# PNAS

### **Supporting Information for**

## Dynamics in a behavioral-epidemiological model for individual adherence to a nonpharmaceutical intervention

Chadi M. Saad-Roy and Arne Traulsen

Chadi M. Saad-Roy. E-mail: csaadroy@berkeley.edu

#### This PDF file includes:

Supporting text

#### **Supporting Information Text**

#### Theorems and proofs

#### Equilibria of the system.

**Theorem 1.** The possible equilibria in our behavioral-epidemiological model are as follows.

- 1. There is a disease-free equilibrium with no adherence  $P_0^{(0)}$ , with  $S_0 = 1$ ,  $I_0 = 0$  and  $x_{A,0} = 0$ .
- 2. There is a disease-free equilibrium with complete adherence  $P_0^{(1)}$ , with  $S_0^{(1)} = 1$ ,  $I_0^{(1)} = 0$ , and  $x_{A,0}^{(1)} = 1$ .
- 3. Suppose  $\mathcal{R}_0 > 1$ .
  - (a) There is an endemic equilibrium with no adherence  $P_*^{(0)}$  that exists, with  $x_{A,*}^{(0)} = 0$ ,  $I_*^{(0)} = \frac{\mu+\delta}{\gamma+\mu+\delta} \left(1 \frac{\gamma+\mu}{\beta}\right)$ , and  $S_*^{(0)} = \frac{\gamma+\mu}{\beta}$ .
  - (b) If  $p > \frac{1}{\mathcal{R}_0}$ , then there is an endemic equilibrium with complete adherence  $P_*^{(1)}$  that exists, with  $x_{A,*}^{(1)} = 1$ ,  $I_*^{(1)} = \frac{\mu + \delta}{\gamma + \mu + \delta} \left(1 \frac{\gamma + \mu}{p\beta}\right)$ , and  $S_*^{(0)} = \frac{\gamma + \mu}{p\beta}$ .
  - (c) If either

$$\begin{array}{ll} i. \ p > \frac{1}{\mathcal{R}_0} \ and \ p(1-p)\beta \frac{\mu+\delta}{\gamma+\mu+\delta} \left(1 - \frac{\gamma+\mu}{p\beta}\right) < \frac{c}{\xi} < (1-p)\beta \frac{\mu+\delta}{\gamma+\mu+\delta} \left(1 - \frac{\gamma+\mu}{\beta}\right), \ or \\ ii. \ p < \frac{1}{\mathcal{R}_0} \ and \ \frac{c}{\xi} < (1-p)\beta \frac{\mu+\delta}{\gamma+\mu+\delta} \left(1 - \frac{\gamma+\mu}{\beta}\right), \end{array}$$

then there is an endemic equilibrium with partial adherence  $P_*^{(m)}$  that exists, with

$$x_A^* = \frac{1}{1-p} \left[ 1 - \frac{\gamma+\mu}{\beta} \left( 1 + \frac{1}{(1-p)\frac{\xi}{c}} \frac{\gamma+\mu+\delta}{(\gamma+\mu)(\mu+\delta)} \right) \right],\tag{1}$$

$$I_*^{(m)} = \frac{\mu + \delta}{(1-p)(\gamma+\mu)(\mu+\delta)\frac{\xi}{c} + \gamma + \mu + \delta},$$
[2]

$$S_*^{(m)} = \frac{(\gamma + \mu)(\mu + \delta)\frac{\xi}{c}(1 - p)}{(1 - p)\frac{\xi}{c}(\gamma + \mu)(\mu + \delta) + \gamma + \mu + \delta}.$$
[3]

*Proof.* We begin by proving {1} and {3 (a)}. Setting model equations (see Main Text) equal to zero, we see that  $x_A = x_{A,*}^{(0)} = 0$  satisfies  $\frac{dx_A}{dt} = 0$ , in turn giving a regular SIRS model with transmission rate  $\beta$ . Thus, solving the remaining equations gives that either  $I = I_0 = 0$  and  $S = S_0 = 1$ , or  $I = I_*^{(0)} = \frac{\mu + \delta}{\gamma + \mu + \delta} \left(1 - \frac{\gamma + \mu}{\beta}\right)$  and  $S = S_*^{(0)} = \frac{1}{\mathcal{R}_0}$ . Note that  $I_*^{(0)}$  and  $S_*^{(0)}$  exist in the feasible region only when  $\mathcal{R}_0 > 1$ .

