

# Testing the effect of depth on the perception of faces in an online study

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## Introduction

Faces are socially relevant stimuli, distinguished by spatial arrangements of their features [1]. The perceptual system orders these features in a cognitive “face space”, where distance represents face similarity [2].

Previously, this “face space” has been mostly investigated with 2D faces. We plan an online study to investigate the effect of 2D vs 3D representations on face perception using a similarity judgment task.

We present here the results from an advanced pilot experiment.

## Hypotheses

To investigate the effect of depth on face perception and bridge the gap towards naturalistic stimuli, we hypothesize that:

- (1) Facial dimensions that span the face space differ between representations (2D, 3D).
- (2) Volumetric features are more relevant in 3D
- (3) Computational models fitted to behavioral data reflect these volumetric properties.

## Methods

### Stimulus Preparation

- Images from standardized 2D Chicago-Face-Database (CFD) [3]
- Random sample of neutral faces ( $n_{\text{f}}=12$ ,  $n_{\text{m}}=13$ )
- Deep learning-based pipeline (DECA) for 3D-face-reconstruction [4] (Fig 1)

### Sampling the cognitive face space

#### Similarity Judgement Task

- Triplet odd-one-out task [5] (Fig 3)
- Implemented in Unity-based UXF 2.0 [6]
- Computing pair-wise behavioral similarity matrices (BSM) (Fig 4A)
- Between-subject-design:
- 2D: 3D-reconstructed but static ( $n=14$ , 8 ♀)
- 3D: with rotating faces ( $n=16$ , 8 ♀; Fig 2)
- 180 trials in 3 blocks per participant (Fig 3)

### 1 3D reconstruction using DECA



### 2 Dynamic 3D representation



### Representational similarity analysis (RSA) [7]

Similarity matrices are analyzed using Spearman's  $\rho$  (R) to quantify their differences.

### Explaining the cognitive face space

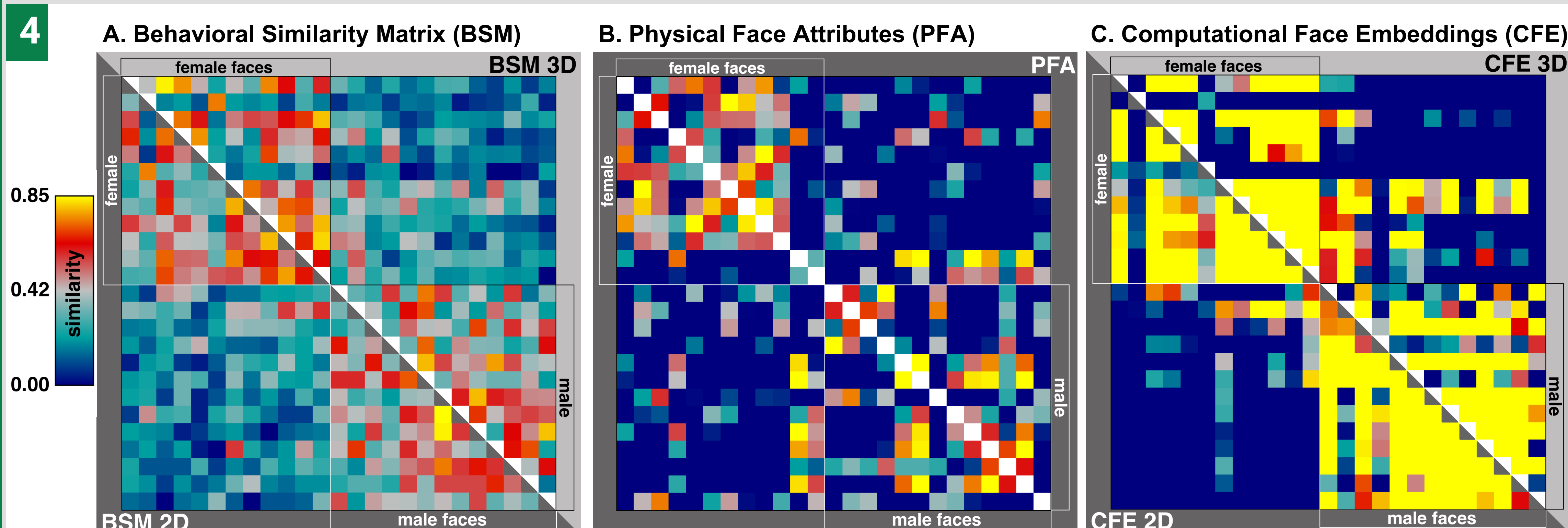
#### Similarity of physical face attributes (PFA)

