

Tests of general relativity in the nonlinear regime: a parametrized plunge-merger-ringdown gravitational waveform model

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The plunge-merger stage of the binary–black-hole (BBH) coalescence, when the bodies’ velocities reach a large fraction of the speed of light and the gravitational-wave (GW) luminosity peaks, provides a unique opportunity to probe gravity in the dynamical and nonlinear regime. How much do the predictions of general relativity differ from the ones in other theories of gravity for this stage of the binary evolution? To address this question, we develop a parametrized waveform model, within the effective-one-body formalism, that allows for deviations from general relativity in the plunge-merger-ringdown stage. As first step, we focus on nonprecessing-spin, quasicircular BBHs. In comparison to previous works, for each GW mode, our model can modify, with respect to general-relativistic predictions, the instant at which the amplitude peaks, the instantaneous frequency at this time instant, and the value of the peak amplitude. We use this waveform model to explore several questions considering both synthetic-data injections and two GW signals. In particular, we find that deviations from the peak GW amplitude and instantaneous frequency can be constrained to about 20% with GW150914. Alarmingly, we find that GW200129_065458 shows a strong violation of general relativity. We interpret this result as a false violation, either due to waveform systematics (mismodeling of spin precession) or due to data-quality issues depending on one’s interpretation of this event. This illustrates the use of parametrized waveform models as tools to investigate systematic errors in plain general relativity. The results with GW200129_065458 also vividly demonstrate the importance of waveform systematics and of glitch mitigation procedures when interpreting tests of general relativity with current GW observations.

I. INTRODUCTION

Remarkably, so far, the theory of general relativity (GR), introduced by Albert Einstein in 1915, has passed all available experimental and observational tests [1]: on cosmological [2] and short scales [3, 4], in the low-velocity, weak-field [5] and strong-field settings [6–8], and in the dynamical, high-velocity and strong-field regime [9–13]. The latter has been probed, since 2015, through the gravitational wave (GW) observation of the coalescence of binary black holes (BBHs) [14–19], neutron-star–black-hole binaries [20], and binary neutron stars [21, 22] by the LIGO and Virgo detectors [23, 24].

Generally, tests of GR with GW observations have been developed following two strategies: theory independent and theory specific. The former assumes that the underlying GW signal is well-described by GR, and non-GR degrees of freedom (or parameters) are included to characterize any potential deviation. These tests use GW observations to check consistency with their nominal predictions in GR, and then constrain the non-GR parameters at a certain statistical level of confidence. Eventually, the non-GR parameters can be translated to the ones in specific modified theories of gravity, albeit there could be subtleties in doing it, due to the choice of the priors and the actual parameters on which the measurements are done. By contrast, analyses that compare directly the data with proposed modified theories of gravity belong to the theory-specific framework of tests of GR.

Here, we focus on theory-independent tests of GR for BBHs. Historically, those tests have been proposed intro-

ducing deviations in (or parametrizations of) the gravitational waveform, whether for the inspiral, the merger or the ringdown stages, in time or frequency domain. Those parametrizations are clearly non-unique; neither they guarantee to fully represent the infinite space of modified gravity-theory waveforms. Furthermore, non-GR parameters may be degenerate with each other, limiting the study to a subset of them [9] or demanding the use of principal-component-analysis methods [25].

Many parametrized waveforms have been suggested in the literature, originally focusing on the inspiral phase [26–28], when the BBH system slowly, but steadily loses energy through GW emission, and the bodies come closer and closer to each other until they merge. When the first frequency-domain models for the inspiral-merger-ringdown (IMR) waveforms in GR became available [29, 30], a parametrized frequency-domain IMR waveform model was proposed in Ref. [31], variations of which were soon after employed in Ref. [32] for data-analysis explorations. Those initial works, together with other developments [33, 34], are at the foundation of the Test Infrastructure for General Relativity (TIGER) [35–37], Flexible Theory Independent (FTI) [38], pSEOBNR [39, 40], and pyRing [41–43] pipelines, which today are routinely used by the LIGO-Virgo-KAGRA (LVK) Collaboration [9–13] to perform parametrized tests of GR, probing the generation of GWs and the remnant properties, in the linear and non-linear strong-field gravity regime. Other theory-independent tests were also performed, e.g., in Refs. [44–51].

In this manuscript, we develop a parametrized time-

domain IMR waveform model within the effective-one-body (EOB) formalism [52–59]. The EOB approach builds semianalytical IMR waveforms by combining analytical predictions for the inspiral, notably from post-Newtonian (PN), post-Minkowskian (PM) and gravitational-self force (GSF) approximations, and ringdown phases (from BH perturbation theory) with physically-motivated ansatzes for the plunge-merger stage. The EOB waveforms are then made highly accurate via a calibration to numerical relativity (NR) waveforms of BBHs. The EOB formalism relies on three key ingredients: the EOB conservative dynamics (i.e., a two-body Hamiltonian), the EOB radiation-reaction forces (i.e., the energy and angular momentum fluxes) and the EOB GW modes. Since the EOB waveforms are computed on the EOB dynamics by solving Hamilton’s equations, in principle deviations from GR can be introduced in all the three building blocks, consistently. Here, for simplicity, following previous work [39, 40], which focused on the ringdown stage, we only introduce non-GR parameters in the plunge-merger-ringdown GW modes. We leave to future work the extension of the parametrization to the conservative and dissipative dynamics, notably by including in the EOB dynamics fractional deviations to the PN (PM and GSF) terms, to NR-informed terms or specific new terms motivated by phenomena observed in modified gravity theories. We note that non-GR deviations in the EOB energy flux were implemented in Ref. [60], and the corresponding EOB waveforms were employed to test the IMR consistency test [61, 62].

Although the parametrized IMR model can in principle be constructed for precessing spinning BBHs, as first step, we consider nonprecessing BHs. There are two main EOB families, SEOBNR (e.g., see Refs. [63–65]) and TEOBResumS (e.g., see Refs. [66–68]). We consider here the former, and in particular we focus on the SEOBNRHM model developed in Refs. [63, 64], which contains GW modes beyond the dominant quadrupole. We denote the parametrized version pSEOBNRHM. In Fig. 1 we contrast the GR SEOBNRHM with pSEOBNRHM for a choice of binary parameters that resembles the very first GW observation, GW150914, and use fractional deviations from GR on the order of a few tens of percent. We can see that differences from GR occur just before, during and after the merger stage, which is when the gravitational strain peaks.

The paper is organized as follows. In Sec. II, we describe how we build the pSEOBNRHM model starting from the baseline model SEOBNRHM, and introduce the non-GR parameters that describe potential deviations from GR during the plunge-merger-ringdown stage. In Sec. III, we study in detail the morphology of the parametrized waveform, and understand which parts of the waveform change when the non-GR parameters are varied one at the time. After discussing the basics of Bayesian analysis in Sec. IV, we perform a synthetic-signal injection study in Sec. V, and then apply our parametrized IMR model to real data in Secs. VI and VII, analyzing two events, GW150914 and GW200129. Finally, we summarize our

conclusions and future work in Sec. VIII.

Unless stated otherwise, we work in geometrical units in which $G = 1 = c$.

II. THE PARAMETRIZED PLUNGE-MERGER-RINGDOWN WAVEFORM MODEL

In this section we first review the GR waveform model developed within the EOB formalism. In Sec. IIB, we explain how we deform this baseline model by introducing deformations away from GR in the plunge-merger-ringdown phase.

A. A brief review of the effective-one-body gravitational waveform model

The GW signal produced by a spinning, nonprecessing, and quasicircular BBH with component masses m_1 and m_2 , and total mass $M = m_1 + m_2$, is described in GR by a set of eleven parameters, ϑ_{GR} , given by

$$\vartheta_{\text{GR}} = \{m_1, m_2, \chi_1, \chi_2, \iota, \psi, \alpha, \delta, D_L, t_c, \phi_c\}, \quad (2.1)$$

where χ_i ($i = 1, 2$) are the constant-in-time projections of each black hole (BH)’s spin vectors \mathbf{S}_i in the direction of the unit vector perpendicular to the orbital plane $\hat{\mathbf{L}}$, i.e., $\chi_i = \mathbf{S}_i \cdot \hat{\mathbf{L}}/m_i^2$, where $|\chi_i| \leq 1$, (ι, ψ) describe the binary’s orientation through the inclination and polarization angles, (α, δ) describe the sky location of the source in the detector frame, D_L is the luminosity distance, and t_c and ϕ_c are the reference time and phase, respectively. It is convenient to define the chirp mass $\mathcal{M} = M\nu^{3/5}$, where $\nu = m_1 m_2 / M^2$ is the symmetric mass ratio, the asymmetric mass ratio $q = m_2 / m_1$, and the effective spin $\chi_{\text{eff}} = (\chi_1 m_1 + \chi_2 m_2) / M$. We adopt the convention that $m_1 \geq m_2$ and thus $q \leq 1$.

The GW polarizations can be written in the observer’s frame as,

$$h_+(\iota, \varphi_0; t) - ih_\times(\iota, \varphi_0; t) = \sum_{\ell=2}^{\infty} \sum_{m=-\ell}^{\ell} {}_{-2}Y_{\ell m}(\iota, \varphi_0) h_{\ell m}(t), \quad (2.2)$$

where φ_0 is the azimuthal direction of the observer, where, without loss of generality, we set $\varphi_0 = \phi_c$, and ${}_{-2}Y_{\ell m}$ are the -2 spin-weighted spherical harmonics [73], ℓ is the angular number and $|m| \leq \ell$ is the azimuthal number of each GW mode, $h_{\ell m}$.

We follow Refs. [40, 74] and use as our baseline model (i.e., the waveform model upon which the non-GR deviation parameters are added) the time-domain IMR waveform developed in Refs. [63, 64, 70] within the EOB

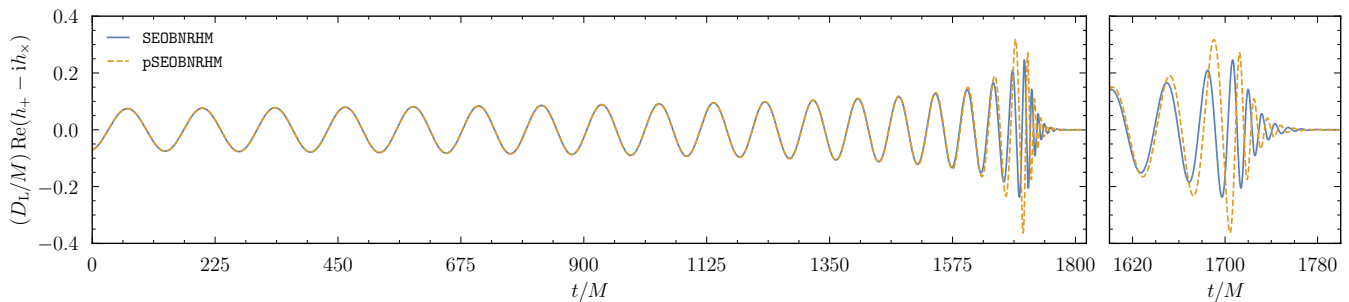


FIG. 1. Illustrative BBH waveform obtained with the pSEOBNRHM model introduced here (dashed line) and the corresponding baseline model SEOBNRHM [64, 69, 70] (solid line) for a face-on, nonspinning and quasicircular binary with GW150914-like mass-ratio $q = m_2/m_1 \approx 0.867$, and detector-frame total mass $M = m_1 + m_2 = 71.9 M_\odot$. The pSEOBNRHM waveform is generated with non-GR parameters values $\delta\Delta t = -0.2$, $\delta\omega = -0.4$, and $\delta A = 0.5$. These parameters change respectively, in comparison to GR, the instant at which the GW amplitude peaks, the orbital frequency at this time instant, and the value of the peak amplitude. Both waveforms are phase aligned and time shifted around 20 Hz using the prescription of Refs. [59, 69, 71, 72]. The details of how the waveform model is developed are in given Sec. II, and additional details about its morphology are presented in Sec. III.

formalism [52–59], SEOBNRv4HM_PA¹. The model uses the post-adiabatic (PA) approximation, which was originally introduced in Refs. [77–79] (and also subsequently used in the TEOBResumS waveform models) to speed up the generation of the time-domain waveforms for spinning, nonprecessing and quasicircular compact binaries. It includes the $(\ell, |m|) = (2, 2), (2, 1), (3, 3), (4, 4)$, and $(5, 5)$ GW modes. For nonprecessing BBHs (i.e., with component spins aligned or anti-aligned with the orbital angular momentum), we have that $h_{\ell m} = (-1)^\ell h_{\ell -m}^*$. Hence, we can consider $m > 0$ without loss of generality. Hereafter, we refer to SEOBNRv4HM_PA as SEOBNRHM for brevity.

