Visual short-term memory related EEG components in a virtual reality setup

Felix Klotzsche, Michael Gaebler, Arno Villringer, Werner Sommer, Vadim Nikulin, & Sven Ohl

6 Supplementary material

7 Control analyses

3

8 To exclude the possibility that the effects of memory load and eccentricity on the CDA were specific 9 to our selection of the CDA time window (which we gained from the data-driven temporal localizer 10 approach), we conducted a set of supplementary analyses. First, we calculated the mean CDA using 11 the time window reported by Hakim et al. (2019). This alt ernative time window (400 to 1,450 12 ms after stimulus onset) started (slightly) later and lasted (substantially) longer than the one yielded 13 by the temporal localizer approach (388 to 1,088 ms). In line with our results, we observed also for 14 this alternative time window that the CDA mean amplitude varied significantly with memory load 15 (F(1,20) = 14.74, p = .001) while stimulus eccentricity did not significantly influence mean CDA 16 amplitude (F(2,40) = 0.45, p = .638). In contrast to the analysis based on the data-driven time 17 window, there was no significant interaction of eccentricity with the effect of memory load (F(2,40)) 18 = 3.04, p = .059). Post-hoc paired *t*-tests, however, revealed the same pattern as found in the main 19 analysis: the difference between trials with low and high memory load was significant for the 20 eccentricities of 4 dva (Δ CDA_{low-high} = 0.47, 95% CI [0.16, 0.78], p = .004) as well as 9 dva (Δ CDA_{low-} 21 high = 0.39, 95% CI [0.16, 0.62], p = .002), but not for 14 dva (Δ CDAlow-high = 0.14, 95% CI [-0.06, 0.33], 22 p = .155). To further explore this pattern with a more sensitive analysis approach, we calculated a 23 hierarchical linear mixed-model (using the statistical software package *lme4*; Bates et al., 2015) 24 which takes single-trial data into account and allows for modeling random intercepts per 25 participant. We modeled the mean CDA amplitude (averaged across channels in our ROI and time 26 points of the respective time window) as a function of memory load (treatment contrast; set size of 27 2 items as a baseline), eccentricity (treatment contrast; 9 dva as a baseline) as well as their 28 interaction. We fitted one of these models separately for the mean CDA calculated for the time 29 window identified with the temporal localizer method and for the alternative time window, and we 30 determined the significance of the model predictors using the R package lmerTest (Kuznetsova et 31 al., 2017).

32 This analysis revealed the same pattern of results for both time windows (Table S1): memory load 33 had a strong influence on the mean CDA amplitude while eccentricity did not have a significant 34 main effect. The interaction effect between memory load and eccentricity was small (compared to 35 the main effect of memory load) but significant for both time windows and was driven by the trials 36 with the largest stimulus eccentricity (14 dva) which exhibited a smaller difference between the 37 memory load conditions as compared to trials with 9 dva or 4 dva. There was no significant 38 difference between the two smaller eccentricity conditions. This is the same pattern that we 39 observed with the (non-hierarchical) rmANOVA paired with post-hoc *t*-tests for the data-driven 40 time window. We suspect that we did not observe a significant interaction effect of memory load 41 and eccentricity with the rmANOVA for the a priori time window due to a lack of statistical power. 42 We will discuss in the following paragraph why the interaction effect is smaller in the alternative 43 time window. The more sensitive mixed-model approach allows to detect also weaker effects. 44 Overall, we conclude that the results gained with the original time window (in accordance with the 45 mixed-model) represent a useful while parsimonious description of the data. The key insight: for 46 the largest eccentricity (14 dva), the load effect on the CDA was substantially weakened as compared 47 to the two smaller eccentricities (Figure S1a).