- Initial 44 PFA in CFD [3] (e.g., face width)
- Subject to a principal component analysis
- Extraction of 5 most informative PC's
- Compute cosine similarity of reduced PFA for each face pair (Fig 4B)

#### Computational face embeddings (CFE)

- Variational Interpretable Concept Embeddings (VICE) models [8]
- VICE extracts the most relevant & interpretable dimensions (Fig 4C)
- Trained on 90% of trials ( $n_{\text{train}} = 2070$ )
- Predict human judgements in 2D & 3D, respectively on 10% of trials ( $n_{\text{test}} = 230$ )

## Results



**Human similarity judgements** in both viewing conditions (*lower left*: 2D; *upper right triangle*: 3D). Cells represent aggregated pairwise similarity judgements. First 12 columns/rows represent ♀ faces; last 13, represent ♂ faces.

#### RSA (BSM, 2D & 3D)

$R=0.75$ ,  $p<0.001$ , 43.54% of variance ( $1-R^2$ ) in one viewing condition remains unexplained by the other.

**Cosine similarities of physical attributes** in face pairs. Initial 44 attributes (e.g., face width) were subject to PCA. Here, the first 5 PCs were used explaining 89.5% variance.

#### RSA (BSM-PFA)

- 2D:  $R = 0.21$ ,  $p < 0.001$
- 3D:  $R = 0.14$ ,  $p < 0.02$

**Similarities of VICE embeddings** In 2D 4 & in 3D 6 (out of initial 20) dimensions remained relevant.

Gender is a driving component; for further interpretation of single VICE dimensions see Fig 5.

#### VICE prediction accuracy

- 2D: 60.19%
- 3D: 64.10%

## Discussion

### Differences in representations (2D, 3D)

- PFA & CFE explain parts of human judgements
- 2D-based PFA explains 2D BSM better than 3D
- BSMs are more heterogeneous, gender effect partially stronger in CFE & PFA

### Outlook: main experiment & further analysis

- Using **100 faces** ( $n_{\text{f}}=n_{\text{m}}=50$ )
- Online study: **1000 participants** to sample **161,700 combinations** of face triplets
- Using non-linear models with explainable A.I. methods
- Extracting volumetric features, i.e., “3D PFA”

### Implications

- 3D effect neglected in previous 2D research of faces
- Encourage more naturalistic 3D designs
- Pipeline usable for further research (e.g., ethnical groups, clinical populations, psychophysiological studies)

