



## CLIMATOLOGY

# Disentangling the mechanisms of equatorial Pacific climate change

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Most state-of-art models project a reduced equatorial Pacific east-west temperature gradient and a weakened Walker circulation under global warming. However, the causes of this robust projection remain elusive. Here, we devise a series of slab ocean model experiments to diagnostically decompose the global warming response into the contributions from the direct carbon dioxide (CO<sub>2</sub>) forcing, sea ice changes, and regional ocean heat uptake. The CO<sub>2</sub> forcing dominates the Walker circulation slowdown through enhancing the tropical tropospheric stability. Antarctic sea ice changes and local ocean heat release are the dominant drivers for reduced zonal temperature gradient over the equatorial Pacific, while the Southern Ocean heat uptake opposes this change. Corroborating our model experiments, multimodel analysis shows that the models with greater Southern Ocean heat uptake exhibit less reduction in the temperature gradient and less weakening of the Walker circulation. Therefore, constraining the tropical Pacific projection requires a better insight into Southern Ocean processes.

## INTRODUCTION

Greenhouse gas-induced warming exhibits substantial spatial variations although the CO<sub>2</sub> radiative forcing is largely homogeneous in space (1). Changing sea surface temperature (SST) pattern over the equatorial Pacific is of particular importance as it drives pronounced changes in regional and global climate. For example, the spatial pattern of projected SST change controls the spatial pattern of rainfall changes in the tropical Pacific following the warmer-get-wetter mechanism (2, 3). Accompanying shift in the location of deep convection is communicated into higher latitudes by Rossby waves (4). Moreover, changes in zonal gradient of equatorial Pacific SST can modulate the El Niño–Southern Oscillation flavor (5) and amplitude (6), which, in turn, is proposed to drive Southern Ocean warming (7). Specifically, an El Niño-like mean SST change is likely to generate more eastern Pacific El Niño events, increasing precipitation over North America (8). The tropical Pacific SST warming pattern also controls the global climate feedbacks associated with clouds and lapse rate, thereby exerting a critical impact on the magnitude of climate sensitivity (9–12).

A number of factors come into play for the pattern formation of greenhouse gas-induced tropical Pacific warming. The ocean dynamical thermostat on 10- to 20-year time scales acts to strengthen the zonal SST gradient as warm surface waters pile up in the western basin while cold water continually upwells in the eastern basin (13). This mechanism is confirmed as a transient response to radiative forcing in coupled climate models (14). As extratropical waters warm and/or oceanic subtropical cells weaken, there is a progressive reduction in the supply of cold water to equatorial upwelling (15),

causing the tropical Pacific to transition from an initial increase to a long-term decrease in zonal SST gradient (14, 16). As the zonal SST gradient and the Walker circulation strength are coupled via the Bjerknes feedback, the Walker circulation also transitions from a fast strengthening response to a slow weakening response (17). A strengthening of zonal SST gradient (i.e., a La Niña-like pattern) is also remotely contributed by the Atlantic and Indian oceans warming through atmospheric teleconnections and the Bjerknes feedback (18–20). The zonal SST gradient strengthening in coupled climate models is absent in slab ocean models (SOMs), suggesting a critical role of the ocean dynamic effect for the initial response (14). Other mechanisms that do not involve ocean dynamic adjustments are proposed to explain the long-term reduction in zonal SST gradient (i.e., an El Niño-like pattern), including the slowdown of convective overturning associated with weaker radiative cooling relative to water vapor increase (21), strong evaporative damping rate over the warm pool (22), and low cloud feedbacks (23). Apart from these tropics-mediated mechanisms, the radiatively forced extratropical climate change effects can further modulate the tropical Pacific warming pattern (24). For example, Arctic and Antarctic sea ice losses lead to a more enhanced warming in the eastern than the western equatorial Pacific with reduced zonal SST gradient (25, 26). This effect, however, is muted during the initial period because of Southern Ocean heat uptake that favors a La Niña-like cooling (24, 27).

Together, the interactions between different mechanisms acting on distinct time scales lead to large uncertainties in the equatorial Pacific warming pattern (14). Here, we propose a modeling approach to explicitly quantify the relative contribution of different mechanisms. Briefly, we reconstruct the near-future climate response to an abrupt CO<sub>2</sub> doubling in a fully coupled model (FULL) using a series of SOM experiments in which (i) the CO<sub>2</sub> concentrations are doubled with no other changes (SOM\_CO2), (ii) sea ice fraction/thickness changes in FULL are prescribed in isolation (SOM\_ICE), and (iii) net surface heat flux changes in FULL is prescribed separately over three different ocean basins—subpolar North Atlantic (SOM\_NA), Southern Ocean (SOM\_SO), and the

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rest of the ocean (SOM\_rest) (Materials and Methods). Separation of the effect of net surface heat flux changes from the CO<sub>2</sub> forcing and sea ice changes may seem arbitrary as they can affect the climate system through ocean dynamics. However, our aim is to isolate the role of net surface heat flux changes over the Southern Ocean and the subpolar North Atlantic, which are certain to cause surface cooling in a transient stage following CO<sub>2</sub> increase (Materials and Methods). To examine the linearity, we additionally conduct a SOM experiment in which changes in all of the aforementioned factors (e.g., CO<sub>2</sub> concentrations, sea ice cover changes, and global ocean heat uptake changes) are prescribed (SOM\_ALL). We choose to examine the FULL response between 21 and 70 years after a CO<sub>2</sub> doubling because of the relevance to projected changes in the near future, with a focus on the equatorial Pacific warming pattern and the Walker circulation response.

