
















Numerical relativity surrogate model with memory effects and post-Newtonian hybridization

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Numerical relativity simulations provide the most precise templates for the gravitational waves produced by binary black hole mergers. However, many of these simulations use an incomplete waveform extraction technique—extrapolation—that fails to capture important physics, such as gravitational memory effects. Cauchy-characteristic evolution (CCE), by contrast, is a much more physically accurate extraction procedure that fully evolves Einstein’s equations to future null infinity and accurately captures the expected physics. In this work, we present a new surrogate model, `NRHybSur3dq8_CCE`, built from CCE waveforms that have been mapped to the post-Newtonian (PN) BMS frame and then hybridized with PN and effective one-body (EOB) waveforms. This model is trained on 102 waveforms with mass ratios $q \leq 8$ and aligned spins $\chi_{1z}, \chi_{2z} \in [-0.8, 0.8]$. The model spans the entire LIGO-Virgo-KAGRA (LVK) frequency band (with $f_{\text{low}} = 20\text{Hz}$) for total masses $M \gtrsim 2.25M_{\odot}$ and includes the $\ell \leq 4$ and $(\ell, m) = (5, 5)$ spin-weight -2 spherical harmonic modes, but not the $(3, 1)$, $(4, 2)$ or $(4, 1)$ modes. We find that `NRHybSur3dq8_CCE` can accurately reproduce the training waveforms with mismatches $\lesssim 2 \times 10^{-4}$ for total masses $2.25M_{\odot} \leq M \leq 300M_{\odot}$ and can, for a modest degree of extrapolation, capably model outside of its training region. Most importantly, unlike previous waveform models, the new surrogate model successfully captures memory effects.

I. INTRODUCTION

To date, there have been a total of 90 joint detections of gravitational wave (GW) signals by the LIGO¹ [1] and Virgo [2] collaborations. But, with increased sensitivity in future observation runs and the inclusion of KAGRA² [3] as well as other proposed future detectors, such as the Einstein Telescope [4], the Cosmic Explorer [5], and the space-based LISA³ [6], the number of gravitational wave observations is expected to increase dramatically [7, 8]. To fully take advantage of the ever-expanding catalog of gravitational wave signals from compact binaries, it is crucial that we have high-fidelity waveform templates to compare the observed signals to. This is because accurate waveform templates are necessary for reliably extracting astrophysical source properties that provide important information about the binaries’ formation channels and also for performing unique tests of general relativity.

Numerical relativity (NR) is the only *ab initio* method for solving Einstein’s equations for the coalescence of two compact objects and has played a fundamental role in both GW theory and GW astronomy [9–12]. Even so, despite continued efforts by the NR community to make simulations more computationally efficient, they are still

prohibitively expensive for key multi-query applications, such as parameter estimation. Because of this bottleneck, numerous waveform models have been developed [13–18] that can be evaluated much faster than evolving an entire NR simulation. By construction, these semi-analytical models rely on physically-motivated or phenomenological assumptions to reduce the complexity of parameter space. They then calibrate the remaining free parameters by comparing to the waveforms produced by NR simulations. While these waveform models tend to be fast enough for performing GW data analyses, they are not nearly as accurate or reliable as NR waveforms.

NR surrogate models are a more recent addition to the collection of compact binary waveform models [19–25]. Unlike semi-analytic models, NR surrogates instead take a data-driven approach: training the waveform model directly on the waveforms output by simulations without the need to make any assumptions about the physics. Consequently, these surrogates recover NR waveforms much more faithfully than other semi-analytical models. However, because of this unique data-driven approach to waveform modeling, NR surrogate models can only be constructed for the regions of parameter space in which NR simulations exist.⁴

¹ The Laser Interferometer Gravitational-Wave Observatory.

² The Kamioka Gravitational Wave Detector.

³ The Laser Interferometer Space Antenna.

⁴ Surrogate models have also been constructed for semi-analytical EOB models [26, 27].

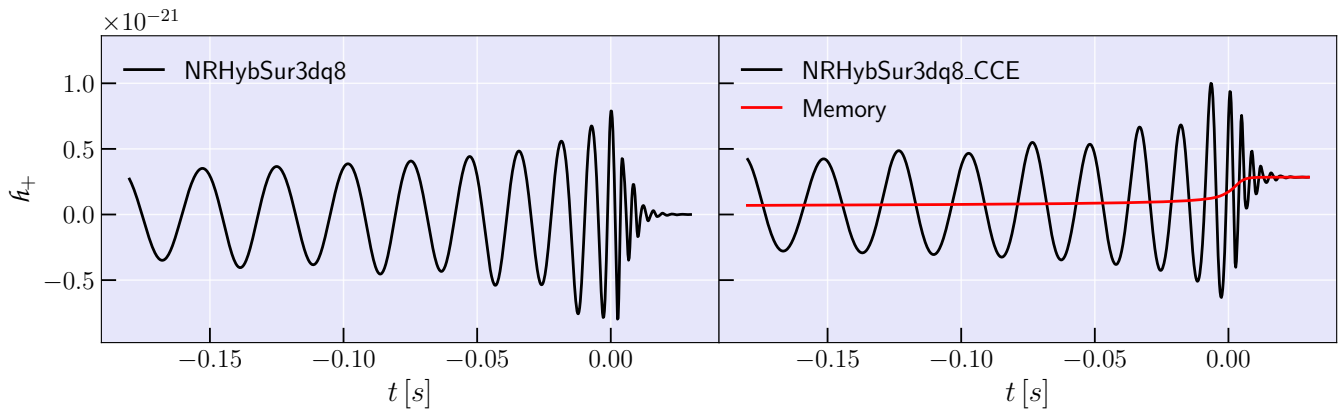


FIG. 1. Plus polarization of the strain for a GW150914-like event computed using the previous surrogate `NRHybSur3dq8` (left) and the new surrogate `NRHybSur3dq8_CCE` (right). The exact parameters that are used to calculate these two waveforms are $m_1 = 36M_\odot$, $m_2 = 29M_\odot$, $\chi_{1z} = 0.32$, $\chi_{2z} = -0.44$, $D_L = 410\text{Mpc}$, $\iota = \pi/2$, and $\varphi = 0$. Because we wish to highlight the main difference between these surrogates, we used an inclination angle of $\iota = \pi/2$, i.e., the “edge on” orientation, for which the magnitude of the memory (red) is maximized. Note that the memory roughly scales as $\sin^2(\iota)$.

Both NR surrogates and other semi-analytical models have played a crucial role in studying previous detections of gravitational waves [28–35]. Up until now, however, every waveform model has always either been trained or calibrated by working with ‘extrapolated’ waveforms. Because NR simulations of binaries are necessarily run in a finite volume, one needs a method of ‘extracting’ the asymptotic waveform from the finite-volume data that is produced by the simulation. This is because the gravitational radiation that we observe on Earth can be well-approximated by the radiative solutions to Einstein’s equations at future null infinity. In NR, an ‘extrapolated’ waveform refers to the asymptotic waveform from an extraction procedure called extrapolation.⁵ However, a major limitation of these extrapolated waveforms, which are the waveforms currently included in the SXS [12, 37] and other catalogs [39, 40], is that they do not accurately capture phenomena known as memory effects [41–44].

Gravitational memory effects correspond to persistent phenomena that two observers can measure after the passage of gravitational radiation [41–44]. In particular, to measure memory effects, observers must measure the change in spacetime induced by the passage of radiation between two times: one before and one after the radiation. While there are several types of memory effects [45, 46], the two most prominent, and therefore detectable, effects are the displacement [41–44] and the spin [47] memories. The displacement memory is what two initially comoving observers will measure, while the spin memory is what two observers with initial relative velocities will measure,

in conjunction with the usual displacement that they experience due to their nonzero relative velocities [46].

Apart from this classification of memory by the changes experienced by observers, there is also a classification in the way in which memory is sourced: ordinary and null.⁶ Ordinary memory refers to the memory that is sourced by changes in the $\ell \geq 2$ mass multipole moment of “ordinary” unbound masses, while null memory refers to the memory that is sourced by a change in the energy radiated per unit solid angle due to the radiation of “null” gravitons. Consequently, null memory can be thought of as a form of the ordinary memory in which the unbound masses are individual gravitons. Generally, ordinary memory will be most prominent in unbound scattering processes, such as hyperbolic black hole encounters, while null memory will be most prominent in bound scattering processes, such as binary black hole encounters. Because of this, in this work we will primarily be interested in the null memory.

While memory effects are an undetected phenomenon, various works have investigated their detectability using a forecast of future binary merger observations [49–51] and their observational consequences [52–54]. Furthermore, apart from their allure as a means to test Einstein’s theory of relativity, memory effects have also attracted significant attention in the theory community because of their inherent connection to asymptotic symmetries and soft theorems [45, 47, 55–59]. Accordingly, it is crucial that templates for gravitational waves contain memory.

