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Geophysical Research Letters
Supporting Information for

Drought legacy in sub-seasonal vegetation state and sensitivity to climate over the Northern Hemisphere

Minchao Wu^{1,2*}, Stefano Manzoni^{3,4}, Giulia Vico⁵, Ana Bastos⁶, Franciska de Vries⁷,
Gabriele Messori^{1,4,8,9}

¹ Department of Earth Sciences, Uppsala University, Uppsala, Sweden

² Department of Physical Geography and Ecosystem Science, Lund University, Lund, Sweden

³ Department of Physical Geography, Stockholm University, Stockholm, Sweden

⁴ Bolin Centre for Climate Research, Stockholm University, Stockholm, Sweden

⁵ Department of Crop Production Ecology, Swedish University of Agricultural Sciences (SLU), Uppsala, Sweden

⁶ Max-Planck Institute for Biogeochemistry, Department of Biogeochemical Integration, Hans-Knöll Str. 10, 07745 Jena, Germany

⁷ Institute for Biodiversity and Ecosystem Dynamics, University of Amsterdam, 1090 GE Amsterdam, Netherlands

⁸ Centre of Natural Hazards and Disaster Science (CNDS), Uppsala, Sweden

⁹ Department of Meteorology, Stockholm University, Stockholm, Sweden

*Correspondence to: Minchao Wu, minchao.wu@geo.uu.se

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38 **Supplementary Information**

39 **SI 1 Extended description of data**

40 SI 1.1 Drought index SPEI

41 We quantified drought severity using the Standardised Precipitation-Evapotranspiration Index
42 (SPEI, Vicente-Serrano et al., 2010). SPEI is a multiscalar drought index based on the
43 climatic water balance represented as the difference between precipitation and potential
44 evapotranspiration for a specific period. SPEI indicates water deficit if negative and surplus if
45 positive. SPEI can be computed over different timescales representing the cumulative
46 hydrological balance over previous numbers of months, typically between 1 and 48 months.
47 Here, we choose SPEI at the time scale of one month (SPEI1) to identify extreme conditions
48 associated with soil moisture deficits. SPEI at the time scales of three months (SPEI3) and six
49 months (SPEI6) were also used to test the dependence of our results on SPEI time scales. The
50 existing global SPEI dataset covers the period between January 1901 and December 2018 at
51 0.5-degree spatial resolution. To include the recent extreme events such as the period after
52 2018 Europe heatwave and droughts, we extend the dataset with the SPEI utility (Beguería,
53 2017) until the end of 2020, based on the latest CRU TS 4.05 dataset.

54 SI 1.2 Satellite-based vegetation index datasets

55 The MODIS enhanced vegetation index (EVI) captures changes in vegetation canopy
56 greenness, which reflects leaf area, chlorophyll and canopy structure and is employed to
57 represent vegetation state in our analysis. EVI improves sensitivity over high biomass
58 vegetation relative to the Normalised Difference Vegetation Index (NDVI) by minimising
59 noise from atmospheric influences and soil-brightness variations (Huete et al., 2002) and has
60 been widely used to monitor mechanisms of vegetation response to droughts (Jiao et al.,
61 2021a and references therein). The EVI dataset is produced at 16-day intervals at 0.05-degree
62 resolution Climate Modeling Grid (CMG) cells, with a MODIS-specific compositing method
63 to remove low-quality pixels.

64 SI 1.3 Datasets for growing conditions and other ancillary data

65 Three local growing conditions, i.e., 2m air temperature, downwards surface solar radiation,
66 and root-zone soil moisture, were used to represent key vegetation limiting factors
67 (temperature, light, and water) and to investigate changes in post-drought vegetation

68 sensitivity to climate. Monthly-mean 2m air temperature and downwards surface solar
69 radiation at 0.25-degree horizontal resolution were derived from ERA5 reanalysis (Hersbach
70 et al., 2020). Root-zone soil moisture was derived from the Global Land Evaporation
71 Amsterdam Model (GLEAM, Martens et al., 2017), which employs a multi-layer water-
72 balance algorithm considering the depth root zone being a function of the land-cover class.
73 GLEAM soil moisture is at the same temporal and spatial resolutions as ERA5, and is
74 suggested to in general outperform ERA5 reanalysis, in particular for the slow variability at
75 monthly and longer timescales (Beck et al., 2021).

76

77 In addition, monthly frost day frequency at 0.5-degree horizontal resolution was used to
78 locally define the growing season (see Wu et al., 2021, and Sect. 2.4 below). To investigate
79 the influences of ecosystem type on vegetation response to droughts, we used the yearly 0.05-
80 degree MODIS-based maps of the International Geosphere-Biosphere Programme (IGBP)
81 land classification data set of MCD12C1 (Friedl et al., 2002) and grouped relevant spatial
82 points for each land class (Fig. S3).

83

84 To gain further insight into the impacts of aridity on the identified legacy effects, we
85 extended the land-cover classification by grouping data by aridity classes based on the Global
86 Aridity Index (AI) provided by the Consultative Group on International Agricultural
87 Research (CGIAR) Consortium for Spatial Information (CGIAR-CSI,
88 <https://cgiarcsi.community/data/global-aridity-and-pet-database/>). AI is defined as the ratio of
89 annual rainfall to annual potential evapotranspiration (Jiao et al., 2021b). Two aridity regions,
90 humid ($AI > 0.65$) and arid ($AI \leq 0.2$) (Fig. S14), were defined for the aridity-related
91 analyses to separate the responses of vegetation adapted to either humid or dry environments
92 (Fig. S15-16). Global irrigation maps from the ESA CCI Land Cover time-series v2.0.7
93 (Santoro et al., 2017) (1992-2015, at a 300m spatial resolution) are also used to verify the
94 effectiveness of the employed filtering approach based on significant correlation coefficients.

