

1 **Gyrokinetic simulations of electric current generation in ion temperature gradient driven**  
2 **turbulence**

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12 Gyrokinetic simulations in the collisionless limit demonstrate the physical mechanisms and the  
13 amplitude of the current driven by turbulence. Simulation results show the spatio-temporal  
14 variation of the turbulence driven current and its connection to the divergence of the Reynolds  
15 stress and the turbulence acceleration. Fine structures (a few ion Larmor radii) of the turbu-  
16 lence induced current are observed near the rational surfaces with the arbitrary wavelength  
17 solver of the quasi-neutrality equation. The divergence of the Reynolds stress plays a major  
18 role in the generation of these fine structures. The so-called “spontaneous” current is featured  
19 with large local magnitude near the rational surfaces.

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## I. INTRODUCTION

Non-inductive current is essential to magnetic fusion experiments, such as neutral beam injection current drive, lower hybrid current drive, electron cyclotron current drive and bootstrap current. Compared with the external current drive, the bootstrap current is driven by the radial density and temperature gradient, and thus is more economical.<sup>1,2</sup> However, bootstrap current brings in Magnetohydrodynamic (MHD) instabilities such as neoclassical tearing modes (NTMs). Besides the bootstrap current, the turbulence driven current is also a kind of intrinsic current in tokamak plasma. Turbulence is widely observed in tokamak operations. It affects the electron parallel momentum transport through different mechanisms such as the electron momentum flux and the electron-ion momentum exchange. The spontaneous variation of electron momentum provides a current source which corrugates the current density profile and has significant impacts on the generation of the plasma self-driven mean current.<sup>3,4</sup> The current induced by turbulence affects the MHD instabilities which can provide a way to study the interaction between the turbulence and the MHD instabilities. For example, this spontaneous current can enhance or reduce the onset threshold of NTMs depending on the direction of the turbulence intensity gradient.<sup>5</sup>

The current driven by turbulence has been discussed in detail using the analytical and numerical approaches.<sup>3,4,6-10</sup> It has been shown by S. -I. Itoh and K. Itoh firstly with a slab model.<sup>6</sup> Drift-wave fluctuations drive a net current when  $\langle k_{\parallel} \rangle$  is finite, where  $\langle k_{\parallel} \rangle$  is the averaged parallel wave number over the spectrum. Hinton proposed that turbulence drives current by providing a dynamo electromotive force (EMF).<sup>7</sup> EMF is mainly produced by two mechanisms. One is the divergence of the electron parallel momentum flux which is produced by the magnetic flutter, and the other is the beating of the parallel electric field fluctuations with the electron density fluctuations.<sup>7</sup> In the electrostatic turbulence, three mechanisms of the turbulence driven current have been analyzed by McDevitt,<sup>3</sup> namely, (1) the electron residual stress, which causes a current redistribution, (2) the turbulent acceleration, which is the momentum exchange between ions and electrons and causes a net current, (3) the turbulence induced resonant electron scattering, which leads to the equilibrium between the trapped and passing electrons,<sup>11</sup> similar to the mechanism of the bootstrap current.<sup>1,2</sup> Electromagnetic simulations show that the dynamo current density has spike structures near the rational surface.<sup>7</sup> The amplitude of the spike structures is about 150% of the Ohmic current density and the total current is about 1% of Ohmic current for DIII-D parameters. A direct calculation of electron and ion current generation by ITG and CTEM turbulence was reported in early global

51 GK simulations.<sup>12</sup> The connection between the electron flow generation and the residual stress and  
52 the turbulence acceleration is discussed by Wang.<sup>4</sup> The electrostatic studies by Yi<sup>9</sup> show that the  
53 net current induced by electron temperature gradient turbulence is approximately 20% of the local  
54 bootstrap current density. Most previous simulations of the current flow generation in tokamak  
55 plasmas are based on the long wave-length approximation in the quasi-neutrality equation, and the  
56 fine structures of the turbulence driven current have not been thoroughly investigated. In this work,  
57 the fine structures of the mode<sup>13,14</sup> near the rational surfaces are observed using the gyrokinetic field  
58 solver.<sup>15,16</sup> These spike structures of the current are investigated with the gyrokinetic simulation in  
59 electrostatic ITG turbulence.

60 The gyrokinetic code GEM<sup>15,17</sup> has been used to study the ITG turbulence induced current in  
61 the collisionless limit. The electron momentum flux and turbulent electron-ion momentum exchange  
62 have been analyzed for identifying the current driven mechanisms, to draw the connection with the  
63 theoretical analyses.<sup>3</sup> The remainder of this paper is organized as follows. In Sec. II, numerical tools  
64 and the parameters are described in detail. In Sec. III, physical mechanisms of the turbulence driven  
65 current and the results of multiple mode simulations are discussed. Finally, the paper is concluded  
66 in Sec. IV.

## 67 II. SIMULATION MODEL AND EMPLOYED PARAMETERS

### 68 A. Simulation model

69 This work is carried out by using the simulation code GEM.<sup>15,17</sup> GEM is a  $\delta f$  gyrokinetic Particle-  
70 in-cell code for the study of low-frequency phenomenon such as the micro-turbulence and energetic  
71 particle driven Alfvén modes in tokamak plasmas. It solves the gyrokinetic Vlasov-Maxwell equations  
72 with gyrokinetic ions and drift-kinetic electrons, and neglects the parallel magnetic perturbations.  
73 In the  $\delta f$ -PIC method, the perturbed distribution is sampled by the marker particles in the 5D  
74 phase space. GEM evolves the orbit of marker particles in the Lagrangian frame and calculates the  
75 weight  $w = \delta f/f$  at each time step. The charge and the current density are calculated using the  
76 weight and the coordinates of marker particles, and are used to solve the quasi-neutrality and Ampère  
77 equations. In the quasi-neutrality equation  $-q_i n_p = q_i \overline{\delta n_i} - e \delta n_e$ , the electric potential  $\phi$  is calculated  
78 with arbitrary wavelength solver  $n_p \propto \sum_{k_\perp} e^{ik_\perp \cdot x} \phi_{k_\perp} [1 - \Gamma_0(b)]$ ,<sup>15</sup> where  $b = k_\perp^2 v_T^2 / \Omega_i^2$  denotes the  
79 ion finite Larmor radius effects,  $\Gamma$  is the Gamma function,  $\phi_{k_\perp}$  represents the perpendicular Fourier

80 components of  $\phi$ ,  $q_i$  is the ion charge,  $n_p$  is the polarization density,  $\overline{\delta n_i}$  is the perturbed density of  
81 guiding centers and  $\delta n_e$  is the perturbed density of electron guiding centers. The perturbed electron  
82 distribution function  $\delta f_e$  is split into the adiabatic and non-adiabatic parts<sup>15,18</sup> for treating the fast  
83 parallel dynamics of electrons,

$$\delta f_e = \epsilon_g \frac{e\delta\phi}{T_e} f_{0e} + \delta h_e, \quad (1)$$