While extrapolated NR waveforms ultimately fail to correctly capture memory effects,^{7,8} there is a much more robust type of asymptotic waveform extraction,

⁵ Extrapolation uses Regge-Wheeler-Zerilli extraction to compute the strain waveform on a series of concentric spheres of constant coordinate radius and then extrapolates these values to future null infinity by fitting a power series in $1/r$ [36–38].

⁶ Originally this classification was linear and nonlinear [41–43], but this terminology changed in recent years to more accurately reflect the physics sourcing this phenomenon [48].

⁷ The reason why memory effects are not correctly resolved in

called Cauchy-characteristic evolution (CCE), which fully evolves Einstein’s equations to future null infinity and correctly resolves the various memory effects [62–65].

In this work, we build a hybridized NR surrogate model, `NRHybSur3dq8_CCE`, which is trained on CCE waveforms that have been created using the CCE module of the code `SpECTRE` [63, 64, 66]. Furthermore, to build our hybrid waveforms, using the technique outlined in [67], we first map our NR waveforms to the post-Newtonian (PN) BMS frame [67, 68] before we hybridize them with effective one-body (EOB) phase-corrected PN waveforms. By doing so, we find that `NRHybSur3dq8_CCE` performs on par with a related extrapolated version of this surrogate, `NRHybSur3dq8`, and in certain scenarios even outperforms `NRHybSur3dq8`, all while containing previously unresolved physical effects. As an illustration of our waveform model, we provide Fig. 1, which shows the correct waveform for a GW150914-like binary black hole merger event.

Throughout this paper, we adopt the common notations used by previous works. The mass ratio is denoted as $q = m_1/m_2$, where m_1 (m_2) denotes the mass of heavier (lighter) black hole, while the aligned spin of the heavier (lighter) black hole (in the direction of the binary’s orbital angular momentum) is denoted as χ_{1z} (χ_{2z}). We use D_L to denote the luminosity distance, ι to denote the inclination angle between the orbital angular momentum and the line-of-sight to the detector, and φ to denote the azimuthal angle. Furthermore, when outputting our waveform templates, we represent the two polarizations of the gravitational wave—the plus and cross polarizations—as a single complex waveform, $\hat{h} = \hat{h}_+ - i\hat{h}_\times$, which we then further decompose into a sum of spin-weight -2 spherical harmonic modes denoted as $\hat{h}_{\ell m}$:

$$\hat{h}(t, \iota, \varphi) = \sum_{\ell=2}^{\infty} \sum_{m=-\ell}^{\ell} \hat{h}_{\ell m}(t) {}_{-2}Y_{\ell m}(\iota, \varphi). \quad (1)$$

Here ${}_{-2}Y_{\ell m}$ are the spin-weight -2 spherical harmonics. In Eq. (1), the quadrupole modes ($\ell = |m| = 2$) typically dominate the sum; however, the other modes are also important for estimating binary source properties [69–73]. Therefore, our new model `NRHybSur3dq8_CCE` includes $\ell \leq 4$ and $(5, 5)$ spin-weighted spherical harmonic modes, but not the $(3, 1)$, $(4, 2)$ and $(4, 1)$ modes. The reason for excluding these three modes is explained in Appendix A. Like its predecessor, the new model `NRHybSur3dq8_CCE` is an aligned-spin model, restricted to binary black holes

extrapolated waveforms is because memory effects tend to have a much longer spatial dependence than the oscillatory components of the waveform. Consequently, when expressing the waveform as a series of $1/r$ terms, the convergence of the memory’s contribution to the waveform is very slow and hard to capture [36–38].

⁸ While some of the missing memory in extrapolated waveforms can be computed through post-processing [60, 61], there are certain types of memory effects that cannot be corrected, e.g., spin memory, which makes extrapolated waveforms impractical for formal analyses of memory.

(BBHs) whose spins are aligned with the system’s orbital angular momentum. Thus, due to orbital-plane symmetry, we do not have to model the $m < 0$ modes separately as they can be obtained from $m > 0$ through the well-known relation $\hat{h}_{\ell(-m)} = (-1)^\ell \hat{h}_{\ell m}^*$, where $\hat{h}_{\ell m}^*$ represents the complex conjugate of $\hat{h}_{\ell m}$.

The rest of the paper is organized as follows. In Sec. II, we describe the entire construction of `NRHybSur3dq8_CCE`. In Sec. III, we then evaluate the errors involved in building this model. In particular, we check the error due to hybridization, the error of the surrogate itself, the success of the surrogate in extrapolating to values outside its training range, and the difference between `NRHybSur3dq8` and `NRHybSur3dq8_CCE`. Finally, in Sec. IV, we conclude with a few closing remarks. `NRHybSur3dq8_CCE`, our new surrogate model, has been made publicly available through the python package `gwsurrogate` [74].

II. METHODS

In this section, we outline the steps that are required for building the new surrogate model `NRHybSur3dq8_CCE`. More specifically, in the subsequent text, we discuss the parameter space that our training waveforms will cover, Bondi-van der Burg-Metzner-Sachs (BMS) frame fixing, hybridization, and, finally, the routine for constructing the surrogate model `NRHybSur3dq8_CCE`.

A. Training set generation

To build the new surrogate model `NRHybSur3dq8_CCE`, we need a set of training waveforms in addition to their corresponding binary parameters. One cannot know, a priori, the optimal distribution of binary parameters for training the surrogate model. Fortunately, a previous surrogate model, `NRHybSur3dq8`, already explored the parameter space that we are interested in: mass ratio $q \in [1, 8]$ and $|\chi_{1z}|, |\chi_{2z}| \leq 0.8$, where χ_{1z} (χ_{2z}) is the spin of the heavier (lighter) black hole in the direction of the orbital angular momentum [22]. Hence, we use the same set of existing NR simulations (SXS:BBH:1419–1509, but not SXS:BBH:1468 or SXS:BBH:1488) that was used for training `NRHybSur3dq8`. For an equal mass simulation with unequal spins, we can exchange the two BHs to obtain an extra training data point. This is performed by applying a rotation (along the z-axis, defined as the axis of the orbital angular momentum of the BBH) by π to the waveform of $(q, \chi_{1z}, \chi_{2z}) = (1, \chi, \tilde{\chi})$ to obtain an extra waveform corresponding to $(q, \chi_{1z}, \chi_{2z}) = (1, \tilde{\chi}, \chi)$ for $\chi \neq \tilde{\chi}$. From the above 89 NR simulations, there are 13 of these cases, leading to 102 distinct training data.⁹

⁹ Note that two NR simulations (SXS:BBH:1468 and 1488) are missing the world tube data that is necessary to produce the

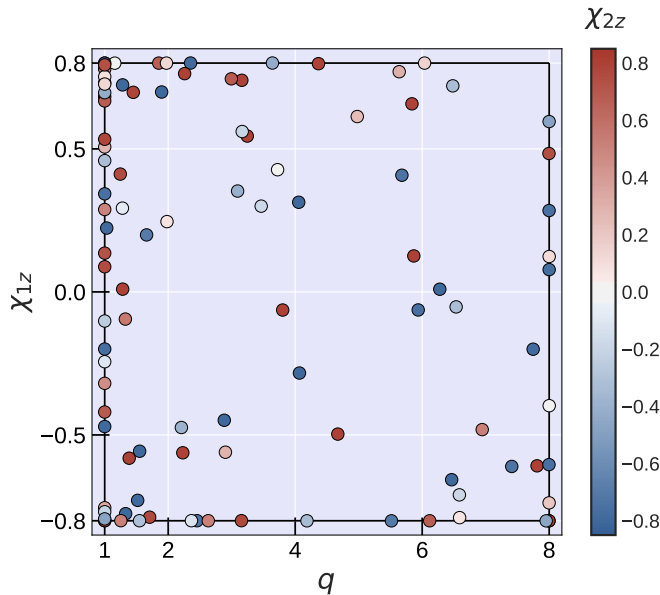


FIG. 2. Training set parameters used in the construction of the new surrogate model `NRHybSur3dq8_CCE`. There are a total of 102 training data points used for `NRHybSur3dq8_CCE`, which exactly match those of `NRHybSur3dq8` minus two points, for which the initial world tube data for CCE was not available. The boundary of the training region is represented with the black rectangle: $1 \leq q \leq 8$ and $-0.8 \leq \chi_{1z}, \chi_{2z} \leq 0.8$.

In Fig. 2, we show the three-dimensional distribution (q, χ_{1z}, χ_{2z}) of our training parameters.

For each NR simulation used in our model, we extract the asymptotic waveform at future null infinity \mathcal{I}^+ using the `SpECTRE` code’s implementation of CCE [63, 64, 66]. We run CCE on each of the four finite-radius worldtubes that the Cauchy evolution outputs. While in principle the CCEs that use these various worldtubes should yield valid and identical waveforms, we find that because of how the initial data for CCE is constructed there tends to be one worldtube that yields a more physically correct asymptotic waveform. We determine the best worldtube and waveform by examining which waveform has lowest L^2 norm after the ringdown phase. We find that this test is consistent with the previous method of checking which waveform and Weyl scalars minimally violate the five Bianchi identities [61, 65, 67, 68], but tends to yield waveforms with less junk radiation in the inspiral phase. As for the resolution of the CCE, we simply use the highest setting possible which yields errors in the CCE that are well below the errors from the Cauchy evolution. The waveforms that we use are interpolated to a uniform time step of $0.1M$, which is a dense enough time array to capture the important features of the waveform, including those that emerge near and during the merger phase.

