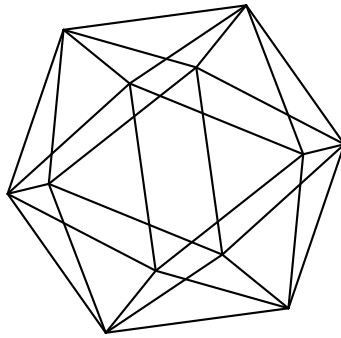


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# On linked modules over the super-Yangian of the superalgebra $Q(1)$

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# ON LINKED MODULES OVER THE SUPER-YANGIAN OF THE SUPERALGEBRA $Q(1)$

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ABSTRACT. Let  $Q(n)$  be the queer Lie superalgebra. We determine conditions under which two 1-dimensional modules over the super-Yangian of  $Q(1)$  can be extended nontrivially, and thus belong to the same block of the subcategory of finite-dimensional  $YQ(1)$ -modules admitting generalized central character  $\chi = 0$ . We use these results to determine conditions under which two 1-dimensional modules over the finite  $W$ -algebra for  $Q(n)$  can be extended nontrivially. We describe blocks in the category of finite-dimensional modules over the finite  $W$ -algebra for  $Q(2)$ . In certain cases we determine conditions under which two simple finite-dimensional  $YQ(1)$ -modules admitting central character  $\chi \neq 0$  can be extended nontrivially and propose a conjecture in the general case.

## 1. INTRODUCTION

The *queer* Lie superalgebra  $Q(n)$  is a fixed point subalgebra of the general linear Lie superalgebra  $\mathfrak{gl}(n|n)$  relative to certain involutive automorphism.

We started to study the representation theory of the finite  $W$ -algebra  $W^n$  for  $Q(n)$  associated with the principal nilpotent coadjoint orbits in [8]. We have shown that all irreducible representations of  $W^n$  are finite-dimensional. In [10] we classified irreducible representations of  $W^n$  (Theorem 4.7). We used these results to classify irreducible finite-dimensional representations of the super-Yangian  $YQ(1)$  of  $Q(1)$  (Theorem 5.13). A natural problem is to describe blocks in the subcategory of finite-dimensional  $YQ(1)$ -modules and in the subcategory of finite-dimensional  $W^n$ -modules admitting a given generalized central character  $\chi$ . We initiated the study of blocks in these subcategories in [11, 12]. If  $\chi = 0$ , then the simple modules in these subcategories are 1-dimensional. In this paper we determine when two 1-dimensional  $YQ(1)$ -modules can be extended nontrivially, and thus belong to the same block (Theorem 11.1). We use these results and results of [10] to determine when two 1-dimensional  $W^n$ -modules can be extended nontrivially (Theorem 14.1). Using Theorem 14.1, we describe blocks in the category of finite-dimensional modules over  $W^2$  (Theorem 15.2).

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Every simple finite-dimensional module over  $YQ(1)$  is isomorphic (up to change of parity) to  $V(\mathbf{s}) \otimes \Gamma_f$ , where  $V(\mathbf{s})$  is a simple  $YQ(1)$ -module parameterized by an  $n$ -tuple of nonzero complex numbers  $\mathbf{s} = (s_1, s_2, \dots, s_n)$  such that  $s_i + s_j \neq 0$  for all  $i < j$ , and  $\Gamma_f$  is a 1-dimensional  $YQ(1)$ -module, which is defined by certain generating function  $f(u) \in YQ(1)[[u^{-2}]]$ . If  $n \geq 1$ , then  $V(\mathbf{s}) \otimes \Gamma_f$  admits a nontrivial central character. In the case when  $\mathbf{s} = (s_1)$ , we determine conditions under which two simple modules of type  $V(s_1) \otimes \Gamma_f$  can be extended nontrivially (Proposition 12.1). We propose a conjecture in the general case when  $\mathbf{s} = (s_1, s_2, \dots, s_n)$  (Conjecture 12.3).

## 2. THE LIE SUPERALGEBRA $Q(n)$

Consider the general linear Lie superalgebra  $\mathfrak{gl}(n|n)$  with the standard basis  $E_{ij}$ , where  $i, j = \pm 1, \dots, \pm n$ . Define the parity of  $i$  by

$$p(i) = 0 \text{ if } i > 0 \text{ and } p(i) = 1 \text{ if } i < 0.$$

Let  $\eta$  be an involutive automorphism of  $\mathfrak{gl}(n|n)$  defined by

$$\eta(E_{ij}) = E_{-i, -j}.$$

The *queer* Lie superalgebra  $Q(n)$  is the fixed point subalgebra in  $\mathfrak{gl}(n|n)$  relative to  $\eta$ . Recall that  $Q(n)$  can also be defined as follows (see [3]). Equip  $\mathbb{C}^{n|n}$  with the odd operator  $\zeta$  such that  $\zeta^2 = -\text{Id}$ . Then  $Q(n)$  is the centralizer of  $\zeta$  in the Lie superalgebra  $\mathfrak{gl}(n|n)$ . Let  $\zeta = \begin{pmatrix} 0 & I_n \\ -I_n & 0 \end{pmatrix}$ . It is easy to see that  $Q(n)$  consists of matrices of the form

$$\begin{pmatrix} A & B \\ B & A \end{pmatrix},$$

where  $A, B$  are  $n \times n$ -matrices. Let

$$\{e_{i,j}, f_{i,j} \mid i, j = 1, \dots, n\}$$

denote the basis in  $Q(n)$  consisting of elementary even and odd matrices. Set

$$(2.1) \quad \xi_i := (-1)^{i+1} f_{i,i}, \quad x_i := \xi_i^2 = e_{i,i}.$$

## 3. THE FINITE $W$ -ALGEBRA FOR $Q(n)$

Let  $W^n$  be the *finite  $W$ -algebra* associated with a principal even nilpotent element  $\varphi$  in the coadjoint representation of  $\mathfrak{g} = Q(n)$ . Let us recall its definition (see [13]). We fix the Cartan subalgebra  $\mathfrak{h} \subset \mathfrak{g}$  to be the set of matrices with diagonal  $A$  and  $B$ . By  $\mathfrak{n}^+$  (respectively,  $\mathfrak{n}^-$ ) we denote the nilpotent subalgebras consisting of matrices with strictly upper triangular (respectively, low triangular)  $A$  and  $B$ .

The Lie superalgebra  $\mathfrak{g}$  has the triangular decomposition  $\mathfrak{g} = \mathfrak{n}^- \oplus \mathfrak{h} \oplus \mathfrak{n}^+$ , and we set  $\mathfrak{b} = \mathfrak{n}^+ \oplus \mathfrak{h}$ . Choose  $\varphi \in \mathfrak{g}^*$  such that

$$\varphi(f_{i,j}) = 0, \quad \varphi(e_{i,j}) = \delta_{i,j+1}.$$

Let  $I_\varphi$  be the left ideal in  $U(\mathfrak{g})$  generated by  $x - \varphi(x)$  for all  $x \in \mathfrak{n}^-$ . Let  $\pi : U(\mathfrak{g}) \rightarrow U(\mathfrak{g})/I_\varphi$  be the natural projection. Then

$$W^n = \{\pi(y) \in U(\mathfrak{g})/I_\varphi \mid \text{ad}(x)y \in I_\varphi \text{ for all } x \in \mathfrak{n}^-\}.$$

Using the identification of  $U(\mathfrak{g})/I_\varphi$  with the Whittaker module  $U(\mathfrak{g}) \otimes_{U(\mathfrak{n}^-)} \mathbb{C}_\varphi \simeq U(\mathfrak{b}) \otimes \mathbb{C}$ , we can consider  $W^n$  as a subalgebra of  $U(\mathfrak{b})$ . The natural projection  $\vartheta : U(\mathfrak{b}) \rightarrow U(\mathfrak{h})$  with the kernel  $\mathfrak{n}^+U(\mathfrak{b})$  is called the *Harish-Chandra homomorphism*. It is proven in [8] that the restriction of  $\vartheta$  to  $W^n$  is injective. We will identify  $W^n$  with  $\vartheta(W^n) \subset U(\mathfrak{h})$ .