84 where  $\epsilon_g$  is an adjustable parameter,  $ef_{0e}\epsilon_g\delta\phi/T_e$  and  $\delta h_e$  are the adiabatic part and non-adiabatic  
85 part of  $\delta f_e$ . GEM uses the parallel canonical momentum as a velocity coordinate  $p_{\parallel} = v_{\parallel} + q/m \langle A_{\parallel} \rangle$ ,  
86 where  $v_{\parallel}$  is the parallel guiding center velocity,  $A_{\parallel}$  is the fluctuating parallel vector potential,  $\langle \dots \rangle$   
87 represents the gyro-average. The field-aligned coordinates  $(x, y, z)$  are used to push the marker  
88 particles,

$$x = r - r_c, y = \frac{r_c}{q_c} \left( \int_0^{\theta} \hat{q}(r, \theta') d\theta' - \zeta \right), z = q_c R_0 \theta \quad (2)$$

89 where,  $\hat{q}(r, \theta) = \mathbf{B} \cdot \nabla \zeta / \mathbf{B} \cdot \nabla \theta$ ,  $(r, \theta, \zeta)$  denote the minor radius, the poloidal angle and the toroidal  
90 angle respectively,  $r_c$  and  $q_c$  are the minor radius and safety factor at the center of the simulation  
91 domain.

## 92 B. Parameters setting and benchmark

93 In the following simulations, the DIII-D Cyclone Base Case (CBC)<sup>19</sup> parameters are adopted.  
94 The parameters are the same as those in a previous benchmark work.<sup>20</sup> The deuterium ( $m_i/m_p = 2$ )  
95 is the only ion species and the kinetic electrons with an electron mass of  $m_e/m_p = 1/1837$  are  
96 considered. The concentric circular magnetic equilibrium with inverse aspect ratio  $a/R_0 = 0.36$  is  
97 adopted for simplicity. The safety factor profile is<sup>20</sup>  $q(r) = 2.52(r/a)^2 - 0.16(r/a) + 0.86$ , where  $a$   
98 and  $R_0$  denote the minor and major radius,  $r$  is the local minor radius and the magnetic shear is  
99 defined as  $\hat{s} = d \ln q / d \ln r$ . The equilibrium density and temperature profiles are indicated by  $A(r)$   
100 and the normalized logarithmic gradients are defined as  $L_{\text{ref}}/L_A$

$$\frac{A(r)}{A(r_0)} = \exp \left[ -\kappa_A w_A \frac{a}{L_{\text{ref}}} \tanh \left( \frac{r - r_0}{w_A a} \right) \right] , \quad (3)$$

$$\frac{L_{\text{ref}}}{L_A} = -L_{\text{ref}} \frac{d \ln A(r)}{dr} = \kappa_A \cosh^{-2} \left( \frac{r - r_0}{w_A a} \right) , \quad (4)$$

101 where  $L_{\text{ref}}$  is the macroscopic reference length which is equal to  $R_0$  in the following, and  $L_A =$   
 102  $-(d \ln A(r)/dr)^{-1}$ . At the reference radius  $r_0$  ( $r_0/a = 0.5$ ), density and temperature profiles have a  
 103 peaked normalized logarithmic gradient with the characteristic width  $w_A$  and the maximum ampli-  
 104 tude  $\kappa_A$ . Other reference parameters are listed in Table I. The dimensionless parameter  $\rho^* = \rho_s/a =$   
 105  $(c_s/\Omega_i)/a$  is approximately 1/180, where  $c_s = \sqrt{T_{\text{ref}}/m_i}$  is the ion sound speed and  $\Omega_i = eB_0/m_i$   
 106 is the ion cyclotron frequency at the magnetic axis and  $B_0$  is the toroidal magnetic field on axis.  
 107  $\beta_e$  is calculated according to  $\beta_e = n_e T_e / (B_0^2 / 2\mu_0)$  and scanned by varying  $n_e$ , where  $n_e$  and  $T_e$   
 108 are the electron density and temperature taken at  $r_0$  respectively. The  $\beta_e$  scan of GEM with fixed  
 109 toroidal mode number ( $n = 19$ ) is performed in Ref. 21. The linear frequency and growth rate of  
 110 the ITG modes and KBMs are very close to the GENE results in the range of  $\beta_e < 2.5\%$ . In the  
 111 following studies of the current generation, we focus on the ITG turbulence in the electrostatic limit  
 112 ( $\beta_e = 0.1\%$  by default except clarified) and the electromagnetic effects will be studied in future work.

TABLE I. Parameters of Cyclone Base Case,  $R_0$  and  $B_0$  denote major radius and magnetic field on the magnetic axis,  $\nu_{\text{coll}} = 0$  represents the collisionless simulation in this work.

$R_0$	1.67m
$B_0$	2.0T
$T_i(r_0) = T_e(r_0) = T_{\text{ref}}$	2.14 keV
$\kappa_{Ti} = \kappa_{Te}$	6.96
$w_{Ti} = w_{Te}$	0.3
$n_i(r_0) = n_e(r_0) = n_{\text{ref}}$	$4.66 \times 10^{19} m^{-3}$
$\kappa_{ni} = \kappa_{ne}$	2.23
$w_{ni} = w_{ne}$	0.3
$\nu_{\text{coll}}$	0
$q(r_0)$	1.4
$\rho^* = \rho_s/a$	$5.56 \times 10^{-3}$

### 113 III. TURBULENCE DRIVEN CURRENT MECHANISMS AND SIMULATION 114 RESULTS

#### 115 A. Turbulence driven current mechanisms

116 Turbulence has effects on the electron parallel momentum transport and drives the so-called  
117 “spontaneous” current.<sup>9</sup> In the collisionless and electrostatic limit, the electron parallel momentum  
118 equation<sup>3,22</sup> can be written as

$$\frac{\partial}{\partial t} j_{\parallel e} = -\frac{q_e}{m_e} \nabla \cdot \Pi_{\parallel e} - \frac{q_e}{m_e} M_{\parallel e} , \quad (5)$$

119 where  $j_{\parallel e} = -e \int d^3 v v_{\parallel} \delta f_e$  is the parallel electron current produced by turbulence,  $\Pi_{\parallel e}$  is the electron  
120 parallel momentum flux and  $M_{\parallel e}$  denotes the turbulence acceleration. Equation (5) demonstrates  
121 that the variation of turbulence driven current is produced by the divergence of Reynolds stress and  
122 the turbulence acceleration. In the electrostatic limit, the electron parallel momentum flux  $\Pi_{\parallel e}$  can  
123 be expressed as

$$\Pi_{\parallel e} = m_e \Re \left\langle \overline{\int d^3 v v_{\parallel} \delta f_e \delta \mathbf{v}_{EB} \cdot \hat{\mathbf{r}}} \right\rangle_{FS} , \quad (6)$$

124 where  $(\overline{\cdots})$  is the temporal average and  $\langle \cdots \rangle_{FS} = \iint (\cdots) \mathcal{J} d\theta d\zeta / \iint \mathcal{J} d\theta d\zeta$  represents the flux  
125 surface average.  $\delta f_e$  is the perturbed electron distribution function,  $v_{\parallel}$  is the electron parallel velocity,  
126  $\delta \mathbf{v}_{EB}$  denotes the  $\mathbf{E} \times \mathbf{B}$  drift velocity and  $\hat{\mathbf{r}}$  is the unit vector in radial direction. The second term of  
127 the right hand side of Eq. (5), the electron-ion momentum exchange  $M_{\parallel e}$ , can produce a net current  
128 by modifying the electron momentum. In the electrostatic limit, it is given by

$$M_{\parallel e} = q_e \left\langle \overline{\int d^3 v \delta f_e \hat{\mathbf{b}} \cdot \nabla \delta \phi} \right\rangle_{FS} , \quad (7)$$

129 where  $\hat{\mathbf{b}} = \mathbf{B}/|\mathbf{B}|$  and  $\delta \phi$  is the perturbed electric potential.