As explained in Refs. [63, 64], the SEOBNRHM waveform is constructed by attaching the merger-ringdown waveform, $h_{\ell m}^{\text{merger-RD}}(t)$, to the inspiral-plunge waveform, $h_{\ell m}^{\text{insp-plunge}}(t)$, at a matching time $t = t_{\text{match}}^{\ell m}$,

$$h_{\ell m}(t) = h_{\ell m}^{\text{insp-plunge}}(t) \Theta(t_{\text{match}}^{\ell m} - t) + h_{\ell m}^{\text{merger-RD}}(t) \Theta(t - t_{\text{match}}^{\ell m}), \quad (2.3)$$

where $\Theta(t)$ is the Heaviside step function and the value of $t_{\text{match}}^{\ell m}$ is defined as

$$t_{\text{match}}^{\ell m} = \begin{cases} t_{\text{peak}}^{22}, & (\ell, m) = (2, 2), (3, 3), (2, 1), \\ & (4, 4) \\ t_{\text{peak}}^{22} - 10M, & (\ell, m) = (5, 5), \end{cases} \quad (2.4)$$

where t_{peak}^{22} is the time at which the amplitude of the $(2, 2)$ mode [i.e., $h_{22}(t)$ in Eq. (2.2)] has its maximum value.

We impose that the amplitude and phase of $h_{\ell m}(t)$ at $t = t_{\text{match}}^{\ell m}$ are C^1 (i.e., they are continuously differentiable at this time instant). The time t_{peak}^{22} is defined as

$$t_{\text{peak}}^{22} = t_{\text{peak}}^{\Omega} + \Delta t_{\text{peak}}^{22}, \quad (2.5)$$

where t_{peak}^{Ω} is the time in which the EOB orbital frequency peaks [80]. Calculations performed in the test-particle limit using BH perturbation theory found that the amplitude and the orbital frequency peak at different times, especially when the central BH has large spins [81–84]. This motivates the introduction of the time-lag parameter $\Delta t_{\text{peak}}^{22}$ in Eq. (2.5), which can be fitted against NR waveforms as function of the symmetric mass ratio ν and the BH’s spins $\chi_{1,2}$ (see Sec. II B in Ref. [63] for details). We impose the condition $\Delta t_{\text{peak}}^{22} \leq 0$ to ensure that the attachment of the merger-ringdown waveform happens before the peak of the orbital frequency, and thus before the end of the binary’s dynamics. For later convenience, we define

$$\Delta t_{\ell m}^{\text{GR}} = -\Delta t_{\text{peak}}^{22}. \quad (2.6)$$

Because we are interested in adding non-GR terms to $h_{\ell m}^{\text{merger-RD}}(t)$, we now briefly review how the merger-ringdown waveform is constructed. Further details can be found in Sec. IV E of Ref. [64]. The merger-ringdown mode is written as

$$h_{\ell m}^{\text{merger-RD}} = \nu \tilde{A}_{\ell m}(t) e^{i\tilde{\phi}_{\ell m}(t)} e^{i\sigma_{\ell m 0}(t - t_{\text{match}}^{\ell m})}, \quad (2.7)$$

where $\sigma_{\ell m 0}$ are the complex-valued frequencies of the least damped quasinormal mode (QNM) of the remnant BH [85–87]. We define $\sigma_{\ell m 0}^{\text{R}} = \text{Im}(\sigma_{\ell m 0}) < 0$ and $\sigma_{\ell m 0}^{\text{I}} = -\text{Re}(\sigma_{\ell m 0}) < 0$. The functions $\tilde{A}_{\ell m}$ and $\tilde{\phi}_{\ell m}$ are given

¹The model’s name indicates that the EOB model (EOB) is calibrated to NR simulations (NR), includes spin effects (S), contains high-order radiation modes (HM), and uses the post-adiabatic approximation (PA) to reduce the waveform generation time. The version of the model used here is v4. The first version of this waveform family is the nonspinning EOBNRv1 model of Refs. [75, 76].

by [63]

$$\tilde{A}_{\ell m} = c_{1,c}^{\ell m} \tanh [c_{1,f}^{\ell m} (t - t_{\text{match}}^{\ell m}) + c_{2,c}^{\ell m}] + c_{2,c}^{\ell m}, \quad (2.8a)$$

$$\tilde{\phi}_{\ell m} = \phi_{\text{match}}^{\ell m} - d_{1,c}^{\ell m} \log \left[\frac{1 + d_{2,f}^{\ell m} e^{-d_{1,f}^{\ell m} (t - t_{\text{match}}^{\ell m})}}{1 + d_{2,f}^{\ell m}} \right], \quad (2.8b)$$

where $\phi_{\text{match}}^{\ell m}$ is the phase of the inspiral-plunge mode $h_{\ell m}^{\text{insp-plunge}}$ at $t = t_{\text{match}}^{\ell m}$. We see that Eqs. (2.8) depend on the set of parameters $c_i^{\ell m}$ and $d_i^{\ell m}$ ($i = 1, 2$), which are either *constrained* by imposing that $\tilde{A}_{\ell m}$, $\tilde{\phi}_{\ell m}$ are C^1 at $t = t_{\text{match}}^{\ell m}$ (we append the subscript “c”) or *free* parameters to be determined by fitting against NR waveforms (we append the subscript “f”).

We now impose that $h_{\ell m}$ is C^1 at $t = t_{\text{match}}^{\ell m}$. This yields two equations that relate the constrained coefficients $c_{1,c}^{\ell m}$ and $c_{2,c}^{\ell m}$ to the free coefficients $c_{1,f}^{\ell m}$, $c_{2,f}^{\ell m}$, to $\sigma_{\ell m 0}^{\text{R}}$ and to the mode amplitude of $h_{\ell m}^{\text{insp-plunge}}$ and its first time derivative at the matching time, namely $|h_{\ell m}^{\text{insp-plunge}}(t_{\text{match}}^{\ell m})|$ and $|\partial_t h_{\ell m}^{\text{insp-plunge}}(t_{\text{match}}^{\ell m})|$. The equations are:

$$c_{1,c}^{\ell m} = \frac{1}{\nu c_{1,f}^{\ell m}} \left[|\partial_t h_{\ell m}^{\text{insp-plunge}}(t_{\text{match}}^{\ell m})| - \sigma_{\ell m 0}^{\text{R}} |h_{\ell m}^{\text{insp-plunge}}(t_{\text{match}}^{\ell m})| \right] \cosh^2 c_{2,f}^{\ell m}, \quad (2.9a)$$

$$c_{2,c}^{\ell m} = -\frac{1}{\nu} |h_{\ell m}^{\text{insp-plunge}}(t_{\text{match}}^{\ell m})| + \frac{1}{\nu c_{1,f}^{\ell m}} \left[|\partial_t h_{\ell m}^{\text{insp-plunge}}(t_{\text{match}}^{\ell m})| - \sigma_{\ell m 0}^{\text{R}} |h_{\ell m}^{\text{insp-plunge}}(t_{\text{match}}^{\ell m})| \right] \cosh c_{2,f}^{\ell m} \sinh c_{2,f}^{\ell m}. \quad (2.9b)$$

We also obtain one equation that relates the constrained parameter $d_{1,c}^{\ell m}$ to the free coefficients $d_{1,f}^{\ell m}$, $d_{2,f}^{\ell m}$, to $\sigma_{\ell m 0}^{\text{I}}$ and to the angular frequency of $h_{\ell m}^{\text{insp-plunge}}$ at the matching time. The latter is defined as $\omega_{\ell m} = d\phi_{\ell m}^{\text{insp-plunge}}/dt$, where $\phi_{\ell m}^{\text{insp-plunge}} = \arg(h_{\ell m}^{\text{insp-plunge}})$ is the phase of the inspiral-plunge GW mode. The equation is,

$$d_{1,c}^{\ell m} = \left[\omega_{\ell m}^{\text{insp-plunge}}(t_{\text{match}}^{\ell m}) - \sigma_{\ell m 0}^{\text{I}} \right] \frac{1 + d_{2,f}^{\ell m}}{d_{1,f}^{\ell m} d_{2,f}^{\ell m}}. \quad (2.10)$$

The values of

$$|h_{\ell m}^{\text{insp-plunge}}|, \quad |\partial_t h_{\ell m}^{\text{insp-plunge}}|, \quad \text{and} \quad \omega_{\ell m}^{\text{insp-plunge}},$$

at $t = t_{\text{match}}^{\ell m}$ are fixed by the so-called nonquasicircular (NQC) terms, $N_{\ell m}(t)$. The NQC terms describe nonquasicircular corrections to the modes during the late inspiral and plunge. They multiply the factorized post-Newtonian (PN) GR modes, $h_{\ell m}^{\text{F}}$, and are calibrated against NR simulations. They are crucial in guaranteeing a very good agreement of the SEOBNRHM amplitude and phase (relative to NR) during the late inspiral and plunge.

The GW modes in the inspiral-plunge part of the EOB waveform are given as

$$h_{\ell m}^{\text{insp-plunge}}(t) = h_{\ell m}^{\text{F}}(t) N_{\ell m}(t), \quad (2.11)$$

where we refer the reader to Sec. IV C in Ref. [64] for details on how $h_{\ell m}^{\text{F}}$ and $N_{\ell m}$ are constructed. For our purposes, it is sufficient to say that $|h_{\ell m}^{\text{insp-plunge}}(t_{\text{match}}^{\ell m})|$, $|\partial_t h_{\ell m}^{\text{insp-plunge}}(t_{\text{match}}^{\ell m})|$, and $\omega_{\ell m}^{\text{insp-plunge}}(t_{\text{match}}^{\ell m})$ are the *same* as the NR values of

$$|h_{\ell m}^{\text{NR}}|, \quad |\partial_t h_{\ell m}^{\text{NR}}|, \quad \text{and} \quad \omega_{\ell m}^{\text{NR}},$$

at $t = t_{\text{match}}^{\ell m}$. The values of these three quantities are obtained for each BBH, from the Simulating eXtreme Spacetimes (SXS) catalog of NR waveforms [88], after which a fitting formula that depends on the symmetric mass ratio ν and spins χ_1 and χ_2 is obtained to interpolate over the parameter space covered by the catalog. Their explicit forms can be found in Ref. [64], Appendix B. At this point, we are left with the free parameters $c_{i,f}^{\ell m}$ and $d_{i,f}^{\ell m}$ ($i = 1, 2$) to fix. This is accomplished through fits against NR and Teukolsky-equation-based waveforms [82, 83], written also as functions of ν , χ_1 and χ_2 . The explicit form of these fits can be found in Ref. [64], Appendix C.

