

A Terrylene–Anthraquinone Dyad as a Chromophore for Photothermal Therapy in the NIR-II Window

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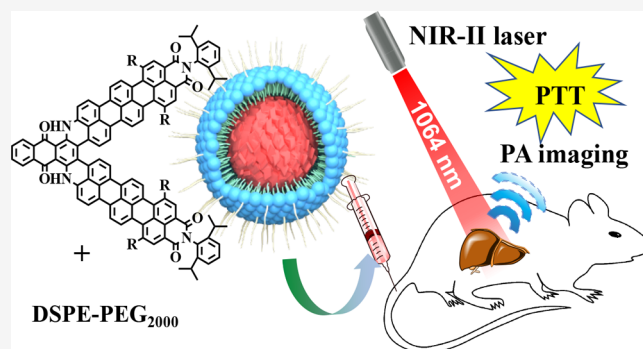
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ABSTRACT: A terrylenedicarboximide–anthraquinone dyad, FTQ, with absorption in the second near-infrared region (NIR-II) is obtained as a high-performance chromophore for photothermal therapy (PTT). The synthetic route proceeds by C–N coupling of amino-substituted terrylenedicarboximide (TMI) and 1,4-dichloroanthraquinone followed by alkaline-promoted dehydrocyclization. FTQ with extended π -conjugation exhibits an optical absorption band peaking at 1140 nm and extending into the 1500 nm range. Moreover, as determined by dielectric spectroscopy in dilute solutions, FTQ achieves an ultrastrong dipole moment of 14.4 ± 0.4 Debye due to intense intramolecular charge transfer. After encapsulation in a biodegradable polyethylene glycol (DSPE-mPEG2000), FTQ nanoparticles (NPs) deliver a high photothermal conversion efficiency of 49% under 1064 nm laser irradiation combined with excellent biocompatibility, photostability, and photoacoustic imaging capability. *In vitro* and *in vivo* studies reveal the great potential of FTQ NPs in photoacoustic-imaging-guided photothermal therapy for orthotopic liver cancer treatment in the NIR-II window.



INTRODUCTION

Photothermal therapy (PTT) is one of the most advanced technologies in nanomedicine that employs laser irradiation to generate localized heat for ablating cancer cells.^{1,2} PTT holds promise in treating critical diseases such as hepatocellular carcinoma (HCC), which is the most common primary liver cancer and the third leading lethal cancer.³ At present, the conventional treatments are chemotherapy, radiotherapy, and surgical resection, which, however, cannot avoid normal organ damage and acute and/or late systemic toxicity.⁴ In contrast, PTT offers the advantages of high efficiency and minimal invasion of normal tissue. Moreover, PTT is promising for both treatment and diagnosis of HCC.^{5,6} So far, PTT studies have concentrated on the first near-infrared region (NIR-I, 700–1000 nm), although the second near-infrared region (NIR-II, 1000–1700 nm) is emerging as a more preferable optical window owing to minimized photodamage to healthy tissue, deeper penetration, and less energy dissipation.^{7,8}

Compared with inorganic absorbers,⁹ organic chromophores have advantages in view of versatile structures, long-term safety, and biocompatibility.^{10–12} Currently, the availability of organic NIR-II absorbers is still limited and mainly based on established scaffolds such as benzo[1,2-c:4,5-c']bis[1,2,5]-thiadiazole (BBTD),¹³ dipyrrometheneboron difluoride (BODIPY),¹⁴ and phthalocyanines.¹⁵ In addition, stability is an important issue that has compromised the bioapplication of

most organic NIR-II chromophores.^{10,16} Thus, it is essential to explore new organic NIR-II absorbers as efficient PTT agents.

Rylene dicarboximides (Figure 1) are considered as a promising candidate due to their exceptional stability and high photothermal conversion efficiency (PCE).¹⁷ In our recent study,¹⁸ we have introduced a series of novel NIR chromophores based on anthraquinone fused with naphthalene-1,8-dicarboximide (FNQ) and perylene-3,4-dicarboximide (FPQ), which demonstrate maximum absorption peaks (λ_{\max}) above 720 nm. However, to achieve the PTT effect in the NIR-II window, further improvement of the absorption domain and processability is urgently required. Extending the conjugation to terrylene-3,4-dicarboximide (TMI) appears to be the logical next step to narrow the optical gap and induce a further bathochromic shift. Herein, we introduce a dyad of bay-position-substituted TMI and anthraquinone as a new NIR-II absorber. The synthetic route utilizes an efficient C–N coupling of amino-substituted TMI with 1,4-dichloroanthraquinone followed by alkaline-promoted dehydrocyclization.

