Magnitude and uncertainty of nitrous oxide emissions from North America based on bottom-up and top-down approaches: Informing future research and national inventories

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44 Key Points:

45 46	•	The total N ₂ O emissions over North American during 2007–2016 are estimated at $0.9-3.0$ Tg N yr ⁻¹ using a combination of bottom-up and top-down approaches.
47 48	•	North American anthropogenic N ₂ O emissions grew by \sim 0.2 Tg N during 1980–2016; U.S. agriculture was the largest cause of that growth.
49 50 51	•	Modeled N ₂ O fluxes in this study reflect an IPCC tier 3 approach, and can improve GHG inventories that largely use tier 1 and tier 2 approaches.

52 Abstract

- 53 We synthesized N₂O emissions over North America using 17 bottom-up (BU) estimates from
- 54 1980–2016 and five top-down (TD) estimates from 1998–2016. The BU-based total emission
- shows a slight increase owing to U.S. agriculture, while no consistent trend is shown in TD
- estimates. During 2007–2016, North American N₂O emissions are estimated at 1.7 (1.0–3.0) Tg
- 57 N yr⁻¹ (BU) and 1.3 (0.9–1.5) Tg N yr⁻¹ (TD). Anthropogenic emissions were twice larger than
- 58 natural fluxes from soil and water. Direct agricultural and industrial activities accounted for 68%
- of total anthropogenic emissions, 71% of which was contributed by the U.S. Our estimates of U.S. agricultural emissions are comparable to the EPA greenhouse gas (GHG) inventory, which
- 61 includes estimates from IPCC tier 1 (emission factor) and tier 3 (process-based modeling)
- approaches. Conversely, our estimated agricultural emissions for Canada and Mexico are twice
- as large as the respective national GHG inventories.
- 64

65 Plain Language Summary

- Nitrous oxide (N_2O) is the third most important greenhouse gases (GHGs) after CO_2 and CH_4
- 67 causing global warming. Among world regions, North America (defined herein as U.S., Canada,
- and Mexico) is the second largest source of N₂O emissions globally, and previous source
- 69 estimates for this region vary widely. This study aims to provide a comprehensive N₂O
- assessment over North America including all available estimates based on a number of
- approaches. We report total emissions, and emissions from four anthropogenic source sectors,
- $\,$ 72 $\,$ over the past four decades. Agriculture and industry are two major N_2O sources in North
- 73 America. Our results show a minor increase in the total N_2O emission due to agricultural trends
- ⁷⁴ in the U.S. Our bottom-up estimate of U.S. agricultural N_2O emissions are close to those in the
- 75 EPA national GHG inventory that includes both empirical and modeled results. Bottom-up
- restimates are close to current approach, but future approach needs to be based on process-based
- 77 modelling.
- 78

79 **1 Introduction**

Atmospheric nitrous oxide (N₂O), the third most-important greenhouse gas (GHG) and a 80 81 key stratospheric ozone-depleting substance, has increased by 21% globally since 1750 due to anthropogenic activities (Ciais et al., 2014; Prinn et al., 2018). North America is the second-82 largest contributor after East Asia to total global anthropogenic N₂O emissions (Tian et al., 83 2020a)—a region that consumed 16% of the world's synthetic nitrogen (N) fertilizer (Lu & Tian, 84 2017; FAO, 2021), produced 9% of the world's animal manure (Zhang et al., 2017; FAO, 2021), 85 and received 16% of the world's atmospheric N deposition from industrial and agricultural 86 activities (Eyring et al., 2013). An emission hot spot has also been observed in the Midwestern 87 Corn Belt, one of the most intensively managed agricultural areas in the world and which 88 accounted for 30% of total North American emissions during the period 2008-2014 (Nevison et 89 al., 2018). 90

Bottom-up (BU; i.e., inventories and models) and top-down (TD; i.e., atmospheric inversions) approaches represent the two primary methods for estimating global, regional and

country level emissions (Miller et al., 2012; Nevison et al., 2018; Saikawa et al., 2014; Shang et 93 al., 2019; Stehfest & Bouwman, 2006; Tian et al., 2016; Tian et al., 2020a; Wilson et al., 2014; 94 X. Xu et al., 2012); a number of studies have estimated N₂O emissions from North America 95 based on both approaches. However, except for the recent global analysis (Tian et al., 2020a), 96 none of previous studies reconciled BU and TD estimates and compared estimates from these 97 two approaches over time and space. Moreover, although Tian et al., (2020a) have reported the 98 total and sectorial N₂O emissions across North America from 1980 to 2016, the country-level 99 N₂O emissions and their temporal variations were not yet investigated. Previous studies based on 100 BU or TD approaches have pointed out that considerable uncertainty remains in estimates of 101 total and sectorial emissions over North America. For example, it is a long-standing debate 102 103 whether BU emission inventories may underestimate N₂O emission over North America, especially in the Midwestern Corn Belt (Chen et al., 2016; Del Grosso et al., 2010; T. Griffis et 104 al., 2013; Kort et al., 2008; Miller et al., 2012; Nevison et al., 2018). Our synthesis 105