B. Construction of the parametrized model

With this framework established, our strategy to develop a *parametrized SEOBNRHM model* (hereafter pSEOBNRHM) is the following. We will introduce fractional deviations to the NR-informed formulas for the mode amplitudes and angular frequencies at $t = t_{\text{match}}^{\ell m}$, i.e.,

$$|h_{\ell m}^{\text{NR}}| \rightarrow |h_{\ell m}^{\text{NR}}| (1 + \delta A_{\ell m}), \quad (2.12a)$$

$$\omega_{\ell m}^{\text{NR}} \rightarrow \omega_{\ell m}^{\text{NR}} (1 + \delta \omega_{\ell m}), \quad (2.12b)$$

and we will also allow for changes to $t_{\text{match}}^{\ell m}$ by modifying the time-lag parameter $\Delta t_{\ell m}^{\text{GR}}$ [defined in Eq. (2.6)] as,

$$\Delta t_{\ell m}^{\text{GR}} \rightarrow \Delta t_{\ell m}^{\text{GR}} (1 + \delta \Delta t_{\ell m}), \quad (2.13)$$

where we constrain $\delta \Delta t_{\ell m} > -1$ to ensure that $t_{\text{match}}^{\ell m}$ remains less than t_{peak}^{Ω} , and thus before the end of the dynamics, as originally required [64, 69]. Equations (2.12) and (2.13) modify the constrained parameters $c_{i,c}^{\ell m}$ and $d_{i,c}^{\ell m}$ through Eqs. (2.9)-(2.10), and consequently $\tilde{A}_{\ell m}$ and $\tilde{\phi}_{\ell m}$ that appear in the merger-ringdown waveform (2.7) and are given by Eqs. (2.8). It is important to emphasize that Eqs. (2.12) and (2.13) also modify the NQC coefficients which enter the inspiral-plunge waveform in Eq. (2.11). This is because both $|h_{\ell m}^{\text{NR}}|$ and $\omega_{\ell m}^{\text{NR}}$ are used to fix some parameters in the explicit form of $N_{\ell m}$. We refer the reader to Refs. [63, 89] and in particular to Ref. [64], Sec. III C, for details. Hence, *although we will refer to $\delta A_{\ell m}$, $\delta \omega_{\ell m}$, and $\delta \Delta t_{\ell m}$ as “merger parameters” they, strictly speaking, also modify the plunge.*

	Parameter	Deformation	Bound
merger	$\delta A_{\ell m}$	amplitude	
	$\delta\omega_{\ell m}$	instantaneous frequency	
	$\delta\Delta t_{\ell m}$	time lag	> -1
ringdown	$\delta f_{\ell m 0}$	oscillation frequency	
	$\delta\tau_{\ell m 0}$	damping time	> -1

TABLE I. Summary of the non-GR parameters in the pSEOBNRHM model. The ringdown deformation parameters $\delta f_{\ell m 0}$ and $\delta\tau_{\ell m 0}$ were introduced to the SEOBNRHM model in Ref. [40], while the merger deformation parameters $\delta A_{\ell m}$, $\delta\omega_{\ell m}$, and $\delta\Delta t_{\ell m}$ are introduced here for the first time. As explained in Sec. II B, although we call these merger parameters, they do also affect the late inspiral-plunge part of the waveform. We quote under the column labeled “bound” the constraints on the parameter’s values required by our waveform model.

We also introduce non-GR deformations to the QNMs, following the same strategy applied in Refs. [33, 34, 39, 40, 43, 90]. It consists in modifying the QNM oscillation frequency and damping time, defined respectively for the zero overtone $n = 0$, as,

$$f_{\ell m 0} = \frac{1}{2\pi} \text{Re}(\sigma_{\ell m 0}) = -\frac{1}{2\pi} \sigma_{\ell m 0}^{\text{I}}, \quad (2.14a)$$

$$\tau_{\ell m 0} = -\frac{1}{\text{Im}(\sigma_{\ell m 0})} = -\frac{1}{\sigma_{\ell m 0}^{\text{R}}}, \quad (2.14b)$$

according to the substitutions

$$f_{\ell m 0} \rightarrow f_{\ell m 0} (1 + \delta f_{\ell m 0}), \quad (2.15a)$$

$$\tau_{\ell m 0} \rightarrow \tau_{\ell m 0} (1 + \delta\tau_{\ell m 0}), \quad (2.15b)$$

and we impose that $\delta\tau_{\ell m 0} > -1$ to ensure that the remnant BH is stable (i.e., it rings down, instead of “ringing-up” exponentially). Note that in Ref. [39], such deformations also concerned with the higher overtones, since the EOB model used for the merger-ringdown included higher overtones.

Put it all together, we have the following set of plunge-merger-ringdown parameters:

$$\begin{aligned} \boldsymbol{\vartheta}_{\text{nGR}} &= \boldsymbol{\vartheta}_{\text{nGR}}^{\text{merger}} \cup \boldsymbol{\vartheta}_{\text{nGR}}^{\text{RD}} \\ &= \{\delta A_{\ell m}, \delta\omega_{\ell m}, \delta\Delta t_{\ell m}\} \cup \{\delta f_{\ell m 0}, \delta\tau_{\ell m 0}\}, \end{aligned} \quad (2.16)$$

intended to capture possible signatures of beyond-GR physics in the most dynamical and nonlinear stage of a BBH coalescence. We will casually refer to them as “non-GR” or as “deformation” (away from GR) parameters. In Table I, we summarize the $\boldsymbol{\vartheta}_{\text{nGR}}$ parameters, their meaning, and the constraints, if any, on their values. The GR limit is recovered when all parameters in $\boldsymbol{\vartheta}_{\text{nGR}}$ are set to zero.

The pSEOBNRHM model allows us to change the non-GR plunge-merger parameters $\boldsymbol{\vartheta}_{\text{nGR}}^{\text{merger}}$ for each (ℓ, m) mode individually. Here, for a first study, we will assume that their values are the same across different modes, that is to say,

$$\delta A_{\ell m} = \delta A, \delta\omega_{\ell m} = \delta\omega, \text{ and } \delta\Delta t_{\ell m} = \delta\Delta t, \quad (2.17)$$

for all the ℓ and m modes in the waveform model. This choice is motivated by the fact that in GW150914 there are no significant changes in the posterior distributions of the binary parameters when using all the modes and only the $\ell = m = 2$ mode. As for the non-GR ringdown parameters $\boldsymbol{\vartheta}_{\text{nGR}}^{\text{RD}}$, we will assume that they are nonzero only for the least-damped ($n = 0$) (2, 2) mode. Under these assumptions, we have a 16 dimensional parameter space to work with,

$$\boldsymbol{\vartheta} = \boldsymbol{\vartheta}_{\text{GR}} \cup \boldsymbol{\vartheta}_{\text{nGR}}, \quad (2.18)$$

where the GR parameters $\boldsymbol{\vartheta}_{\text{GR}}$ are defined in Eq. (2.1).

Some comments follow in order. First, the parametrized deformation of SEOBNRHM we have introduced is not unique. For instance, we could have added additional fractional changes to $\partial_t |h_{\ell m}^{\text{NR}}|(t_{\text{match}}^{\ell m})$ or to the free parameters in the merger-ringdown waveform segment [see Eq. (2.8)]. We have found a compromise between the number of new parameters we can introduce and the physics we want to model; the optimal scenario being that of having the most flexible GW model that depends on the least number of deviation parameters. In our case, we find the parameters $\boldsymbol{\vartheta}_{\text{nGR}}$ defined in Eq. (2.16) to be sufficient for our purposes. Second, one may fear that by effectively “undoing” the NR calibration we would obtain nonphysical GWs. This is not the case, as shown in Fig. 1 and as we will see in Sec. III. Our model produces waveforms that are smooth deformations of the ones of GR and have sufficient flexibility to be applied in tests of GR (Secs. V and VI) and provide a diagnostic tool for the presence of systematic effects in GR GW models (Sec. VII).

III. WAVEFORM MORPHOLOGY

Having introduced our waveform model, we now discuss how each of the parameters $\boldsymbol{\vartheta}_{\text{nGR}}^{\text{merger}}$ modifies the GW signal in GR. In each of the following sections, we vary the parameters δA , $\delta\omega$, and $\delta\Delta t$ one at a time. We take the binary component masses and spins to be,

$$q = 0.867, \nu = 0.249, \chi_1 = \chi_2 = 0, \quad (3.1)$$

which are archetypal values of a GW150914-like event [91], the inclination to be $\iota = 0$ and, for clarity, we show results only for h_{22} . This is the dominant mode for such a quasicircular, nonspinning, and comparable-mass BBH. We end each section by showing how the waveform is modified when we apply the deformations, with the same values, simultaneously to all GW modes present in pSEOBNRHM.

A. The amplitude parameter δA

Let us start with δA , the amplitude parameter. In Fig. 2 we show the real part of $h_{22}(t)$, rescaled by the luminosity

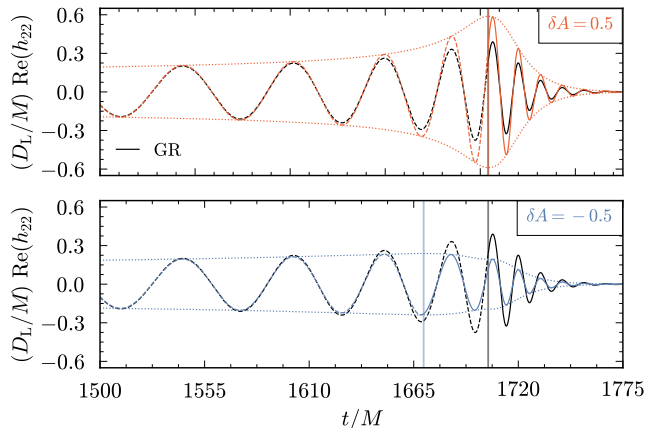


FIG. 2. The time evolution near the merger of the real part of the h_{22} mode for nonzero values of the amplitude parameter δA . We show the GR prediction ($\delta A = 0$) with the black lines. Top panel: for $\delta A = 0.5$. Bottom panel: for $\delta A = -0.5$. In both panels, we also show $\pm|h_{22}|$ for the non-GR waveform (dotted lines), and we use different line styles for the segment $t \leq t_{\text{match}}^{22}$ (dashed lines) and $t > t_{\text{match}}^{22}$ (solid lines) for all waveform illustrated. The matching times t_{match}^{22} are marked by the vertical lines.

distance D_L and total mass M , for two values of δA : 0.5 (top panel) and -0.5 (bottom panel). The dashed segment corresponds to $t \leq t_{\text{match}}^{22}$ (i.e., the inspiral-plunge part of waveform), whereas the solid segment corresponds to $t > t_{\text{match}}^{22}$ (i.e., the merger-ringdown part of the waveform). In both panels, the black curve corresponds to the GR signal ($\delta A = 0$) with the same binary parameters. Both the GR and non-GR waveforms have been shifted in time and aligned in phase around 20 Hz following the prescription of Refs. [59, 69, 71, 72]. The amplitudes of the non-GR waveforms $\pm|h_{22}|$ are shown by the dotted lines and form the envelope around $\text{Re}(h_{22})$.