Our idealized experimental setting reveals that a strengthening of zonal SST gradient and Walker circulation results from the Southern Ocean heat uptake while all other forcing agents cause it to weaken. We further show that the extent to which the Southern Ocean takes up heat explains a large portion of the inter-model discrepancies in the tropical warming pattern and the Walker circulation response in Coupled Model Intercomparison Project phase 6 (CMIP6) abrupt-4xCO<sub>2</sub> experiments. Distinct from the prevailing notion whereby an ocean thermostat explains an initial strengthening of the zonal SST gradient, we propose an alternative mechanism in which the Southern Ocean heat uptake controls the tropical Pacific warming and circulation pattern primarily via atmospheric teleconnections.

## RESULTS

### Decomposition of tropical Pacific climate response pattern

The FULL experiment features polar amplification (Fig. 1A), El Niño-like warming (Fig. 1D), and the Walker circulation weakening (Fig. 1G). A key quantity to the surface warming pattern formation is the net surface heat flux  $Q_{\text{net}}$ , as the SSTs are intrinsically determined by the net surface heat budget. Hence, the slab ocean forced with global  $Q_{\text{net}}$  changes diagnosed from FULL can successfully reconstruct the FULL response when the same CO<sub>2</sub> radiative forcing and sea ice fraction/thickness changes are prescribed (contrast left and middle columns of Fig. 1). Specifically, the response patterns of SST and Walker circulation in SOM\_ALL are starkly similar to those in FULL, with the pattern correlation of 0.98 in terms of global SST changes (Fig. 1, A and B). We note that the climate response patterns in FULL and, hence, SOM\_ALL well represent the multimodel mean (MMM) response of CMIP6 CO<sub>2</sub> quadrupling experiments (fig. S1). The SST responses in FULL and CMIP6 MMM are strongly correlated at 0.95 globally and at 0.65 between 30°S and 30°N. Moreover, net surface heat flux  $Q_{\text{net}}$  changes exhibit a broad similarity between FULL and CMIP6 MMM ( $r = 0.57$ ; fig. S1, C and D), with pronounced ocean heat uptake in the subpolar North Atlantic and the Southern Ocean. Thus, we expect that the decomposition results presented below will be largely valid in other CMIP6 models.

The SOM\_ALL response is, in turn, well approximated by the sum of individual SOM experiments (SOM\_SUM; contrast middle and right columns of Fig. 1). The tropical SST responses share strong spatial similarities between SOM\_ALL and SOM\_SUM with a pattern correlation of 0.84 between 30°S and

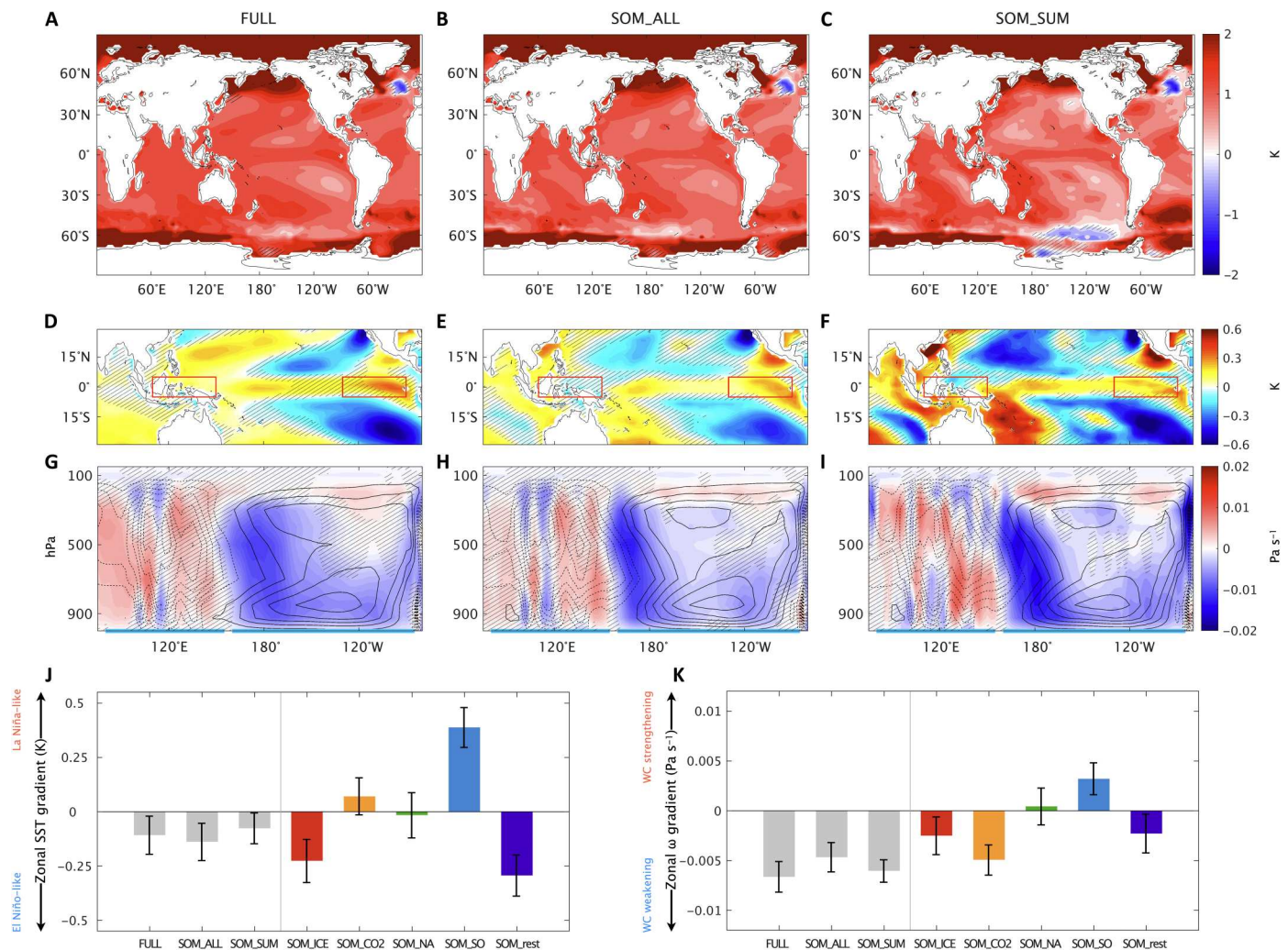
30°N. As a result, the climate response patterns in SOM\_SUM resemble those in FULL (contrast left and right columns of Fig. 1); the pattern correlation of tropical SST response is 0.54. Spatial similarity between FULL, SOM\_ALL, and SOM\_SUM is also seen in the Walker circulation response (Fig. 1, G to I). This spatial linearity is a key result as it suggests that we may diagnostically use the SOM experiments to decompose the CO<sub>2</sub>-driven FULL near-future response into the contributions from thermodynamic CO<sub>2</sub> radiative effect, sea ice changes, and regional ocean heat uptake changes.

