1 Convergent Behavioral Strategies and Neural Computations during Vocal Turn-taking

- 2 across Diverse Species
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12 Abstract

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14 Vocal exchanges between individuals are often coordinated in a temporally precise manner: one 15 party is vocalizing while the other one is listening until the performance roles are switched. This 16 vocal turn-taking behavior is widespread across the animal kingdom and thus provides an 17 opportunity to study the neural circuit mechanisms from a comparative perspective. Although the 18 physical prerequisites of the vocal tracts across animals can be different the behavioral outcome 19 of turn taking is often similar with respect to vocal response timing and context dependent 20 adaptation. Here we review behavioral strategies of vocal turn-taking in diverse animals. Further, 21 we highlight recent advances in studying the neural circuit mechanisms underlying vocal 22 production and perception.

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24 Introduction

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26 Adaptive behavior requires real-time adjustments in response to a rapidly changing environment. 27 How the brain accomplishes this sensorimotor feat is one of the most fascinating and puzzling 28 questions in neuroscience. Although examples of animals engaged in adaptive behavior abound in 29 the natural world, understanding the neural circuit mechanisms require experimentally tractable 30 model systems and behavioral paradigms. For many species, including humans, one central 31 adaptive behavior for social interactions is vocal communication. Such communication can 32 arguably be unidirectional, but here we restrict ourselves to phenomena requiring bi-directional information flow between the sender and the receiver. Such vocal turn-taking requires the 33 34 perception of auditory signals from the sender to generate appropriate vocal motor outputs making 35 it ideally suited to study dynamic sensorimotor integration.

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37 Using sounds to communicate is widespread in nature; from chirping birds [1] to duetting lemurs

38 [2], a large number of species use vocalizations to cooperate as well as to compete. These vocal

interactions often follow specific temporal patterns whereby two individuals exchange
vocalizations in a coordinated manner avoiding overlaps and taking turns. Humans engaged in
conversation, for example, take rapid turns to go back and forth (turn-taking) between listening
and responding – a feat most of us tend to perform effortlessly, but which breaks down during
communicative disorders [3].

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In this review, we will first highlight behavioral evidence for vocal turn-taking across the animal kingdom, discussing its ubiquity, its ecological function and how it may represent a common framework to study hitherto disparate phenomenon. Next, we will discuss recent developments in our understanding of neural circuit mechanisms for vocal communication taking examples from a few different species. Ultimately, we hope to convey that studying vocal turn-taking, at the intersection of ethology and systems neuroscience, represents a fruitful path forward in our quest to better understand the neurobiology of sensorimotor integration underlying adaptive behaviors.

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53 Vocal turn-taking: a unifying framework to study diverse phenomenon

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a) Vocal turn- taking is widespread across the animal kingdom

Spoken conversations between humans involve alternating exchange of vocalizations that tend to 56 57 overlap minimally [4]. This vocal turn-taking behavior not only requires fast sensory perception of the sender's vocal output but also the precise control of one's own vocal onset. During these 58 interactions, participants simultaneously plan upcoming vocalizations while listening in order to 59 respond as early as possible [5]. In humans, response times are typically about 250 ms (Fig. 1 A), 60 61 although average latency can vary across linguistic cultures [6]. Recent work has shown that speech planning activity can be localized to a frontotemporal brain network distinct from those 62 involved in speech perception and production per se [7]. Due to the necessity of coordination in 63 turn-based speech, and its early onset in ontogeny, vocal interaction has been proposed as a core 64 65 component for language acquisition [8].