Unsurprisingly, for positive values of δA , the amplitude $|h_{22}|$ increases relative to its GR value while keeping $t_{\text{match}}^{22} \approx 1704 M$ the same. The situation is more interesting for $\delta A < 0$. For the binary under consideration, we find that $|h_{22}|$ decreases for $\delta A \gtrsim -0.31$, but for $\delta A \lesssim -0.31$, we see that δA pinches downwards the amplitude enough to result in a local minimum (say, at t_{min}^{22}) and two maxima, located before and after t_{min}^{22} , with the global maximum happening at $t_{\text{max}}^{22} < t_{\text{min}}^{22}$. The values of both maxima are smaller than the GR peak amplitude. By construction, the matching time t_{match}^{22} is then shifted to earlier times relative to its GR value. For the example of $\delta A = -0.5$ shown in the bottom panel of Fig. 2, the matching time is at approximately $1670 M$ (compare the location of the vertical lines in this panel).

In Fig. 3, we show a “continuum” of waveforms around the time of merger, obtained by finely covering the interval $\delta A \in [-0.5, 0.5]$, and including δA modifications to all modes in pSEOBNRHM. The GR prediction is shown by the black solid line. The top panel shows the real part of

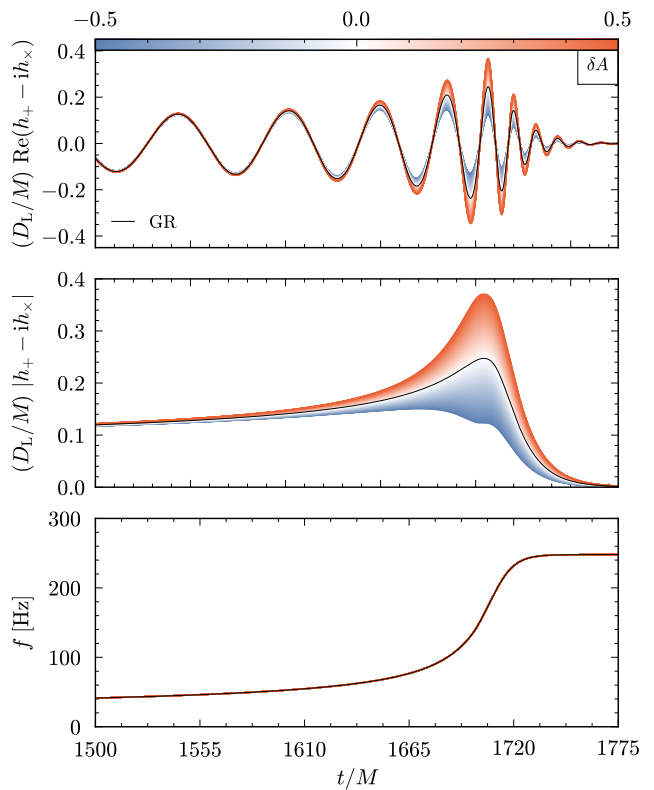


FIG. 3. The time evolution near the merger of the GW strain for nonzero values of the amplitude parameter δA , taken to affect in the same way all the $h_{\ell m}$ modes. The GR prediction ($\delta A = 0$) is show by the black curves. We show the real part of the strain (top panel), the strain amplitude (middle panel), and the instantaneous frequency (bottom panel). As expected, the latter is unaffected by the changes to the peak amplitude of the various GW modes.

the strain, the middle panel the strain amplitude, and the bottom panel the instantaneous frequency, defined as $f = (2\pi)^{-1} \text{d arg}(h_+ - ih_x)/\text{d}t$. As expected, we see that f does not change by varying δA , while the middle panel shows clearly how δA changes the GW amplitude. For negative values of δA , the presence of a local minimum in the GW amplitude is evident, as discussed previously.

B. The frequency parameter $\delta\omega$

We now consider $\delta\omega$, the frequency parameter. Figure 4 is analogous to Fig. 2, except that we now consider $\delta\omega = 0.5$ (top panel) and $\delta\omega = -0.5$ (bottom panel). We see that $\delta\omega$ induces a time-dependent phase shift to the waveform, with its effects being most noticeable near the merger, and causing t_{match} to happen later (earlier) relative to GR when $\delta\omega > 0$ ($\delta\omega < 0$), while keeping the peak amplitude unaffected.

In Fig. 5, we show an analogous version of Fig. 3, but now for $\delta\omega$. Once more, the top panel shows the real

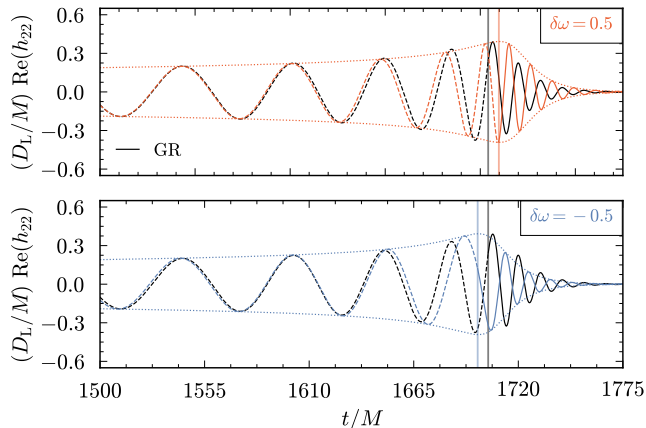


FIG. 4. The time evolution near the merger of the real part of the h_{22} mode for nonzero values of the frequency parameter $\delta\omega$. We show the GR prediction ($\delta\omega = 0$) with the black lines. Top panel: for $\delta\omega = 0.5$. Bottom panel: for $\delta\omega = -0.5$. In both panels, we also show $\pm|h_{22}|$ for the non-GR waveform (dotted lines), and we use different line styles for the segment $t \leq t_{\text{match}}^{22}$ (dashed lines) and $t > t_{\text{match}}^{22}$ (solid lines) for all waveform illustrated. The matching times t_{match}^{22} are marked by the vertical lines.

part of the strain, the middle panel the strain amplitude, and the bottom panel the instantaneous frequency. We focus on the region near the merger and we plot the GR curves ($\delta\omega = 0$) with black solid lines. In the top panel, we can see the phase differences between the non-GR and GR waveforms, which are the largest around the time of merger and ringdown. This is in part due to the $\delta\omega$ itself, but also to the phase-shift and time-alignment procedure already mentioned, which we perform with respect to the GR waveform. The effect of the latter is small, as can be seen in the middle panel for the amplitude, where all curves nearly overlap in time. In the bottom panel, we note sharp changes to f when $|\delta\omega| \approx 0.5$. They originate from us not imposing the continuity of the time derivative of $\omega_{\ell m}^{\text{NR}}$ at $t = t_{\text{match}}^{\ell m}$ [63, 64].

C. The time shift parameter $\delta\Delta t$

At last, we now consider $\delta\Delta t$, the time shift parameter. In Fig 6, which is analogous to both Figs. 2 and 4, we show waveforms for $\delta\Delta t = 0.5$ (top panel) and $\delta\Delta t = -0.5$ (bottom panel). Overall, we see small changes to the GR waveform, in the form of an earlier t_{match} when $\delta\Delta t > 0$, and later t_{match} when $\delta\Delta t < 0$. Here, the changes due to the phase-shift and time-alignment are negligible, and the shifts seen in the figure are due to $\delta\Delta t$.

Finally, in Fig. 7 we show a sequence of waveforms around the time of merger, obtained by finely covering the interval $\delta\Delta t \in [-0.5, 0.5]$. The GR prediction is shown by the black solid line. We see that the changes to the strain (top panel), its amplitude (middle panel),

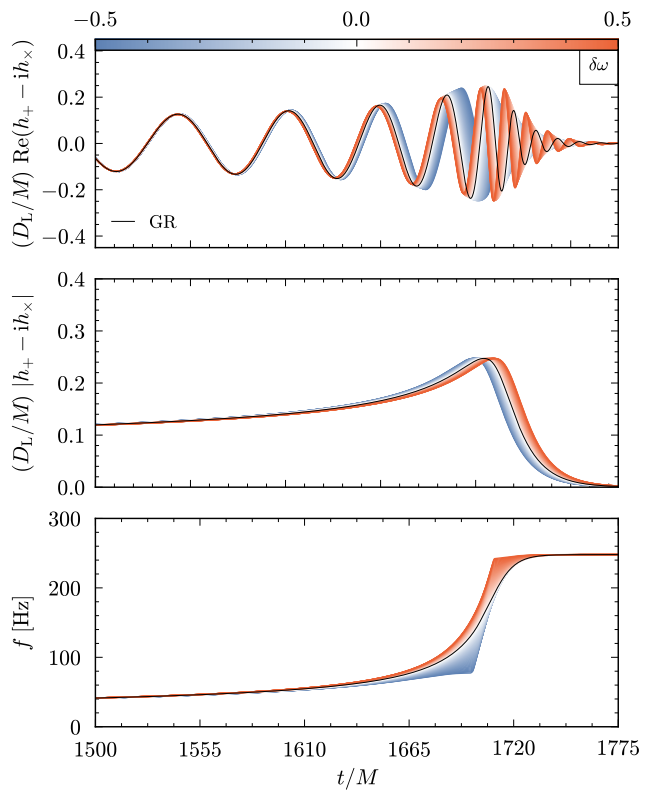


FIG. 5. The time evolution near the merger of the GW strain for nonzero values of the frequency parameter $\delta\omega$, assumed to be the same for all $h_{\ell m}$ modes. The GR prediction ($\delta A = 0$) is shown by the black curves. We show the real part of the strain (top panel), the strain amplitude (middle panel), and the instantaneous frequency (bottom panel). In the top panel, we clearly see the phase difference between the non-GR and GR waveform near the merger. This is partially due to the $\delta\omega$ itself, but also to the phase-shift and time-alignment done with respect to the GR waveform. The effect of the latter is small as can be seen in the middle panel, which shows the amplitude. The sharp changes to f in the bottom panel for $|\delta\omega| \approx 0.5$ originate from us not imposing the continuity of the time derivative of $\omega_{\ell m}^{\text{NR}}$ at $t = t_{\text{match}}^{\ell m}$.

and its frequency evolution (bottom panel) are small. Therefore, $\delta\Delta t$ introduces changes to the GR waveform which are in general subdominant relative to those due to δA and $\delta\omega$. We also remark that $\Delta t_{\ell m}^{\text{GR}}$ is not very sensitive to the EOB calibration against NR waveform. Hence, the fractional changes we are introducing on $\Delta t_{\ell m}^{\text{GR}}$ are comparable with the NR fitting errors. This explains why this parameter affects so little the GR waveforms.

