1	Directional Sensitivity of Cortical Neurons
2	Towards TMS Induced Electric Fields
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## 26 Abstract

27 We derived computationally efficient average response models of different types of cortical neurons, 28 which are subject to external electric fields from Transcranial Magnetic Stimulation. We used 24 29 reconstructions of pyramidal cells (PC) from layer 2/3, 245 small, nested, and large basket cells from 30 layer 4, and 30 PC from layer 5 with different morphologies for deriving average models. With these 31 models, it is possible to efficiently estimate the stimulation thresholds depending on the underlying electric field distribution in the brain, without having to implement and compute complex neuron 32 33 compartment models. The stimulation thresholds were determined by exposing the neurons to TMS-34 induced electric fields with different angles, intensities, pulse waveforms, and field decays along the 35 somato-dendritic axis. The derived average response models were verified by reference simulations 36 using a high-resolution realistic head model containing several million neurons. Differences of only 1-37 2% between the average model and the average response of the reference cells were observed, while 38 the computation time was only a fraction of a second compared to several weeks using the cells. 39 Finally, we compared the model behavior to TMS experiments and observed high correspondence to 40 the orientation sensitivity of motor evoked potentials. The derived models were compared to the 41 classical cortical column cosine model and to simplified ball-and-stick neurons. It was shown that both 42 models oversimplify the complex interplay between the electric field and the neurons and do not 43 adequately represent the directional sensitivity of the different cell types.

The derived models are simple to apply and only require the TMS induced electric field in the brain as input variable. The models and code are available to the general public in open-source repositories for integration into TMS studies to estimate the expected stimulation thresholds for an improved dosing and treatment planning in the future.

# 48 1 Introduction

49 The extension of current models in the area of transcranial brain stimulation beyond the estimation of 50 the electric fields is elementary to improve our understanding of the underlying stimulation processes. 51 The key question is how the electric field modulates the behavior of neuronal structures. Earlier 52 experimental studies showed that the depolarization threshold of isolated straight axons is inversely 53 proportional to the cosine of the angle between the external current and the nerve fiber (Rushton, 54 1927). This led to the well known cortical column cosine hypothesis (Fox et al., 2004), assuming that 55 excitable neuronal elements, in particular axons, have a preferential orientation perpendicular to the 56 cortical surface. At first glance, this model seems to be supported by the findings of Rudin and 57 Eisenman (1954) and Ranck (1975), who consistently found that orthodromic currents are more 58 effective than antidromic currents and especially transverse currents. Note, however, that the complex 59 morphology of the neurons does not allow the generalization of observations made in single isolated 60 axons to neuronal populations, because the orientations of the axon segments relative to the external electric field vary and have to be considered statistically. Accounting for these effects requires a model 61 62 description across multiple scales. This involves first determining the electric field in the brain by 63 solving Maxwell's equations and then coupling it with detailed mesoscopic neuron models. Aberra et 64 al. (2022) introduced a novel approach to simulate the effects of TMS in head models with 65 morphologically realistic cortical neurons. These authors developed a multi-scale computational model 66 that is capable of quantifying effects of different TMS parameters on the direct response of individual 67 cortical neurons. They created digital representations of neurons that match the geometry and 68 biophysical properties of mature human neocortical cells based on neuronal models of rodent cells 69 from the Blue Brain Project (Markram et al. 2015). These models included a spatial representation of 70 the neuronal compartments as well as experimentally validated electrophysiological parameters 71 (Aberra et al., 2018). They were placed inside the gray matter of a realistic head model and the 72 stimulation thresholds for the generation of action potentials were determined by coupling them with 73 the TMS induced electric fields. The results provide important mechanistic insights into TMS. However,

a major limitation of this modeling approach is its high computational cost, which prevents most routine applications of the method in TMS studies. Moreover, a further challenge is that for estimating the overall threshold of a cortical group of neurons, the results of a large number of single simulated responses need to be determined and averaged. This calls for the development of simpler models of neural populations that still accurately account for the modulation of neuronal states through TMSinduced electric fields.

80 We developed a parsimonious model, which reproduces the effect of the electric field on cortical 81 neurons with high accuracy for different pulse waveforms and geometric electric field parameters. We 82 adapted and extended the approach of Aberra et al. (2020) to derive an average threshold model of 83 layer 2/3 pyramidal cells (L2/3 PC), small, nested, and large basket cells in layer 4 (L4 SBC, L4 NBC, L4 84 LBC), and layer 5 pyramidal cells (L5 PC). We adapted the pipeline of Aberra et al (2020) in Python and 85 implemented additional improvements and extensions, such as support for SimNIBS 4 and the CHARM head modeling pipeline (Puonti et al. 2020). The code and associated example scripts are published in 86 87 the open-source Python package TMS-Neuro-Sim (https://github.com/TorgeW/TMS-Neuro-Sim). 88 Additionally, we determined estimators for the neuronal recruitment rate, which quantifies the 89 relative number of neurons stimulated by TMS at a given stimulation intensity and field orientation.

90 To further investigate the derived models, we performed a sensitivity analysis and identified the most 91 influential parameters of the models by determining so-called Sobol indices using a generalized 92 polynomial chaos expansion (Weise et al., 2020b). Moreover, the model was verified by comparing it 93 to results of computationally expensive reference simulations, using a high resolution realistic head 94 model with a large number of realistically shaped neurons located within the motor cortex. Finally, we 95 validated the model by comparing it with TMS experiments by Souza et al. (2022), who intensively 96 investigated the directional sensitivity of motor evoked potentials using a novel multi-coil TMS 97 transducer.

We also compared the results with those of the cortical column cosine (Fox et al., 2004) as well as a simplified ball-and-stick model (Bédard and Destexhe, 2008) adapted for TMS. It turned out that the stimulation properties differ significantly from detailed neurons and that a simplified modeling strategy is not appropriate in this context.

All data and code underlying the results presented in this paper, together with additional details including the average threshold models, the recruitment rate operators, and the neuron compartment models, are publically available in a repository (Weise et al., 2023b), where we provide look-up tables, interpolators, and polynomial approximations for further use.

## 106 2 Methods

#### 107 2.1 Neuron models

108 To derive the average neuron response models, we extended the set of neural compartment models 109 by Aberra et al. (2020) from originally five neurons to 24 L2/3 PC, 70 L4 SBC, 70 L4 NBC, 105 L4 LBC, 110 and 30 L5 thick-tufted pyramidal cells (TTPC's), taken from the Blue Brain Project (Ramaswamy et al., 111 2015). The cells originate from the somatosensory cortices of P14 male Wistar (Han) rats (Markram et 112 al., 2015). They were stained with biocytin, visually recorded with a bright-field light microscope, and 113 processed by the software Neurolucida (Williston, VT, USA). Shrinkage due to staining in the z-axis was 114 corrected during the reconstruction. In an unraveling step, shrinkage in the xy-axis was corrected for 115 with a method based on the centered moving window algorithm by smoothing and extending the reach 116 of the branches while maintaining their overall length (Anwar et al., 2009). For branch repair, the 117 cutting planes were first determined and the cut branches were then statistically regrown based on 118 the intact branches. Because some resulting cell morphologies contain impoverished axonal/dendrite 119 branching, a mix-and-match procedure was used to create cells with valid dendrite and axonal 120 reconstructions. As a last step to increase morphological diversity, a cloning procedure was applied.

121 The procedure assigns distributions to branch length and rotation while preserving the overall 122 branching structure.