106 comprehensively investigated strengths and weaknesses of both BU and TD approaches and

107 provided their uncertainties, which is helpful for future improvement of each approach.

Meanwhile, our assessment will inform policy development for N₂O mitigation in NorthAmerican countries.

110 The present study synthesized available N₂O emissions over North America [defined

here as the region comprising the United States (U.S.), Canada, and Mexico] using 17 BU

(emission inventories, spatial extrapolation of field flux measurements, nutrient budget

modeling, and terrestrial biosphere models) and five TD estimates for the period 1980–2016
 (Figure S1). Data sources for all estimates are consistent with Tian et al. (2020a). We examined

(Figure S1). Data sources for all estimates are consistent with Tian et al. (2020a). We examined estimates of N₂O emissions and the associated uncertainties for both approaches. In addition,

national GHG emissions inventories developed by the U.S. (based on both tier 1 and tier 3

117 methods), by Canada (based on both tier 1 and tier 2 methods) and Mexico (tier 1)) were used to

compare against the BU estimates in this study of national total and sectorial N_2O emissions

- relative to the period 1990-2016.
- 120 2 Materials and Methods
- 121 2.1 Data Sources
- 122 2.1.1 Bottom-up Estimates

We collected N₂O emissions from 17 BU estimates. National N₂O emissions from 123 models and inventories include: six terrestrial biosphere models for natural and cropland soils 124 with consideration of multiple environmental factors [Global N₂O Model Inter-comparison 125 Project (NMIP, Tian et al. (2019)]; three Dynamic Land Ecosystem Model (DLEM)-only 126 simulations [i.e, for pastures (Dangal et al., 2019), rivers and reservoirs (Yao et al., 2020), and 127 biomass burning]; two mechanistic stochastic model simulations for the river-reservoir-estuary 128 129 continuum (Maavara et al., 2019) and lakes (Lauerwald et al., 2019); three national GHG emissions inventories [EDGAR v4.3.2, Janssens-Maenhout et al. (2019); FAOSTAT, Tubiello 130 (2019); GAINS, Winiwarter et al. (2018)]; one fire emissions database for biomass burning 131 [GFED4s, Van Der Werf et al. (2017)]; one statistical model for cropland soils [SRNM, Wang et 132 al. (2019)]; and one estimate of aquaculture emissions calculated based on quantified N flows 133 from a nutrient budget model (Bouwman et al., 2013). Six terrestrial biosphere models 134

participating in NMIP provided N₂O emissions from natural and agricultural soils (Tian et al. 135

- 2019). All participating models were driven by consistent input datasets (i.e., climate, 136
- atmospheric CO₂ concentration, land cover change, atmospheric N deposition, mineral N 137
- fertilization, and manure N application) at the spatial resolution of 0.5° globally and covered the 138
- 1861–2016 period (Tian et al., 2019). Model-based estimates of national N flows (i.e., fish feed 139
- intake, fish harvest, and waste) in freshwater and marine aquaculture were obtained from Beusen 140
- et al. (2016) and Bouwman et al. (2011, 2013). We then calculated aquaculture N₂O emissions 141
- by considering 1.8% loss of N waste in aquaculture, the same EF used in MacLeod et al. (2019). 142
- EF uncertainties of aquaculture N₂O range from 0.5% (IPCC, 2006)) to 5% (Williams & 143
- Crutzen, 2010). A detailed description of each BU method was documented in the 144
- 145 Supplementary Information of Tian et al. (2020a).

