4.1.1 | Drivers of seasonal variability

ΔCO<sub>2,obs</sub> is affected by local (eddy covariance scale) and non-local scales (concentration footprint scale). At the local scale, we confront the ΔCO<sub>2,obs-bio</sub> with the EC-NEE in Figure 6a. The ΔCO<sub>2,obs-bio</sub> was calculated using the bias-corrected ΔCO<sub>2,obs</sub> and subtracting the simulated contribution of rivers, fires, fossil fuel and ocean. The phase of the seasonality of ΔCO<sub>2,obs-bio</sub> differs from that of EC-NEE, mainly in January, February and March and in October, November and December. From April to July EC-NEE exhibits an increasing source that can influence the increasing



392 pattern in  $\Delta\text{CO}_{2_{\text{obs-bio}}}$ . The dry season decline in  $\Delta\text{CO}_{2_{\text{obs-bio}}}$  can be partly attributed to a decrease in EC-NEE which  
393 is triggered mainly by a reduction in  $R_{\text{eco}}$  from May to August and a gradual increase in GPP after August (Figure 6b).  
394 The effect of atmospheric transport is also important here. For example, the height of the PBL is a variable that affects  
395 the measured  $\text{CO}_2$  mole fractions at the tower. The PBL height tends to be deeper during the dry season (1300-1500  
396 m) than in the wet season (1100-1200 m), which means that the volume in which  $\text{CO}_2$  mole fractions are diluted  
397 is larger, causing more negative  $\Delta\text{CO}_{2_{\text{obs-bio}}}$ . This example illustrates how the seasonal effects of the footprint and  
398 the PBL height can influence  $\Delta\text{CO}_{2_{\text{obs-bio}}}$ . The observed phase differences indicate that  $\Delta\text{CO}_{2_{\text{obs-bio}}}$  can decouple  
399 from the local EC-NEE in some months of the year, suggesting that the seasonality in  $\Delta\text{CO}_{2_{\text{obs-bio}}}$  is controlled by  
400 overlapping effects of local and non-local drivers.

401 One of the most important non-local drivers of  $\Delta\text{CO}_{2_{\text{obs-bio}}}$  is the heterogeneity of NEE across the seasonally-  
402 changing footprint area. The amplitude of the seasonal cycle of EC-NEE in Amazonia varies along the precipitation  
403 gradient (Saleska et al., 2009). Locations with a higher mean annual precipitation (MAP) ( $>2500 \text{ mm yr}^{-1}$ ), like K34  
404 ( $2.61^\circ\text{S}$ ,  $60.21^\circ\text{W}$ ) have a smaller seasonal cycle amplitude, whereas drier sites ( $2000\text{-}2200 \text{ mm yr}^{-1}$ ) further east in  
405 the Tapajós National Forest (K67 and K83) display a more pronounced seasonal cycle (Saleska et al., 2009). EC-NEE  
406 at ATTO ( $2.14^\circ\text{S}$ ,  $58.99^\circ\text{W}$ ) shows interesting patterns as it falls between the range mentioned above, with a MAP of  
407  $2382 \text{ mm yr}^{-1}$  and a seasonal EC-NEE range of approximately  $60 \text{ g C m}^{-2} \text{ month}^{-1}$  ( $600 \text{ kg C ha}^{-1} \text{ month}^{-1}$ ). Thus,  
408 we observed a seasonal variability with a midyear source peak, different from the sustained net uptake throughout  
409 the year reported for K34 by Restrepo-Coupe et al. (2017). ATTO is located about 140 km northeast of K34: the sites  
410 are relatively close, yet exhibit different MAP and seasonal EC-NEE patterns. ATTO EC-NEE is more similar to that  
411 measured at the Tapajós National forest in having a dry season decline, reaching neutrality in September and October  
412 (Saleska et al., 2003; Goulden et al., 2004; Baker et al., 2008; Hayek et al., 2018), but it differs in that the wet season  
413 shows on average a weak source, which after March increases towards a seasonal peak in May. Interestingly, the  
414 ATTO EC-NEE seasonality has a similar phase to the Caxiuana (CAX) site (Restrepo-Coupe et al., 2017). Following the  
415 classification in Saleska et al. (2009), the EC-NEE amplitude at ATTO falls close to the sites where  $R_{\text{eco}}$  is the most  
416 important factor.

417 From the  $\Delta\text{CO}_{2_{\text{obs-bio}}}$  perspective,  $R_{\text{eco}}$  can be important from March to July, when EC-NEE and  $\Delta\text{CO}_{2_{\text{obs-bio}}}$  are  
418 in phase. Further inspection of the local processes at ATTO indicates that  $R_{\text{eco}}$  correlates significantly with EC-NEE  
419 ( $r=0.55$ ,  $p\text{-value} < 0.01$ ). Furthermore, river  $\text{CO}_2$  evasion (Figure 6d) could also contribute to  $\Delta\text{CO}_{2_{\text{obs-bio}}}$ , mainly from  
420 April to July, with a peak contribution of 1.7 ppm in May and June. Simulated aquatic  $\text{CO}_2$  signals are in phase with  
421 water levels as shown by the Equivalent Water Height anomalies. We consider this timing realistic, as  $\text{CO}_2$  evasion  
422 from rivers and floodplains is enhanced at high water stages (Richey et al., 2002; Amaral et al., 2020), due to larger  
423 inundation areas and an increased water depth that leads to more respiration in the water column (Devol et al., 1995;  
424 Forsberg et al., 2017). Considering that the tower's STILT footprint during MJJ covers the main branch of the Amazon  
425 River (see Figure 6), we believe aquatic signals play an important role when interpreting the seasonal cycle of  $\text{CO}_2$   
426 measurements at ATTO.

427 GPP was found to be negatively correlated with EC-NEE but not significantly ( $r=-0.14$ ,  $p\text{-value}=0.21$ ). There-  
428 fore, the offset of photosynthesis by  $R_{\text{eco}}$  suggests that the first is less important for  $\Delta\text{CO}_{2_{\text{obs-bio}}}$  at the local scale.  
429 Nevertheless, the local processes controlling GPP during the dry and wet seasons are worth highlighting here. The  
430 gradual rise in GPP during the dry season is driven by increasing light availability and a younger age distribution of  
431 leaves in the canopy (Figure 6c). Note that PAR increases simultaneously with a decline in the old class of leaf area  
432 index (LAI) and the increment of the mature and young LAI classes. Such leaf demography dynamics are similar to  
433 what Wu et al. (2016) showed for other sites in Amazonia, and consistent with the dry season green-up reported  
434 by several in-situ (Restrepo-Coupe et al., 2013; Lopes et al., 2016) and regional (Huete et al., 2006; Doughty et al.,

2019) studies. Moreover, Wu et al. (2016) demonstrated that mature leaves are the most light-use efficient with the highest photosynthetic capacity ( $\text{mol CO}_2 \text{ mol}^{-1} \text{ photon}^{-1}$ ) of all leaf age classes. Thus, the seasonal shift in LAI age classes produces a younger age composition of the canopy relative to the wet season, which on average has a higher photosynthetic capacity per leaf area (Wu et al., 2016; Albert et al., 2018). In addition, reduced GEP (interpreted here as GPP) for June and July was reported by Restrepo-Coupe et al. (2013) and Wu et al. (2016) for equatorial sites (e.g. Tapajós National Forest (K67), Cuieras Reserve (K34), and Caxiuana National Forest (CAX)).

#### 4.1.2 | Drivers of inter-annual variability

Although our  $\text{CO}_2$  time series is rather short for inferring inter-annual patterns, we present the response of  $\Delta\text{CO}_{2_{obs}}$  and  $\Delta\text{CO}_{2_{obs-bio}}$  to the 2015/2016 El Niño-induced drought (Figure 6a). Interestingly, the standardized anomalies of  $\Delta\text{CO}_{2_{obs}}$  and  $\Delta\text{CO}_{2_{obs-bio}}$  follow the same pattern, suggesting that the inter-annual variability is controlled by the vegetation signal and that contributions of rivers, fires, fossil fuels and ocean are negligible at this scale. For this reason, in the rest of this Section we refer to  $\Delta\text{CO}_{2_{obs}}$  only, but the findings apply equally to  $\Delta\text{CO}_{2_{obs-bio}}$ .