123 Because the cells provided were from rats, further modifications were necessary to obtain human-like 124 neurons. We followed the procedure and parameters given in Aberra et al. (2018) to extend the set of 125 neurons. First, the basal dendritic diameter, basal dendritic length, apical dendritic diameter, somatic 126 diameter, and axonal diameter were scaled to create adult human-like neuron morphologies. Second, 127 the axons were myelinated by registering nodes of Ranvier with a width of 1  $\mu$ m, creating myelinated 128 sections with a length (L) to diameter (D) ratio of L/D=100 and myelinated axon terminals with L/D=70 129 (Hursh 1939, Hess and Young 1949, Waxman and Kocsis 1995). And third, the ion channel properties 130 were adapted according to the myelination (see Table 1 in Aberra et al., 2018). Fig. 1 provides an 131 overview of the cells used in the study. The average numbers of nodes per cell are 3,541 for L2/3 PC, 132 14,779 for L4 SBC, 13,091 for L4 NBC, 9,147 for L4 LBC, and 12,514 for L5 PC. For the compartment 133 models, the neurons were discretized with a maximum compartment length of 20 µm. This resulted in 134 an average number of compartments of 766 for L2/3 PC, 1,447 for L4 SBC, 1,762 for L4 NBC, 1,876 for 135 L4 LBC, and 2,008 for L5 PC.



Figure 1: Example morphologies of L2/3 PC, L4 S/N/LBC, and L5 PC: The numbers below the cells indicate the corresponding IDs in the repository Weise et al. (2023b). L4 BC are categorized in small basket cells (SBC), nested basket cells (NBC), and large basket cells (LBC). In total, the study includes 24 L2/3 PC, 70 L4 SBC, 70 L4 NBC, 105 L4 LBC, and 30 L5 thick-tufted pyramidal cells (TTPC's), taken from the Blue Brain Project (Ramaswamy et al., 2015).

### 143 2.2 Coupling of electric fields into neuron models

- 144 The electric field E(z, t) caused by TMS generates an additional extracellular pseudo-potential  $\varphi_e(z, t)$ .
- 145 It is coupled into the neurons' cable equations by integrating the electric field component along the
- 146 local longitudinal direction dz of each neuronal compartment, ranging from, for example, the initial
- 147 point of a compartment  $z_0$  to the end of that compartment z:

148 
$$arphi_e(z,t) = -\int_{z_0}^z \mathbf{E}(z,t) \cdot \mathbf{dz} + arphi_e(z_0,t)$$
 (1)

For the realistic head model simulations, the electric field is interpolated to the neurons' segments
using the superconvergent patch recovery approach (Zienkiewicz and Zhu, 1992).

#### 151 2.3 Neuronal simulations

152 The stimulation behavior of the neurons is analyzed by calculating the transmembrane potential in 153 each compartment using NEURON (Carnevale and Hines, 2006) following a similar approach as Aberra 154 et al. (2020). The spatio-temporal dynamics of the transmembrane potential were modeled according 155 to the Hodgkin-Huxley formalism. Detailed information about the ion channel parameters and 156 membrane time constants can be found in the repository by Weise et al. (2023b) and ModelDB 157 (https://senselab.med.yale.edu/modeldb/ShowModel.cshtml?model=241165) by Aberra et al. (2018). 158 The NEURON simulation was set up with a temperature of 37° C and an initial voltage of -70 mV for 159 each compartment. The simulations were carried out over the course of 1 ms with time steps of 5 µs. 160 The extracellular quasipotentials were scaled by the waveform and the amplitude of the TMS pulse. 161 The used monophasic and biphasic waveforms were taken from a MagPro X100 stimulator 162 (MagVenture A/S, Denmark) with a MagVenture MCF-B70 figure-of-eight coil (P/N 9016E0564) and 163 were recorded using a search coil with a sampling rate of 5 MHz. The recordings were down-sampled 164 to the simulation time steps and normalized to be applicable for scaling the extracellular potential. The 165 cell thresholds are determined as the minimum electric field intensity needed to elicit action potentials 166 in at least three compartments, using a binary search approach with a precision of 0.05 V/m. The 167 simulation environment is implemented and published in the open-source Python package TMS-168 *Neuro-Sim* (https://github.com/TorgeW/TMS-Neuro-Sim) making use of the Python API of NEURON. 169 The example dataset (Weise et al., 2023b) contains the neuron models together with example scripts 170 detailing the use of the implemented functions.

#### 171 2.4 Average response model of cortical neurons

172 We exposed the model neurons to electric fields from different directions and strengths to examine 173 their stimulation behavior in detail. We parameterized the electric field direction using spherical 174 coordinates (Fig. 2a). The polar angle  $\vartheta$  quantifies the angle between the electric field and the somato-175 dendritic axis (z-axis) and ranges from 0° to 180°. The azimuthal angle  $\varphi$  quantifies the electric field 176 direction in the horizontal plane perpendicular to the somato-dendritic axis and ranges between 0° 177 and 360°. The coordinate system is defined such that the soma is lying close to the center and the axon 178 extends into negative z-direction. Because of the comparatively large extension of the PC from the 179 uppermost dendrites to the lowermost part of the axon, the decay of the electric field along the z-axis 180 is not negligible. In simulations of a realistic head model, we found that the electric field can differ up 181 to ±20% per mm over the somato-dendritic axis. A more detailed analysis of the underlying parameter 182 distributions is given later in Section "Sensitivity analysis". For this reason, we have added an additional 183 parameter to the model, namely the relative change of the electric field magnitude per unit length 184  $\Delta |\tilde{\mathbf{E}}|$  measured in %/mm.

185 The electric field at each location (x, y, z) at firing threshold is then given by:

$$\mathbf{E}\left(z,\varphi,\theta,\Delta\big|\mathbf{\tilde{E}}\big|\right) = E_{thres}\begin{pmatrix}\sin\theta\cos\varphi\\\sin\theta\sin\varphi\\\cos\theta\end{pmatrix} \max\left(\frac{\Delta\big|\mathbf{\tilde{E}}\big|}{100}(z-z_{soma})+1\right)$$
(2)

186

187 where  $z_{soma}$  is the position of the soma on the *z*-axis (in mm). The soma will have an electric field 188 magnitude of  $E_{thres}$ , which is to be found using the aforementioned binary search approach, while the 189 magnitude for every other section of the cell is linearly interpolated based on their *z*-coordinate. An 190 example of an external electric field distribution with  $\varphi = 0^\circ$ ,  $\vartheta = 135^\circ$  and  $\Delta |\tilde{\mathbf{E}}| = -30\%$ /mm is shown in 191 Fig. 2b.

For the derivation of an average response model, all L2/3 and L5 neurons were exposed to an external electric field with a polar angle  $\vartheta$  (range: [0, 180]°, steps: 3°), an azimuthal angle  $\varphi$  (range: [0, 360]°, steps: 6°), and a relative change of the electric field along the somato-dendritic axis  $\Delta |\tilde{\mathbf{E}}|$  (range: [-100, 100] %/mm, steps: 10 %/mm) for both monophasic and biphasic pulse waveforms. After determining the electric field thresholds for each cell for all possible electrical field configurations, an average threshold model was derived by averaging the thresholds over all compartment models and over all azimuthal orientations  $\varphi$ , based on the assumption that the spatial locations and tangential orientations of the neurons in the cortex are random.

From the activation thresholds of the individual neurons, we determined the recruitment rate of the neurons in dependence of  $\vartheta$  and  $\Delta |\tilde{\mathbf{E}}|$ . The recruitment rate estimates the relative number of neurons which were stimulated by TMS at a given stimulation intensity, with zero corresponding to no stimulation and one corresponding to stimulation of all neurons. To this end, we integrated the electric field thresholds along the electric field axis and smoothed the discrete behavior by fitting continuous sigmoid functions of the following type in the least squares sense:

206 
$$f(E) = \left(1 + e^{-r\left(\theta, \Delta \left|\tilde{\mathbf{E}}\right|\right)\left(E - E_0\left(\theta, \Delta \left|\tilde{\mathbf{E}}\right|\right)\right)}\right)^{-1}$$
(3)

where *E* denotes the electric field,  $r(\theta, \Delta | \tilde{\mathbf{E}} |)$  the slope, and  $E_0(\theta, \Delta | \tilde{\mathbf{E}} |)$  the shift of the sigmoidal functions, which depend on both the polar angle  $\vartheta$  and the relative change in electric field  $\Delta | \tilde{\mathbf{E}} |$ .