CCE waveforms of interest. This is why in this work we have 102 training data rather than the 104 training data used in [22].

Like extrapolated waveforms, CCE waveforms contain ‘initial data transients’ due to the imperfect initial data on the first null hypersurface of the characteristic evolution. These unphysical features, however, tend to persist much longer than those observed in the extrapolated waveforms. Fortunately, we find that by truncating the earlier parts of the CCE waveforms, we can avoid this issue when constructing our training set in every mode except the (3, 1) and (4, 2) modes. Therefore, we exclude these modes in our new model. For more details about these modes and why we choose to exclude them, see Appendix A.

B. BMS frame fixing

When building surrogate models, it is important to ensure that the training waveforms are in the same frame. Otherwise, undesired gauge artifacts can complicate or even interfere with the various fitting and interpolation steps that are used when building the surrogate model. The earlier surrogate model, `NRHybSur3dq8`, for example, implemented a center-of-mass corrected version of the extrapolated waveforms, in which the waveforms were mapped to a ‘Newtonian’ center-of-mass frame of the binary using the coordinate trajectories of the black hole apparent horizons from the simulation [12].

However, the true gauge degree of freedom possessed by the gravitational waves at future null infinity is not the usual Poincaré group, but the BMS group, which includes an infinite-dimensional group of transformations that are called supertranslations [75, 76] in addition to the usual Poincaré transformations. As a result, before using NR waveforms for any analysis, one should first fix these BMS freedoms.¹⁰ In Refs. [67, 68] this task of fixing the BMS frame was performed by computing the various BMS charges that correspond to the symmetries of the BMS group and then finding the transformations that change those charges in a desired way. For example, to fix the translation and boost symmetries Refs. [67, 68] found a transformation that mapped the center-of-mass charge to have a mean of zero. More specifically, they found the transformation which minimized the time integral of the L^2 norm of this charge over a three-orbit window. Similarly, for fixing the system’s supertranslation freedom, the same works also found what supertranslation to apply to the waveforms by examining a charge known as the Moreschi supermomentum—an extension of the usual Bondi four-momentum. By finding the supertranslation that mapped the Moreschi supermomentum to the value expected from PN theory, it was found that NR waveforms

¹⁰ Note though that while fixing the BMS frame is important for modeling purposes, e.g., constructing or comparing waveform models, when examining waveforms at a point on the two-sphere, the only frame freedom that is relevant is the Poincaré freedom. This is because when looking at a point on the sky, supertranslations become degenerate with time translations.

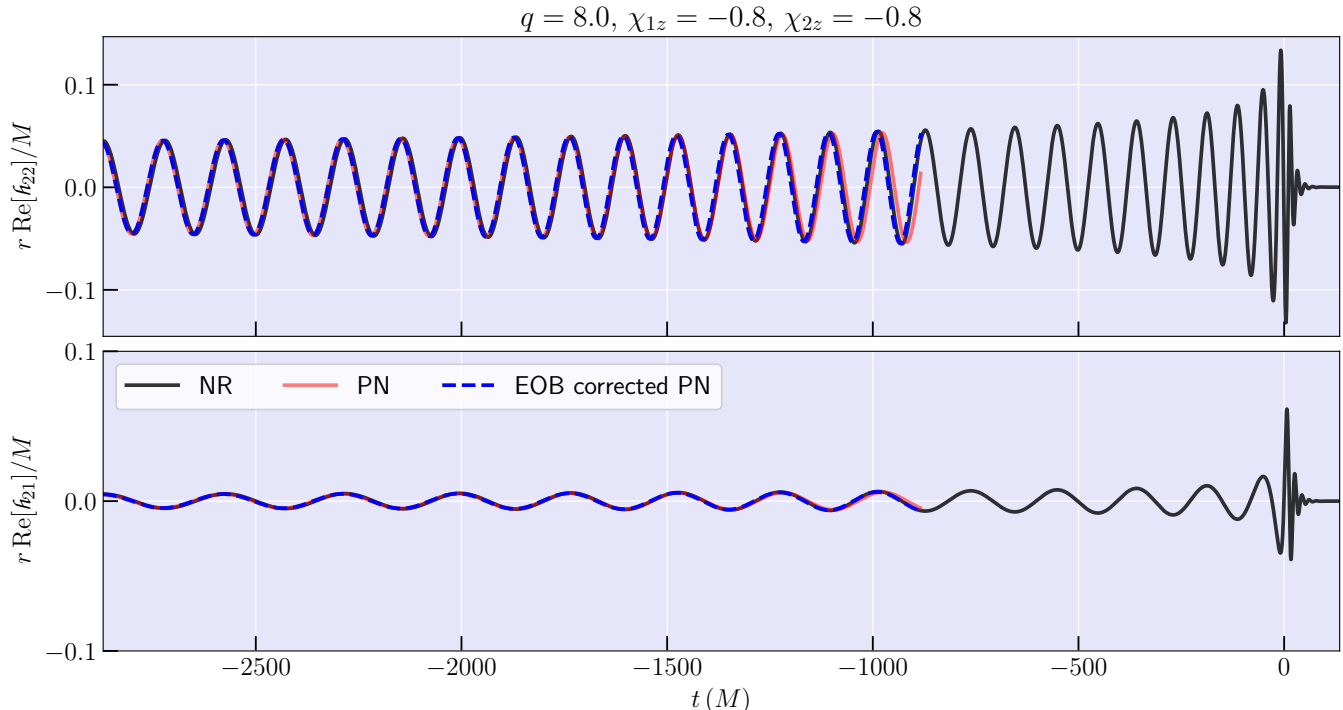


FIG. 3. NR (black), PN (red), and EOB-corrected PN (blue) waveforms for an example simulation with binary parameters $q = 8.0$, $\chi_{1z} = -0.8$, and $\chi_{2z} = -0.8$. The real part of both the (2, 2) and (2, 1) modes are shown in the top and bottom panels. Notice that the EOB-corrected PN waveform is more faithful to the NR waveform than the PN waveform.

could be made to much better agree with PN waveforms once they were mapped to the same BMS frame of PN. This frame is called the PN BMS frame.

Because the surrogate model that we are building is for hybrid waveforms in which PN waveforms are stitched to the NR waveforms, the natural BMS frame to work with is, similarly, the PN BMS frame. Therefore, to fix the BMS frame of our waveforms we use the frame fixing procedure described in Ref. [67] and the python module `scri` [77–80]. That is, we fix the translation and boost freedoms by mapping the center-of-mass charge to have a mean of zero, we fix the rotation freedom by mapping the angular velocity vector to be aligned with that of a PN waveform, and we fix the supertranslation freedom by mapping the NR Moreschi supermomentum to agree with the PN Moreschi supermomentum [67]. We perform this frame fixing using a three-orbit window that starts $\sim 2500 - 3500M$ before the peak of the L^2 norm of the NR strain. This choice of BMS frame implies that the $\ell \geq 1$ components of the Moreschi supermomentum of our BBH vanish at $t \rightarrow -\infty$. This is equivalent to matching the PN memory terms with the NR system’s memory over the hybridization window. This choice also implies that `NRHybSur3dq8_CCE`’s strains vanish at $t \rightarrow -\infty$.

C. Hybridization

Because of computational limits, NR simulations of BBHs typically only cover the last 20 orbits of inspiral. Thus, they are not nearly long enough to span the full LVK detection band for stellar mass binaries. More precisely, the initial frequency of (2,2) mode of these waveforms falls within the LVK band, taken to begin at $f_{\text{low}} = 20\text{Hz}$, for total masses $M = m_1 + m_2 \gtrsim 60M_\odot$. To address this limitation and extend the validity of our model to lower values of total mass, we hybridize the CCE waveforms that are obtained from NR with the early inspiral parts of EOB phase-corrected PN waveforms. We create pure PN waveforms using the python package `GWFrames` [81]. For the PN orbital phase we include non-spinning terms up to 4 PN order [82–86] and spinning terms up to 2.5 PN order [87–89]. For the PN amplitude we include non-memory terms to 3.5 PN order [90–92], non-spinning memory terms to 3 PN order, and spinning memory terms up to 2 PN order [67, 93]. We use the TaylorT4 [94] approximant to compute the PN phase, but we replace this with an EOB-derived phase for the following reasons.