Similarly (to prove {2} and {3 (b)}), if  $x_A = x_{A,*}^{(1)} = 1$ ,  $\frac{dx_A}{dt} = 0$ , which leaves a regular SIRS model with transmission rate  $p\beta$  and corresponding basic reproduction number  $p\mathcal{R}_0$ . As before, the *S* and *I* equations give that either  $I = I_0^{(1)} = 0$  and  $S = S_0^{(1)} = 1$ , or  $I = I_*^{(1)} = \frac{\mu+\delta}{\gamma+\mu+\delta} \left(1 - \frac{\gamma+\mu}{p\beta}\right)$  and  $S = S_*^{(1)} = \frac{1}{p\mathcal{R}_0}$ . Note that this latter equilibrium exists in the feasible region only when  $p\mathcal{R}_0 > 1$ , *i.e.*  $\mathcal{R}_0 > 1$  and  $p > \frac{1}{\mathcal{R}_0}$ .

Furthermore (to prove {3 (c)}), when  $\pi_A - \pi_N = 0$ , then  $\frac{dx_A}{dt} = 0$ . Solving  $\pi_A - \pi_N = 0$  with  $\mu - [px_A + (1 - x_A)]\beta SI - \mu S + \delta(1 - S - I) = 0$  and  $[px_A + (1 - x_A)]\beta SI - (\gamma + \mu)I = 0$  gives Eqs. [1]–[3]. Using the fact that  $0 < x_A^* < 1$  gives the inequalities in (c) (and noting that c > 0, for {(c)ii}).

#### Stability.

**Theorem 2.** The local stability of the possible equilibria are as follows:

- 1.  $P_0^{(0)}$  is locally asymptotically stable when  $\mathcal{R}_0 < 1$  and unstable when  $\mathcal{R}_0 > 1$ .
- 2.  $P_0^{(1)}$  is always unstable.
- 3. Suppose  $\mathcal{R}_0 > 1$ .
  - (a) If  $\frac{c}{\xi} > (1-p)\beta \frac{\mu+\delta}{\gamma+\mu+\delta} \left(1-\frac{\gamma+\mu}{\beta}\right)$ , then  $P_*^{(0)}$  is locally asymptotically stable, whereas it is unstable if  $\frac{c}{\xi} < (1-p)\beta \frac{\mu+\delta}{\gamma+\mu+\delta} \left(1-\frac{\gamma+\mu}{\beta}\right)$ .
  - (b) Suppose also that  $p > \frac{1}{\mathcal{R}_0}$ :

i. If c/ξ < p(1 − p)β μ+δ/(γ+μ+δ) (1 − (γ+μ)/pβ), then P\*<sup>(1)</sup> is locally asymptotically stable, whereas it is unstable if c/ξ > p(1 − p)β μ+δ/(γ+μ+δ) (1 − (γ+μ)/pβ).
ii. If p(1 − p)β μ+δ/(γ+μ+δ) (1 − (γ+μ)/pβ) < c/ξ < (1 − p)β μ+δ/(γ+μ+δ) (1 − (γ+μ)/β), then P\*<sup>(m)</sup> is locally asymptotically stable.
(c) On the other hand, suppose that p < 1/R<sub>0</sub>:

i. If  $\frac{c}{\xi} < (1-p)\beta \frac{\mu+\delta}{\gamma+\mu+\delta} \left(1-\frac{\gamma+\mu}{\beta}\right)$ , then  $P_*^{(m)}$  is locally asymptotically stable (and  $P_*^{(0)}$  is unstable)