Anthropogenic N₂O emissions have been reported annually by Annex I Parties to the 146 United Nations Framework Convention on Climate Change (UNFCCC) for nearly thirty years, 147 currently covering the period 1990-2019. More recently, also the other signatories to the 148

- UNFCCC have been requested to provide information on their national greenhouse gas 149 inventories as a Biannual Update Report, with sufficient detail and transparency to track progress
- 150
- towards their nationally determined contributions. In this study, we obtained time-series 151 anthropogenic N₂O emissions from the most recent UNFCCC reporting that was submitted by 152
- the U.S. (Annex I Party; EPA GHG inventory, https://unfccc.int/documents/272415), Canada 153
- (Annex I Party; Canadian GHG inventory, https://unfccc.int/documents/271493), and Mexico 154
- 155 (Non-Annex I Party; Mexican GHG inventory, https://unfccc.int/documents/199243) to compare
- with our estimates. 156
- 2.1.2 Top-down Estimates 157

We include five estimates from four independent atmospheric inversion frameworks for 158 the 1998–2016 period [INVICAT, Wilson et al. (2014); PvVAR-CAMS, Thompson et al. 159 160 (2014); MIROC4-ACTM, Patra et al. (2018); and GEOSChem, Wells et al. (2015)], all of which used the Bayesian inversion method. Here, two versions of PyVAR-CAMS were run to 161 determine the sensitivity of results to the prior estimate of ocean fluxes. These runs using high 162 and low ocean priors are denoted as PyVAR-CAMS-1 and PyVAR-CAMS-2, respectively. For 163 analyzing TD estimates over North America, we interpolated the coarser resolution results into 164 $0.5^{\circ} \times 0.5^{\circ}$ over all land areas in the four frameworks (see Table S19 in Tian et al. (2020a)). A 165 detailed description of each TD approach was documented in Supplementary Information of Tian 166 et al. (2020a). 167

2.2 Data Synthesis 168

BU approaches give N₂O emissions estimates for five source categories, while TD 169 approaches only provide total gridded emissions. BU estimates consist of N₂O emissions from 170 natural sources (i.e., 'Natural soil baseline' and natural emissions from inland water and 171 estuaries), and from 12 anthropogenic sub-categories that were combined and further re-172 classified into four categories (Table 1, Figure S1): i) 'Perturbed fluxes from climate/CO₂/land 173 cover change' covering the CO₂ effect, climate effect, post-deforestation pulse effect, and long-174 term effect of reduced mature forest area, ii) 'Direct emissions of N additions in the agricultural 175 sector (Agriculture)' covering direct application of synthetic N fertilizers and manure (direct soil 176

emissions), manure left on pasture, manure management, and aquaculture, iii) 'Indirect

- emissions from anthropogenic N additions' covering atmospheric N deposition (NDEP) on land,
- and effects of anthropogenic loads of reactive N in inland waters and estuaries, and iv) 'Other
- direct anthropogenic sources' covering fossil fuel and industry, waste and waste water, and
- biomass burning. Here, 'Natural soil baseline' emissions reflect a situation without considering
- land use change (e.g., deforestation) and without considering anthropogenic N additions and
 indirect anthropogenic effects of environmental changes (i.e., climate, elevated CO₂, and
- indirect anthropogenic effects of environmental changes (i.e., climate, elevated CO₂, and
 atmospheric N deposition). The four categories are aligned with the emission categories in the
- 185 UNFCCC reporting and IPCC 2006 methodologies [see Table S14 in Tian et al. (2020a)].

186 **3 Results and Discussion**

187 3.1 BU and TD Estimates of Total N₂O Emissions During 1980–2016

188 BU and TD approaches diverge in the magnitude and trend of the total emission over North America during 1980–2016 (Figure 1). In addition, larger uncertainties are derived for BU 189 estimates than for TD estimates, likely because the BU uncertainty is the sum of ranges (minimum 190 and maximum estimates) from 17 BU estimates with considerable contributions from natural soils, 191 192 agriculture, and the effects of climate and CO₂ (Table 1). During 1998–2016, the BU estimate was 390 (70–1350) Gg N yr⁻¹ higher than the TD estimate, but the latter implied a larger interannual 193 variability (150 Gg N yr⁻¹). The BU estimate demonstrated a steady increase at a rate of 5 ± 2 Gg N 194 yr⁻¹ per year (95% confidence interval; P<0.05) during 1980–2016, while the TD estimate 195 decreased sharply between 1998 and 2005 and then started to increase again during 2006–2016, 196 resulting in no significant overall trend. In the recent decade (2007–2016), North American total 197 N₂O emissions were 1,680 (950–3,040) Gg N yr⁻¹ (BU) and 1,260 (910–1,510) Gg N yr⁻¹ (TD) 198 (Table 1). BU estimates for the U.S., Canada, and Mexico were 1.150 (690–2110) Gg N vr⁻¹, 270 199 (120–520) Gg N yr⁻¹, and 260 (60–450) Gg N yr⁻¹, respectively. 200

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Table 1. N₂O emission sources (expressed in Gg N yr⁻¹) over North America (i.e., U.S., Canada,

- and Mexico) during 2007–2016. All numbers are rounded to the nearest multiple of 10 for
- sources >10 and nearest whole number for sources <10.

