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# Change in hydraulic properties and leaf traits in a tall rainforest tree species subjected to long-term throughfall exclusion in the perhumid tropics

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**Abstract.** A large-scale replicated throughfall exclusion experiment was conducted in a pre-montane perhumid rainforest in Sulawesi (Indonesia) exposing the trees for two years to pronounced soil desiccation. The lack of regularly occurring dry periods and shallow rooting patterns distinguish this experiment from similar experiments conducted in the Amazonian rainforest. We tested the hypotheses that a tree's sun canopy is more affected by soil drought than its shade crown, making tall trees particularly vulnerable even under a perhumid climate, and that extended drought periods stimulate an acclimation in the hydraulic system of the sun canopy. In the abundant and tall tree species *Castanopsis acuminatissima* (Fagaceae), we compared 31 morphological, anatomical, hydraulic and chemical variables of leaves, branches and the stem together with stem diameter growth between drought and control plots.

There was no evidence of canopy dieback. However, the drought treatment led to a 30% reduction in sapwood-specific hydraulic conductivity of sun canopy branches, possibly caused by the formation of smaller vessels and/or vessel filling by tyloses. Drought caused an increase in leaf size, but a decrease in leaf number, and a reduction in foliar calcium content. The  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  signatures of sun canopy leaves gave no indication of a permanent down-regulation of

stomatal conductance during the drought, indicating that pre-senescent leaf shedding may have improved the water status of the remaining leaves. Annual stem diameter growth decreased during the drought, while the density of wood in the recently produced xylem increased in both the stem and sun canopy branches (marginally significant). The sun canopy showed a more pronounced drought response than the shade crown indicating that tall trees with a large sun canopy are more vulnerable to drought stress.

We conclude that the extended drought prompted a number of medium- to long-term responses in the leaves, branches and the trunk, which may have reduced drought susceptibility. However, unlike a natural drought, our drought simulation experiment was carried out under conditions of high humidity, which may have dampened drought induced damages.

## 1 Introduction

Tropical forests are likely to encounter more frequent and severe droughts as a result of climate change (Allen et al., 2010; Meir and Woodward, 2010). Regional studies have developed rainfall and drought scenarios for South-East Asia (Hulme and Viner, 1998; Timmermann et al., 1999; Williams et al., 2007; Bates et al., 2008; Newbery and Lingenfelder, 2009; Sheffield and Wood, 2008) and South America (Cox et al., 2008; Phillips et al., 2009, 2010). Rainfall manipulation



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experiments have been found to be powerful tools for detecting gradual ecosystem responses and threshold values of ecosystem functions to simulated droughts (Hanson and O'Hara, 2003). In tropical forests, experiments with reduced throughfall have been conducted only in Amazonia under climates with distinct dry periods (Nepstad et al., 2002, 2007; Fisher et al., 2007; da Costa et al., 2010), where many species possess drought adaptations, e.g. deep-reaching roots. However, we expect that the results of these Amazonian experiments cannot simply be extrapolated to tropical forests with a perhumid climate, where most species lack drought resistance/avoidance strategies (Aldrian and Susanto, 2003; Aldrian et al., 2004; Erasmí et al., 2009). Experiments on the drought response of trees from perhumid climates with continuously high soil moisture and air humidity do not yet exist.

Both observational studies during natural drought events and throughfall exclusion experiments indicate that tall canopy trees suffer higher mortality rates under extended drought than smaller trees (Slik et al., 2004; Van Nieuwstadt and Sheil, 2005; Nepstad et al., 2007; da Costa et al., 2010; Phillips et al., 2009, 2010; Floyd et al., 2009). However, the underlying physiological basis of this response is not well understood.

High hydraulic conductance appears to be an essential prerequisite for high productivity in trees (Tyree, 2003). Further, tall trees are generally more productive than shorter ones (Sillett et al., 2010), and should possess more conductive sapwood compared to smaller trees. According to the Hagen-Poiseuille equation, high hydraulic conductivity can be achieved through increased vessel size and/or number. Because conduit conductivity increases to the fourth power of diameter, wide vessels are much more conductive than narrow ones and may be associated with high productivity, but at the same time, wide conduits are likely more prone to drought-induced cavitation than narrow ones (e.g. Zhu and Cao, 2009; Awad et al., 2010; Blackman et al., 2010; Cai and Tyree, 2010; Hacke et al., 2010). Water movement through the vascular system from the soil to the distal leaves encounters resistance to flow as path-length and the effects of gravity increase. Accordingly, insufficient water supply to the leaves has been hypothesized as a limiting factor to height growth in tall trees (Ryan and Yoder, 1997; Koch et al., 2004). Hydraulic dysfunction in tall trees may occur even in moist climates because cavitation events can occur more frequently at greater heights (McDowell et al., 2002). On the other hand, adjustments in tree hydraulic architecture can compensate for the greater hydraulic resistance encountered by tall trees (Ambrose et al., 2010). Nevertheless, hydrostatic effects associated with tree height may cause changes in leaf morphology (Cavaleri et al., 2010; Oldham et al., 2010). During leaf development, leaf elongation is dependent on sufficient turgor pressure, which allows cellular expansion (Cosgrove, 1993). Thus, water deficits may lead to decreased cell expansion and decreased leaf size.

Trees respond to soil drought by acclimation and adaptation processes at multiple spatial and temporal scales. Short-term responses include stomatal closure and access to stored water, thereby potentially avoiding hydraulic failure (Holbrook, 1995; Phillips et al., 2003; Borchert and Pockman, 2005). More severe drought events may lead to the dieback of fine roots, the shedding of leaves and reduced stem growth (Tyree et al., 1993; Ichie et al., 2004) if organ- and species-specific water status thresholds are crossed. Stress-induced leaf shedding increases the Huber value, the ratio of conducting sapwood area to leaf area, which may consequently improve the water status of the remaining leaves.

Long-term acclimation to drought may include structural and physiological adjustments in the tree's hydraulic architecture and alterations in leaf morphology and roots. These responses may facilitate tree survival under the anticipated effects of climate change. Drought-exposed trees could secure their water supply to the crown by increasing the embolism resistance of their conduits (Poyatos et al., 2007), grow deeper roots, reduce their leaf area, become shorter, or produce smaller leaves with higher cell wall elasticity. Additionally, drought-affected trees may have to cope with reduced foliar nutrient concentrations, which may result from slower rates of nutrient diffusion and uptake in dry soil (Rennenberg et al., 2009; Kreuzwieser and Gessler, 2010). If, and to what extent, tropical trees are able to accomplish these morphological and physiological adjustments is not well understood. Uncertainty exists in particular with respect to the adaptability of mature trees found in tropical forest regions that do not experience seasonal dry periods.

We established a replicated throughfall exclusion experiment (Sulawesi Throughfall Exclusion Experiment, STEE) in a pre-montane perhumid rainforest in Central Sulawesi, Indonesia, and measured the changes in sapwood-specific conductivity, vessel anatomy, leaf morphology, and leaf nutrient concentrations that occurred in a single species (*Castanopsis acuminatissima*) in response to a 24-month experimental drought. The study region is characterized by high rainfall and humidity throughout the year, with humidity rarely falling below 80%. This environmental setting is in marked contrast to the climatic conditions at the two established throughfall exclusion experiments conducted in Amazonia (Nepstad et al., 2002, 2007; Fisher et al., 2007; da Costa et al., 2010). In this study, we focused on the drought response of the prominent forest tree species *C. acuminatissima* (Blume) Rieder, a tall tree of the Fagaceae family that dominates the stands in terms of biomass and size (Culmsee et al., 2010). Previous studies suggest that soil drought is a threat to tall *C. acuminatissima* trees because of their tall stature, wide vessels (Zach et al., 2010; B. Schuldt, unpublished data), and consequently high water consumption (Horna et al., 2011). Furthermore, excavations showed that this stand has a shallow root system (Hertel et al., 2009), apparently lacking the deep root characteristics of Amazonian forest species (Markewitz et al., 2010). Shallow roots may

further predispose *C. acuminatissima* to drought-induced cavitation.

The study's objectives were to compare morphological, anatomical, hydraulic and chemical traits at the leaf, branch and stem levels in mature *C. acuminatissima* trees between droughted (24 months) and control plots in order to identify traits sensitive to water shortage. Because leaf exposure and canopy position exert a large influence on leaf morphology and physiology in tall trees, we investigated leaves and branches of both the sun and shade canopy and compared their response to the two-year drought. We expected the upper crown to be more susceptible to drought stress than the lower crown because the cavitation risk typically increases with flow path length and with exposure to elevated vapor pressure deficits, which increases with canopy height.