The  $>+1\sigma$  anomaly of  $\Delta\text{CO}_{2_{obs}}$  in MJJ coincides with the onset of the El Niño, which started in June of 2015, with values above 1 according to the Multivariate El Niño Index (MEI) (Figure 6b). In the dry season of the same year (ASO), we observe a  $-1\sigma$  anomaly, illustrating a variable response of  $\Delta\text{CO}_{2_{obs}}$  to El Niño in 2015. In contrast, in 2016 our observations reveal two  $>+1.5\sigma$  anomalies, centered in the wet and dry seasons. Note that El Niño lasted until May in 2016, but the effects in  $\Delta\text{CO}_{2_{obs}}$  seemed to persist well into the dry season of 2016.

It is interesting to note that the local EC-NEE (Figure 6a) anomaly is not always in phase with that of  $\Delta\text{CO}_{2_{obs}}$ . In 2015 the EC-NEE anomaly was in opposite sign to that of  $\Delta\text{CO}_{2_{obs}}$  during MJJ and ASO, while in 2016 they followed similar patterns. Such differences in phase between EC-NEE and  $\Delta\text{CO}_{2_{obs}}$  anomalies suggest that in 2015 the effects of El Niño at the EC-NEE scale were apparent only after ASO, whereas in the  $\Delta\text{CO}_{2_{obs}}$  record it was already evident in MJJ. Therefore, the 2015 anomalies appear to be driven by a non-local (i.e. larger than the EC-NEE footprint) response to the El Niño. In contrast, the contribution to the positive anomalies in 2016 appears to be both at the local and non-local scales.

The variable response of the  $\Delta\text{CO}_{2_{obs}}$  anomalies in 2015 are marked by an erratic behavior, showing opposing signs in MJJ (+) and ASO (-). The MJJ event is driven by an above average value in July, whereas that in ASO is pulled down by a negative value in September and October (not shown). Our eddy covariance data suggest that the  $\Delta\text{CO}_{2_{obs}}$  positive anomaly in July can not be attributed to a local source of carbon, as the EC-NEE (see Figure S5) for 2015 was within the seasonal variability of the 2014-2019 record. The negative anomaly in ASO, can not be explain by local factors either. A reduction in the observed  $\text{CO}_2$  mole fractions due to a deeper boundary layer height, a 15% percent increase with respect to 2014 as shown by Carneiro and Fisch (2020), is likely but non-local factors are yet to be studied. Interestingly, the GPP reductions in 2015 reported in Koren et al. (2018) and van Schaik et al. (2018) for the region that overlaps with our MJJ footprint (i.e. Region B in that study) have an onset in October, failing to explain our July observation and indicating that the effect of the extreme heat and drought had a late onset at ATTO.

The positive anomaly in  $\Delta\text{CO}_{2_{obs}}$  during the dry season of 2016 has local and non-local contributions. Locally, a source of carbon in our EC-NEE record, driven by a higher than normal  $R_{eco}$  (Figure S5), can explain the  $\Delta\text{CO}_{2_{obs}}$  2016-ASO anomaly. Non-local drivers of this anomaly are attributed to a drought legacy effect (Kannenberg et al., 2020) that has been already characterized by Koren (2020) using atmospheric inverse modeling and remote sensing. Koren (2020) reported basin-wide positive anomalies in top-down-NEE and reductions in remote sensing proxies for GPP in the dry season of 2016. Persistent soil moisture depletion following the 2015/16 El Niño was put forward as a potential mechanism driving this legacy drought. A contributing factor to this 2016-dry-season anomaly, based on

476 the results by Wu et al. (2016) and Gonçalves et al. (2020), is that drought in 2015 caused some trees (approximately  
477 15%) to undergo an anomalous leaf flush in March of 2016 (see Figure S6). This precocious flush altered the normal  
478 leaf age distribution over the following months, such that the abundance of photosynthetically efficient mature-stage  
479 leaves (2-6 months of age) was spread out over a longer period.

480 The meteorological effects of El Niño at local scale were measured later in 2015. Positive anomalies in air tem-  
481 perature within and above the canopy together with soil temperature (Figure 6c) reached values close to  $+2\sigma$  from  
482 November of 2015 to February of 2016. The negative soil moisture anomalies in the last four months of 2015 were  
483 driven by the negative precipitation anomalies during the same time (Figure 6d,e). The soil moisture anomalies at 40  
484 cm and 100 cm bounced back to values higher than  $-1\sigma$  in March 2016. However, even when precipitation returned  
485 to close-to-climatology values in February and March 2016, soil moisture at 10 cm depth did not fully recover until late  
486 2016. This pattern shows a fast recovery in deep soil moisture compared to a persistent ( $<-1\sigma$ ) soil moisture anomaly  
487 at 10 cm depth. The re-wetting of deeper layers, together with a still high soil temperature anomaly at 20 and 40 cm  
488 depth, could have reactivated heterotrophic respiration leading to above-average soil respiration rates during the wet  
489 season of 2016 (see Figure S5).

490 The  $\Delta\text{CO}_{2_{obs}}$  anomalies in the transition months of NDJ in 2018 and 2019 occurred in the absence of a large scale  
491 climate-driven phenomenon. Based on the EC-NEE response, it seems that both  $\Delta\text{CO}_{2_{obs}}$  anomalies are due to non-  
492 local signals. During the 2018-NDJ event, all meteorological variables (air temperature, soil moisture and temperature,  
493 and precipitation) were within the  $1\sigma$  range. To interpret the signals in 2019, it is worth mentioning two aspects. First,  
494 the 2019-NDJ average contains values only for November and December, as January data were not yet available  
495 at the time of writing. Second, the year 2019 was characterized by widespread fires driven by deforestation which  
496 began early in the year (Barlow et al., 2020). Thus, we suggest that the 2019-NDJ positive anomaly could have a  
497 contribution from fires, but the magnitude could be reduced when the January average is included.

## 498 4.2 | STILT tagged tracer simulations

### 499 4.2.1 | Simulated $\text{CO}_2$ and spatial distribution at seasonal scale

500 At the ATTO site, a clear seasonal variation of the footprint throughout the year (Figure 6a) can be observed, consis-  
501 tent with the large scale atmospheric circulation of the intertropical convergence zone (ITCZ) previously described  
502 in Andreae et al. (2012) and Pöhlker et al. (2019). The seasonal atmospheric circulation affects the mole fractions  
503 measured at ATTO by varying the areas of near- and far-field influence of the surface fluxes and also the origin of the  
504 background air masses. In general, during the wet season ATTO is located to the north of the ITCZ and is under the  
505 influence of the air coming from the Northern Hemisphere (NH), whereas during the dry season, the station is located  
506 south of the ITCZ, and thus the long range transport is from the Southern Hemisphere (SH) (Andreae et al., 2015). It  
507 is worth highlighting that during MJJ and ASO the main branch of the Amazon River is well covered by the 50th and  
508 75th footprint percentiles.

509 The different NEE fluxes used as inputs in STILT show large spatial variability amongst them (Figure 6b-d). While  
510 CTE2020 and CT-SAM-Flask follow a similar spatial pattern, CT-SAM-OCO2 tends to predict a larger source of carbon  
511 to the atmosphere in MJJ. When comparing the bottom-up fluxes (Figure 6e-f) to those resulting from atmospheric  
512 inversions, it is clear that the former shows a stronger sink, which is particularly visible in the FLUXCOM data. The main  
513 differences between FLUXCOM and VPRM are the source regions in NDJ and ASO, more pronounced in FLUXCOM  
514 than in VPRM. Despite the aforementioned differences, in the core of the dry season (ASO) all products are consistent  
515 (with varying extent and magnitude) in the source regions in northeastern Brazil, in the states of Ceará, Pernambuco,

516 Bahía, Piauí and Tocantins (see Figure S7 for the names and locations of the northeastern states of Brazil).

517 We find that none of the simulations accurately capture the amplitude of  $\Delta\text{CO}_{2_{obs}}$ . Only in the case of FLUXCOM,  
518 does the shape of the seasonal cycle show a decline in the dry season and a wet-to-dry season increase similar to  
519 the pattern observed at ATTO. The latter increase is also better predicted if the original product is augmented with  
520 additional fluvial fluxes (compare both panels in Figure 6). However, FLUXCOM-driven mole fractions predicted by  
521 our model are constantly lower than our measurements by 5 ppm, indicating a strong and persistent uptake of  $\text{CO}_2$   
522 (negative NEE) as shown in Figure 6. Such a strong sink was expected, as this product was previously found to have  
523 a too strong tropical carbon sink, due to a mixture of systematic biases in the eddy-covariance data used in upscaling,  
524 and the lack of site history effects on NEE (Jung et al., 2020). Simulations of  $\Delta\text{CO}_{2_{sim}}$  based on VPRM, CTE2020,  
525 CT-SAM-Flask and CT-SAM-OCO2 fluxes show a very different seasonal cycle than  $\Delta\text{CO}_{2_{obs}}$ , showing an earlier and  
526 more rapid drop to a minimum in July. In terms of the amplitude of the seasonal cycle, VPRM predicts the largest with  
527 5.94 ppm, followed by CTE2020 with 5.88 ppm, CT-SAM-OCO2 with 5.07 ppm, CT-SAM-Flask with 4.94 ppm and  
528 finally FLUXCOM with 3.21 ppm. The last two are the closest to the observed  $\Delta\text{CO}_{2_{obs}}$  of 4.14 ppm.