We use individual SOM experiments to identify the factors that drive the El Niño-like warming and the Walker circulation slowdown in FULL. A reduction in the zonal SST gradient results from reduced sea ice extent (SOM\_ICE) and local ocean heat uptake (SOM\_rest), which is substantially offset by the Southern Ocean heat uptake (SOM\_SO), with little contribution from the CO<sub>2</sub> radiative forcing (SOM\_CO2) and the North Atlantic heat uptake (SOM\_NA) (Fig. 1J). Notably, changes in the zonal SST gradient poorly explain changes in the Walker circulation strength in SOM\_CO2 (Fig. 1, J and K). In contrast to the minimal effect on the zonal SST gradient, SOM\_CO2 contributes most to a weakening of the Walker circulation (Fig. 1K). This is consistent with previous studies that demonstrate that the Walker circulation weakening is caused primarily by the uniform SST warming rather than the pattern of SST warming (28, 29).

### Atmosphere-mediated mechanisms

We first examine the contribution from the atmosphere-mediated mechanisms, namely, SOM\_CO2 and SOM\_ICE. The tropical-wide warming is primarily caused by SOM\_CO2, accompanied by a large increase in tropospheric stability following the moist adiabatic adjustment (fig. S2) (30), effectively weakening the Walker circulation (Fig. 1K) (28, 29). While SOM\_CO2 induces the strongest equatorial warming (Fig. 2B), it exhibits a minimal zonal structure along the Pacific (Fig. 2G). This is in stark contrast to previous SOM experiments that exhibit a clear zonal gradient reduction in response to enhanced CO<sub>2</sub> concentrations (2, 31). This has been explained by less-efficient evaporative cooling over the climatologically cold eastern Pacific than over the climatologically warm western Pacific. The evaporative damping mechanism is at work in our SOM\_CO2 (Fig. 3D), as can be seen from the so-called curvature term, which quantifies the effect of zonal contrast in evaporative damping term in the surface energy budget (Materials and Methods). However, the enhanced East Pacific warming from the evaporative damping mechanism is overcompensated by negative shortwave cloud radiative effect localized over the eastern equatorial Pacific (Fig. 3C), as a consequence of the increase in low cloud cover because of enhanced tropospheric static stability (fig. S2B). The low cloud cover increase can be found in other previous SOM experiments under a CO<sub>2</sub> doubling [see Fig. 5A in (23)]. While the cloud radiative response is likely highly model dependent (32), our results suggest that the direct CO<sub>2</sub> effect is not so effective at producing zonal structures in the equatorial Pacific SST changes in the absence of sea ice changes.

We suggest that the CO<sub>2</sub>-induced zonal SST contrast weakening in previous SOM experiments, which commonly include an interactive sea ice model, is partially due to the effect of sea ice loss, as the sum of SOM\_CO2 and SOM\_ICE indicates a clear gradient weakening (Fig. 1J). In particular, the Antarctic sea ice loss is critical for zonal temperature gradient weakening as the southern high-latitude