We hypothesized that (i) the two-year drought period would increase the leaf-specific hydraulic conductivity and the Huber value of branches due to pre-senescent leaf shedding and anatomical adjustments in the newly formed xylem, (ii) leaves produced during the drought would be smaller with reduced concentrations of N, P and other elements due to drought-induced nutrient shortage, and (iii) morphological and anatomical differences between drought-exposed and control trees would be most pronounced in sun-exposed leaves and branches at the end of the flow path, where hydraulic resistance is highest.

## 2 Material and methods

### 2.1 Site description

The Sulawesi Throughfall Exclusion Experiment (STEE) was established in 2006 in a premontane rainforest in the Pono Valley on the western boundary of Lore Lindu National Park in Central Sulawesi, Indonesia (01°29.6' S, 120°03.4' E, elevation 1050 m). The climate of the study area is perhumid with a mean annual precipitation of 2901 mm, a mean annual temperature of 20.6 °C and a mean relative air humidity of 88.7 % (based on own measurements in the forest in 2008). The heavily weathered soils of this old-growth forest developed on metamorphic rocks. The clayey-loamy soil texture with dominant kaolinite and hematite has been classified as Nitisol (World Reference Base for Soil Resources). The forest has a canopy height of about 45 m with a few trees reaching 55 m, a high tree species diversity with about 130 species ha<sup>-1</sup>, an average stem density of 456 ha<sup>-1</sup> and a basal area ( $A_B$ ) of 43.4 m<sup>2</sup> ha<sup>-1</sup> (>10 cm diameter at breast height (DBH), Culmsee and Pitopang, 2009; Culmsee et al., 2010).

### 2.2 Experimental design

The STEE consisted of six floristically and structurally similar plots of 0.16 ha (40 × 40 m) that were spread in a stratified random design over an area of approximately five hectares.

While three plots served as control (stand basal area: 46.46 m<sup>2</sup> ha<sup>-1</sup>), the remaining three plots (40.26 m<sup>2</sup> ha<sup>-1</sup>) were covered by sub-canopy roofs to displace a large fraction of the rainfall. The roofs were constructed with a large number of removable transparent plastic-lined bamboo-frames placed on a wooden gutter construction to collect the throughfall water. The soil desiccation period started in May 2007. At the beginning, approximately 50 % of the plot area was covered by the bamboo frames. In early 2008, the roof closure was further increased to approximately 80 % by building custom-sized panels to close gaps around the tree stems and odd-sized openings. To avoid lateral soil water movement or infiltration of surface runoff into the plots and to disable trees to take up water from the surroundings of the study plots, all plots were trenched along the perimeter to 0.4 m soil depth and lined with plastic foil. Since 74 % of the fine root and 91 % of the coarse root biomass are located in the upper 20 cm of the soil profile in this forest (Hertel et al., 2009), we assumed this trenching depth to be sufficient to effectively prevent root water uptake from beyond the plot edges. The litter, which had accumulated on top of the roof construction or in the runoff channels, was transferred back to the soil surface.

### 2.3 Microclimatic and hydrologic measurements

Above-canopy global radiation ( $R$ ) was measured with a pyranometer (CS 300, Campbell Scientific, UK), air temperature ( $T$ ) and relative humidity (RH) were recorded with a combined temperature and humidity probe (CS 215, Campbell Scientific, UK). Rainfall was measured to the nearest 0.1 mm with a tipping bucket rain gauge (ARG100, Campbell Scientific, UK). All sensors were mounted on a 16 m tall tower located in a natural forest gap approximately 50 m away from the first study plot. Data were collected every 30 s, averaged and logged at 30-min intervals using a Campbell CR800 data logger (Campbell Scientific, UK). Atmospheric vapor pressure deficit ( $D$ ) was calculated from  $T$  and RH according to Goff and Gratch (1946).

For monitoring vertical changes in stand microclimate, especially the increase of  $D$  with forest height, we installed three 40 m-long ropes from the canopy top to the forest floor equipped with temperature and air humidity sensors (HOBO<sup>®</sup> H8 Pro Series, Onset Computer Corporation, Bourne, USA) that registered  $T$  and RH at five heights above ground (5, 10, 15, 20, 25 and 30 m) at 30 min-intervals for 12 days in June 2009 (days of year 159–170). This period represented a low-rainfall period in the study area with 15.5 mm of rainfall recorded on five nights (data not shown).

Volumetric soil water content ( $\theta$ ) was continuously measured in one main and two additional soil pits per plot. In the main soil pit, time domain reflectometry (TDR) probes (CS616, Campbell Scientific, UK) were installed at 10, 20, 40, 75, 150 and 250 cm soil depth. The two additional soil pits were equipped with TDR probes in 10, 40, and 75 cm soil

**Table 1.** Means of air temperature ( $T$ ), relative humidity (RH) and vapor pressure deficit ( $D$ ) at mid-canopy height (16 m) in the two experimental years for full days and the daytime periods only in the Pono forest.

	Full day (24 h)			Daylight hours (12 h)		
	$T$ (°C)	RH (%)	$D$ (kPa)	$T$ (°C)	RH (%)	$D$ (kPa)
1st year	20.8 ± 0.7	88.5 ± 5.1	0.34 ± 0.16	22.4 ± 1.0	82.4 ± 6.9	0.54 ± 0.24
2nd year	20.6 ± 0.8	88.9 ± 3.9	0.32 ± 0.13	22.3 ± 1.1	82.9 ± 6.0	0.53 ± 0.22

depth. The probes were inserted horizontally in the undisturbed soil at the end of a 30 cm long hole dug into the soil pit wall. In total, 36 TDR probes were operated per treatment and the data was logged hourly (CR1000, Campbell Scientific, UK). The TDR probes were calibrated for all soil depths following the procedure described by Veldkamp and O'Brian (2000).

The hydrological and physiological measurements began on 27 March 2007 in the drought plots and on 31 May 2007 in the control plots. A lightning strike in March 2007 was responsible for the delayed onset of the measurements in the control as it damaged both dataloggers and TDR probes.

#### 2.4 Relative extractable water and soil water potential

The soil moisture measurements were used to calculate the relative extractable water (REW) in the soil profile using the following equation (Breda et al., 2006; Granier et al., 2007):

$$\text{REW} = \frac{(\theta_t - \theta_{\min})}{(\theta_{\max} - \theta_{\min})} \quad (1)$$

where  $\theta_t$  is the fractional volumetric soil water content on the respective day,  $\theta_{\min}$  the soil water content at that all plant-available water is extracted (corresponding to the water content at wilting point in a given soil depth), and  $\theta_{\max}$  the maximum water content measured during the study in a given soil depth. The volumetric soil water content at the permanent wilting point was calculated from laboratory-derived soil water retention curves (Van Genuchten, 1980) using pressure plates. REW varies between 1 (field capacity or maximal measured soil water content) and 0 (permanent wilting point). According to Granier et al. (2007), temperate trees typically experience drought stress when REW drops below a threshold of 0.4. The soil water retention curves were used to calculate soil matric potentials from the soil moisture measurements for all soil depths in the two treatments.

Due to a lightning strike, which damaged the TDR installation, no pre-treatment soil moisture comparison between control and drought plots was possible. A good matching between drought and control plots in terms of soil moisture was evidenced, however, by the good agreement in the  $\theta$  values (0.1 m depth) between the control plots during the experiment and those of the drought plots before root closure.

#### 2.5 Tree selection and plant material

In June 2009, after 24 months of experimental drought, 14 tree individuals (control  $n = 7$ , drought  $n = 7$ ) of the most abundant and tallest upper-canopy tree species of the studied forest, *C. acuminatissima*, were chosen to collect branch and leaf material from the upper sun-lit canopy and from the lowermost deeply shaded part of the crown using tree climbing equipment. These samples were used to obtain data on branch wood specific gravity (wood density), branch hydraulic properties, branch wood anatomy, leaf morphology and foliar nutrient status. In addition, wood cores were taken from the trunk of every tree at 1.5 m height to determine the wood density and wood anatomy of the trunk.