 $\mathit{Proof.}\,$  First, note that the Jacobian matrix about any equilibrium  $\widehat{P}$  is

$$J(\widehat{P}) = \begin{pmatrix} -\beta \widehat{I}(1 - (1 - p)\widehat{x}_{A}) - (\mu + \delta) & -\beta \widehat{S}(1 - (1 - p)\widehat{x}_{A}) - \delta & (1 - p)\beta \widehat{I}\widehat{S} \\ \beta \widehat{I}(1 - (1 - p)\widehat{x}_{A}) & (1 - (1 - p)\widehat{x}_{A})\beta \widehat{S} - (\gamma + \mu) & -(1 - p)\beta \widehat{S}\widehat{I} \\ 0 & \widehat{x}_{A}(1 - \widehat{x}_{A})(1 - p)\beta \xi(1 - (1 - p)\widehat{x}_{A}) & (1 - 2\widehat{x}_{A})(\pi_{A} - \pi_{N}) - \widehat{x}_{A}(1 - \widehat{x}_{A})(1 - p)^{2}\beta \widehat{I}\xi \end{pmatrix}$$

$$[4]$$

We begin by proving {1}. The Jacobian matrix for  $P_0^{(0)}$  is

$$J(P_0^{(0)}) = \begin{pmatrix} -(\mu+\delta) & -\beta-\delta & 0\\ 0 & \beta-(\gamma+\mu) & 0\\ 0 & 0 & -c \end{pmatrix}.$$
 [5]

Since the eigenvalues are  $-(\mu + \delta) < 0$ , -c < 0, and  $\beta - (\gamma + \mu)$ ,  $P_0^{(0)}$  is stable when  $\mathcal{R}_0 = \frac{\beta}{\gamma + \mu} < 1$  and unstable when  $\mathcal{R}_0 > 1$ . To prove {2}, the Jacobian matrix for  $P_0^{(1)}$  is

$$J(P_0^{(1)}) = \begin{pmatrix} -(\mu+\delta) & -p\beta-\delta & 0\\ 0 & \beta-(\gamma+\mu) & 0\\ 0 & 0 & c \end{pmatrix}.$$
 [6]

Since one of the eigenvalues of  $J(P_0^{(1)})$  is c > 0, then  $P_0^{(1)}$  is always unstable.

To prove {3}, we begin by examining the  $\{(a)\}$  case. The Jacobian matrix at  $P_*^{(0)}$  is

$$J(P_*^{(0)}) = \begin{pmatrix} -\beta I_*^{(0)} - (\mu + \delta) & -\beta S_*^{(0)} - \delta & (1 - p)\beta I_*^{(0)} S_*^{(0)} \\ \beta I_*^{(0)} & 0 & -(1 - p)\beta S_*^{(0)} I_*^{(0)} \\ 0 & 0 & (1 - p)\beta I_*^{(0)} \xi - c \end{pmatrix}.$$
 [7]

Since  $J(P_*^{(0)})$  is block diagonal, two eigenvalues of  $J(P_*^{(0)})$  are those of the matrix

$$A = \begin{pmatrix} -\beta I_*^{(0)} - (\mu + \delta) & -\beta S_*^{(0)} - \delta \\ \beta I_*^{(0)} & 0 \end{pmatrix}.$$
 [8]

A has a negative trace and a positive determinant, and so both of its eigenvalues have negative real part by the Routh-Hurwitz criterion. The third eigenvalue of  $J(P_*^{(0)})$  is  $(1-p)\beta I_*^{(0)}\xi - c$ , which is negative when  $c > (1-p)\beta I_*^{(0)}\xi$ . Thus, if  $c > (1-p)\beta \frac{\mu+\delta}{\gamma+\mu+\delta} \left(1-\frac{\gamma+\mu}{\beta}\right)\xi$ , then  $P_*^{(0)}$  is locally asymptotically stable, whereas if  $c > (1-p)\beta \frac{\mu+\delta}{\gamma+\mu+\delta} \left(1-\frac{\gamma+\mu}{\beta}\right)\xi$ ,  $P_*^{(0)}$  is unstable.