IV. PARAMETER ESTIMATION

In the previous section, we have introduced our waveform model and discussed the properties of the waveform morphology. Here, we summarize the Bayesian inference formalism used for parameter estimation of GW signals

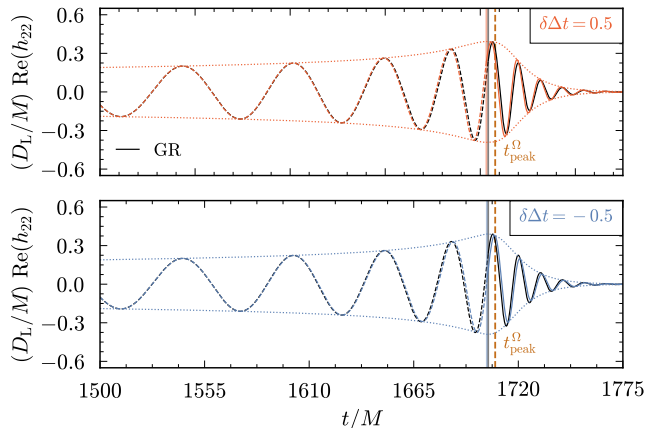


FIG. 6. The time evolution near the merger of the real part of the h_{22} mode for nonzero values of the time shift parameter $\delta\Delta t$. We show the GR prediction ($\delta\Delta t = 0$) with the black lines. Top panel: for $\delta\Delta t = 0.5$. Bottom panel: for $\delta\Delta t = -0.5$. In both panels, we also show $\pm|h_{22}|$ for the non-GR waveform (dotted lines), and we use different line styles for the segment $t \leq t_{\text{match}}^{22}$ (dashed lines) and $t > t_{\text{match}}^{22}$ (solid lines) for all waveform illustrated. The matching times t_{match}^{22} are marked by the vertical lines. For reference, we also show the instant in which the EOB frequency peaks (t_{peak}^{Ω}) with vertical dashed lines.

and synthetic-data studies. We describe the prior choices and the criteria for the GW event selection.

A. Bayesian parameter estimation

Our hypothesis, \mathcal{H} , is that in the detector data, d , a GW signal described by the waveform model `pSEOBNRHM` is observed. The parametrized model `pSEOBNRHM` has a set of GR and non-GR parameters, as in Eqs. (2.1) and (2.16), where

$$\boldsymbol{\vartheta}_{\text{nGR}} = \{\delta A, \delta\omega, \delta\Delta t, \delta f_{220}, \delta\tau_{220}\}. \quad (4.1)$$

As said, we assume that the merger modifications are the same for all (ℓ, m) modes present in the model `pSEOBNRHM`.

The posterior probability distribution on the parameters of the model, $\boldsymbol{\vartheta}$, given the hypothesis, \mathcal{H} , is obtained using Bayes' theorem,

$$P(\boldsymbol{\vartheta}|d, \mathcal{H}) = \frac{P(\boldsymbol{\vartheta}|\mathcal{H})\mathcal{L}(d|\boldsymbol{\vartheta}, \mathcal{H})}{P(d|\mathcal{H})}, \quad (4.2)$$

where $P(\boldsymbol{\vartheta}|\mathcal{H})$ is the prior probability distribution, $\mathcal{L}(d|\boldsymbol{\vartheta}, \mathcal{H})$ is the likelihood function, and $P(d|\mathcal{H})$ is the evidence of the hypothesis \mathcal{H} . For a detector with stationary, Gaussian noise and power spectral density $S_n(f)$, the likelihood function can be written as,

$$\mathcal{L}(d|\boldsymbol{\vartheta}, \mathcal{H}) \propto \exp\left[-\frac{1}{2}\langle d - h(\boldsymbol{\vartheta})|d - h(\boldsymbol{\vartheta})\rangle\right], \quad (4.3)$$

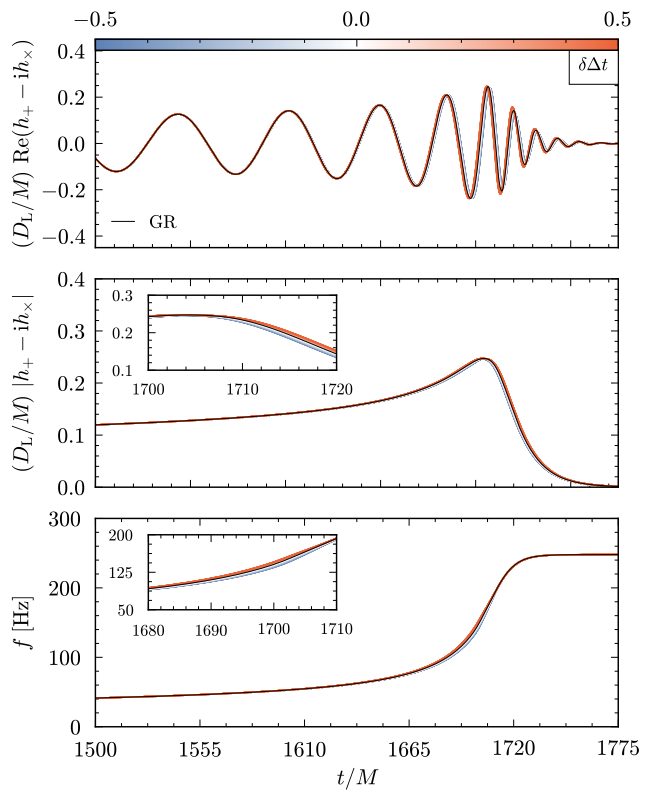


FIG. 7. The time evolution near the merger of the GW strain for nonzero values of the time shift parameter $\delta\Delta t$, assumed to be the same for all $h_{\ell m}$ modes. The GR prediction ($\delta\Delta t = 0$) is shown by the black curves. We show the real part of the strain (top panel), the strain amplitude (middle panel), and the instantaneous frequency (bottom panel). The insets zoom into the time intervals $t/M \in [1700, 1720]$ in the middle panel and $t/M \in [1680, 1710]$ in the bottom panel.

where the noise-weighted inner product is defined as,

$$\langle A|B\rangle = 2 \int_{f_{\text{low}}}^{f_{\text{high}}} df \frac{\tilde{A}^*(f)\tilde{B}(f) + \tilde{A}(f)\tilde{B}^*(f)}{S_n(f)}, \quad (4.4)$$

where $\tilde{A}(f)$ is the Fourier transform of $A(t)$, and the asterisk denotes the complex conjugation, and $S_n(f)$ is the one-sided power spectral density of the detector. The integration limits f_{low} and f_{high} set the bandwidth of the detector's sensitivity. We follow the LVK analysis and set $f_{\text{low}} = 20$ Hz, while f_{high} is the Nyquist frequency [19]. The posterior distributions are computed by using `LALInferenceMCMC` [92, 93], a Markov-chain Monte Carlo that uses the Metropolis-Hastings algorithm to survey the likelihood surface and is implemented in `LALInference` [94], part of the `LALSuite` software suite [95].

B. Prior choices

The prior distributions on the GR parameters are assumed to be uniform in the component masses (m_1, m_2), uniform and isotropic in the spin magnitudes (χ_1, χ_2), isotropic on the binary orientation, and isotropically distributed on a sphere for the source location with $P(D_L) \propto D_L^2$.

For the non-GR parameters, as explained in Sec. II B, the internal consistency of the pSEOBNRHM model requires that both $\delta\Delta t$ and $\delta\tau_{220}$ are larger than -1 (cf. Table I). We use this fact to fix a common lower limit on the uniform priors on all ϑ_{nGR} , that we assume to extend up to one. This was sufficient in most of our analysis, but in a few cases we found that the marginalized posteriors distributions for one or more non-GR parameters had support at $\vartheta_{\text{nGR}} \approx 1$. In such cases we extended the priors' domains to $\vartheta_{\text{nGR}} \in (-1, +2]$. Even at this wider range, we did not find anomalies in the waveform.

C. Event selection

The pSEOBNRHM ringdown analysis performed in Ref. [13] selected GW events from the GWTC-3 catalog [19] which had a signal-to-noise ratio (SNR) ≥ 8 in the inspiral and post-inspiral regimes. The requirement on the inspiral regime allows one to break the strong degeneracy between the total mass of the binary and the ringdown deviation parameters [39, 40]. Among the GW events that meet this criteria, two stand out in terms of their constraining power on $\vartheta_{\text{nGR}}^{\text{RD}}$, namely GW150914 [14, 15] and GW200129.065458 (hereafter GW200129) [19]. These two events, with a median total source-frame masses of $64.5 M_\odot$ and $63.4 M_\odot$, respectively, are among the loudest BBH signals to date with a median total network SNR of 26.0 and 26.8, respectively [18, 19]. GW150914 was detected by the two LIGO detectors at Hanford and Livingston, whereas GW200129 was detected by the three-detector network of LIGO Hanford, Livingston, and Virgo.

We guide ourselves by this result and use these two events to investigate what constraints we can place on the merger-ringdown parameters. We remark that this SNR selection criteria may be too strong if we are interested in $\vartheta_{\text{nGR}}^{\text{merger}}$ only. We leave the study of the optimal SNR to constrain only the merger parameters to a future work.

V. RESULTS: SYNTHETIC-SIGNAL INJECTION STUDIES

In this section, we use pSEOBNRHM to perform a number of synthetic-signal injection studies. As we saw in Sec. II, pSEOBNRHM is a smooth deformation of the GR waveform model SEOBNRHM, which is recovered when all ϑ_{nGR} parameters are set to zero. This allows us to explore

Parameter (detector frame)	Value
Primary mass, m_1 [M_\odot]	38.5
Secondary mass, m_2 [M_\odot]	33.4
Primary spin, χ_1	3.47×10^{-3}
Secondary spin, χ_2	-4.40×10^{-2}
Inclination, ι [rad]	2.69
Polarization, ψ [rad]	1.58
Right ascension, α [rad]	1.22
Declination, δ [rad]	-1.46
Luminosity distance, D_L [Mpc]	337
Reference time, t_c [GPS]	1126285216
Reference phase, ϕ_c [rad]	0.00

TABLE II. Values of the parameters ϑ_{GR} used in all synthetic-signal injection studies in Sec. V. The parameters are representative of GW150914, except for the luminosity distance, which is chosen such that the total SNR, in a detector network constituted by LIGO Hanford and Livingston operating at design sensitivity, is approximately 100.

different scenarios that differ from one another on whether the GW signal and the GW model used to infer the parameters of this signal are described by GR ($\vartheta_{\text{nGR}} = 0$) or not ($\vartheta_{\text{nGR}} \neq 0$). We summarize these possibilities in Table III.

To prepare the GW signal we need to fix $\vartheta = \vartheta_{\text{GR}} \cup \vartheta_{\text{nGR}}$. In all cases, we use values of ϑ_{GR} illustrative of a GW150914-like BBH as in Table II. We set all non-GR parameters to the same value, $\vartheta_{\text{nGR}} = 0.1$, whenever the injected signal is non-GR. By working exclusively with the pSEOBNRHM waveform model, we avoid introducing systematic errors due to waveform modeling in our analysis. We also employ an averaged (zero-noise) realization of the noise to avoid statistical errors due to noise. The resulting GW signal is then analyzed with the power spectral density $S_n(f)$ of the LIGO Hanford and Livingston detectors both at design sensitivity [96]. In all cases, we set the distance to the binary to be such that the total network SNR is approximately 100.