#### 2.6 Wood density and saturated water content determination

Wood density ( $\rho$ ) was determined for trunk wood cores with a diameter of 5 mm and a mean core length of  $69.5 \pm 1.9$  mm using an increment corer (Haglöf, Långsele, Sweden) and for branch wood samples from the upper and lower crown with a mean segment diameter of  $33.1 \pm 0.7$  mm and a mean segment length of  $126.4 \pm 1.46$  mm. In total, 109 branch segments were harvested in the 14 *C. acuminatissima* trees. The fresh volume of the wood cores was calculated from the diameter of the increment corer and the length of the core sample after removing bark and phloem; the fresh volume of the branch samples was determined gravimetrically by water displacement according to Archimedes' principle. After volume determination, all samples were oven-dried at 105 °C for at least four days. The dry wood cores were weighed at a precision of 0.1 mg, the branch segments at a precision of 10 mg. The dry mass of each sample was then divided by its fresh volume to obtain  $\rho$ . The trunk wood cores were further used to determine the saturated water content of the wood (SWC). The cores were submerged in deionized water and allowed to equilibrate overnight. Afterwards, the cores were lightly blotted with tissue paper and weighed at a precision of 0.1 mg.

**Table 2.** Biometric characteristics of 14 individual *C. acuminatissima* trees and morphological, anatomical and hydraulic characteristics of the trunks at 1.5 m height. Diameter at breast height (DBH, cm), total tree height ( $H_{\text{high}}$ , m), canopy base height ( $H_{\text{low}}$ , m), stem wood density ( $\rho_{\text{stem}}$ ,  $\text{kg m}^{-3}$ ), saturated water content of stem wood (SWC, %), vessel lumen area ( $A_{\text{Lumen}}$ , %), vessel density (VD,  $\text{n mm}^{-2}$ ), mean vessel diameter ( $d$ ,  $\mu\text{m}$ , mean  $\pm$  SE), hydraulic mean diameter ( $d_{\text{h}}$ ,  $\mu\text{m}$ ) and theoretical sapwood-specific conductivity ( $k_{\text{S}}^{\text{theo}}$ ,  $\text{kg m}^{-1} \text{MPa}^{-1} \text{s}^{-1}$ ) in the drought and control treatments (control: C1–C7, drought: D1–D7). For level of significance ( $p$ ) see Table A6 in the Supplement.

Tree	DBH	$H_{\text{high}}$	$H_{\text{low}}$	$\rho_{\text{stem}}$	SWC	$A_{\text{Lumen}}$	VD	$d$	$d_{\text{h}}$	$k_{\text{S}}^{\text{theo}}$
C1	68.6	31.6	15.0	491.4	117.8	21.4	4.2	250.3 $\pm$ 5.8	291.4	554.6
C2	35.6	49.9	17.0	541.8	105.5	19.8	3.1	279.7 $\pm$ 6.1	320.8	615.4
C3	56.2	35.6	22.0	511.0	112.0	9.9	2.0	248.0 $\pm$ 6.1	284.2	246.5
C4	48.0	39.7	15.0	480.1	130.6	11.2	2.8	215.9 $\pm$ 6.8	267.9	240.2
C5	44.7	29.6	15.0	545.3	110.9					
C6	71.4	38.1	20.0	535.8	105.2	12.9	2.8	238.2 $\pm$ 5.2	275.6	291.2
C7	67.8	43.9	25.0	490.8	113.9	18.0	3.4	249.5 $\pm$ 6.3	309.8	505.5
Control	56.0 $\pm$ 5.2	38.2 $\pm$ 2.7	18.4 $\pm$ 1.5	513.0 $\pm$ 10.3	113.7 $\pm$ 3.3	15.6 $\pm$ 2.0	3.1 $\pm$ 0.3	247.4 $\pm$ 2.6	291.6 $\pm$ 8.3	408.9 $\pm$ 68.8
D1	63.8	40.5	14.0	555.0	95.9	13.6	3.2	226.9 $\pm$ 5.8	277.1	311.4
D2	49.8	41.0	20.0	545.4	100.4	13.2	2.9	234.7 $\pm$ 5.3	272.8	292.3
D3	56.9	48.8	20.0	525.4	99.9	18.6	3.8	248.1 $\pm$ 4.0	276.6	435.3
D4	81.0	34.8	25.0	496.8	124.5	12.8	2.8	232.0 $\pm$ 6.5	291.3	317.0
D5	52.7	37.0	20.0	533.0	105.8	14.1	3.3	231.5 $\pm$ 4.2	257.4	283.1
D6	59.4	39.6	15.0	540.5	102.5	13.9	2.9	237.7 $\pm$ 6.1	289.6	346.5
D7	44.7	40.5	15.0	575.6	90.5	20.1	3.4	267.4 $\pm$ 6.1	315.2	594.8
Drought	58.3 $\pm$ 4.5	40.3 $\pm$ 1.7	18.4 $\pm$ 1.5	538.8 $\pm$ 9.3	102.8 $\pm$ 4.1	15.2 $\pm$ 1.1	3.2 $\pm$ 0.1	240.1 $\pm$ 2.1	282.9 $\pm$ 6.9	368.6 $\pm$ 42.3

## 2.7 Stem diameter increment

Stem diameter increment was measured with dendrometer bands (UMS, Munich, Germany) that were installed in December 2006 on 14 individual trees of *C. acuminatissima* (control  $n = 5$ , DBH  $57.4 \pm 9.6$  cm; drought  $n = 9$ , DBH  $54.5 \pm 6.8$  cm). Diameter increase was registered monthly until the end of the desiccation period in May 2009. Annual stem diameter increment was calculated separately for the first and the second year of the desiccation experiment. There was no correlation between absolute diameter increment and DBH, and therefore no correction of increment data for plant size was applied.

## 2.8 Experimental determination of axial hydraulic conductivity

The technique introduced by Sperry et al. (1988) was applied to measure axial hydraulic conductivity in branch segments. In total, 116 measurements were analyzed (control  $n = 56$ , drought  $n = 60$ ). For each individual tree, eight branch segments of  $139.7 \pm 3.2$  mm length and  $10.6 \pm 0.1$  mm in diameter were harvested, four from the upper canopy and four from the lower crown. These segments were immediately transferred to polyethylene tubes filled with water containing a sodium-silver-chloride complex ( $16 \mu\text{g l}^{-1}$  Ag,  $8 \text{ mg l}^{-1}$  NaCl, Micropur katadyn, Wallisellen, Switzerland) to prevent microbial growth. The samples were kept cool and transported to a nearby field laboratory. Additionally, all

leaves distal to the branch segment were harvested. In the laboratory, each branch segment was recut under water with a razor blade and mounted on the tubing system. We used distilled water containing the same sodium-silver-chloride complex as described above for the conductivity measurements. Before entering the branch segment, the solution was forced through a  $0.2 \mu\text{m}$  membrane filter (Maxi Capsule, Pall, USA). Hydraulic conductivity ( $k_{\text{h}}$ ) was measured three times across a  $0.006 \text{ MPa}$  pressure gradient, and after the first and second measurement segments were flushed at a pressure of  $0.12 \text{ MPa}$  to remove native emboli and to determine maximal hydraulic conductivity for further calculations. Subsequently, length and mean diameter of the segments were determined and the samples stored in 70 % ethanol for further anatomical analyses. Hydraulic conductivity ( $k_{\text{h}}$ ,  $\text{kg m MPa}^{-1} \text{ s}^{-1}$ ) was measured as:

$$k_{\text{h}} = J_{\text{v}} \frac{\Delta P}{\Delta X} \quad (2)$$

where  $J_{\text{v}}$  is the flow rate through the branch segment ( $\text{kg s}^{-1}$ ) and  $\Delta P/\Delta X$  is the pressure gradient across the segment ( $\text{MPa m}^{-1}$ ).  $k_{\text{h}}$  was used to calculate sapwood-area specific ( $k_{\text{S}}$ ) and leaf area-specific conductivity ( $k_{\text{L}}$ ,  $\text{kg m}^{-1} \text{MPa}^{-1} \text{ s}^{-1}$ ) by dividing the maximum conductivity by the microscopically determined xylem cross-sectional area without pith and bark ( $\text{m}^2$ ) or the supported leaf area ( $\text{m}^2$ ) of the branch segments.

## 2.9 Xylem anatomy, vessel size distribution and theoretical hydraulic conductivity

Anatomical measurements were conducted in all harvested branch segments and trunk cores. We used a stereomicroscope (SteREO V20, Carl Zeiss MicroImaging GmbH, Germany) to obtain high-quality top-view images of the cross-sectional cuts of the branches and trunk cores. Before analysis, the branch segments and trunk cores were dyed with safranin and treated with chalk. The base of every branch segment was photographed to calculate total sapwood area ( $A_{\text{Xylem}}$ ,  $\text{m}^2$ ) in order to derive values of sapwood-specific conductivity ( $k_s$ ). From a subsample of 30 sun-canopy branch segments harvested on 10 trees, the radius from pith to bark was determined with the software ImageJ (v1.44p, <http://rsb.info.nih.gov/ij>) to exempt the outermost radial quart of the branch sapwood. We assumed that this outermost radial section of the sapwood (i.e.  $1.01 \pm 0.02$  mm in our sample) must have been produced during the 24 months before harvest, i.e. under the influence of the drought treatment. This outermost area of the digital top-view images ( $25.91 \pm 1.25$   $\text{mm}^2$ ) was exempted for further analysis with the software Adobe Photoshop (CS2, Version 9.0, Adobe Systems Incorporated, USA).