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67 Vocal turn-taking in mammals is not restricted to humans; non-human primates as well as some rodent species have been shown to interact with each other by coordinating their vocalizations. 68 69 Common marmosets (Callithrix jacchus) live in large groups of 3-15 individuals and communicate with each other by performing 13 different calls which are used in specific contexts to indicate 70 food, distance or excitement [9]. Specifically, phee calls (example of a distance call) are 71 preferentially used during vocal turn taking [10, 11]. In the family of mongooses, meerkats 72 (Suricata suricatta), have been observed to communicate with each other using coordinated vocal 73 interactions on sunny mornings during the cold season [12]. Timing of vocalizations could signal 74 75 individual identity, internal state, or estimated physical distance (especially during phee calls in marmosets when individuals are out of site). Conveniently, marmosets engage in vocal interactions 76 77 with playbacks in a laboratory setting [11], which is ideal to systematically explore how context 78 affects vocal turn-taking. Lab mice or rats, however, have not been shown to vocally interact with

conspecifics by taking turns. Notably, the Alston's singing mouse has recently been found to
exhibit vocal turn taking behavior [13]**(for details see Section below).

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82 A spectacular elaboration of turn-taking behavior is observed in avian species. Songbirds have 83 been extensively studied for their exquisite vocal turn-taking performances. Their behavior ranges from the exchange of simple innate calls in zebra finches (Taeniopygia guttata) [14] to complex 84 interactions with hundreds of learned songs in nightingales (Luscinia megarynchos) [15]. Many 85 tropical bird species perform duets in opposite-sex pairs during which they sing cooperatively to 86 defend a territory or reinforce the pair bond [16]. Some duetting styles (i.e., antiphonal duets) are 87 88 characterized by synchronized time- and pattern- specific responses between partners, in which each bird rapidly adjusts its vocalizations over the course of an interaction depending on the 89 partner. During duets, plain tailed wrens, for instance, time their song more accurately compared 90 91 to solo singing. Moreover, males sing louder with a female suggesting context-dependent song 92 adjustments [17, 18]. Recent studies on white-browed sparrow weavers further indicate that duetting birds accurately synchronize their vocal output to avoid overlaps and optimize their 93 94 alternating turn-taking behavior, which sometimes even follows pair specific rules [19-21]**.

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96 Notably, songbirds with a very limited vocal repertoire also exhibit precise vocal turn-taking behavior. Throughout the day, a male zebra finch switches between singing its stereotyped 97 courtship song and much shorter contact calls [14]. While contact calls are used to counter-sing 98 with vocal partners at timescales comparable to humans, songs are not. [22]**. In addition, zebra 99 finches are capable of adapting their response strategy depending on the social context by flexibly 100 101 adjusting the timing of their calls in relation to a vocal partner. This ability might be relevant for other contexts that go beyond mate attraction or territorial defense; during group flights, for 102 103 example, individual birds may potentially coordinate their calls indicating their position, velocity or directional changes. 104

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106 The phenomenon of turn-taking is truly widespread in the animal kingdom. Mammalian lineages, 107 such as bats and dolphins, which inhabit terrestrial and aquatic niches respectively, participate in vocal turn-taking as well. For example, adult white-winged Vampire bats [23] use antiphonal 108 109 calling to communicate outside of their roost. Accumulating evidence suggests that multiple bat species on the one hand learn their vocal repertoire [24, 25] and on the other hand use it in a more 110 111 complex manner than previously thought [26]. Dolphins produce characteristic whistles during coordinated vocal interactions, which seem to facilitate individual recognition and maintenance of 112 group cohesion [27]. Going even beyond vertebrates, turn-taking behavior is also prevalent in 113 114 insects [28]. One prominent example is *Drosophila virilis*, a species of fruit fly that engages in acoustic duetting [29]. Further exploration of vocal-turn taking behavior in other species (e.g. the 115 fish Danionella cerebrum which can vocalize [30]) will reveal whether this phenomenon is even 116 117 more ubiquitous than previously appreciated. 118

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120 b) The potential function of vocal turn-taking

121 The ecological significance of vocal turn-taking behavior ranges from reproductive competition and conflict to sexual selection strategies. To avoid overlap with a communication partner implies 122 123 that vocal signal should not be masked but clearly conveyed. This is useful in the framework of territorial defense when the aim is to defeat a vocal sparring partner or, alternatively during mating 124 when females are supposed to be attracted by two individuals. Targeted overlap of a 125 communication signal can also be interpreted as a sign of aggression to perturb the performance 126 127 of the vocal partner. However, whether vocal overlap is a sign of aggression is debated [31, 32] 128 and further studies are needed to clarify this issue.