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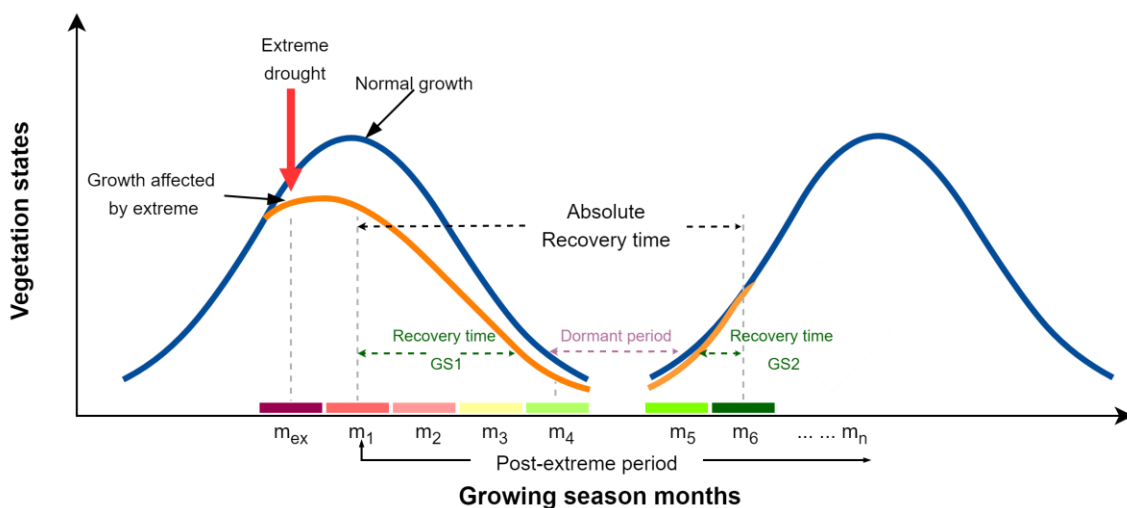
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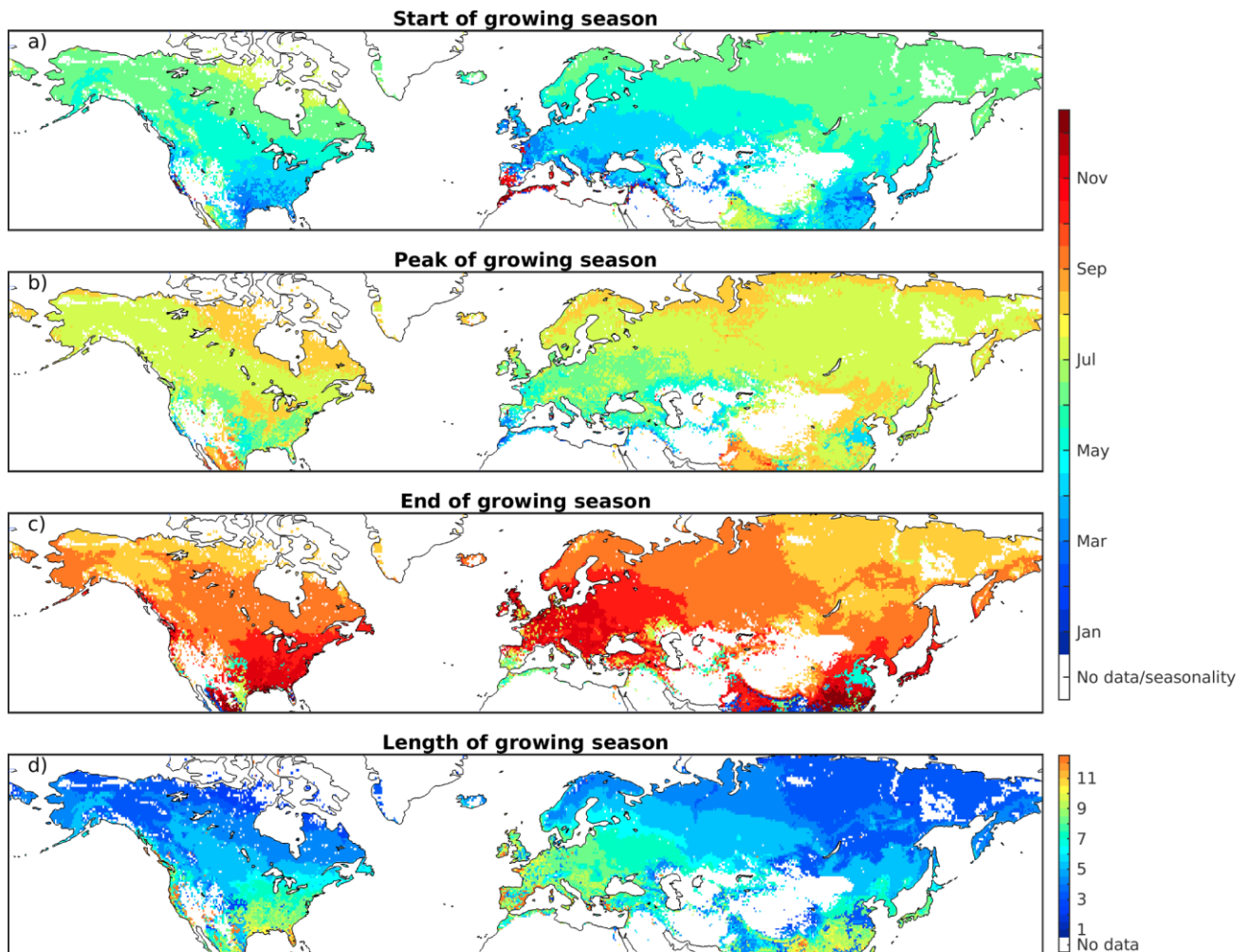
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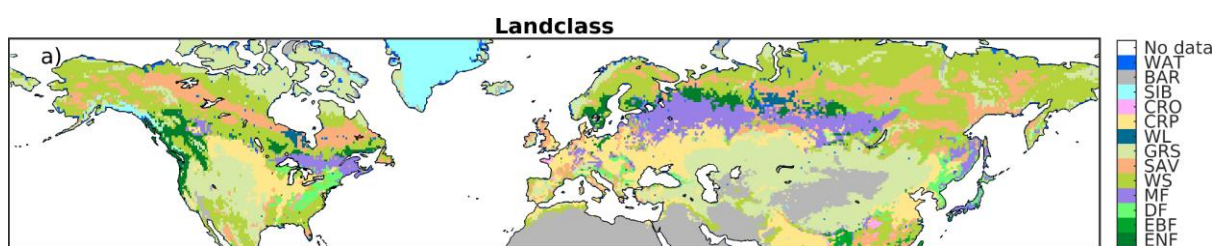


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 105 Fig. S1. Schematic diagram for identifying droughts and post-drought periods based on the location-
 106 specific definition of vegetation growing seasons. The recovery period starts from the month (m_1)
 107 after the extreme month (m_{ex} , i.e., the month in which a severe drought occurs), but does not include
 108 the dormant period between each growing season (purple dashed line). The recovery period ends
 109 when the post-drought vegetation state (orange solid line) returns to the normal vegetation state (dark
 110 blue solid line).
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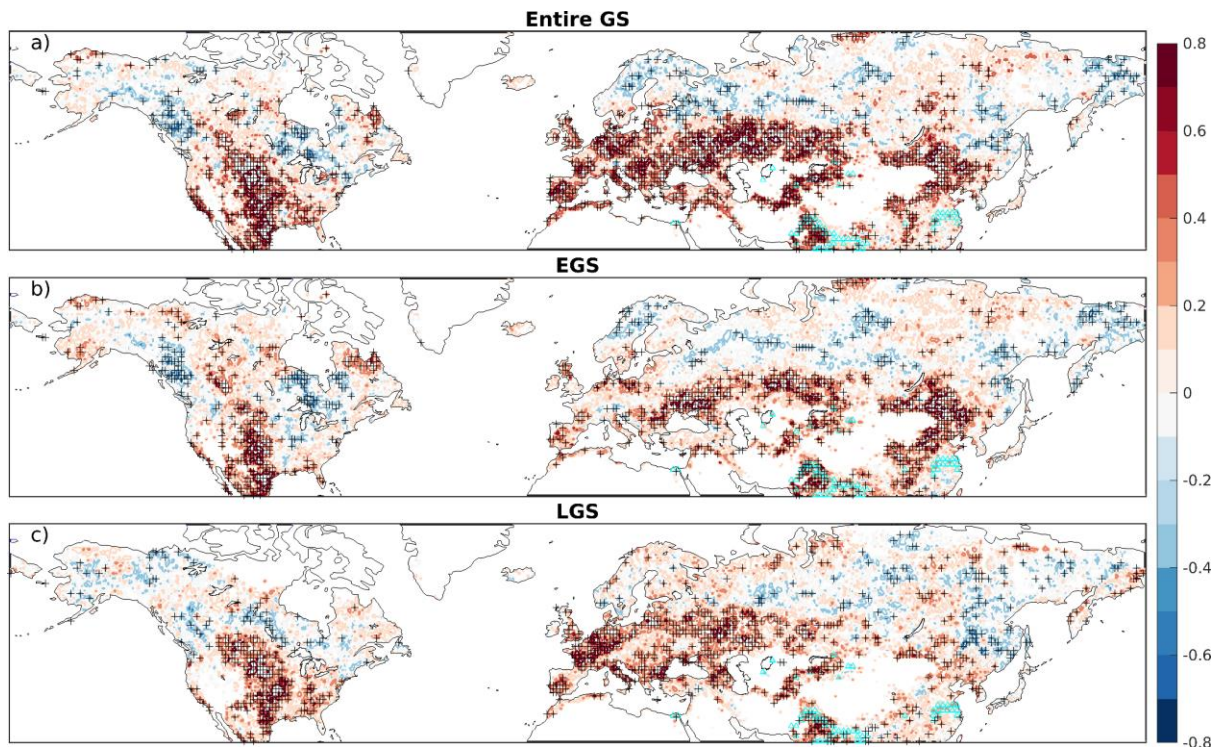
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Fig. S2. Growing season parameters used to constrain the calculation of vegetation and climate variables in this study, which include: (a) start of growing season (SOS), (b) peak of growing season (POS), (c) end of growing season (EOS) and (d) length of growing season (LOS). Calculations are based on MODIS EVI data and CRU 4.1, see Methods for details.