From the trunk core samples, we analyzed only the outermost centimeter of the sapwood (on average, an area of  $45.3 \pm 1.3$   $\text{mm}^2$ ), again assuming that it had been produced during the experimental drought period; this assumption is supported by the stem increment data.

The images were analyzed with the software ImageJ using the particle analysis-function to estimate individual and cumulative vessel lumen areas ( $A_{\text{Lumen}}$ ,  $\text{m}^2$ ), vessel density (VD,  $\text{n mm}^{-2}$ ), and idealized vessel diameters ( $d$ ) from major (a) and minor (b) vessel radii using the equation given by White (1991):

$$d = \left( \frac{32 \cdot (a \cdot b)^3}{a^2 \cdot b^2} \right)^{\frac{1}{4}} \quad (3)$$

The diameters ( $d$ ) of individual vessels were used to calculate the hydraulic mean diameter ( $d_h$ ) according to Sperry et al. (1994):

$$d_h = \frac{\sum d_i^5}{\sum d_i^4} \quad (4)$$

By this transformation, every vessel is weighted according to its contribution to total hydraulic conductivity. According to the Hagen-Poiseuille equation, theoretical hydraulic conductivity ( $k_h^{\text{theo}}$ ,  $\text{m}^4 \text{MPa}^{-1} \text{s}^{-1}$ ) was calculated as:

$$k_h^{\text{theo}} = \frac{\pi \sum r^4}{8\eta} \quad (5)$$

In this expression, the viscosity of water ( $\eta$ ) at  $20^\circ\text{C}$  ( $1.002 \times 10^{-3}$  Pa s, Zwieniecki et al., 2001) was used.  $k_h^{\text{theo}}$  served

to calculate theoretical sapwood-area specific conductivity ( $k_s^{\text{theo}}$ ,  $\text{kg m}^{-1} \text{MPa}^{-1} \text{s}^{-1}$ ) by dividing by cumulative sapwood area ( $A_{\text{Xylem}}$ ) and multiplying  $k_h^{\text{theo}}$  with the density of water ( $\rho$ ) at  $20^\circ\text{C}$  ( $998.20$   $\text{kg m}^{-3}$ , James et al., 2003):

$$k_s^{\text{theo}} = \frac{k_h^{\text{theo}} \cdot \rho}{A_{\text{Xylem}}} \quad (6)$$

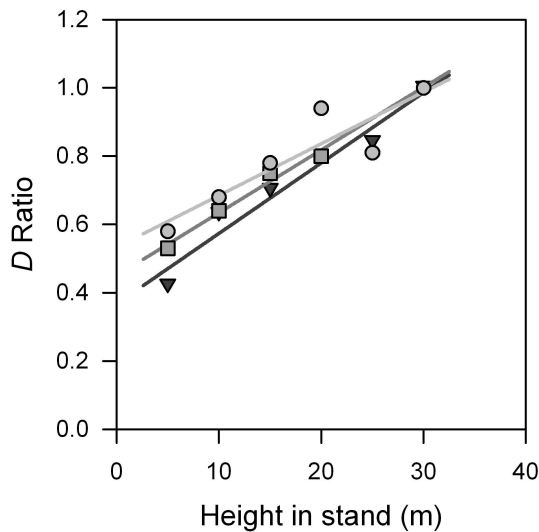
## 2.10 Leaf morphology and nutrient analyses

All leaves distal to the analyzed branch segments were stripped off and analyzed for their leaf area (WinFOLIA 2005b, Regent Instruments Inc.). On average,  $101.7 \pm 5.0$  leaves per branch segment (control  $n = 56$ , drought  $n = 60$ ) were scanned to obtain fresh leaf area per branch segment and mean leaf size ( $L$ ,  $\text{cm}^2$ ). After scanning, the leaves were dried to constant mass at  $70^\circ\text{C}$  and weighed on a laboratory precision balance. Specific leaf area (SLA,  $\text{cm}^2 \text{g}^{-1}$ ) was calculated as fresh leaf area divided by dry leaf mass, the Huber value (HV) was calculated as sapwood cross-sectional area divided by fresh leaf area. The specific leaf number stands for the number of leaves supported by a given area of xylem per branch, which was calculated by dividing the total number of leaves distal to the branch segment by the branch cross-sectional area ( $n_L^{\text{spec}}$ ,  $\text{n mm}^{-2}$ ). Stomatal density (SD) was counted on the lower side of three leaves per tree using nail polish pull-offs photographed at  $25\times$  or  $100\times$  magnification that were investigated under a light microscope (DM5000B, Leica Microsystems, Wetzlar, Germany) equipped with a digital camera (DFC 300FX, Leica Microsystems, Wetzlar, Germany). Foliar concentrations of C, N, P and Ca, K, Mg, Fe and Mn were analyzed by ICP analysis (Optima 5300 DV, PerkinElmer Inc., USA) and expressed on a mass and leaf area basis (control  $n = 56$ , drought  $n = 63$ ). The foliar signatures of  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  in the leaf bulk tissue were determined with a Delta plus isotope mass spectrometer (Finnigan MAT, Bremen, Germany), a ConFlo III interface (Thermo Electron Cooperation, Bremen, Germany) and a NA2500 elemental analyzer (CE-Instruments, Rodano, Milano, Italy) using standard  $\delta$  notation:

$$\delta = (R_{\text{sample}}/R_{\text{standard}} - 1) \cdot 1000(\text{‰}) \quad (7)$$

## 2.11 Statistical analyses

Restricted canopy access resulted in a nested sampling design at the branch level. Thus, branch hydraulic, anatomical and leaf-related variables were tested for significant differences between the drought and control treatments with linear mixed effects models with treatment as a fixed variable. We assumed the non-independence of different branches and leaves within a tree, and of different trees within the plots in the models by adding plot and tree nested in plot as random effects. Linear mixed effects models were also applied in the analysis of stem increment data and morphological



**Fig. 1.** Increase in mean vapor pressure deficit ( $D$ , relative units,  $30\text{ m} = 1.0$ ) with height in the forest. Regression lines and symbols correspond to three different vertical profiles. Points are mean values for continuous measurements over a period of 12 days in June 2009.

and anatomical traits related to trunk wood. These calculations were conducted with the software package R, linear regressions with the software Xact 8.03 (SciLab, Hamburg, Germany). When comparing upper and lower canopy of the trees in a given treatment, the analyses are labeled with “canopy position”, when comparing either upper canopy or lower canopy data between the drought and control plots, the label “treatment” is used.

### 3 Results

#### 3.1 Microclimatic conditions

During the 24-month study period, mean monthly precipitation was  $228 \pm 26\text{ mm}$ . In the first year (1 May 2007 to 30 April 2008) and second year (1 May 2008 to 30 April 2009) of the experiment, annual precipitation was 3156 and  $2309\text{ mm yr}^{-1}$ , respectively. The mean daily maximum  $D$  was 1.3 kPa, mean daily  $T$   $20.8^\circ\text{C}$ , and mean daily  $R$   $13.4\text{ MJ m}^{-2}\text{ d}^{-1}$ . No seasonality in  $T$  or RH was observed during the two years (Table 1). The vertical transect measurement campaign inside the forest showed a linear increase in the saturation deficit from the forest floor to canopy height with a doubling of  $D$  (Fig. 1, regression equation:  $D = 0.45 + 0.018 \cdot \text{height}$  with  $D$  in kPa and height expressed as a fraction of canopy height).

#### 3.2 Soil moisture status during the throughfall exclusion experiment

The drying of the soil in the 24-month experiment proceeded in two steps reflecting the roof closure by 50 % in the period May 2007–January 2008 and subsequently by 80 % from February 2008 to May 2009. While volumetric soil moisture  $\theta$  was reduced by ca. 5 % in the drought plots compared to the control in the first period (0.0–0.5 m and 0.5–3.0 m soil depth),  $\theta$  was reduced by more than 10 % in the upper soil and ca. 7 % in the lower soil in the second period (Fig. 2). Heavy rainfall resulted in limited recharge of the soil water reserves in the upper soil in the first phase during incomplete roof closure, and  $\theta$  remained fairly constant at 0.0–0.5 m and 0.5–3.0 m depth under the more efficient drought exposure in the experiment’s second phase. The lowest  $\theta$  values were reached shortly before the re-opening of the roof in May 2009. When expressed in relation to the control plots,  $\theta$  was reduced by ca. 30 % in the upper and 15 % in the lower profile in the period June 2008–May 2009.