209 A threshold model was also created for a simplified ball-and-stick neuron model. Typically, ball-and-210 stick models are used for stimulation by weak electric fields in the context of transcranial electric 211 stimulation (e.g., Aspart et al., 2016) and consist of one segment for the dendrites and one segment 212 for the soma. Because the stimulation thresholds for TMS-induced electric fields of the dendrites are 213 more than 10 times higher than those of the axons, the classical ball-and-stick model had to be 214 modified for TMS. For this purpose, we integrated a straight axon instead of dendrites into the model 215 and determined the stimulation thresholds as a function of the polar angle  $\theta$  using the approach 216 described by Aberra at al. (2020). For a similar approach, see also the supplementary material of that 217 article). The parameters (axon length and diameter) were adapted such that the thresholds matched those of our complex model. 218



Figure 2: Neurons in an external electric field: (a) Parametrization of the TMS induced electric field relative to cortical neurons; (b) Example of an L2/3 PC, which is exposed to an external electric field with direction  $\varphi = 0^\circ$ ,  $\vartheta = 135^\circ$  and a field decay of  $\Delta |\tilde{\mathbf{E}}| = -30\%$ /mm. Note that the electric field is stronger in the upper part of the cell and decreases with depth, as is generally observed in the cortex.

224 2.5 Sensitivity analysis

225 A sensitivity analysis of the derived threshold maps was conducted in terms of variations of the electric 226 field parameters  $\theta$  and  $\Delta |\tilde{\mathbf{E}}|$ . We derived a generalized polynomial chaos expansion of the threshold 227 maps using the Python package pygpc (Weise et al., 2020b) and determined the first- and second-order 228 Sobol indices that quantify the fraction of the total variance of the threshold that stems from the 229 variance of  $\theta$ , from the variance of  $\Delta |\mathbf{\tilde{E}}|$ , and from a combination of both. The input distributions of 230 both parameters were estimated from the electric field simulation of the high-resolution realistic head 231 model. We extracted the polar angles  $\theta$  and the changes in electric field magnitude  $\Delta |\mathbf{\tilde{E}}|$  in every 232 surface element of layer 5 in the ROI and fitted uniform and beta distributions to the histograms (Fig. 233 3). We repeated the analysis for layer 2/3 and layer 4, and did not find any major differences in the 234 parameter distributions, due to the close proximity of the layers. For the uncertainty analysis we 235 assumed that both parameters are uncorrelated.



Figure 3: Distribution of electric field parameters on layer 5 in a realistic head model: Histograms and fitted uniform and beta distributions of (a) the polar angle  $\vartheta$  (uniform parameters:  $\vartheta_{min}=0^{\circ}$ ,  $\vartheta_{max}=180^{\circ}$ ; beta parameters:  $\vartheta_{min}=0^{\circ}$ ,  $\vartheta_{max}=180^{\circ}$ ; p=1.51, q=1.56) and (b) the relative change of the electric field magnitude  $\Delta |\tilde{\mathbf{E}}|$  (uniform parameters:  $\Delta |\tilde{\mathbf{E}}|_{min}=-15^{\circ}$ ,  $\Delta |\tilde{\mathbf{E}}|_{max}=15^{\circ}$ ; beta parameters:  $\Delta |\tilde{\mathbf{E}}|_{min}=-30^{\circ}$ ,  $\Delta |\tilde{\mathbf{E}}|_{max}=30^{\circ}$ ; p=13.86, q=13.78).

#### 242 2.6 Model verification

In order to verify the average response model, we conducted reference simulations using a high 243 244 resolution realistic head model, where we explicitly placed the neurons in the ROI and coupled the 245 TMS-induced electric field into them. The head model was created using T1-, T2-, and diffusion weighted MRI. The images were acquired on a 3T MRI scanner (Siemens Skyra) with a 32 channel head 246 247 coil using the same acquisition parameters as described in Weise et al. (2023a). T1 and T2 images were 248 used for tissue type segmentation. Conductivity tensors in gray and white matter were reconstructed 249 from diffusion weighted images using the volume normalized mapping approach (dwi2cond, 250 https://simnibs.github.io/simnibs/build/html/documentation/command\_line/dwi2cond.html,

Güllmar et al., 2010). The head model was generated using the headreco pipeline (Nielsen et al., 2018) utilizing SPM12 (https://www.fil.ion.ucl.ac.uk/spm/software/spm12/, Penny et al., 2011) and CAT12 (http://www.neuro.uni-jena.de/cat/, Gaser et al., 2021). A region of interest (ROI) was defined around the handknob area (FreeSurfer, (http://surfer.nmr.mgh.harvard.edu/, Fischl et al., 1998; Dale et al., 1999) based on the fsaverage template. This covered parts of somatosensory cortex (BA1, BA3), 256 primary motor cortex M1 (BA4), and dorsal premotor cortex PMd (BA6). The head model was refined 257 in the ROI to provide accurate electric field values for the neuron models (Fig. 4). The final head model 258 is composed of ~5.10<sup>6</sup> nodes and ~29.10<sup>6</sup> tetrahedra. The tetrahedra in the ROI have an average edge 259 length of 0.45 mm and an average volume of 0.01 mm<sup>3</sup>. The model consists of six tissue types with the 260 following electrical conductivities: white matter (0.126 S/m), grey matter (0.275 S/m), cerebrospinal 261 fluid (1.654 S/m), bone (0.01 S/m), skin (0.465 S/m), and eyeballs (0.5 S/m) (Thielscher et al., 2015; 262 Wagner et al., 2004). The entire process from MRI acquisition to the final head model can be 263 reproduced in detail using the protocol of Weise et al. (2023a) (steps 1-20) and details of the FEM are 264 given in Saturnino et al. (2019).

265 In order to place the neurons at the right locations in the cortex, we added cortical layers to the head 266 model. The normalized depths of the six cortical layers range between 0 (gray matter surface, i.e. pia 267 mater) and 1 (white matter surface) and were estimated from primate motor cortex slices (García-268 Cabezas et al., 2014). The normalized depths of the layer centers are 0.06 for layer 1, 0.4 for layer 2/3, 269 0.55 for layer 4, 0.65 for layer 5, and 0.85 for layer 6 (Aberra et al., 2020). We linearly interpolated 270 between the white matter surface (1) and the gray matter surface (0) using the vertex positions of the 271 two surfaces. To extract the cortical layers as isosurfaces from the 3D interpolation, we used a 272 marching cubes algorithm (Fig. 3) (Lorensen et al., 1987). In every ROI surface element (size ~1 mm<sup>2</sup>), 273 we placed all cells and rotated them from 0° to 360° in steps of 6°. This resulted in a total number of 274 12,947,040 L2/3 PC, 130,080,300 L4 S/N/LBC, and 15,760,800 L5 PC in the ROI to simulate. The total 275 pure simulation time using 48 cluster nodes with 72 cores each (Intel Xeon Platinum 8360Y, 256 GB 276 RAM) was approximately 40 days for both monophasic and biphasic waveforms.

The electric field calculations were conducted using SimNIBS v3.2.6 (Thielscher et al, 2015; Saturnino et al., 2019) using a regular figure-of-eight coil (MCF-B65, Magventure, Farum, Denmark), which is placed over the M1 region with an orientation of 45° towards the *fissura longitudinalis*. The angle  $\vartheta$  of the electric field was calculated with respect to the surface normal of the cortical layers in the ROI. Likewise, the percentage change of the electric field magnitude between the WM and GM surfaces

- $\Delta |\mathbf{\tilde{E}}|$  was calculated by extracting the field at a normalized depth of 10 % of the distance between the current layer and the WM and GM surface, respectively, in order to avoid numerical inaccuracies close to the tissue boundaries. The simulation time of the electric field was relatively short compared to the
- 285 NEURON simulations and took a few seconds.