To prove the  $\{(b)i\}$  case, consider the Jacobian matrix

$$J(P_*^{(1)}) = \begin{pmatrix} -p\beta I_*^{(1)} - (\mu + \delta) & -p\beta S_*^{(0)} - \delta & (1 - p)\beta I_*^{(1)} S_*^{(1)} \\ p\beta I_*^{(1)} & 0 & -(1 - p)\beta I_*^{(1)} S_*^{(1)} \\ 0 & 0 & -p(1 - p)\beta I_*^{(1)} \xi + c \end{pmatrix}.$$
 [9]

As previously, two eigenvalues of  $J(P_*^{(1)})$  are eigenvalues of

$$B = \begin{pmatrix} -p\beta I_*^{(1)} - (\mu + \delta) & -p\beta S_*^{(0)} - \delta \\ p\beta I_*^{(1)} & 0 \end{pmatrix}.$$
 [10]

#### Chadi M. Saad-Roy and Arne Traulsen

3 of 6

Since tr(B) < 0 and det(B) > 0, it follows that both eigenvalues of B have negative real part. The last eigenvalue of  $J(P_*^{(1)})$  is  $-p(1-p)\beta I_*^{(1)}\xi + c$ , which is negative when  $c < p(1-p)\beta \frac{\mu+\delta}{\gamma+\mu+\delta} \left(1 - \frac{\gamma+\mu}{p\beta}\right)\xi$ . Thus,  $P_*^{(1)}$  is locally asymptotically stable when  $c < p(1-p)\beta \frac{\mu+\delta}{\gamma+\mu+\delta} \left(1 - \frac{\gamma+\mu}{p\beta}\right)\xi$ , whereas it is unstable when  $c > p(1-p)\beta \frac{\mu+\delta}{\gamma+\mu+\delta} \left(1 - \frac{\gamma+\mu}{p\beta}\right)\xi$ .

We now prove the  $\{(b)ii\}$  and  $\{(c)i\}$  cases. For  $P_*^{(m)}$ , the Jacobian matrix is

$$J(P_*^{(m)}) = \begin{pmatrix} -\beta I_*^{(m)} (1 - (1 - p)x_A^*) - (\mu + \delta) & -\beta S_*^{(m)} (1 - (1 - p)x_A^*) - \delta & (1 - p)\beta I_*^{(m)} S_*^{(m)} \\ \beta I_*^{(m)} (1 - (1 - p)x_A^*) & 0 & -(1 - p)\beta S_*^{(m)} I_*^{(m)} \\ 0 & x_A^* (1 - x_A^*) (1 - p)\beta \xi (1 - (1 - p)x_A^*) & -x_A^* (1 - x_A^*) (1 - p)^2 \beta I_*^{(m)} \xi \end{pmatrix}$$
[11]

Thus,

$$tr(J(P_*^{(m)})) = -\beta I_*^{(m)} (1 - (1 - p)x_A^*) - (\mu + \delta) - x_A^* (1 - x_A^*) (1 - p)^2 \beta I_*^{(m)} \xi.$$
[12]

Computing the determinant gives that

$$\det(J(P_*^{(m)})) = -\beta I_*^{(m)} (1 - (1 - p)x_A^*) (\beta S_*^{(m)} (1 - (1 - p)x_A^*) + \delta) x_A^* (1 - x_A^*) (1 - p)^2 \beta I_*^{(m)} \xi - (\mu + \delta) (1 - p)\beta S_*^{(m)} I_*^{(m)} x_A^* (1 - x_A^*) (1 - p)\beta \xi (1 - (1 - p)x_A^*).$$
[13]

Computing the sum of the 2 × 2 principal minors of  $J(P_*^{(m)})$  gives that

$$a_{2} = \beta I_{*}^{(m)} (1 - (1 - p)x_{A}^{*}) [\beta S_{*}^{(m)} (1 - (1 - p)x_{A}^{*}) + \delta] + [\beta I_{*}^{(m)} (1 - (1 - p)x_{A}^{*}) + \mu + \delta] x_{A}^{*} (1 - x_{A}^{*}) (1 - p)^{2} \beta I_{*}^{(m)} \xi + (1 - p) \beta S_{*}^{(m)} I_{*}^{(m)} x_{A}^{*} (1 - x_{A}^{*}) (1 - p) \beta \xi (1 - (1 - p)x_{A}^{*}).$$
[14]