529 The accuracy of the VPRM simulations was worse than expected considering that the model parameters were cal-  
530 ibrated using eddy covariance measurements at several sites within Amazonia (Mahadevan et al., 2008). We find that  
531 the dry season increase in VPRM- $\Delta\text{CO}_{2_{sim}}$  could be triggered by increasing simulated  $R_{eco}$  associated with increasing  
532 temperature. VPRM represents  $R_{eco}$  as a linear function of temperature and does not include the effects of moisture  
533 (Mahadevan et al., 2008). Furthermore, the decrease in VPRM- $\Delta\text{CO}_{2_{sim}}$  from May to July, which anticipates that of  
534  $\Delta\text{CO}_{2_{obs}}$  by a month, could also be associated with the lack of moisture effects in  $R_{eco}$ . Note that the eddy covariance  
535  $R_{eco}$  is higher than GPP from May to June in Figure 6b, suggesting an overall source of carbon to the atmosphere.

#### 536 4.2.2 | Simulated inter-annual variability and tracer contribution

537 In general, the observed inter-annual variability is not well captured by our STILT simulations (Figure 6a). In particular  
538 for the 2015 and 2016 anomalies associated with El Niño, the simulations show either an anticipation of the anomaly  
539 (i.e. 2015-NDJ) or output a signal with an opposite sign (i.e. 2016-ASO). Despite the spread between models in 2014-  
540 MJJ, 2015-MJJ and 2018-FMA, it is worth highlighting the general agreement between them, not only in 2015-NDJ  
541 and 2016-ASO but also in 2017-ASO, 2018-ASO, 2019-ASO and 2019-NDJ. The latter indicates that the disagree-  
542 ment between simulations is largest in the first part of the year, in which the influence of river  $\text{CO}_2$  is predicted to be  
543 highest.

544 The influence of rivers, fires, fossil fuel emissions and ocean fluxes on the simulated  $\text{CO}_2$  signal is very small  
545 compared to that of NEE (Figure 6b-e). Note that the simulated NEE contribution in general tends to show a sink of  
546  $\text{CO}_2$ , mainly in the transition from wet to dry season, in contrast to  $\Delta\text{CO}_{2_{obs}}$  and  $\Delta\text{CO}_{2_{bio}}$ . For the  $\Delta\text{CO}_{2_{bio}}$  the signal  
547 from rivers, fires, fossil fuels and ocean was subtracted, which did not change the seasonal pattern when compared  
548 to  $\Delta\text{CO}_{2_{obs}}$ . Rivers contribute with 1 to 2 ppm depending on the month of the year. Note that the spatial resolution  
549 of the gridded flux for rivers is coarse ( $1^\circ \times 1^\circ$ ) and we have used a monthly climatology from Hastie et al. (2019) in  
550 STILT, thus the variable magnitude from year to year in the river tracer is mainly due to atmospheric transport. Fires  
551 and anthropogenic emissions (fossil fuels in equations 1, 2) add up to a contribution ranging from 0.2 to 0.4 ppm,  
552 concentrated in the dry season. The ocean is the least significant tracer component, contributing less than 0.1 ppm to  
553 the regional signal, reaching the highest values during NDJ. These simulations highlight the relevance of  $\text{CO}_2$  evasion  
554 at the ATTO site.

555 When evaluating the model performance at a monthly scale, the CT-SAM-OCO2 simulation was the best, with an  
556 RMSE of 4.15 ppm. Note that the CT-SAM-OCO2 simulations were performed only for three years (i.e. 2015-2017).

557 The VPRM and the CT-SAM-Flask followed with RMSE values of 4.21 ppm and 4.63 ppm respectively. CTE2020 and  
558 FLUXCOM had higher RMSE values with 4.96 ppm and 5.6 ppm. These RMSE scores are indicative of regional fluxes  
559 not covered by our footprints or the LBC, or from differences in vertical transport between the STILT model used for  
560 the footprints relative to the TM5 model (used in CarbonTracker).

## 561 5 | DISCUSSION

### 562 5.1 | Decomposing the $\Delta\text{CO}_{2_{obs}}$ signal

563 We showed that  $\Delta\text{CO}_{2_{obs}}$  is controlled by local and non-local factors. The phase match/mismatch at seasonal and  
564 inter-annual scales between EC-NEE and  $\Delta\text{CO}_{2_{obs}}$  was described as an indicator of the different spatial extents driv-  
565 ing  $\Delta\text{CO}_{2_{obs}}$ . Amongst the local factors analyzed was EC-NEE, which was partitioned into GPP and  $R_{eco}$  to better  
566 understand the underlying processes contributing to the local net flux. GPP and  $R_{eco}$  presented a considerable sea-  
567 sonal variation, mainly characterized by a  $R_{eco}$ -dominated wet season and a late dry season increase in both GPP and  
568  $R_{eco}$ . Seasonally, we found that EC-NEE was mainly controlled by  $R_{eco}$ . However, it is worth mentioning that when  
569 leaving 2015 and 2016 out of our analysis, we see a clear sink in the EC-NEE during the dry season. In contrast, focus-  
570 ing only on 2015 and 2016 we observe a suppression of GPP during the dry season and EC-NEE shows a sustained  
571 source as  $R_{eco}$  is always larger than GPP. Therefore, seasonally we observed a larger role of  $R_{eco}$  and a clear effect of  
572 the 2015/2016 El Niño in GPP,  $R_{eco}$ , EC-NEE and  $\Delta\text{CO}_{2_{obs}}$  (see Figure 6).

573 At a more regional scale, the effects of the 2015/2016 El Niño-induced drought in Amazonia have been stud-  
574 ied from multiple perspectives. The immediate effects of the drought (namely occurring in 2015 and 2016) caused  
575 reductions in GPP (Liu et al., 2017; van Schaik et al., 2018) (in line with our local measurements) and sun-induced flu-  
576 orescence (SiF) (Koren et al., 2018; Castro et al., 2020). The study of Castro et al. (2020), which described the effect  
577 of the 2015/2016-El Niño event on SiF across the Amazon basin, sheds light on the variable response of vegetation  
578 to drought. At the regional scale, they found a widespread reduction in SiF, yet at the eco-region scale where ATTO is  
579 located, SiF reductions were comparatively less. However, Doughty et al. (2021) found positive anomalies in SiF and  
580 GPP at the Amazon basin scale and at the grid cell in which ATTO is located. Therefore, the debate about the sign  
581 of the anomaly in 2015 remains open. The effects of the 2015/2016 El Niño drought caused long-term impacts on  
582 vegetation, Wigneron et al. (2020) found that the above-ground carbon stocks did not recover until 2017. Further-  
583 more, top-down studies of previous droughts (Gatti et al., 2014; van der Laan-Luijkx et al., 2015) have shown that  
584 the Amazon carbon budget can turn from almost neutral in a wet year (i.e., 2011), into a source during drought (i.e.,  
585 2010). A reduction in biospheric uptake and an increase in  $\text{CO}_2$  fire emissions were suggested as the main causes for  
586 the regional response in 2010, which was well captured by widespread aircraft measurements of  $\text{CO}_2$  concentrations  
587 over the basin. Given these previous findings, local/non-local GPP reductions, long-term vegetation effects and fire  
588 emissions are factors that can in principle influence  $\Delta\text{CO}_{2_{obs}}$ , in addition to the role of  $R_{eco}$  and river signals, which  
589 were presented in our results. However, for the present study we found that even during the 2015/2016-El Niño the  
590 fire contribution to the local measurements was very small (see Figure 6), yet we do not rule out that this can be more  
591 important in the future, with a possible expansion of the agricultural frontier within the  $\Delta\text{CO}_{2_{obs}}$  footprint.