### 286

Figure 4: Realistic head model with cortical layers and neurons: The model was constructed with SimNIBS v3.2.6 (Thielscher et al. 2015) using *headreco* (Nielsen et al., 2018). In the M1 region, the cortical layers 1-6 are generated and the mesh is refined to ensure highly accurate electric field profiles, which are coupled into the compartment models of cortical neurons. The bottom right inset shows an example of the magnitude of the electric field as color code and its orientation as white streamlines. The black arrow indicates the coil orientation.

- 293 2.7 Experimental validation
- 294 We compared the derived recruitment models to experimental observations from Souza et al. (2022).
- 295 TMS experiments were conducted to investigate the orientation selectivity of neuronal excitability
- using a novel two-coil multi-channel TMS transducer for manipulating the electric field orientation.
- 297 The advantage of the used two-coil TMS transducer is the possibility to precisely manipulate the pulse
- orientation electronically with high accuracy (~1°), without physically moving the transducer. To

299 measure the effect of the electric field orientation on the motor evoked potential (MEP) amplitude, 300 five single TMS pulses were applied to the abductor pollicis brevis (APB) muscle hotspot at each of 120 301 different pulse orientations  $(0-360^\circ)$ ; steps of 3°) with a stimulation intensity of 110% of the resting 302 motor threshold (rMT). The MEPs from the APB muscle were recorded from 11 subjects (mean age: 30 303 years, range 24-41; four women) using surface electromyography electrodes with a belly-tendon 304 montage. TMS pulses had a trapezoidal monophasic waveform (timings: 60 µs of rising, 30 µs of hold, 305 43.2 µs of falling) and were delivered using a custom power electronics. The interstimulus intervals 306 were pseudo-randomized following a uniform distribution between 4 and 6 s. In two other subjects 307 (ages 31 and 36 years; two men; right-handed), the experiment was repeated with stimulation 308 intensities of 110%, 120%, 140%, and 160% rMT. The order of the orientations and intensities of the 309 pulses was pseudo-randomized. A detailed description of the experimental procedure and TMS 310 hardware is given in Souza et al. (2022).

# 311 3 Results

### 312 3.1 Stimulation behavior of L2/3 PCs

The results of the average response model of L2/3 PCs in case of a monophasic TMS pulse is shown in Fig. 5. In Fig. 5a, the electric field thresholds are shown as function of the polar angle  $\vartheta$  and the relative change in electric field magnitude  $\Delta | \mathbf{\tilde{E}} |$ . For parameter combinations of particular interest, we illustrated the stimulation location on a representative neuron. Lowest thresholds can be observed when the electric field is parallel to the somato-dendritic axis. This effect is enhanced for positive electric field changes, that is, when the electric field increases from the dendrites to the lower parts of the axons.

The behavior of the 24 individual L2/3 neurons is shown in Fig. 5b for homogeneous electric fields, i.e.,  $\Delta |\tilde{\mathbf{E}}| = 0 \%$ /mm (dashed line in Fig. 5a). It can be observed that the electric field thresholds are highest when the electric field is approximately normal to the somato-dendritic axis ( $\vartheta \approx 90^\circ$ ). Since in this case 323 the electric field can approach from all azimuthal directions  $\varphi$  over which the average was taken, there 324 are several potential stimulation sites. The thresholds are decreasing again when the electric field 325 rotates further until it is pointing antidromic, i.e. from the axons to the dendrites ( $\vartheta = 0^{\circ}$ ). In this case 326 the activation takes place at cortico-cortical axon branches pointing upwards. This effect is stable in 327 terms of electric field changes along the somato-dendritic axis. It is noted that due to the geometrical 328 relations of the two electric field angles  $\vartheta$  and  $\varphi_i$  the more parallel the electric field is to the somato-329 dendritic axis  $(\vartheta \rightarrow 0^\circ, \vartheta \rightarrow 180^\circ)$  the less the azimuthal direction  $\varphi$  plays a role on the stimulation 330 behavior of the neurons. The thresholds for tangential electric fields ( $\vartheta$ =90°) are about 17% higher 331 compared to normal electric fields ( $\vartheta$ =0° and  $\vartheta$ =180°). The recruitment rates are shown in Fig. 5c for 332 homogeneous electric fields, i.e.  $\Delta |\tilde{\mathbf{E}}| = 0 \%/\text{mm}$ .

We provide the data of the threshold map from Fig. 5a, the individual neuron behavior from Fig. 5b and beyond, as well as the data of the recruitment rate from Fig. 5c in the associated dataset (Weise et al. 2023b). In addition, we provide Python based SciPy interpolators (Virtanen et al., 2020), whose simple usage is also explained in attached scripts.

337 The results for biphasic excitation are shown in Fig S1 in the *Supplemental Material*.



339 Figure 5: Stimulation behavior of L2/3 PCs for monophasic excitation: (a) Threshold map in 340 dependence of the polar angle  $\vartheta$  and the relative change of the electric field over the somato-dendritic axis  $\Delta |\tilde{\mathbf{E}}|$ . The insets show the locations of excitation, the red circles indicate the activated terminals. 341 342 Blue arrows indicate the electric field direction and magnitude; (b) Thresholds of individual neurons 343 for  $\Delta |\tilde{\mathbf{E}}| = 0$  %/mm along the dashed line in (a). The blue area shows the 95th percentile of the confidence interval of the mean. The equivalent cortical column cosine model is  $y( heta) = \hat{y} |\cos{( heta)}|^{-1}$ 344 345 with  $\hat{y}$ =323.27 V/m (dashed line); ; the axon parameters of the equivalent ball-and-stick model are  $l = 660 \,\mu \text{m}$  and  $d = 15 \,\mu \text{m}$  (dotted line); (c) Recruitment rate for  $\Delta |\mathbf{\tilde{E}}| = 0$  %/mm derived from the 346 347 individual neuron activation in (b) by integrating over the electric field thresholds. The dashed line 348 indicates the electric field intensity where the recruitment rate is 0.5.

#### 349 3.2 Stimulation behavior of L4 BCs

### 350 3.2.1 Small Basket cells

351 The results of the average response model of L4 SBCs in case of a monophasic excitation is shown in

- 352 Fig. 6. A pronounced directional sensitivity can be observed also for this cell type. Again, lowest
- 353 thresholds can be observed when the electric field is parallel to the somato-dendritic axis ( $\vartheta$ =0° and
- $\vartheta$ =180°). The thresholds are about 17% higher when the external electric field is tangential to the cells
- $(\vartheta=90^\circ)$ . The thresholds are slightly affected if the electric field changes along the somato-dendritic
- axis ( $\Delta | \tilde{\mathbf{E}} | \leq 0 \%$ /mm). Compared to other cells, the average threshold is about 20% and 46% higher for
- 357 L4 SBCs than for L2/3 PCs and L5 PCs, respectively. The results for biphasic excitation are shown in Fig.
- 358 S2 in the *Supplemental Material*.