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130 The ability to coordinate vocalizations in an interspersed manner precedes spoken language 131 developmentally and evolutionarily, extending to other species ranging from non-human primates 132 to birds and anurans [33]. Antiphonal advertisement calls of frog have been well studied and we would like to refer the reader to Wells 1977 [34] for an extensive review. In all cases, vocal 133 interactions generally involve perceiving relevant acoustic signals and initiating exact motor 134 commands to generate an appropriate vocal reply. This social form of sensorimotor coordination 135 reduces acoustic overlap, thereby ensuring that signals are accurately transmitted and can be 136 137 correctly detected. Signaler and listener can respond to each other's vocalizations with variable delay, together with other adjusted structural vocal parameters for communicating their current 138 139 state [35]. The fundamental principles of vocal turn-taking can only be fully understood when both 140 participants and their associated internal states are taken into account.

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All vocal turn-taking species partition acoustic space to maximize information transfer. For 142 143 example, many anurans are highly vocal and communal social interactions are characterized by non-overlapping vocalizations of multiple individuals. However, this strategy can also be changed 144 145 to achieve maximal overlap which results in synchronous vocal outputs. It is hypothesized that the switch between these strategies might be an epiphenomenon to reset individual's signal output 146 upon hearing a neighbor's signal [36]. Interestingly, when multiple species habitat the same niche, 147 they vocalize at different times of the day to minimize acoustic overlap. For instance, frog species 148 149 within a community take turns with other species by producing their advertisement calls only at 150 specific times during the day while the other species remains quiet [37]. Although not strictly vocal turn-taking between two conspecifics as defined above, here multiple species are taking vocal turns 151 sequentially. This provides further evidence that communication evolves to minimize acoustic 152 overlap. 153

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Interestingly, in most animals only certain vocal types are reserved for turn-taking, whereas the rest are used in the solo context. For example, in zebra finches, for vocal usage depends upon specific contexts. During social bonding, they use short calls but switch to producing stereotyped

songs (in a unidirectional manner) while attracting females. Similarly, marmosets specifically use

phee calls for antiphonal interactions but have a larger repertoire of vocalizations for signaling 159 food or emotional state. One potential benefit of having specialized call types for vocal turn-taking 160

- might be to unambiguously signal a conspecific with an explicit expectation of a response in return. 161
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163 Vertebrates have developed numerous ways of producing sounds for communication. For example, some fish drum on their swim bladder [38] or stridulate their pectoral fins [39]; amphibians, 164 reptiles, and mammals have a larynx that functions as a voice box during vocalizations [40]; and 165 birds have a syrinx with membranes that vibrate during singing [41]. The diversity of vocal organs 166 in vertebrates is partly mirrored by different neural structures controlling the vocal organ, but there 167 168 are also similarities among species. Recent findings suggest that physiological and functional 169 properties of neuronal circuits in birds and mammals are comparable, and analogous circuits can 170 be identified in endbrain structures [42-46] as well as at the brainstem level in all vertebrates. 171 Taken together, all vocal turn-taking species achieve the same behavior with a slightly different 172 toolkit. In addition, since different species can either share communication strategies for vocal interactions or use distinct strategies, a comparative approach to study this behavior will provide 173 insights into the canonical circuit functions and identify specialized ones underlying vocal control 174 175 and production, as well as audio-vocal integration processes.

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What are the neural principles underlying vocal turn-taking in mammals?

The neural processes underlying vocal communication behaviors are quite complex. It requires the 180 181 integration of auditory inputs, planning, and generation of appropriate motor commands to move 182 the vocal muscles, all within a fraction of a second. Since, lesions in different brain regions can 183 cause specific deficits (e.g., sensory or motor aphasias), we have a parts-list of different brain 184 regions mediating vocal communication. However, we do not understand the neural circuit-level 185 mechanisms of vocal communication, despite its relevance to many communication disorders.