## 130 B. Simulation results and analyses

131 In the following simulations, a simplified model with single ITG mode ( $n = 20$ ) and zonal mode  
 132 ( $n = 0$ ) are used, where the simulation domain is 1/20th of torus. The full dynamics of gyrokinetic  
 133 ions and drift-kinetic electrons are included with realistic electron-ion mass ratio. The grid numbers  
 134 for  $(x, y, z)$  are set as  $n_x = 256$ ,  $n_y = 64$  and  $n_z = 64$  respectively. Convergence studies have been  
 135 done by comparing cases with  $n_x = 256, 384, 512$  and good convergence has been observed when  
 136  $n_x \geq 256$ . The time steps considered is  $\Delta t = 1/\Omega_p$ , where  $\Omega_p$  is the proton cyclotron frequency  
 137  $\Omega_p = eB_0/m_p$ . The marker number per cell is 32 for both ions and electrons.

### 138 1. Time evolution of the physical quantities

139 To understand the mechanism of turbulence driven current, it is necessary to consider the time  
 140 evolution of the physical quantities in Eq. (5). Since  $\delta F_e \propto \delta\phi$  and  $\delta \mathbf{v}_{EB} = \hat{\mathbf{b}} \times \nabla \delta\phi / B \propto \delta\phi$ , it  
 141 is expected that  $\{I, q_e/m_e \nabla \cdot \Pi_{\parallel e}, q_e/m_e M_{\parallel e}\}$  are proportional to  $\delta\phi^2$  and grow up at about twice  
 142 ITG growth rate in the linear stage before the saturation in nonlinear regimes. Here,  $I$  denotes the  
 143 flux surface averaged turbulence intensity  $I = \langle \delta\phi^2 \rangle_{FS}$ . In Fig. 1, physical quantities evolve with  
 144 time near the rational surface ( $q(r) = 1.3$ ) where the turbulence signal is strong. The blue line  
 145 represents  $\partial \delta j_{\parallel e} / \partial t$ , the yellow line is the turbulent intensity  $I$ , the green line denotes  $q_e/m_e \nabla \cdot \Pi_{\parallel e}$ ,  
 146 the red line is  $q_e/m_e M_{\parallel e}$  and the black lines are their exponential fitting in the linear stage. They grow  
 147 exponentially at almost the same growth rate in linear stage, and reach saturation after  $t = 18R_0/c_s$ .

### 148 2. Spatial structures of $\Pi_{\parallel e}$ and $M_{\parallel e}$ in the linear stage

149 In this section, the radial profile of  $\Pi_{\parallel e}$  and  $M_{\parallel e}$  are discussed. With electrostatic approximation,  
 150 the electron parallel momentum flux can be written as<sup>3,8,23</sup>

$$\Pi_{\parallel e} = -\chi_\phi \frac{\partial \bar{v}_{\parallel e}}{\partial r} + V \bar{v}_{\parallel e} + \pi_{\parallel e} \quad , \quad (8)$$

151 where  $\bar{v}_{\parallel e}$  is the mean electron parallel flow velocity. The first term on the right hand side is the  
 152 electron viscosity with  $\chi_\phi$  being the turbulent viscosity coefficient, the second term is a pinch of  
 153 electron momentum with  $V$  being the coefficient of the electron momentum pinch and the last term

154 is regarded as the electron residual stress which is independent of parallel flow and its gradient. In  
 155 our particle simulation with Maxwellian electron distribution as the initial condition, the first and  
 156 second terms do not contribute to the  $v_{\parallel e}$  generation at the beginning, and the residual stress  $\pi_{\parallel e}$   
 157 is the key to initial the  $v_{\parallel e}$  generation due to turbulence. The turbulence intensity gradient induced  
 158 residual stress has been studied in the ion rotation problems,<sup>24,25</sup> and we analyze the variable  $\partial I/\partial r$   
 159 to identify its contribution to the generation of electron residual stress ( $\Pi_{\parallel e} \sim \pi_{\parallel e}$ ).

160 For the cyclone base case studied here, there is no up-down asymmetry in the equilibrium,<sup>26</sup>  
 161 and there is no equilibrium flow shear effects.<sup>27</sup> During the linear stage, residual stress is mainly  
 162 produced by symmetry breaking mechanisms such as the intensity gradient mechanism and the  
 163 poloidal tilt of the global mode structure. Formally, the residual stress can be described as  $\pi_{\parallel e} =$   
 164  $\alpha \hat{s} \partial I / \partial r + \pi_{\parallel e}^{tilt}$ ,<sup>24,28-30</sup> where  $\alpha$  is a coefficient determined by the equilibrium parameters and the  
 165 fluctuation properties, and  $\pi_{\parallel e}^{tilt}$  is the residual stress induced by the profile shearing effects, related  
 166 to the “tilting angle” of the two dimensional mode structure. The gradient of turbulence intensity  
 167 breaks the symmetry property of the parallel mode structure and leads to the net residual stress.<sup>24,30</sup>  
 168 Figure 2(a) and Fig. 2(b) are the structures of turbulence intensity and its radial gradient in linear  
 169 stage. GEM solves the quasi-neutrality equation with arbitrary wavelength solver,<sup>15,16</sup> and the fine  
 170 structures near the rational surface are captured. In addition, drift kinetic electrons with realistic  
 171 mass ratio are included in the simulations. Thus the resonance between the ITG mode and the fast  
 172 moving electrons near the rational surface is included in the simulations, which can be an important  
 173 ingredient of the fine structures generation. Figure 2(b) and Fig. 2(c) show that the turbulence  
 174 intensity gradient and electron residual stress have odd parity and increase sharply near the rational  
 175 surface. The blue line of Fig. 2(c) and Fig. 2(d) are the radial profile of the Reynolds stress  $\Pi_{\parallel e}$  and  
 176 its divergence  $a \nabla \cdot \Pi_{\parallel e}$ . Along the radial direction,  $\Pi_{\parallel e}$  increases sharply near the rational surface  
 177 and decreases more slowly between two rational surface. Near the rational surface, the magnitude  
 178 of  $a \nabla \cdot \Pi_{\parallel e}$  is about 170 times of the variation of  $\Pi_{\parallel e}$ . Since  $a \nabla \cdot \Pi_{\parallel e} \sim a/L_{\Pi} \Pi_{\parallel e}$ , it is obtained that  
 179  $\Pi_{\parallel e}/(a \nabla \cdot \Pi_{\parallel e}) \sim L_{\Pi}/a \sim 1/170$ , where  $L_{\Pi}$  denotes the characteristic length of  $\Pi_{\parallel e}$  near the rational  
 180 surface. The characteristic length of  $\Pi_{\parallel e}$  ( $L_{\Pi}$ ) is the scale of the ion Larmor radius ( $\rho_i$ ) near the  
 181 rational surface. Hence  $\nabla \cdot \Pi_{\parallel e}$  has fine structures in the vicinity of the rational surface. The width of  
 182 the fine structures is close to  $\rho_i$ , which is consistent with the theoretical analyses of previous work.<sup>7</sup>  
 183 Besides these, the fine structures of  $\nabla \cdot \Pi_{\parallel e}$  broaden slightly with time as Fig. 3 shows.