592 The differential response of vegetation within the seasonally-changing footprint is an important non-local driver  
593 of  $\Delta\text{CO}_{2_{obs}}$  that is worth discussing further. We have already mentioned the findings of Castro et al. (2020) in which  
594 they showed substantial variability of SiF at the eco-region scale. However, within the ATTO eco-region the SiF  
595 reductions were not as large as those regionally, which is in contrast to the effect of the 2015/2016-El Niño on GPP  
596 at ATTO, which showed a notable reduction in 2015 (see Figure S5). This apparent discrepancy remains to be studied

597 further, however some plausible hypotheses are a non-linear behavior between SiF and GPP caused by extreme heat  
598 and drought (Martini, D. 2021 personal communication) in 2015 or that the GPP reduction observed at ATTO was a  
599 local phenomenon within the eco-region used in Castro et al. (2020). Nevertheless, Doughty et al. (2021) showed a  
600 linear relationship of GPP and SiF at different spatial scales, so the discrepancy of our eddy tower GPP with both SiF  
601 studies remains to be studied further.

602 Now, shifting to a more seasonal perspective, sites at the Tapajós National Forest (K67 and K83) and Caxiuanã  
603 (CAX) show a dry-season increase in GPP, which is driven by leaf age and not by seasonal LAI (Wu et al., 2016). It  
604 is interesting that at ATTO we observe this dry-season GPP increase on the mean seasonality, yet the amplitude  
605 of EC-NEE is different at all sites, being larger at the Tapajós sites followed by ATTO, CAX and K34 (Saleska et al.,  
606 2009). At the sites with larger EC-NEE amplitude, the role of  $R_{eco}$  modulating the EC-NEE is more important, which  
607 in turn is determined by the annual average rainfall as shown by Saleska et al. (2009). A further example of regional  
608 heterogeneity is given by the study of Restrepo-Coupe et al. (2013), in which they showed that sites where the dry  
609 season is very long or the soil is shallow, GPP does not increase during the dry season. This is the case for Rondônia,  
610 which has a long dry season similar to the Tapajós sites but with a shallow rocky soil, while Tapajós has deep soil which  
611 buffers the water available to plants (Nepstad et al., 2007).

612 The seasonal patterns of  $R_{eco}$  can be grouped into water-limited and oxygen-limited sites (Saleska et al., 2009).  
613 Water-limited sites, like the one in the Tapajós National forest (Saleska et al., 2003; Hutyrá et al., 2007), exhibit dry  
614 season declines of  $R_{eco}$  as a result of an inhibited heterotrophic respiration due to a long dry season length that leads  
615 to the desiccation of decomposition sources near the surface (Saleska et al., 2003). The soil component of  $R_{eco}$  at  
616 oxygen-limited sites is inhibited with increasing soil moisture content, which is the case for K34 (Chambers et al.,  
617 2004). Our results suggest that the  $R_{eco}$  at ATTO follows an oxygen-limited regime with a MAP of 2383 mm yr<sup>-1</sup>  
618 despite having a relatively long dry season length (3 months, see Table 1). Note that when including 2015 and 2016  
619 in our analysis,  $R_{eco}$  does not show an increase in October, being suppressed during the dry season (see Figure 6).  
620 Therefore, the response of  $R_{eco}$  to disturbance at ATTO is in contrast to what was reported by Davidson et al. (2004)  
621 after a rainfall exclusion experiment for another site located on clay-dominated soil, where no significant effect was  
622 found.

623 One of the novelties of our study is the use of results from the recently-developed model (ORCHILEAK) for  
624 aquatic CO<sub>2</sub> evasion in Amazonia (Hastie et al., 2019) as an input in our atmospheric transport simulations. We have  
625 shown that the seasonal peak of  $\Delta\text{CO}_{2_{obs}}$  in June and July is influenced by a net carbon source driven by  $R_{eco}$  and the  
626 CO<sub>2</sub> evaded from rivers. The aquatic signal peaks in May and June (Figure 6), just when the  $\Delta\text{CO}_{2_{obs}}$  footprint covers  
627 the main branch of the Amazon River, including its delta (see MJJ in Figure 6 and 6). Therefore, we suggest that the  
628  $\Delta\text{CO}_{2_{obs}}$  maximum in June has a larger contribution of CO<sub>2</sub> from rivers, while in July  $R_{eco}$  could be more relevant in  
629 the  $\Delta\text{CO}_{2_{obs}}$ . We furthermore highlight that by adding aquatic CO<sub>2</sub> signals to FLUXCOM- $\Delta\text{CO}_{2_{sim}}$ : The shape of the  
630 seasonal cycle is closer to that of  $\Delta\text{CO}_{2_{obs}}$ , indicating that aquatic CO<sub>2</sub> evasion is important to correctly represent the  
631 seasonal cycle of CO<sub>2</sub> mole fractions at ATTO.

632 A full error propagation from the river flux fields to our simulated tracer is out of the scope of this study. How-  
633 ever, we provide an estimate for the relative error of about 35% for the river flux fields, which scales linearly to our  
634 simulated river signals. This estimate was based on Hastie et al., (2019), specifically the annual CO<sub>2</sub> evasion of 746  
635 (526-998) Tg C per year. It is important to note that this relative error has to be interpreted with caution, as our atmo-  
636 spheric transport model (STILT) has a higher spatial resolution and the footprints do not cover the entire basin as the  
637 ORCHILEAK model does. This model represents an important advance in coupling the terrestrial carbon cycle with the  
638 lateral forest-river continuum, though the additional sources of uncertainty can be highlighted here. In ORCHILEAK  
639 the carbon sources of the CO<sub>2</sub> degassed from aquatic surfaces are attributed to: (1) dissolved organic carbon (DOC)

640 and dissolved CO<sub>2</sub> transported laterally from the upland soil and (2) decomposition of submerged organic carbon  
641 and litter and respiration of submerged roots in wetlands and rivers (see Lauerwald et al. (2017) and Lauerwald et al.  
642 (2020)). Lateral transport from upland soil (1) is important for small streams (Johnson et al., 2006, 2007), which are  
643 lacking in ORCHILEAK. In addition, the lack of aquatic plants in ORCHILEAK introduces uncertainty in (2). Including  
644 small streams and aquatic plants in ORCHILEAK would enable the model to better simulate CO<sub>2</sub> evasion from aquatic  
645 habitats.

## 646 5.2 | Fluxes are the major source of error in STILT simulations

647 Our study is the first to use the CO<sub>2</sub> ATTO record to independently evaluate optimized and non-optimized gridded  
648 NEE fluxes when transported in the atmosphere. From this evaluation we highlight two main important findings. The  
649 first is that none of the simulations, including the ones using optimized fluxes, accurately reproduce the seasonal cycle  
650 of the observed signal ( $\Delta\text{CO}_{2_{obs}}$ ), which represents the regional flux and atmospheric transport influence.  $\Delta\text{CO}_{2_{obs}}$   
651 is almost always lower than the background inflow, indicating a sustained regional sink of CO<sub>2</sub>. The second, is the  
652 importance of river CO<sub>2</sub> signals at ATTO when interpreting the CO<sub>2</sub> measurements and simulated biospheric signals.  
653 We showed that the phase of the seasonal cycle is better captured by FLUXCOM only when adding river signals  
654 (Figure 6), and that the amplitude of the seasonal cycle is overestimated by 0.8 ppm to 1.8 ppm (CT-SAM-Flask and  
655 VPRM).

656 We attribute the incapability of our system to accurately simulate  $\Delta\text{CO}_{2_{obs}}$  mainly to errors in the input fluxes,  
657 which fail to capture the seasonal variability of NEE in the footprint area. Dynamic vegetation models are known to  
658 have difficulties simulating the seasonality of carbon fluxes in the equatorial (5°S to 5°N) band of Amazonia (Verbeeck  
659 et al., 2011; Restrepo-Coupe et al., 2017), but here we show that even when NEE of a process-based model (such  
660 as SiBCASA) is optimized with different data streams (using surface CO<sub>2</sub> observations CTE2020, additional aircraft  
661 profiles within the Amazonia CT-SAM-Flask and, satellite columns CT-SAM-OCO2), it does not capture the seasonality  
662 of  $\Delta\text{CO}_{2_{obs}}$  sampled at ATTO. This finding is similar to that of Molina et al. (2015), in showing the difficulties of  
663 reproducing the seasonal cycle of NEE after an atmospheric inversion, but we further show the remaining challenges  
664 of a denser observational network, which could either be aircraft profiles (e.g., CT-SAM-Flask) within Amazonia or  
665 satellite columns (e.g., CT-SAM-OCO2). A still limited observational coverage, even with the aircraft network and the  
666 OCO2 columns, is perhaps one of the main remaining challenges.