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Fig. S3. Definition of land-cover classes based on IGBP land classes. The abbreviations in the colour bar refer to: ENF, evergreen needleleaf forests; EBF, evergreen broadleaf forests; DF, deciduous forests; MF, mixed forests; WS, closed shrubland, open shrubland and woody savannas; SAV, savannas (temperate); GRS, grasslands; WL, permanent wetland; CRP, croplands; CRO, croplands and natural vegetation mosaic; SIB, snow and ice; BAR, barren sparsely vegetation; WAT, water bodies.



129
 130 Fig. S4. Same as Fig. 1, but presenting gridpoints with significant r ($p < 0.05$; stippled with black “+”)
 131 based on SPEI and gridpoints with intense irrigation (> 0.5 irrigation fraction of the gridpoint, in
 132 cyan).

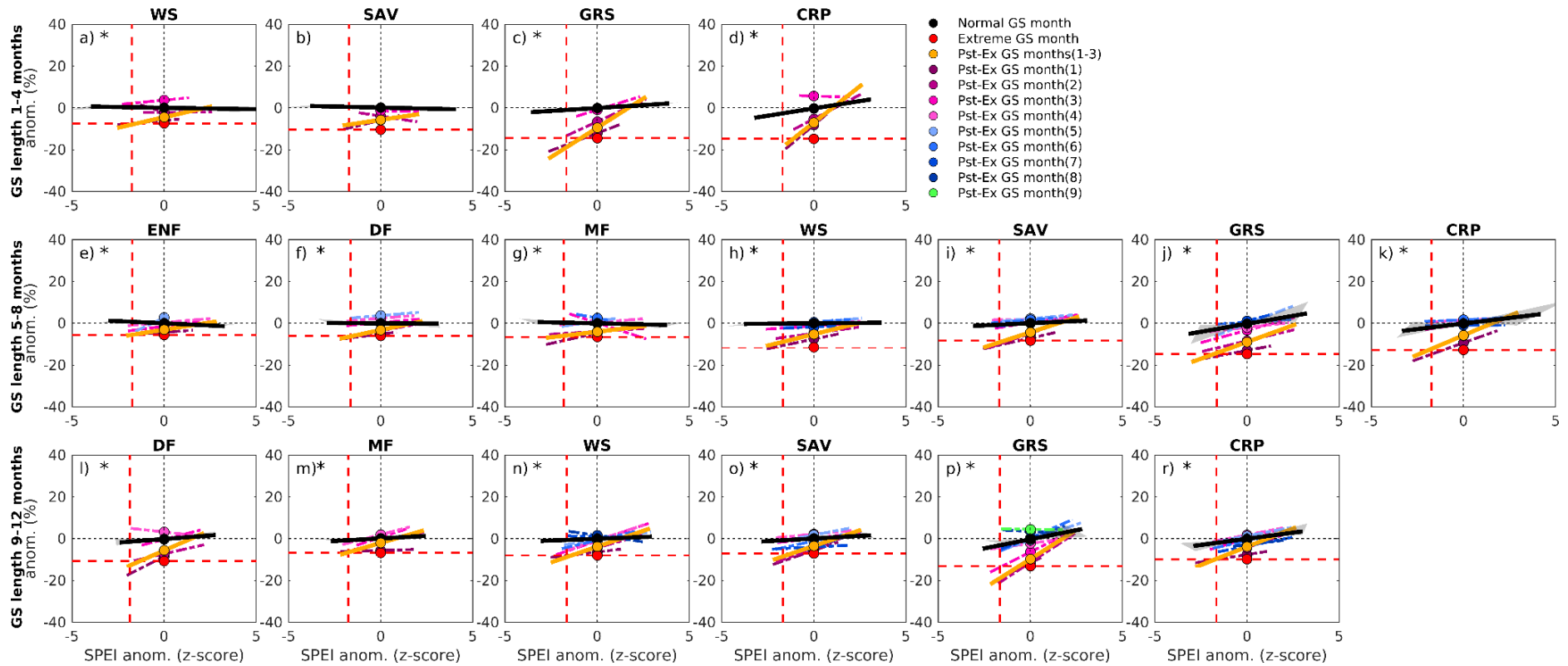


Fig. S5. Changes in vegetation state (EVI anomalies, y-axis, %) and sensitivities to SPEI1 for post-drought months relative to those in normal growing season (GS) months when severe droughts occur in early GS. Filled circles (excluding the red one) are the intercepts of linear regression models based on the EVI anomalies (y-axis, %) against the standardised SPEI1 anomalies (x-axis, z-score), indicating vegetation states for neutral growing conditions (i.e., α). Dashed lines excluding the red one are the slope of regressions for each GS month, indicating vegetation sensitivity (i.e., β). Red horizontal and vertical dashed lines indicate the average anomalies of EVI and SPEI1 when severe droughts occur. The red filled circle is used to compare the vegetation states for the normal and post-drought periods. Grey shading along the black solid line indicates the spread of sensitivities for different GS months during the normal period. Solid orange lines are slopes of the first three post-drought months. Stars in the top left corner indicate a significant difference between the black and orange solid lines.