48 Based on Figure S1a, we assumed that the interaction effect was weaker for the alternative time 49 window as eccentricity might predominantly affect early components of the ERP (like the PNP 50 component). To address this concern, we conducted a time-resolved version of the rmANOVA 51 approach by fitting the according model (*CDA* ~ *MemoryLoad* * *Eccentricity*) separately for each 52 sample during the retention interval (Fig. S1b). This revealed different time courses for the main 53 effects of memory load and eccentricity, with the latter peaking substantially earlier, before the 54 onset of the investigated CDA time windows. As expected, the interaction effect only plays a role during the early phases of the CDA time windows and wears of quickly. By using a longer (and/or 55 56 later) time window to calculate the mean CDA amplitude, the proportion of samples affected by the 57 interaction effect decreases. As the main effect of memory load is stronger and more long lasting, it 58 can also be found more easily in such longer time windows. The post-hoc *t*-tests, however, did not show differences in CDA mean amplitude for either of the time windows, suggesting that increasing 59 60 the length of the interval is not sufficient to counteract the effect of the interaction.



Figure S1: (a) The effect of memory load (2 vs 4 items) on the CDA per eccentricity level. (b) Effects
of a time-resolved rmANOVA, modelling the CDA (i.e., lateralized ERP in our ROI) as a function
of memory load, eccentricity, and their interaction. It is important to stress the exploratory nature
of this supplementary analysis. Therefore, we refrained from performing significance tests.

67 Table S1

	predictor	b	t	df	95% CI
CDA time window: 388 – 1088ms	Intercept (2 items; 9 dva)	-0.26	-1.87	34.81	[-0.55; 0.02]
	Memory load (4 vs 2 items)	-0.50	-4.73***	13358.30	[-0.71; -0.29]
	Eccentricity (4 vs 9 dva)	-0.05	-0.45	13358.48	[-0.26; 0.16]
	Eccentricity (14 vs 9 dva)	-0.19	-1.77	13358.38	[-0.39; 0.02]
	Memory load x Eccentricity (4 vs 2 items) x (4 vs 9 dva)	-0.08	-0.54	13358.48	[-0.38; 0.22]
	Memory load x Eccentricity (4 vs 2 items) x (14 vs 9 dva)	0.35	2.37*	13358.37	[0.06; 0.65]
CDA time window: 400 – 1450ms	Intercept (2 items; 9 dva)	-0.17	-1.28	38.38	[-0.44; 0.10]
	Memory load (4 vs 2 items)	-0.44	-4.08***	13358.33	[-0.66; -0.23]
	Eccentricity (4 vs 9 dva)	-0.04	-0.36	13358.55	[-0.25; 0.17]
	Eccentricity (14 vs 9 dva)	-0.19	-1.72	13358.42	[-0.40; 0.03]
	Memory load x Eccentricity (4 vs 2 items) x (4 vs 9 dva)	-0.04	-0.26	13358.55	[-0.34; 0.26]
	Memory load x Eccentricity (4 vs 2 items) x (14 vs 9 dva)	0.32	2.12*	13358.42	[0.02; 0.62]

Effects in the mixed-model analysis of the mean CDA amplitude for two different time windows



74 Figure S2: Time courses of the decoding performance and the associated spatial patterns for the 75 single participants. Participants are sorted, separately for each subfigure, decreasingly by the 76 maximum average decoding performance in the time window in which the overall decoding 77 performance (across participants) was significantly above chance level. (a) Decoding from the 78 broadband EEG data (ERP). (b) Decoding from time-frequency data (here: alpha frequencies 8-14 79 Hz). As we analyzed induced power, which reflects the non-phase locked signal from an oscillating 80 dipole (i.e., with arbitrary polarity at a given point in time), we show the absolute pattern weights 81 to avoid cancellation across participants and repetitions.





Figure S3: Lateralized time-frequency data (difference between contra- and ipsilateral channels) for
each participant. The dotted lines mark the lower and upper limit for the frequency range that we
included in our analyses regarding alpha lateralization.



Figure S4: Averaged absolute pattern weights (gained by multiplying the covariance of the EEG data
with the filter weights; Haufe et al., 2014) of the most discriminative CSP component (normalized
per time-bin and participant before averaging) for the alpha range. As we analyzed induced power,
which reflects the non-phase locked signal from an oscillating dipole (i.e., with arbitrary polarity at
a given point in time), we show the absolute pattern weights to avoid cancellation across participants
and repetitions.