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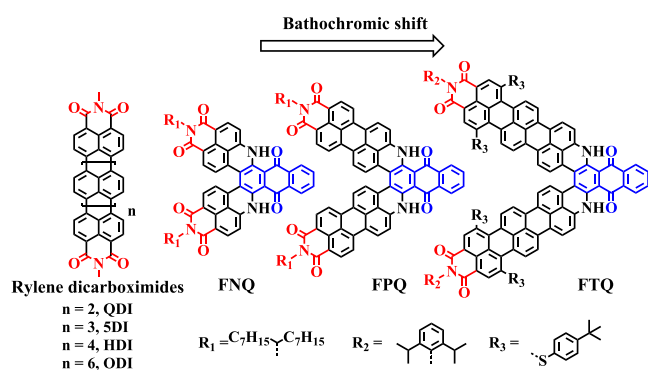


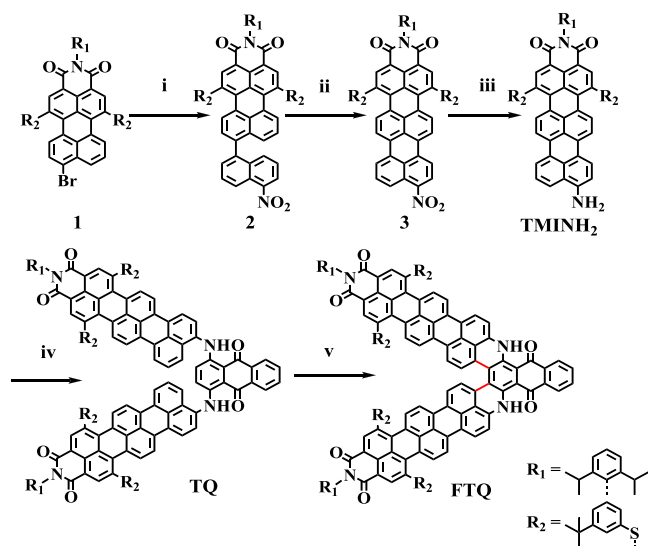
Figure 1. Molecular structure of rylene dicarboximides, FNQ, FPQ, and FTQ.

FTQ exhibits a maximum absorption band at 1140 nm, with the tail extending to 1500 nm, deep into the NIR-II region. Moreover, FTQ presents a dipole moment of 14.4 ± 0.4 Debye, demonstrating a new type of charge-free organic molecule with a ultrastrong dipole moment.¹⁹ FTQ nanoparticles (NPs) prepared by assembling with 1,2-distearoyl-*sn*-glycero-3-phosphoethanolamine-*N*-[methoxy-(polyethylene glycol)-2000] (DSPE-PEG2000) demonstrate good photostability and biocompatibility combined with a high photothermal conversion efficiency of 49% under 1064 nm laser irradiation. Remarkably, *in vivo* studies reveal an excellent tumor ablation performance of FTQ NPs, allowing photothermal therapy for orthotopic liver cancer treatment in the NIR-II window.

RESULTS AND DISCUSSION

As depicted in Scheme 1, the synthetic route consisted of two parts: the construction of the TMINH₂ building block and FTQ. TMINH₂ was constructed through three steps: C–C

Scheme 1. Synthetic Route toward FTQ^a



^aReagents and conditions: (i) Pd(PPh₃)₄, K₂CO₃, 4,4,5,5-tetramethyl-2-(5-nitronaphthalen-1-yl)-1,3,2-dioxaborolane, H₂O/ethanol/toluene, 80 °C, 16 h, 80%; (ii) tBuONa, DBN, diglyme, 70 °C, 2 h, 70%; (iii) Pd/C, H₂, ethanol/CHCl₃, r.t., 15 h, 78%; (iv) BrettPhos Pd G1, BrettPhos, Cs₂CO₃, toluene, 110 °C, 12 h, 70%; (v) tBuONa, DBN, diglyme, 130 °C, 16 h, 60%.