Figure 6: Stimulation behavior of L4 SBCs for monophasic excitation: (a) Threshold map in 360 361 dependence of the polar angle  $\vartheta$  and the relative change of the electric field over the somato-dendritic 362 axis  $\Delta |\mathbf{\tilde{E}}|$ . The insets show the locations of excitation, the red circles indicate the activated terminals. Blue arrows indicate the electric field direction and magnitude; (b) Thresholds of individual neurons 363 for  $\Delta |\tilde{\mathbf{E}}| = 0$  %/mm along the dashed line in (a). The blue area shows the 95th percentile of the 364 confidence interval of the mean. The equivalent cortical column cosine model is  $y( heta) = \hat{y} |\cos{( heta)}|^{-1}$ 365 with  $\hat{y}$ =178.43 V/m (dashed line); the axon parameters of the equivalent ball-and-stick model are 366  $l = 440 \,\mu \text{m}$  and  $d = 12 \,\mu \text{m}$  (dotted line); (c) Recruitment rate for  $\Delta |\mathbf{\tilde{E}}| = 0$  %/mm derived from the 367 individual neuron activation in (b) by integrating over the electric field thresholds. The dashed line 368 indicates the electric field intensity where the recruitment rate is 0.5. 369

#### 370 3.2.2 Nested Basket cells

371 The results of the average response model of L4 NBCs in case of a monophasic excitation is shown in 372 Fig. 7. Their axonal arborization is distinct from pyramidal cells because they form intricate networks 373 of branches that wrap around the soma of nearby pyramidal cells, forming a characteristic "basket" 374 structure. Their axonal structure is generally more isotropic compared to pyramidal cells or SBCs and 375 LBCs. Nevertheless, the thresholds for tangential electric fields are about 14% higher compared to 376 normal electric fields ( $\vartheta$ =0° and  $\vartheta$ =180°). On average, the thresholds of L4 NBCs are 2% and 23% higher 377 compared to L2/3 PCs and L5 PCs, respectively. The results for biphasic excitation are shown in Fig S3 378 in the Supplemental Material.



380 Figure 7: Stimulation behavior of L4 NBCs for monophasic excitation: (a) Threshold map in dependence of the polar angle  $\vartheta$  and the relative change of the electric field over the somato-dendritic 381 382 axis  $\Delta |\tilde{\mathbf{E}}|$ . The insets show the locations of excitation, the red circles indicate the activated terminals. 383 Blue arrows indicate the electric field direction and magnitude; (b) Thresholds of individual neurons for  $\Delta |\tilde{\mathbf{E}}| = 0$  %/mm along the dashed line in (a). The blue area shows the 95th percentile of the 384 confidence interval of the mean. The equivalent cortical column cosine model is  $y( heta) = \hat{y} |\cos{( heta)}|^{-1}$ 385 386 with  $\hat{y}$ =178.43 V/m (dashed line); the axon parameters of the equivalent ball-and-stick model are  $l = 560 \,\mu\text{m}$  and  $d = 11 \,\mu\text{m}$  (dotted line); (c) Recruitment rate for  $\Delta |\mathbf{\tilde{E}}|=0$  %/mm derived from the 387 388 individual neuron activation in (b) by integrating over the electric field thresholds. The dashed line 389 indicates the electric field intensity where the recruitment rate is 0.5.

#### 390 3.2.3 Large Basket cells

391 The threshold results of L4 LBCs for monophasic excitation are shown in Fig. 8. Compared to PCs, LBCs 392 exhibit a high degree of collateralization in their axonal tree. They can have multiple branches and 393 collaterals that extend in different directions within the same cortical layer or across layers. A distinct 394 directional sensitivity of the thresholds can be again observed together with an asymmetric 395 modulation when the electric field changes along the somato-dendritic axis. On average, the 396 thresholds of L4 LBCs are 9% lower than L2/3 PCs and 11% higher compared to L5 PC, respectively. Of 397 all the basket cells investigated, the LBCs have the lowest thresholds. The average thresholds of LBCs 398 are 24% and 10% lower compared to SBCs and NBCs, respectively. The results for biphasic excitation 399 are shown in Fig S4 in the Supplemental Material.



401 Figure 8: Stimulation behavior of L4 LBCs for monophasic excitation: (a) Threshold map in 402 dependence of the polar angle  $\vartheta$  and the relative change of the electric field over the somato-dendritic 403 axis  $\Delta | \tilde{\mathbf{E}} |$ . The insets show the locations of excitation, the red circles indicate the activated terminals. 404 Blue arrows indicate the electric field direction and magnitude; (b) Thresholds of individual neurons 405 for  $\Delta |\tilde{\mathbf{E}}| = 0$  %/mm along the dashed line in (a). The blue area shows the 95th percentile of the confidence interval of the mean. The equivalent cortical column cosine model is  $y( heta) = \hat{y} |\cos{( heta)}|^{-1}$ 406 407 with  $\hat{y}$ =178.43 V/m (dashed line); the axon parameters of the equivalent ball-and-stick model are 408  $l = 620 \,\mu \text{m}$  and  $d = 15 \,\mu \text{m}$  (dotted line); (c) Recruitment rate for  $\Delta |\mathbf{\tilde{E}}| = 0$  %/mm derived from the individual neuron activation in (b) by integrating over the electric field thresholds. The dashed line 409 410 indicates the electric field intensity where the recruitment rate is 0.5.

#### 411 3.3 Stimulation behavior of L5 PCs

412 The stimulation behavior for L5 PCs in case of monophasic excitation is shown in Fig. 9. Coto the 413 behavior of the other cell types investigated, the L5 PCs have the lowest average thresholds (Fig 9a). 414 The thresholds for tangential electric fields ( $\vartheta$ =90°) are about 15% higher compared to normal electric 415 fields ( $\vartheta$ =0° and  $\vartheta$ =180°). The results of the individual neurons in Fig. 9b show that the variance of the 416 thresholds increases with increasing  $\vartheta$ . At  $\vartheta$ =180°, a cluster of neurons can be identified that have very 417 low stimulation thresholds. These are paralleled by a few neurons that have very high stimulation 418 thresholds compared to this group. This affects the recruitment rate in Fig. 9c, whose 50% level 419 (dashed line) is lower at  $\vartheta$ =180° than at  $\vartheta$ =0°. The most efficient way to stimulate L5 PCs is the 420 application of electric fields with a polar angle of  $\vartheta$ =0° and a negative change in electric field across the 421 somato-dendritic axis ( $\Delta |\tilde{\mathbf{E}}| < 0$ ) or by applying electric fields with an angle of  $\vartheta$ =180° together with a 422 positive field change  $(\Delta | \mathbf{\tilde{E}} | > 0)$ . For  $\vartheta$ =180° the stimulation locations are at the lower axons indicating 423 a tendency for cortico-spinal activation. In contrast, when the electric field is antidromic at  $\vartheta = 0^{\circ}$ , axon 424 collaterals in the upper part are preferentially stimulated, indicating cortico-cortical activation of, for 425 example, connected populations of L2/3 PCs. The stimulation behavior is much more diverse for 426 transverse electric fields around  $\vartheta \approx 90^\circ$  due to the variety of azimuthal angles  $\varphi$  in which cortico-427 cortical and cortico-spinal connections can be stimulated. The results for biphasic excitation are shown 428 in Fig S5 in the Supplemental Material.



429

Figure 9: Stimulation behavior of L5 PCs for monophasic excitation: (a) Threshold map in dependence 430 431 of the polar angle  $\vartheta$  and the relative change of the electric field over the somato-dendritic axis  $\Delta |\mathbf{\tilde{E}}|$ . 432 The insets show the locations of excitation, the red circles indicate the activated terminals. Blue arrows 433 indicate the electric field direction and magnitude; (b) Thresholds of individual neurons for  $\Delta |\tilde{\mathbf{E}}| = 0$ 434 %/mm along the dashed line in (a). The blue area shows the 95th percentile of the confidence interval of the mean. The equivalent cortical column cosine model is  $y(\theta) = \hat{y} |\cos(\theta)|^{-1}$  with  $\hat{y}=233.66$  V/m 435 (dashed line); the axon parameters of the equivalent ball-and-stick model are  $l = 760 \, \mu m$  and 436 437  $d = 15 \,\mu \text{m}$  (dotted line); (c) Recruitment rate for  $\Delta |\tilde{\mathbf{E}}| = 0 \,\%$ /mm derived from the individual neuron 438 activation in (b) by integrating over the electric field thresholds. The dashed line indicates the electric field intensity where the recruitment rate is 0.5. 439

### 440 3.4 Recruitment order and relative threshold ranges

441 For each cell type investigated, different stimulation thresholds were observed depending on the polar 442 angle  $\vartheta$  and the relative change of the electric field over the somato-dendritic axis  $\Delta | \tilde{\mathbf{E}} |$ . In Fig. 10, an 443 overview of the threshold ranges of all investigated cell types relative to the mean of L5 PCs, is shown, 444 assuming a constant electric field along the somatodendritic axis ( $\Delta |\mathbf{\tilde{E}}|=0 \%$ /mm). It is evident that L5 445 PCs are recruited first due to their relatively low thresholds. The L4 LBCs have the second lowest 446 thresholds followed by the L2/3 PCs and the L4 NBCs. The small basket cells are directly stimulated only at higher stimulation intensities. An analogous observation was also made for biphasic TMS pulses 447 448 and the results are reported in Fig. S6 in the Supplemental Material.