Figure S5: Power spectral density (PSD) per eccentricity condition during the retention interval
(shaded area: standard error). Data stems from the bilateral channel-pairs which formed the ROI
for the calculation of the CDA and lateralized alpha power. We used Welch's method to calculate
the PSD with a window size of 512 samples. An alpha peak is recognizable for each of the
eccentricity conditions with no evident difference between the conditions.



99 Figure S6: Early contralateral positivity (zoomed-in version of Figure 2a-b). This early potential 100 might have been caused by the offset of the asymmetric, arrow-shaped cue which indicated the 101 relevant hemifield (at time 0, i.e., at the onset of the memory arrays) or indicate enhanced processing 102 of the stimuli in the cued hemifield (Livingstone et al., 2017). Since in our paradigm, the offset of 103 the cue and the onset of the memory arrays coincided, it is not possible to clearly determine the 104 underlying cause of this lateralized potential. However, since it was not modulated by memory load 105 (in contrast to the CDA) or eccentricity of the memory stimuli (in contrast to the immediately 106 following PNP component), it is unlikely that its occurrence influenced the effects of primary 107 interest.



108 Figure S7: Event-related potential (ERP) for the hemispheres contra- and ipsilateral to the cued 109 array (shaded areas: ±1 SEM corrected for the within-participant measurements). We computed the 110 average signals from channels that were within the same region-of-interest as those used in the 111 analysis of the CDA (P3/4, P5/6, PO3/4, PO7/8, O1/2). (a) The event-related potential (ERP) time-112 locked to memory array onset and normalized by subtracting, on a single-trial basis, the mean 113 amplitude during a 200 ms baseline period prior to this onset. The analyses regarding the CDA as 114 well as the ERP-based decoding analyses were conducted on data in this format. (b) ERP time-115 locked to the onset of the cue (800 ms before onset of the memory stimulus), with the baseline 116 window being shifted accordingly (i.e., 200 ms before the onset of the cue). The CDA effect is 117 discernible in both plots. Additionally, the asymmetric cue induced a lateralized response with a 118 strong positivity during early components (P1, N1), but also another more long-lasting positivity 119 during later stages of the period between cue and memory array onset (i.e., around 500-800 ms after 120 cue onset). This period covers the baseline-window chosen for the epochs time-locked to the onset 121 of the memory array (which formed the basis for our ERP analyses). Therefore, by subtracting the 122 mean activity in this time-window, we may have introduced an artificial lateralization during the 123 rest of the ERP. However, this bias would yield a polarity opposite to that of the CDA. Therefore, 124 such a correction would at most complicate the observation of a CDA, which in turn emphasizes

125 our result that we were able to detect a CDA for all eccentricities. Furthermore, this effect on the 126 baseline-window was (as it occurred before the onset of the relevant stimuli) independent of the 127 experimental manipulations (memory load, eccentricity) and hence impacted all conditions in the 128 same way (i.e., like adding a lateralized constant). Thus, we can rule out concerns of biases in the 129 analysis pipeline as a source underlying our results regarding the CDA or decoding analyses. Finally, 130 we believe that the observed lateralization in the later parts of the cue interval is a concomitant of 131 the spatial shift of attention in response to the cue (Keefe & Störmer, 2021) and is therefore likely 132 to occur in any paradigm which implements spatial cuing preceding the relevant stimulus. 133 Presumably, this effect was also present in various previous studies which used comparable 134 experimental designs/timings. We do not conclude that this is problematic; rather it increases the 135 comparability and compatibility of our findings with previous reports.