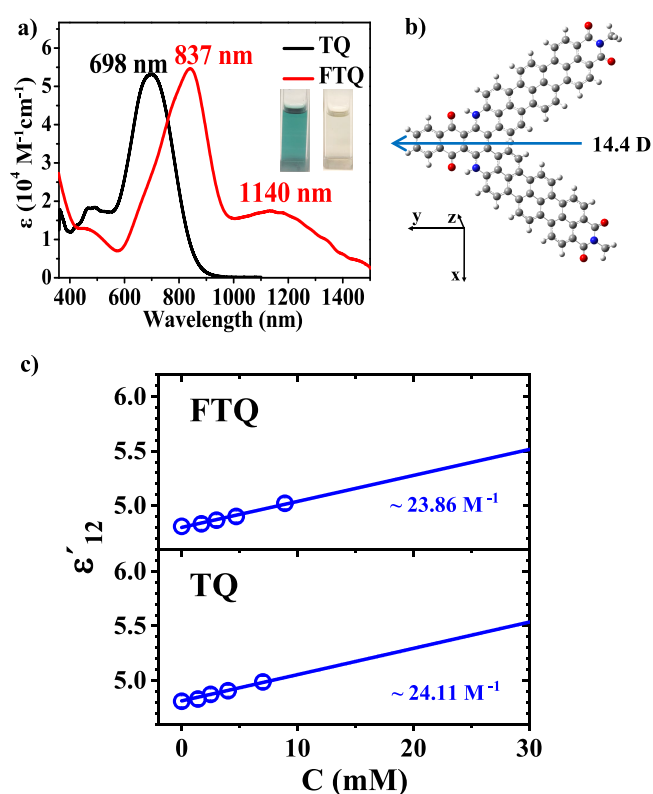


Figure 2. (a) Absorption spectra of TQ and FTQ. Inset: photographs of TQ (left) and FTQ (right) solutions (1×10^{-5} M in dichloromethane). (b) Optimized structure and dipole moment determined by dielectric spectroscopy. (c) Dielectric permittivity of FTQ (top) and TQ (bottom) as a function of concentration in chloroform solutions. The highest concentrations refer to the solubility limits of each compound. The electric dipole moments were calculated from the slopes of the linear fits. The uncertainty is smaller than the symbol size.

coupling, dehydrocyclization, and reduction. Suzuki coupling of **1** and 4,4,5,5-tetramethyl-2-(5-nitronaphthalen-1-yl)-1,3,2-dioxaborolane afforded **2** in 80% yield. The terrylene skeleton was constructed through an alkali-induced dehydrocyclization reaction with sodium *tert*-butoxide (tBuONa) and 1,5-diazabicyclo[4.3.0]non-5-ene (DBN) as the base in 70% yield. The nitro group of **3** was then reduced to the amino substituent through hydrogenation over Pd/C, producing TMINH₂ in 78% yield. Herein, 4-*tert*-butylbenzenethiol was selected as the substituent at the bay region of the TMI to prevent aggregation. In comparison with phenol substituents, benzenethiol is able to react at room temperature and further promote a bathochromic shift of the absorption.²⁰ Afterward, a C–N coupling connected TMINH₂ and 1,4-dichloroanthraquinone to produce TQ by using 2-(dicyclohexylphosphino)-3,6-dimethoxy-2,4,6'-triisopropyl-1,1'-biphenyl (BrettPhos) Pd G1 as catalyst and cesium carbonate as base, furnishing TQ in 70% yield. To fuse anthraquinone at the peri-positions of TMI in TQ, a cyclodehydrogenation reaction was conducted as the key step. The commonly used oxidative cyclodehydrogenation reaction with FeCl₃ or DDQ failed,²¹ which can be explained by the electron-deficient nature of the TMI and anthraquinone entities. Therefore, we employed alkaline-promoted dehydrocyclization with tBuONa and DBN as an alternative ring fusion reaction to produce FTQ in 60% yield.