20	USA			Canada			Mexico			North America			
Anthropogenic sources	mean	min	max	mean	min	max	mean	min	max	mean	min	max	
	Direct soil emissions	300	180	620	40	20	60	30	10	70	370	220	730
Direct emissions of N	Manure left on pasture	70	70	70	10	10	10	30	20	30	100	100	110
	Manure management	20	10	20	0	0	10	10	0	10	30	10	30
(Agriculture)	Aquaculture	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	1	0	2
(Fighteentero)	sub-total	390	260	710	50	30	80	70	30	110	500	330	870
	Fossil fuel and industry	160	150	170	20	20	20	90	10	160	260	180	350
Other direct	Waste and waste water	20	20	20	0	0	0	10	0	10	30	30	30
anthropogenic sources	Biomass burning	20	10	40	30	10	60	0	0	0	60	30	100
	sub-total	200	180	230	50	30	80	100	10	170	350	240	480
Indirect emissions from	Inland waters, estuaries, coastal zones	40	10	60	20	10	30	10	1	10	70	50	80
anthropogenic N additions	Atmospheric N deposition on land	80	30	240	10	10	30	10	10	20	110	50	280
	sub-total	120	40	300	30	20	60	20	10	30	180	100	360
	Climate & CO ₂ effect	40	-80	220	10	-30	50	-10	-20	3	40	-120	280
Perturbed fluxes from	Post-deforestation pulse effect	120	120	120	10	10	10	10	10	20	140	140	150
climate/CO2/land cover change	Long-term effect of reduced mature forest area	-50	-50	-50	-10	-10	-10	-20	-20	-30	-80	-80	-80
	sub-total	110	-10	290	10	-30	50	-20	-30	-10	100	-60	350
Anthropogenic total	820	470	1,530	140	50	270	170	20	300	1,130	610	2,060	
Natural fluxes													
Natural soils baseline	320	210	560	100	40	220	90	40	150	510	300	930	
Natural (Inland waters, est	10	10	20	30	30	30	1	1	2	40	40	50	
Natural total	330	220	580	130	70	250	90	40	150	550	340	980	
Bottom-up total source	1,150	690	2,110	270	120	520	260	60	450	1,680	950	3,040	
Top-down total source										1,260	910	1,510	

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Based on BU estimates, U.S. anthropogenic N₂O emissions were 7% higher in 2007–2016 207 than in the 1980s, primarily because of a 27% increase in direct soil agricultural emissions (Figure 208 2). In Mexico, total anthropogenic emissions are estimated to have increased by 114%, due to a 209 large yet quite uncertain contribution from industrial emissions over the most recent decades, 210 according to EDGAR v4.3.2 data (Figure 2; Table S1). By contrast, anthropogenic emissions in 211 Canada were relatively stable, with a slight increase in agricultural emissions offset by a reduction 212 in emissions from industrial activities. Natural soil emissions were relatively constant in the three 213 countries. 214



Figure 1. Comparison of annual total N₂O emissions from North America estimated by BU approaches during 1980–2016 and TD approaches during 1998–2016. Black and orange error bars indicate the spread between the minimum and the maximum values of 17 BU and 5 TD estimates, respectively.

3.2 BU Estimates of N₂O Emissions Over 2007–2016

Two-thirds of total North American N₂O emissions during 2007-2016 were linked to 221 anthropogenic sources, which averaged 1,120 Gg N yr⁻¹ versus 550 Gg N yr⁻¹ from natural sources 222 (Table 1). Among the anthropogenic emissions, agriculture (45%) was the largest contributor, 223 224 heavily dominated by direct soil emissions from synthetic N fertilizer and manure application, followed by emissions associated with manure left on pasture in the U.S., reflecting increased 225 agricultural N inputs (Lu & Tian, 2017; Xu et al., 2019; FAO, 2021). Aquaculture played a 226 227 negligible role in North American N_2O emissions. Direct soil emissions were the largest 228 agricultural source in all three countries, with fluxes in both Canada and Mexico about an order of magnitude lower than those in the U.S (Figures S2-S4). Livestock manure-induced emissions (i.e., 229 manure left on pasture and manure management) were five times lower than direct soil emissions 230 in the U.S. and Canada, however, this source was comparable to direct agricultural soil emissions 231 232 in Mexico, where there has been a continuous increase in livestock numbers and manure production since 1980 (FAO, 2020; Zhang et al., 2017). 233



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Figure 2. Ensembles of anthropogenic N₂O emissions over North America in the 1980s, 1990s,
2000s, and 2007–2016 based on BU approaches. Error bars indicate the spread between the
minimum and the maximum values of the total flux.