667 The mismatch between the optimized fluxes and  $\Delta\text{CO}_{2_{obs}}$  at inter-annual scale could be related to an incorrect  
668 seasonality in the prior NEE flux (i.e. NEE before optimization), but also to the frequency and spatial distribution of  
669 observations used in the assimilation. For CTE2020, CT-SAM-Flask and CT-SAM-OCO2 the same prior model is used  
670 (i.e. SiBCASA), but different data streams are assimilated. Tests indicate that the use of the same NEE prior leads to  
671 a similar shape of the seasonal cycle in the posterior NEE (not shown) and thus the  $\Delta\text{CO}_{2_{sim}}$  in this study, regardless  
672 of the data assimilated for the optimization. Furthermore, the effects of temperature, soil moisture and precipitation  
673 anomalies in the underlying prior biosphere models (in particular for VPRM and SiBCASA) could produce inaccurate  
674 vegetation NEE responses in terms of timing and/or sign. This could result in NEE fluxes with either an early too  
675 strong source (i.e. 2015-NDJ) or the opposite in sign in the same month possibly due to an enhanced uptake (i.e.,  
676 2016-ASO).

677 This study is the first time that the FLUXCOM NEE product has been evaluated using atmospheric transport to  
678 obtain CO<sub>2</sub> mole fractions at a particular site in the tropics. Interestingly, we found similar inter-annual patterns in  
679  $\Delta\text{CO}_{2_{sim}}$  to those using the optimized fluxes, but not with the observations. Jung et al. (2020) found a consistency in  
680 NEE anomalies between FLUXCOM and atmospheric inversions at global scales, and here we show that this finding

681 holds at regional scales when using FLUXCOM in simulations of atmospheric CO<sub>2</sub>. According to Jung et al. (2020),  
682 the reasons for the global consistency between FLUXCOM and atmospheric inversions are: 1. a spatial compensation  
683 of processes that are not well represented by the underlying model formulations and 2. the tendency of such models  
684 to be more sensitive to temperature signals, which are more important at larger spatial scales, as discussed in detail  
685 by Jung et al. (2017). Here we suggest that the spatial scale of our analysis can still suffer from the weaknesses  
686 listed above. In particular, note that the temperature sensitivity can be spotted by comparing Figures 6a and 6a; the  
687 bottom-up and top-down simulations converge in a  $2\sigma$  anomaly in 2015-NDJ, coinciding with temperature anomalies  
688 of similar magnitude.

689 Inter-annual drought-induced impacts on vegetation in Amazonia can include: shifting carbon allocation from  
690 the canopy to fine roots following drought (Doughty et al., 2014), reduced growth due to water stress and warm  
691 temperatures (Clark et al., 2010), prioritizing growth at the expense of maintenance and defence (Doughty et al., 2015),  
692 and increased tree mortality (da Costa et al., 2010; Wang et al., 2012) together with reduced vegetation productivity  
693 (Feldpausch et al., 2016). In addition to the mechanisms listed above, the implementation of the different seasonal  
694 phenological stages (as shown in Figure 6 but also by Restrepo-Coupe et al. (2013), Lopes et al. (2016) and Wu et al.  
695 (2016)) needs to be improved in dynamic vegetation models as well as in process-based biosphere models, to produce  
696 more accurate NEE and thus  $\Delta\text{CO}_{2_{sim}}$  estimates. Improving the sensitivity of tropical vegetation in dynamic vegetation  
697 models to water availability could also reduce the disagreement between top-down and bottom-up estimates for the  
698 global carbon budget (Bastos et al., 2020).

699 Atmospheric transport uncertainties are also a source of errors in our simulations, mainly associated with the  
700 model's capability to resolve moist (deep) convection (Betts et al., 2009), vertical mixing within the boundary layer  
701 (Gerbig et al., 2008) and advection (i.e., wind speed and wind direction) correctly. The seasonality of convection  
702 in STILT shows more activity during the wet season (FMA) over the footprint area, consistent with the timing of  
703 convective events reported by Horel et al. (1989). Therefore, the probability of a particle being captured by an updraft  
704 or downdraft is higher during the wet season. We are aware that errors in representing deep convection could lead  
705 to a potential bias in the turnover time of the air between the mid and upper troposphere and the boundary layer.  
706 We acknowledge this limitation, but if present, such a bias is more likely to occur in the wet season. STILT is as good  
707 as the driving meteorological fields and their ability to capture convective events. Convection is a sub-grid process  
708 that can impact the ability of Eulerian models to reproduce tracer transport at the mesoscale in Amazonia (Beck et al.,  
709 2013) and it can also be triggered by small scale processes (Burleyson et al., 2016), which present difficulties for their  
710 representation in atmospheric transport models. Thus, using higher spatial resolution driving fields, such as ERA5 (C3S,  
711 2017), is expected to improve the model's representation of convection, as shown specifically for Lagrangian models  
712 by Hoffmann et al. (2019). To reduce vertical mixing errors, we filter both the STILT simulations and the observations,  
713 so as to obtain only afternoon values (13:00-17:00 LT) (see in Figure S4 the convergence of simulated and observed  
714 CO<sub>2</sub> in the afternoon).

715 Moreover, to evaluate advection errors at the receptor height (80 m), we compared local wind speed and direction  
716 measured at ATTO with the simulated quantities. We found a small bias in wind speed ( $-0.08 \text{ m s}^{-1}$ ) and a moderate  
717 bias in wind direction ( $-39^\circ$ ). However, when evaluating directly the errors of the driving meteorological winds using  
718 three afternoon (14:00 LT) radiosondes at ATTO, we found that they decrease with height (Figure S9). This indicates  
719 that as the particle trajectories reach higher elevations, the error tends to decrease; the particle height after 2 days  
720 of back trajectory was on average 1400 m. A study using a Lagrangian model to evaluate the role of the Amazon  
721 Basin moisture in the hydrological cycle (Drumond et al., 2014) supports the orientation of the footprints shown here.  
722 Drumond et al. (2014) show that moisture sources in Amazonia during the austral summer are coming from the tropical  
723 North Atlantic Ocean, which is in line with our footprints for NDJ and FMA. Overall, given these findings, we conclude



724 that the errors in fluxes are much larger than those in transport.

## 725 6 | CONCLUSIONS AND OUTLOOK

726 In this study we presented and analysed the first six years of the CO<sub>2</sub> record from the Amazon Tall Tower Observa-  
727 tory. Using a Lagrangian atmospheric transport model we evaluated how well we could reproduce the observed CO<sub>2</sub>  
728 concentrations at ATTO. By combining atmospheric transport from STILT with a set of different NEE flux products,  
729 we found that the inversion results were not able to constrain the seasonal variability of  $\Delta\text{CO}_{2_{obs}}$  in the footprint of  
730 the tower and very likely at the regional scale. It seems that the optimized products cannot adjust the prior seasonal  
731 cycle of NEE regardless of the data stream assimilated. Furthermore, we have presented evidence of the importance  
732 of river CO<sub>2</sub> evasion for getting the shape (but not the magnitude) of the seasonal cycle when using the FLUXCOM  
733 product, mainly capturing the increase in  $\Delta\text{CO}_{2_{obs}}$  from May to July. We have further shown that the main controls  
734 of  $\Delta\text{CO}_{2_{obs}}$  at seasonal and inter-annual scales result from the combined effect of local and non-local drivers, which  
735 can be inferred by the phase difference in EC-NEE and  $\Delta\text{CO}_{2_{obs}}$ .

736 This is not the first study to highlight the underlying processes that should be better represented in biosphere  
737 models, but it is the first evaluation of bottom-up and top-down NEE fluxes using an independent station with a  
738 long-term and continuous record in Amazonia. We therefore highlight the potential of the ATTO station, and the  
739 upcoming 325 m continuous record, as an independent validation site for atmospheric transport of CO<sub>2</sub> and for  
740 regional inversion estimates, which we are currently working on. Equally important are the seasonal patterns of carbon  
741 exchange, ecosystem respiration and leaf phenology that we have presented here, which add to the current body of  
742 literature (Saleska et al., 2003; von Randow et al., 2004; Hutyra et al., 2007; Baker et al., 2008; Restrepo-Coupe et al.,  
743 2013; Wu et al., 2016; Lopes et al., 2016) and provide in-situ information for constraining the heterogeneity of these  
744 processes in Amazonia. These findings are of utmost importance for regional carbon budget assessments, like the  
745 RECCAP2 initiative (<https://climate.esa.int/en/projects/reccap-2/>). By guiding the choice of prior fluxes to  
746 estimates with better NEE seasonality, improved posterior flux distributions and thus, regional carbon budgets, can  
747 be attained.