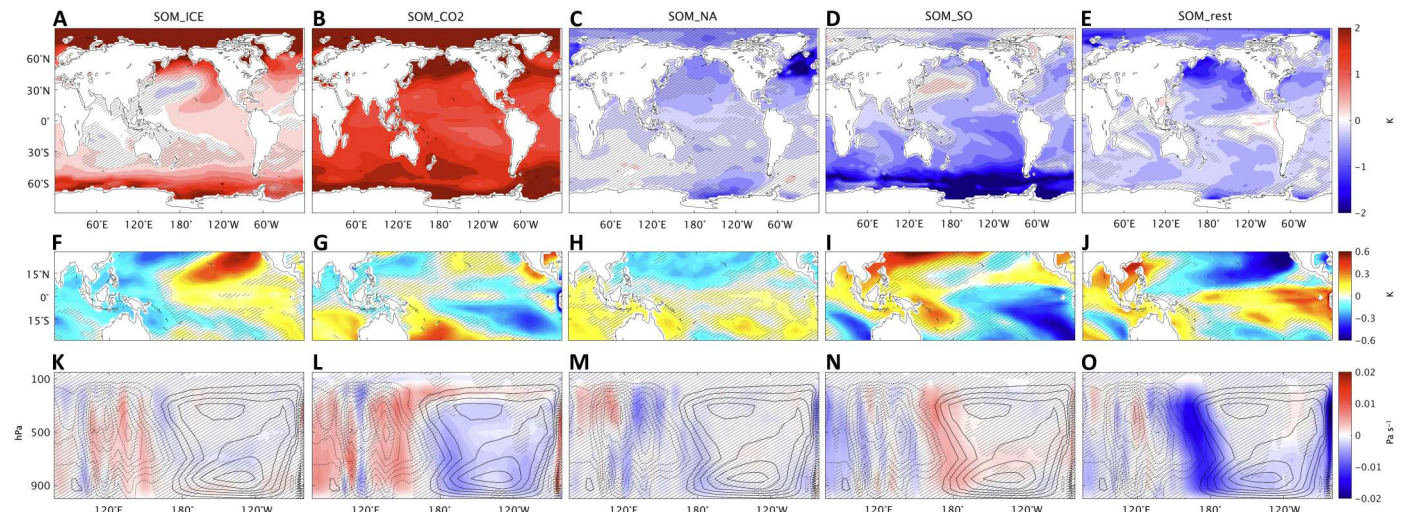
**Fig. 1. Linearity of climate response.** (A to C) Global SST response, (D to F) SST response relative to the tropical Pacific (30°S to 30°N, 75°E to 100°W) mean, and (G to I) equatorial Pacific pressure velocity response (shading) with the control climatology overlaid in contours (dashed, ascending; solid, descending; interval of 0.007 Pa s<sup>-1</sup>) in FULL (left), SOM\_ALL (middle), and SOM\_SUM (right). The regions with the response insignificant at 95% confidence level based on the two-sided *t* test are hatched. (J) Difference in SST response between the two red boxes indicated in (D) to (F), a measure of the zonal SST gradient response in the equatorial Pacific. (K) Difference in 500-hPa pressure velocity response between the climatological descent and ascent regions, indicated by thick cyan lines in (G) to (I), a measure of the Walker circulation strength response. WC, Walker circulation.

effect reaches the equatorial Pacific through the eastern basin, whereas the northern high-latitude effect extends into the central equatorial Pacific owing to the climatological Intertropical Convergence Zone (ITCZ) blocking effect (24, 33). In SOM\_ICE (Fig. 2, A and F), high-latitude warming effects from sea ice loss propagate equatorward via wind-evaporation-SST (WES) feedback, which are further amplified by positive shortwave cloud radiative feedback (Fig. 3, A and B) (34, 35). Thus, our experimental setting allows us to gain insight into the central role of sea ice loss in the El Niño-like warming pattern, more so than the direct CO<sub>2</sub> forcing itself. The Walker circulation weakens in SOM\_ICE, albeit by a smaller extent than in SOM\_CO<sub>2</sub> (Fig. 1K).