Other direct anthropogenic sources (31%) made up the second-largest contribution to total 238 continental emissions, and were primarily associated with emissions from fossil fuel and industry 239 in the U.S. and Mexico during 2007–2016 (Table 1). Biomass burning was another important 240 source of N₂O but diverged across these three countries; such emissions in Canada were twice and 241 242 five times as high as in the U.S. and Mexico, respectively, between 2007 and 2016. Waste and waste water contributed least, with the largest share from the U.S. owing to its large population 243 (FAO, 2021). Indirect emissions due to anthropogenic N additions from NDEP (110 Gg N yr⁻¹) 244 and mostly due to agricultural N leaching to inland and coastal waters (70 Gg N yr⁻¹) accounted 245 for 15% of North American anthropogenic emissions during 2007–2016. Among the three North 246 American countries, the U.S. had the most intensive agricultural activities and thus its indirect 247 emissions were much higher than those from Canada and Mexico (Table 1). Agricultural activity 248 249 in the U.S., especially the Midwest, was the major driver for high indirect emissions from NDEP (primarily ammonium) and leaching/runoff (primarily nitrate) from synthetic N fertilizer and 250 livestock manure (Chen et al., 2016; Du et al., 2016; Tian et al., 2020b). According to 251 EDGARv4.3.2, we observed a considerable decline in NDEP-induced N₂O emissions from U.S. 252 and Canadian industrial activities due to enforcement of the amendments to the Clean Air Act in 253

- 1995, though this decline was overwhelmed by the effect of indirect emissions caused by N losses
- from agriculture (Figure S5a, b). In contrast, Mexico showed a continuous increase in indirect
- emissions from NDEP due to increases in both agricultural and industrial activities (Figure S5c).



Figure 3. Comparison of our total N₂O emissions by global inversion models with the estimate by
 the CT-L regional inversion model (Nevison et al., 2018) during 2008–2013. Global inversion
 models include PyVAR-CAMS-1 and CAMS-2, INVICAT, MIROC4-ACTM, and GEOS-Chem.

Perturbed fluxes caused by climate/CO₂/land cover change contributed the least (9%) to total anthropogenic emissions over North America according to model simulations (Table 1). The effects of climate and CO₂ accelerated soil N₂O emissions with regional climate change. This has offset the reduction due to elevated CO₂ concentrations that enhance plant growth and associated N uptake and in turn decrease soil N₂O emissions (Tian et al., 2019; Zaehle et al., 2011). The decrease in perturbed fluxes of soil N₂O emissions over North America was only 80 Gg N yr⁻¹

(only 7% of the global reduction), because temperate forest soils generally have lower emissions 267 than tropical forest soils and because the area of converted lands was much smaller than in the 268 tropics (e.g., Amazon) between 2007 and 2016 (Hurtt et al., 2011). This decrease can be balanced 269 by the temporary rise of soil N₂O emission after deforestation (post-deforestation pulse effect) plus 270 background emissions from converted croplands or pastures (McDaniel et al., 2019; Meurer et al., 271 2016; van Lent et al., 2015; Verchot et al., 1999). In particular, within the U.S. the decrease in soil 272 N₂O emissions has been fully offset by the post-deforestation pulse effect, resulting in a positive 273 increment of 60 Gg N yr⁻¹; however, this was not the case in Mexico where only half of the 274 emission decrease was counterbalanced in this way (Table 1). 275