184 Residual stress redistributes the profile of electron parallel momentum but does not change the



total electron parallel momentum. The turbulent acceleration term describes the turbulence induced momentum exchange between ions and electrons<sup>3</sup> and acts as a local source or sink.<sup>31</sup> Therefore, its effects on turbulence driven current are meaningful and potentially important. In Fig. 2(d), the blue line is the divergence of Reynolds stress ( $\nabla \cdot \Pi_{\parallel e}$ ) and the red line denotes the turbulence acceleration ( $M_{\parallel e}$ ).  $aM_{\parallel e}$  is much smaller than  $a\nabla \cdot \Pi_{\parallel e}$  which shows that the ITG turbulence induced current is mainly produced by the divergence of electron Reynolds stress in linear stage.

### 3. Analyses of the current generation mechanism due to $\nabla \cdot \Pi_{\parallel e}$ and $M_{\parallel e}$

The dominant mechanism of the turbulence driven current is identified by analyzing the contributions from  $\nabla \cdot \Pi_{\parallel e}$  and  $M_{\parallel e}$ . Figures 4(a), 4(b) and 4(c) show the linear radial structures of the divergence of electron parallel momentum flux  $\nabla \cdot \Pi_{\parallel e}$ , the electron-ion momentum exchange  $M_{\parallel e}$  and the time variation of turbulence driven current  $\partial j_{\parallel e}/\partial t$  in  $r/a \in [0.3, 0.65]$ . The fine structures of  $\nabla \cdot \Pi_{\parallel e}$ ,  $M_{\parallel e}$  and  $\partial j_{\parallel e}/\partial t$  centered around the rational surface are evident. The radial scale of the fine structures is about  $\rho_i$  which is discussed above. For  $r/a < 0.47$ ,  $M_{\parallel e}$  is positive and for  $r/a > 0.47$ ,  $M_{\parallel e}$  is mainly negative except that it is near the rational surface. The divergence of Reynolds stress has a large value on the rational surface and its contribution to  $\partial j_{\parallel e}/\partial t$  is much larger than that from  $M_{\parallel e}$ . Figure 4 (d) shows the quantitative comparison of the left and right hand side terms of Eq. (5). Near the rational surface,  $-q_e/m_e (\nabla \cdot \Pi_{\parallel e} + M_{\parallel e})$  (blue line) and  $\partial j_{\parallel e}/\partial t$  (red line) have similar spike structures and the same magnitude in linear regime. The ratio between  $-q_e/m_e (\nabla \cdot \Pi_{\parallel e} + M_{\parallel e})$  and  $\partial j_{\parallel e}/\partial t$  (at  $r/a = 0.43$ ) fluctuates and increases gradually around 1 as Fig. 5 shows. These indicate that the time variation of the intrinsic current is mainly produced by  $\nabla \cdot \Pi_{\parallel e}$  and  $M_{\parallel e}$ .

Besides the linear analyses, nonlinear behaviors are studied in the following, by calculating the correlations among different variables. The correlation coefficient between two profiles at the same time is expressed as follows:

$$C[A, B] = \frac{\sum_i [A(r_i) - \bar{A}][B(r_i) - \bar{B}]}{\sqrt{\sum_i [A(r_i) - \bar{A}]^2 \sum_i [B(r_i) - \bar{B}]^2}} . \quad (9)$$

$\bar{A}$  and  $\bar{B}$  are the mean value over  $r$  where the fluctuations are more intensive. The closer the absolute value of the coefficient is to 1, the stronger the correlation between A and B is. The coefficient less

211 than 0 indicates that A and B are negatively correlated. Figure 6 shows the correlations among  
 212 the time variation of the turbulence induced current ( $\partial j_{\parallel e}/\partial t$ ), the divergence of the Reynolds stress  
 213 ( $\nabla \cdot \Pi_{\parallel e}$ ), the turbulence acceleration ( $M_{\parallel e}$ ), the turbulence intensity gradient ( $\partial I/\partial r$ ) and the second  
 214 derivative of the turbulence intensity ( $\partial^2 I/\partial r^2$ ). For the current generation problem in this work,  
 215 significant correlation between the  $\nabla \cdot \Pi_{\parallel e}$  and  $\partial j_{\parallel e}/\partial t$  has been observed as shown by the blue line  
 216 in Fig. 6. From Eq. (5), in collisionless limit, turbulence induced current is contributed by  $\nabla \cdot \Pi_{\parallel e}$   
 217 and  $M_{\parallel e}$ . Due to the fast variation of  $\Pi_{\parallel e}$  ( $L_{\Pi} \sim \rho_i$ ) near the rational surface, the divergence of  $\Pi_{\parallel e}$   
 218 is much greater than  $M_{\parallel e}$ . Hence, it is expected that  $\partial j_{\parallel e}/\partial t$  is mainly produced by  $\nabla \cdot \Pi_{\parallel e}$ , which is  
 219 consistent with the low correlation between  $M_{\parallel e}$  and  $\partial j_{\parallel e}/\partial t$  as indicated by the red line. In previous  
 220 work<sup>24,25,27,28,30,32</sup> of the ion toroidal intrinsic rotation of thermal ions, it is demonstrated that the  
 221 residual stress can be produced by the turbulence intensity gradient and the mode structure 'tilting'  
 222 effect. In Fig. 6, from  $t = 7000t_u$  to  $t = 15000t_u$ , the correlation between  $\Pi_{\parallel e}$  and  $\partial I/\partial r$  (yellow line)  
 223 indicates that a significant portion of  $\Pi_{\parallel e}$  is due to the turbulent intensity gradient in linear stage.  
 224 After the saturation of the ITG modes, the correlation  $C[\Pi_{\parallel e}, \partial I/\partial r]$  becomes lower. Because the  
 225 turbulence intensity gradient decreases as nonlinear turbulence spreading occurs, other symmetry  
 226 breaking mechanism become important, such as the symmetry breaking effects due to the zonal  
 227 flow shear.<sup>27</sup> The strong correlation between  $\partial j_{\parallel e}/\partial t$  and  $\partial^2 I/\partial r^2$  (purple line) suggests that the  
 228 curvature (second derivative) of the turbulence intensity significantly contributes to the generation  
 229 of the spontaneous current. Note that even in the linear stage, the correlation varies along time. One  
 230 possible reason is the time variation of the radial width of the fine structures in  $\delta\phi$ . In the early  
 231 linear stage, the width of the fine structure is smaller than that in later stage and thus, the turbulent  
 232 viscosity can mitigate the generation of the parallel momentum flux more significantly.