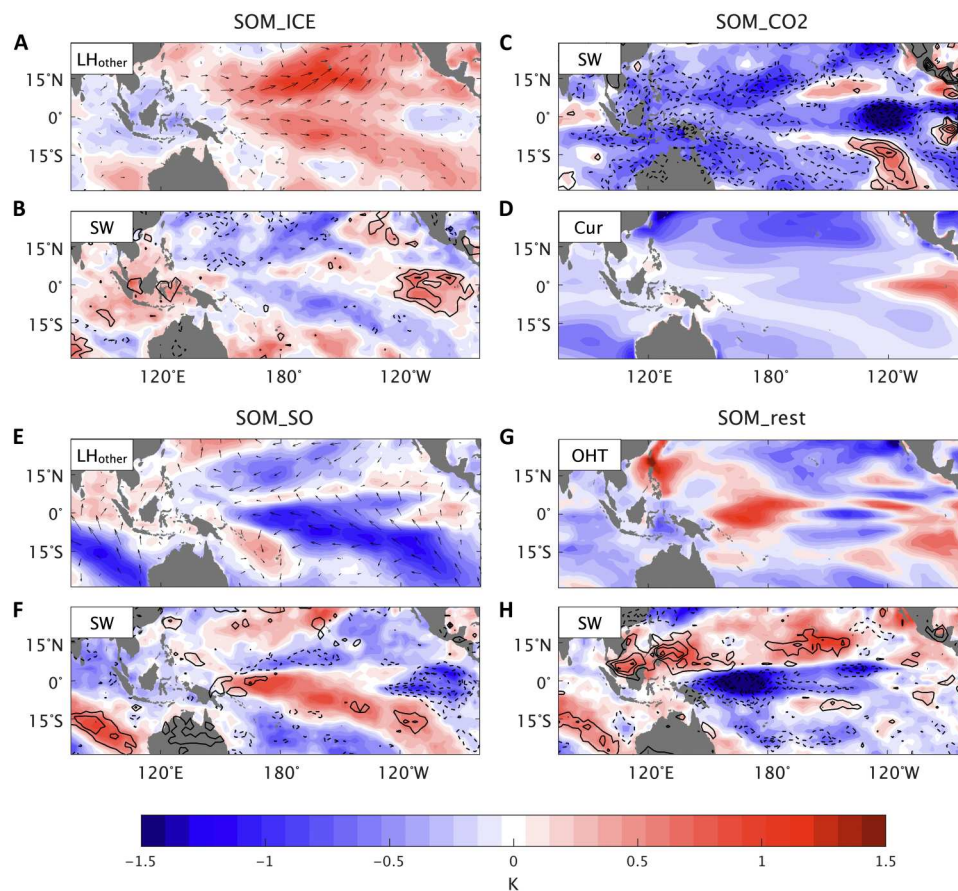
### Ocean-mediated mechanisms

We now move to discuss the ocean-mediated mechanisms, namely, SOM\_NA, SOM\_SO, and SOM\_rest. Ocean heat uptake pattern

plays a key role in the surface warming pattern formation (36). In particular, the Southern Ocean and the North Atlantic take up a great amount of heat because of increased CO<sub>2</sub> from the atmosphere (fig. S1, C and D) (37). The upwelling of pristine deep water makes the Southern Ocean an effective region of heat uptake (38), whereas the North Atlantic heat uptake is a result of the weakened Atlantic Meridional Overturning Circulation (39). For the time period we are investigating, the Southern Ocean and the North Atlantic take up a comparable amount of heat of 0.2 PW but the accompanying climate response is distinct in amplitude and spatial pattern. The SOM\_NA is characterized by a prominent interhemispheric contrast, with a locally amplified and widespread cooling confined to the Northern Hemisphere (Fig. 2C), associated with the ITCZ blocking effect (24, 33). In contrast to the strong north-south gradient, the tropical Pacific SST response shows little east-west gradient (Figs. 1J and 2H) (35, 40). The Walker circulation change, hence,



**Fig. 2. Decomposition of climate response.** (A to E) Global SST response, (F to J) SST response relative to the tropical Pacific (30°S to 30°N, 75°E to 100°W) mean, and (K to O) equatorial Pacific pressure velocity response (shading) with the control climatology overlaid in contours (dashed, ascending; solid, descending; interval of 0.007 Pa s<sup>-1</sup>) in SOM\_ICE, SOM\_CO2, SOM\_NA, SOM\_SO, and SOM\_rest. The regions with the response insignificant at 95% confidence level based on the two-sided t test are hatched.



**Fig. 3. Two dominant mechanisms for SST response.** SST changes due to (A) latent heat flux changes resulting from wind anomalies ( $LH_{other}$ ; shading) and 10-m wind anomaly vectors, as well as (B) net surface shortwave flux changes (SW; shading) and that due to low cloud changes ( $SW_{low}$ ; downward in solid and upward in dashed contours; interval of 1.5 W m<sup>-2</sup>; Materials and Methods) for SOM\_ICE, (C) SW (shading) and  $SW_{low}$  (contours), and (D) the effect of zonal contrast in evaporative damping term (curvature; Materials and Methods) for SOM\_CO2, (E)  $LH_{other}$  (shading) and 10-m wind anomaly vectors, and (F) SW (shading) and  $SW_{low}$  (contours) for SOM\_SO, (G) prescribed  $Q_{net}$ , and (H) SW (shading) and  $SW_{low}$  (contours) for SOM\_rest.

is negligible in SOM\_NA (Figs. 1K and 2M). By contrast, SOM\_SO shows the surface cooling response penetrating across the equator through the East Pacific (Fig. 2D), giving rise to a clear La Niña-like pattern (Fig. 2I) (24) and consequently a strongly enhanced zonal SST gradient (Fig. 1J) and Walker circulation (Figs. 1K and 2N). The equatorward propagation of the Southern Ocean cooling effect is enabled primarily via WES feedback (Fig. 3E), which is enhanced by the shortwave cloud feedback in the southeastern Pacific (Fig. 3F) (34, 35). The La Niña-like cooling in SOM\_SO intensifies the northeasterlies in the subtropical North Pacific (Fig. 3E), thereby extending the cooling response into the opposite hemisphere (Fig. 2D). This is distinct from SOM\_NA, wherein the SST response is limited to the forcing hemisphere (Fig. 2C). As a consequence, the global SST cooling response is nearly three times larger in SOM\_SO than in SOM\_NA ( $-0.52$  K versus  $-0.19$  K).

In SOM\_rest, there is overall cooling in the extratropics and warming in the eastern equatorial Pacific (Fig. 2E), as ocean heat uptake is largely positive (i.e., downward net surface heat flux anomaly) in the extratropics and weakly negative (i.e., upward net surface heat flux anomaly) near the equator (fig. S1, C and D), primarily because of ocean circulation changes (41). Anomalous ocean heat flux convergence is prescribed in both the western and eastern equatorial Pacific (Fig. 3G), but its effect in the western basin is offset by a negative shortwave cloud radiative effect (Fig. 3H) as a result of locally enhanced deep convection (fig. S2E). As a result, SOM\_rest induces a clear El Niño-like pattern (Fig. 2J) with a weakened and eastward-shifted Walker circulation (Figs. 1K and 2O).