As noted in the previous work with `NRHybSur3dq8` [22], the accuracy of the inspiral parts from PN waveforms can be improved by replacing the PN phase with the phase that is derived from an EOB model, which undergoes an NR calibration. This improvement is typically larger for high mass-ratio systems, where the PN deviation from

NR tends to be more significant, as is shown in Fig. 3. For the phase correction¹¹ to the PN waveforms used in this new surrogate model, we use the EOB model SEOBNRv4_opt [95, 96].¹²

Currently, the waveforms produced by CCE contain ‘initial data transients’ or ‘junk radiation’ because of imperfect initial data that forces us to discard early parts of the waveforms [65]. We find that the transients present in the CCE waveforms typically last noticeably longer than the junk radiation of the extrapolated waveforms [64]. Because of this, we instead use a hybridization window that is closer to the merger: roughly $2500 - 3500M$ before the peak of the L^2 norm of the strain. The later window further necessitates our use of the EOB-corrected phase for the inspiral part of the PN waveforms.

Once the NR waveforms are mapped to the same frame as the EOB-corrected PN waveforms via the procedures described in II B, we then hybridize NR and PN together, for each spin-weighted spherical harmonic mode, $h_{\ell m}$, as:

$$h_{\ell m}^{\text{hyb}} = h_{\ell m}^{\text{PN}} + f\left(\frac{t - t_1}{t_2 - t_1}\right) (h_{\ell m}^{\text{NR}} - h_{\ell m}^{\text{PN}}), \quad (2)$$

using the following transition function

$$f(x) = \begin{cases} 0 & x \leq 0, \\ \left(1 + \exp\left[\frac{1}{x-1} + \frac{1}{x}\right]\right)^{-1} & 0 < x < 1, \\ 1 & x \geq 1. \end{cases} \quad (3)$$

Our choice of transition function matches that used in Ref. [68]. In the previous surrogate, NRHybSur3dq8, instead of hybridizing the complex waveforms directly, the waveforms were decomposed into amplitude and frequency before these components were hybridized independently. This was done to avoid undesirable artifacts that their transition function introduced, which are shown in Fig. 4 of Ref. [22]. Because CCE waveforms contain memory, which acts as a time-dependent offset in the waveforms, the decomposition into amplitude and frequency is not as useful as it is for the extrapolated waveforms which do not contain memory. Therefore, we choose to not use the previous hybridization method and instead directly stitch the NR and PN waveforms together. We do observe some minor glitches in the orbital frequency of a few of our hybrid waveforms in the hybridization window. However, these effects are largely negligible when compared to the other modeling errors for NRHybSur3dq8_CCE.

D. Post-processing the training data

In this section, we now describe how we construct the surrogate model from the hybrid waveforms of Sec. II C.

1. Down-sampling and common time array

First, we apply a time shift to each training waveform such that the peak of the L^2 norm

$$A_{\text{tot}}(t) = \sqrt{\sum_{\ell, m} |h_{\ell m}(t)|^2} \quad (4)$$

is aligned at $t = 0$. The peak time of this curve is determined from a quadratic fit using 5 time samples that are adjacent to the discrete maximum of A_{tot} . When we compute the sum in Eq. (4), we use every mode of the hybrid waveform, including the $m < 0$ modes.

To begin with, the length of each hybrid waveform is determined by ensuring that the initial orbital frequency is $\omega_{\text{orb}} = 2 \times 10^{-4} \text{ rad}/M$, where ω_{orb} is approximated from ϕ_{22} , the phase of the (2,2) mode, using

$$\omega_{\text{orb}} = \frac{1}{2} \frac{d\phi_{22}}{dt} \quad (5)$$

This frequency choice, however, results in waveforms with different lengths for different mass ratios and spins. The surrogate-building procedure, however, requires that every training waveform share a common time array. Therefore, to remedy this issue we truncate the training waveforms such that they begin with time $t = -5.8 \times 10^8 M$, which is the first time of the shortest waveform in the surrogate’s training set. After truncation, the training set’s largest starting orbital frequency is $\omega_{\text{orb}} = 2.8 \times 10^{-4} \text{ rad}/M$. Consequently, this frequency is the low-frequency limit of validity for the surrogate model.

For the LVK observatories, if we assume that 20Hz is the lowest GW frequency that can be measured, then the (2, 2) mode of the surrogate model can be considered valid for total masses $M \geq 0.9M_{\odot}$. The highest spin-weighted spherical harmonic mode included in NRHybSur3dq8_CCE is the (5, 5) mode for which the corresponding frequency is a factor of 5/2 more than that of the (2, 2) mode. Thus, the entire surrogate is valid for total masses $M \geq 2.25M_{\odot}$.

Because the hybrid waveforms are millions of M long, it is not practical to sample the entire waveform with a small uniform time step like $0.1M$, as is typically used for NR-only surrogates [23]. Fortunately, the early inspiral, low-frequency portion of the waveform does not require as dense a sampling as the later high-frequency portion. Therefore, we instead down-sample the time arrays of the truncated waveforms such that there are only 5 points per orbit for the shortest hybrid waveform of the training set. However, for $t \geq -1000M$, we switch to uniformly spaced time samples with a time step of $0.1M$ to ensure that we have sufficiently dense sampling for the late inspiral

¹¹ The phase correction procedure is identical to that described in Sec. IV B of Ref. [22].

¹² While SEOBNRv4_opt is trained on extrapolated waveforms rather than CCE waveforms, we do not expect this feature to noticeably impact the surrogate because the phase evolution of these different waveforms should still be comparable. Still, it would be interesting to see how our surrogate model construction changes when using EOB waveforms that have been calibrated with CCE waveforms.

and merger-ringdown phases where the frequency reaches its peak. We retain times up to $135M$ after the peak to ensure that we fully capture the numerically resolvable parts of the ringdown phase.

Given the common down-sampled time array, we then use cubic splines to interpolate all of the waveforms in the training set onto the common time array. However, we first transform the waveforms to the co-orbital frame, which we construct via

$$\text{co-orbital frame: } \begin{cases} h_{\ell m}^C = h_{\ell m} e^{im\phi_{\text{orb}}} \\ h_{22} = A_{22} e^{i\phi_{22}} \\ \phi_{\text{orb}} = \phi_{22}/2 \end{cases} \quad (6)$$

where $h_{\ell m}$ is the inertial frame waveform, ϕ_{orb} is the orbital phase, and A_{22} and ϕ_{22} are the amplitude and phase of the (2, 2) mode. The co-orbital frame is roughly co-rotating with the binary and is obtained by applying a time-dependent rotation about the \hat{z} -axis by an amount measured by the instantaneous orbital phase. As the waveforms are slowly varying functions of time in the co-orbital frame, by transforming to this frame we can increase the interpolation accuracy. For the (2, 2) mode, we sample the amplitude A_{22} and phase ϕ_{22} , while for all other modes we use the real and imaginary parts of $h_{\ell m}^C$.

2. Phase alignment

After interpolating to a common time array, we align the phases of the waveforms by rotating the waveforms about the \hat{z} -axis such that the orbital phase ϕ_{orb} is zero at time $t = -1000M$. This ensures that each waveform corresponds to a binary with its heavier black hole on the \hat{x} -axis at that time. Note that this frame is constructed using information from the waveform at future null infinity, and as a result these BH positions need not correspond to the gauge-dependent BH positions in the NR simulations.

3. Data decomposition

As mentioned earlier, it is easier to build a model for slowly varying functions of time. Because of this, we decompose the inertial frame strain $h_{\ell m}$, which is oscillatory, into simpler waveform data pieces and build a separate surrogate model for each of these data pieces. For the (2, 2) mode, we decompose this mode into the amplitude A_{22} and the phase ϕ_{22} , while for the other $m \neq 0$ waveform modes, we model the real and imaginary parts of the co-orbital frame strain, $h_{\ell m}^C$, using Eq. (6). For the $m = 0$ modes of non-precessing systems, $h_{\ell m}^C$ is purely real (imaginary) for even (odd) ℓ . Because of this, we only model the non-trivial part for the $m = 0$ modes.

Because our hybrid waveforms are rather long—extending over roughly 3×10^4 orbits— ϕ_{22} roughly spans 10^5 radians. Accurately modeling the phase evolution of such long hybrid waveforms poses a challenge. We find

that we can resolve this issue, however, by subtracting the leading-order Taylor T3 PN phase [97], ϕ_{22}^{T3} , and simply modeling the phase residual, $\phi_{22}^{\text{res}} = \phi_{22} - \phi_{22}^{\text{T3}}$, as was performed in Ref. [22]. The leading-order prediction from the TaylorT3 PN approximant [97] is

$$\phi_{22}^{\text{T3}} = \phi_{\text{ref}}^{\text{T3}} - \frac{2}{\eta\theta^5} \quad (7)$$

with

$$\theta = [\eta(t_{\text{ref}} - t)/(5M)]^{-1/8}, \quad (8)$$

where $\phi_{\text{ref}}^{\text{T3}}$ is an arbitrary integration constant, t_{ref} is an arbitrary time offset, and $\eta = q/(1+q)^2$ is the symmetric mass ratio. Because, by definition, θ diverges at $t = t_{\text{ref}}$, to avoid such divergences we set $t_{\text{ref}} = 1000M$, which is sufficiently long after the end of the waveform. We also choose $\phi_{\text{ref}}^{\text{T3}}$ such that $\phi_{22}^{\text{T3}} = 0$ at $t = -1000M$, i.e., the time at which we align the phase, as outlined in Sec. IID 2.