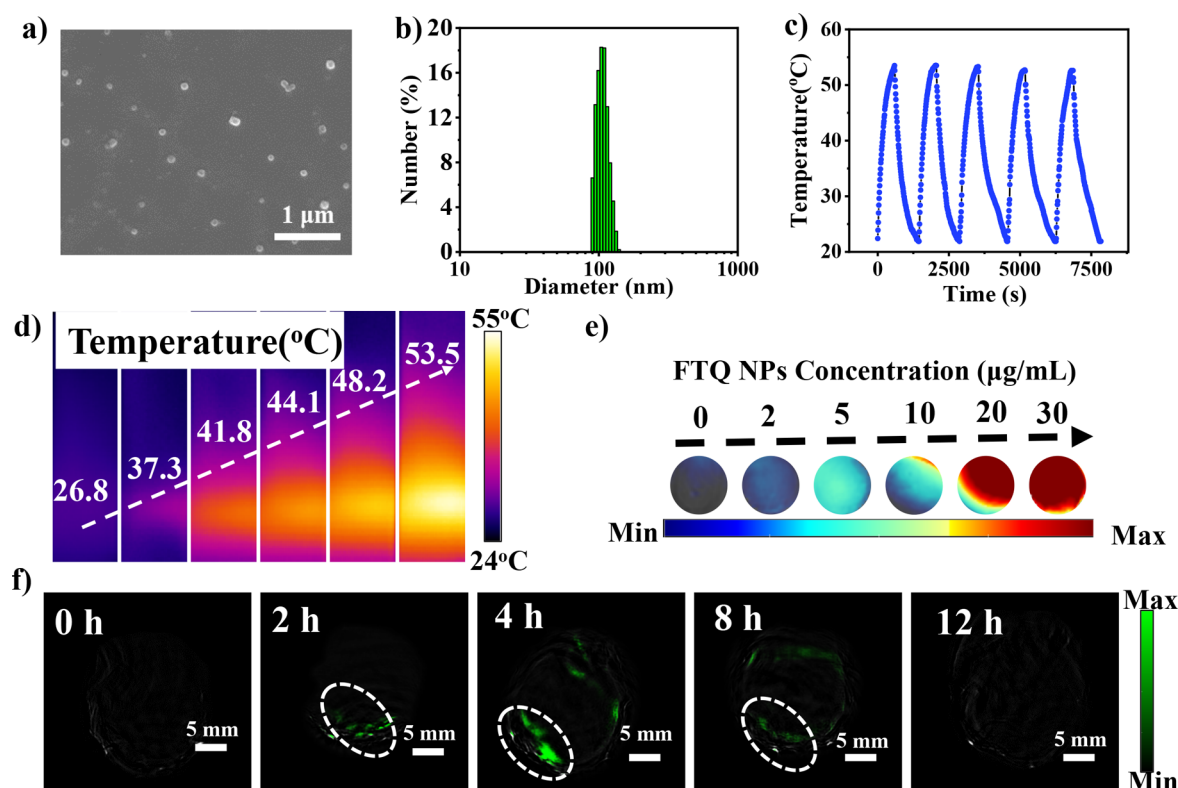


Figure 3. (a) TEM image of FTQ NPs. (b) DLS profile of FTQ NPs. (c) Photothermal stability of FTQ NPs upon laser irradiation (1064 nm, 1.0 W cm⁻²) after five on/off cycles. (d) Thermal image of FTQ NP solutions after laser irradiation for 10 min (concentrations from left to right: 0, 2, 5, 10, 20, and 30 μg/mL). (e) *In vitro* PA images of FTQ NPs at different concentrations. (f) *In vivo* PA images of FTQ NPs in orthotopic liver tumor tissue at different times.

The UV–vis–NIR absorption spectrum of FTQ is depicted in Figure 2a. Compared with precursor TQ, FTQ revealed a pronounced bathochromic shift of 442 nm, reflecting the significantly extended π -conjugation after dehydrocyclization. Importantly, in comparison with the NMI ($\lambda_{\max} = 872$ nm) and PMI fused analogues ($\lambda_{\max} = 1028$ nm),¹⁸ extending the conjugation to FTQ induced further red-shifted absorption, displaying λ_{\max} at 1140 nm and an intense absorption peak (λ_1) at 837 nm with molar absorption coefficients of 17,628 and 54,833 M⁻¹cm⁻¹, respectively.

Density functional theory (DFT) calculation of FTQ at the B3LYP/6-31 G* level revealed a strong dipole moment of 13.1 Debye along the y axis (Figure 2b). The dipole moment is pointing from the TMI moieties toward anthraquinone, indicating an intense intramolecular charge transfer (ICT)²² between the two components. The electric dipole moments of FTQ and TQ were experimentally determined by using dielectric spectroscopy. The complex dielectric permittivity $\epsilon^* = \epsilon' - i\epsilon''$ (where ϵ' is the real and ϵ'' is the imaginary part) was measured as a function of the solute concentration in chloroform. Employing the modified Onsager equation according to Böttcher and assuming ideal solutions of the two components, the dipole moment of the solute can be obtained from the derivative of the real part of the measured dielectric permittivity, ϵ_{12} , with respect to the concentration at the limit of infinite dilution.^{19,23–25} Details are described in the Supporting Information.

The measured dielectric permittivity as a function of the concentration is shown in Figure 2c. The dipole moment of FTQ was calculated to be 14.4 ± 0.4 Debye. Charge-free organic molecules seldom achieve dipole moments above 10

Debye. FTQ thus displays a new type of molecular design toward ultrastrong dipole moments desirable in ferroelectrics and organic photovoltaics.¹⁹ The precursor TQ also exhibited an ultrastrong dipole moment of 14.0 ± 0.6 Debye, which confirmed the strong ICT.

FTQ nanoparticles (NPs) with high water solubility (>12 mg/mL) were prepared with DSPE-PEG2000 as the encapsulation matrix.²⁶ The morphology and size of FTQ NPs were investigated by transmission electron microscopy (TEM) and dynamic light scattering (DLS) at 25 °C. As shown in Figure 3a,b, FTQ NPs presented uniform self-assembled spherical NPs with an average diameter of 110.8 ± 0.8 nm, which were suitable for achieving an enhanced permeability and retention (EPR) effect. After storage at 4 °C for 2 weeks, FTQ NPs manifested high stability in phosphate-buffered saline (PBS) without obvious change in morphology and absorption (Figure S8), which was essential for long-term circulation *in vivo*.