3.3 Comparison and Uncertainty

Previous estimates of total N₂O emissions over North America from TD approaches 277 diverge in terms of magnitude and in terms of inter- and intra-annual variations. Saikawa et al. 278 (2014) provided an estimate of 1.2±0.2 Tg N yr⁻¹ over North America between 2004 and 2008 279 using data from six measurement networks with extensive spatial coverage to constrain the global 280 budget. Their estimates are in line with our ensemble [1.2 (0.9–1.4) Tg N yr⁻¹] based on five TD 281 estimates during the same period. Employing the posterior flux from the global atmospheric N₂O 282 inversion of Saikawa et al. (2014) as the standard prior, Nevison et al. (2018) estimated North 283 American N₂O emissions of 1.6±0.3 Tg N yr⁻¹ over 2008–2014 using the CarbonTracker-Lagrange 284 (CT-L) regional inversion framework. The Midwestern Corn/Soybean Belt – an emission hot spot 285 - accounted for 30% of total emissions from North America (Nevison et al., 2018), but this hot 286 spot was weaker in the global inversions (Figure 3). In addition, Midwestern Corn/Soybean N₂O 287 emissions are elevated owing to the freeze/thaw dynamics in late winter/early spring 288 (February/March) and intensive fertilizer applications in spring (April/May) (Nevison et al., 2018). 289 Although the global and regional inversions had highest spring emissions, their amounts were 290 obviously divergent (Figure S6). For example, PYVAR-CAMS and MIROC4-ACTM showed 291 close spring N₂O emissions to the CT-L regional inversion, however, it was evident that PYVAR-292 CAMS and MIROC4-ACTM largely underestimated N₂O emissions in the Midwest compared to 293 CT-L (Figure S7). INVICAT and GEOS-Chem also showed much lower spring emissions 294 compared to CT-L. A number of factors may contribute to the large discrepancy in estimated N₂O 295 emissions between global inversion models and regional inversion (Nevison et al. 2018). First, the 296 latter study used a substantially larger set of North American measurements, particularly NOAA 297 aircraft data over the Midwest, especially with respect to MIROC4-ACTM (Tables S2 & 298 S3). Second, the soil prior used in three global inversion models (PYVAR-CAMS, INVICAT, 299 and GEOS-Chem) were from the model OCN-v.1.1 that showed a much lower spring N2O 300 emissions from agricultural soils (Figures S8 & S9) and thus tended to shift the soil maximum 301 away from spring and the Midwest. Third, the time frame of the global inversions (1995–2016) 302 might dilute the impact of Midwestern sites like West Branch Iowa (WBI), which came online 303 mid-2007, whereas the CT-L regional inversion focused on a subset of that period (2008–2015) 304 that emphasized the impact of WBI (Table S3). Finally, the global inversions used much coarser 305 resolution models [e.g., INVICAT (5.625°; at the scale of ~620km)] compared to CT-L at the 306 spatial resolution of 1° (~111km) (Table S4). Thus, global models cannot reproduce as well the 307 small variations in atmospheric concentration and distribute the emissions more diffusely in the 308 Midwest Corn/Soybean belt extending from 36°N to 47°N (~1220km) and 102° to 80°W 309 (~2440km). 310

High N₂O emission in the Midwestern Corn/Soybean Belt was also reported by all six BU 311 terrestrial biosphere models but to different degrees (Figure S9): DLEM and VISIT show much 312 higher emissions than the other four models (LPX-Bern, OCN, ORCHIDEE, and ORCHIDEE-313 CNP). Seasonal N₂O emissions from the BU models were highest in summer and autumn (Figure 314 S8), which differs from the regional (Nevison et al. 2018) and global inversions. The lower spring 315 N2O emissions estimated by BU models are probably associated with the widely varied timing of 316 N fertilizer application in each model and the omission of freeze-thaw and wet-dry dynamics in 317 some of model structure configurations. 318



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Figure 4. Comparison of our anthropogenic N₂O emissions from BU estimates with national GHG inventories during 1990–2016: **a**, EPA; **b**, Canadian GHG inventory; **c**, Mexican GHG inventory. Error bars indicate the spread between the minimum and the maximum values.

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In addition, we compared anthropogenic N₂O emissions from our BU approaches with national inventories for the U.S., Canada, and Mexico during 1990–2016. There remain large uncertainties in estimates from different BU approaches. Our total anthropogenic N₂O emission is on average 90 Gg N yr⁻¹ lower than that from the U.S. Environmental Protection Agency (EPA, Figure 4a) 1990–2017 inventory reported in 2019, which is attributed to two times lower inventory-based agricultural emissions from FAOSTAT, EDGARv4.3.2 and GAINS compared to EPA and NMIP results (Figure S2a). The EPA 1990–2017 inventory of agricultural N₂O emissions,