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187 In mammals, species-typical sounds are generated by the primary "vocal motor network", consisting of evolutionarily conserved brain areas in the midbrain and brainstem [45]. Based on 188 189 human brain lesions, gene expression profiles and neurophysiology evidence in primates, a second 190 frontal/motor "volitional control system" has been proposed [47]. Such cortical control over vocal 191 production circuits is thought to render flexibility to vocal behaviors, such as during conversations or for modifying vocal usage based on context [48]. A key player of the primary "vocal motor 192 network" is the periaqueductal grey (PAG). PAG lesions result in mutism and stimulation, either 193 electrical or chemical, generates species-typical vocalizations in monkeys [49]. A recent study in 194 laboratory mice has revealed the central importance of PAG in controlling ultrasonic vocalizations 195 196 (USV). The first step was to use a clever genetic strategy to selectively label PAG neurons that are transiently activated in relation to USVs, referred to as the PAG-USV neurons [50]**. Blocking 197 198 neurotransmission of the PAG-USV neurons using tetanus toxin light-chain reduced USV 199 production and conversely, chemical or optogenetic activation led to more USVs in the absence of social cues. Importantly, optogenetic stimulation evoked USVs were produced during the
 exhalation phase of the respiratory cycle and did not differ from natural USVs in acoustic features.
 Finally, the authors showed that selective activation of PAG-USV neurons that project to the
 nucleus retroambiguus in the brain-stem is sufficient to elicit USVs [50]**.

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205 But what triggers or suppresses such vocal production circuits especially in a context dependent manner? In monkeys, stimulation of the anterior cingulate cortex (ACC) that projects directly to 206 PAG, elicits species-specific vocalizations [51]. Other brain areas such as the hypothalamus and 207 amygdala, when electrically stimulated also result in the production of vocalizations, suggesting 208 209 that many regions upstream of PAG could influence context-specific vocalizations. Recent studies in laboratory mice have revealed the detailed circuit mechanism by which hypothalamus and 210 amygdala control vocal production via the PAG [52, 53]. Optogenetic activation of PAG projecting 211 212 amygdala neurons can transiently suppress ongoing USVs. In contrast, activation of GABAergic 213 hypothalamic neurons (medial preoptic area) that project to PAG elicits USVs in the absence of social cues [52]. It has been shown that increased activity of the hypothalamic input to PAG (e.g., 214 ESR1+ve neurons in the lateral preoptic area) can flexibly scale the duration and amplitude of the 215 216 USVs [53]**. Together, these studies begin to define the core circuit elements of the "primary 217 vocal motor network" wherein PAG-USV neurons control USV production via pattern generators 218 in the brainstem and in turn, hypothalamic or amygdala inputs to PAG provide context-specific 219 behavioral gating (Fig 1 D).

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221 The ability to exert voluntary control over phonation is a crucial element of human speech. Does 222 this have a phylogenetic precursor in other species? Behavioral evidence in favor of this idea comes from experiments demonstrating that macaque monkeys (Macaca mulatta) can be 223 224 successfully trained to emit vocalizations (coo calls) in response to food [54] or to arbitrary visual stimuli [55]. Insights into neural circuit mechanisms for such voluntary control comes from neural 225 226 (extracellular) recordings during volitional control of vocalizations. For example, macaque 227 premotor cortex (PMv) contains vocalization-specific population of neurons that discharge either immediately before or during vocal onset, showing a preference for conditioned (voluntary) 228 vocalizations comparted to spontaneous ones [55]. Similar results have been observed in the 229 230 ventrolateral prefrontal cortex (vIPFC), the putative Broca's area analog in monkeys. Macaque monkeys were successfully trained on a Go No-Go task to vocalize in response to a Go visual 231 232 stimulus or withhold their vocalizations in response to the No-Go visual stimulus [55]. Single-unit electrophysiology recordings during this paradigm revealed that vIPFC neurons discharged 233 preferentially during cued vocalizations and not during arousal outside of training. Moreover, 234 235 neural activity of specific neurons immediately before vocal onset was correlated with acoustic features such as the call duration. Taken together, these findings provide evidence for a dual 236 237 network model of vocalizations, wherein a frontal/motor "volitional control system" involving 238 multiple cortical areas (e.g., PFC and PMv) is capable of exerting voluntary control over the 239 phylogenetically older "primary vocal motor network" described above (Fig. 1 D).