FTQ displayed negligible emission and the generation of reactive oxygen species (Figure S9). This points toward nonradiative vibrational relaxation as a dominant factor in excited-state deactivation and as the reason for efficient photothermal conversion.¹⁷ The PCE of FTQ NPs was investigated under NIR-II laser (1064 nm) irradiation that possesses deeper tissue penetration (>2 cm) and higher maximum permissible exposure (1.0 W cm²) than the NIR-I light source. The PCE (η)^{27,28} of FTQ NPs was calculated to be 49%, which is higher than those of most NIR-II PPT agents such as boron difluoride formazanate¹ and benzo[1,2-c:4,5-c']bis[1,2,5]thiadiazole.¹³ FTQ NPs exhibited excellent thermal stability and photostability following five heating and

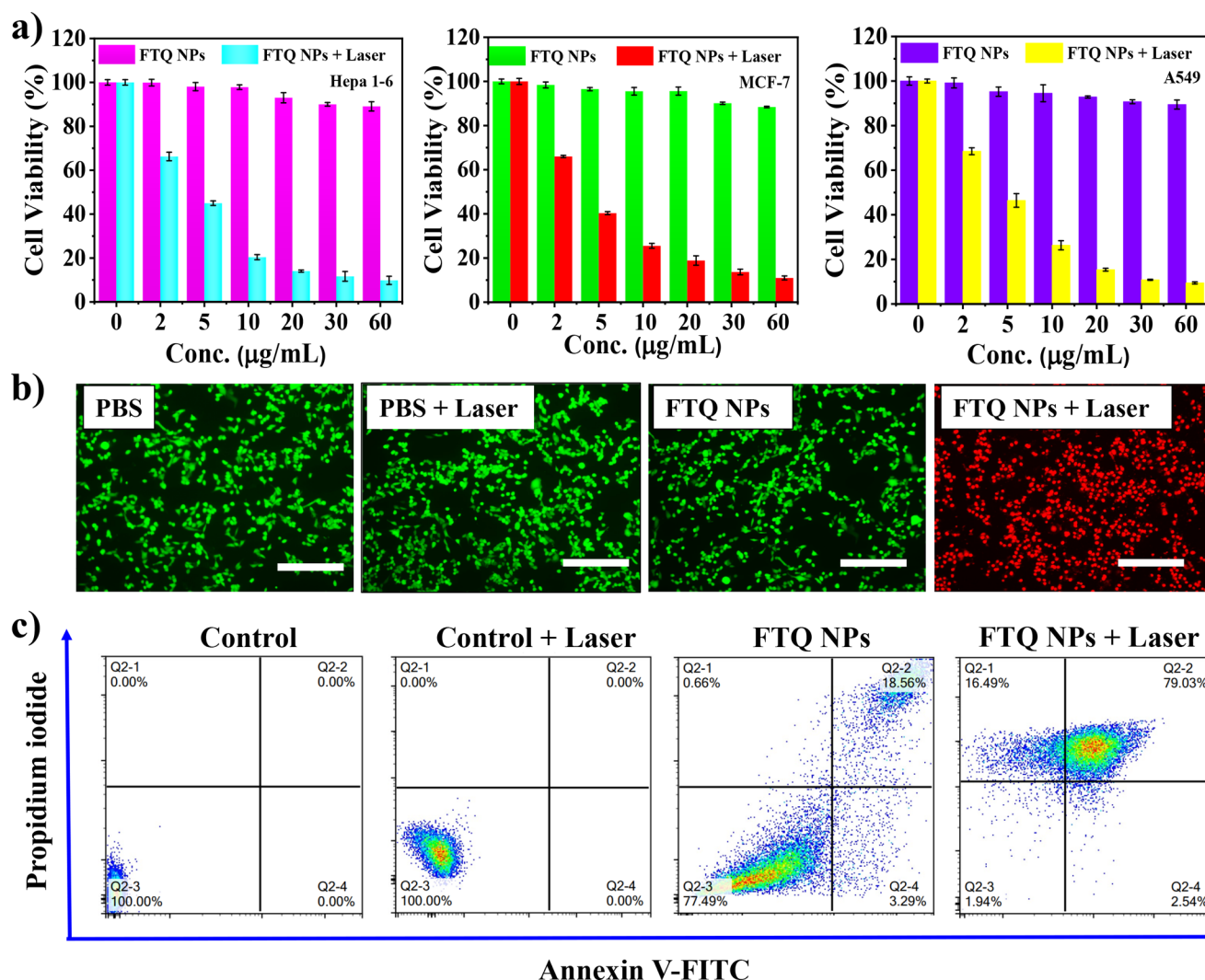


Figure 4. (a) Cell viabilities of Hepa 1-6, MCF-7, and A549 cells incubated with FTQ NPs at various concentrations with/without laser irradiation (1064 nm, 1.0 W cm^{-2} , 10 min). (b) Calcein AM (green) and propidium iodide (red) costained fluorescence images of Hepa 1-6 cells after different treatments. Scale bar: $125 \mu\text{m}$. (c) Apoptosis/necrosis analysis by flow cytometry using Annexin V-FITC and propidium iodide.

cooling cycles under laser irradiation (Figure 3c). In addition, NIR light irradiation of FTQ NPs resulted in a photoacoustic (PA) signal in the phantom mold (Figure 3e). As demonstrated in Figure S10e, a linear relationship between the concentration and the average PA signal was achieved (coefficient of determination, $R^2 = 0.99$), supporting the role of FTQ NPs as PA imaging agents.