Note that whenever  $P_*^{(m)}$  exists,  $x_A^*$  is such that  $0 < x_A^* < 1$ . Thus, when  $P_*^{(m)}$  exists,  $tr(J(P_*^{(m)})) < 0$ ,  $det(J(P_*^{(m)})) < 0$ , and it can be seen that  $tr(J(P_*^{(m)}))a_2 - det(J(P_*^{(m)})) < 0$ . Therefore, by the Routh-Hurwitz criterion,  $P_*^{(m)}$  is locally asymptotically stable whenever it exists, *i.e.*, when

$$p(1-p)\beta\frac{\mu+\delta}{\gamma+\mu+\delta}\left(1-\frac{\gamma+\mu}{p\beta}\right) < \frac{c}{\xi} < (1-p)\beta\frac{\mu+\delta}{\gamma+\mu+\delta}\left(1-\frac{\gamma+\mu}{\beta}\right) \quad \text{if} \quad p > \frac{1}{\mathcal{R}_0}$$

$$[15]$$

$$\frac{c}{\xi} < (1-p)\beta \frac{\mu+\delta}{\gamma+\mu+\delta} \left(1-\frac{\gamma+\mu}{\beta}\right) \quad \text{if} \quad p < \frac{1}{\mathcal{R}_0}.$$
[16]

#### Vaccination.

Theorem 3. With vaccination, the possible equilibria are analogous to those without vaccination, but with

- the disease-free equilibria  $P_0^{(0)}$  and  $P_0^{(1)}$  having instead  $S_0 = \frac{\mu(1-q)+\delta}{\mu+\nu+\delta}$ ;
- the endemic equilibria  $P_*^{(0)}$  and  $P_*^{(1)}$  having instead, respectively,  $I_*^{(0)} = \frac{\mu(1-q)+\delta}{\gamma+\mu+\delta} \left(1-\frac{1}{\mathcal{R}_0^{(v)}}\right)$  and  $I_*^{(1)} = \frac{\mu(1-q)+\delta}{\gamma+\mu+\delta} \left(1-\frac{1}{p\mathcal{R}_0^{(v)}}\right)$ ;
- and the endemic equilibrium with partial adherence  $P_*^{(m)}$  having instead

$$I_*^{(m)} = \frac{\mu(1-q) + \delta}{(1-p)\frac{\xi}{c}(\gamma+\mu)(\mu+\nu+\delta) + \gamma+\mu+\delta},$$
[17]

$$S_*^{(m)} = \frac{(\gamma + \mu)(\mu(1 - q) + \delta)\frac{\xi}{c}(1 - p)}{(1 - p)\frac{\xi}{c}(\gamma + \mu)(\mu + \nu + \delta) + \gamma + \mu + \delta},$$
[18]

$$x_{A}^{*} = \frac{1}{1-p} \left[ 1 - \frac{\mu + \nu + \delta}{\mu(1-q) + \delta} \frac{\gamma + \mu}{\beta} \left( 1 + \frac{1}{1-p} \frac{c}{\xi} \frac{\gamma + \mu + \delta}{(\gamma + \mu)(\mu + \nu + \delta)} \right) \right].$$
 [19]

[20]

Note also that the conditions change so that  $P_*^{(1)}$  exists when  $p > \frac{1}{\mathcal{R}_0^{(v)}}$ , and  $P_*^{(m)}$  exists when either  $p > \frac{1}{\mathcal{R}_0^{(v)}}$  and  $p(1 - \mathcal{R}_0^{(v)})$ .

#### Chadi M. Saad-Roy and Arne Traulsen

$$p)\beta \frac{\mu(1-q)+\delta}{\gamma+\mu+\delta} \left(1-\frac{1}{p\mathcal{R}_{0}^{(v)}}\right) < \frac{c}{\xi} < (1-p)\beta \frac{\mu(1-q)+\delta}{\gamma+\mu+\delta} \left(1-\frac{1}{\mathcal{R}_{0}^{(v)}}\right) \text{ or } p < \frac{1}{\mathcal{R}_{0}^{(v)}} \text{ and } \frac{c}{\xi} < (1-p)\beta \frac{\mu(1-q)+\delta}{\gamma+\mu+\delta} \left(1-\frac{1}{\mathcal{R}_{0}^{(v)}}\right).$$

Proof. This result follows from using the equations at equilibrium in the model with vaccination, and following the same approach as in Theorem 1 (SI Appendix).