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For turn-taking such as during a conversation, vocal production needs to be flexibly controlled by 241 242 the auditory inputs from the vocal partner. What are the neural circuit mechanisms underlying such flexible auditory-motor coupling in the brain? For example, the New World marmoset monkeys 243 244 participate in vocal exchanges. Consequently, marmosets have emerged as a prominent primate model to study vocal communication [10, 11, 56]. Marmosets have a large repertoire of 245 vocalizations, including trills, twitters and phee calls that are used in distinct social settings [57]. 246 Among these, the most extensively studied is the phee call – a long-range contact call, which the 247 248 common marmoset (*Callithrix jacchus*) uses to participate in vocal turn-taking during cooperative 249 vocal communication [10, 58] (Fig 1 B). This turn taking behavior is on the order of seconds (Fig 250 1 C) and thus, follows a slower time scale compared to human conversation. Phee calls are thought 251 to encode a variety of social information such as caller sex and individual identity [59-61]. Recent studies have shown that marmosets are capable of interrupting and modulating ongoing 252 vocalizations based on auditory inputs [44]. For example, auditory perturbation during on-going 253 phee calls showed that animals interrupt vocalizations only at discreet time points, suggesting that 254 255 each phee call is in fact composed of multiple vocal units [62]**. Further, it has been observed that marmosets rarely initiate vocalizations during the presentation of calls and therefore seem to 256 inhibit calling to avoid interference [10, 11] 257

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259 A few studies have measured neural activity in different cortical regions during perception and 260 production of phee calls. Neurons in the marmoset primary auditory cortex respond to 261 spectrotemporal acoustic pattern of the species-typical vocalizations [63]. In addition to these 262 purely sensory responses, auditory cortex neurons show significant vocalization-induced modulation of firing rates during self-generated phee calls [64]. Most of these auditory cortical 263 264 neurons show a suppression of neural activity that starts prior to the onset of vocalizations, consistent with an efference copy mechanism thought to convey the predicted sensory 265 266 consequences of self-generated movements [65]. In line with this model, these vocalization-267 suppressed neurons in auditory cortex were subsequently found to be sensitive to altered selfgenerated vocal feedback [66]. Moreover, neurons in auditory cortex are sensitive to compensatory 268 vocal control in response to auditory feedback. Electrical stimulation of the same sites evokes rapid 269 270 changes in vocal production [67]. Therefore, behavioral and physiological evidence suggests that 271 auditory cortical activity plays a causal role in feedback-dependent vocal control [67].

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What are the brain areas that control audio-vocal interactions in Marmosets? Ventral premotor cortex (vPMC) and prefrontal cortex (PFC) showed heightened immediate early gene expression during antiphonal conversations (Fig 1 D) [60, 68]. Subsequent electrophysiological recordings identified neurons in PFC and vPMC that show increased neural activity during vocal production [69]. Interestingly, these neurons are also active during spontaneously generated phee-calls in contrast to the vIPFC neurons reported in the macaques that are modulated only by cuedvocalizations [54, 55]. Further studies are needed to resolve whether this discrepancy arises due to operant-conditioning in the case of the macaque experiments or it reflects species-specificdifferences.

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283 While these pioneering studies have identified the brain regions involved in primate turn-taking 284 behaviors, our understanding of neural circuit mechanisms underlying vocal interactions, especially in mammals, remains quite rudimentary. While lab mice (Mus musculus) or rats (Rattus 285 *rattus*) produce ample ultrasonic vocalizations, they do not seem to take turns. Recently, another 286 mouse species, the Alston's singing mouse (Scotinomys teguina) has been found to not only 287 vocalize in the audible range for humans but also to exhibit vocal turn-taking with conspecifics 288 289 [70]. These rodents sing both spontaneous "solo" songs, as well as "duets". This counter-singing behavior requires sub-second modification of motor outputs in response to auditory cues with a 290 temporal precision that resembles human conversation [13]. Importantly, vocalizations that are 291 292 relatively stable during solo singing become highly variable when the individual participates in vocal turn-taking, demonstrating strong context dependence - a hallmark of social behaviors. 293 294 Furthermore, as a small rodent, it is readily amenable to many behavioral, electrophysiological, 295 and viral tools developed in recent decades. Therefore, a more detailed analysis of the underlying 296 turn-taking circuitry in mammals was conducted with the Alston's singing mice.