The dark cytotoxicity and *in vitro* PTT effect of FTQ NPs were evaluated in different cancer cells, including Hepa 1-6, MCF-7, and A549 cell lines (Figure 4a). The cell viability was examined by using a Cell Counting Kit-8 (CCK-8) assay after incubation with FTQ NPs at different concentrations for 24 h. All three cell lines exhibited cell viabilities higher than 85% at NP concentrations ranging from 0 to $60 \mu\text{g/mL}$ which implied the negligible dark cytotoxicity of FTQ NPs. FTQ NPs exhibited a good *in vitro* PTT effect in the NIR-II region. When the cells were irradiated with laser light (1064 nm , 1.0 W cm^{-2}) for 10 min, the cell viabilities dropped distinctly and proportionally to the NP concentrations. As shown in Table S3, the half-maximal inhibitory concentration (IC_{50}) of FTQ NPs under irradiation in Hepa 1-6 cells is determined to be $2.21 \mu\text{g/mL}$. Further, the cancer cell ablation ability was

visualized by staining Hepa 1-6 cells with calcein acetoxymethyl (green fluorescence; living cells) and propidium iodide (red fluorescence; dead cells) as presented in Figure 4b, which confirmed the good ablation ability of FTQ NPs. An apoptosis/necrosis assay using Annexin V-FITC and propidium iodide was carried out to analyze the cell death by flow cytometry (Figure 4c). After NIR-II laser irradiation, FTQ NPs induced 2.5% of the early apoptotic cells, 16.5% of the necrotic cells, and 79.0% of the late apoptotic/necrotic cells. In contrast, neither FTQ NPs nor NIR-II laser irradiation alone inhibited cell growth. These results confirmed the efficient *in vitro* PTT effect of FTQ NPs. However, the absence of targeting functional groups in FTQ NPs has to be noted. The following *in vivo* PTT was performed on liver cancer, as the liver is the preferred localization for nanoparticle accumulation. Moreover, the EPR effect can additionally promote accumulation of FTQ NPs within the vascularized regions of tumors.

In vivo biotoxicity was evaluated by monitoring the long-term toxicity in healthy mice after the intravenous injection of FTQ NPs. The major organs (heart, liver, spleen, lung, and kidney) of mice were excised at different times (preinjection and 7 and 14 days postinjection) and processed for