449

Figure 10: Recruitment order and relative threshold ranges of pyramidal and basket cells for monophasic TMS excitation: Threshold ranges of all investigated cell types relative to the mean of L5 PCs, is shown assuming a constant electric field along the somatodendritic axis ( $\Delta |\tilde{\mathbf{E}}|=0 \%/mm$ ). The dots indicate the mean thresholds and the ranges stem from the variability across the polar angle  $\vartheta$ from 0° to 180°.

- 455 3.5 Sensitivity analysis
- 456 We used a 15th order approximation to construct the surrogate models of the threshold maps using
- 457 *pygpc* (Weise et al., 2020b). The normalized root mean square deviation between the original model
- 458 and the gPC approximation is 0.32% for L5 PC under monophasic excitation derived from 10<sup>5</sup> random
- 459 samples. The accuracies of the gPC approximations of the L2/3 and L4 cells are very similar and given

460 in the repository by Weise et al. (2023b). The results of the sensitivity analysis of the threshold map of 461 L5 PC with monophasic excitation is shown in Fig. 11. It can be seen that the surrogate model (Fig. 11b) 462 almost perfectly resembles the behavior of the original model (Fig. 11a). The absolute differences 463 between both is shown in Fig. 11c. The probability density distribution of the electric field threshold is 464 shown in Fig. 11d under the assumption that the parameters  $\vartheta$  and  $\Delta | \vec{\mathbf{E}} |$  are beta distributed as in case 465 of the realistic head model simulations (see Fig. 3 for parameter values). It can be observed that the distribution is u-shaped and bimodal because of the cyclic behavior of the electric field threshold over 466 467 the polar angle  $\vartheta$ . The results for L5 in case of monophasic excitation as well as for L2/3 PC, and L4 468 S/N/LBC for both monophasic and biphasic excitation are given in the repository. Weise et al. (2023b). 469 The Sobol indices, i.e. the fractions of the total variance, which originate from  $\vartheta$ ,  $\Delta |\tilde{\mathbf{E}}|$ , and the 470 combination of both are given in Table 1. The polar angle  $\vartheta$  has the strongest influence on the 471 stimulation behavior for all investigated cell types. In contrast, the Sobol indices of  $\Delta |\mathbf{\tilde{E}}|$  are much 472 lower, ranging between 2-5%, but the parameter significantly contributes to the increase of the

accuracy of the overall model. There is even an exception in the L2/3 cells under biphasic excitation,

474 where the influence reaches almost 25%.



Figure 11: Results of the sensitivity analysis of the electric field threshold map of L5 PCs with monophasic excitation: (a) Original model of the threshold map of L5 PC with monophasic excitation; (b) gPC approximation (surrogate) of the original model; (c) Absolute difference between the original model and the gPC approximation; (d) Probability density of the electric field threshold for the original model and the gPC approximation using N=10<sup>5</sup> samples under the assumption that  $\vartheta$  and  $\Delta |\tilde{\mathbf{E}}|$  are beta distributed (see Fig. 3 for parameters).

Table 1: Sobol indices of the electric field threshold models for L2/3, L4 S/N/LBC, and L5 PC for monophasic and biphasic pulse waveforms.

Cell type	L2/3 PC		L4 SBC		L4 NBC		L4 LBC		L5 PC	
wave- form	mono- phasic	bi- phasic								
θ	0.919	0.710	0.991	0.994	0.974	0.985	0.962	0.974	0.951	0.971

∆ Ĩ	0.052	0.247	0.001	0.001	0.001	0.001	0.003	0.002	0.037	0.017
ϑ& Δ Ē	0.029	0.043	0.008	0.005	0.025	0.014	0.035	0.024	0.012	0.012

485

#### 486 3.6 Verification

487 The average threshold model is compared against reference simulations using a high resolution 488 realistic head model. For the application of the average model, we extracted the electric field 489 parameters  $\vartheta$  and  $\Delta |\tilde{\mathbf{E}}|$  in every cortical element in the ROI on layer 2/3, 4, and 5 and determined the 490 electric field thresholds (Fig. 5-9) by linearly interpolating the data between the sampling points. The 491 approach is computationally very efficient, as the computation time is only a fraction of the one 492 needed for the electric field computation. In the reference simulations, we calculated the stimulation 493 thresholds for every neuron at every cortical location in the ROI separately by coupling the actual 494 electric fields from the realistic head model into every neural compartment. Finally we averaged the 495 thresholds over all neurons and assigned the resulting average threshold to the ROI element. The 496 resulting electric field threshold maps between the average threshold model and the reference 497 simulations are shown in Fig. 12 for all cell types under investigation. The results for biphasic excitation 498 are shown in Figure S8 in the Supplemental Material.

For all stimulation conditions, the two models agree very well. The highest relative differences are in the range of ±8% and can be observed mainly at the gyral rims and the sulcal walls. Comparing the distributions and signs of the relative differences between monophasic and biphasic waveforms, it can be observed that they slightly depend on the stimulation waveform and the resulting current direction.



503

Figure 12: Comparison of electric field threshold maps (in V/m) for monophasic excitation determined using the average model and the reference model: The rows show the electric field threshold maps (in V/m) of the L2/3 PC, L4 S/N/LBC and the L5 PC between the average model (first column) and the reference model (second column). The last two columns show the absolute and relative difference between the models. The underlying electric field distribution and field direction is shown in Fig. 4. The results for biphasic excitation are shown in Figure S8.

510

Additionally, we calculated the stimulation threshold maps when the TMS coil is located over the M1 region with a 45° orientation towards the *fissura longitudinalis*. For this, we determined the ratio between the electric field threshold map from Fig. 12 and the corresponding electric field distribution of this particular coil position, which was calculated assuming a normalized stimulation strength of 1  $A/\mu s$ . This results in a map of the stimulation strength of the TMS stimulator in  $A/\mu s$  needed to stimulate the neurons with this particular coil position. Again a high agreement between the average threshold model and the reference model can be observed. Note that the relative difference

- 518 distributions in the last column of Fig. 13 are the same as for the electric field threshold maps from Fig.
- 519 12 since the electric field distribution is cut out in the error calculation. The analogous results for

520 biphasic excitation are shown in Fig. S9.



523 Figure 13: Comparison of stimulation intensity threshold maps (in A/µs) for monophasic excitation 524 determined using the average model and the reference model: The first two rows show the 525 stimulation threshold maps (in A/µs) of the L2/3 PC and the last two rows of the L5 PC between the 526 average model (first column) and the reference model (second column). The last two columns show 527 the absolute and relative difference between the models. It is assumed that the TMS coil is located 528 over the M1 area with an orientation of 45° towards the *fissura longitudinalis*. The maps indicate the 529 stimulation strength of the TMS device in  $A/\mu s$ , which is required to stimulate this cortical area for this 530 particular coil position and orientation. The underlying electric field distribution and field direction is 531 shown in Fig. 4. The results for biphasic excitation are shown in Figure S9.