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298 Using four complementary lines of evidence, Okobi, Banerjee et. al. defined a region of orofacial 299 motor cortex (OMC) that mediates flexible vocal interactions in the singing mouse. Intracortical 300 microstimulation (ICMS) was first used to map a functional hotspot located on the anterolateral 301 aspect of motor cortex, which was referred to as the orofacial motor cortex (OMC). Electrical 302 stimulation of OMC disrupted ongoing singing behavior. Additionally, mild focal cooling of OMC slowed down the progression of the motor sequence by incorporating additional notes, resulting in 303 considerably longer songs. Furthermore, silencing OMC activity (via GABA-A agonist 304 muscimol), the authors showed that the animals could still sing their songs but could no longer 305 306 participate in vocal interactions. While previous studies have used immediate early gene 307 expression or electrophysiology to suggest cortical involvement in non-mammalian communication, these results represent the first direct demonstration of motor cortical dependence 308 of vocal interactions in a non-primate mammalian species. These experiments demonstrated 309 310 hierarchical vocal motor control in a rodent [13], and extends the scope of the dual network model of vocal production [47] that was previously thought to be evolutionarily restricted to the primate 311 312 lineage.

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In summary, evidence from primate and rodents suggest that in all mammals, species-typical sounds, like laughing or crying, which tend to be stereotyped and relatively inflexible, are generated by the primary "vocal motor network". This network consists of vocal pattern generating regions in the brainstem and operates under limbic control. There exists a second frontal/motor

318 "volitional control system", which exerts *cortical control* over this primary vocal motor network

319 [47]. The volitional control system effectively renders certain types of vocalizations, i.e., speech,320 more flexible.

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322 Neural control of vocal turn-taking in birds

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324 How is this 'vocal motor network' and the 'volitional control system' implemented in other animal species with a different brain architecture and network connectivity? Songbirds are among the 325 326 most vocal animals and their brain is comprised of dedicated and well-defined pathways for auditory perception and vocal production [71] for which molecular and functional homologies to 327 the mammalian cortex have been described [72]. Due to its anatomical location as an interface 328 329 between the auditory pathway and the vocal motor pathway, the premotor nucleus HVC (proper 330 name) is well positioned to play an important role during sensorimotor integration. Functionally, it has been shown that HVC shows stereotyped activity while a bird is singing [73] thus suggesting 331 332 a role in patterned vocal signals, and likely in vocal turn-taking. Recent research by Benichov & Vallentin (2020) [22]** found that zebra finches tend to respond with preferred latencies to 333 334 specific social partners. In the experiment, isochronous stack call playbacks were presented to an 335 isolated bird. Different individuals responded with a specific stereotyped latency (198-322 ms). Besides, adding another bird to the set up resulted in one of the individuals responding later in 336 337 time, showing that zebra finches might anticipate the calls of a vocal conspecific in order to adjust 338 their own call timing, and ultimately avoid overlapping. The initial findings highlight the flexibility 339 of the vocal system and its dependence on the social context. Following the behavioral 340 characterization HVC was pharmacologically inactivated bilaterally. The response timing got 341 reversibly impaired, the precision was lost, with birds no longer showing a preferred response time. 342 Benichov et al. (2016) [74] observed similar results after RA lesion, as expected from being 343 immediately downstream of HVC in the descending motor pathway. Finally, to identify the neural 344 circuit mechanism within HVC related to call timing, Benichov & Vallentin (2020) performed 345 intracellular recordings from HVC neurons while the birds were calling. Results showed cells with 346 call-related premotor activity, call-related inhibition that preceded the premotor activity, and 347 inhibitory interneurons transiently increasing their firing rate in relation to calling and then 348 reducing it. Interestingly, the rise in interneuron activity preceded the premotor signal, suggesting 349 a role for this type of neurons in regulating premotor cells in HVC, and therefore call timing. To test this hypothesis, inhibition within HVC was temporarily lifted by means of Gabazine 350 application. This pharmacological manipulation resulted in a change in call timing i.e., birds were 351 352 calling faster in response to the call playback by up to 100 ms. Thus, premotor neurons provide 353 accuracy to vocal onsets whereas interneurons ensure that vocalizations are triggered at 354 appropriate times. All in all, the findings underpin that HVC is necessary for call timing precision, 355 thus clarifying the forebrain role in vocal turn-taking.