## DISCUSSION

We have investigated the tropical Pacific response to increased CO<sub>2</sub> using a SOM as a diagnostic modeling tool, which isolates the direct effect of CO<sub>2</sub> forcing from polar sea ice loss and regional ocean heat uptake. This diagnostic tool successfully identifies important factors for the tropical Pacific warming pattern (i.e., El Niño-like warming) and the Walker circulation slowdown. It is found that the El Niño-like warming primarily results from Antarctic ice loss and local ocean heat uptake response with a negligible contribution from the direct CO<sub>2</sub> effect. The Antarctic sea ice loss has been previously shown to reduce the zonal gradient of the tropical Pacific SST, but its importance relative to other CO<sub>2</sub>-induced climatic effects has not been assessed. Here, we demonstrate the central role of sea-ice loss in the equatorial Pacific warming pattern, resulting in a considerable slowdown of the Walker circulation. However, the CO<sub>2</sub> forcing contributes predominantly to the Walker circulation slowdown by enhancing the tropical tropospheric stability, despite a minimal effect on the zonal SST pattern over the equatorial Pacific. The local net surface heat flux response, upward from the western and eastern equatorial Pacific, also contributes to weakening the zonal SST gradient and the Walker circulation.

Our idealized experiment setup reveals that the El Niño-like warming and the Walker circulation slowdown are considerably compensated by the effect of the Southern Ocean heat uptake. The heat uptake in the subpolar North Atlantic, comparable in magnitude to that over the Southern Ocean, has little impact on tropical Pacific climate. The critical role of the Southern Ocean for the tropical Pacific climate response is further corroborated by the CMIP6 inter-model regression analysis: The extent to which the

Southern Ocean takes up heat explains a considerable fraction of the inter-model spread in the tropical Pacific climate response to quadrupled CO<sub>2</sub> concentrations (Fig. 4). Specifically, we regress the global SST and the equatorial Pacific omega responses onto  $Q_{\text{net}}$  changes averaged between 40°S and 70°S. The inter-model regression patterns are consistent with the Southern Ocean-induced teleconnection pattern in SOM\_SO (contrast Fig. 2, D and N, with Fig. 4, A and B). The models with a larger Southern Ocean heat uptake are associated with a greater La Niña-like cooling ( $r = 0.66$ ;  $P < 0.01$ ) (Fig. 4C) and a stronger Walker circulation intensification ( $r = 0.39$ ;  $P < 0.05$ ) (Fig. 4D). Thus, our results suggest that an initial strengthening of equatorial Pacific SST gradient and Walker circulation may be a result of Southern Ocean heat uptake via atmospheric teleconnections.

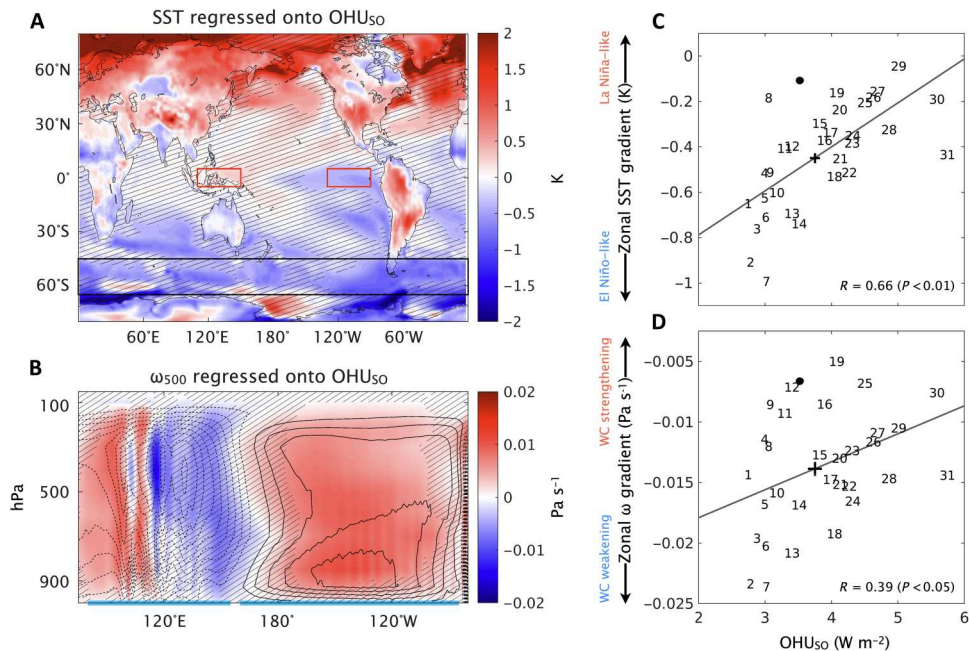
Our study has important implications for the well-known discrepancy between the coupled climate model simulations and observations in terms of the recent Pacific SST trend patterns. The eastern equatorial Pacific and the Southern Ocean are simulated to have warmed in the recent historical period while they are observed to have significantly cooled (42). Our results suggest that the model observation discrepancy in the tropical Pacific SST trends may be partly attributable to missing cooling trends over the Southern Ocean, for example, because of the absence of Antarctic meltwater discharge in model simulations (43), biased Southern Ocean clouds (44), inability to simulate natural decadal variability of Southern Ocean SST (45, 46), and misrepresentation of Antarctic ozone hole effects (47). On centennial and longer time scales, the Southern Ocean heat uptake gradually weakens as a result of slow but steady SST increase. Our SOM\_SO suggests that the Southern Ocean warming on the long time scales will turn to contribute to an El Niño-like warming and the Walker circulation slowdown. This effect, however, will be counteracted by the Southern Ocean cooling induced by Antarctic meltwater (48), to the extent that is uncertain. Our results point to the importance of constraining the Southern Ocean heat uptake to bridge the gap between the simulated and observed SST trends and to narrow the uncertainty in projecting tropical Pacific climate pattern.