Figure S8: Average proportion of rejected trials per eccentricity for the ERP analysis. We rejected 136 137 trials which contained saccades with an amplitude of at least 2 dva or were classified as noisy by the 138 cross-validation based trial classification algorithm autoreject (Jas et al., 2017). A rmANOVA 139 identified a significant main effect of eccentricity (F(2,40) = 8.49, p = .001) while neither memory 140 load (F(1,20) = 0.61, p = .443) nor its interaction with eccentricity (F(2,40) = 0.61, p = .550) 141 significantly influenced the proportion of rejected trials. Post-hoc *t*-tests corroborated that in the 142 largest eccentricity condition significantly less trials were rejected than for 4 dva ($\Delta_{4-14} = 3.31\%$, 95% 143 CI [1.33, 5.30], t(20) = 3.48, p = .002) as well as for 9 dva eccentricity ($\Delta_{9-14} = 1.79\%$, 95% CI [0.46, 144 3.11], t(20) = 2.82, p = .011). There was no difference between the two smaller eccentricities ($\Delta_{4-9} =$ 145 1.53%, 95% CI [-0.13, 3.19], *t*(20) = 1.92, *p* = .070). Overall, the differences in the number of rejected 146 trials per condition were rather small. The inset plot shows that the decrease of rejected trials for 147 the largest eccentricity was the consequence of a smaller number of trials that contained saccades 148 in the 14 dva eccentricity condition. We suspect that in conditions with smaller eccentricities, there 149 were more saccadic eye movements, as it is more difficult to suppress reflexive eye movements to 150 task-relevant stimuli that are located close to the current fixation point. This resulted in a larger 151 number of involuntary eye movements in these conditions. Regarding the condition with the 152 highest eccentricity, we excluded the smallest number of trials. Therefore, the absence of a

- 153 significant memory load effect in this condition cannot be attributed to reduced statistical power
- 154 resulting from a smaller number of trials compared to the other eccentricities.



155 Figure S9: Results of the cross-decoding analysis for the broadband ERP data. To test whether the 156 spatial features of the decoding of memory load are substantially different, we trained different 157 classifiers separately on (80% of) the data from the three eccentricity conditions (see three separate 158 panels). We then tested each of these classifiers either on the remaining data of the same eccentricity 159 condition or on 20% of the data from each of the other conditions. The specific parameters of the 160 classifiers were the same as used in the main decoding analysis on the ERP data (incl. the 100x 161 repeated 5fold cross-validation). The figure shows the time-resolved decoding performance 162 averaged across folds, repetitions, and participants and follows the same conventions as Figure 1f. 163 Classification performance was above chance for each classifier in each condition. However, for 164 none of the classifiers (trained either on data from the 4, 9, or 14 dva condition), we observed 165 significant differences between the performances on the different test sets (as tested by a sliding, 166 cluster-corrected repeated-measures ANOVA).



Figure S10: Behavioral results of the memory task and the perceptual task using d' (i.e., sensitivity)
as a measure of memory performance. Error bars indicate ±1 SEM, taking into account the repeated
measures design (Baguley, 2012; Morey, 2008).

171 Using d' as a bias-free indicator of memory performance (i.e., sensitivity), we found the same pattern 172 of results as in the analyses using the proportion of correct responses (i.e., accuracy; see Figure 1b-173 c). In the Memory Task, d' was strongly modulated by memory load and varied only little as a 174 function of eccentricity. Participants showed a significantly higher d' in trials with low (M = 1.97, 175 SD = 0.26, 95% CI [1.89, 2.04]), as compared to high memory load (M = 1.18, SD = 0.35, 95% CI 176 [1.11, 1.26]; F(1,20) = 114.14, p < .001). The influence of eccentricity was comparatively small but 177 significant (F(2,40) = 3.88, p = .029). Participants' d' was lowest for the eccentricity of 14 dva (M =178 1.47, SD = 0.34, 95% CI [1.40, 1.54]) and significantly lower than for stimuli presented at 9 dva (M 179 = 1.63, SD = 0.28, 95% CI [1.57, 1.69]) or 4 dva (M = 1.62, SD = 0.31, 95% CI [1.56, 1.69]), as 180 corroborated by post-hoc *t*-tests (Δ sensitivity₉₋₁₄ = 0.16, 95% CI [0.02, 0.29], *t*(20) = 2.46, *p* = .023; 181 Δ sensitivity₄₋₁₄ = 0.15, 95% CI [0.01, 0.29], *t*(20) = 2.25, *p* = .036). There was no significant difference between the two smaller eccentricities (Δ sensitivity₄₋₉ = -0.01, 95% CI [-0.13, 0.12], *t*(20) = -0.14, *p* 182

183 = .893). The interaction between memory load and eccentricity did not affect d' (F(2,40) = 1.00, p =184 .377).