E. Surrogate building

Given the decomposed waveform data pieces, we build a surrogate model for each individual data piece using the same procedure as that of Sec. V. C of Ref. [22], with a few minor modifications, which we summarize below.

For each waveform data piece, we begin by constructing a linear basis in parameter space, so that we can reduce the training data set to a smaller representative data set. The basis functions that we use are chosen in the following iterative manner [98–101], called the ‘greedy algorithm’:

1. Pick out the training data with the largest L^2 norm and add it to the basis set as the first basis function;
2. Compute the projection error between each of the training data and the basis set;
3. Determine which of the training data has the highest projection error and add this to the basis set;
4. Repeat steps 2–3 until a pre-determined number of basis functions for each data piece is obtained.

For step 4, we determine the number of basis functions used for each of the data pieces through trial and error. That is, we increase the number of basis functions until the inclusion of new basis functions introduces noise into the model or gives diminishing returns in terms of minimizing the projection errors. The number of basis functions that is used for each data piece is shown in Table I.

Next, we build empirical time interpolants [99, 102–105] with the same number of empirical nodes as the number of basis functions that are used to model the data piece. Following the methodology of Ref. [22] we also require that the start of the waveform always be included as one of the empirical nodes. This provides an ‘anchor point’ that ensures that the waveform data pieces start with the correct value. In Ref. [22], no empirical nodes were

data piece	number of basis functions
A_{22}, ϕ_{22}	15
Re h_{21}^C , Im h_{21}^C	12
Re h_{20}^C	12
Im h_{30}^C	12
Re h_{43}^C , Im h_{43}^C	12
Re h_{55}^C , Im h_{55}^C	8
others	10

TABLE I. Number of basis functions for each data piece.

picked at times past $t > 50M$ to ensure that little to no numerical noise was being modeled, particularly for the phase data piece. We follow the same convention for the phase data pieces; however, for the other data pieces we allow time nodes past $t > 50M$ to ensure that the surrogate correctly models the memory throughout the entirety of the ringdown phase.

Finally, for each empirical time node, we construct a parametric fit for the waveform data piece, following the Gaussian process regression (GPR) fitting method described in the supplementary material of Ref. [106], using the python package `scikit-learn` [107]. For the fit itself, we use the parameterization used in Ref. [22]. That is, we use the parameters $\log(q)$, $\hat{\chi}$, and χ_a , where $\hat{\chi}$ is the leading order spin parameter [108–111] for the GW phase in the PN expression

$$\hat{\chi} = \frac{\chi_{\text{eff}} - 38\eta(\chi_{1z} + \chi_{2z})/113}{1 - 76\eta/113}, \quad (9)$$

with

$$\chi_{\text{eff}} = \frac{q\chi_{1z} + \chi_{2z}}{1 + q}, \quad (10)$$

and χ_a is the anti-symmetric spin defined as

$$\chi_a = \frac{1}{2}(\chi_{1z} - \chi_{2z}). \quad (11)$$

III. ERROR QUANTIFICATION

With the methodology behind the construction of our surrogate model outlined in Sec. II, we now examine the quality of NRHybSur3dq8_CCE by conducting a variety of model consistency checks and waveform comparisons. This process involves examining both the error in the hybridization and the error in the surrogate model itself as well as the ability of the surrogate to model waveforms outside of its training parameter space.

A. Hybridization errors

Before building the surrogate model, we first have to map the NR waveforms to be in the same frame as the

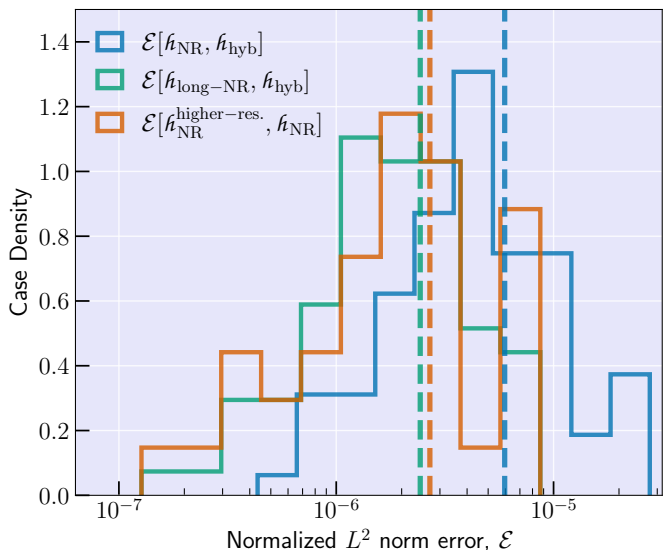


FIG. 4. Two unique estimates of the hybridization error. $\mathcal{E}[h_{\text{NR}}, h_{\text{hyb}}]$, using Eq. (12), computes the error between the hybrid and the NR waveforms in the hybridization window. Meanwhile, $\mathcal{E}[h_{\text{long-NR}}, h_{\text{hyb}}]$ computes the error between the hybrid and the long NR waveforms from an initial time of $t_1 = -6000M$ to the end of hybridization window. We also include $\mathcal{E}[h_{\text{NR}}^{\text{higher-res}}, h_{\text{NR}}]$ as a resolution error between the two highest resolution NR waveforms, computed within the hybridization window. $\mathcal{E}[h_{\text{NR}}, h_{\text{hyb}}]$ was computed for every one of the 102 training waveforms, while $\mathcal{E}[h_{\text{long-NR}}, h_{\text{hyb}}]$ and $\mathcal{E}[h_{\text{NR}}^{\text{higher-res}}, h_{\text{NR}}]$ were computed for the 37 waveforms for which longer and higher resolution simulations were available. The dashed lines represent the median values.

EOB-corrected PN waveforms. As described in Sec. II B, this fixes the BMS freedom of the NR waveforms by mapping the waveforms to the PN BMS frame using the procedure outlined in Ref. [67]. Following this, we then stitch the two waveforms to create a hybrid waveform that we use as our training waveform. One of the important checks for the quality of our final training waveform is to understand the errors that result from performing this hybridization procedure between NR and PN waveforms. There are two natural ways to conduct this check.

First, we can simply compare the hybrid waveform to the NR waveform in the hybridization window. This check will determine the combined discrepancy that results from the discrepancy between the PN and NR waveforms and from using the smooth transition function in Eq. (3). Next, to examine the error introduced from using PN for the early inspiral parts of our hybrid waveform, we can compare the hybrid to a simulation that has identical binary parameters, but a larger initial BH separation. Fortunately, of the simulations that we include in our surrogate’s training data set, 37 of them have these longer extensions that we can use for a comparison with the hybrid waveforms.