**Example 3.1.**  $n = 2$ ,  $\mathfrak{h} = \text{span}\{x_1, x_2 \mid \xi_1, \xi_2\}$ . Then  $W^2$  realized as a subalgebra of  $U(\mathfrak{h})$  has the following generators:

$$z_0 = x_1 + x_2, \quad z_1 = x_1x_2 - \xi_1\xi_2 \text{ (even),}$$

$$\phi_0 = \xi_1 + \xi_2, \quad \phi_1 = x_2\xi_1 - x_1\xi_2 \text{ (odd).}$$

#### 4. THE SUPER YANGIAN OF $Q(1)$

The Yangians  $YQ(n)$  associated with the Lie superalgebras  $Q(n)$  were defined by M. L. Nazarov ([5, 6]). Recall that  $YQ(1)$  is the associative unital superalgebra over  $\mathbb{C}$  with the countable set of generators  $T_{i,j}^{(m)}$ , where  $m = 1, 2, \dots$  and  $i, j = \pm 1$ . The  $\mathbb{Z}_2$ -grading of  $YQ(1)$  is defined as follows:

$$p(T_{i,j}^{(m)}) = p(i) + p(j), \text{ where } p(1) = 0 \text{ and } p(-1) = 1.$$

To write the defining relations for these generators, we employ the formal series in  $YQ(1)[[u^{-1}]]$ :

$$T_{i,j}(u) = \delta_{ij} \cdot 1 + T_{i,j}^{(1)}u^{-1} + T_{i,j}^{(2)}u^{-2} + \dots$$

Then for all possible indices  $i, j, k, l$  we have the relations

$$\begin{aligned} & (u^2 - v^2)[T_{i,j}(u), T_{k,l}(v)] \cdot (-1)^{p(i)p(k)+p(i)p(l)+p(k)p(l)} \\ (4.1) \quad & = (u + v)(T_{k,j}(u)T_{i,l}(v) - T_{k,j}(v)T_{i,l}(u)) \\ & - (u - v)(T_{-k,j}(u)T_{-i,l}(v) - T_{-k,-j}(v)T_{i,-l}(u)) \cdot (-1)^{p(k)+p(l)}. \end{aligned}$$

Here  $v$  is a formal parameter independent of  $u$ , so that (4.1) is an equality in the algebra of formal Laurent series in  $u^{-1}, v^{-1}$  with coefficients in  $YQ(1)$ . For all indices  $i, j$  we also have the relations

$$(4.2) \quad T_{i,j}(-u) = T_{-i,-j}(u).$$

The relations (4.1) and (4.2) are equivalent to the following defining relations:

$$(4.3) \quad \begin{aligned} & ([T_{i,j}^{(m+1)}, T_{k,l}^{(r-1)}] - [T_{i,j}^{(m-1)}, T_{k,l}^{(r+1)}]) \cdot (-1)^{p(i)p(k)+p(i)p(l)+p(k)p(l)} = \\ & T_{k,j}^{(m)} T_{i,l}^{(r-1)} + T_{k,j}^{(m-1)} T_{i,l}^{(r)} - T_{k,j}^{(r-1)} T_{i,l}^{(m)} - T_{k,j}^{(r)} T_{i,l}^{(m-1)} \\ & + (-1)^{p(k)+p(l)} (-T_{-k,j}^{(m)} T_{-i,l}^{(r-1)} + T_{-k,j}^{(m-1)} T_{-i,l}^{(r)} + T_{k,-j}^{(r-1)} T_{i,-l}^{(m)} - T_{k,-j}^{(r)} T_{i,-l}^{(m-1)}), \end{aligned}$$

$$(4.4) \quad T_{-i,-j}^{(m)} = (-1)^m T_{i,j}^{(m)},$$

where  $m, r = 1, \dots$  and  $T_{i,j}^{(0)} = \delta_{ij}$ . Recall that  $YQ(1)$  is a *Hopf superalgebra* (see [6]) with comultiplication given by the formula

$$\Delta(T_{i,j}^{(r)}) = \sum_{s=0}^r \sum_k (-1)^{(p(i)+p(k))(p(j)+p(k))} T_{i,k}^{(s)} \otimes T_{k,j}^{(r-s)}.$$

The *evaluation homomorphism*  $ev : YQ(1) \rightarrow U(Q(1))$  is defined as follows:

$$T_{1,1}^{(1)} \mapsto -e_{1,1}, \quad T_{1,-1}^{(1)} \mapsto f_{1,1}, \quad T_{i,j}^{(0)} \mapsto \delta_{i,j}, \quad T_{i,j}^{(r)} \mapsto 0 \text{ for } r > 1, i, j = \pm 1.$$

## 5. $W^n$ IS A QUOTIENT OF $YQ(1)$

**Definition 5.1.** (a) Define  $\Delta_l : YQ(1) \rightarrow YQ(1)^{\otimes l}$  by

$$\Delta_l := \Delta_{l-1,l} \circ \dots \circ \Delta_{2,3} \circ \Delta.$$

(b) Let  $\varphi_n : YQ(1) \rightarrow U(Q(1))^{\otimes n} \simeq U(\mathfrak{h})$  be  $\varphi_n := ev^{\otimes n} \circ \Delta_n$ .

Note that  $\varphi_n(T_{1,1}^{(r)}) = \varphi_n(T_{1,-1}^{(r)}) = 0$  if  $r > n$ .

**Proposition 5.2.** ([9], Corollary 5.16) *The map  $\varphi_n$  is a surjective homomorphism from  $YQ(1)$  onto  $W^n$ , realized as a subalgebra of  $U(\mathfrak{h})$ :*

$$\varphi_n(YQ(1)) = \vartheta(W^n) \simeq W^n.$$

Note that  $W^{m+n}$  is a subalgebra of  $W^m \otimes W^n$  ([10], Lemma 3.3). The following diagram commutes:

$$(5.1) \quad \begin{array}{ccc} YQ(1) & \xrightarrow{\Delta} & YQ(1) \otimes YQ(1) \\ \varphi_{m+n} \downarrow & & \varphi_m \otimes \varphi_n \downarrow \\ W^{m+n} & \longrightarrow & W^m \otimes W^n \end{array}$$

## 6. SIMPLE MODULES OVER ASSOCIATIVE SUPERALGEBRAS

We work in the category of vector superspaces over  $\mathbb{C}$ . We denote the *parity* of a homogeneous vector  $v$  of a superspace by  $p(v) \in \mathbb{Z}_2$ . All tensor products are over  $\mathbb{C}$ .

Let  $\mathcal{A}$  be a superalgebra. By an  $\mathcal{A}$ -module  $M$  we mean a  $\mathbb{Z}_2$ -graded left  $\mathcal{A}$ -module. A submodule of  $M$  is a  $\mathbb{Z}_2$ -graded submodule. By  $\Pi$  we denote the parity functor  $\Pi(M) = M \otimes \mathbb{C}^{0|1}$ . For a module  $M$  over an associative superalgebra  $\mathcal{A}$ ,  $\Pi(M)$  has the same underlying vector space but with the opposite  $\mathbb{Z}$ -grading. The new action of  $a \in \mathcal{A}$  on  $m \in \Pi(M)$  is given in terms of the old action by  $a \cdot m := (-1)^{p(a)} am$ .

Recall that if  $M$  is a simple finite-dimensional  $\mathcal{A}$ -module over some associative superalgebra  $\mathcal{A}$ , then by Schur's Lemma  $\text{End}_{\mathcal{A}}(M)$  is either one-dimensional, or two-dimensional and has basis  $\{\text{Id}_M, \epsilon_M\}$ , where  $\epsilon_M$  is a (unique up to a sign) odd involution on  $M$ :  $\epsilon_M^2 = \text{Id}_M$ . Note that  $\epsilon_M$  provides an  $\mathcal{A}$  isomorphism  $M \rightarrow \Pi(M)$ . We say that  $M$  is an *irreducible of M-type* in the former case and an *irreducible of Q-type* in the latter (see [4, 1]).

Let  $\mathcal{A}$  and  $\mathcal{B}$  be two superalgebras. The tensor product  $\mathcal{A} \otimes \mathcal{B}$  is again a superalgebra, where multiplication is given by

$$(a_1 \otimes b_1)(a_2 \otimes b_2) = (-1)^{p(b_1)p(a_2)} a_1 a_2 \otimes b_1 b_2$$

for  $a_i \in \mathcal{A}, b_i \in \mathcal{B}$ . Let  $M$  and  $N$  be two modules over associative superalgebras  $\mathcal{A}$  and  $\mathcal{B}$ . Then  $M \otimes N$  is naturally a module over  $\mathcal{A} \otimes \mathcal{B}$  where

$$(a \otimes b)(m \otimes n) = (-1)^{p(b)p(m)} am \otimes bn,$$

where  $a \in \mathcal{A}, b \in \mathcal{B}$  and  $m \in M, n \in N$ . If  $M$  and  $N$  are two simple finite-dimensional modules over associative superalgebras  $\mathcal{A}$  and  $\mathcal{B}$ , then the module  $M \otimes N$  might be not simple. In fact, if  $M$  and  $N$  are both of M-type, then  $M \otimes N$  is simple of M-type. If one of these modules is of M-type, and the other is of Q-type, then  $M \otimes N$  is simple of Q-type. However, if  $M$  and  $N$  are both of Q-type, then  $M \otimes N$  is not simple. Let  $\epsilon_M$  and  $\epsilon_N$  be odd involutions of  $M$  and  $N$ , respectively. Then the map  $\epsilon_M \otimes \epsilon_N$  defined by

$$(\epsilon_M \otimes \epsilon_N)(m \otimes n) = (-1)^{p(m)} \epsilon_M(m) \otimes \epsilon_N(n)$$

is an even  $\mathcal{A} \otimes \mathcal{B}$ -automorphism of  $M \otimes N$ , and its square is  $-\text{Id}_{M \otimes N}$ . In this case  $M \otimes N$  decomposes into a direct sum of two  $\mathcal{A} \otimes \mathcal{B}$ -submodules, which are formed by the  $\pm \mathbf{i}$ -eigenspaces of  $\epsilon_M \otimes \epsilon_N$ . We can choose either submodule and denote it by  $M \boxtimes N$ . Then

$$M \otimes N \simeq M \boxtimes N \oplus \Pi(M \boxtimes N).$$

Both submodules are simple and of M-type.