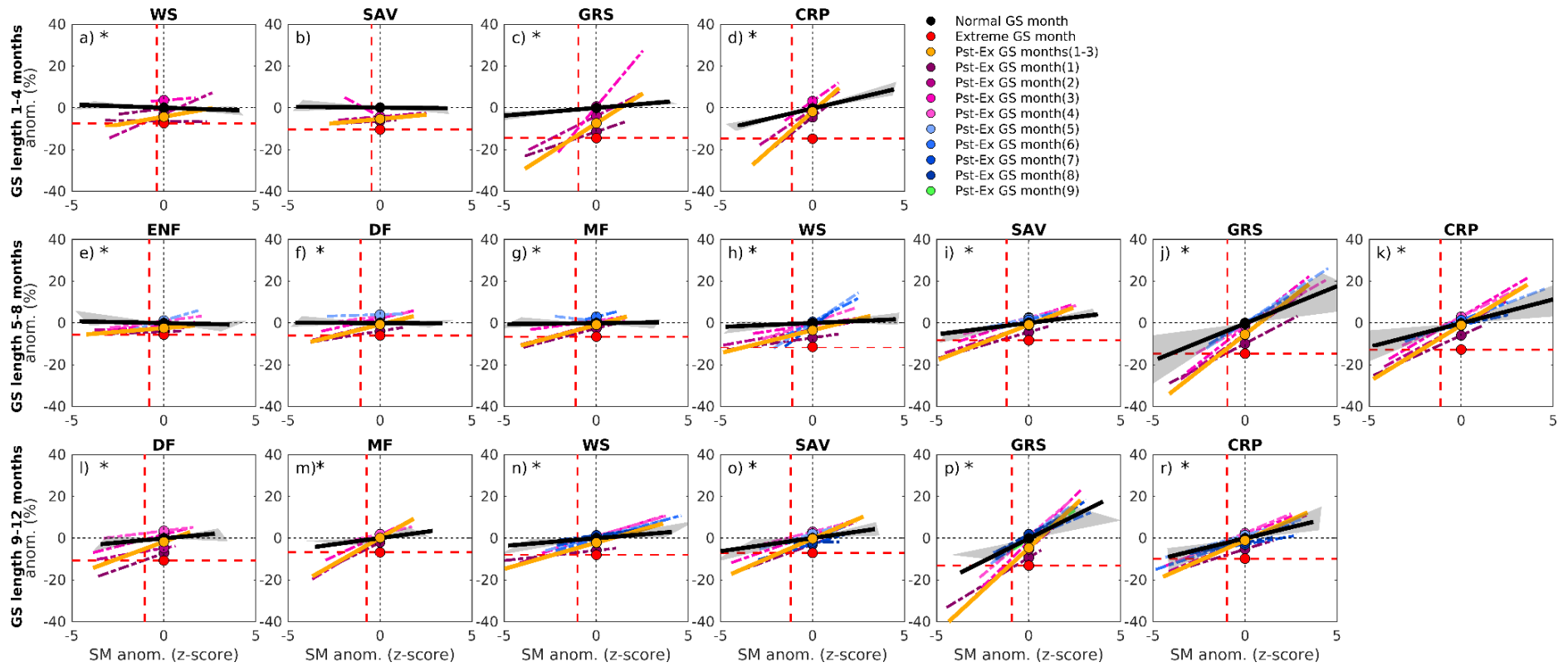


Fig. S6. Same as Fig. S5, but for EVI anomalies (y-axis, %) against the standardised soil moisture (SM) anomalies (x-axis, z-score).

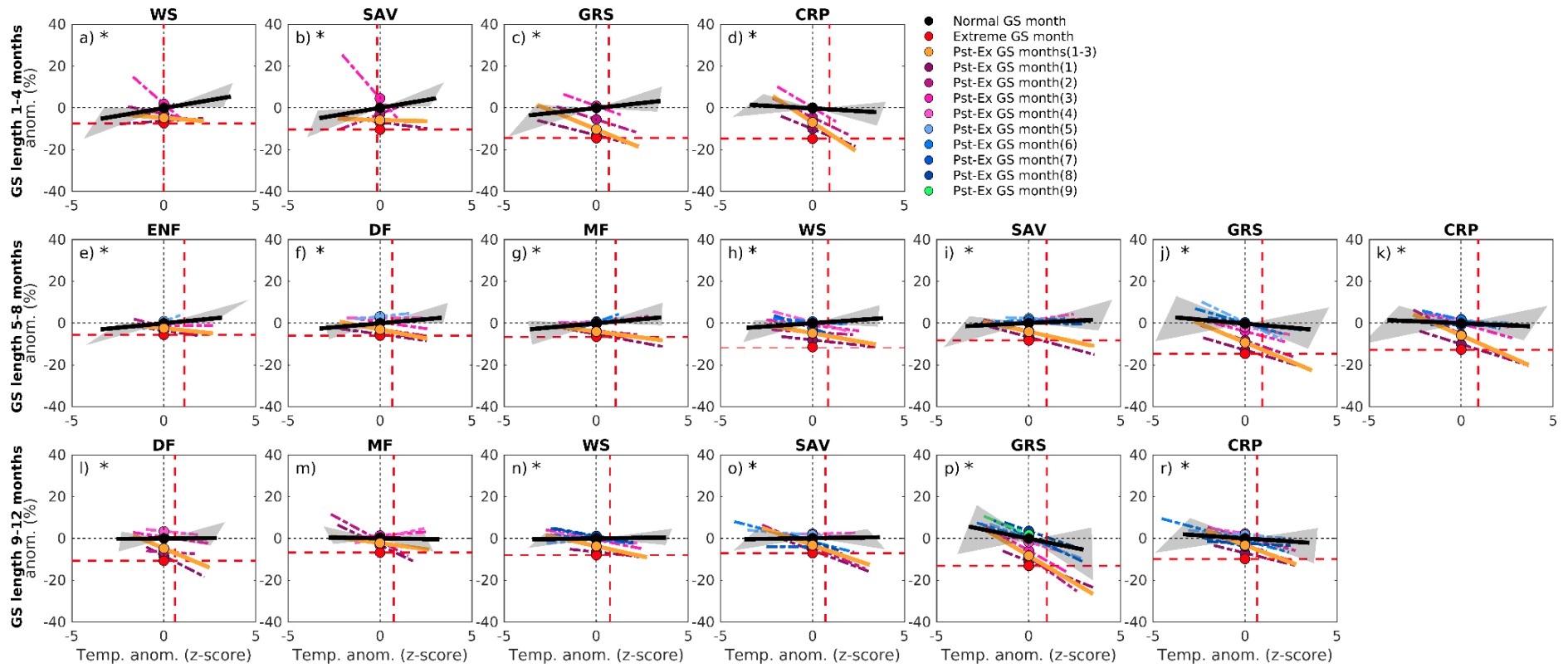


Fig. S7. Same as Fig. S5, but for EVI anomalies (y-axis, %) against the standardised 2m air temperature anomalies (x-axis, z-score).