According to the soil water retention curves, calculated  $\Psi_{\text{soil}}$  decreased in the topsoil (0.1 m) up to  $-3\text{ MPa}$  during the driest phase from March 2009 until roof opening. As an average for the three investigated upper soil layers (0.1, 0.2 and 0.4 m),  $\Psi_{\text{soil}}$  dropped to  $-1.5\text{ MPa}$  at the end of the desiccation period (Fig. 2). In contrast, no significant differences in  $\Psi_{\text{soil}}$  were detected in the lower soil layers (0.75, 1.5 and 2.5 m) between drought and control plots, even though  $\theta$  differed by about 15 %.

The calculated relative extractable water for the upper soil layers ( $\text{REW}_{\text{top}}$ ) dropped below the threshold value of 0.4 in the drought plots immediately after the beginning of the second phase of the desiccation in early 2008, enhanced by rather low rainfall in this period (Fig. 2). Interrupted by a short recovery due to strong rainfall in March/April 2008,  $\text{REW}_{\text{top}}$  decreased by 90 % in the drought plots. On the other hand, the relative extractable water of the lower soil layers ( $\text{REW}_{\text{low}}$ ) dropped below the threshold of 0.4 only during the driest phase of the experiment from February 2009 onwards. Nevertheless,  $\text{REW}_{\text{low}}$  decreased by 50 % in the drought plots from June 2008 until May 2009.

#### 3.3 Differences between sun and shade canopy

The large majority of leaf morphological, chemical and branch hydraulic traits differed significantly between the sunlit upper canopy and the lower shade canopy of *C. acuminatissima* (Table 6). Sun leaves were ca. 40 % smaller with 15–25 % lower SLA and 10 % higher stomatal density (Table 3). Sun leaves also had less negative  $\delta^{13}\text{C}$ , and generally showed higher foliar nutrient concentrations per leaf area (except for Mg and Fe) than shade leaves, while the nutrient concentrations per mass were not different (except for higher Mg and lower Mn concentrations in sun leaves from the control trees). Shade leaves with lower N per leaf



**Table 3.** Hydraulic properties of the branch xylem, leaf morphological traits and branch wood density in the sun and shade canopy of *C. acuminatissima*. Leaf-specific conductivity ( $k_L$ ), sapwood-specific conductivity ( $k_S$ ), Huber value (HV), specific leaf area (SLA), leaf size ( $L$ ), stomatal density (SD), and branch wood density ( $\rho_{\text{branch}}$ ). Given are means  $\pm$  SE per treatment ( $n = 7$ ). For within-tree replicates see Material and Methods.

	Sun canopy		Shade canopy	
	Control	Drought	Control	Drought
Hydraulic traits				
$k_S$ ( $\text{kg m}^{-1} \text{MPa}^{-1} \text{s}^{-1}$ )	17.0 $\pm$ 1.3	12.3 $\pm$ 1.6	18.8 $\pm$ 2.1	14.1 $\pm$ 1.9
$k_L$ ( $10^{-3} \text{kg m}^{-1} \text{MPa}^{-1} \text{s}^{-1}$ )	7.1 $\pm$ 0.9	8.8 $\pm$ 4.1	4.8 $\pm$ 0.7	3.4 $\pm$ 0.8
HV ( $10^{-4} \text{cm}^2 \text{cm}^{-2}$ )	4.5 $\pm$ 1.0	6.3 $\pm$ 2.1	2.5 $\pm$ 1.9	2.4 $\pm$ 3.7
$n_L^{\text{spec}}$ ( $\text{n mm}^{-2}$ )	1.8 $\pm$ 0.2	1.3 $\pm$ 0.2	1.7 $\pm$ 0.2	1.4 $\pm$ 0.2
Leaf morphology				
SLA ( $\text{cm}^2 \text{g}^{-1}$ )	71.4 $\pm$ 2.8	67.8 $\pm$ 3.4	84.7 $\pm$ 4.0	88.2 $\pm$ 6.4
$L$ ( $\text{cm}^2$ )	16.4 $\pm$ 1.7	22.4 $\pm$ 1.1	27.5 $\pm$ 3.7	38.4 $\pm$ 2.8
SD ( $\text{n mm}^{-2}$ )	495.2 $\pm$ 12.0	510.7 $\pm$ 16.8	464.8 $\pm$ 16.0	446.7 $\pm$ 10.3
Wood density				
$\rho_{\text{branch}}$ ( $\text{kg m}^{-3}$ )	547.6 $\pm$ 15.4	586.6 $\pm$ 15.2	531.0 $\pm$ 25.8	535.6 $\pm$ 23.9

**Table 4.** Summary of means ( $\pm 1$  SE,  $n = 5$ ) of branch wood anatomical and hydraulic traits from the sun canopy of *C. acuminatissima*. Vessel lumen area ( $A_{\text{Lumen}}$ ), vessel density (VD), mean vessel diameter ( $d$ ), hydraulic mean vessel diameter ( $d_h$ ), theoretical sapwood-specific conductivity ( $k_S$ ) are shown.

	Sun branches		$p$
	Control	Drought	
$A_{\text{Lumen}}$ (%)	0.09 $\pm$ 0.01	0.10 $\pm$ 0.01	0.604
VD ( $\text{n mm}^{-2}$ )	20.85 $\pm$ 2.53	25.75 $\pm$ 1.72	0.131
$d$ ( $\mu\text{m}$ )	70.49 $\pm$ 3.11	65.63 $\pm$ 4.58	0.338
$d_h$ ( $\mu\text{m}$ )	92.96 $\pm$ 4.18	85.83 $\pm$ 5.63	0.270
$k_S^{\text{theo}}$ ( $\text{kg m}^{-1} \text{MPa}^{-1} \text{s}^{-1}$ )	21.54 $\pm$ 2.95	21.07 $\pm$ 4.49	0.921
$k_L^{\text{theo}}$ ( $10^{-4} \text{kg m}^{-1} \text{MPa}^{-1} \text{s}^{-1}$ )	34.49 $\pm$ 4.87	60.65 $\pm$ 37.25	0.443

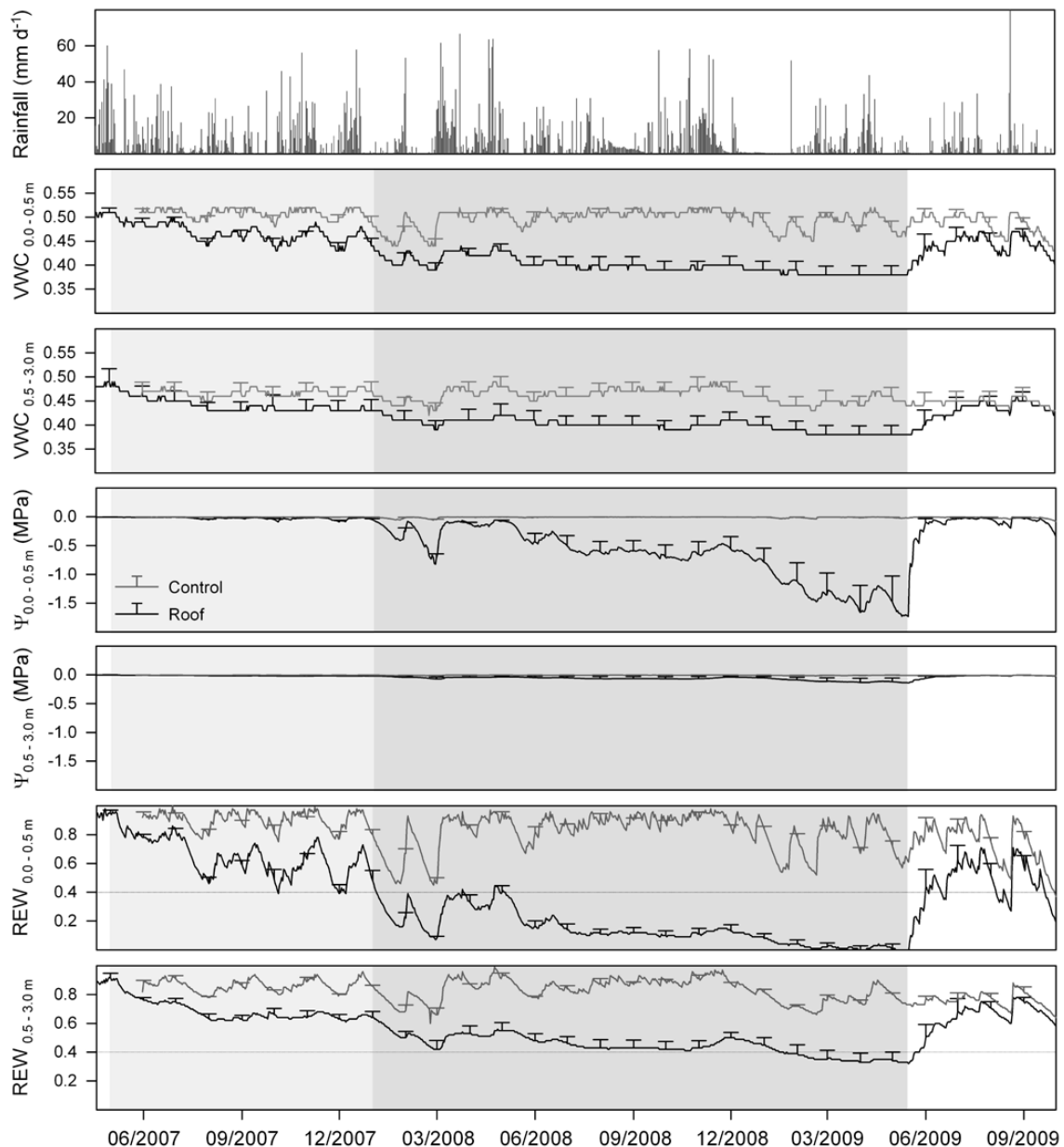
area discriminated stronger against  $^{13}\text{C}$  (more negative  $\delta^{13}\text{C}$  signature) than sun leaves (Tables 5 and 6). Sun canopy branches had 45–50% larger Huber values and 33–47% lower  $k_L$  than shade canopy branches, whereas  $k_S$  was similar among treatments.  $\rho_{\text{branch}}$  was 10% higher in sun than in shade canopy branches in the drought plots (Tables 3 and 6).