7. SIMPLE  $W^n$ -MODULES

We classified simple  $W^n$ -modules in [10] (Theorem 4.7). Here we recall their construction.

**7.1.  $W^n$ -modules  $V(\mathbf{s})$ .** Let  $\mathbf{s} = (s_1, \dots, s_n) \in \mathbb{C}^n$ . We call  $\mathbf{s}$  *regular* if  $s_i \neq 0$  for all  $i \leq n$  and *typical* if  $s_i + s_j \neq 0$  for all  $1 \leq i < j \leq n$ . Note that we have the natural embedding of the Lie superalgebras

$$(7.1) \quad Q(1) \oplus Q(1) \oplus \cdots \oplus Q(1) \hookrightarrow Q(n).$$

Let  $\mathfrak{h}_1$  denote the Cartan subalgebra of  $Q(1)$ . Then  $\mathfrak{h}_1 = \text{span}\{x_1 \mid \xi_1\}$  with  $x_1 = \xi_1^2$ , and  $U(\mathfrak{h}_1) \simeq \mathbb{C}[[\xi_1]]$ . Let  $V(\mathbf{s}_i)$  be a  $(1|1)$ -dimensional  $U(\mathfrak{h}_1)$ -module, where the action is given by

$$\xi \mapsto \begin{pmatrix} 0 & \sqrt{s_i} \\ \sqrt{s_i} & 0 \end{pmatrix}, \quad x \mapsto \begin{pmatrix} s_i & 0 \\ 0 & s_i \end{pmatrix} \quad \text{for } i = 1, 2.$$

The embedding (7.1) induces the isomorphism

$$U(\mathfrak{h}) \simeq U(\mathfrak{h}_1) \otimes U(\mathfrak{h}_1) \otimes \cdots \otimes U(\mathfrak{h}_1).$$

Then  $V(\mathbf{s}) := V(s_1) \boxtimes V(s_2) \boxtimes \cdots \boxtimes V(s_n)$  is a simple  $U(\mathfrak{h})$ -module. Consider the restriction of  $U(\mathfrak{h})$  to  $W^n$ . Let  $\mathbf{s} = (s_1, \dots, s_n)$  be regular typical. Then  $V(\mathbf{s})$  is a simple  $W^n$ -module, and if  $\mathbf{s}' = \sigma(\mathbf{s})$  for some permutation of coordinates, then  $V(\mathbf{s})$  is isomorphic to  $V(\mathbf{s}')$  as a  $W^n$ -module, see [10].

**7.2. Construction of simple  $W^n$ -modules.** Let  $\Gamma_t$  be the simple  $W^2$ -module of dimension  $(1|0)$  on which  $\phi_0, \phi_1$  and  $z_0$  act by zero and  $z_1$  acts by the scalar  $t$ .

Let  $r, p, q \in \mathbb{N}$  and  $r + 2p + q = n$ ,  $\mathbf{t} = (t_1, \dots, t_p) \in \mathbb{C}^p$ , and  $\lambda = (\lambda_1, \dots, \lambda_q) \in \mathbb{C}^q$ , where  $\mathbf{t}$  is regular and  $\lambda$  is regular typical. Recall that there is an embedding  $W^n \hookrightarrow W^r \otimes (W^2)^{\otimes p} \otimes W^q$  ([10], Corollary 3.4). Set

$$S(\mathbf{t}, \lambda) := \mathbb{C} \boxtimes \Gamma_{t_1} \boxtimes \cdots \boxtimes \Gamma_{t_p} \boxtimes V(\lambda),$$

where the first term  $\mathbb{C}$  in the tensor product denotes the trivial  $W^r$ -module. For  $q = 0$  we use the notation  $S(\mathbf{t})$  and set  $V(\lambda) = \mathbb{C}$ .

**Proposition 7.1.** (see [10], Theorem 4.7) (a) *Every simple  $W^n$ -module is isomorphic to  $S(\mathbf{t}, \lambda)$  up to change of parity.*

(b) *Two simple  $W^n$ -modules  $S(\mathbf{t}, \lambda)$  and  $S(\mathbf{t}', \lambda')$  are isomorphic if and only if  $p' = p$ ,  $q' = q$ ,  $\mathbf{t}' = \sigma(\mathbf{t})$  and  $\lambda' = \tau(\lambda)$  for some  $\sigma \in S_p$  and  $\tau \in S_q$ .*

## 8. CENTRAL CHARACTERS

The center of  $U(\mathfrak{g})$  for  $\mathfrak{g} = Q(n)$  is described in [7]. The center of  $U(\mathfrak{h})$  coincides with  $\mathbb{C}[x_1, \dots, x_n]$  and the image of the center of  $U(\mathfrak{g})$  under the Harish-Chandra homomorphism  $\vartheta$  is generated by the polynomials  $p_k = x_1^{2k+1} + \cdots + x_n^{2k+1}$  for all  $k \in \mathbb{N}$ . These polynomials are called  $Q$ -symmetric polynomials.

In [8] we proved that the center  $Z^n$  of  $W^n$  coincides with  $W^n \cap \mathbb{C}[x_1, \dots, x_n] = \vartheta(Z(U(\mathfrak{g})))$  and hence can be also identified with the ring of  $Q$ -symmetric polynomials.



Every  $\mathbf{s}$  defines the central character  $\chi_{\mathbf{s}} : Z^n \rightarrow \mathbb{C}$ . Furthermore, it follows from the description of simple  $W^n$ -modules in [10] (Theorem 4.6) that every simple  $W^n$ -module admits central character  $\chi_{\mathbf{s}}$  for some  $\mathbf{s}$ . For every  $\mathbf{s} = (s_1, \dots, s_n)$  we define the *core*  $c(\mathbf{s}) = (s_{i_1}, \dots, s_{i_m})$  as a subsequence obtained from  $\mathbf{s}$  by removing all  $s_j = 0$  and all pairs  $(s_i, s_j)$  such that  $s_i + s_j = 0$ . Up to a permutation this result does not depend on the order of removing. Thus, the core is well defined up to permutation. We call  $m$  the length of the core.

**Example 8.1.** Let  $\mathbf{s} = (1, 0, 3, -1, -1)$ , then  $c(\mathbf{s}) = (3, -1)$ .

The following is a reformulation of the central character description in [7].

**Lemma 8.2.** *Let  $\mathbf{s}, \mathbf{s}' \in \mathbb{C}^n$ . Then  $\chi_{\mathbf{s}} = \chi_{\mathbf{s}'}$  if and only if  $\mathbf{s}$  and  $\mathbf{s}'$  have the same core (up to permutation).*

It follows from Lemma 8.2 that the core depends only on the central character  $\chi_{\mathbf{s}}$ , we denote it  $c(\chi)$ .

## 9. SIMPLE FINITE-DIMENSIONAL $YQ(1)$ -MODULES

We classified simple finite-dimensional  $YQ(1)$ -modules in [10]. First we recall the description of 1-dimensional  $YQ(1)$ -modules.

*Remark 9.1.* Note that  $[T_{1,1}^{(k)}, T_{1,1}^{(m)}] = 0$  if  $k + m$  is even (see [8], Proposition 6.4).

**Definition 9.2.** Let  $\mathbf{A}$  be the commutative subalgebra in  $YQ(1)$  generated by  $T_{1,1}^{(2k)}$  for  $k \geq 0$ . Let

$$f(u) = 1 + \sum_{k>0} f_{2k} u^{-2k}.$$

Let  $\Gamma_f$  be the corresponding 1-dimensional  $\mathbf{A}$ -module, where the action of

$$T_{1,1}(u^{-2}) = \sum_{k \geq 0} T_{1,1}^{(2k)} u^{-2k}$$

is given by the generating function  $f(u)$ .

Recall that for any Hopf superalgebra  $R$ , the ideal  $(R_1)$  generated by all odd elements is a Hopf ideal and the quotient  $R/(R_1)$  is a Hopf algebra.