532 To quantify the differences between the models, we determined the normalized root mean square 533 error (NRMSE):

 $\text{NRMSE} = \sqrt{\frac{\sum_{i=1}^{N_{ROI}} (y_{i,ref} - y_i)^2}{\sum_{i=1}^{N_{ROI}} y_{i,ref}^2}}$ (3)

where  $y_{i, ref}$  denotes the thresholds of the reference simulations in the *i*-th ROI element and  $y_i$  the thresholds from the average model. Additionally, we calculated the mean absolute percentage error (MAPE) quantifying the prediction accuracy of the average models:

538 
$$MAPE = \frac{1}{N_{ROI}} \sum_{i=1}^{N_{ROI}} \left| \frac{y_{i,ref} - y_i}{y_{i,ref}} \right|$$
(4)

and the coefficient of determination (R<sup>2</sup>) quantifying the proportion of the total variance explained by
the average model:

541
$$R^{2} = 1 - \frac{\sum_{i=1}^{N_{ROI}} (y_{i} - y_{i,ref})^{2}}{\sum_{i=1}^{N_{ROI}} (y_{i} - \bar{y})^{2}}$$
(5)

542 where  $\bar{y}$  is the mean of the average threshold model.

534

The histograms of the relative differences are shown in Fig. 14 together with the different error measures. The distribution of relative differences is relatively symmetric and the means are close to zero. The remaining variance results from the inhomogeneity of the electric field across the neurons. Systematic field distortions in a particular direction across neurons, such as those occurring at the gyral crowns, are neglected and result in deviations from the exact reference model because in the simplified model, only the decay of the electric field across the somatodendritic axis can be accounted for due to averaging over the azimuthal angle.



Figure 14: Differences of the threshold maps between the average model and the reference model for monophasic excitation. Histograms of the relative difference between the reference model and the average threshold model over the ROI elements. Normalized root mean square error (NRMSE), mean absolute percentage error (MAPE), and coefficient of determination (R<sup>2</sup>) for L2/3 PC and L5 PC with monophasic and biphasic excitation. The results for biphasic excitation are shown in Fig. S10.

#### 556 3.7 Validation

557 The predicted orientation sensitivity of the neurons is compared to the orientation sensitivity of MEPs. 558 The polar plot in Fig. 15 shows the MEP amplitudes for different electric field angles and stimulation 559 intensities together with the predictions of the recruitment rate from the average threshold model from L5 PC under monophasic excitation. To make both representations comparable, the data were 560 561 normalized to their respective maximum values. The average threshold model closely matches the 562 orientation sensitivity of MEPs and the NRMSE between the experimental data and model predictions 563 is 8.5%. It can be clearly observed that the directional sensitivity is more pronounced for low 564 stimulation intensities close to rMT than for higher ones. The cortical column cosine model resembles 565 the general behavior of the directional sensitivity of the MEPs at low stimulation intensities, but cannot 566 represent the stimulation behavior in the transition to higher stimulation intensities. The ball-and-stick 567 model was also not able to reflect the direction sensitivity over the investigated parameter range for 568 both the incident angle  $\vartheta$  and the stimulation intensity.

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570 Figure 15: Comparison between directional sensitivity of motor evoked potentials and the derived 571 recruitment rate from the theoretical neuronal response model: The plots show the directional 572 sensitivity of measured MEPs as black crosses (exp) at different stimulation intensities with respect to 573 resting motor threshold of subject 16 from Souza et al. (2022). The solid lines (sim) show the 574 corresponding trajectory of the recruitment rate along  $\vartheta$  assuming a constant electric field along the 575 somato-dendritic axis ( $\Delta | \tilde{\mathbf{E}} | = 0$ %/mm), and the dashed and the dotted lines show the predictions of the directional sensitivity of the MEPs according to the cortical column cosine model (cos) and the ball-576 577 and-stick model (b&s). The MEPs were normalized to their maximum values for comparability. The 578 NRMSE between the experimental data and the recruitment rate model (sim) is 8.5%.

## 579 4 Discussion

In order to link the predicted electric field to actual neural activation, a range of different proposals 580 581 with varying degrees of complexity have been put forward. The simplest approach just considers the 582 magnitude of the electric field as a proxy for the activation strength (e.g., Weise et al., 2021), without 583 any dependency on direction or local gradient of the field. This method disregards experimental 584 observations and theoretical considerations showing that the activation threshold does indeed depend 585 on the incidence angle between the field direction and the orientation of the axons (Rushton, 1927; 586 Rudin and Eisenman, 1954; Ranck, 1975). This consideration led to the proposal of the cortical column 587 cosine model (Fox et al., 2004), which is based on the assumption that axons aligned with the somato-588 dendritic axis (i.e., perpendicular to the cortical surface) dominate the stimulation process, and 589 therefore predicts that only the projection of the electric field onto that axis has an effect. As a 590 consequence, purely tangential fields would lead to no stimulation.

591 However, it is known that the axonal arbors of cortical cells are much branched and cover all directions 592 (Aberra et al., 2020). In line with this, in earlier TMS motor mapping experiments (Weise et al., 2020, 593 Numssen et al., 2021, Weise et al., 2023a), we could show that the tangential field component does 594 indeed have a substantial predictive power towards the resulting motor evoked potentials. In fact, it 595 was even considerably more powerful than the radial component (i.e., the one aligned with the 596 somato-dendritic axis), which can be understood in the light of the cortical geometry: At the gyral 597 crowns, the field is largely tangential to the cortical surface (thus, having less impact), but its 598 magnitude is much larger due to the greater proximity to the coil, thus overcompensating the former 599 effect. In contrast, at the sulcal walls, located more distant to the coil, the field is radial, but much 600 weaker, and therefore often does not effectively stimulate.

601 In order to obtain a more accurate account of the coupling between the electric field and the activation 602 states of cortical neurons, detailed biological models based on realistic neuronal geometry and realistic 603 Hodgkin-Huxley-like neural dynamics have been proposed (Aberra et al., 2018, 2020). These models 604 have the potential to deliver a detailed and accurate picture of neuronal activation by TMS. However, 605 they are computationally extremely demanding and therefore hardly suitable for routine applications, 606 such as mapping or dosing. Moreover, the utilized neural geometries must be considered as samples 607 of a distribution and do not account for any precise individual cortical architecture. This suggests that 608 the predictions of these models should be representable in low-parametric models without much loss.

In our study, we attempt to bridge the gap between, on the one hand, the imprecise oversimplification of the magnitude, cortical column cosine, and ball-and-stick models and, on the other hand, the unwieldy and time-consuming biologically realistic modeling. The model we propose is as easily applicable as the former, while it very closely approximates the predictions of the latter. It determines the stimulation thresholds as functions of field angle with respect to the somato-dendritic axis, intensity, pulse waveform, and field decay along the somato-dendritic axis, and only requires the
induced electric field as an input variable. Comparison with reference simulations with a detailed
neuronal model yielded normalized root mean square errors of only 1.5-2.5%. It should be emphasized,
however, that our model is not independent, but depends, for initial calibration, on a biologically
realistic model based on the principal approach of Aberra and colleagues (2018, 2020).

619 Our model predicts a certain dependence of the stimulation threshold on the angle of incidence of the 620 electric field, which is more pronounced for monophasic pulses than for biphasic pulses. However, 621 compared to the predictions from simplified models such as the cortical column cosine model or ball-622 and-stick neurons, this dependence is much more moderate. This allows tangential electric fields of 623 sufficient strength to contribute to the stimulation, as has been observed in previous experimental 624 studies (Weise et al., 2020, Numssen et al., 2021). In particular, the L2/3 PC require 108%, L4 SBC 625 require 113%, L4 NBC require 110%, L4 LBC require 114%, and L5 PC require 120% of the longitudinal 626 stimulation strength ( $\vartheta$ =0°) at  $\vartheta$ =90° for monophasic excitation, respectively. For a comparison of the 627 directional sensitivity profiles of our model and the cortical column cosine and ball-and-stick models, 628 see Fig. 5-9.