### 3.4 Effects of soil moisture deficits on hydraulic properties and leaf traits

Sun canopy branches of *C. acuminatissima* harvested after the two-year soil desiccation period had significantly lower  $k_S$  values (reduction about 30%) than samples from the control trees (Tables 3 and 6). However, wood anatomical mea-

**Table 5.** Isotope signatures of carbon ( $\delta^{13}\text{C}$ ) and oxygen ( $\delta^{18}\text{O}$ ) in leaf biomass and foliar contents of C, N, P and cations per mass and per leaf area in leaves that were harvested distal to the branch segments used for hydraulic measurements. Mean nutrient concentrations ( $\pm 1$  SE,  $n = 7$ ) in  $\text{g kg}^{-1}$  or  $\text{g m}^{-2}$ . For the level of significance ( $p$ ) of treatment differences see Table A5 in the Supplement.

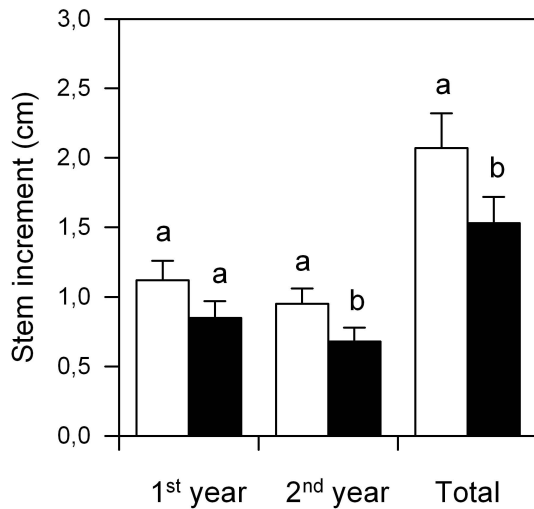
	Sun leaves		Shade leaves	
	Control	Drought	Control	Drought
Isotope composition				
$\delta^{13}\text{C}$	-28.51 $\pm$ 0.08	-28.26 $\pm$ 0.25	-29.54 $\pm$ 0.19	-29.17 $\pm$ 0.25
$\delta^{18}\text{O}$	19.14 $\pm$ 0.09	19.81 $\pm$ 0.28	18.86 $\pm$ 0.19	19.25 $\pm$ 0.27
Nutrient concentrations mass-related				
C	502.8 $\pm$ 6.5	494.4 $\pm$ 4.2	509.4 $\pm$ 7.0	489.1 $\pm$ 4.1
N	18.03 $\pm$ 0.62	17.58 $\pm$ 0.35	18.15 $\pm$ 0.40	16.59 $\pm$ 0.51
P	0.77 $\pm$ 0.02	0.77 $\pm$ 0.04	0.77 $\pm$ 0.01	0.78 $\pm$ 0.04
Ca	5.00 $\pm$ 0.41	3.62 $\pm$ 0.36	4.86 $\pm$ 0.36	3.53 $\pm$ 0.32
Fe	0.042 $\pm$ 0.008	0.031 $\pm$ 0.005	0.047 $\pm$ 0.010	0.030 $\pm$ 0.006
K	4.87 $\pm$ 0.21	4.74 $\pm$ 0.27	5.00 $\pm$ 0.24	4.89 $\pm$ 0.35
Mg	1.79 $\pm$ 0.08	1.43 $\pm$ 0.12	2.12 $\pm$ 0.13	1.87 $\pm$ 0.09
Mn	0.63 $\pm$ 0.07	0.64 $\pm$ 0.10	0.52 $\pm$ 0.05	0.57 $\pm$ 0.07
Nutrient-concentrations area-related				
C	71.18 $\pm$ 2.33	74.06 $\pm$ 3.56	61.14 $\pm$ 2.85	58.76 $\pm$ 3.50
N	2.55 $\pm$ 0.13	2.62 $\pm$ 0.11	2.18 $\pm$ 0.10	1.98 $\pm$ 0.11
P	0.109 $\pm$ 0.004	0.115 $\pm$ 0.006	0.092 $\pm$ 0.004	0.094 $\pm$ 0.004
Ca	0.71 $\pm$ 0.07	0.54 $\pm$ 0.06	0.58 $\pm$ 0.04	0.43 $\pm$ 0.04
Fe	0.006 $\pm$ 0.001	0.005 $\pm$ 0.001	0.006 $\pm$ 0.001	0.003 $\pm$ 0.001
K	0.69 $\pm$ 0.03	0.70 $\pm$ 0.02	0.59 $\pm$ 0.02	0.59 $\pm$ 0.03
Mg	0.25 $\pm$ 0.01	0.21 $\pm$ 0.02	0.25 $\pm$ 0.01	0.23 $\pm$ 0.02
Mn	0.09 $\pm$ 0.01	0.10 $\pm$ 0.02	0.06 $\pm$ 0.01	0.07 $\pm$ 0.01



**Fig. 2.** Volumetric soil water content ( $\theta$ ), soil water potential ( $\Psi$ ) and relative extractable water (REW) of the control (grey line) and the drought (black line) plots during the 2-yr experimental period. Mean measuring depths are shown: upper layer (0.0–0.5 m), lower layer (0.5–3.0 m). Values are daily means  $\pm 1$  SE. The light grey area marks the first period of the experiment when 50 % of the roof was closed. The dark grey area indicates the second period of the experiment with a roof closure of 80 %. The roof was opened again in May 2009.

measurements of the outermost (most recent) sapwood of these sun canopy branches revealed no significant differences in vessel size and vessel density (Table 4). While Huber value did not differ significantly between treatments, we found a significant increase in leaf size by 27 % in sun canopy branches (and a similar tendency in the shade crown) after two years of desiccation in the drought plots. Analysis of isotope composition in leaf dry mass indicated no drought effects on  $\delta^{13}\text{C}$  (Table 5). The  $\delta^{13}\text{C}$ - $N_A$  relationship was not

different between drought and control plots (data not shown), and no relation existed between foliar  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  in the drought plots (Fig. 4). Leaves harvested at the end of the experiment in the drought plots contained significantly less Ca, Mg and Fe (sun and shade canopy) and N (shade canopy only) per dry mass than the control (Tables 5 and 6); the concentration reduction was largest for Ca (ca. 30 % decrease).



**Fig. 3.** Annual stem diameter increment (cm) for the first and second year, and for the whole desiccation period for *C. acuminatissima* trees from control (□,  $n = 5$ ) and drought (■,  $n = 9$ ) plots. Values are means  $\pm 1$  SE. Small letters indicate significant differences between the two treatments ( $p \leq 0.1$ ).