**Proposition 9.3.** ([10], Lemma 5.11) *The quotient  $YQ(1)/(YQ(1)_1)$  is isomorphic to  $\mathbf{A} \simeq \mathbb{C}[T_{1,1}^{(2k)}]_{k>0}$ , with comultiplication*

$$\Delta T_{1,1}(u^{-2}) = T_{1,1}(u^{-2}) \otimes T_{1,1}(u^{-2}).$$

Thus we can lift an  $\mathbf{A}$ -module  $\Gamma_f$  to a  $YQ(1)$ -module.

**Proposition 9.4.** ([10], Lemma 5.12) *The isomorphism classes of 1-dimensional  $YQ(1)$ -modules are in bijection with the set  $\{\Gamma_f\}$ . Furthermore, we have the identity  $\Gamma_f \otimes \Gamma_g \simeq \Gamma_{fg}$ .*

Let  $\mathbf{s} \in \mathbb{C}^n$  be regular typical. Then we can lift the  $W^n$ -module  $V(\mathbf{s})$  to a simple  $YQ(1)$ -module. Note that  $T_{1,1}^{(r)}$  and  $T_{1,-1}^{(r)}$  act on  $V(\mathbf{s})$  by zero if  $r > n$ .

**Proposition 9.5.** ([10], Theorem 5.13) *Any simple finite-dimensional  $YQ(1)$ -module is isomorphic to  $V(\mathbf{s}) \otimes \Gamma_f$  or  $\Pi V(\mathbf{s}) \otimes \Gamma_f$  for some regular typical  $\mathbf{s}$  and  $f(u) = 1 + \sum_{k>0} f_{2k} u^{-2k}$ . Furthermore,  $V(\mathbf{s}) \otimes \Gamma_f$  and  $V(\mathbf{s}') \otimes \Gamma_g$  are isomorphic up to change of parity if and only if  $\mathbf{s}'$  is obtained from  $\mathbf{s}$  by permutation of coordinates and  $f(u) = g(u)$ .*

**Proposition 9.6.** ([10], Proposition 5.19) *The simple  $YQ(1)$ -module  $V(\mathbf{s}) \otimes \Gamma_f$  is lifted from some  $W^{m+n}$ -module if and only if  $f \in \mathbb{C}[u^{-2}]$ . Moreover, the smallest  $m$  is equal to the degree of the polynomial  $f$ .*

*Remark 9.7.* Note that  $m = 2p$  is even.  $S(t_1, \dots, t_p, \lambda) \simeq V(\lambda) \otimes \Gamma_f$  where

$$f = \prod_{i=1}^p (1 + t_i u^{-2}).$$

## 10. THE CATEGORY $YQ(1)$ -mod

We described the center  $Z$  of  $YQ(1)$  in [10]. Let

$$(10.1) \quad \eta_i = \left(-\frac{1}{2}\right)^i \text{ad}^i T_{1,1}^{(2)}(T_{1,-1}^{(1)}), \quad Z_{2i} = \frac{1}{2}[\eta_0, \eta_{2i}],$$

where  $\text{ad}^i T_{1,1}^{(2)}$  is the  $i$ -power of the adjoint endomorphism  $\text{ad} T_{1,1}^{(2)}$ . The elements  $\{Z_{2i} \mid i \in \mathbb{N}\}$  are algebraically independent generators of  $Z$ .

Let  $YQ(1)$ -mod be the category of finite-dimensional  $YQ(1)$ -modules. A  $YQ(1)$ -module  $M$  admits *generalized central character*  $\chi$  if for any  $z \in Z$  and  $m \in M$ , there exists  $n \in \mathbb{Z}_{\geq 0}$  such that  $(z - \chi(z))^n \cdot m = 0$ . Let  $(YQ(1))^\chi$ -mod be the full subcategory of modules admitting generalized central character  $\chi$ . The category  $YQ(1)$ -mod is the direct sum of the subcategories  $(YQ(1))^\chi$ -mod, as  $\chi$  ranges over the central characters for which  $(YQ(1))^\chi$ -mod is nonempty.

**Lemma 10.1.** *Every simple  $YQ(1)$ -module in the subcategory  $(YQ(1))^\chi$ -mod is isomorphic up to change of parity to  $V(\mathbf{s}) \otimes \Gamma_f$ , where  $\mathbf{s} = (s_1, \dots, s_n)$  is regular typical, which is unique up to permutation.*

*Proof.* Let  $\mathbf{C} \subset YQ(1)$  be the unital subalgebra generated by  $\{\eta_i \mid i \in \mathbb{N}\}$ . Then  $V(\mathbf{s})$  and  $V(\mathbf{s}) \otimes \Gamma_f$  are isomorphic  $\mathbf{C}$ -modules. Indeed,  $\eta_0 = T_{1,-1}^{(1)}$  and by (10.1)

$$(10.2) \quad \eta_{i+1} = \left(-\frac{1}{2}\right)[T_{1,1}^{(2)}, \eta_i].$$

Note that

$$\Delta(T_{1,-1}^{(1)}) = T_{1,-1}^{(1)} \otimes 1 + 1 \otimes T_{1,-1}^{(1)}.$$

Hence  $\eta_0$  acts on  $V(\mathbf{s}) \otimes \Gamma_f$  as  $\eta_0 \otimes 1$ . Then it follows by induction from (10.2) that  $\eta_i$  acts on  $V(\mathbf{s}) \otimes \Gamma_f$  as  $\eta_i \otimes 1$  for all  $i$ . Then every  $\zeta \in \mathbf{C}$  acts as  $\zeta \otimes 1$ . Hence  $V(\mathbf{s})$

and  $V(\mathbf{s}) \otimes \Gamma_f$  are isomorphic  $\mathbf{C}$ -modules, and they admit the same central character  $\chi$ .

On the other hand,  $YQ(1)$ -modules  $V(\mathbf{s})$  and  $V(\mathbf{s}')$ , where  $\mathbf{s} = (s_1, \dots, s_n)$  and  $\mathbf{s}' = (s'_1, \dots, s'_m)$  are regular typical, have the same central character  $\chi$  if and only if  $n = m$  and  $\mathbf{s}'$  is a permutation of  $\mathbf{s}$ .

Indeed, a  $YQ(1)$ -module  $V(\mathbf{s})$  admits a central character  $\chi$ . It can be presented using the generating function

$$\chi(u) = \sum_{i=0}^{\infty} \chi_{2i} u^{-2i-1},$$

where  $\chi_{2i} = \chi(Z_{2i})$ . Let  $\sigma_k$  denote the  $k$ -th elementary symmetric polynomial. We proved in [10] that

$$\chi(u) = \frac{\sum_{i=0}^{\infty} \sigma_{2i+1}(\mathbf{s}) u^{-2i-1}}{1 + \sum_{i=1}^{\infty} \sigma_{2i}(\mathbf{s}) u^{-2i}}.$$

Note that  $V(s_1, \dots, s_n)$  and  $V(s_1, \dots, s_n, 0)$  have the same central character. Suppose that  $V(\mathbf{s})$  and  $V(\mathbf{s}')$  have the same central character  $\chi$  and  $\mathbf{s}, \mathbf{s}'$  are regular typical. Assume that  $n \geq m$ . Extend  $\mathbf{s}'$  to the  $n$ -tuple  $\mathbf{s}'' = (s'_1, \dots, s'_m, 0, 0, \dots, 0)$ . Then  $V(\mathbf{s}'')$  and  $V(\mathbf{s})$  have the same central character  $\chi$ . Note that  $\varphi_n(Z) = Z^n$  (see [10]). Thus  $\chi = \chi_{\mathbf{s}} \circ \varphi_n = \chi_{\mathbf{s}''} \circ \varphi_n$ . Then  $\chi_{\mathbf{s}} = \chi_{\mathbf{s}''}$ . Hence by Lemma 8.2,  $\mathbf{s}$  and  $\mathbf{s}''$  have the same core (up to permutation). Hence  $m = n$  and  $\mathbf{s}'$  is a permutation of  $\mathbf{s}$ . Clearly, if  $\mathbf{s}'$  is a permutation of  $\mathbf{s}$ , then  $\chi_{\mathbf{s}} = \chi_{\mathbf{s}'}$ , and hence  $V(\mathbf{s})$  and  $V(\mathbf{s}')$  have the same central character  $\chi$ .  $\square$

Recall that simple modules are partitioned into *blocks*. If two simple modules  $M_1$  and  $M_2$  can be extended nontrivially, i.e., if there is a non-split short exact sequence  $0 \rightarrow M_i \rightarrow M \rightarrow M_j \rightarrow 0$  with  $\{i, j\} = \{1, 2\}$ , then  $M_1$  and  $M_2$  belong to the same block, and we will say that they are *linked*. Here we agree that  $M_i$  is linked to itself. More generally, if there is a finite sequence of simple modules  $M = M_1, M_2, \dots, M_n = N$  such that adjacent pairs belong to the same block, then modules  $M$  and  $N$  belong to this block. A module  $M$  belongs to a block if all its composition factors do. Each block lies in a single  $(YQ(1))^{\chi}$ -mod. However, different blocks can belong to the same  $(YQ(1))^{\chi}$ -mod: see [2].