233 The generation of the electron parallel momentum flux  $\Pi_{\parallel e}$  is related to the symmetry breaking  
 234 of the mode structures.<sup>24,30,33</sup> With  $\delta \mathbf{v}_{EB} = \hat{\mathbf{b}} \times \nabla \delta\phi/B$ ,  $\Pi_{\parallel e}$  can be expressed as  $\Pi_{\parallel e} \propto \langle k_{\parallel} \delta\phi_k^2 \rangle$ .  
 235  $\langle k_{\parallel} \delta\phi_k^2 \rangle$  denotes the spectrum weighted parallel wave vector, and is produced by the gradient of  
 236 the turbulence intensity envelope.<sup>24</sup> Hence, to ignore the effects of the fine structures on  $\langle k_{\parallel} \delta\phi_k^2 \rangle$ ,  
 237 the window average method are used. For a radial profile  $G(r)$ ,  $\langle G \rangle_{\text{win}}$  represents its large scale  
 238 structure computed by window average. The width of the windows are about the distance between  
 239 the rational surface ( $\sim 1/nq'$ ). Figure 7 indicates the correlation of the large scale structure between  
 240  $\Pi_{\parallel e}$  and  $\langle k_{\parallel} \delta\phi_k^2 \rangle$  versus time. The strong correlation between  $\langle \Pi_{\parallel e} \rangle_{\text{win}}$  and  $\langle k_{\parallel} \rangle_{\text{win}}$  in linear stage  
 241 shows that the averaged  $k_{\parallel}$  symmetry breaking leads to the net electron momentum flux. In nonlinear

stage, the effects of the symmetry breaking produced by the up-down asymmetry of the magnetic equilibrium,<sup>26</sup> profile shearing<sup>28</sup> and zonal flow shear<sup>27</sup> are also important. Hence the correlation between  $\langle \Pi_{\parallel e} \rangle_{\text{win}}$  and  $\langle k_{\parallel} \rangle_{\text{win}}$  decreases. In this work, we have closely followed the equations in the theoretical derivation<sup>3</sup> for diagnosis and more comprehensive analyses with the consideration of nonlinear dynamics such as the ZFs rely on the further development in that model in the future.

#### 4. *Magnitude and scaling of current generation due to turbulence*

The simplified model included single ITG mode ( $n = 20$ ) and zonal mode ( $n = 0$ ) aims for demonstrating the underlying physics. However, this model is not accurate enough for predicting the amplitude of turbulence induced current. For example, the fine structures become less visible as more and more toroidal modes are included.<sup>13,14</sup> In this subsection, in order to understand the impact of turbulence induced current on the equilibrium current, multiple toroidal modes  $n$  are simulated. To suppress the TEM instabilities of CBC, the multiple- $n$  simulations are carried out by using the lower electron temperature gradient ( $\kappa_{Te} = 2.23$ ). Beside this, since we focus on the electrostatic ITG studies, a lower  $\beta$  value is chosen ( $\beta_e = 0.01\%$ ). From the toroidal mode number scan shown in Fig. 8, the most unstable mode is  $n = 25$  and when  $n \geq 50$ , the ITG modes are stable. For the multiple- $n$  simulations, it is cheaper to perform a “partial torus” instead of “full torus”. GEM simulations are normally performed in a “partial torus”. For example, a 1/2th “partial torus” ( $\Delta n = 2$ ) means that the simulations include modes  $n = 0, 2, 4, \dots$  only (in practical simulations, low- $n$  modes with  $0 < n < 5$  are filtered out, as the field solvers assume a high- $n$  approximation). We chose the maximum toroidal number  $n_{\text{max}} = 45$  for  $\Delta n = 15, 9, 5, 3$  and  $n_{\text{max}} = 44$  for  $\Delta n = 2$ . The convergence results are shown in Fig. 9. The turbulence time evolution is converged when  $\Delta n \leq 5$  as Fig. 9(a) shows.  $j_{rms} = \sqrt{\sum_i j_{\parallel e}^2(r_i) \cdot r_i / \sum_i r_i}$  is the root mean square of  $j_{\parallel e}$  (the annulus volume at each radial location is approximately  $\propto r$ ) which measures the magnitude of turbulence induced current. Figure 9(b) shows the root mean square of the spontaneous current  $j_{rms}$  in “partial torus” and “full torus”. It is converged to a fixed value when  $\Delta n \leq 5$ . The time averaged current profiles in Fig. 11 show that simulation results of 1/5th torus are a reasonable approximation of the “full torus”.

In linear stage, all modes exist and the unstable eigenmodes grow up from the initial noise. Nonlinearly, the most unstable mode is saturated firstly with the generation of zonal flows,<sup>34</sup> and the eigenmodes with lower growth rate subseque developed and saturated (Fig. 10).

272 The radial profiles of the time averaged spontaneous current over the saturation stage are shown  
 273 in Fig. 11. The blue line is the multiple toroidal mode simulation results which includes  $n =$   
 274  $0, 5, 10, \dots, 45$ , the red line includes  $n = 0, 6, 9, \dots, 45$ , the yellow line includes  $n = 0, 6, 8, \dots, 44$ ,  
 275 the purple line includes  $0, 5, 6, \dots, 45$  and the black line denotes the reference bootstrap current. The  
 276 radial profiles of these four cases are not exactly the same, but they all have peaks near the ratio-  
 277 nal mode surfaces  $q = 1(r/a = 0.270)$  and  $q = 2(r/a = 0.705)$ . The fine structures can be observed  
 278 clearly at the exact resonance surface  $q = 5/5, 6/5(r/a = 0.402), 9/5(r/a = 0.642), 10/5$  for the 1/5th  
 279 torus simulation,  $q = 3/3, 4/3, 5/3, 6/3$  for the 1/3th torus simulation,  $q = 2/2, 3/2(r/a = 0.537), 4/2$   
 280 for the half torus simulation and  $q = 1/1, 2/2$  for the “full torus” simulation. The ITG harmonic  
 281  $(n, m)$  is located at  $q(r) = m/n$  and the parallel wave number is  $k_{\parallel} = (m - nq(r))/qR_0$  which has  
 282 opposite direction at two sides of  $q(r) = m/n$ . The turbulence induced current is the total contribu-  
 283 tions of each harmonic. At the exact resonance surface, the resonant harmonic has much bigger net  
 284 contribution to the turbulence induced current and the contribution from all harmonics leads to the  
 285 net current profiles. For example, at the  $q = 4/3, 5/3$  surfaces, the fine structures can be observed  
 286 clearly only for the 1/3th torus cases, but are less significant for the 1/5th and 1/2th torus cases  
 287 since  $q = 4/3, 5/3$  are not their exact resonance surfaces. As more toroidal harmonics are included,  
 288 the contribution of harmonics on turbulence induced current is canceled partially and the current  
 289 fine structures become less visible for most rational mode surfaces.<sup>13,14</sup> The turbulence induced cur-  
 290 rent at  $q = 1, 2$  is not significantly reduced when more harmonics are included, because  $q = 1, 2$   
 291 surfaces are rational surfaces for each toroidal harmonic. The peaks corrugate the bootstrap current  
 292 profile, especially in the outer region  $r/a > 0.5$ . For this cyclone base case with  $\beta_e = 0.01\%$ , the  
 293 magnitude of the turbulence driven current ( $\Delta n = 1$ ) is about 1.4 times of the bootstrap current,  
 294 namely,  $j_{\parallel e}/j_{bs} \sim 1.4$  at  $r/a = 0.705$  ( $q = 2$ ).