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766 **conflict of interest**

767 The authors declare no conflicts of interest.

Accepted Article

## Figure captions

768 **Figure captions**  
 769 *Figure 1.* The 50th and 75th percentiles for the seasonally averaged footprint for NDJ and FMA (left panel) and  
 770 MJJ and ASO (right panel) overlay with the distribution of intact forest (dark green), non-intact forest (pale green),  
 771 moderately deforested (pink) and severely deforested (magenta). Cerrado and Caatinga biomes are labeled but not  
 772 coloured. The ATTO site is indicated with a red triangle. The distribution of vegetation state is taken from Baker and  
 773 Spracklen (2019).

774 *Figure 2.* Monthly time series of the ATTO CO<sub>2</sub> measurements together with the simulated background concen-  
 775 trations without bias correction (continuous blue line) and with bias correction (dashed blue line) (a). The observed  
 776 mole fractions at ATTO (measurement height 79 m) are shown in a thicker black line in (a) and the error bar represents  
 777  $\pm 1 \sigma$ . The thin black line represents the linear trend fitted using the Theil-Sen slope. In (b) the seasonal cycle of  
 778 the regional signal ( $\Delta\text{CO}_{2_{obs}}$ ) is shown. The grey shading represents the min-max range given by the spread of the  
 779 independent  $\Delta\text{CO}_{2_{obs}}$ , calculated using the interpolation between ASC and RPB, and the bias corrected  $\Delta\text{CO}_{2_{obs}}$ . To  
 780 aggregate to monthly averages we selected only daytime values (i.e. 13:00-17:00 LT) to ensure well-mixed conditions  
 781 in the PBL. The dry and wet seasons in (b) are the climatological seasons calculated with the TRMM dataset described  
 782 in Section 3.1.

783 *Figure 3.* Mean seasonal cycle of the observed CO<sub>2</sub> regional signal  $\Delta\text{CO}_{2_{obs}}$  and  $\Delta\text{CO}_{2_{obs-bio}}$ , together with  
 784 monthly box-and-whisker plots of the eddy-covariance-derived NEE (EC-NEE) flux are shown in (a). Note that the  
 785 EC-NEE includes the storage flux and the means are shown as triangles connected by a dotted line. Averaged sea-  
 786 sonal cycles of Gross Primary Productivity (GPP) and ecosystem respiration ( $R_{eco}$ ) (b) and, of Photosynthetic Active  
 787 Radiation (PAR) together with the age classes of Leaf Area Index (LAI) (c). In (d) the monthly mean precipitation from  
 788 the TRMM dataset (1998-2019), the STILT-simulated averaged seasonal signal of CO<sub>2</sub> [ppm] evasion from rivers (see  
 789 Table 2 to see input fluxes used in STILT) and the Equivalent Water Height anomalies from the Gravity Recovery and  
 790 Climate Experiment (GRACE) are shown (all available at: <https://grace.jpl.nasa.gov/data-analysis-tool/>. Last access:  
 791 February 02, 2021). The area over which the GRACE data were integrated is marked with a purple square in the small  
 792 inset on the lower right of (d). The markers in (a) and (b) are shifted to improve visibility and all the error bars represent  
 793 the monthly standard deviation. The shaded areas in the background highlight the wet (Feb-Jun) and dry (Jul-Nov)  
 794 seasons. The dry and wet seasons are the climatological seasons calculated with the TRMM dataset described in  
 795 Section 3.1.

796 *Figure 4.* Standardized anomalies of  $\Delta\text{CO}_{2_{obs-bio}}$ ,  $\Delta\text{CO}_{2_{obs}}$  and EC-NEE (a) averaged over three-month periods,  
 797 calculated against the 2014-2019 period, centered on the wet (FMA) and dry (ASO) seasons, with transition periods in  
 798 between (MJJ and NDJ). The error bars denote the standard deviation for each season, calculated before aggregating  
 799 to the seasonal mean. Thus, it shows the internal variation of each season for each year. In (b) the bi-monthly Multi-  
 800 variate El Niño index shows the strength of the El Niño event as measured by five different variables and aggregated  
 801 in one index (data obtained from: <https://psl.noaa.gov/enso/mei/>; accessed on June 10, 2020), with values higher  
 802 than 0.5 corresponding to a strong El Niño event. In the last panels, we show monthly standardized anomalies of air  
 803 temperature at 81, 26 and 4 m and soil temperature at 20 and 40 cm (c), soil moisture at 10, 40 and 100 cm in (d) and  
 804 precipitation (e). Soil moisture, air and soil temperature were measured at the ATTO site. Precipitation is taken from  
 805 the TRMM dataset (1998-2019).

806 *Figure 5.* Seasonally-averaged concentration footprint (row a) for the inlet level of 80 m. These footprints were  
 807 calculated with the output of hourly simulations of the STILT model covering the 2014-2019 time period. The first  
 808 column in row (a) shows the regional context of the footprints and highlights the area shown in the rest of the panels.  
 809 The ATTO site is indicated with a red marker. In the rest of the panels the location of the research site is indicated by  
 810 the intersection of the parallel and meridian lines. NEE fluxes are shown in rows b to f.

811 Figure 6. Mean seasonal cycle of the regional signal for each of the simulated ( $\Delta\text{CO}_{2_{sim}}$ ) and observed ( $\Delta\text{CO}_{2_{obs}}$ )  
812 mole fractions of  $\text{CO}_2$ . On the left panel the simulations using bottom-up NEE fluxes (i.e. VPRM and FLUXCOM)  
813 include the river signals. On the right panel river signals are not included in the bottom-up NEE fluxes, shown with  
814 a dashed line. The error bar in the observations represents  $\pm 1 \sigma$ . For the simulated and observed mole fractions  
815 we selected only daytime values (i.e. 13:00-17:00 LT) to ensure well-mixed conditions in the PBL. The dry and wet  
816 seasons in are the climatological seasons calculated with the TRMM dataset described in Section 3.1.

817 Figure 7. Standardized anomalies of  $\Delta\text{CO}_{2_{obs}}$  and the simulated tracers in STILT (a) averaged over three-month  
818 periods, calculated against the 2014-2019 period and centered on the wet (FMA) and dry (ASO) seasons, with tran-  
819 sition periods in between (MJJ and NDJ). The error bars denote the standard deviation for each season, calculated  
820 before aggregating to the seasonal mean. Thus, it shows the internal variation of each season for each year. Note  
821 that CT-SAM-OCO2 is not shown here since we did not have enough simulated years to calculate an anomaly. The  
822 climatological standard deviation used for the standardization is shown in Figure ?? . The monthly contribution of  
823 simulated NEE,  $\Delta\text{CO}_{2_{obs}}$  and  $\Delta\text{CO}_{2_{bio}}$  is shown in (b).  $\Delta\text{CO}_{2_{bio}} = \Delta\text{CO}_{2_{obs}} - (\text{river}, ff, fires, ocean)$ . In (c), (d) and (e),  
824 the contribution of rivers, oceans and fires and fossil fuels are shown. Note the different scales on the y-axis.

825 Figure 8. Regional  $\text{CO}_2$  signal ( $\Delta\text{CO}_{2_{obs}}$ ) and EC-NEE averaged over non-ENSO years (2014, 2017-2019) (a) and  
826 ENSO years 2015-2016 (b). In (c) and (d) the same time periods are shown but for GPP and  $R_{eco}$ .