## 11. THE SUBCATEGORY $(YQ(1))^{\chi=0}$ -mod

It follows from Proposition 9.5 that simple modules in the subcategory  $(YQ(1))^{\chi=0}$ -mod are exactly the 1-dimensional modules  $\Gamma_f$  up to change of parity. Let  $\Gamma_f$  and  $\Gamma_g$  be two  $YQ(1)$ -modules, where

$$(11.1) \quad f(u) = \sum_{k \geq 0} a_{2k} u^{-2k}, \quad g(u) = \sum_{k \geq 0} b_{2k} u^{-2k}, \quad a_0 = b_0 = 1.$$

Recall that  $\Gamma_f$  is linked to itself. If  $f \neq g$ , then one can easily check that the short exact sequence

$$0 \longrightarrow \Gamma_f \longrightarrow M \longrightarrow \Gamma_g \longrightarrow 0$$

splits. Indeed, we have the following relations in  $YQ(1)$ :

$$(11.2) \quad [T_{1,1}^{(2k)}, T_{1,-1}^{(1)}] = 2T_{1,-1}^{(2k)}.$$

$$(11.3) \quad [T_{1,1}^{(2)}, T_{1,-1}^{(2k)}] = 2T_{1,-1}^{(2k+1)} + 2T_{1,-1}^{(2k)} - 2T_{1,1}^{(2k)}T_{1,-1}^{(1)}.$$

$$(11.4) \quad [T_{1,-1}^{(1)}, T_{1,-1}^{(2k+1)}] = -2T_{1,1}^{(2k+1)}.$$

All odd generators  $T_{1,-1}^{(r)}$  act on  $M$  by zero, since  $M$  is a purely even module. Then  $T_{1,1}^{(2k+1)}$  also acts on  $M$  by zero by (11.4). Note that  $T_{1,1}^{(2k)}$  acts on  $M$  as  $\begin{pmatrix} a_{2k} & c_{2k} \\ 0 & b_{2k} \end{pmatrix}$ , and there exists  $m$  such that  $a_{2m} \neq b_{2m}$ , since  $f \neq g$ . We can choose a basis in  $M$  so that  $c_{2m} = 0$ . Then  $c_{2k} = 0$  for all  $k$ , since  $T_{1,1}^{(2k)}$  commute. Hence  $M \simeq \Gamma_f \oplus \Gamma_g$ .

We will determine when  $\Gamma_f$  is linked with  $\Pi(\Gamma_g)$ . Let  $x_k = \frac{1}{2}(a_{2k} - b_{2k})$ .

**Theorem 11.1.**  *$\text{Ext}^1(\Pi(\Gamma_g), \Gamma_f) \neq 0$  if and only if  $x_1$  is an arbitrary complex number and  $x_k$  for  $k > 1$  satisfies the recurrence relation*

$$(11.5) \quad x_{k+1} = (x_1 x_k - x_k + a_{2k})x_1.$$

*Proof.* Note that the short exact sequence

$$0 \longrightarrow \Gamma_f \longrightarrow M \longrightarrow \Pi(\Gamma_g) \longrightarrow 0$$

is non-split if and only if  $T_{1,-1}^{(1)}$  does not act by zero. Indeed, if  $T_{1,-1}^{(1)}$  acts by zero, then  $T_{1,-1}^{(2k)}$  and  $T_{1,-1}^{(2k+1)}$  also act by zero for all  $k$  by (11.2) and (11.3), but then  $M \simeq \Gamma_f \oplus \Pi(\Gamma_g)$ . Clearly, if  $M \simeq \Gamma_f \oplus \Pi(\Gamma_g)$ , then all odd generators act by zero.

Hence  $\text{Ext}^1(\Pi(\Gamma_g), \Gamma_f) \neq 0$  if and only if one can define a representation  $\rho : YQ(1) \longrightarrow \text{End}(\mathbb{C}^{1|1})$  such that (up to equivalence)

$$(11.6) \quad \rho(T_{1,1}^{(2k)}) = \begin{pmatrix} a_{2k} & 0 \\ 0 & b_{2k} \end{pmatrix}, \quad \rho(T_{1,-1}^{(1)}) = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}.$$

Then

$$(11.7) \quad \rho(T_{1,-1}^{(2k)}) = \begin{pmatrix} 0 & \frac{1}{2}(a_{2k} - b_{2k}) \\ 0 & 0 \end{pmatrix},$$

$$(11.8) \quad \rho(T_{1,-1}^{(2k+1)}) = \begin{pmatrix} 0 & \frac{1}{4}(a_2 - b_2)(a_{2k} - b_{2k}) + \frac{1}{2}(a_{2k} + b_{2k}) \\ 0 & 0 \end{pmatrix},$$

$$(11.9) \quad \rho(T_{1,1}^{(2k+1)}) = 0.$$

Here (11.7) follows from (11.6) and the relation (11.2), (11.8) follows from (11.6), (11.7), and (11.3), and (11.9) follows from (11.8) and (11.4).

Let  $x_k = \frac{1}{2}(a_{2k} - b_{2k})$ . Then from (11.7)

$$(11.10) \quad \rho(T_{1,-1}^{(2k)}) = \begin{pmatrix} 0 & x_k \\ 0 & 0 \end{pmatrix},$$

and from (11.8)

$$(11.11) \quad \rho(T_{1,-1}^{(2k+1)}) = \begin{pmatrix} 0 & x_1 x_k - x_k + a_{2k} \\ 0 & 0 \end{pmatrix},$$

The recurrence relation (4.3) with  $m = 2k - 1$  and  $r = 2p + 2$  gives the relation

$$(11.12) \quad \begin{aligned} & ([T_{1,1}^{(2k)}, T_{1,-1}^{(2p+1)}] - [T_{1,1}^{(2k-2)}, T_{1,-1}^{(2p+3)}]) = \\ & T_{1,1}^{(2k-1)} T_{1,-1}^{(2p+1)} + T_{1,1}^{(2k-2)} T_{1,-1}^{(2p+2)} - T_{1,1}^{(2p+1)} T_{1,-1}^{(2k-1)} - T_{1,1}^{(2p+2)} T_{1,-1}^{(2k-2)} \\ & + T_{-1,1}^{(2k-1)} T_{-1,-1}^{(2p+1)} - T_{-1,1}^{(2k-2)} T_{-1,-1}^{(2p+2)} - T_{-1,1}^{(2p+1)} T_{-1,-1}^{(2k-1)} + T_{-1,1}^{(2p+2)} T_{-1,-1}^{(2k-2)}. \end{aligned}$$

From (11.12) and (11.6), (11.10), (11.11), (11.9) we obtain the relation

$$x_1 x_p x_k + (a_{2p} - x_p) x_k - x_1 x_{p+1} x_{k-1} = x_{p+1} (a_{2k-2} - x_{k-1}).$$

If  $p = 0$  (and  $a_0 = 1, x_0 = 0$ ) we have

$$x_k - x_1^2 x_{k-1} = x_1 (a_{2k-2} - x_{k-1}),$$

which is equivalent to (11.5). On the other hand, one can check that  $\rho$  defined by (11.6), (11.9), (11.10) and (11.11), with  $x_k$  satisfying (11.5), preserves the relations (4.3).  $\square$

**Corollary 11.2.** *Let  $x_k = \frac{1}{2}(b_{2k} - a_{2k})$ . Then  $\text{Ext}^1(\Gamma_f, \Pi(\Gamma_g)) \neq 0$  if and only if  $x_1$  is an arbitrary complex number and  $x_k$  for  $k > 1$  satisfies the recurrence relation*

$$(11.13) \quad x_{k+1} = (x_1 x_k + x_k + a_{2k}) x_1.$$

## 12. TOWARDS THE GENERAL CASE: THE SUBCATEGORY $(YQ(1))^{\times}\text{-mod}$

Making use of Lemma 10.1, we would like to determine conditions under which two  $YQ(1)$ -modules  $V(\mathbf{s}) \otimes \Gamma_f$  and  $V(\mathbf{s}) \otimes \Gamma_g$  can be extended nontrivially.

We will consider the case, when  $\mathbf{s} = (s)$ . We denote  $V(\mathbf{s})$  by  $V(s)$ .