295 In the low  $\beta$  limit, two cases with  $\beta_1 = 0.01\%$  and  $\beta_2 = 0.03\%$  are performed using 1/5th torus  
 296 (by varying density). The ITG growth rate<sup>20</sup> and the the magnitude of the turbulence intensity (as  
 297 shown in Fig. 12(a)) of these two cases are almost the same. The turbulence induced current is  
 298 simply proportional to the density as shown in Fig. 12(b) where the amplitude of normalized current  
 299  $j_{\parallel e}/en_{ref}c_s$  are similar. According to the amplitude of the turbulence induced current and bootstrap  
 300 current in the outer region ( $r/a > 0.5$ ) of Fig. 11, in the electrostatic limit, the turbulence induced  
 301 current also corrugates the bootstrap current density profile significantly at the resonance surface in  
 302 higher plasma density devices.

## 303 IV. CONCLUSIONS

304 In this work, the current driven by the ion temperature gradient turbulence has been studied  
305 using the gyrokinetic code GEM in the collisionless limit. The principal results of this work are  
306 summarized as follows:

- 307 (1) On account of the high charge-to-mass ratio of electrons, turbulence corrugates the current  
308 density profile through changing the electron parallel momentum transport by two mechanisms.  
309 One is the electron momentum flux  $\Pi_{\parallel e}$  and the other is the electron-ion momentum exchange  
310  $M_{\parallel e}$ .
- 311 (2) In linear stage,  $\Pi_{\parallel e}$  is proportional to the gradient of turbulence intensity. It traverses the  
312 rational surface on few  $\rho_i$  scale and  $\nabla \cdot \Pi_{\parallel e}$  has peaks at these surfaces.
- 313 (3) Radial profile and correlation analyses show that the divergence of  $\Pi_{\parallel e}$  has the dominant  
314 contribution to the current generation over  $M_{\parallel e}$  with the fine structure of  $\Pi_{\parallel e}$  in the vicinity  
315 of rational surface.
- 316 (4) The multiple mode simulation results show that the ITG turbulence driven current has peaks  
317 on the rational surface which corrugate the reference bootstrap current especially in the outer  
318 region. In electrostatic limit, the magnitude of turbulence induced current increase in higher  
319 plasma density tokamaks.

320 In this work, we focused on the electrostatic ITG modes, and the current driven by trapped  
321 electron modes (TEMs) also merits more effort in our future work. Previous work on ion intrinsic ro-  
322 tation demonstrates the rotation reversal as the turbulence changes from ITG modes to TEMs.<sup>25,28,35</sup>  
323 The current drive efficiency of TEMs can also be different considering its different mode structure  
324 and frequency properties compared with ITG modes. Beside the TEMs in the electrostatic limit, the  
325 electromagnetic effects or other electromagnetic modes such as KBMs, can also bring new features  
326 of the current drive and will be studied in our future works.

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## DATA AVAILABILITY

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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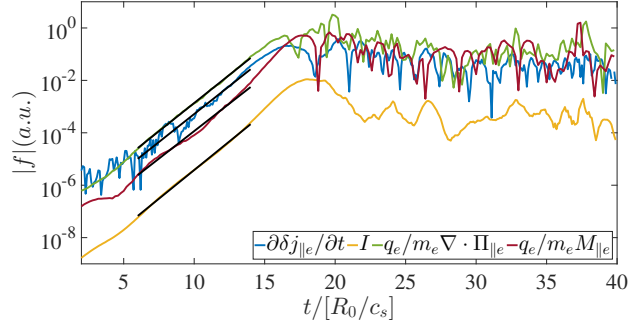


FIG. 1. The absolute value of physical quantities changes over time, the variation of current  $\partial j_{||e}/\partial t$  (blue line), turbulence intensity  $I$  (yellow line), the divergence of electron momentum flux  $\nabla \cdot \Pi_{||e}$  (green line) and electron-ion momentum exchange  $M_{||e}$  (red line) at fixed minor radius where  $q(r) = 1.3$ , for single mode ( $n = 0, 20$ ) and low  $\beta$  ( $\beta = 0.1\%$ ) simulation. After saturation,  $\partial j_{||e}/\partial t$  at a radial location changes its sign during its evolution.

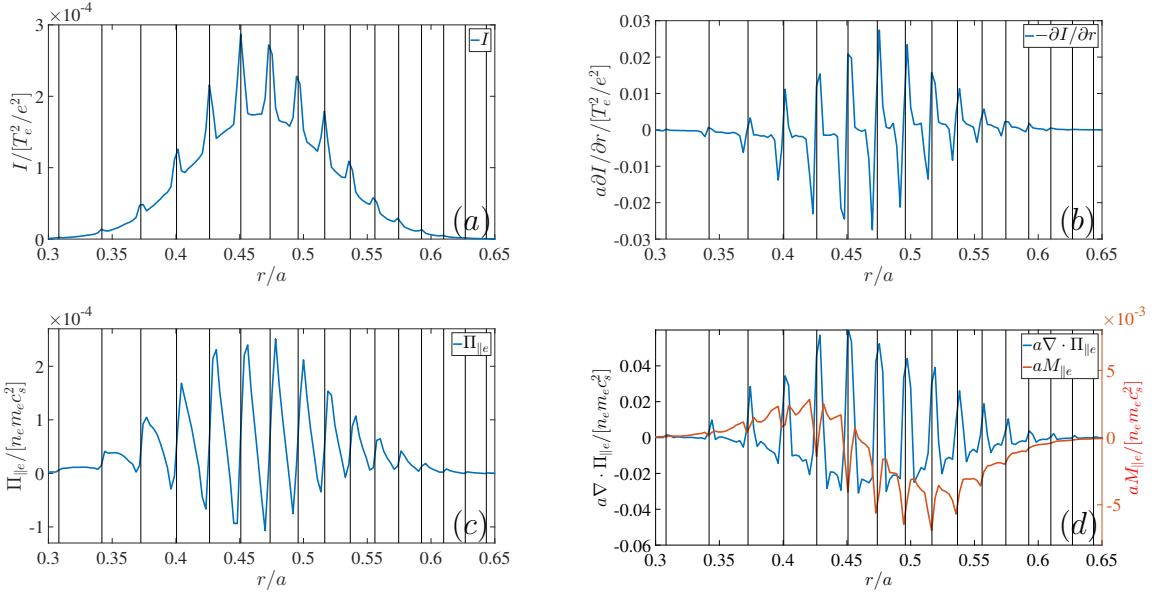


FIG. 2. Radial profile of fluctuation intensity  $I$  (a), the radial gradient of fluctuation intensity  $\partial I/\partial r$  (b), the electron momentum flux  $\Pi_{||e}$  (c), the divergence of electron momentum flux  $\nabla \cdot \Pi_{||e}$  (blue line) (d) and the turbulence induced electron ion momentum exchange  $M_{||e}$  (red line) (d) at  $t = 14R_0/c_s$ . The vertical black lines represent rational surfaces at  $r_s = m/n$  and  $m, n$  are poloidal and toroidal mode numbers.