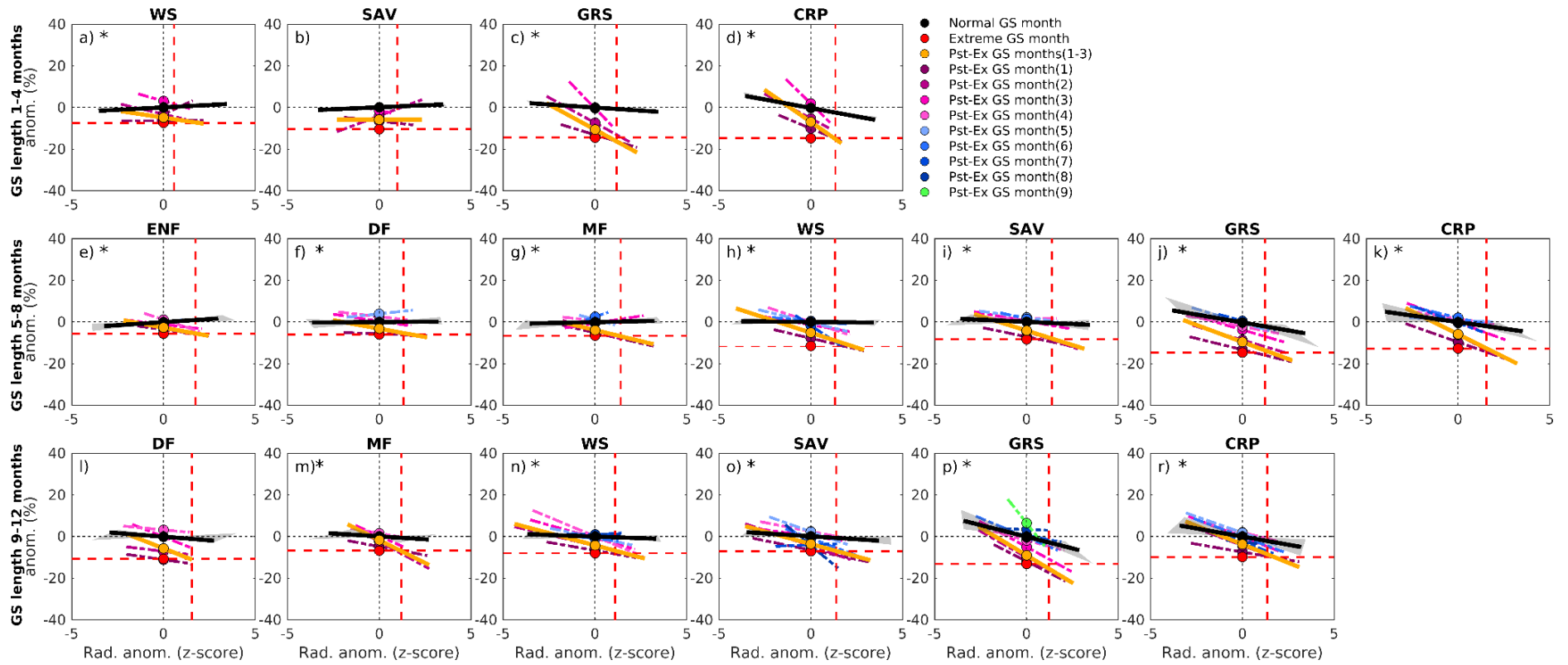


Fig. S8. Same as Fig. S5, but for EVI anomalies (y-axis, %) against the standardised surface solar radiation downwards anomalies (x-axis, z-score).

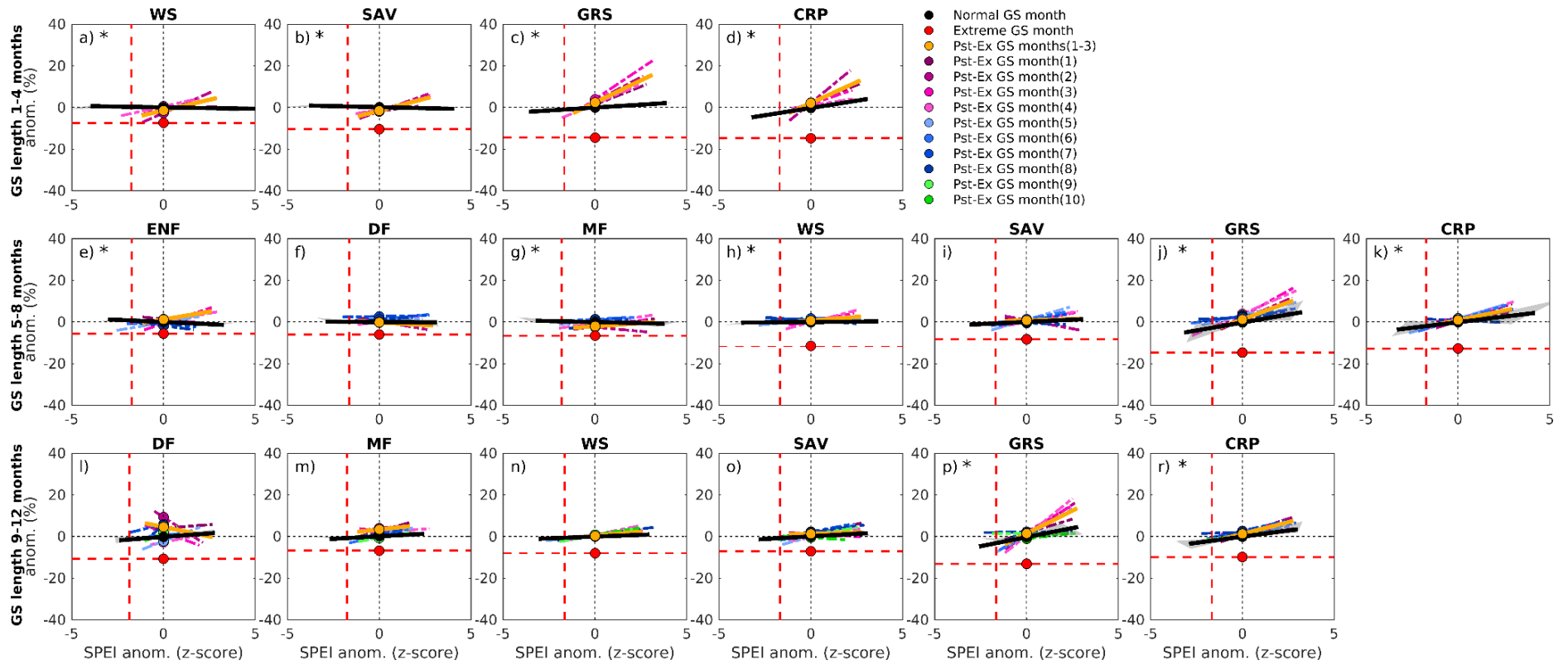


Fig. S9. Same as Fig. S5, but for the 2nd GS (i.e., next growing season after a severe drought).