In Sec. V A, we do a preliminary analysis where both injected and model waveforms are described by GR. This allows us to access the accuracy with which different binary parameters can be recovered from the data in the detector network. With these results as a benchmark, we can then proceed to inject a non-GR waveform and analyze it with a GR model. This allows us to study the systematic error introduced on the inferred binary parameters by assuming a priori that GR is true, while nature may not be so (the so-called fundamental bias). In Sec. V B, we inject a GR waveform and try to recover its parameters with a non-GR model. This allows us to answer how much the non-GR parameters can be constrained given an event consistent with GR. Finally, in Sec. V C, we use non-GR waveforms as both our injection and our model. This answers whether we can detect the presence of the non-GR parameters in our signal.

		Model	
		GR	non-GR
Injection	GR	Sec. VA	Sec. VB
	non-GR	Sec. VA	Sec. VC

TABLE III. Summary of the synthetic-signal injection simulations performed in Sec. V. The label “GR” refers to the SEOBNRHM waveform model, whereas the label “non-GR” refers to the pSEOBNRHM waveform model, where all merger-ringdown parameters are set deviate in 10% deviations relative to their corresponding GR values.

A. Fundamental biases on binary parameters

We first explore the presence (or not) of biases in the inference of binary parameters when the template waveform model assumes GR, while the injected GW signal is non-GR [31, 97]. For this purpose, we first inject a synthetic GR GW signal and recover the binary parameters with a GR model. By doing this exercise first, we gain an idea on the accuracy with which the parameters of the binary (cf. Table II) can be recovered in our set up. Next, we repeat the same analysis but now using as our synthetic GW signal the one obtained with pSEOBNRHM. The signal is prepared using the same binary parameters ϑ_{GR} shown in Table II, but now we let $\vartheta_{\text{nGR}} = 0.1$.

The results of our two analyses are shown in Fig. 8. We show the one- and two-dimensional posterior distributions of a subset of the intrinsic binary parameters, namely, the mass ratio q , the detector-frame chirp mass \mathcal{M} and the effective spin χ_{eff} . In all panels, the “true” (injection) values of these parameters are marked by the vertical and horizontal lines. We see that in the case of a non-GR injection (solid curves), the posterior distributions of the parameters are shifted from the injected values and from the posterior distributions in the case of a GR injection (dashed curves). Hence, if a GW signal with deviations from GR would be analyzed by current GR templates, the GW event would be interpreted as a BBH in GR with different values of the binary parameters.

B. Constraints on deviations to general relativity

We now inject a synthetic GW signal in GR using the parameters ϑ_{GR} in Table II with $\text{SNR} = 98$. We analyze the signal using the pSEOBNRHM waveform model, allowing both ϑ_{GR} in Eq. (2.1) and ϑ_{nGR} in Eq. (4.1) to vary. This simulates a scenario where we have a GW event consistent with GR and we want to understand which constraints it places on the non-GR parameters in our waveform model.

We summarize the results of the analysis in Fig. 9, where we show the one- and two-dimensional posterior probability distributions of the merger-ringdown parameters ϑ_{nGR} . We find that the marginalized posterior distributions of the non-GR parameters are consistent with the corresponding injected values in GR, which are indi-

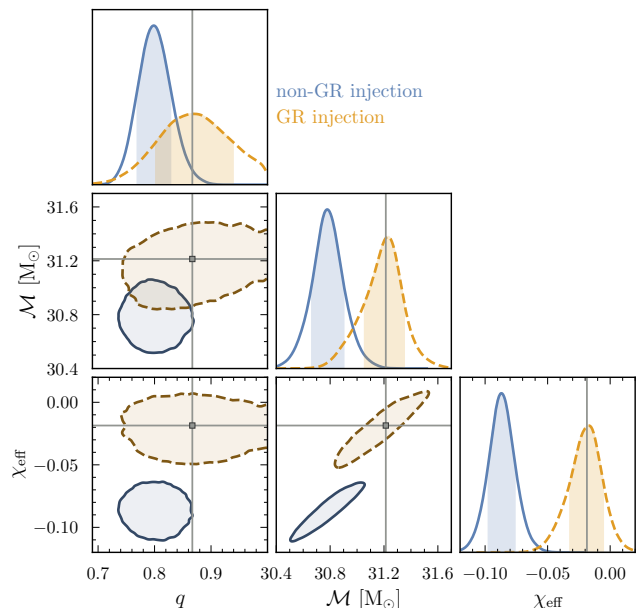


FIG. 8. The one- and two-dimensional posterior distributions on the intrinsic binary parameters of mass ratio q , detector-frame chirp mass \mathcal{M} and effective spin χ_{eff} for a GR injection (dashed curve) and a non-GR injection (solid curve) with 10% deviations in the merger-ringdown parameters ϑ_{nGR} . All contours indicate 90% credible regions. The parameter estimation is performed assuming the GR SEOBNRHM waveform model. The vertical and horizontal lines mark the injected values. The measurements with non-GR injections are visibly biased, most preeminently in χ_{eff} and \mathcal{M} .

cated by the markers. We can infer that a GW150914-like event with $\text{SNR} = 98$ would constrain the deformation parameters in the range between 5% (for δA and δf_{220}) and 20% (for $\delta \tau_{220}$) at 90% credible level.

The best constrained parameter is the amplitude, δA , whereas the less constrained parameter is the time shift, $\delta \Delta t$. For the latter, we obtain a posterior distribution that has support onto a wide range of the prior. This is perhaps unsurprising due to the small deviations caused by $\delta \Delta t$ in the waveform in comparison with $\delta \omega$ (compare Figs. 4 and 6). We also observe a correlation between these two parameters; see the $\delta \Delta t - \delta \omega$ panel in Fig. 9. Together, these results suggest that considering δA and $\delta \omega$ is sufficient, if one is interested in doing a test of GR only in the plunge-merger stage of the binary’s coalescence.

C. Detecting deviations from general relativity

We now study whether we can detect the presence of the non-GR parameters. To do so, we inject a synthetic GW signal where the binary parameters are shown in Table II, $\text{SNR} = 104$, and we set the merger-ringdown parameters to be 10% larger than their corresponding GR values.

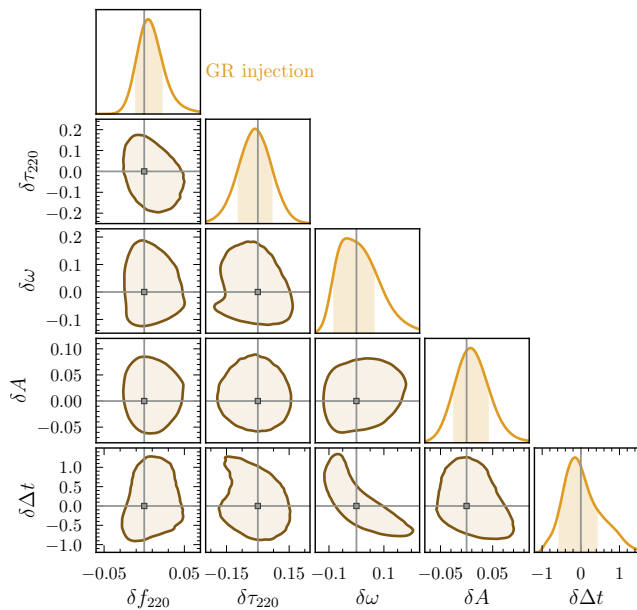


FIG. 9. The one- and two-dimensional posterior distributions on the merger-ringdown parameters ϑ_{nGR} . All contours indicate 90% credible regions. We considered a GR injection and recovered with the pSEOBNRHM model. The vertical and horizontal lines mark the injected values for the deviation parameters, i.e., $\vartheta_{\text{nGR}} = 0$. The inferred values on ϑ_{nGR} are consistent with the zero, and their width of the marginalized posterior distribution inform us with which accuracy we may constrain these parameters.

We summarize the outcome of our parameter estimation in Fig. 10, where we show the one- and two-dimensional posterior distributions for the ϑ_{nGR} parameters. We see that all posteriors are consistent with the injected values, indicated by the markers. Moreover, the posteriors for ϑ_{nGR} have support at their null, GR value. The exceptions are the amplitude δA and the QNM frequency δf_{220} parameters, which have no support at their GR values at 90% credible level. This suggests that these two parameters are the most promising ones in signaling the presence of beyond-GR physics for GW150914-like binaries. In fact, we will see this suggestion taking place in our analysis of GW200129 in Sec. VII.

VI. ANALYSIS OF GW150914: CONSTRAINTS ON THE PLUNGE-MERGER-RINGDOWN PARAMETERS

Having gained some intuition on the role of the merger-ringdown parameters in the synthetic-signal injections presented in Sec. V, we now apply the pSEOBNRHM model to the analysis of real GW events. Our analysis, here and in Sec. VII, uses the calibrated power spectral density of the detectors from the Gravitational Wave Open Science Center (GWOSC) [98]. We will start with GW1501914, the first GW event observed by the LIGO-Virgo Collabora-

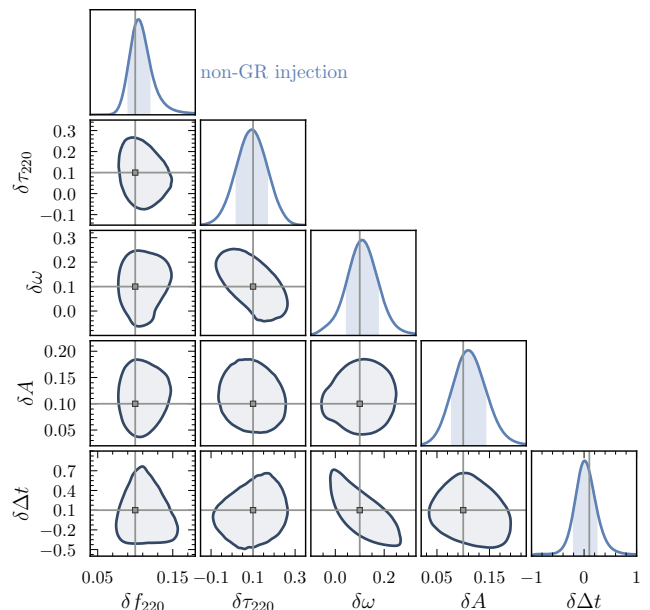


FIG. 10. The one- and two-dimensional posterior distributions on the merger-ringdown parameters ϑ_{nGR} . All contours correspond to 90% credible regions. In comparison to Fig. 9, this time we use pSEOBNRHM prepare the injection. This allow us to understand how well we can measure the non-GR parameters. The vertical and horizontal lines mark the injected values for the deviation parameters, i.e., $\vartheta_{\text{nGR}} = 0.1$. The marginalized posterior distributions on ϑ_{nGR} are consistent with their injection-values.

tion [14].

We will focus our analysis to two subsets of merger-ringdown parameters due to the smaller SNR of this event (and of GW200129) in comparison to the SNR ≈ 100 scenarios studied in the previous section. First, we have seen that the time-shift parameter $\delta\Delta t$ is the hardest parameter to constrain, and that it has wide posteriors even at such large SNR. This motivates us to consider, among the merger parameters, only

$$\vartheta_{\text{nGR}} = \{\delta A, \delta\omega\}, \quad (6.1)$$

to perform a “merger test of GR”. Second, we have observed correlations between the frequency parameter $\delta\omega$ and the QNM deformations parameters δf_{220} and $\delta\tau_{220}$. This suggests us to use,

$$\vartheta_{\text{nGR}} = \{\delta A, \delta f_{220}, \delta\tau_{220}\}, \quad (6.2)$$

to perform a “merger-ringdown test of GR”.