## MATERIALS AND METHODS

### Model and experiment

We use the National Center for Atmospheric Research (NCAR) Community Earth System Model (CESM) version 1.2.2 (49) with the Community Atmosphere Model version 4 (CAM4) (50). Atmosphere and land models consist of a nominal 2° horizontal resolution with 26 vertical layers, and ocean and ice models have a nominal 1° horizontal resolution with 60 vertical layers for the ocean. The CAM4 is an older version in the NCAR family of atmospheric models, but it remains a useful tool, particularly for exploring dynamical mechanisms (36, 51). Our proposed mechanism works well in explaining the inter-model spread of the state-of-the-art CMIP6 models (Fig. 4).

We first integrate two fully coupled experiments: the control run under preindustrial conditions and the perturbed run under abruptly doubled CO<sub>2</sub> concentrations. Both experiments are initialized from the end of a quasi-equilibrated preindustrial run of 900 years. The control climatology is obtained as the last 100-year average of the 140-year integration (FULL\_CTL). The doubling CO<sub>2</sub> experiment is integrated for 70 years, and the last 50-year



**Fig. 4. CMIP6 inter-model regression.** (A) Global mean removed SST response and (B) equatorial Pacific pressure velocity response, regressed onto  $Q_{net}$  changes averaged between 45°S and 65°S ( $OHU_{50}$ ) for 31 CMIP6 abrupt-4xCO<sub>2</sub> simulations (model lists in table S1). The regression coefficients are multiplied by two inter-model SDs of  $Q_{net}$  changes to represent the inter-model discrepancies. The regions with the response insignificant at 95% confidence level based on the two-sided  $t$  test are hatched. Scatterplot of  $OHU_{50}$  against (C) the equatorial Pacific zonal SST gradient and (D) the Walker circulation index. Models are numbered in the ascending order of the amplitude of Southern Ocean heat uptake. The plus symbol indicates the CMIP6 MMM and the black closed circle indicates our FULL response. The comparison is made by simply halving the CMIP6 responses between 21 and 70 years after an abruptly quadrupled CO<sub>2</sub> as our FULL experiment is forced by a CO<sub>2</sub> doubling.

average is used (FULL\_2xCO<sub>2</sub>). We take the years 21 to 70 for our analysis to represent the near-future transient response to CO<sub>2</sub> forcing (15). The difference between FULL\_2xCO<sub>2</sub> and FULL\_CTL is referred to as FULL.

To reconstruct the FULL response using the SOM, we integrate the SOM with the CO<sub>2</sub> concentrations,  $Q$  fluxes (i.e., net upward surface heat fluxes), and the ice fraction/thickness diagnosed from either FULL\_2xCO<sub>2</sub> or FULL\_CTL, which respectively is denoted as SOM\_2xCO<sub>2</sub> and SOM\_CTL. The difference between SOM\_2xCO<sub>2</sub> and SOM\_CTL is referred to as SOM\_ALL. We then conduct a series of SOM experiments that allows us to decompose the radiatively forced climate response into the contributions from direct CO<sub>2</sub> forcing, regional ocean heat uptake effect ( $Q$ ), and ice fraction/thickness changes (ice), similar to the strategy in (36)

$$\underbrace{\delta X}_{\text{fully coupled response}} \approx \underbrace{f(\delta CO_2, \delta ice, \delta Q)}_{\text{SOM\_ALL}} \approx \underbrace{f(\delta CO_2, ice_c, Q_c)}_{\text{SOM\_CO}_2} + \underbrace{f(CO_2, \delta ice, Q_c)}_{\text{SOM\_ICE}} + \underbrace{\sum f(CO_2, ice_c, \delta Q)}_{\text{SOM\_Qflux}} \quad (1)$$

where  $\delta$  indicates the difference between the doubled CO<sub>2</sub> and the control experiments and the subscript  $c$  denotes the control climate state. Equation 1 states that any responses in the fully coupled model  $X$  can be diagnostically reconstructed using the SOM by prescribing CO<sub>2</sub> forcing, as well as changes in ice and  $Q$  fluxes, as in SOM\_ALL. This can be confirmed by comparing the first (FULL) and second (SOM\_ALL) columns of Fig. 1. A series of SOM experiments with prescribed changes in one factor at a time while fixing other factors

to the control state will allow us to disentangle the individual contributions. For example, the effect of direct CO<sub>2</sub> forcing is estimated as the difference from SOM\_CTL of the SOM experiment forced with doubled CO<sub>2</sub> concentrations and ice fraction/thickness and  $Q$  fluxes diagnosed from FULL\_CTL (i.e., SOM\_CO<sub>2</sub>). Similarly, the effect of ice changes is estimated as the difference from SOM\_CTL of the SOM experiment forced with ice fraction/thickness from FULL\_2xCO<sub>2</sub> and CO<sub>2</sub> concentrations and  $Q$  fluxes from FULL\_CTL (i.e., SOM\_ICE). While there are multiple ways to incorporate the effect of sea ice loss in climate models (52), we apply the method of directly nudging anomalous ice properties. We estimate the ocean heat uptake effect separately for the North Atlantic (40°N to 75°N and 290°E to 0°E; SOM\_NA), the Southern Ocean (65°S to 40°S; SOM\_SO), and the rest of ocean area (SOM\_rest), given that the North Atlantic and Southern Ocean are the two major regions of ocean heat uptake under global warming. All SOM experiments are integrated for 50 years, and the last 30-year average is analyzed.