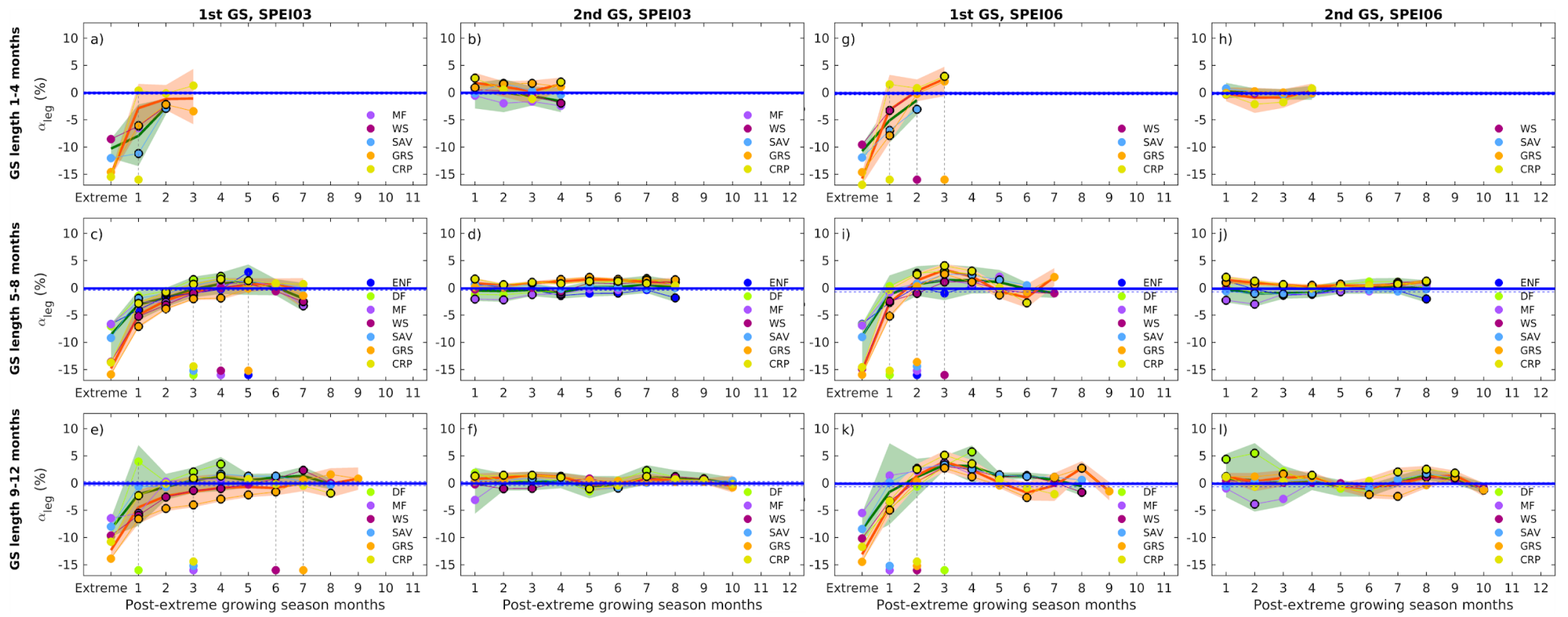


Fig. S10. Same as Fig. 2, but severe droughts are defined based on SPEI3 and SPEI6.

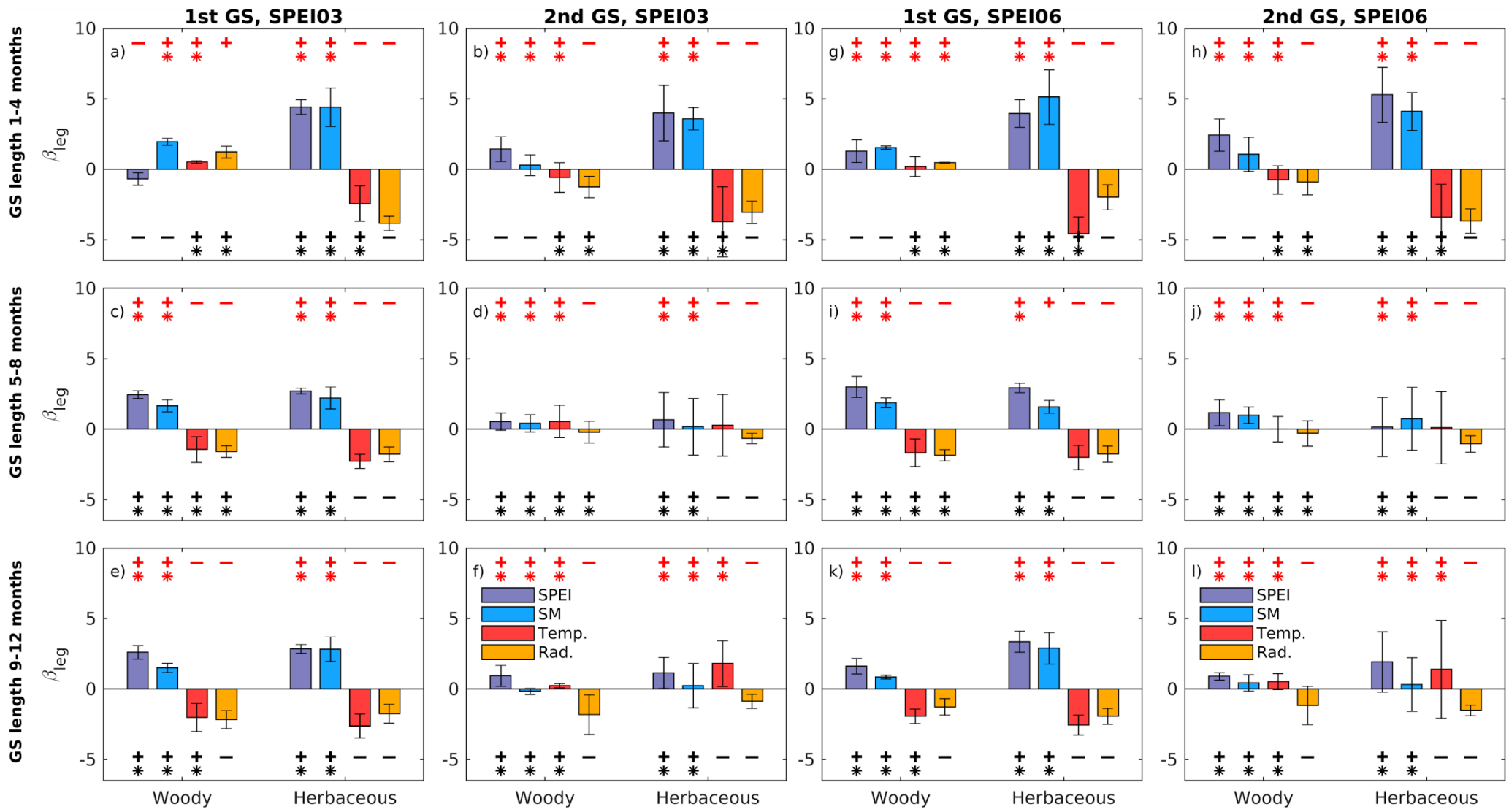


Fig. S11. Same as Fig. 3, but severe droughts are defined based on SPEI3 and SPEI6.