In Fig. 11 we show the results of our merger test of GR. The corner plot shows the one- and two-dimensional posterior probability distributions of δA and $\delta\omega$. The posterior distributions are consistent with the null value predicted in GR. We obtain from GW150914:

$$\delta A = -0.03_{-0.20}^{+0.21}, \quad \text{and} \quad \delta\omega = 0.04_{-0.13}^{+0.18}, \quad (6.3)$$

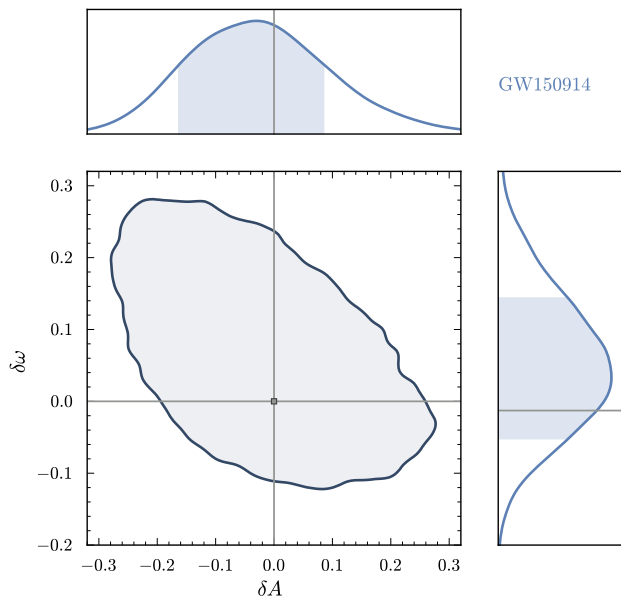


FIG. 11. The one- and two-dimensional posterior distributions on δA and $\delta\omega$ obtained by analyzing GW150914. All contours correspond to 90% credible regions. The marginalized posterior distributions are consistent with GR, i.e., $\delta A = \delta\omega = 0$, identified in the plot with the horizontal and vertical lines. We found that $\delta A = -0.03^{+0.21}_{-0.20}$ and $\delta\omega = 0.04^{+0.18}_{-0.13}$ at 90% credible level.

at 90% credible level. This shows that we *can already constrain deviations from GR around the merger time of BBH coalescences to about 20% with present GW events.*

Figure 12 is a similar plot, but for the merger-ringdown test of GR. Once more, we find that the inferred values of the non-GR parameters are consistent with GR,

$$\delta A = -0.01^{+0.23}_{-0.18}, \delta f_{220} = 0.04^{+0.10}_{-0.07}, \delta\tau_{220} = 0.02^{+0.32}_{-0.22}, \quad (6.4)$$

at 90% credible level. The bound on the amplitude parameter is similar to the one obtained in the merger test, shown in Eq. (6.3). Also, the bounds on the ring-down parameters are similar to those obtain in Ref. [40] ($\delta f_{220} = 0.05^{+0.11}_{-0.07}$ and $\delta\tau_{220} = -0.07^{+0.26}_{-0.23}$), which had only these two quantities as its non-GR parameters.

When interpreting our inferences on these parameters, it is important to note that the statistical error in our analysis ($\approx 20\%$) is larger than the systematic error due to fitting $|h_{\ell m}^{\text{NR}}|$ and $\omega_{\ell m}^{\text{NR}}$ against NR data, which is at most around 4% with current models [63, 64], depending on where one is in the η - χ_{eff} parameter space. In fact, we do see that the median values of δA and $\delta\omega$ fall within this fitting error. In conclusion, we can claim to have placed a constraint on these non-GR parameters with GW150914.

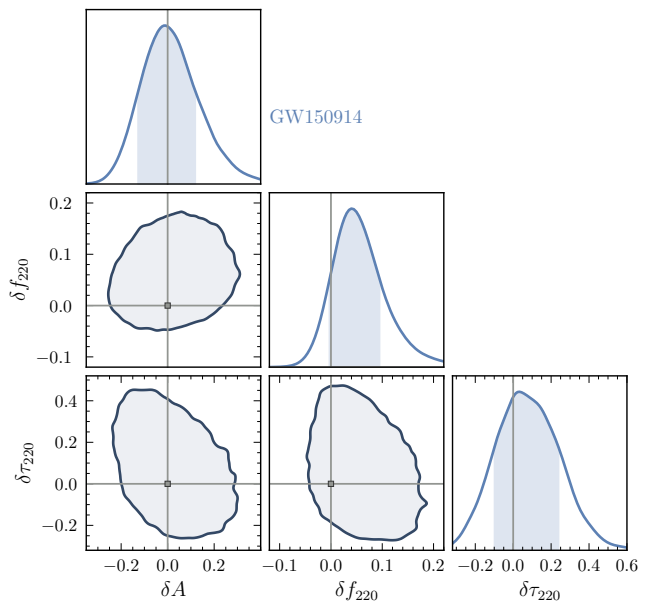


FIG. 12. The one- and two-dimensional posterior distributions on the merger parameter δA , and ringdown parameters δf_{220} and $\delta\tau_{220}$, obtained by analyzing GW150914. The marginalized posterior distributions are consistent with GR, i.e., $\delta A = \delta f_{220} = \delta\omega_{220} = 0$, identified in the plot with the horizontal and vertical lines. We found that GW150914 constrains these parameters to be $\delta A = -0.01^{+0.23}_{-0.18}$, $\delta f_{220} = 0.041^{+0.104}_{-0.070}$, and $\delta\tau_{220} = 0.02^{+0.32}_{-0.22}$ at 90% credible level.

VII. THE CASE OF GW200129: THE IMPORTANCE OF WAVEFORM SYSTEMATICS AND DATA-QUALITY IN TESTS OF GENERAL RELATIVITY

We now turn our attention to GW200129 and, following what we have learned in the previous section, we first consider **p**SEOBNRHM with only δA and $\delta\omega$ as non-GR parameters. We show the one- and two-dimensional marginalized posteriors of these parameters with the black solid curves in the left panel of Fig. 13. We see that while our inferred value of $\delta\omega$ ($\delta\omega = 0.00^{+0.07}_{-0.08}$ at the 90% credible level) is consistent with GR, our inferred value of δA ($\delta A = 0.47^{+0.30}_{-0.28}$ at the 90% credible level) exhibits a *gross violation of GR.*

Have we found a strong evidence of violation of GR in GW200129? Assuming that this is not the case, the apparent violation of GR could be either due to statistical errors or to systematic errors. To explore the first possibility, we perform a series of synthetic-data injection studies. As our first step, we do a parameter-estimation study in zero noise, where the injected GW signal is generated with **SEOBNRHM** and we use the binary parameters corresponding to the maximum likelihood point from the GWTC-3 data release by the LVK [99] analysis of GW200129. The LVK analysis was done separately with two quasicircular and spin-precessing waveform models,

SEOBNRv4PHM [65] and IMRPhenomXPHM [100], employing different parameter estimation libraries, RIFT [101–103] and Bilby [104, 105], respectively. Here, as a reference, we use the maximum likelihood point of the analysis that employed the IMRPhenomXPHM model. More specifically, because the SEOBNRHM model we are using is nonprecessing, we use only the masses and luminosity distance from the maximum-likelihood point.

The resultant posterior distributions are shown in the left panel of Fig. 13 (dashed curves) and they are, reassuringly, consistent with GR. We also repeat this analysis for ten Gaussian noise realizations, using the same synthetic GW signal (yellow solid curves in the left panel of Fig. 13). Consistent with the expectations, two noise realizations yield marginalized posteriors on $\delta\omega$ and δA which are not consistent with GR at 90% credible level (shown by the thicker yellow solid curves). It is worth to observe how the Gaussian noise curves have qualitatively the same shapes (spreads), with the two outliers being shifted away from $(\delta A, \delta\omega) = (0, 0)$. This is an expected behavior associated to *statistical noise*. These results, hence, disfavor the possibility that the violations of GR we are observing are due to the noise or due to the particular binary parameters inferred for this event. The latter alternative would have been quite unlikely in the first place, because both GW200129 and GW150914 have similar binary parameters and SNRs, and we have already found that GW1501914 is consistent with GR in Sec. VI (cf. Fig. 11).

As our next step, we perform two additional parameter estimation runs, in zero noise, but now preparing our synthetic GW signal with the SEOBNRv4PHM [65] and the NRSur7dq4 [106, 107] waveform models. Both models allow for spin-precession, unlike our pSEOBNRHM. Hence, we can study if the GR deviations we are finding are due to systematic errors in the GW modeling. Once again, the maximum-likelihood point of the LVK analysis of GW200129 using IMRPhenomXPHM was used, but this time with the binary components’ spins included. We show our results in the right panel of Fig. 13. The one- and two-dimensional posterior distributions of $\delta\omega$ and δA are shown in dash-dotted curves for the NRSur7dq4 injection and with dotted curves for the SEOBNRv4PHM injection. For reference, we also include the posterior distribution associated to the SEOBNRHM injection (dashed curves) and to the data from GW200129 (solid curves). We see that these two spin-precessing GW signals, when analyzed in zero noise, are also in disagreement with GR, when analyzed with our nonprecessing non-GR model. We also see that our results using NRSur7dq4 (which compares the best against NR simulations in its regime of validity) are in good agreement with what we obtain by analyzing the GW200129 data. These results, compared with those obtained from the SEOBNRHM injection, suggest that *the presence of spin-precession in the GR signal, biases us to find a false evidence for beyond-GR effects when we use a nonprecessing non-GR model*.

Is this the full story? In Ref. [108], Payne et al. revis-

ited the evidence of spin-precession in GW200129 [109]. They concluded that the evidence for spin-precession originates from the LIGO Livingston data, in the 20–50 Hz frequency range, alone. This range coincides with the frequency range that displays data quality issues, due to a glitch in the detector that overlapped in time with the signal [19]. By reanalyzing the GW200129 data with $f_{\text{low}} > 50$ Hz (while leaving LIGO Hanford data intact and not using Virgo data), they showed that the evidence in favor of spin-precession in this event disappears. See Ref. [108] for a detailed discussion. Moreover, a re-analysis of the LIGO Livingston glitch mitigation showed that the difference between the spin-precessing and nonprecessing interpretations of this event is subdominant relative to uncertainties in the glitch subtraction [108]. Since we have used the glitch-subtracted data in our parameter estimation, we are then led to the second conclusion of our study of this event, namely that: *issues with data quality can introduce biases in non-GR parameters, to an extent that one can find significant false violations of GR in GW events detected with present GW observatories*. See Ref. [110] for a recent study of this issue.

Furthermore, we repeat here the analysis we have performed for GW150914 where we considered $\vartheta_{\text{nGR}} = \{\delta A, \delta f_{220}, \delta\tau_{220}\}$ as our non-GR parameters. For the discussion that follows, we assume that GW200129 is an unmistakable genuine spin-precessing BBH. We show our results in Fig. 14. We see that while our inferred values of δf_{220} and $\delta\tau_{220}$ are consistent with GR at 90% confidence level, our inference of the amplitude parameter, $\delta A = 0.49^{+0.25}_{-0.17}$ at 90% credible level, remains inconsistent with GR. Moreover, this value hardly changes from our $\{\delta A, \delta\omega\}$ -study, i.e., $\delta A = 0.47^{+0.30}_{-0.28}$, at the same credible level. This result is interesting for two reasons. First, it indicates that the systematic error caused by spin-precession mismodeling is robust to the inclusion of deformations to the ringdown QNM frequencies, at least for this event. Second, there is a commonality between our finding for GW150914 (see Fig. 12) and GW200129 (see Fig. 14) namely, that in both cases the posterior distributions of δf_{220} and $\delta\tau_{220}$ are consistent with GR, despite the larger parameter space due to the inclusion of δA . In the case in which one considers only δf_{220} and $\delta\tau_{220}$ as non-GR parameter, the consistency with GR had already been established in Ref. [40], and in particular in Ref. [13]; see Sec. VIII, Fig. 14 there¹. Our analysis of these two GW events with the new pSEOBNRHM waveform model suggests the following: *the model would be able to detect deviations from nonprecessing quasicircular GW signals in the plunge-merger-ringdown which otherwise would not be seen when having deformations to the ringdown only*.