which adopted a tier 3 approach based on the DayCent model for emissions from agricultural soils, 331 is more consistent with our tier 3, model-based (NMIP) estimates and trends. Recently, U.S. EPA 332 extended anthropogenic N₂O emissions to 2019. The estimate of anthropogenic N₂O emissions in 333 the 1990–2019 inventory increased by 20% compared to the 1990–2017 inventory, which is due 334 to a 21% higher estimate of agricultural soil emissions from the model improvement of freeze-335 thaw cycles in DayCent (Del Grosso, 2010, 2018) and a 330% higher estimate of waste emissions 336 based on the revised domestic wastewater N2O methodology according to the IPCC 2019 337 Refinement (IPCC, 2019) (Figures 4a, S2a,d). When comparing agricultural N₂O emissions, our 338 NMIP results are on average 130 Gg N yr⁻¹ lower than the EPA 1990–2019 inventory, consistent 339 with the fact that some of NMIP models might underestimate agricultural soil N₂O emissions due 340 to missing freeze-thaw cycles. Our estimates of N₂O emissions from fossil fuel and industry 341 roughly agree with EPA-reported magnitudes and trends during 1990–2016 (Figures S2b, c). 342

By contrast, our total anthropogenic N₂O emissions in Canada and Mexico, which reveal 343 significant inter-annual variability, are on average 60 Gg N yr⁻¹ higher than estimates from the 344 Canadian GHG inventory between 1990 and 2016 (Figure 4b) and the Mexican GHG inventory 345 between 1990 and 2015 (Figure 4c), respectively. In both countries, NMIP agricultural emissions 346 were twice as high as the four inventories (Figures S3a & S4a). Our estimates of N₂O emissions 347 from fossil fuel and industry showed a decrease during 1990-2016, and roughly agreed with the 348 Canadian GHG inventory in terms of both magnitudes and trends (Figures S3b, c). Mexican 349 industrial emissions of N₂O (primarily from chemical production) increased by a factor of ~60 350 since 1990, based on the estimate from EDGARv4.3.2 (Janssens-Maenhout et al. 2019), however, 351 352 this massive increase was not observed in GAINS and the Mexican GHG inventory. Specifically, we found a threefold increase in industrial N₂O emissions reported by GAINS (Winiwarter, 2005; 353 Winiwarter et al., 2018), but a fourfold decrease by the Mexican GHG inventory during 354 1990-2010, and both inventories were almost equal thereafter until 2015 (Figure S4b). The 355 considerably large but uncertain contribution from Mexican industrial emissions over the recent 356 decades reported by EDGARv4.3.2 needs more investigation. 357

Agriculture is the largest anthropogenic N₂O emission source in the U.S. and Canada, 358 owing to N inputs to cropland and pasture. Model-based direct soil N₂O emissions showed a faster 359 increasing trend with two times larger values compared with inventory-based estimates (i.e., 360 361 EDGARv4.3.2, FAOSTAT, and GAINS) that were calculated based on the use of constant EFs (Figure S10). Along with rising N additions to agricultural soils, global warming may have 362 elevated soil nitrification and denitrification processes, especially in boreal regions (e.g., Canada), 363 thus also contributing to faster growth in N₂O emissions (T. J. Griffis et al., 2017; Pärn et al., 2018; 364 Smith, 1997; Tian et al., 2019). On the other hand, the assumed linear response of agricultural soil 365 emissions to N fertilizer use may not realistically represent real-world emissions under varied 366 climate and soil conditions (Shcherbak et al., 2014; Wang et al., 2019). The interactive effect 367 between climate change and N additions as well as spatiotemporal variability in environmental 368 factors such as rainfall and temperature can modulate the N₂O yield from nitrification and 369 370 denitrification. Moreover, EF-based inventories that fail to consider the legacy effect due to the long-term human-added N accumulation in soils may lead to an underestimate of agricultural soil 371 N₂O emissions (Thompson et al., 2019). 372