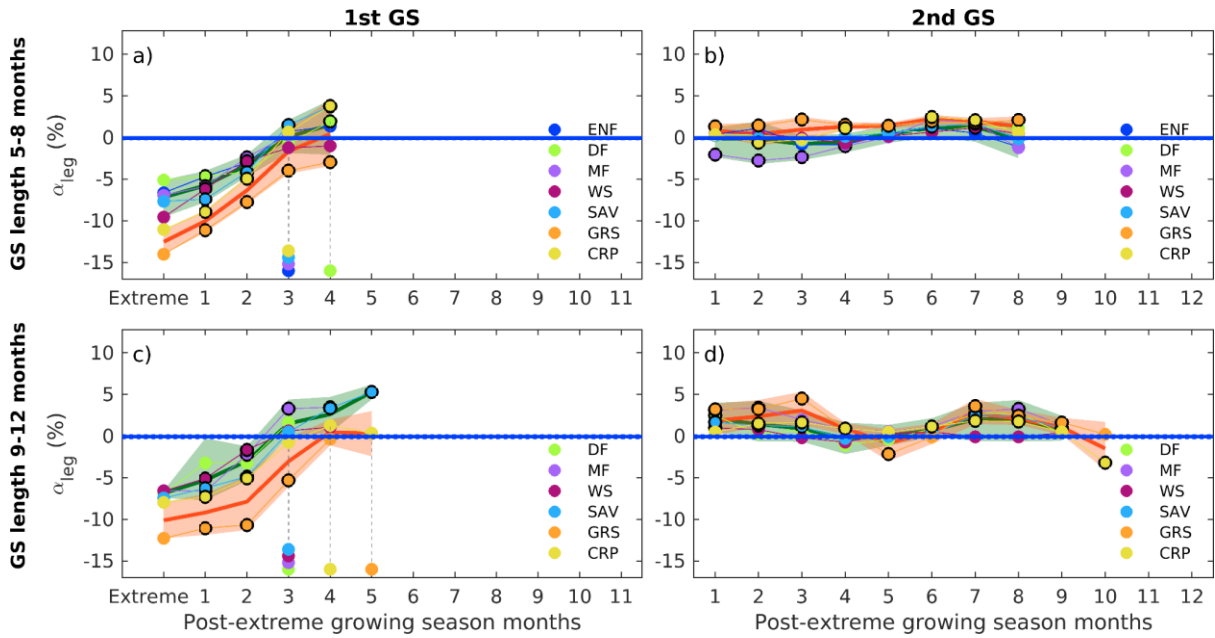


Fig. S12. Same as Fig. 2, but for the severe drought occurs during the late growing season (LGS) based on SPEI1.

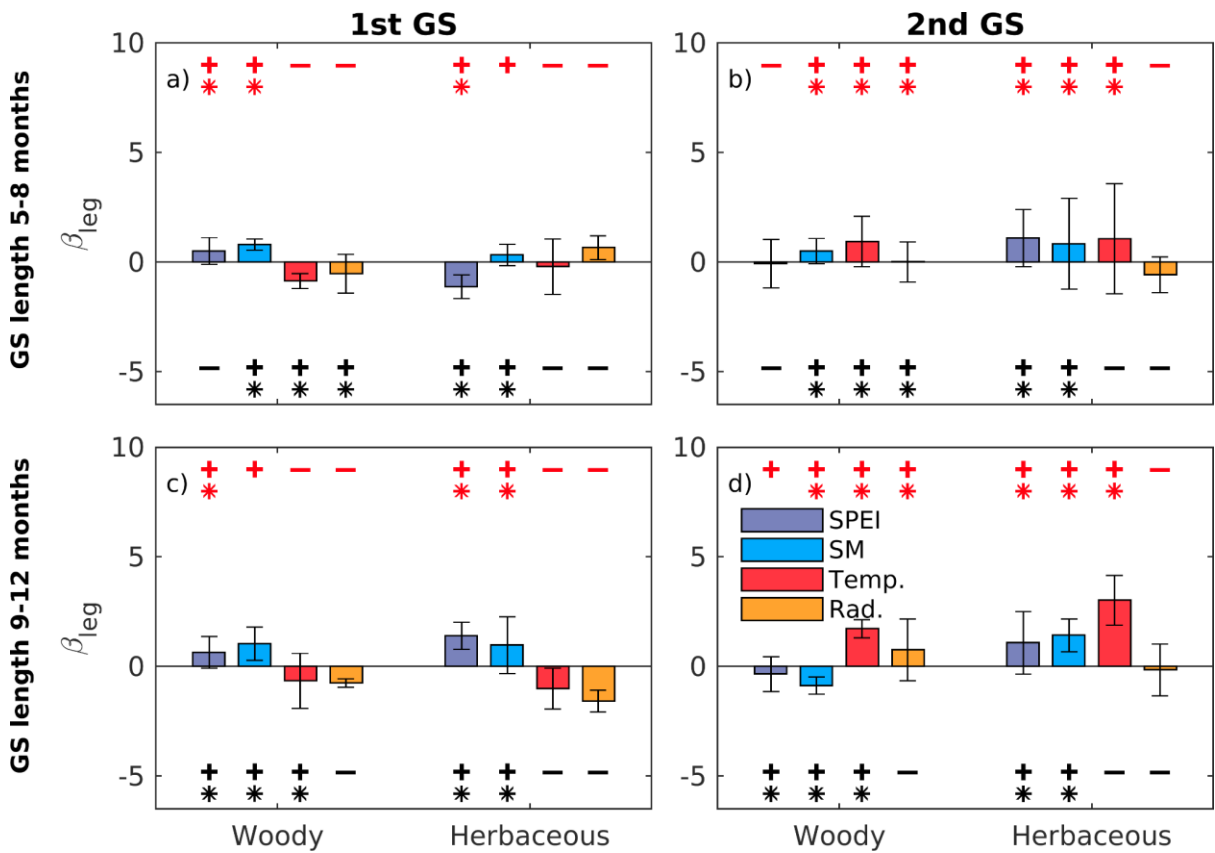


Fig. S13. Same as Fig. 3, but for the severe drought occurs during the late growing season (LGS) based on SPEI1.

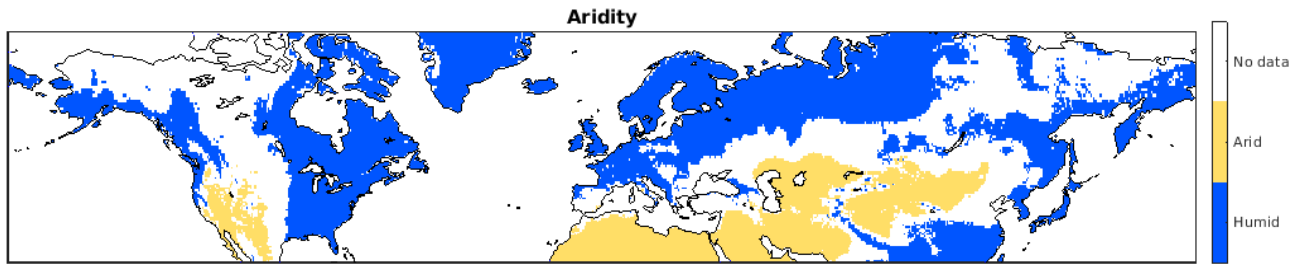


Fig. S14. Classification of aridity based on the Global Aridity Index (AI) provided by CGIAR Consortium for Spatial Information (CGIAR-CSI, see SI 1 Extended description of data). Two aridity regions are distinguished—arid regions with $AI \leq 0.2$, and humid regions with $AI > 0.65$.