To quantify the error for these comparisons, we use the

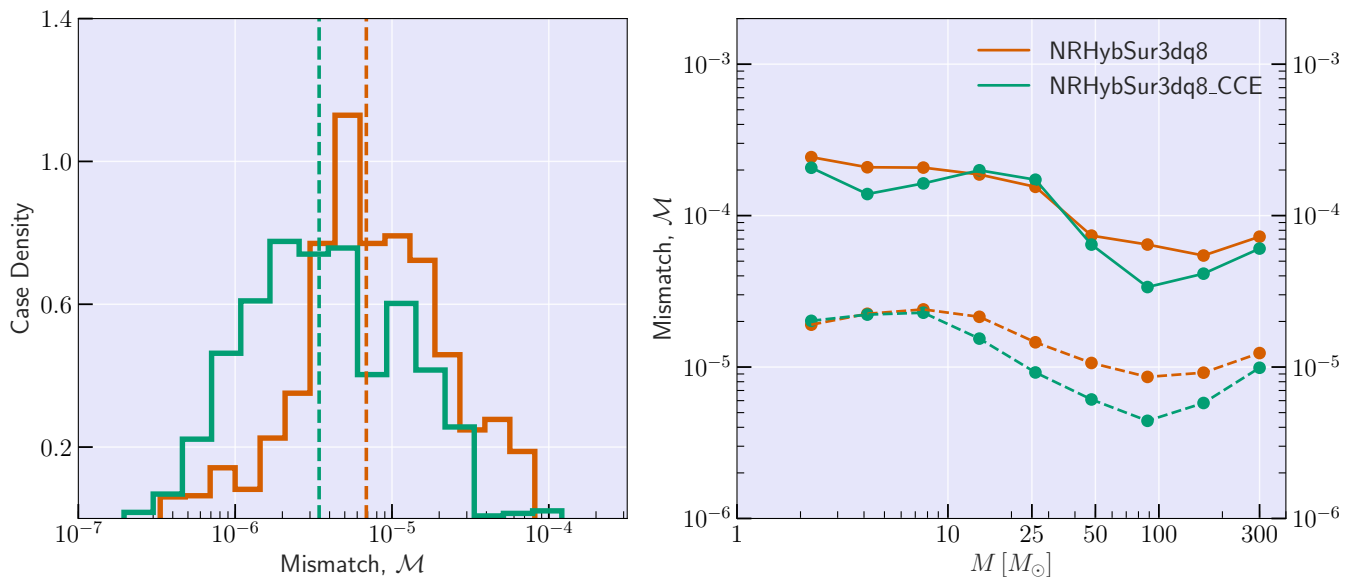


FIG. 5. Frequency-domain mismatches for the NRHybSur3dq8 and NRHybSur3dq8_CCE surrogates when compared to their respective training hybridized waveforms (extrapolated and CCE, respectively). The results for NRHybSur3dq8 are taken from Fig. 6 of Ref. [22] and are plotted for comparison with NRHybSur3dq8_CCE. For both of these surrogate models, we compute leave-five-out errors at several points in the sky of the source frame using all available modes in each model: $\ell \leq 4$ and (5, 5), but excluding (3, 1), (4, 2), and (4, 1) for NRHybSur3dq8_CCE and (4, 1) and (4, 0) for NRHybSur3dq8. **Left:** Mismatches computed using a flat noise curve for only the late inspiral part (NR part) of the hybrid waveforms. The dashed vertical line represents the median mismatch value. **Right:** Mismatches computed using the Advanced-LIGO noise curve as a function of the system’s total mass. The solid (dashed) line represents 95th percentile (median) mismatch values.

following function:

$$\mathcal{E} [\tilde{h}^{(1)}, \tilde{h}^{(2)}] \equiv \frac{1}{2} \frac{\sum_{\ell, m} \int_{t_1}^{t_2} |\tilde{h}_{\ell m}^{(1)}(t) - \tilde{h}_{\ell m}^{(2)}(t)|^2 dt}{\sum_{\ell, m} \int_{t_1}^{t_2} |\tilde{h}_{\ell m}^{(1)}(t)|^2 dt}. \quad (12)$$

For the first comparison, we compute the error within the hybridization window, where t_1 is roughly $3000M$ before merger and t_2 is the time at which the system has undergone 3 orbits since t_1 . For the latter comparison, we first map the long NR waveforms to the BMS frame of the training NR waveforms and then compute the error between these waveforms from $t_1 = -6000M$ to the end of the hybridization widow. The results of these comparisons are shown in Fig. 4. We observe that our two estimates for the hybridization error are low and often overlap with the estimate of the NR resolution error. Note, though, that the NR resolution errors, which were computed for a smaller subset of NR simulations for which the higher resolution data was available, come from simulations that are for more comparable mass binaries. Because the difficulty of NR simulations increases with mass ratio, we therefore expect that the NR resolution error that we computed is a minor underestimate of the true error. Regardless, Fig. 4 suggests that our training waveforms are limited by both the resolution of the NR simulations as well as the accuracy of the PN waveforms.

B. Model errors

We now evaluate the accuracy of the surrogate model NRHybSur3dq8_CCE by comparing the waveforms that it produces to the hybridized PN/NR waveforms that were used to train it. We quantify this model accuracy by computing the frequency-domain mismatch \mathcal{M} between two waveforms h_1 and h_2 via

$$\mathcal{M} = 1 - \frac{\langle h_1, h_2 \rangle}{\sqrt{\langle h_1, h_1 \rangle \langle h_2, h_2 \rangle}} \quad (13)$$

with

$$\langle h_1, h_2 \rangle = 4\text{Re} \left[\int_{f_{\min}}^{f_{\max}} \frac{\tilde{h}_1(f) \tilde{h}_2^*(f)}{S_n(f)} df \right], \quad (14)$$

where $\tilde{h}(f)$ denotes the Fourier transform of the strain $h(t)$, $*$ the complex conjugate, and $S_n(f)$ the one-sided power spectral density of, say, a GW detector. Specifically, the $S_n(f)$ that we use for mismatches is the Zero-Detuned-High-Power noise curve computed for Advanced-LIGO design sensitivity [112], with the minimum and maximum values $(f_{\min}, f_{\max}) = (20\text{Hz}, 2000\text{Hz})$. The mismatches are optimized over shifts in time, polarization angle, and the initial orbital phase following the procedure described in Appendix D of Ref. [20]. For each pair of waveforms, we compute mismatches at a total of 37 sky points that are uniformly distributed over the two-sphere. Because the

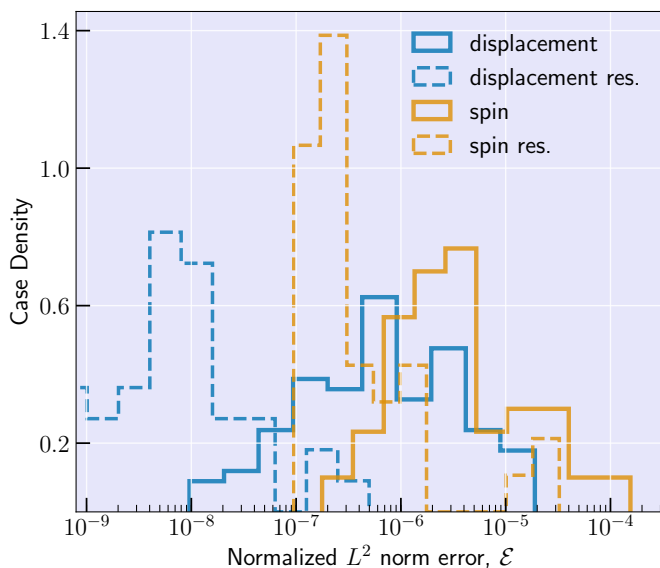


FIG. 6. Errors for the displacement (blue) and spin (orange) memory effects computed by comparing the training waveforms to NRHybSur3dq8_CCE’s output using Eq. (12). For this result, we show the leave-five-out cross-validation errors (solid line). As a reference, we also include the errors computed between the two highest resolution waveforms (dashed line).

waveforms that we are examining include memory effects, tapering them in the ringdown region before performing the Fourier transform can produce a significant level of windowing effects^{13,14} in the Fourier spectrum. Therefore, before computing mismatches we first pad the end of the waveforms with their final values for $1000M$ and then taper them over this padded region. We find that a padding length of $1000M$ is enough to significantly reduce windowing effects from tapering and that the mismatch result is not very sensitive to this choice of padding length.

Because we use all available hybrid waveforms for the training of the model NRHybSur3dq8_CCE, if we compute the mismatch of our model against hybrid waveforms we would obtain a training error, rather than an estimate of the true modeling error. Thus, we instead estimate the out-of-sample error by performing leave-five-out analyses for the NRHybSur3dq8 and NRHybSur3dq8_CCE models. We construct exactly 20 trial surrogates, leaving out 5 or 6 waveforms from the training set for each surrogate. By calculating the mismatch between each surrogate and the left-out waveforms, we are then able to assess the performance of each surrogate against waveforms that were not used in the training process.

¹³ A value mismatch at both ends of the waveform tends to result in the presence of Gibbs phenomenon in the Fourier spectrum. To avoid this, we taper the waveform to zero at both ends using a Planck window [113].

¹⁴ A new scheme for pre-processing that potentially reduces this windowing effect is proposed in Ref. [114].

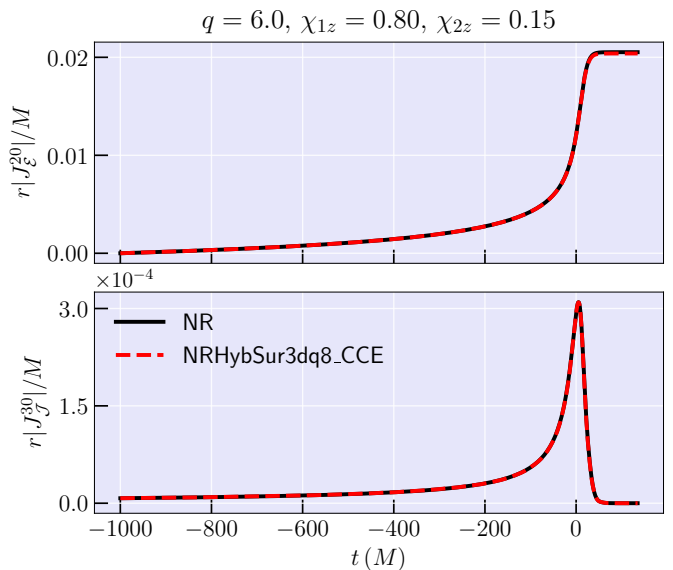


FIG. 7. Amplitudes of the most dominant modes of the displacement and spin memory effects when computed from the NR waveform and the surrogate evaluation for the case with the largest errors in Fig. 6. The top and bottom panels show the $(2,0)$ mode of the displacement null memory and the $(3,0)$ mode of the spin null memory.