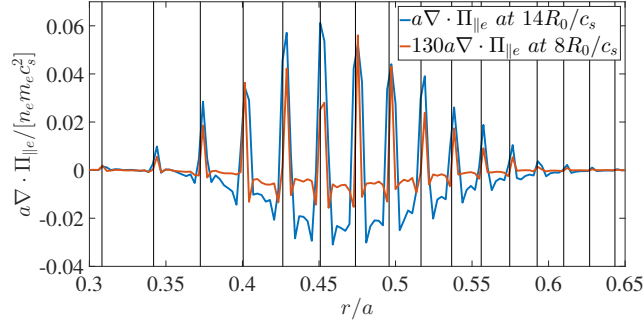


FIG. 3. Radial profile of the divergence of electron parallel momentum flux  $\nabla \cdot \Pi_{||e}$  at different times.

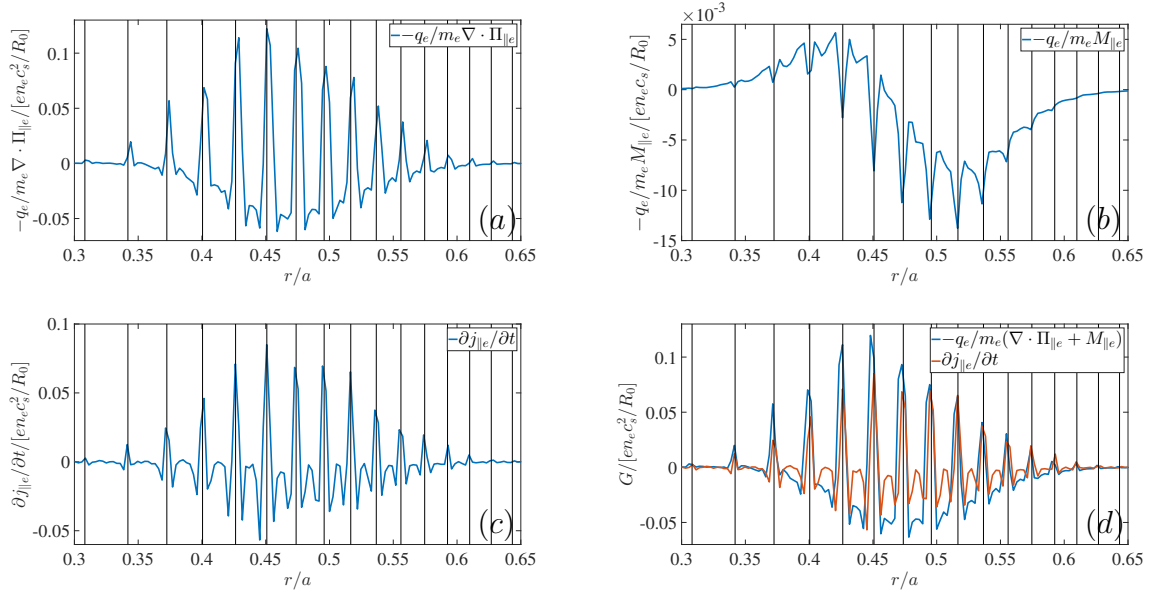


FIG. 4. Flux surface averaged results at  $t = 14R_0/c_s$ . (a) is the divergence of electron momentum flux  $\nabla \cdot \Pi_{||e}$ , (b) is the electron-ion momentum exchange  $M_{||}$ , (c) is the turbulence driven current variation with time  $\partial j_{||e}/\partial t$  and (d) denotes the comparison between the total contribution from the divergence of electron momentum flux and electron-ion momentum exchange (blue line) and the current variation of time (red line).

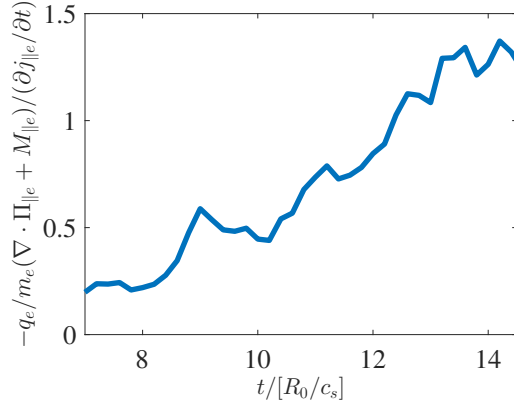


FIG. 5. Temporal evolution of the ratio between  $-q_e/m_e (\nabla \cdot \Pi_{||e} + M_{||e})$  and  $(\partial j_{||e}/\partial t)$  at the rational surface with  $q = 1.3$ .

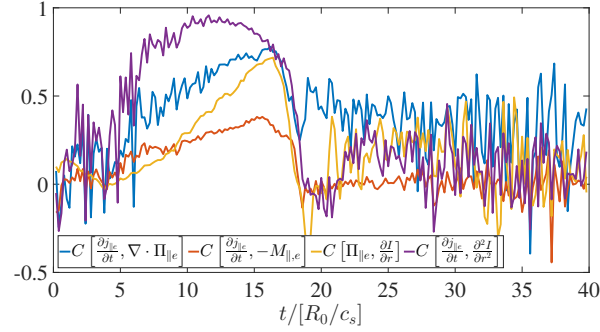


FIG. 6. The correlation among the variation of turbulence induced current  $\partial j_{||e}/\partial t$ , the divergence of electron momentum flux  $\nabla \cdot \Pi_{||e}$ , electron-ion momentum exchange  $M_{||e}$ , the turbulence intensity gradient  $\partial I/\partial r$  and the radial second-order derivative of turbulence intensity  $\partial^2 I/\partial r^2$ .