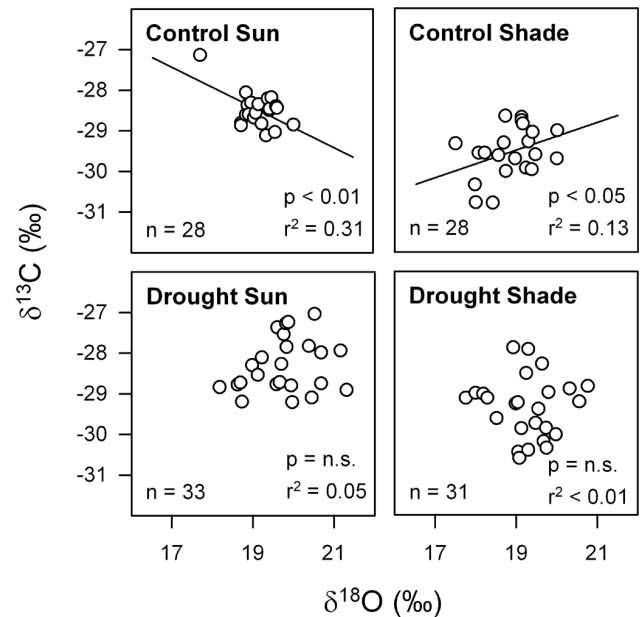
### 3.5 Stem increment, wood anatomy and wood density as affected by soil moisture deficits

In the drought plots, we observed a (non-significant) tendency for a smaller annual stem diameter increment (25 % reduction) in the first year of the experiment, and a marginally significant decrease (30 %) in the second year ( $p = 0.08$ , Fig. 3, Table A8 in the Supplement). Drought caused a significant reduction in saturated water content (SWC) of the stem xylem (10 %) and an increase in wood density (marginally significant,  $p = 0.07$ , Table 2, Table A7 in the Supplement).

## 4 Discussion

### 4.1 Drought responses at leaf, branch and stem levels

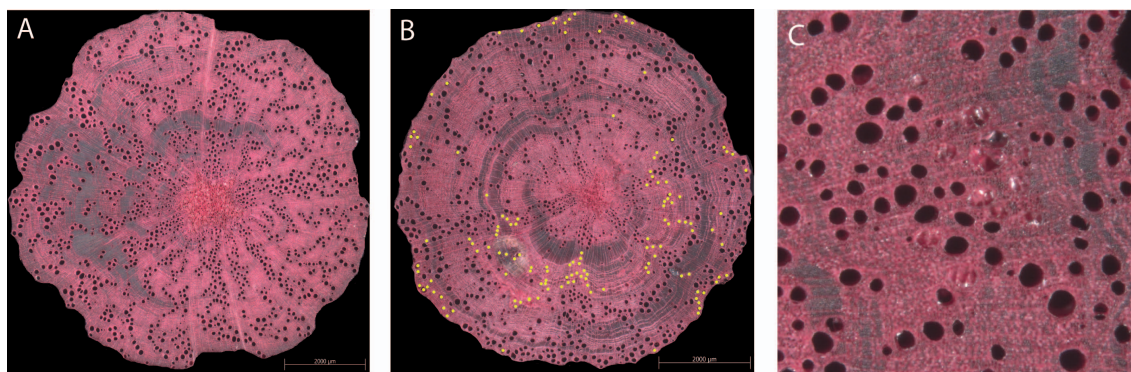
After 24 months of throughfall exclusion, the topsoil layers of the Pono forest were strongly desiccated, exceeding conventional thresholds of critical soil water availability for plant growth ( $\psi_{\text{soil}} < -1.5$  MPa, REW  $< 0.4$ ). In accordance with results from other root system studies in perhumid environments (Schenk and Jackson, 2002; Hertel et al., 2003; Jiménez et al., 2009), the trees of the Pono forest apparently did not develop deep-reaching roots that could tap water reserves in the subsoil. In fact, Hertel et al. (2009) observed that 74 % of the fine root biomass ( $d \leq 2$  mm) was located within the top 20 cm and only 4 % reached 40–60 cm soil depth. Coarse roots ( $d > 2$  mm) showed a similar depth distribution (91 % in the top 20 cm, 1 % in 40–60 cm). Further, only low fine root densities ( $0.12 \text{ g l}^{-1}$ ) were located be-



**Fig. 4.** Relation between isotope ratios of carbon and oxygen in control and drought-exposed trees, and in leaves from the sun and shade canopies.

tween 100–300 cm soil depths. These data suggest that deep-reaching roots are far less important in this perhumid forest compared to many Amazonian forests that experience pronounced dry periods (Nepstad et al., 2002). We conclude that more than three months at water availabilities below the conventional “wilting point” in the topsoil must have exposed the studied trees to drought stress of considerable intensity.

Experimental determination of hydraulic conductivity in more than 100 sun- and shade-canopy branches of *C. acuminatissima* showed that terminal sun canopy branches of the desiccated trees had a 30 % decreased sapwood-specific hydraulic conductivity ( $k_s$ ) than the control trees under conditions of maximum conduit permeability (all cavitations removed by flushing), whereas leaf-specific conductivity did not differ significantly between treatments. It is likely that the imposed two-year soil drought resulted in the production of this low-conductivity sapwood with narrow vessels. In fact, the vessels in the youngest most distal sapwood of sun canopy branches had on average by 10 % smaller diameters. However, these differences in vessel anatomy were not significant. Further support for drought-induced anatomical changes in the sun-canopy branch sapwood comes from the branch wood density data;  $\rho_{\text{branch}}$  increased by approximately 10 % during the drought ( $p = 0.07$ ). However, this increase in  $\rho_{\text{branch}}$  does not necessarily reflect a decrease in vessel size or increase in vessel density, but even so, we found a tendency for both. Wood density is rather closely linked to the amount of fibres and tracheids and thus to the physical stability of woody tissues during high negative



**Fig. 5.** Presence of tyloses in the vessels of branch xylem in the sun canopy of *C. acuminatissima*. (A) Control branch without tyloses, (B) drought-exposed branch with tyloses. Vessel occlusion marked with yellow spots. Many occluded vessels are located in the outermost recently produced sapwood, (C) vessels in the outermost sapwood filled with tyloses from a drought-exposed sun canopy branch.

pressures caused by drought stress (Domec et al., 2009). Even though part of our results can only be interpreted as a non-significant tendency towards acclimation in the hydraulic system of *C. acuminatissima*, our observations agree with previous results from temperate forest species, which adjust the shape of their vessels when exposed to drought (e.g. Sass and Eckstein, 1995; Eilmann et al., 2006), reflecting the plant water status at the time of cell differentiation (Garcia-Gonzales and Eckstein, 2003). Another process that could have contributed to the observed decrease in branch  $k_s$  in the drought-exposed trees is irreversible vessel filling by tyloses (McElrone et al., 2010; Kitin et al., 2010). Microscopic inspection showed that tyloses were indeed present in vessels of drought-exposed sun-canopy branches (see Fig. 5), but we do not have quantitative data to test this possibility.

Surprisingly, in contrast to our second hypothesis, the sun-canopy branches of *C. acuminatissima* had significantly larger leaves (by 30%), and not smaller as anticipated, in the drought plots at the end of the experiment compared to the control. However, the larger leaf size was compensated by fewer leaves (average decrease by 28% per cross-sectional area relative to the control), i.e. total branch leaf area actually decreased. Thus, the remaining leaf buds may have profited from the reduced transpiration loss allowing them to develop larger leaves despite the unfavourable soil moisture status. Reducing the number of leaves in order to maintain, or even improve, the water status of later developed leaves may be more beneficial for canopy carbon gain than down-regulating stomatal conductance. A similar effect was observed in saplings of silver birch (*Betula pendula*) that produced fewer, but larger leaves under drought conditions (Aspelmeier and Leuschner, 2006). A relatively favourable leaf water status of the recently produced drought-exposed leaves is also indicated by the similar  $\delta^{13}\text{C}$  signature of leaf mass of *C. acuminatissima* trees in the drought and control plots, suggesting that stomatal conductance did not decline in droughted trees. If reduced stomatal conductance had

been reduced,  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  should be positively correlated (Scheidegger et al., 2000; Cullen et al., 2008), which was not evident in our data.