The left panel of Fig. 5 shows the mismatches for NRHybSur3dq8_CCE that are computed using a flat (white) noise curve over the late inspiral part (NR part) of the hybrid waveforms. When computing this, we truncate the waveforms to start at $t = -3500M$ for NRHybSur3dq8 and at $t = -2500M$ for NRHybSur3dq8_CCE.¹⁵ Results that are more relevant to GW observations are computed with the Advanced-LIGO noise curve [112] for various total masses and are shown in the right panel of Fig. 5. We also include the mismatch result for NRHybSur3dq8 from Ref. [22] for comparison. On the horizontal axis, different total masses correspond to different portions of the waveform falling within the $[20\text{Hz}, 2000\text{Hz}]$ window. This roughly implies that the low end of the total mass axis is a proxy for the fidelity of the early inspiral part of the waveforms, while the high end is a proxy for the late inspiral parts. We show the mismatch for various total masses: from the lower limit of the range of validity of the surrogate, i.e., $M \gtrsim 2.25M_{\odot}$, up to $M = 300M_{\odot}$. For each total mass point that we plot, we show both the median and the 95th percentile mismatches.

For the surrogate modeling errors, we obtain values that are comparable to—and often better than—those of NRHybSur3dq8, despite the additional modeling challenges resulting from the new contributions due to the presence of memory. The 95th percentile mismatches fall below $\sim 3 \times 10^{-4}$ for the entire mass range.

¹⁵ The discrepancy is due to the later hybridization window that was used for NRHybSur3dq8_CCE as explained in Sec. II C.

Last, we test how well the surrogate models both the displacement and spin memory contributions. To do this, we compute the displacement and spin null memories, i.e., Eqs. (17b) and (17d) from Ref. [61] which only depend on the strain, using both the training waveforms and the surrogate evaluation, and then calculate the normalized L^2 norm error between the two using Eq. (12) over the $t \in [-1000M, 135M]$ window. The choice of the window's starting time is arbitrary but early enough to capture most of the memory. For this check, we again perform leave-five-out analyses to estimate NRHybSur3dq8_CCE's modeling error. As a reference for these errors, we also show the numerical resolution error from the same set of 37 simulations used for Fig. 4. As shown in Fig. 6, the modeling error for the two memory effects is at a reasonable level, albeit higher than our estimate of the resolution error. As shown in Fig. 7, even for the case that corresponds to the largest error value in Fig. 6, the memory effects computed from the surrogate evaluation closely agree with those computed from NR waveforms.

We suspect that the spin memory accuracy is typically worse than that of the displacement memory because the spin memory is smaller than the displacement memory and is thus harder to resolve. The discrepancy between the modeling error and the resolution error suggests that there is room for future improvements in modeling the memory contribution. Building future surrogate models using CCE waveforms from higher resolution and longer NR simulations might help improve this modeling error; however, a new data decomposition scheme or even a new modeling strategy could be necessary to obtain an improved modeling of memory effects.

C. Extrapolating outside training region

The errors that we have examined thus far have been restricted to the training region of the parameter space: $q \leq 8$, $\chi_{1z}, \chi_{2z} \in [-0.8, 0.8]$. It is possible, however, to evaluate the surrogate outside the training region, e.g., for larger mass ratio, q , or even higher primary or secondary spin magnitudes: $|\chi_{1z}|, |\chi_{2z}|$. Consequently, to understand the extrapolation capability of the model, we compute errors of the model against a few existing simulations (SXS:BBH:0185, 0189, 0199, 1124 2085, 2105, 2132, and 2515) [12, 19, 37, 115] that have relatively high mass ratios or spin magnitudes.

As shown in Fig. 8, the mismatch results, while worse than those shown in Fig. 5, are nonetheless reasonable. The highest three mismatch results correspond to the three most extreme parameter simulations: mass ratio $q = 10$ or spin magnitude $|\chi_{1z}|, |\chi_{2z}| = 0.998$, for which we do not expect the NRHybSur3dq8_CCE to perform well. Apart from these, we find that the surrogate performs well for a modest degree of extrapolation, with many of the mismatches falling below values near $\sim 10^{-4}$.

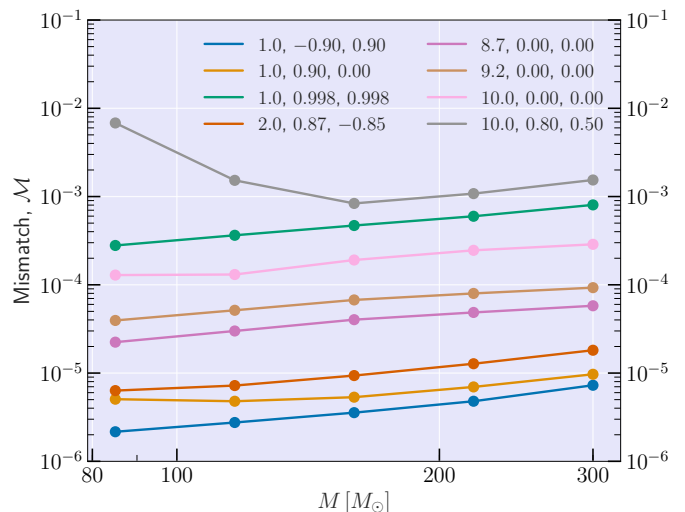


FIG. 8. Noise-weighted frequency-domain mismatches between NRHybSur3dq8_CCE and NR simulations that are outside the model's training region. The numbers in the legend correspond to the NR simulation's mass ratio q , primary spin χ_{1z} , and secondary spin χ_{2z} . The mismatches that are shown are computed at several points in the sky of the source frame using the Advanced-LIGO noise curve. Each of the solid lines represents the 95th percentile mismatch values.

D. Systematic bias in waveforms that omit memory or add it through post-processing

Finally, in Fig. 9, we show the mismatch between the training waveforms used for NRHybSur3dq8_CCE and those that were used for NRHybSur3dq8. The primary purpose of this analysis is to obtain a rough estimate of the level of systematic bias that one could expect from analyzing a GW signal that contains memory using a waveform that does not. Apart from this, we also show the mismatch between an extrapolated waveform, once we have added the expected memory contribution to it using Eq. (17b) of Ref. [61], and a CCE waveform from the same simulation. This highlights that, while there is a noticeable difference between CCE waveforms and extrapolated waveforms, these discrepancies are largely reduced by adding memory to the extrapolated waveform. Because of this result, we suspect that memory-detection studies that have used this memory-correction technique, like Refs. [49–51, 116, 117], would likely obtain similar estimates had they used our new surrogate model NRHybSur3dq8_CCE. Note though that NRHybSur3dq8_CCE will be better for performing analyses of the spin memory, seeing as the contribution of spin memory to extrapolated waveforms cannot be as easily corrected [61]. Regardless, it would still be interesting to see if the conclusions made by these studies on memory, or even parameter estimation results, change when using NRHybSur3dq8_CCE instead of NRHybSur3dq8.

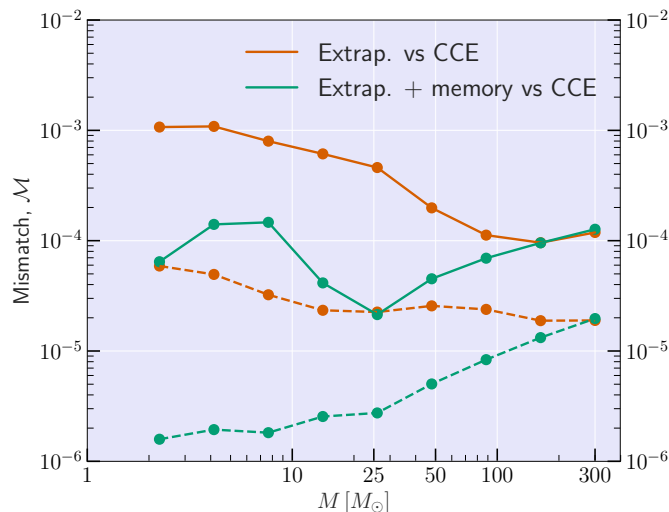


FIG. 9. Noise-weighted frequency-domain mismatches between extrapolated and CCE hybrid waveforms in red. These are the hybrid waveforms that were used to train `NRHybSur3dq8` and `NRHybSur3dq8_CCE`. Apart from this, we also include the mismatches between the memory-corrected extrapolated and CCE waveforms in green. The mismatches that are shown are computed at several points in the sky of the source frame using the Advanced-LIGO noise curve. The solid (dashed) line represents the 95th (median) mismatch values.