Theorem 4. With vaccination, the stability of each equilibria is analogous to that in the model without vaccination, but the conditions change so that  $\mathcal{R}_0^{(v)}$  is used instead of  $\mathcal{R}_0$  and  $\frac{\mu(1-q)+\delta}{\gamma+\mu+\delta}$  is used instead of  $\frac{\mu+\delta}{\gamma+\mu+\delta}$ .

*Proof.* The Jacobian matrix for any equilibrium  $\widehat{P} = (\widehat{S}, \widehat{I}, \widehat{x}_A)$  in the model with vaccination is

$$J(\hat{P}) = \begin{pmatrix} -\beta \widehat{I}(1 - (1 - p)\widehat{x}_{A}) - (\mu + \nu + \delta) & -\beta \widehat{S}(1 - (1 - p)\widehat{x}_{A}) - \delta & (1 - p)\beta \widehat{I}\widehat{S} \\ \beta \widehat{I}(1 - (1 - p)\widehat{x}_{A}) & (1 - (1 - p)x_{A})\beta \widehat{S} - (\gamma + \mu) & -(1 - p)\beta \widehat{S}\widehat{I} \\ 0 & \widehat{x}_{A}(1 - \widehat{x}_{A})(1 - p)\beta \xi(1 - (1 - p)\widehat{x}_{A}) & (1 - 2\widehat{x}_{A})(\pi_{A} - \pi_{N}) - \widehat{x}_{A}(1 - \widehat{x}_{A})(1 - p)^{2}\beta \widehat{I}\xi \end{pmatrix}$$
[21]

At  $P_0^{(1)}$ , it then follows that

$$J(P_0^{(1)}) = \begin{pmatrix} -(\mu + \nu + \delta) & -p\beta S_0^{(1)} - \delta & 0\\ 0 & p\beta S_0^{(1)} - (\gamma + \mu) & 0\\ 0 & 0 & c \end{pmatrix}.$$
 [22]

As without vaccination, since c > 0,  $P_0^{(1)}$  is always unstable.

At  $P_0^{(0)}$ , it follows that

$$J(P_0^{(0)}) = \begin{pmatrix} -(\mu + \nu + \delta) & -\beta S_0^{(0)} - \delta & 0\\ 0 & \beta S_0^{(0)} - (\gamma + \mu) & 0\\ 0 & 0 & -c \end{pmatrix},$$
[23]

so that the eigenvalues are -c < 0,  $-(\mu + \nu + \delta) < 0$ , and  $\beta S_0^{(0)} - (\gamma + \mu)$ . Note that  $\beta S_0^{(0)} - (\gamma + \mu) < 0$  if  $\mathcal{R}_0^{(\nu)} < 1$ , and  $\beta S_0^{(0)} - (\gamma + \mu) > 0$  if  $\mathcal{R}_0^{(\nu)} > 1$ . Thus,  $P_0^{(0)}$  is locally asymptotically stable if  $\mathcal{R}_0^{(\nu)} < 1$ , and  $P_0^{(0)}$  is unstable if  $\mathcal{R}_0^{(\nu)} > 1$ .

At  $P_*^{(0)}$ , the Jacobian matrix is

$$J(P_*^{(0)}) = \begin{pmatrix} -\beta I_*^{(0)} - (\mu + \nu + \delta) & -\beta S_*^{(0)} - \delta & (1 - p)\beta I_*^{(0)} S_*^{(0)} \\ \beta I_*^{(0)} & 0 & -(1 - p)\beta S_*^{(0)} I_*^{(0)} \\ 0 & 0 & -(1 - p)\beta I_*^{(0)} \xi + c \end{pmatrix}$$
[24]