Further in contrast to our second hypothesis, droughted trees did not exhibit reduced foliar nutrient concentrations, as has been found previously (e.g. Gessler et al., 2004; Beier et al., 2008; Fotelli et al., 2009; Kreuzwieser and Gessler, 2010). Neither foliar N nor P were considerably reduced after 24 months of drought treatment. However, significantly smaller leaf Ca contents per dry mass and also per leaf area may indicate either reduced transpiration rates or smaller Ca concentrations in the soil solution of the drought plots. This is because Ca is transported passively with the mass-flow of water in soil and xylem (Gollan et al., 1992; McDonald and Davis, 1996). Herbette and Cochard (2010) further found Ca to be a major determinant of xylem vulnerability to cavitation.

Radial increment was reduced by 30% in the drought-exposed trees in the second year of the experiment ( $p = 0.08$ ). This growth reduction was linked to a significant increase in wood density and a reduction in saturated water content in the trunk. In contrast to the sun-canopy branches, vessel diameter and density in trunk wood did not respond to the drought. These observations indicate that although the cambial activity (i.e. growth) of the stem is drought-sensitive in *C. acuminatissima*, reduction in cambial activity is not necessarily correlated with reduced vessel width or conductivity. Furthermore, it appears that drought-induced adaptive responses in xylem anatomy and hydraulics occur earlier in sun canopy branches where axial conductivity is lowest, and occur later in the trunk.

#### 4.2 Drought susceptibility of sun and shade canopy and the role of atmospheric moisture

All investigated leaf morphological properties and hydraulic traits (except for  $k_s$ ) differed significantly between the sun and shade canopy leaves. Shade leaves of *C. acuminatissima*

**Table 6.** P-values of a linear mixed effects model on significant differences between control and drought plots (Treatment) or sun and shade crown (Canopy position) in *C. acuminatissima* for 25 morphological, chemical and functional variables investigated. The labels denote Sun Control (SuC), Shade Control (ShC), Sun Drought (SuD) and Shade Drought (ShD). Significant differences ( $p \leq 0.05$ ) are printed in bold.

	Treatment		Canopy position	
	SuC vs. SuD	ShC vs. ShD	SuC vs. ShC	SuD vs. ShD
Hydraulic traits				
$k_S$	<b>0.035</b>	0.130	0.139	0.207
$k_L$	0.644	0.162	<b>0.009</b>	<b>0.005</b>
HV	0.390	0.561	<b>0.002</b>	<b>0.001</b>
$n_L^{\text{spec}}$	0.132	0.245	0.444	0.301
Leaf morphology				
SLA	0.379	0.524	< <b>0.001</b>	< <b>0.001</b>
L	<b>0.025</b>	0.111	< <b>0.001</b>	< <b>0.001</b>
SD	0.585	0.923	<b>0.026</b>	< <b>0.001</b>
Wood density				
$\rho_{\text{branch}}$	0.072	0.916	0.353	< <b>0.001</b>
Isotope composition				
$\delta^{13}\text{C}$	0.285	0.249	< <b>0.001</b>	< <b>0.001</b>
$\delta^{18}\text{O}$	<b>0.027</b>	0.208	0.108	<b>0.004</b>
Nutrient concentrations mass-related				
C	0.217	0.128	0.277	< <b>0.001</b>
N	0.455	<b>0.042</b>	0.800	< <b>0.001</b>
P	0.894	0.568	0.833	0.608
Ca	<b>0.017</b>	<b>0.012</b>	0.438	0.298
Fe	0.226	0.194	0.345	0.857
K	0.648	0.796	0.315	0.251
Mg	<b>0.016</b>	0.122	< <b>0.001</b>	< <b>0.001</b>
Mn	0.931	0.493	< <b>0.001</b>	<b>0.041</b>
Nutrient concentrations area-related				
C	0.443	0.543	< <b>0.001</b>	< <b>0.001</b>
N	0.643	0.179	< <b>0.001</b>	< <b>0.001</b>
P	0.245	0.612	< <b>0.001</b>	< <b>0.001</b>
Ca	0.057	<b>0.024</b>	< <b>0.001</b>	< <b>0.001</b>
Fe	0.333	0.200	0.979	0.254
K	0.662	0.770	< <b>0.001</b>	< <b>0.001</b>
Mg	0.074	0.344	0.839	0.184
Mn	0.677	0.358	< <b>0.001</b>	< <b>0.001</b>

were on average 60–70 % larger than sun leaves, their  $\delta^{13}\text{C}$  signature was more negative, and  $k_L$  and Huber values of shade shoots were about 40–60 % lower than sun shoots, likely reflecting a lower evaporative demand. The decrease in  $^{13}\text{C}$  discrimination along the shade-to-sun canopy gradient indicates that stomatal limitation of photosynthesis increases with height in tall trees, which also correlated with increasing atmospheric saturation deficit (see Fig. 1) and hydraulic resistance (e.g. Ambrose et al., 2009; Mullin et al., 2009; Oldham et al., 2010). This confirms our third hypothesis that the sun canopy of tall trees would be more drought-susceptible

than lower crown parts, despite the perhumid climate. Indeed, the two traits exhibiting the highest drought sensitivity, sapwood-specific conductivity and leaf size, were only significantly different for the sun canopy branches between the drought and control treatments.

Integrating the results from the leaf and branch levels with those from the trunk, *C. acuminatissima* responds to drought conditions in two ways, one exhibited by growth reduction (stem radial growth) and secondly through acclimation (reductions in leaf area and sapwood-specific conductivity of sun-canopy branches). The adaptive responses are suited to lower the risk of cavitation and, possibly, to reduce canopy transpiration.

Since we observed no drought-induced mortality in our experiment, one might conclude that this tall species is not as drought-sensitive as initially expected. However, several facts make it likely that this conclusion is premature. First, other throughfall exclusion experiments in tropical and temperate forests showed that severe damage to the trees may occur only after two or more years of soil drought. For example, Nepstad et al. (2007) observed increased mortality of large trees in the Tapajós throughfall exclusion experiment only after four years, and da Costa et al. (2010) in the Caxiunana experiment after seven years. Thus, a considerable lag phase in the response of tall trees seems to be characteristic and our two-year experiment may not have been long enough to damage the trees critically. Second, although all throughfall exclusion experiments may be able to reduce soil moisture to a critical level, they cannot manipulate air humidity at canopy height. Clearly, during a natural ENSO-related drought trees would be exposed to a much higher evaporative demand than simulated in our experiment, where RH did not drop below 88 %. Trees further may profit from the frequent occurrence of canopy surface wetness by a decrease in transpiration and foliar uptake of water, thereby mitigating the effects of soil water shortage. It has been shown that several tropical trees can absorb water through the leaf cuticle (e.g. Yates and Hutley, 1995; Diaz and Granadillo, 2005; Oliveira et al., 2005). Given that the leaves of a nearby pre-montane perhumid forest were moist 45–55 % of the time from rainfall interception or dew during a rainy period (Dietz et al., 2007), we speculate that canopy wetness and high air humidity are relevant factors to improve the water status of the trees in the studied forest. Hence, it is likely that soil desiccation in the course of a natural drought event will have a much stronger effect on tall trees of *C. acuminatissima* than was simulated in our experiment.

## 5 Conclusions

The Sulawesi Throughfall Exclusion Experiment represents the first simulated drought experiment conducted in a perhumid rainforest where natural droughts occur only occasionally. The shallow distribution of fine and coarse roots in this

forest is thought to be resulting from the continuously high rainfall and permanently low atmospheric saturation deficit. These hydrologic characteristics make a distinction between the Sulawesi experiment and the two established throughfall exclusion experiments in Eastern Amazonia, where regular dry periods occur and several tree species possess deep-reaching roots (Markewitz et al., 2010).

While no signs of canopy dieback or other critical damages were observed in *C. acuminatissima* after two years of throughfall reduction, the long and severe drought in the upper soil profile prompted a number of medium- to long-term responses at the leaf, branch and trunk levels that may have helped to reduce the trees' drought susceptibility, but these responses also suggest this species may be vulnerable to drought. We found sapwood-specific conductivity (branch xylem), sun-canopy leaf size and leaf numbers, and stem diameter growth to be particularly sensitive traits, while vessel dimensions and vessel density responded only little. Additionally, we found that the sun canopy of *C. acuminatissima* showed a more pronounced drought response than the shade crown, suggesting that tall trees with a large sun canopy are particularly vulnerable to drought stress. We assume that the constant high humidity in this tropical environment, which was not reduced by the throughfall exclusion, likely buffers damages that might otherwise be expected from low soil water availability.

**Supplementary material related to this article is available online at:**

<http://www.biogeosciences.net/8/2179/2011/bg-8-2179-2011-supplement.pdf>.

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