**Proposition 12.1.** *Let  $V(s) \otimes \Gamma_f$  and  $V(s) \otimes \Gamma_g$  be  $YQ(1)$ -modules, where  $s \neq 0$ , let  $f(u)$  and  $g(u)$  be given by (11.1), and let  $x_k = \frac{1}{2}(a_{2k} - b_{2k})$ . Then  $\text{Ext}^1(V(s) \otimes \Pi(\Gamma_g), V(s) \otimes \Gamma_f) \neq 0$  if and only if  $x_k$  satisfies the recurrence relation (11.5).*

*Proof.* First, show that if

$$(12.1) \quad 0 \longrightarrow \Gamma_f \longrightarrow \mathbb{C}^{1|1} \longrightarrow \Pi(\Gamma_g) \longrightarrow 0$$

is a non-split short exact sequence of  $YQ(1)$ -modules, then the short exact sequence of  $YQ(1)$ -modules

$$(12.2) \quad 0 \longrightarrow V(s) \otimes \Gamma_f \longrightarrow V(s) \otimes \mathbb{C}^{1|1} \longrightarrow V(s) \otimes \Pi(\Gamma_g) \longrightarrow 0$$

is non-split if and only if  $x_1 \neq s$ .

Let  $\mathbb{C}^{1|1} = \langle \mathbf{1} \mid \bar{\mathbf{1}} \rangle$  and  $V(s) = \langle v \mid w \rangle$ , where  $\mathbf{1}$  and  $v$  are even and  $\bar{\mathbf{1}}$  and  $w$  are odd. Note that  $T_{1,1}^{(1)}$  and  $T_{1,-1}^{(1)}$  act on  $V(s)$  as  $\begin{pmatrix} -s & 0 \\ 0 & -s \end{pmatrix}$  and  $\begin{pmatrix} 0 & \sqrt{s} \\ \sqrt{s} & 0 \end{pmatrix}$ , respectively, and  $T_{1,1}^{(r)}$  and  $T_{1,-1}^{(r)}$  act by zero if  $r \geq 2$ . Let  $\Gamma_f = \langle \mathbf{1} \rangle$ . Suppose that  $V(s) \otimes \mathbb{C}^{1|1} = V(s) \otimes \Gamma_f \oplus M$  is a direct sum of  $YQ(1)$ -modules. Then  $M = \langle X \mid Y \rangle$ , where

$$(12.3) \quad \begin{aligned} X &= a(v \otimes \mathbf{1}) + (w \otimes \bar{\mathbf{1}}), \quad a \in \mathbb{C}, \\ Y &:= T_{1,-1}^{(1)}(X) = (a\sqrt{s} - 1)(w \otimes \mathbf{1}) + \sqrt{s}(v \otimes \bar{\mathbf{1}}). \end{aligned}$$

Obviously,  $T_{1,1}^{(n)}(X) = \lambda_n X$  for some  $\lambda_n \in \mathbb{C}$ . If  $n$  is even this implies that  $2x_1 a = \sqrt{s}$ , and if  $n$  is odd, then  $2a\sqrt{s} = 1$ . Hence  $x_1 = s$ . One can easily check that if  $x_1 = s$ , then  $M$  defined by (12.3), where  $a = \frac{1}{2\sqrt{s}}$ , is a  $YQ(1)$ -submodule of  $V(s) \otimes \mathbb{C}^{1|1}$ .

Next, suppose that there is a non-split short exact sequence of  $YQ(1)$ -modules

$$(12.4) \quad 0 \longrightarrow V(s) \otimes \Gamma_f \longrightarrow M \longrightarrow V(s) \otimes \Pi(\Gamma_g) \longrightarrow 0.$$

Describe the action of  $YQ(1)$  on  $V(s) \otimes \Gamma_f$ . Recall that  $YQ(1)$  is generated by  $T_{1,1}^{(2k)}$  and  $T_{1,-1}^{(1)}$  (see [10], Lemma 5.1). Let  $\{v, w\}$  be a basis of  $V(s)$ , and  $\Gamma_f = \langle \mathbf{1} \rangle$ . Then  $V(s) \otimes \Gamma_f = \langle v_1 \mid w_1 \rangle$ , where  $v_1 = v \otimes \mathbf{1}$  and  $w_1 = w \otimes \mathbf{1}$ . The action of  $T_{1,1}^{(2k)}$  and  $T_{1,-1}^{(1)}$  with respect to this basis is given by the matrices  $\begin{pmatrix} a_{2k} & 0 \\ 0 & a_{2k} \end{pmatrix}$  and  $\begin{pmatrix} 0 & \sqrt{s} \\ \sqrt{s} & 0 \end{pmatrix}$ , respectively.

Let  $f$  and  $g$  be given by (11.1), and let  $x_k = \frac{1}{2}(a_{2k} - b_{2k})$ . Assume that  $f \neq g$ . Then there exists  $m$  such that  $a_{2m} \neq b_{2m}$ . We can choose a basis in  $M$ :  $\{v_1, w_1, v_2, w_2\}$ , where  $v_1$  and  $v_2$  are even and  $w_1$  and  $w_2$  are odd, with respect to which the action of  $T_{1,1}^{(2m)}$  is given by a diagonal matrix, and since all  $T_{1,1}^{(2k)}$  commute, they also act by diagonal matrices:

$$(12.5) \quad \begin{pmatrix} a_{2k} & 0 & 0 & 0 \\ 0 & a_{2k} & 0 & 0 \\ 0 & 0 & b_{2k} & 0 \\ 0 & 0 & 0 & b_{2k} \end{pmatrix}.$$

We choose a basis so that in addition  $T_{1,-1}^{(1)}$  acts by

$$(12.6) \quad \begin{pmatrix} 0 & \sqrt{s} & 0 & 1 \\ \sqrt{s} & 0 & -1 & 0 \\ 0 & 0 & 0 & \sqrt{s} \\ 0 & 0 & \sqrt{s} & 0 \end{pmatrix}.$$

Using (11.2)-(11.4) we obtain that the action of  $T_{1,-1}^{(2k)}$  and  $T_{1,-1}^{(2k+1)}$  on  $M$  is given by the following matrices, respectively:

$$(12.7) \quad \begin{pmatrix} 0 & 0 & 0 & x_k \\ 0 & 0 & -x_k & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & a_{2k}\sqrt{s} & 0 & x_1x_k - x_k + a_{2k} \\ a_{2k}\sqrt{s} & 0 & -(x_1x_k - x_k + a_{2k}) & 0 \\ 0 & 0 & 0 & b_{2k}\sqrt{s} \\ 0 & 0 & b_{2k}\sqrt{s} & 0 \end{pmatrix},$$

and  $T_{1,1}^{(2k+1)}$  acts by

$$(12.8) \quad \begin{pmatrix} -a_{2k}s & 0 & 0 & x_k\sqrt{s} \\ 0 & -a_{2k}s & -x_k\sqrt{s} & 0 \\ 0 & 0 & -b_{2k}s & 0 \\ 0 & 0 & 0 & -b_{2k}s \end{pmatrix}.$$

Then using the relation (11.12), we can show that  $x_k$  are determined exactly by the recurrence relation (11.5). If  $f = g$ , then all  $x_k$  are zero. Clearly, they satisfy (11.5). On the other hand, one can define the action of  $YQ(1)$  on  $M$  by (12.5), (12.7) and (12.8), where  $x_k$  satisfy the recurrence relation (11.5), and show that this actions respects (4.3).  $\square$

*Remark 12.2.* Note that if  $x_1 \neq 0, s$ , then  $M$  is isomorphic to the  $YQ(1)$ -module  $V(s) \otimes \mathbb{C}^{1|1}$  defined by (12.2). Indeed, let  $V(s) = \langle v \mid w \rangle$  and  $\mathbb{C}^{1|1} = \langle \mathbf{1} \mid \bar{\mathbf{1}} \rangle$ . Then  $V(s) \otimes \mathbb{C}^{1|1} = \langle v \otimes \mathbf{1}, w \otimes \mathbf{1} \mid w \otimes \bar{\mathbf{1}}, v \otimes \bar{\mathbf{1}} \rangle$ . Note that in this basis  $T_{1,1}^{(2)}$  acts by

$$(12.9) \quad \begin{pmatrix} a_2 & 0 & -\sqrt{s} & 0 \\ 0 & a_2 & 0 & \sqrt{s} \\ 0 & 0 & b_2 & 0 \\ 0 & 0 & 0 & b_2 \end{pmatrix}.$$

If  $x_1 \neq 0$ , one can choose a basis so that the matrix of  $T_{1,1}^{(2)}$  is diagonal, and correspondingly, the matrices for all  $T_{1,1}^{(2k)}$  are given by (12.5). Also, if  $x_1 \neq s$ , then multiplying  $v \otimes \mathbf{1}$  and  $w \otimes \mathbf{1}$  by  $1 - \frac{s}{x_1}$ , we obtain that  $T_{1,-1}^{(1)}$  acts in this basis by the matrix (12.6). Then  $M \simeq V(s) \otimes \mathbb{C}^{1|1}$ , since  $YQ(1)$  is generated by  $T_{1,1}^{(2k)}$  and  $T_{1,-1}^{(1)}$ .