373 3.4 Implications for Future Research

Large uncertainties that remain in both TD and BU approaches need further investigation. 374 Inversion models are based on atmospheric N₂O data measured by global and regional monitoring 375 networks and aircraft campaigns. Atmospheric inversions rely on a priori estimates that may 376 include inventory-based and model-based N2O emissions from natural and agricultural soils, 377 oceans, industry, and biomass burning (Nevison et al., 2018; Thompson et al., 2014). For instance, 378 we included two estimates from PYVAR-CAMS since two different ocean prior fluxes were used. 379 A high ocean prior flux used in PYVAR-CAMS-1 led to a low land flux. In addition, more 380 available measurement sites and expanded network coverage would improve inversion accuracy. 381 The estimates of the CT-L regional inversion were improved partially because it uses a 382 substantially larger set of North American measurements, particularly NOAA aircraft data over 383 the Midwest, and uses a higher resolution of the transport models compared with global inversion 384 models. Furthermore, more spatially accurate prior flux estimates will improve confidence in the 385 inversion results. BU estimates in our synthesis were not employed as prior fluxes for the four 386 inversion models. Moreover, the prior fluxes used in the four TD models were from different data 387 sources (Thompson et al., 2019). Future work should use the currently synthesized BU estimates 388 as a priori estimates in the TD framework to reconcile the inversions with BU calculations. There 389 remains large uncertainty in agricultural soil N2O emissions from the process-based ecosystem 390 391 models (Tian et al., 2019). First, this large uncertainty among models is associated with different representations of biogeochemical processes and the omission or simplification of agricultural 392 practices. For instance, most NMIP models have not considered the freeze-thaw cycle in soils. It 393 394 has been reported that freeze-thaw cycles could contribute to 17%~28% more of global agricultural N₂O emissions (Wagner-Riddle et al., 2017). The new freeze-thaw version of DayCent model 395 showed a 21% more N₂O emission from U.S. agriculture during 1990–2019 compared to its 396 previous simulations, which was higher than NMIP results. In addition to freeze-thaw dynamics, 397 398 there were other model improvements as well as updated activity data which contributed to being 21% higher compared to previous inventory. Second, model uncertainties in predicting cropland 399 N₂O emissions would be reduced through improved representation of geospatial data and sub-400 401 national statistics to describe agricultural practices more precisely like legume cultivation, rotation, tillage, and cover-crops. Better data on N inputs (e.g., synthetic N fertilizer, livestock manure, etc.) 402 are also essential to reduce model uncertainty. For instance, Xu et al. (2020) found evident spatial 403 heterogeneity in the three available datasets of N fertilizer use rate, resulting in divergent 404 spatiotemporal patterns of modeled cropland N₂O fluxes by DLEM. Third, there exists large 405 divergence among NMIP models in attributing soil N2O emissions to different driving factors. For 406 407 example, annual N2O emissions from cropland soils predicted by six NMIP models varied considerably (Figure S9). Future research to improve accuracy in model-based N_2O emissions 408 should include single-factor and multifactor model validations against field experiments (Tian et 409 al., 2019). 410

411

412 4 Conclusions

- 413 North American N₂O emissions estimated by BU approaches (1.7 Tg N yr⁻¹ during 2007–2016)
- 414 were on average 0.4 Tg N yr⁻¹ larger than the corresponding TD estimates in this study; however,
- 415 our mean BU estimate was roughly consistent with the CT-L regional inversion model in
- 416 Nevison et al. (2018). Anthropogenic emissions were the major contributor to the total North

American N₂O source, and were dominated (68%) by agriculture and industry. Agriculture is the 417 largest overall N₂O source and is attributable to soil N additions. The recent estimates from 418 NMIP and DayCent models showed that N₂O directly emitted from agricultural soils has 419 exhibited a faster increase in recent years than predicted by EF-based national GHG inventories. 420 We speculate that EF-based inventories may underestimate agricultural N₂O emissions due to 421 omission of interactive effects of environmental change and N additions, and legacy impacts of 422 long-term soil N accumulations. There remains uncertainty in TD and BU estimates of N2O at 423 both annual and seasonal time scales. For example, Nevison et al. (2018) emphasized that the 424 Midwestern Corn/Soybean Belt was a hotspot of N₂O emission in North America, although this 425 was not found with our global atmospheric inversions, albeit they all estimated above average 426 427 emissions in the region. It is likely due to the smaller number of observations over the Midwest used in the global estimates, the longer time frame of global inversions that diluted the impact of 428 Midwestern sites, and much coarser resolutions of transport models used in global inversions. On 429 the other hand, high N₂O emissions were simulated to different degrees in the Midwestern U.S. 430

431 by the six BU terrestrial biosphere models used here.

We reported North American N₂O emissions based on both TD and BU approaches and 432 provided new insights into strengths and limitations of each approach for reducing future 433 uncertainty. To reconcile the large divergence between TD and BU estimates, we recommend 434 that more consistent and accurate prior fluxes, more available measurement sites, and expanded 435 network coverage should be considered to improve the accuracy of atmospheric inversions. 436 Meanwhile, improved representation and validation of biogeochemical processes (e.g., freeze-437 thaw and dry-wet cycles) and better geospatial data and statistics on agricultural practices (e.g., 438 legume cultivation, rotation, tillage, and cover-cropped system) could pave the way for better 439 simulation of daily and cumulative soil N₂O emissions. 440

441

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- 464 (https://auburn.box.com/s/csi2vkgrgcd267rxlvm97jnncrcx0hqi).

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