196

197 Figure S11: Effect of memory load on global (i.e., non-lateralized) alpha power. Some previous 198 studies have found that non-lateralized alpha power is modulated by memory load (Pavlov & 199 Kotchoubey, 2022). In an exploratory analysis, we checked whether this effect is also present in our 200 data. (a) shows a time-frequency decomposition averaged across all EEG channels (normalized by 201 subtracting the mean power during a baseline window 300–100 ms before cue onset). During the 202 retention interval we observed an increase in power in the alpha frequency range which was most 203 prominent in parieto-occipital sensors along the midline (c). For electrode POz (chosen by visual 204 inspection of these topographies), we found that this effect was stronger for the high than for the 205 low memory load condition (b). This was corroborated by a cluster-corrected *t*-tests comparing the 206 alpha power values (high vs low memory load) in channel POz during the retention interval, which 207 yielded one significant cluster (1,168–2,108 ms after onset of the memory array; black line). To test 208 whether this effect was further modulated by stimulus eccentricity, we modeled the average signal 209 within the significant cluster with a rmANOVA (factors: memory load, eccentricity). We did not 210 find a significant main effect of eccentricity (F(2,40) = 2.32, p = .112) nor for its interaction with 211 memory load (F(2,40) = 2.70, p = .080). The significant main effect of memory load (F(1,20) = 4.51,

- p = .046) just confirmed the result of the cluster-based permutation test which guided the selection
- of the time-window.

214 References:

- Bates, D., Mächler, M., Bolker, B., & Walker, S. (2015). Fitting Linear Mixed-Effects Models Using lme4.
 Journal of Statistical Software, *67*, 1–48. https://doi.org/10.18637/jss.v067.i01
- Hakim, N., Adam, K. C. S., Gunseli, E., Awh, E., & Vogel, E. K. (2019). Dissecting the Neural Focus of
 Attention Reveals Distinct Processes for Spatial Attention and Object-Based Storage in Visual
 Working Memory. *Psychological Science*, *30*(4), 526–540.
- 220 https://doi.org/10.1177/0956797619830384
- Haufe, S., Meinecke, F., Görgen, K., Dähne, S., Haynes, J.-D., Blankertz, B., & Bießmann, F. (2014). On the
 interpretation of weight vectors of linear models in multivariate neuroimaging. *NeuroImage*, *87*,
 96–110. https://doi.org/10.1016/j.neuroimage.2013.10.067
- Jas, M., Engemann, D. A., Bekhti, Y., Raimondo, F., & Gramfort, A. (2017). Autoreject: Automated artifact
 rejection for MEG and EEG data. *NeuroImage*, *159*, 417–429.
 https://doi.org/10.1016/j.neuroimage.2017.06.030
- Keefe, J. M., & Störmer, V. S. (2021). Lateralized alpha activity and slow potential shifts over visual cortex
 track the time course of both endogenous and exogenous orienting of attention. *NeuroImage*, 225,
 117495. https://doi.org/10.1016/j.neuroimage.2020.117495
- Livingstone, A., Christie, G., Wright, R., & McDonald, J. (2017). Signal Enhancement, Not Active
 Suppression, Follows the Contingent Capture of Visual Attention. *Journal of Experimental Psychology: Human Perception and Performance*, 43, 219–224. https://doi.org/10.1037/xhp0000339
- Pavlov, Y. G., & Kotchoubey, B. (2022). Oscillatory brain activity and maintenance of verbal and visual
 working memory: A systematic review. *Psychophysiology*, *59*(5), e13735.
 https://doi.org/10.1111/psyp.13735
- 236