¹The LVK Collaboration also does an independent analysis of the ringdown using pyRing. This analysis lead to an odds ratio $\log_{10} \mathcal{O}_{\text{GR}}^{\text{nGR}} = -0.09$ for GW200129, the largest among all events studied [11]. A positive value would quantify the level of disagreement with GR.

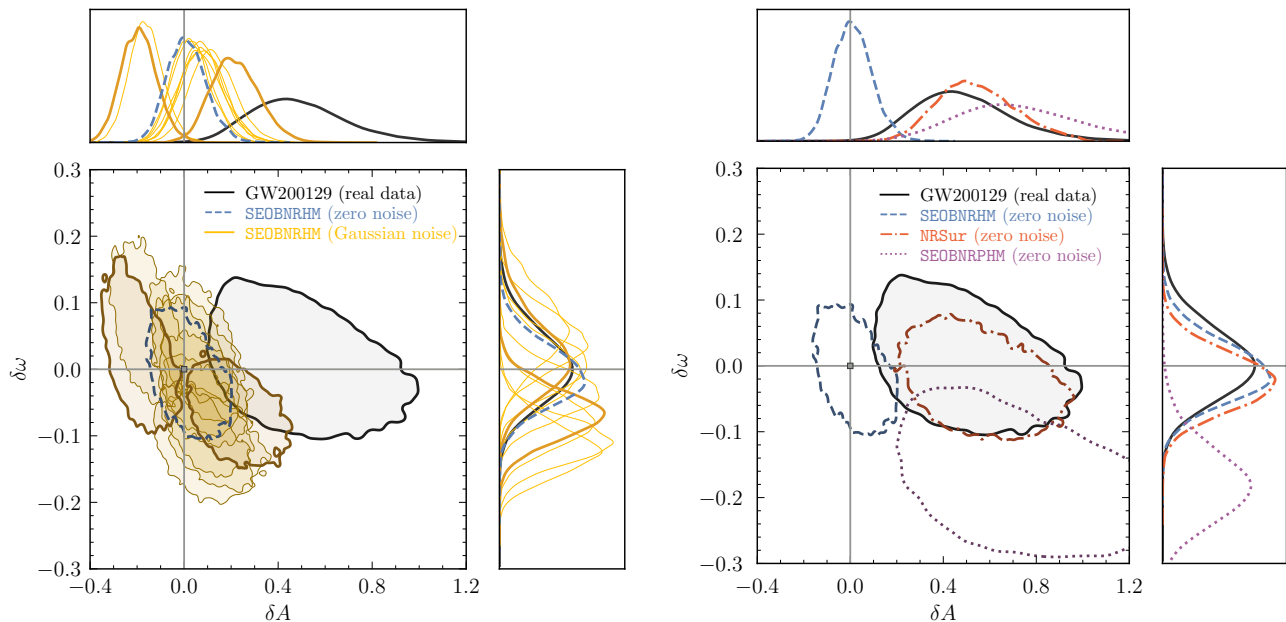


FIG. 13. Corner plots showing the one- and two-dimensional posterior distribution functions for δA and $\delta\omega$ for our studies of GW200129 and GW200129-like BBHs. All contours indicate 90% credible regions. Left panel: results of our reanalysis of GW200129 data with **p**SEOBNRHM (black solid curves) and for a GW200129-like injection generated with SEOBNRHM. For the latter, we used the maximum-likelihood point of LVK’s original analysis of GW200129 which employed the IMRPhenomXPHM model to generate the synthetic GW signal. We performed the parameter estimation of these injections in zero noise (dashed curves) and in ten Gaussian noise realizations (yellow solid curves). Right panel: Similar, but having prepared two additional GW200129-like synthetic data with NRSur7dq4 (dot-dashed curves) and with SEOBNRv4PHM (dotted curves). Both models include spin precession effects. Observe how the posteriors distributions are in tension with GR [marker at $(\delta A, \delta\omega) = (0, 0)$] when we include spin-precession effects in the synthetic data and we recover with a nonprecessing and non-GR waveform model.

We close our discussion of GW200129 with two remarks. First, data-quality issues aside, we can think of our spin-precessing injection studies as illustrative of what could happen in upcoming LVK observation runs. By doing so, we have then demonstrated the existence of a systematic error on the non-GR parameters caused by spin-precession mismodeling¹. Second, although we have proposed **p**SEOBNRHM as a means of constraining (or detecting) potential non-GR physics in BBH coalescences, we can also interpret the merger parameters as indicators of our ignorance in GR waveform modeling². More concretely, in a hypothetical scenario where GW modelers did not know that BBH can spin precess, an analysis of GW200129 with **p**SEOBNRHM would suggest that their model of the peak GW-mode amplitudes is insufficient to describe this event and hence be an indicative of new,

non-modeled binary dynamics that was absent in their waveform model. They would not be able to say that spin precession is the missing dynamics, but they would at least realize that *something* is missing.

VIII. DISCUSSIONS AND FINAL REMARKS

We presented a time-domain IMR waveform model that accommodates parametrized deviations from GR in the plunge-merger-ringdown stage of nonprecessing and quasicircular BBHs. This model generalizes the previous iterations of the **p**SEOBNRHM model [38–40], which included deviations from GR in the inspiral phase or modified the QNM frequencies only, by introducing deformation parameters $\vartheta_{\text{nGR}}^{\text{merger}}$ that, for each GW mode, can change the time at which the GW mode peaks, the mode frequency at this instant, and the peak mode amplitude. This new version of **p**SEOBNRHM reduces to the state-of-the-art SEOBNRHM model [63, 64, 70] for nonprecessing and quasicircular BBHs in the limit in which all deformation parameters are set to zero.

We used **p**SEOBNRHM to perform a series of injections studies for GW150914-like events exploring (i) the constraints that one could place on these non-GR parameters,

¹If the GW signal had a smaller total-mass binary, signatures of spin precession could have been observed from the inspiral portion of the waveform only.

²In this interpretation, the questions we investigated in Secs. V A and V B become: (i) how large are the systematic errors in one’s parameter inference due to GW modeling? (ii) how large can our GW-modeling uncertainties be such that we are still consistent with the “true” binary parameters.

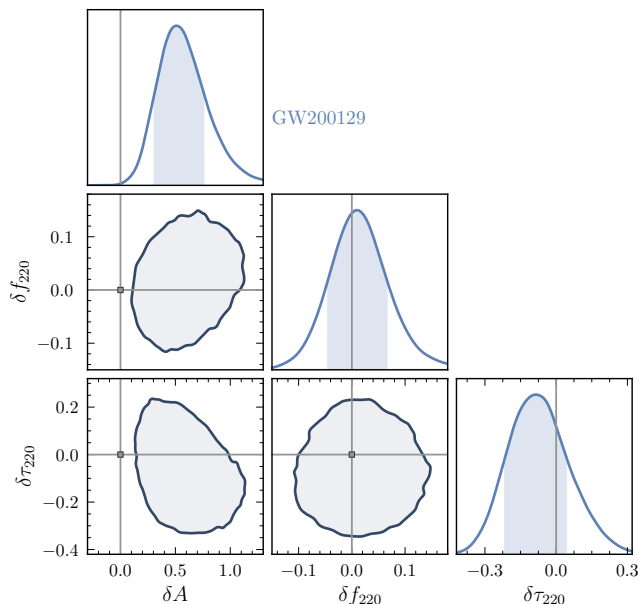


FIG. 14. The one- and two-dimensional posterior distribution functions for δA , δf_{220} , and $\delta\tau_{220}$ for GW200129. All contours indicate 90% credible regions. We see that while our inferred values for δf_{220} and $\delta\tau_{220}$ are consistent with GR, δA is not.

(ii) the biases introduced on the intrinsic binary parameters in case nature is not described by GR and we model the signal with a GR template, and, finally, (iii) we studied the measurability of these non-GR parameters.

We also used pSEOBNRHM in a reanalysis of GW150914 and GW200129. For GW150914, we found that the deviations from the GR peak amplitude and the instantaneous GW frequency can already be constrained to about 20% at 90% credible level. For GW200129, we found an interesting interplay between spin-precession and false-violations of GR that manifests as a $\sim 2\sigma$ deviation from GR in the peak amplitude parameter. By interpreting the evidence for spin-precession in this event as due to data-quality issues in the LIGO Livingston detector [19, 108], we found a further a connection between data-quality issues and false-violations of GR [110].

These results warrant further studies on the systematic bias due to spin-precession in tests of GR. In the context of plunge-merger-ringdown test, this could be achieved by extending the SEOBNRv4PHM waveform model [65] to include same set of non-GR parameters ϑ_{nGR} used here. It also natural to explore which systematic effects higher GW modes [111] and binary eccentricity can introduce in tests of GR. For the latter, see Ref. [112] for work in this direction for IMR consistency tests [61, 113] and Ref. [114] in the context of deviations in the PN GW phasing [31, 36, 115]. It would also be interesting to investigate these issues in the context of the ringdown test within the EOB framework employed by LVK Collaboration [13] and which relies on pSEOBNRHM [39, 40]. This could be done by adding non-GR deformations to

the SEOBNRv4EHM waveform model of Ref. [116]. It would also be important to investigate whether pSEOBNRHM can be used to detect signatures of non-GR physics, as predicted by the rapidly growing field of NR in modified gravity theories (see e.g., Refs. [117–126]); some of which predict nonperturbative departures from GR only in late-inspiral and merger-ringdown [127–130]. One could also study what the theory-agnostic bounds we obtained with GW150914 on the amplitude and GW frequency imply to the free parameters of various modified gravity theories.

The deformations parameters $\vartheta_{\text{nGR}}^{\text{merger}}$ in our pSEOBNRHM model should have a correspondence to the phenomenological deviation parameters (from NR calibrated values) in the “intermediate region” of the IMRPhenom waveform model used in the TIGER pipeline [35–37] of the LVK Collaboration [9–12]. Such a mapping could be derived through synthetic injection studies. This work only introduced non-GR parameters in the EOB GW modes and only during the plunge-merger-ringdown. Importantly, and more consistently, in the near future we will extend the parametrization to the EOB conservative and dissipative dynamics.

The interplay between GW waveform systematics, characterization and subtraction of nontransient Gaussian noises in GW detectors, and non-GR physics will become increasingly important in the future. Planned ground-based [131, 132] and space-borne GW observatories [133] will detect GW transients with SNRs that may reach the thousands depending on the source. Having all these aspects under control is a daunting task that will need to be faced if one wants to confidently answer the question “*Is Einstein still right?*” [134] in the stage of BBH coalescences where his theory unveils its most outlandish aspects.

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