**Conjecture 12.3.** Let  $S$  be a simple finite-dimensional  $YQ(1)$ -module. Let  $n \geq 2$ ,  $\mathbf{s} = (s_1, s_2, \dots, s_n)$  be regular typical, and let  $f(u)$  and  $g(u)$  be given by (11.1). Let  $x_k = \frac{1}{2}(a_{2k} - b_{2k})$ . Then

$$\text{Ext}^1(S, V(\mathbf{s}) \otimes \Gamma_f) \neq 0,$$

if and only if  $S \simeq V(\mathbf{s}) \otimes \Pi(\Gamma_g)$ , where  $x_1$  is an arbitrary complex number and  $x_k$  for  $k > 1$  satisfies the recurrence relation (11.5). The short exact sequence (12.2) is non-split.

### 13. THE CATEGORY $W^n\text{-mod}$

Let  $W^n\text{-mod}$  be the category of finite-dimensional  $W^n$ -modules. Let  $(W^n)^\chi\text{-mod}$  be the full subcategory of modules admitting generalized central character  $\chi$ . The category  $W^n\text{-mod}$  is the direct sum of subcategories  $(W^n)^\chi\text{-mod}$ , as  $\chi$  ranges over the central characters  $\chi$  for which  $(W^n)^\chi\text{-mod}$  is nonempty. We proved in [10] (Lemma 4.12) that a simple  $W^n$ -module  $S$  belongs to  $(W^n)^\chi\text{-mod}$  if and only if it is isomorphic (up to change of parity) to  $S(\mathbf{t}, \lambda)$  with  $\lambda = c(\chi)$ .

### 14. THE SUBCATEGORY $(W^n)^{\chi=0}\text{-mod}$

Note that simple modules in the subcategory  $(W^n)^{\chi=0}\text{-mod}$  are exactly the 1-dimensional modules  $S(\mathbf{t})$  up to change of parity (see [10]).

**Theorem 14.1.** Fix  $\mathbf{t} = (t_1, \dots, t_p)$  and  $\mathbf{t}' = (t'_1, \dots, t'_q)$ , where  $p$  and  $q$  are less than or equal to  $\frac{n}{2}$ . Consider the  $W^n$ -modules  $S(\mathbf{t})$  and  $S(\mathbf{t}')$ . Define  $a_{2k} = \sigma_k(t_1, \dots, t_p)$  for  $k = 1, \dots, p$ ,  $a_{2k} = 0$  for  $k > p$ . Similarly, define  $b_{2k} = \sigma_k(t'_1, \dots, t'_q)$  for  $k = 1, \dots, q$ ,  $b_{2k} = 0$  for  $k > q$ . Let  $x_k = \frac{1}{2}(a_{2k} - b_{2k})$ .

(a) If  $S(\mathbf{t})$  is a nontrivial  $W^n$ -module, then  $\text{Ext}^1(\Pi(S(\mathbf{t}')), S(\mathbf{t})) \neq 0$  if and only if  $x_1 \neq 0$  and  $x_k$  for  $k > 1$  satisfies the recurrence relation (11.5) or  $S(\mathbf{t}')$  is isomorphic to  $S(\mathbf{t})$  and  $n > 2p$ .

(b) If  $S(\mathbf{t}) = \mathbb{C}^{1|0}$  is the trivial  $W^n$ -module, then  $\text{Ext}^1(\Pi(S(\mathbf{t}')), S(\mathbf{t})) \neq 0$  if and only if  $S(\mathbf{t}') = \mathbb{C}^{1|0}$  or  $\mathbf{t}' = (t'_1)$  with  $t'_1 = -2$ .

*Proof.* Suppose that  $\text{Ext}^1(\Pi(S(\mathbf{t}')), S(\mathbf{t})) \neq 0$ . Lift  $S(\mathbf{t})$  and  $S(\mathbf{t}')$  to  $YQ(1)$ -modules  $\Gamma_f$  and  $\Gamma_g$ , respectively, where  $f$  and  $g$  are given by (11.1). Then  $\text{Ext}^1(\Pi(\Gamma_g), \Gamma_f) \neq 0$ . Hence by Theorem 11.1,  $x_k$  satisfy (11.5). Note that if  $x_1 = 0$ , then all  $x_k = 0$  and hence  $S(\mathbf{t}')$  is isomorphic to  $S(\mathbf{t})$ . One can show that if  $S(\mathbf{t})$  is a nontrivial  $W^n$ -module, which is linked with  $\Pi(S(\mathbf{t}'))$ , then  $n > 2p$ . Indeed, suppose that  $n = 2p$ . Then there exists a non-split short exact sequence

$$(14.1) \quad 0 \longrightarrow S(\mathbf{t}) \longrightarrow M \longrightarrow \Pi(S(\mathbf{t}')) \longrightarrow 0.$$



We lift the  $W^n$ -module  $M$  to a  $YQ(1)$ -module. Then the action of  $YQ(1)$  on  $M$  is given by (11.6)-(11.9) (up to equivalence), where  $a_{2k} = b_{2k}$  for all  $k$ . Then

$$(14.2) \quad \rho(T_{1,-1}^{(2p+1)}) = \begin{pmatrix} 0 & a_{2p} \\ 0 & 0 \end{pmatrix}.$$

Note that  $\rho(T_{1,-1}^{(2p+1)}) = 0$ , since  $2p + 1 > n$ . Hence  $a_{2p} = 0$ , but

$$a_{2p} = \sigma_p(t_1, \dots, t_p) = t_1 \cdot \dots \cdot t_p.$$

Hence  $t_i = 0$  for some  $i$ . A contradiction, since all  $t_i$  are nonzero.

Conversely, show that if  $x_k$  satisfy (11.5), then the lifted modules  $\Gamma_f$  and  $\Pi(\Gamma_g)$  are linked (see (12.1)). Assume that  $x_1 \neq 0$ . Then (11.5) implies that

$$x_1 x_k - x_k + a_{2k} = 0$$

for  $2k \geq n$ , if  $n$  is even, and for  $2k \geq n - 1$ , if  $n$  is odd. Hence  $\rho(T_{1,-1}^{(r)}) = 0$  if  $r > n$  by (11.10) and (11.11), and  $\rho(T_{1,1}^{(r)}) = 0$  if  $r > n$  by (11.6) and (11.9). Recall that the kernel of the surjective homomorphism  $\varphi_n : YQ(1) \rightarrow W^n$  is generated by  $T_{1,1}^{(r)}$  and  $T_{1,-1}^{(r)}$ , where  $r > n$ . This allows one to define a representation  $\mu : W^n \rightarrow \text{End}(\mathbb{C}^{1^1})$  such that  $\rho = \mu \circ \varphi_n$ . Thus  $S(\mathbf{t})$  is linked with  $\Pi(S(\mathbf{t}'))$ .

If  $S(\mathbf{t}) = \mathbb{C}^{1^0}$ , then  $a_{2k} = 0$  for  $k \geq 1$ . From (11.5),  $x_1 = 0$  or  $x_1 = 1$ . In the first case  $x_k = 0$  and  $b_{2k} = 0$  for  $k \geq 1$ . Hence  $S(\mathbf{t}')$  is the trivial module. In the second case,  $x_1 = 1$  and  $x_k = 0$  for  $k \geq 2$ ,  $b_2 = -2$ , and  $b_{2k} = 0$  for  $k \geq 2$ . Hence  $\mathbf{t}' = (t'_1)$  with  $t'_1 = -2$ .

Finally, assume that  $S(\mathbf{t})$  is a nontrivial  $W^n$ -module and  $n > 2p$ . Let  $r = n - 2p$ . Recall that there is an embedding  $W^n \hookrightarrow W^r \otimes (W^2)^{\otimes p}$ , and

$$S(\mathbf{t}) = \mathbb{C} \boxtimes \Gamma_{t_1} \boxtimes \dots \boxtimes \Gamma_{t_p},$$

where the first term  $\mathbb{C}$  in the tensor product denotes the trivial  $W^r$ -module. By (b), there exists a non-split short exact sequence of  $W^r$ -modules

$$(14.3) \quad 0 \rightarrow \mathbb{C}^{1^0} \rightarrow \mathbb{C}^{1^1} \rightarrow \mathbb{C}^{0^1} \rightarrow 0.$$