We note that our experiment design cannot point to the origin of  $Q$  flux changes, which are the result of dynamical air-sea coupling as a response to different forcing agents. For example, ocean heat flux convergence anomaly in the Southeast Pacific may be a result of ocean circulation changes because of Southern Ocean heat uptake (34). Similarly, ocean heat flux divergence anomaly in the subtropical North Pacific may be a result of weakened subtropical cells in response to Arctic sea ice loss (26). In addition, ocean heat flux divergence anomaly in the subpolar North Atlantic may have partially resulted from Arctic sea ice loss that causes the Atlantic Meridional Overturning Circulation to weaken. That is, all forcing agents would

imprint onto the global ocean heat uptake. We consider these effects of ocean adjustment in a diagnostic framework by prescribing Q flux changes in FULL to SOM. While details of Q flux changes are not well constrained and suffer large inter-model spread, it is certain that anomalous ocean heat flux divergence occurs over the Southern Ocean and the subtropical North Atlantic in a transient stage following CO<sub>2</sub> increase. This is why we isolate the role of Q flux changes over those two regions (SOM\_SO and SOM\_NA) from the rest of the ocean (SOM\_rest). We note that the choice of decomposition is somewhat arbitrary and subjective, but our aim is to disentangle the CO<sub>2</sub>-induced effects with high confidence, such as polar ice melt, Southern Ocean heat uptake, and the North Atlantic warm hole. We believe that this diagnostic approach offers useful insights to understanding the global warming pattern formation.

**SST decomposition using surface energy budget**

The causes of the SST responses can be understood based on the surface energy budget (2). Assuming a steady-state ocean mixed layer, the changes in heat budget for the ocean mixed layer is

$$0 = \delta SW + \delta LW - \delta LH - \delta SH + \delta OHT \quad (2)$$

where SW is net downward surface shortwave flux, LW is net downward surface longwave flux, LH is upward latent heat flux, and SH is upward sensible heat flux. The OHT represents the convergence of ocean heat transport for fully coupled experiments, which is prescribed to mimic the ocean heat uptake effect for slab-coupled experiments. To associate the surface flux responses with the surface temperature T<sub>s</sub>, we separate the component because of the surface temperature changes from δLH (53, 54)

$$\delta LH = \left( \frac{\partial LH}{\partial T_s} \right) \delta T_s + \delta LH_{\text{others}} = (\alpha \overline{LH}) \delta T_s + \delta LH_{\text{others}} \quad (3)$$

where the overbar denotes the reference climate state and α = L<sub>v</sub> / (R<sub>v</sub> T<sub>s</sub><sup>2</sup>) with the latent heat of vaporization L<sub>v</sub> = 2.5 × 10<sup>6</sup> J kg<sup>-1</sup> and R<sub>v</sub> = 461.5 J kg<sup>-1</sup>K<sup>-1</sup>. δLH<sub>others</sub> indicates the LH changes due to the factors other than SST changes, which primarily results from surface wind speed changes (55). Substituting Eq. 3 into Eq. 2 allows us to decompose the SST responses into contributions from individual surface heat flux components

$$\delta T_s = \frac{\delta SW + \delta LW - \delta LH_{\text{others}} - \delta SH + \delta OHT}{\alpha \overline{LH}} \quad (4)$$

Note that the denominator of Eq. 4,  $\frac{\partial LH}{\partial T_s}$ , indicates the degree of LH change per unit SST increase, which infers the evaporative damping efficiency in the reference climate. Because of the Clausius-Clapeyron relation, the evaporative damping efficiency is larger over the climatologically warmer western Pacific than the climatologically colder eastern Pacific. We separate out the effect of zonal contrast in evaporative damping efficiency by reformulating Eq. 4 following Park *et al.* (55)

$$\delta T_s = \underbrace{\frac{\text{num}}{[-\alpha \overline{LH}]}}_{\text{contribution of each surface flux component}} + \underbrace{\left( \frac{\text{num}}{-\alpha \overline{LH}} - \frac{\text{num}}{[-\alpha \overline{LH}]} \right)}_{\text{contribution of curvature in evaporative damping efficiency}} \quad (5)$$

where num is the numerator of Eq. 4 and brackets indicate the zonal average. In sum, the surface temperature response can be

decomposed into six components

$$\delta T_s = \delta T_{\text{SW}} + \delta T_{\text{LW}} + \delta T_{\text{LH}_{\text{others}}} + \delta T_{\text{SH}} + \delta T_{\text{OHT}} + \delta T_{\text{curvature}} \quad (6)$$

Figure 3 shows the two major components in Eq. 6 for individual SOM experiments. Note that shortwave flux changes are further attributed to low cloud changes, assuming that cloud radiative effects are dominated by low clouds when the absolute ratio of the longwave to the shortwave cloud radiative effect is smaller than 0.41 (56). Cloud radiative effects are calculated by subtracting clear sky from all sky radiative components.

**Supplementary Materials**

This PDF file includes:

Figs. S1 and S2

Table S1

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