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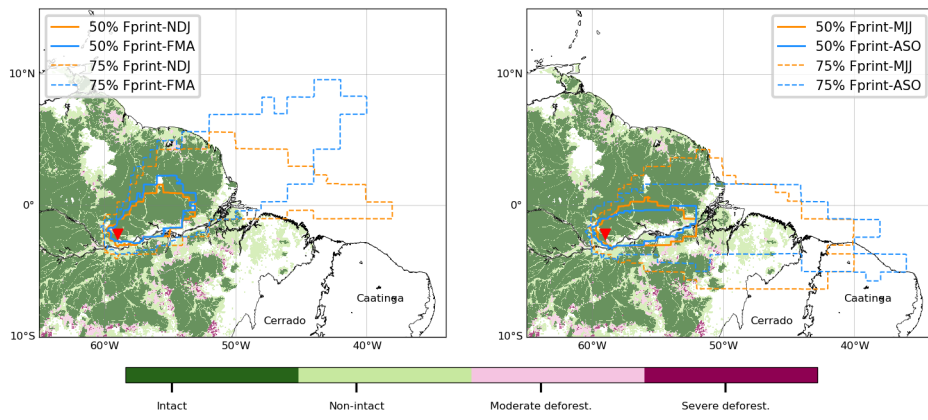
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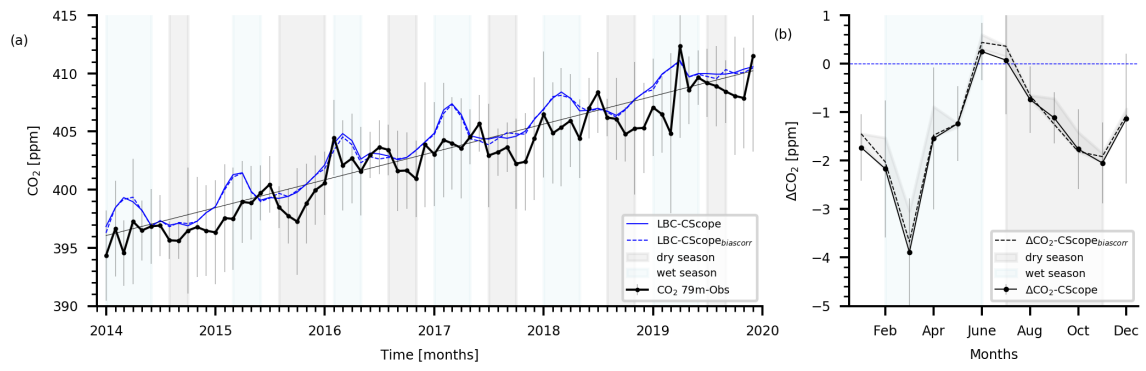
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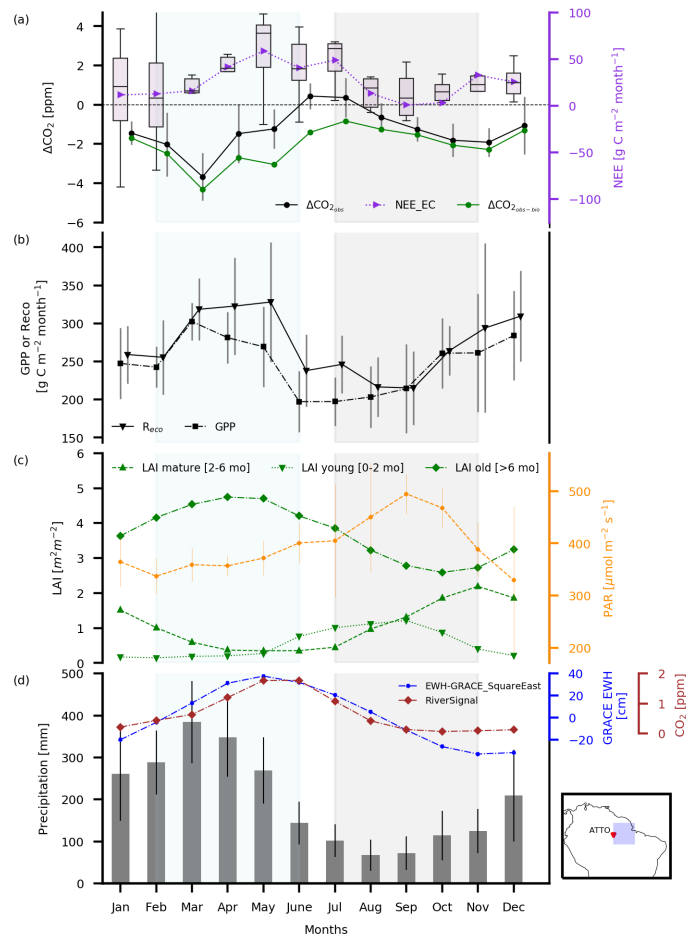


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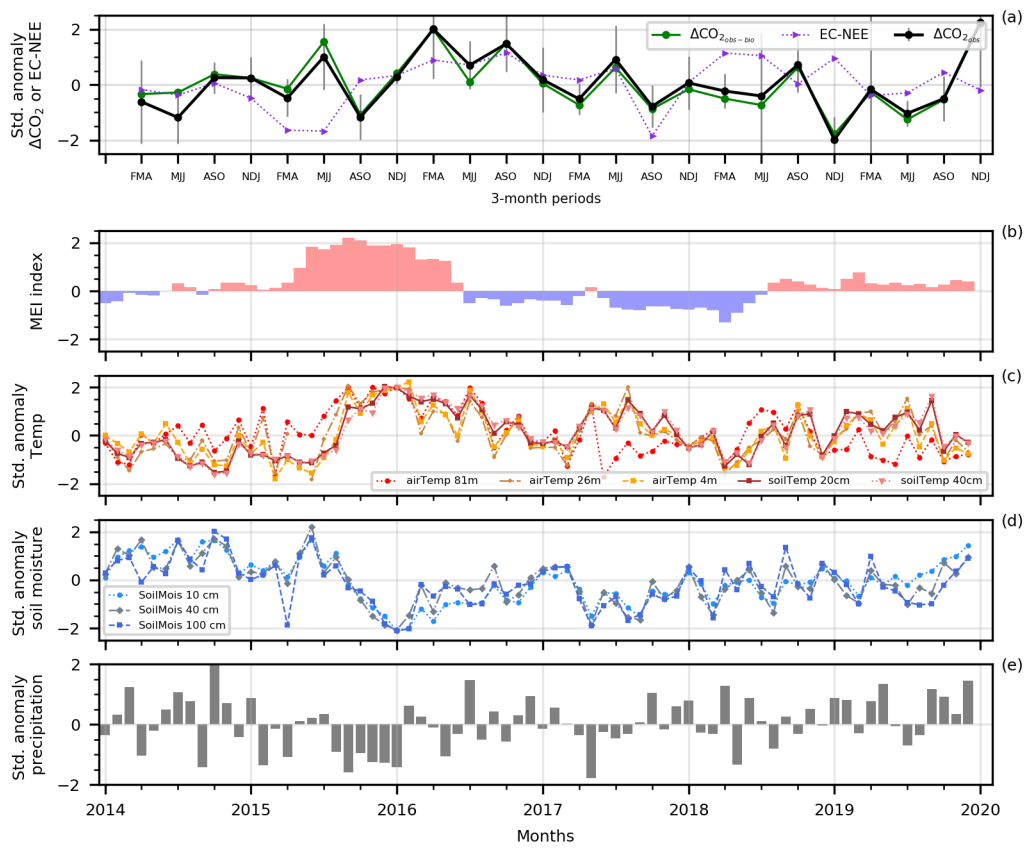