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357 A similar role for inhibition during vocal turn-taking has been described in HVC of duetting wrens

358 [75]. These animals counter sing in a rapid manner with each other. Just like in other songbirds,

359 HVC neurons are active during song production but not during auditory input when these birds are

- 360 engaged in song production. To test the hypothesis whether inhibition within HVC suppresses
- 361 auditory information during vocal interactions, the authors lifted inhibition by means of urethane
- anesthesia and showed that auditory-related activity reemerged. Taken together, inhibition might
- 363 play a crucial role in withholding vocal production while listening to a communication partner.
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Remarkably, in spite of millions of years of divergence between birds and the singing mice, similar neural control circuitry underlies vocal turn-taking behavior. This underscores the importance of the comparative neuroethology approach to discover the canonical neural principles of vocal turntaking behavior in diverse species.

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370 Conclusions and Outlook

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In systems neuroscience, typical paradigms to study sensorimotor transformations involve training animals to associate arbitrary stimuli to specific actions. For example, in a Go No-Go task, a rodent may be trained to associate a sensory stimulus with a specific movement and also to withhold the movement in response to a different sensory stimulus (Fig 2 A). Such paradigms allow experimenters to precisely control sensory stimuli as well as measure behavioral outputs with great precision and have taught us a lot about neural computations and circuit-mechanisms underlying such sensorimotor behaviors.

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380 There is growing emphasis on using naturalistic behaviors in neuroscience [76-78]. Vocal communication, especially turn-taking behaviors described above goes beyond 'naturalistic' -381 382 they represent tractable natural and self-guided behaviors ideally suited to study neural 383 computations underlying sensorimotor integration. Since vocal partners take turns and avoid jamming each other as described above, this behavior can be conveniently delineated into sensory, 384 delay and motor epochs, a feature typically engineered in many operant conditioning tasks (Fig 2). 385 Therefore, understanding the neural basis of vocal turn-taking behaviors in diverse species offers 386 387 a potentially paradigm-changing approach for investigating the substrates of ethologically relevant 388 perception, cognition and action in the laboratory (Fig 2 B).

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Finally, studying natural behaviors such as vocal turn-taking enables one to go beyond the 'proximate' question of how neural circuits function towards the 'ultimate' question of how neural circuits evolve (specified by genes and modified by learning). Comparing and contrasting neural circuit mechanisms across diverse species can help us to discover canonical algorithms underlying vocal turn-taking. Going forward, out of the plethora of questions that can be addressed while studying vocal turn-taking behavior, we would like to highlight the following three:

- Can a unifying signature of vocal turn-taking behavior be identified that holds true acrossanimals? Or did different strategies evolve due to ecological needs?
- 398 Are there common neural circuit motifs across animal species that govern the temporal399 basis of vocal turn-taking?

400 - What are the relative contributions of cortical and subcortical areas to the execution of401 vocal turn-taking during different contexts?

The spectacular progress in neural measurement and manipulation technologies in the last decade, coupled with gene editing platforms such as CRISPR, can hopefully allow us to leverage the diversity of natural behaviors in pursuit of generalizable principles in neuroscience.