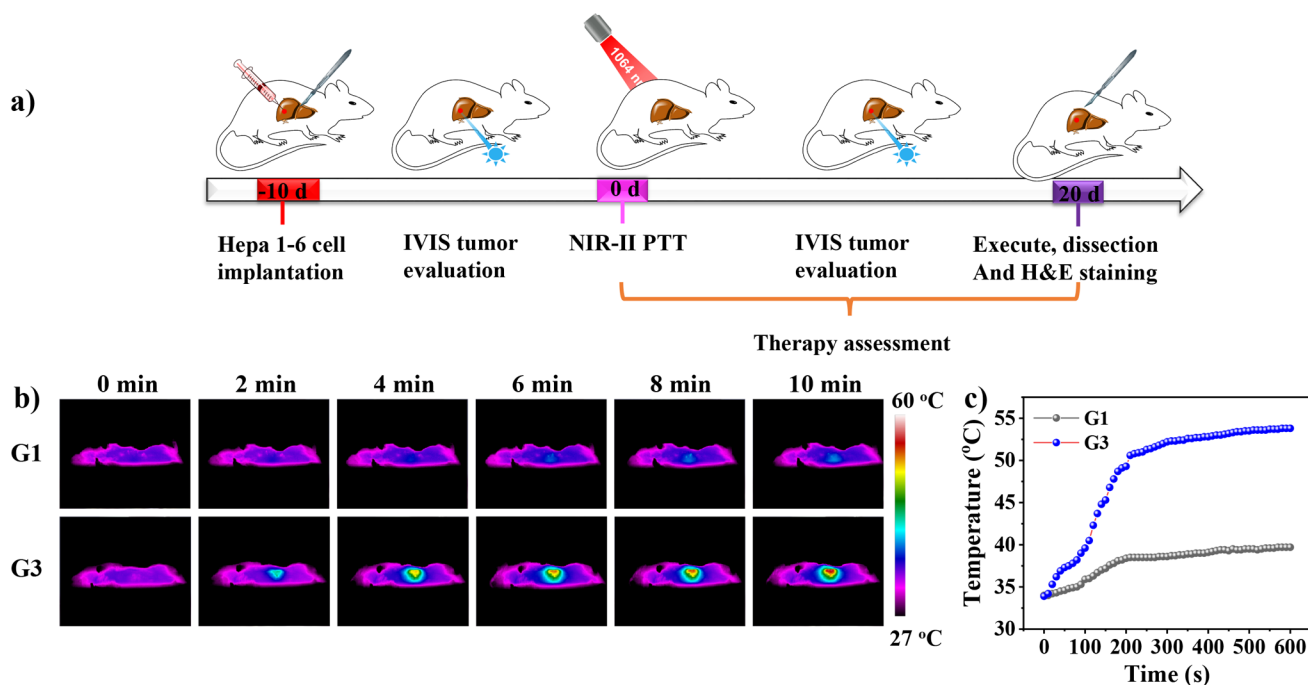


Figure 5. (a) Schematic illustration of the experimental procedure when applying FTQ NPs in PA imaging-guided PTT. IVIS: *in vivo* imaging system. (b) Thermal images of mice in G1 and G3 under 1064 nm laser irradiation (1.0 W cm^{-2}) for 10 min. (c) Temperature profiles of the tumor site in G1 and G3 as a function of irradiation time.

pathological examination with hematoxylin and eosin (H&E) staining. As shown in Figure S11, no distinct histological damages of major organs were observed when compared with the preinjection organs. At the same time, serum biochemistry and complete blood panels were studied (Figure S12), which displayed a negligible difference between preinjection and postinjection in mice. Combining these with the test results in organs and blood, the good systemic safety of FTQ NPs was thus confirmed.

Encouraged by the good PCE, stability, biocompatibility, and PA imaging capability, *in vivo* studies of FTQ NPs for PA imaging-guided PTT were conducted under 1064 nm laser irradiation. Female BALB/c mice (6 weeks old) were chosen as the Hepa 1-6 cell orthotopic liver tumor model and randomly divided into three groups with five mice in each group. The mice injected only with PBS were selected as the control group (G1) whereas the other two groups were injected with FTQ NPs ($60 \mu\text{g/mL}$, $100 \mu\text{L}$) and treated without (G2)/with (G3) laser irradiation (1.0 W cm^{-2} , 10 min). The experimental procedure for G3 is displayed in Figure 5a. The mice were injected with FTQ NPs and subjected to laser irradiation on the first day followed by a 20-day period for therapy assessment. The high-resolution PA images were obtained for tumor-bearing mice, which determined the optimum time for applying laser irradiation as 4 h postinjection (Figure 3f). The liver site temperature was recorded with an infrared thermal camera. As shown in Figure 5b, in comparison with G1, G3 displayed a distinct temperature increase to $53.5 \text{ }^\circ\text{C}$ ($\Delta T = 19.5 \text{ }^\circ\text{C}$) that demonstrated an efficient *in vivo* photothermal conversion of FTQ NPs.

To facilitate the noninvasive visualization of tumor size, the liver tumor cells were pretransfected with luciferase. During the 20 day period, bioluminescence signals from luciferase-labeled tumor sites (Figure 6a) were monitored. The tumor volumes were evaluated by measuring the luminescence radiance with

an *in vivo* imaging system. As shown in Figure 6d, the tumors in G1 and G2 revealed high growth rates. On the contrary, in G3, no tumor outburst was observed after initial elimination, and the survival rates (Figure 6f) remained stable for 20-days, suggesting the inhibitory effect of FTQ NPs on liver tumor proliferation. Following different treatments, the liver organs of mice in G1–G3 were excised. As displayed in Figure 6b, the livers in G3 exhibited a healthy red color with minimum tumor areas among all three groups, consistent with *in vivo* bioluminescence imaging (Figure 6a). The tumor apoptosis was further evaluated via H&E staining (Figure 6c). The tumor in G3 showed a distinct necrotic region after treatment, whereas other groups exhibited a negligible change. These results demonstrated the excellent tumor ablation performance of FTQ NPs in PA imaging-guided PTT in the NIR-II window.

CONCLUSIONS

In conclusion, we have developed a novel NIR-II PTT agent, FTQ, which exhibits NIR-II absorption with λ_{max} at 1140 nm with a tail reaching 1500 nm. Moreover, FTQ with ICT gives rise to a high dipole moment of 14.4 ± 0.4 Debye. Owing to the dominant nonradiative vibrational relaxation and good photostability, FTQ NPs deliver a high PCE of 49% under 1064 nm laser irradiation. *In vitro* and *in vivo* studies validate the promising biocompatibility, photothermal therapeutic efficacy, and PA imaging capability of FTQ NPs. Consequently, PTT of orthotopic liver cancer based on FTQ NPs can be successfully implemented in the NIR-II region guided by PA imaging. We expect that FTQ can be applied in multimodality synergistic theranostics in the future. At the same time, the synthetic approach will be applied to the development of new NIR-II absorbers with even larger bathochromic shifts of absorption.