Thus, two eigenvalues are those of the  $2 \times 2$  matrix

$$\begin{pmatrix} -\beta I_*^{(0)} - (\mu + \nu + \delta) & -\beta S_*^{(0)} - \delta \\ \beta I_*^{(0)} & 0 \end{pmatrix}$$
 [25]

which has negative trace and positive determinant. Therefore, both of these eigenvalues have negative real part. The third eigenvalue of  $J(P_*^{(0)})$  is  $-(1-p)\beta I_*^{(0)}\xi + c$ , which is negative when  $c < (1-p)\beta \frac{\mu(1-q)+\delta}{\mu+\nu+\delta} \left(1-\frac{1}{\mathcal{R}_0^{(\nu)}}\right)\xi$ . Thus, it follows that  $P_*^{(0)} \text{ is locally asymptotically stable when } \frac{c}{\xi} < (1-p)\beta \frac{\mu(1-q)+\delta}{\mu+\nu+\delta} \left(1-\frac{1}{\mathcal{R}_{\alpha}^{(v)}}\right).$ 

At  $P_*^{(1)}$ , the Jacobian matrix is

$$I(P_*^{(1)}) = \begin{pmatrix} -p\beta I_*^{(1)} - (\mu + \nu + \delta) & -p\beta S_*^{(1)} - \delta & (1 - p)\beta I_*^{(1)} S_*^{(1)} \\ p\beta I_*^{(1)} & 0 & -(1 - p)\beta S_*^{(1)} I_*^{(1)} \\ 0 & 0 & (1 - p)p\beta I_*^{(1)} \xi - c \end{pmatrix}$$
[26]

#### Chadi M. Saad-Roy and Arne Traulsen

Note that the matrix

$$\begin{pmatrix} -p\beta I_*^{(1)} - (\mu + \nu + \delta) & -p\beta S_*^{(1)} - \delta \\ p\beta I_*^{(1)} & 0 \end{pmatrix}$$
[27]

has negative trace and positive determinant, and that  $(1-p)p\beta I_*^{(1)}\xi - c$  is negative if  $c > (1-p)p\beta \frac{\mu(1-q)+\delta}{\mu+\gamma+\delta} \left(1-\frac{1}{p\mathcal{R}_0^{(v)}}\right)\xi$ . Thus, analogous to the case without vaccination,  $P_*^{(1)}$  is locally asymptotically stable if  $\frac{c}{\xi} > (1-p)p\beta \frac{\mu(1-q)+\delta}{\mu+\gamma+\delta} \left(1-\frac{1}{p\mathcal{R}_0^{(v)}}\right)$ . For  $P_*^{(m)}$ , the Jacobian matrix is

$$J(P_*^{(m)}) = \begin{pmatrix} -\beta I_*^{(m)} (1 - (1 - p)x_A^*) - (\mu + \nu + \delta) & -\beta S_*^{(m)} (1 - (1 - p)x_A^*) - \delta & (1 - p)\beta I_*^{(m)} S_*^{(m)} \\ \beta I_*^{(m)} (1 - (1 - p)x_A^*) & 0 & -(1 - p)\beta S_*^{(m)} I_*^{(m)} \\ 0 & x_A^* (1 - x_A^*) (1 - p)\beta \xi (1 - (1 - p)x_A^*) & -x_A^* (1 - x_A^*) (1 - p)^2 \beta I_*^{(m)} \xi \end{pmatrix}.$$

$$[28]$$

Similar calculations to the analysis without vaccination shows that  $tr(J(P_*^{(m)})) < 0$ ,  $det(J(P_*^{(m)})) < 0$ , and  $tr(J(P_*^{(m)}))a_2 - det(J(P_*^{(m)})) < 0$  when  $P_*^{(m)}$  exists, *i.e.*,  $0 < x_A^* < 1$ . Thus, as in the model without vaccination,  $P_*^{(m)}$  is locally asymptotically stable whenever it exists.

#### Maximal adherence to the NPI

In the model with no vaccination, if there is no complete adherence for the range of p, *i.e.* the maximum adherence happens with the partial equilibrium, then we can solve  $\frac{\partial x_A^*}{\partial p} = 0$ , giving

$$\widehat{p} = 1 - \frac{2\frac{\gamma+\mu}{\beta}\frac{c}{\xi}\frac{\gamma+\mu+\delta}{(\gamma+\mu)(\mu+\delta)}}{1 - \frac{\gamma+\mu}{\beta}}.$$
[29]