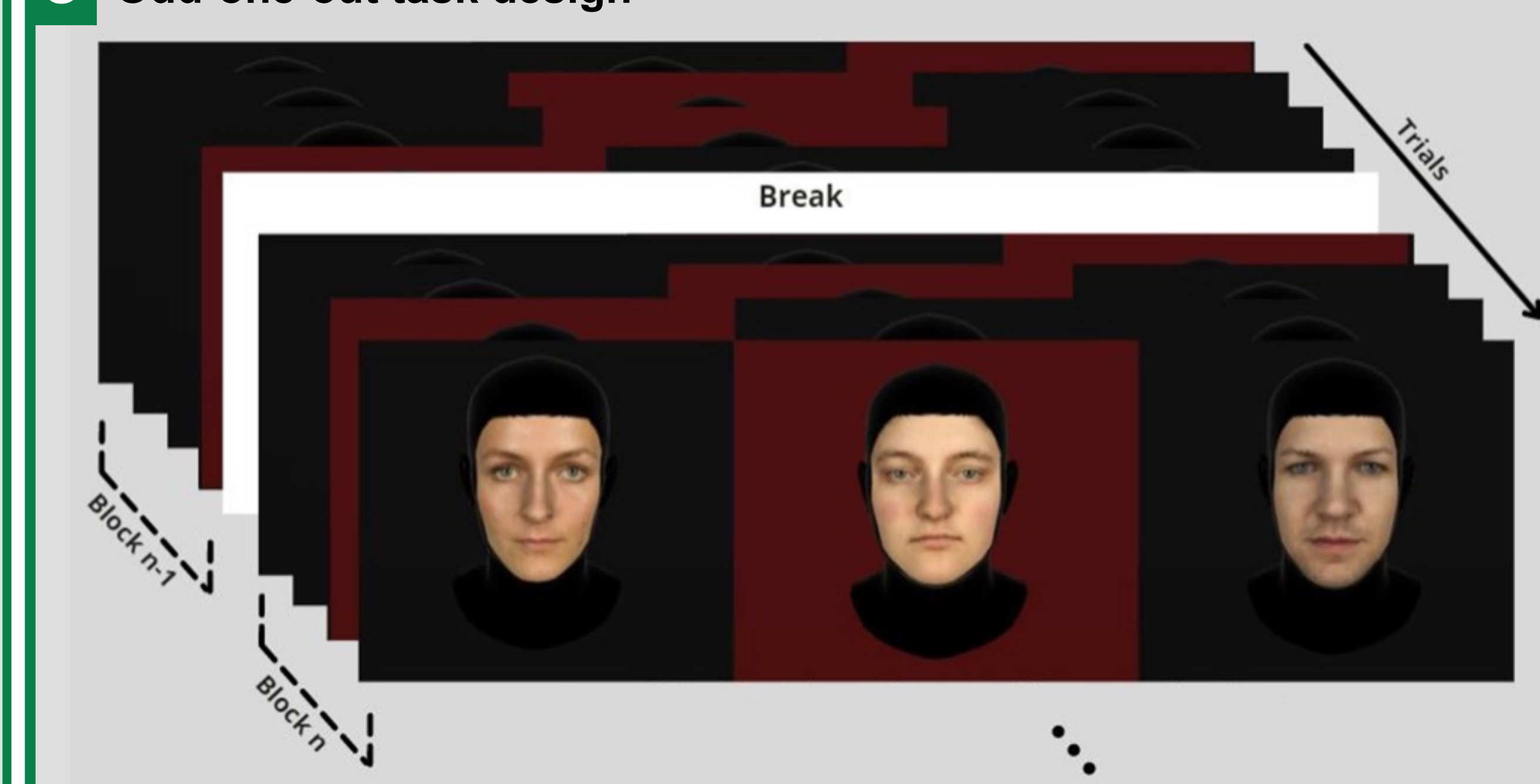
## References

- [1] Eng et al. (*Vis. Res.*, 2017)
- [2] Jozwik et al. (*PNAS*, 2022)
- [3] Ma et al. (*Behav. Res. Methods*, 2015)
- [4] Feng et al. (*ACM Trans. Graph.*, 2021)
- [5] Hebart et al. (*Nat. Hum. Behav.*, 2020)
- [6] Brookes et al. (*Behav. Res. Methods*, 2020)
- [7] Kriegeskorte & Kievit (*TICS*, 2013)
- [8] Muttenthaler et al. (*arXiv*, 2022)

## Acknowledgement

We would like to thank Martin Hebart & Laura Stoinski for their valuable inputs on the similarity judgement task.

### 3 Odd-one-out task design



### 5 Interpretation of VICE embeddings

**Dimension 1 of VICE model 3D** correlated with 8 eye-related PFAs (e.g., eye size; all  $0.76 > R > 0.64$ ). *Upper face* (index 3 in Fig 4) has **strongest** weight in this dimension, *bottom face* the **weakest** (index 16).

Other dimensions correlated with the shape of chins, cheeks & noses, and the luminance of faces, for both VICE models (2D, 3D).