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406 Highlights:

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**Benichov and Vallentin, 2020: This study investigates the neural circuit mechanisms
underlying call interaction between zebra finches. Combining electrophysiological recordings of
identified cell types in the premotor nucleus HVC and subsequent targeted pharmacological
manipulations of these neurons the authors show that inhibition plays a key role in withholding
and executing vocal production at appropriate times.

413

414 **Okobi, Banerjee et al, 2019: This study was the first to describe fast and flexible vocal 415 interactions in a neotropical species of rodent, the Alston's singing mice. Using a variety of neural 416 circuit perturbation experiments, the authors demonstrated robust and hierarchical motor cortical 417 control over vocal behaviors in a rodent species, previously thought to be restricted only to the 418 primate lineage.

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**Tschida et al, 2019: Using a clever genetic strategy, this study managed to specifically tag
ultrasonic vocalization sensitive neurons in the periaqueductal grey (PAG). Using bi-directional
causal manipulations, the authors demonstrated the central importance of PAG in gating USV
production in lab mice.

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**Hoffmann et al, 2019: The authors investigated the neural basis of vocal duetting behavior in
songbird pairs ranging freely in their natural habitats. Wireless technology enabled simultaneous
recordings of individual vocalizations and multiunit vocal premotor activity. They found evidence
for inter-individual synchrony in the premotor activity in the nucleus HVC between duetting song
birds.

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**Pomberger et al, 2018: The authors investigate whether marmoset monkeys are able to produce
vocalizations with variable durations dependent on external sensory perturbations. They used a
noise paradigm to perturb the marmosets during phee call production and find that these calls can
be segmented in defined components indicating that marmoset vocalizations are not generated by
a fixed pattern generator but are regulated by a more versatile neural network.

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**Chen, J. et al, 2021: The authors report a specific population of estrogen receptor 1 (esr1)
positive neurons in the lateral preoptic area of the hypothalamus that can scale the amplitude of

- 439 vocalizations through a di-synaptic pathway acting via the periaqueductal grey. This neural circuit
- 440 is important for generating variability in USVs seen in social contexts.

441 Conflict of Interest Statement:

- 442 The authors declare no conflict of interests.
- 443

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452 Figures:





Figure 1 | **Vocal turn-taking behavior of animals. A**) Display of pairs of different animals vocally interacting. **B**) Sonograms of vocalizations used by the animals shown in **A**. Although the spectral features of the vocalizations have a species-specific signature, all animals take turns while interacting. **C**) Temporal profile of vocal turn taking. Vocalizations of animal 2 (green) aligned to the offset of the vocalizations of animal 1 (grey). Note the different times scales of these vocal exchanges. **D**) Neural circuits controlling vocal outputs: Broca: Broca's area; LRF: Laryngeal reticular formation; PMv: Premotor cortex (ventral); vPFC: ventral Pre-frontal cortex; PAG: Periaqueductal grey; M1: Primary motor cortex; ACC: Anterior cingulate cortex; nXIIts: Hyperglossal nucleus; DM: Dorsomedial nucleus of the intercollicular complex; RA: Robust nucleus of the arcopallium; HVC: proper name; Human data credit: Giacomo Costalunga & Neetash Mysuru, Marmoset data credit: Steffen Hage, Singing mice data credit: Clifford Harpole, Zebra finch data credit: Jonathan Benichov.

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Figure 2 | Vocal turn-taking as paradigms for studying ethologically relevant sensorimotor transformations. A) Typical design of a Go No-Go task wherein a subject is presented with sensory stimuli followed by a delay period leading up to the motor response. Cartoon adapted from O'Conner et. al. 2010 B) Computations and their neural signatures underlying sensorimotor transformation during vocal turn-taking - from sensory evidence accumulation to decision making and finally leading up to motor commands and muscle movements. This example highlights vocal turntaking behavior in the singing mice - a novel mammalian model system to study neural circuits for vocal communication. The minimal temporal overlap between the songs of the two mice conveniently delineates the behavior into sensory, delay and motor epochs, a feature typically engineered in many tasks.

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