IV. CONCLUSION

In this work, we present a new surrogate model, `NRHybSur3dq8_CCE`, the first GW model to contain both the oscillatory and memory components of the strain. Consequently, `NRHybSur3dq8_CCE` is the first model to fully capture the expected GW physics of binary black hole mergers. The model is trained on 102 NR/PN hybrid waveforms from aligned-spin binary BH systems with mass ratios $q \leq 8$ and aligned spins $|\chi_{1z}|, |\chi_{2z}| \leq 0.8$. These hybrid waveforms are constructed by first mapping CCE waveforms to the PN BMS frame before hybridizing them with PN waveforms whose phase has been corrected using EOB waveforms. Performing this frame fixing helps eliminate unwanted gauge artifacts that could potentially interfere with modeling. The model includes $\ell \leq 4$ and $(5, 5)$ spin-weighted spherical harmonic modes, but not the $(3, 1)$, $(4, 2)$, or $(4, 1)$ modes, and spans the entire LVK band (with $f_{\text{low}} = 20\text{Hz}$) for total masses $M \geq 2.25M_{\odot}$. By conducting a series of leave-five-out cross-validation analyses, we find that `NRHybSur3dq8_CCE` can accurately reproduce the hybrid waveforms that were used to train it with mismatches below $\sim 3 \times 10^{-4}$ for total masses in the range $2.25M_{\odot} \leq M \leq 300M_{\odot}$. These accuracies are on par with—and often better than—the previous aligned-spin NR surrogate model, `NRHybSur3dq8`, despite the modeling challenges that result from the inclusion of new modes and memory effects. Apart from this, we also importantly find that `NRHybSur3dq8_CCE` can successfully

capture the null memory contributions with mismatches below $\sim 2 \times 10^{-4}$. Last, `NRHybSur3dq8_CCE` is also found to reproduce waveforms outside of its trained region of parameter space for a moderate degree of extrapolation; however, we advise caution when extrapolating the model. This new model is made publicly available through the python package `gwsurrogate` [74].

With the expected advances in detector sensitivity for both current and future gravitational wave observatories, waveform templates with memory effects will prove to be crucial for analyzing future compact binary detections. The new surrogate model `NRHybSur3dq8_CCE` serves as the first step in an important endeavor to produce a complete set of waveform templates that contain these undetected effects and thus correctly capture the expected gravitational wave physics of binary black hole mergers.

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Appendix A: Challenges in modeling certain modes

1. Impact of long-lived transient junk

The waveforms produced by CCE contain initial data transients that typically persist much longer than those of the Cauchy evolution. Because of this effect, as was outlined in Sec. II C, we choose to remove the early parts of our NR waveforms when constructing our surrogate’s training waveforms. Nevertheless, even after we perform this truncation, some of the waveforms that we use for the surrogate still exhibit unphysical effects that we do not see when using extrapolated waveforms, or CCE waveforms from higher resolution or longer Cauchy evolutions.

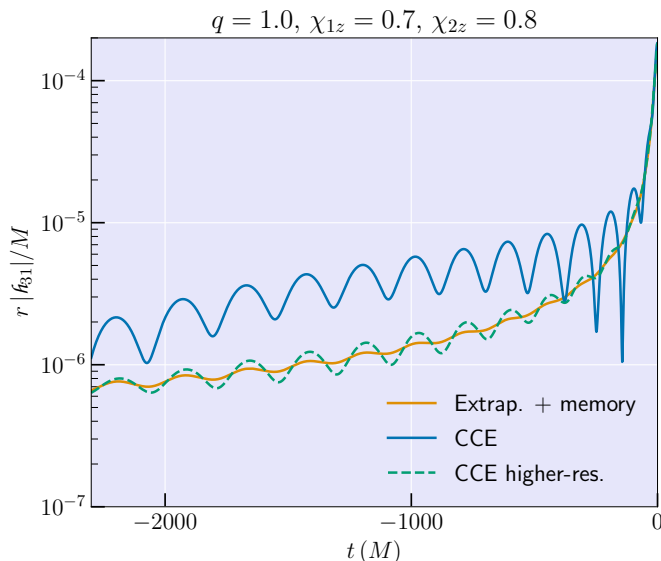


FIG. 10. An example of the unphysical oscillations that are seen in the amplitude of the (3, 1) mode for one of the CCE training waveforms (blue). As a reference, we also show the extrapolated waveform (orange) for the same simulation and the higher-resolution CCE waveform (green).

We find that initial data transients are most pronounced in the (3, 1) and (4, 2) modes, and are often identified by unphysical amplitude oscillations, as shown in Fig. 10. As is the case with all models, the surrogate model NRHybSur3dq8_CCE is only as good as the data that it is trained on. In fact, we find that having even only a few training waveforms with these issues can result in noisy and unphysical features being modeled by the surrogate.

We find that these unphysical oscillations in certain modes are significantly reduced for waveforms extracted from both higher resolution and longer Cauchy evolutions. Unfortunately, such simulations only exist for one third of our training data set. Therefore, we instead choose to not model the (3, 1) and (4, 2) modes in our new surrogate

NRHybSur3dq8_CCE. We also omit the (4, 1) mode because it is subdominant to the (4, 2) mode and, as a result, does not significantly impact the overall waveform accuracy provided that the (4, 2) is already excluded. For future surrogate models that are built using CCE waveforms, it is important that we have higher resolution and longer NR simulations to avoid these issues until the problem of constructing initial data for CCE is resolved.

2. Impact of a simulation-dependent BMS frame

As stressed in Sec. II B, it is crucial to ensure that the training waveforms are in the same BMS frame to avoid undesired frame artifacts that tend to complicate the waveform modeling. Because the surrogate model that we are building is for hybrid waveforms, we work with waveforms that are in the PN BMS frame. However, while this ensures that the waveforms are in the same frame during the inspiral phase,¹⁶ this is not necessarily true for the ringdown phase [123]. This is because, for example, the remnant black holes can have different kick velocities or supertranslation fields because of complicated effects that arise during the merger phase. Consequently, there are unresolved frame artifacts during the ringdown phase that can impact the modeling of the strain waveforms.

These additional challenges of modeling the strain in the ringdown using the current PN BMS framework are most pronounced for the (3, 1) and (4, 2) modes where the strain is oscillatory and does not decay to zero due to the impact of memory. Because these modes do not decay to zero as $t \rightarrow \infty$, we find that the decomposition of the strain into co-orbital frame data does not work well as the phase of these two modes is ill-defined. We highlight this phase issue in Fig. 11, which shows the amplitude and the phase of the (3, 1) mode for both a CCE waveform and an extrapolated waveform. One potential remedy to this problem is to instead work in a co-BMS frame, in which there is little-to-no time evolution of the BMS charges, i.e., a non-inertial frame similar to the co-rotating frame that simplifies the waveform data. However, such a project is non-trivial and we therefore postpone it for future work.

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¹⁶ Formally, this only ensures that the waveforms are in the same BMS frame at $t \rightarrow -\infty$.

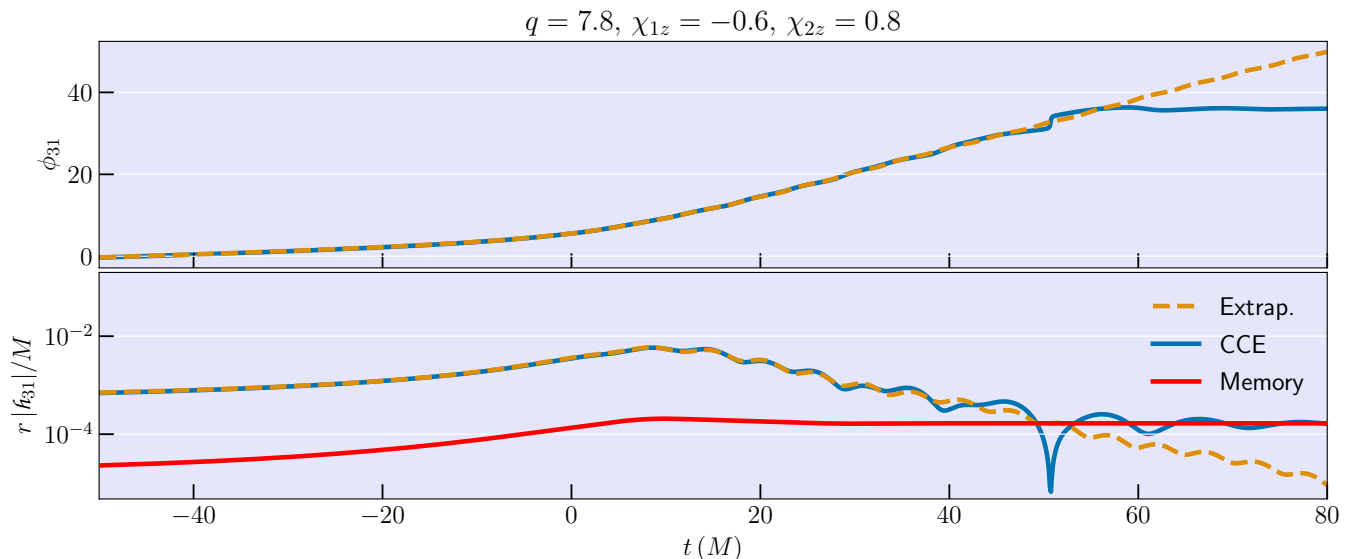


FIG. 11. Phase and amplitude of the (3,1) mode for a CCE (blue) and an extrapolated (orange) waveform. We also include the memory contribution (red) to highlight the fact that the CCE waveform does not decay to zero as $t \rightarrow \infty$.

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