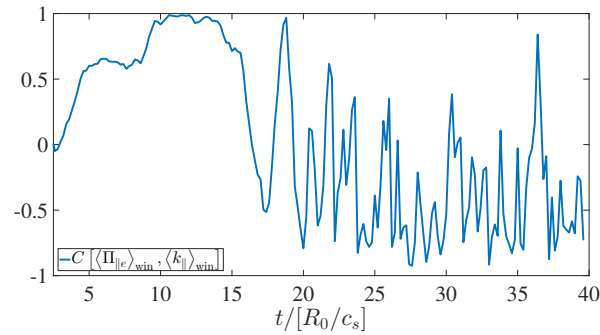


FIG. 7. The correlation of the windows average results between the electron momentum flux  $\Pi_{||e}$  and the spectrum weighted parallel wave vector  $\langle k_{||} \delta \phi^2 \rangle$ .

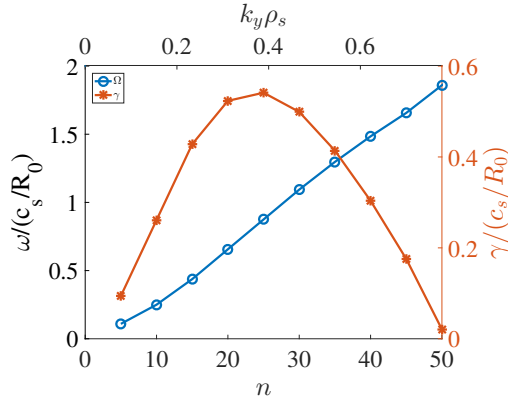


FIG. 8. The toroidal mode number scan of CBC in lower electron temperature gradient ( $\kappa_{Te} = 2.23$ ) and  $\beta$  ( $\beta_e = 0.01\%$ ) limit, the blue line is the frequency and the red line denotes the growth rate.

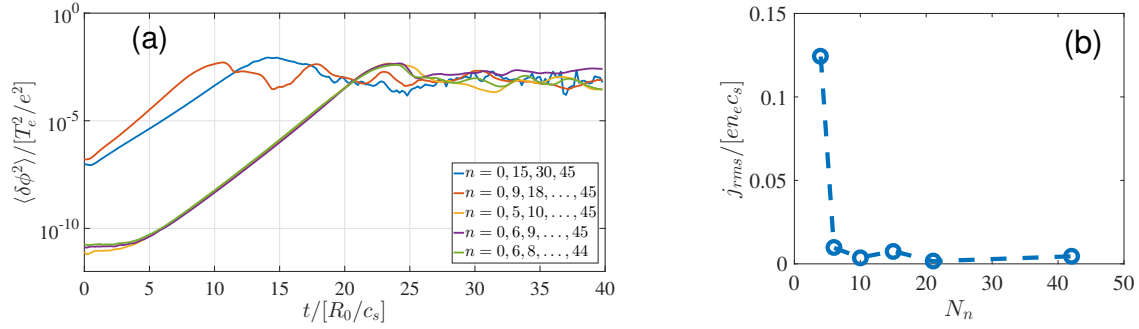


FIG. 9. The convergence of the turbulence time evolution (a) and  $j_{rms}$  (b) (root mean square of  $j_{||e}$ ) with different “partial torus”  $\Delta n = 15, 9, 5, 3, 2$  namely,  $N_n = 4, 6, 10, 15, 21$ ,  $N_n$  is the total toroidal mode number included in the simulations. The  $j_{rms}$  of  $\Delta n = 1, N_n = 42$  is also included in (b).

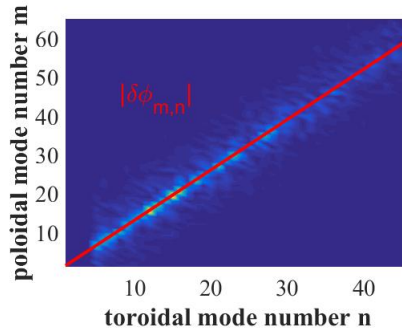


FIG. 10. Mode spectra  $|\delta\phi_{m,n}(r)|$  of “full torus” simulation ( $\Delta n = 1, n = 0, 5, 6, \dots, 45$ ) at  $r/a = 0.45$  ( $q = 1.3$ ) in nonlinear stage, the slope of the red line is equal to  $m/n = 1.3$ .

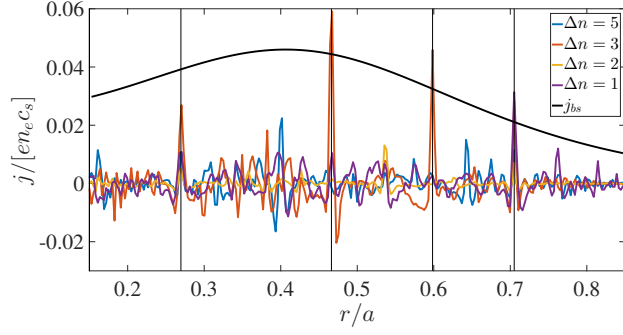


FIG. 11. The radial profile of the time averaged turbulence driven current during the turbulence saturation stage with multiple mode simulations ( $\Delta n = 5, n = 0, 5, 10, \dots, 45$ ) (blue line), ( $\Delta n = 3, n = 0, 6, 9, \dots, 45$ ) (red line), ( $\Delta n = 2, n = 0, 6, 8, \dots, 44$ ) (yellow line), ( $\Delta n = 1, n = 0, 5, 6, \dots, 45$ ) (purple line) and the solid black line denotes the reference neoclassical bootstrap current  $j_{bs}$ . The vertical lines are the rational surfaces of  $n = 3$  ( $q = 3/3, 4/3, 5/3, 6/3, 7/3$ ).

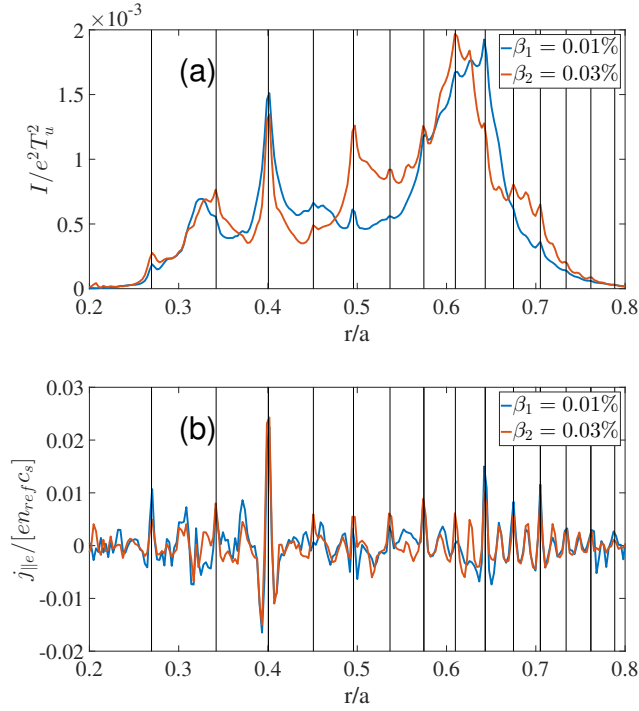


FIG. 12. Time averaged turbulence intensity (a) and turbulence induced current (b) with different  $\beta_e$  value (by varying density,  $\beta_1/\beta_2 = n_{ref1}/n_{ref2} = 1/3$ ).