629 These findings are confirmed by a comparison to the experimentally observed orientation sensitivity 630 of MEPs (Fig. 14), where a difference of only 8.5% was observed between model predictions and 631 experimental data. We observed a high directional sensitivity at low stimulation intensities close to 632 the motor threshold, while at higher stimulation intensities the directional sensitivity rapidly 633 decreases. While our model appears to be a quite good predictor of the directional sensitivity 634 observed by Souza et al. (2022), there are also deviations. This is mainly explainable in the light of some 635 important discrepancies between the assumptions underlying our model and those made by these 636 authors. First, the results of Souza and colleagues are based on electric fields predicted using a 637 spherical head model, while our model works with a realistic head model. Second, Souza's report is 638 based on the electric field direction with respect to the global coordinate system, while our angle 639 definition is local and changes across the strongly curved cortical surface. Third, the location of the

640 neuronal populations that mediate the relationship between stimulation and MEP is only roughly 641 known in Souza et al. (2022). It may therefore be that the field angles at that location are different 642 from those predicted for the assumed target spot. Accordingly, for an even better comparison, the 643 currents in the multi-coil array would have to be optimized subject- and target-specifically to realize 644 an ideal rotation of the electric field at a constant field strength in the target. This in turn requires 645 precise knowledge of the target and thus a prior mapping of the motor cortex such as in Weise et al. 646 (2023a).

The major advantage of the presented model is its simplicity without sacrifice of realism. The availability of look-up tables of threshold maps and recruitment rates allows for the simple construction of interpolators and functions for computation. Alternatively, polynomial-based surrogate models based on generalized polynomial chaos (gPC; Weise et al., 2020b) can be used for this purpose and provide high accuracy. Examples are given in the repository of Weise et al. (2023b).

652 Importantly, the model is easy to adapt and refine, if more or better information about the neuronal 653 geometry of particular tissues becomes available, using the provided scripts and simulation code 654 (https://github.com/TorgeW/TMS-Neuro-Sim). Already in this study, we were able to distinguish 655 between the stimulation thresholds and distributions among various distinct cell types. We observed 656 that L5 PCs had the lowest thresholds compared to all other cell types studied, followed by L4 LBC and 657 L2/3 PCs, which had 10% and 22% higher thresholds, respectively. This "library" of cellular stimulation 658 profiles may be extended in the future. By comparison with experimentally observed stimulation 659 profiles, such cell-specific sensitivity profiles may potentially allow for testing hypotheses about which 660 cells are actually stimulated in particular experimental situations.

These traits allow for efficient implementation and extension of TMS models in the context of optimization, mapping, and dosing without the need to implement time consuming and complicated neuron models. Especially in the field of cognitive TMS experiments, where an adequate dosing strategy is still subject to research, the gained knowledge could significantly contribute to the

identification of the effectively stimulated regions but also to exclude regions that are not eligible for
stimulation due to the underlying electric field distribution and orientation relative to the cortex.

667 The threshold maps have revealed interesting parameter combinations of  $\vartheta$  and  $\Delta|\mathbf{\tilde{E}}|$  that enable 668 particularly effective stimulation. Here, an interesting observation is that an increase of the electric 669 field along the somato-dendritic axis of the neurons ( $\Delta | \tilde{\mathbf{E}} | > 0$ ) from the GM surface to the WM surface 670 is as likely as a decrease and is usually in the range of ±20%/mm (Fig. 3b). Future optimization studies 671 could be directed towards identifying coil positions and orientations that realize these parameter 672 combinations in the targeted region. As a result, such new optimization strategies would have great 673 potential to significantly enhance the overall efficacy of TMS and reduce the required dose. At the 674 same time, the optimization criterion can be extended such that the electric field is oriented to prevent 675 stimulation of other brain regions by targeting particularly high thresholds. This principled approach 676 of multi-objective optimization was also taken up by Lueckel et al. (2022) in the framework of electric 677 field and connectivity optimized TMS targeting.

678 Another area of application for the presented models is in the extension of existing mapping 679 procedures (Weise et al., 2020, Numssen et al., 2021; Weise et al., 2023a), as mentioned previously. 680 Instead of the electric field magnitude, some kind of effective electric field could be used as a regressor 681 for localization. It is noted that the integration of the stimulation thresholds into the analysis procedures occurs solely at the modeling level, thus improving the efficiency of the mapping 682 683 procedures without increasing the experimental effort. Stronger even, the fact that we have an 684 estimate of the stimulation threshold at every cortical location, we can successively exclude locations which are stimulated without an observable effect (e.g., MEP), and thereby even decreasing the 685 686 experimental effort.

687 Limitations of the study

The number of L2/3 and L5 neurons available was limited. We were able to significantly expand the
original dataset of Aberra et al. (2018) and Aberra et al. (2020), but especially for the calculation of the

recruitment rate, a higher number of neurons would increase the model accuracy. This is especiallytrue for incident angles where threshold variances are high.

Moreover, we limited the analysis to pyramidal cells in L2/3, L5, and Basket cells in L4, which take a major part in generalized cortical circuits (Di Lazzaro et al., 2012). However, it is known that other cell types like spiny stellates in L4, also play a major role in the stimulation of cortical microcircuits. The development of average threshold models for other cell types is straightforward using the tools provided in the repository Weise et al. (2023b) and the Python package *TMS-Neuro-Sim* (https://github.com/TorgeW/TMS-Neuro-Sim) if the appropriate morphologies and parameterizations are available.

In the modeling, we also neglected the effect of the presence of the neurons and other cells to the external electric field. While for the macroscopic field estimation, these structures are already accounted for through the (macroscopically acquired) tissue conductivity, at the microscale, the presence of low conducting membranes might cause local deviations from that macroscopically predicted field, which may have an effect on the actual stimulation of neurons.

704 Future work

Insights into the stimulation behavior of neurons are essential to develop realistic coupling models for
downstream neuronal mass models along the lines of Montbrió et al. (2015) or Jansen and Rit (1995),
which in turn could be used to model the dynamic processes of entire populations of neurons, such as
the D- and I-wave dynamics in the motor cortex (Di Lazzaro et al. 1998, ; Di Lazzaro et al., 2012;
Ziemann, 2020).

In further follow-up studies, the degree to which the spatial fine-structure of the electric field is affected by the high membrane resistance of the neurons should be investigated. The resulting change in the electric field distribution may have a non-negligible influence on the local electric field angles and magnitudes, which in turn change the stimulation thresholds. However, this will require very detailed volume conductor models of whole cortical columns or at least geometric information about 715 the neuron surfaces and their position with respect to each other. It is expected that this type of model 716 will require high computational power to solve and is far from being routinely used in daily TMS 717 experiments and that it will lead to an anisotropic macroscopic conductivity profile as well as 718 potentially modified sensitivity profile due to local electric field fluctuations. The former can be 719 estimated with diffusion-weighted MRI (Güllmar et al., 2010), but its influence on the stimulation 720 behavior on a micro- and mesoscopic scale is yet unknown. The goal of such a study could be the 721 derivation of a new generation of low-parametric models, in a similar sense as in this study, in order 722 to be able to apply the gained knowledge in practice.

A further step towards a more accurate biophysical modeling of the stimulation processes may consist in the consideration of the back reaction of the neurons to the extracellular potential when action potentials are generated. Active ion transport alters the total electric field and can lead to mutual interference (cross-talk) between neurons. For such a model, the neurons can no longer be considered separately, but must be simulated as a unit in the form of a cortical column or similar. Such a model approach can be combined with the previous one, but it is expected that the required computing time will be even higher to solve it.

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# 737 Conflicts of interest

- The authors declare that they have no known competing financial interests or personal relationships
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