Consider the  $W^n$ -module  $M = \mathbb{C}^{1^1} \boxtimes \Gamma_{t_1} \boxtimes \dots \boxtimes \Gamma_{t_p}$ . Then we obtain an exact sequence (14.1), which is non-split. Indeed, let  $\xi_i$  for  $i = 1, \dots, r$  be odd elementary matrices in  $Q(r)$ , and  $\xi_j^1, \xi_j^2$  be odd elementary matrices in  $Q(2)$  for  $j = 1, \dots, p$ , see (2.1). Recall that there is a surjective homomorphism  $\varphi_n : YQ(1) \rightarrow W^n$  for every  $n$ , see Proposition 5.2. Note that  $\varphi_r(T_{1,-1}^{(1)}) = \xi_1 + \dots + \xi_r$  by (2.6) in [10]. Because the exact sequence (14.3) is non-split, the action of  $\varphi_r(T_{1,-1}^{(1)})$  on  $\mathbb{C}^{1^1}$  is nonzero. Also,

$$\varphi_{r+2p}(T_{1,-1}^{(1)}) = \sum_{i=1}^r \xi_i \otimes 1^{\otimes p} + \sum_{j=1}^p 1 \otimes 1^{\otimes(j-1)} \otimes (\xi_j^1 + \xi_j^2) \otimes 1^{\otimes(p-j)}$$

by (2.6) and (3.10) in [10]. Note that  $\xi_j^1$  and  $\xi_j^2$  act on  $\Gamma_{t_j}$  by zero for  $j = 1, \dots, p$ . Hence  $\varphi_{r+2p}(T_{1,-1}^{(1)})$  acts on  $M$  as  $(\xi_1 + \dots + \xi_r) \otimes 1^{\otimes p}$ , and this action is nonzero. Thus  $\text{Ext}^1(\Pi(S(\mathbf{t})), S(\mathbf{t})) \neq 0$ .  $\square$

*Remark 14.2.* Suppose that  $\Gamma_f$  is lifted from a nontrivial module  $S(\mathbf{t})$ , and assume that  $\text{Ext}^1(\Pi(\Gamma_g), \Gamma_f) \neq 0$ . Note that  $\Gamma_g$  is lifted from some  $W^n$ -module  $S(\mathbf{t}')$  which is not isomorphic to  $S(\mathbf{t})$ , if and only if  $x_{\frac{n+2}{2}} = 0$  if  $n$  is even and  $x_{\frac{n+1}{2}} = 0$  if  $n$  is odd, see (11.5). This means that  $x_1$  is a (nonzero) root of the polynomial of degree  $n$  (respectively,  $n-1$ ) defined by the recurrence relation (11.5) if  $n$  is even (respectively, odd). Then we set  $b_{2k} = a_{2k} - 2x_k$  for all  $k$  and find  $\mathbf{t}' = (t'_1, \dots, t'_q)$  such that  $b_{2k} = \sigma_k(\mathbf{t}')$ . Here  $\mathbf{t}'$  is defined up to permutation of  $t'_1, \dots, t'_q$ , and we delete all zero entries. Then  $\text{Ext}^1(\Pi(S(\mathbf{t}')), S(\mathbf{t})) \neq 0$ . Also, if  $n > 2p$ , then  $\text{Ext}^1(\Pi(S(\mathbf{t})), S(\mathbf{t})) \neq 0$ . Moreover, all modules  $S(\mathbf{t}')$  satisfying the above formula are obtained in this way.

**Corollary 14.3.** (a) *If  $S(\mathbf{t})$  is a nontrivial  $W^n$ -module, then  $\text{Ext}^1(S(\mathbf{t}), \Pi(S(\mathbf{t}'))) \neq 0$  if and only if  $x_k := \frac{1}{2}(b_{2k} - a_{2k})$  satisfies the recurrence relation (11.13) for  $k > 0$  and  $x_1 \neq 0$  or  $S(\mathbf{t}')$  is isomorphic to  $S(\mathbf{t})$  and  $n > 2p$ .*

(b) *If  $S(\mathbf{t})$  is a trivial  $W^n$ -module, then  $\text{Ext}^1(S(\mathbf{t}), \Pi(S(\mathbf{t}'))) \neq 0$  if and only if  $S(\mathbf{t}') = \mathbb{C}^{1^0}$  or  $\mathbf{t}' = (t'_1)$  with  $t'_1 = -2$ .*

## 15. BLOCKS IN THE CATEGORY $W^2\text{-mod}$

**Lemma 15.1.** *Let  $n = 2$ . A simple  $W^2$ -module  $S$  belongs to  $(W^2)^x\text{-mod}$  if and only if one of the following three cases takes place:*

- (1)  $S \simeq V(s_1, s_2)$  for  $s_1 \neq -s_2, s_1, s_2 \neq 0$  and  $c(\chi) = (s_1, s_2)$ ,
- (2)  $S \simeq V(s, 0)$  for  $s \neq 0$  and  $c(\chi) = (s)$ ,
- (3)  $S \simeq \Gamma_t$  or  $\Pi(\Gamma_t)$  and  $\chi = 0$ .

*Proof.* Follows from Lemma 4.12 in [10].  $\square$

**Theorem 15.2.** (1) *Each simple  $W^2$ -module  $V(s_1, s_2)$  for  $s_1 \neq -s_2, s_1, s_2 \neq 0$  forms a block in  $(W^2)^x\text{-mod}$ , where  $c(\chi) = (s_1, s_2)$ .*

(2) *Each simple  $W^2$ -module  $V(s, 0)$  for  $s \neq 0$  forms a block in  $(W^2)^x\text{-mod}$ , where  $c(\chi) = (s)$ .*

(3) *The blocks in the subcategory  $(W^2)^{x=0}\text{-mod}$  are described as follows. Let  $a \in \mathbb{C}$ . Define*

$$(15.1) \quad a_n = a - n^2 + n\sqrt{1 - 4a} \text{ for } n = 0, \pm 1, \pm 2, \dots$$

*Then  $\Gamma_a$  lies in the block formed by  $\Gamma_{a_n}$  if  $n$  is even and  $\Pi\Gamma_{a_n}$ , if  $n$  is odd.  $\Pi\Gamma_a$  lies in the block formed by  $\Pi\Gamma_{a_n}$  if  $n$  is even and  $\Gamma_{a_n}$ , if  $n$  is odd.*

*Proof.* Statements (1) and (2) follow from Lemma 8.2 and Lemma 15.1. To prove (3), first we will show that  $\Gamma_a$  is linked with  $\Pi\Gamma_b$  if and only if

$$(15.2) \quad b = a - 1 \pm \sqrt{1 - 4a}.$$

Let  $S(\mathbf{t}) = \Gamma_a$  and  $S(\mathbf{t}') = \Gamma_b$ . Set  $a_0 = b_0 = 1$ ,  $a_2 = a$ ,  $b_2 = b$  and  $a_{2k} = b_{2k} = 0$  for  $k > 1$ ,  $x_k = \frac{1}{2}(a_{2k} - b_{2k})$  for  $k \geq 0$ . Suppose  $a \neq 0$ , then by Theorem 14.1 (a)

$$x_2 = (x_1^2 - x_1 + a_2)x_1,$$

and  $x_1$  must satisfy  $x_1^2 - x_1 + a_2 = 0$ . Hence  $x_1 = \frac{1}{2}(1 \pm \sqrt{1 - 4a})$ . Thus  $b = a - 1 \pm \sqrt{1 - 4a}$ . Note that Corollary 14.3 (a) gives the same result.

If  $a = 0$ , then by Theorem 14.1 (b) we have that  $b = 0$  or  $b = -2$ . Hence (15.2) holds.

Note that  $b$  is a root of the equation

$$(15.3) \quad b^2 + (2 - 2a)b + (a^2 + 2a) = 0.$$

The sum of the roots of equation (15.3) is  $2a - 2$ . This gives the relation

$$(15.4) \quad a_{n-1} + a_{n+1} = 2a_n - 2 \quad (a_n = a).$$

Then (15.2) and (15.4) imply (15.1).  $\square$

**Example 15.3.** (1)  $a = 0$ , then  $a_n = n(1 - n)$  and  $\Gamma_0$  lies in the block

$$\dots, \Gamma_{-30}, \text{III}\Gamma_{-20}, \Gamma_{-12}, \text{III}\Gamma_{-6}, \Gamma_{-2}, \text{III}\Gamma_0, \Gamma_0, \text{III}\Gamma_{-2}, \Gamma_{-6}, \text{III}\Gamma_{-12}, \Gamma_{-20}, \text{III}\Gamma_{-30}, \dots$$

(2)  $a = \frac{1}{4}$ , then  $a_n = \frac{1}{4} - n^2$  and  $\Gamma_{\frac{1}{4}}$  lies in the block

$$\Gamma_{\frac{1}{4}}, \text{III}\Gamma_{-\frac{3}{4}}, \Gamma_{-\frac{15}{4}}, \dots$$

(3)  $a = 1$ , then  $a_n = 1 - n^2 + n\sqrt{-3}$  and  $\Gamma_1$  lies in the block

$$\dots, \text{III}\Gamma_{-3\sqrt{-3}-8}, \Gamma_{-2\sqrt{-3}-3}, \text{III}\Gamma_{-\sqrt{-3}}, \Gamma_1, \text{III}\Gamma_{\sqrt{-3}}, \Gamma_{2\sqrt{-3}-3}, \text{III}\Gamma_{3\sqrt{-3}-8}, \dots$$

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