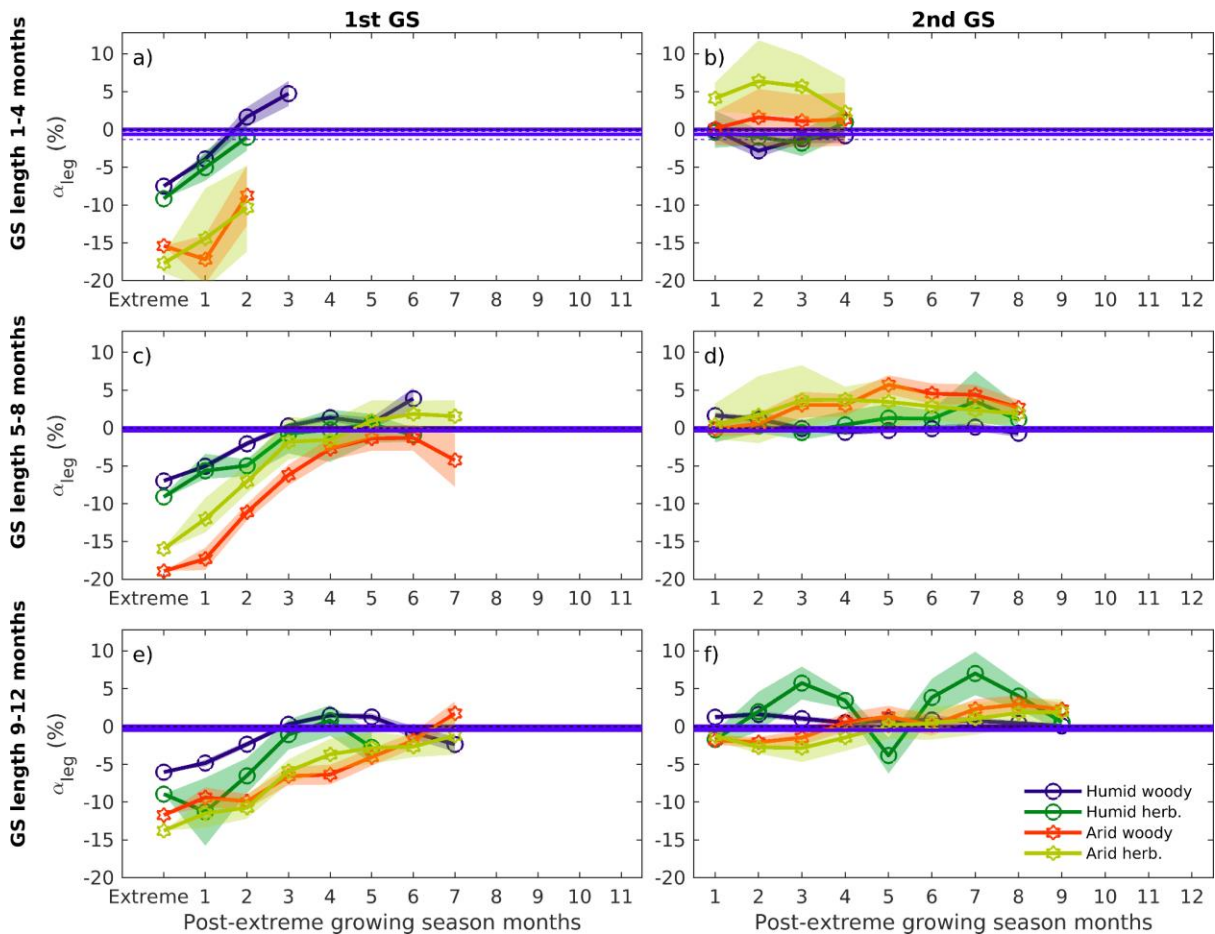


Fig. S15. Standardized changes in landclass-averaged vegetation state (α_{leg}) during the post-drought periods, as shown in Fig. 2, but based on the grouping of humid and arid regions (see Fig. S14 for the definition of humid and arid regions). Only land-cover classes that occur in both humid and arid areas are included. The woody vegetation group includes SAV and WS, and the herbaceous vegetation group includes CRP and GRS (see Fig. S3 for the definition of land-cover classes).

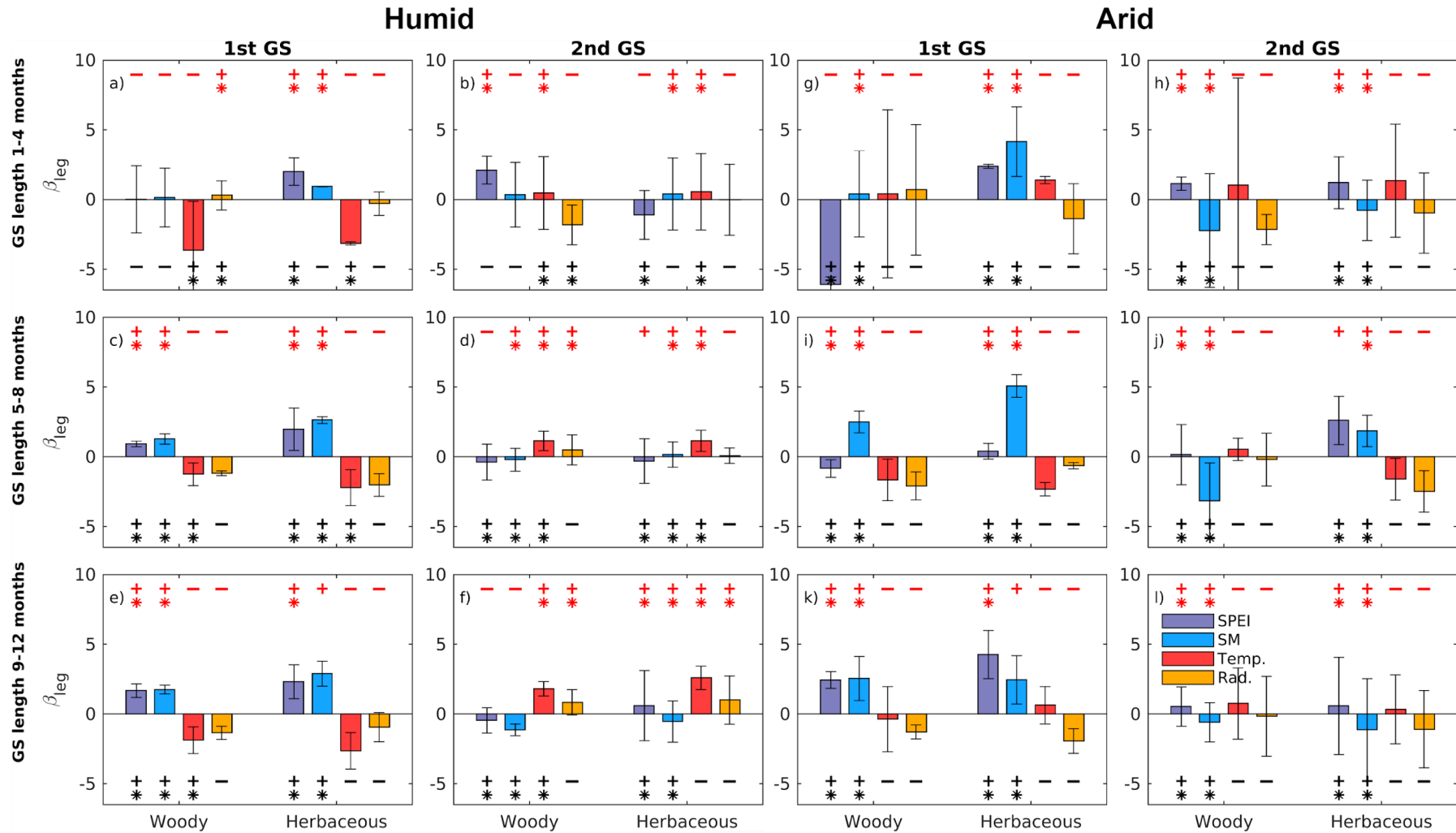


Fig. S16. Legacy effects on the sensitivity of vegetation (β_{leg}) to growing conditions (SPEI, soil moisture, temperature and radiation), as shown in Fig. 3, but distinguishing between a)-f) humid and g)-l) arid regions. Only land-cover classes that occur in both humid and arid areas are included. The woody vegetation group includes SAV and WS, and the herbaceous vegetation group includes CRP and GRS (see Fig. S3 for the definition of land-cover classes).

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