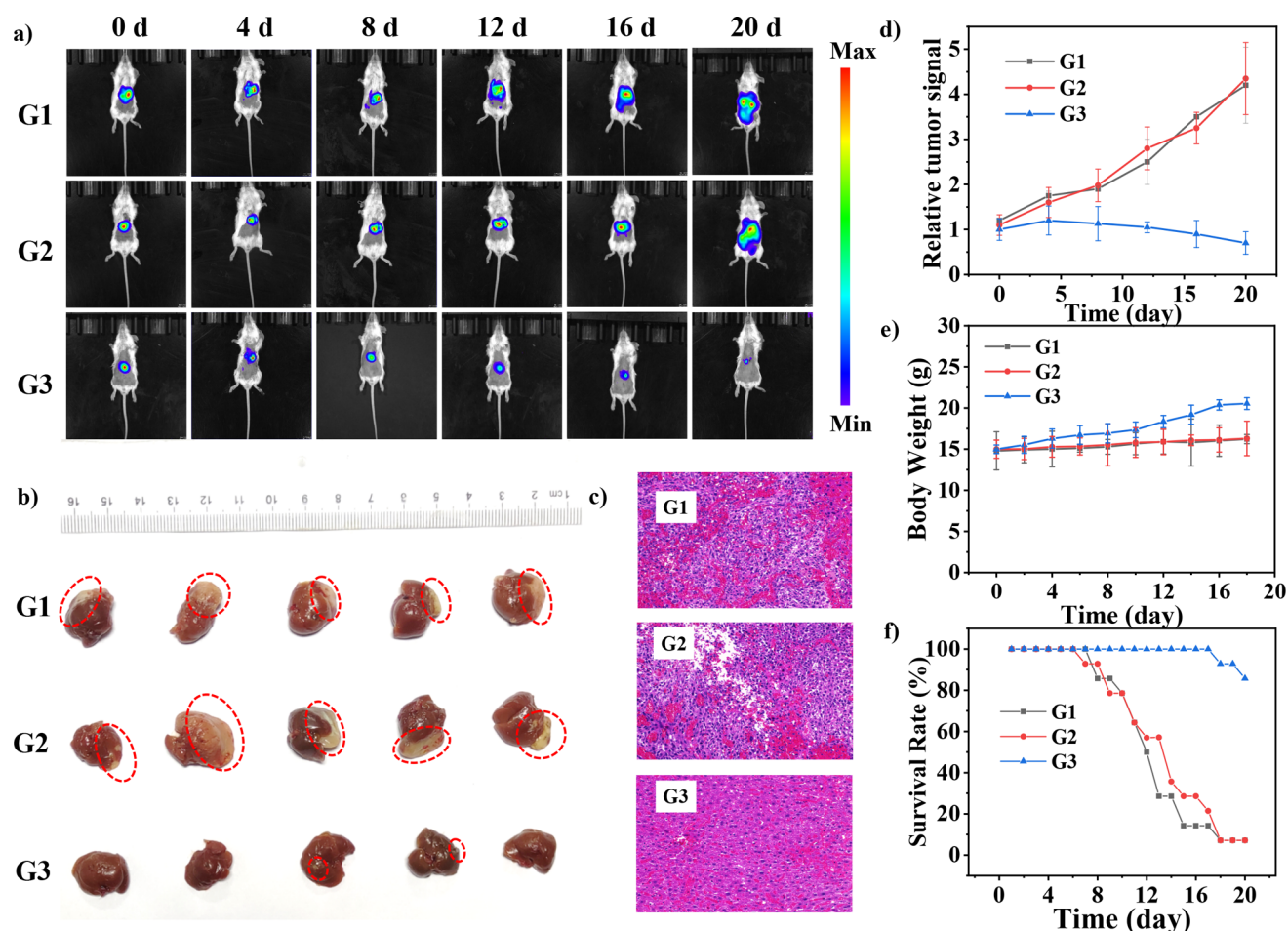


Figure 6. (a) Bioluminescence images of the mice in different treatment groups on days 0, 4, 8, 12, 16, and 20, respectively. (b) Excised orthotopic tumor-bearing livers and (c) representative H&E stained images of the livers after treatment for 20 days. (d) Liver tumor growth curves, (e) body weight changes, and (f) survival rates of mice in each treatment group.

■ ASSOCIATED CONTENT

SI Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/jacs.3c11314>.

Detailed experiment procedures and supporting figures (PDF)

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¹The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript. Z.-H.W. and M.P. contributed equally.

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Notes

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