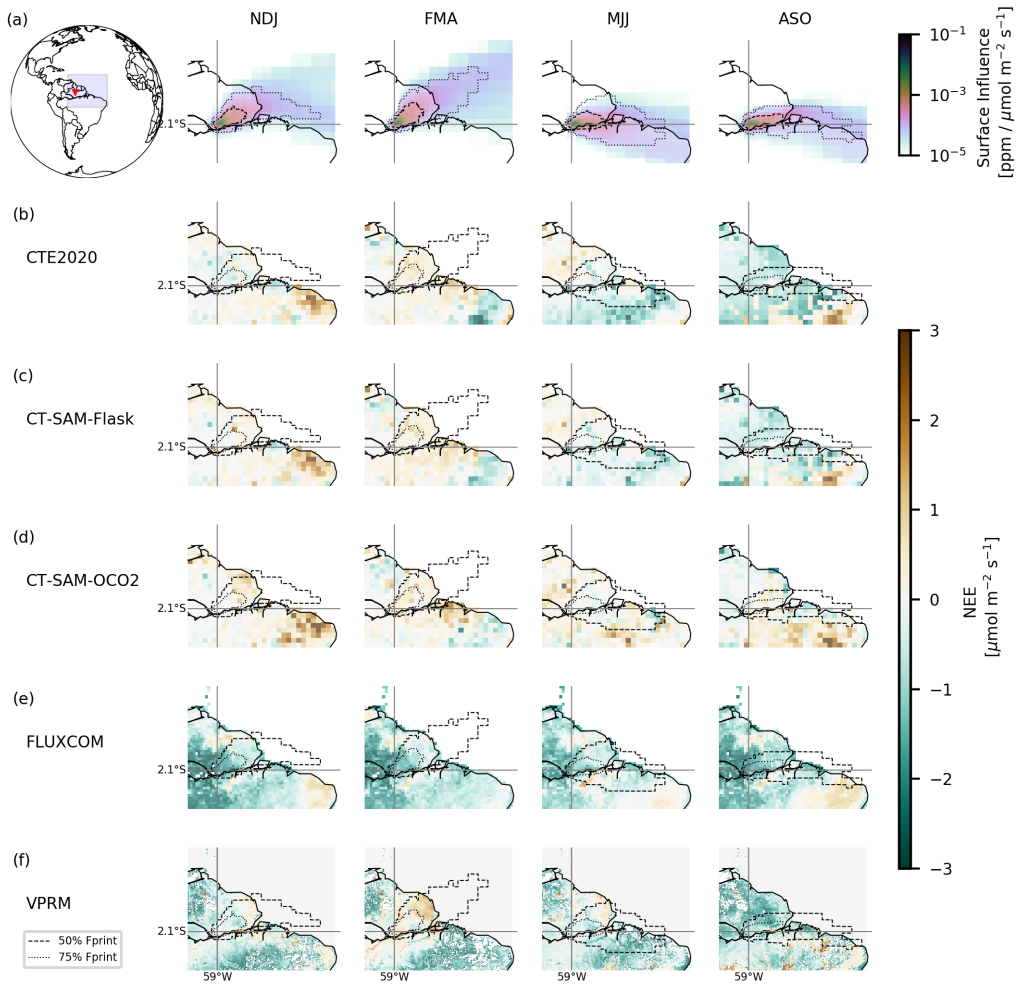
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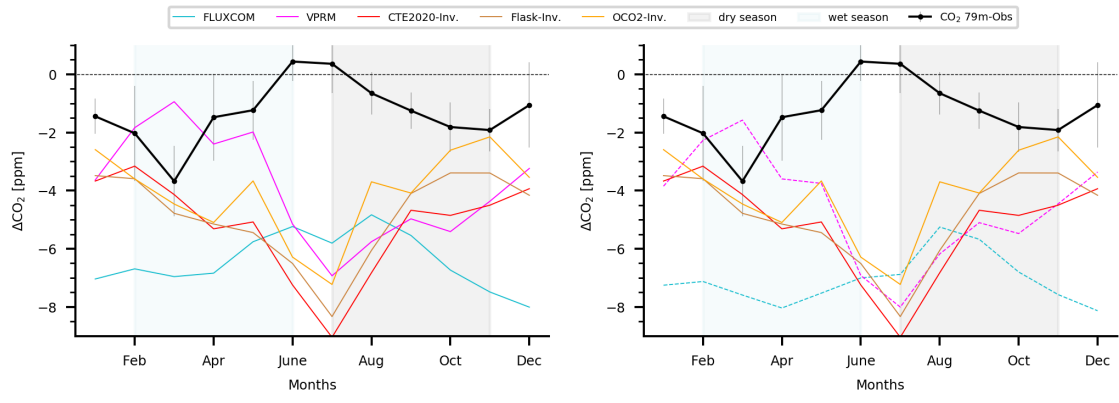
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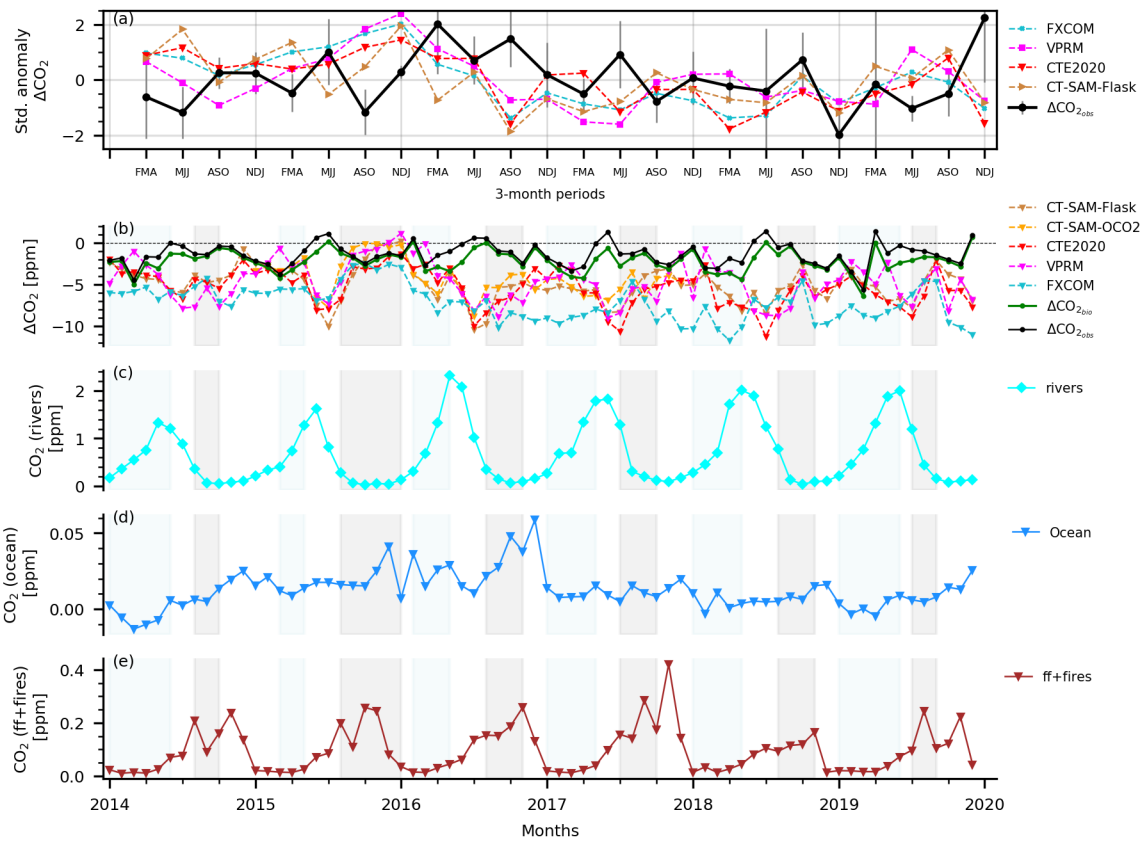
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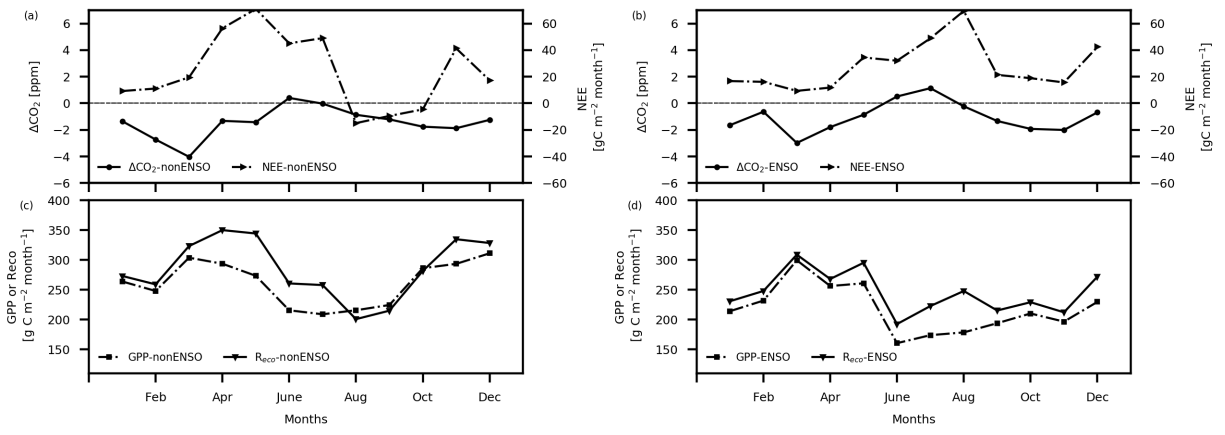
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