

# Why Solvent Response Contributions to Solvation Free Energies Are Compatible with Ben-Naim's Theorem

Leonard P. Heinz and Helmut Grubmüller\*



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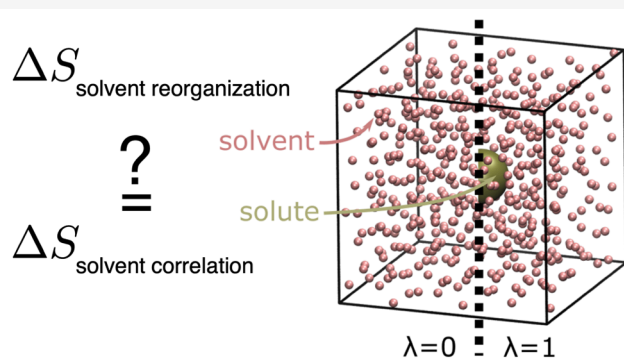
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**ABSTRACT:** We resolve a seeming paradox arising from a common misinterpretation of Ben-Naim's theorem, which rests on the decomposition of the Hamiltonian of a molecular solute/solvent system into solute–solvent and solvent–solvent interactions. According to this theorem, the solvation entropy can also be decomposed into a solute–solvent term and a remaining solvent–solvent term that is commonly referred to as the solvent reorganization term. Crucially, the latter equals the average solvent–solvent interaction energy such that these two solvent–solvent terms cancel and thus do not change the total solvation free energy. This analytical result implies that changes in the solvent–solvent interactions cannot contribute to any thermodynamic driving force. The solvent reorganization term is often identified with the contribution of many-body solvent correlations to the solvation entropy, which seems to imply that these correlations, too, cannot contribute to solvation. However, recent calculations based on atomistic simulations of a solvated globular protein and spatially resolved mutual information expansions revealed substantial contributions of many-body solvent correlations to the solvation free energy, which are not canceled by the enthalpy change of the solvent. Here, we resolved this seeming contradiction and illustrate by two examples—a simple Ising model and a solvated Lennard-Jones particle—that the solvent reorganization entropy and the actual entropy contribution arising from many-body solvent correlations differ both conceptually and numerically. Whereas the solvent reorganization entropy in fact arises from both solvent–solvent as well as solute–solvent interactions and thus has no straightforward intuitive interpretation, the mutual information expansion permits an interpretation in terms of the entropy contribution of solvent–solvent correlations to the solvation free energy.



## 1. INTRODUCTION

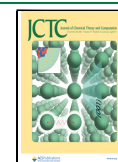
The hydrophobic effect is an essential driving force for many processes in nature, such as phase separation, membrane formation,<sup>1–3</sup> or the function and folding of proteins.<sup>4,5</sup> Despite its significance, the hydrophobic effect is not yet fully understood from first-principles, and hence, its molecular explanation remains controversial.<sup>6</sup> The early “iceberg” hypothesis by Frank and Evans,<sup>7</sup> for example, turned out to be equally popular and controversial. Frank and Evans explained the unfavorable solvation free energy of hydrophobic solutes in water by an entropic penalty due to an ordered “iceberg” structure of water molecules that forms around the solute. The term “iceberg” is not meant to be taken literally, but rather refers to a higher ordering of the first few solvation shells compared to bulk water.<sup>7,8</sup>

Indeed, the hydrophobic effect has been shown to be mainly entropy-driven<sup>4</sup> and such ordered structures have been found around hydrophobic solutes.<sup>8–11</sup> However, in seminal papers, Ben-Naim<sup>12–14</sup> has analytically proven that the so-called solvation reorganization term or cavity formation term occurs in both the enthalpic and the entropic part of the solvation free

energy; as a result, this term cancels out in the solvation free energy and, therefore, structural changes to the solvent that give rise to changes in solvent–solvent interactions cannot be a thermodynamic driving force of solvation. Subsequently, Yu and Karplus<sup>15</sup> have obtained the same result via a different route for the special case of pairwise additive interactions.

This important theorem has led to the general understanding that upon solvation, the enthalpy change arising from solvent–solvent interactions and the entropy change due to water ordering cancel as well and thus, too, cannot contribute to the net free energy change of solvation. As we will discuss below, this conclusion rests on the wrong interpretation of the solvent reorganization term as an entropy change of the solvent

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or, more specifically, entropy changes due to many-body solvent correlations. Indeed, ordered structures of water molecules around hydrophobic solutes are still implied as the cause of hydrophobicity,<sup>16,17</sup> a notion that has then been criticized by others.<sup>14,18</sup> Overall, this seeming contradiction caused considerable confusion and still does.

We have recently addressed this issue from a simulation perspective and have calculated enthalpic and entropic contributions to the folding free energy of crambin.<sup>19</sup> Specifically, we calculated and compared the solvation shell enthalpies and entropies of the solvated folded conformation of crambin and a molten-globule-like conformation of this prototypic globular protein. To directly quantify many-body solvent correlations, we used the method PerlMut,<sup>20,21</sup> which employs a mutual information expansion (MIE)<sup>22–25</sup>

$$T\Delta S \approx T\Delta S_1 + T\Delta S_{\geq 2} \quad (1)$$

into single-molecule entropies  $\Delta S_1$  and entropy contributions  $\Delta S_{\geq 2}$  arising from correlations between pairs and triples of—mostly nearby—solvent molecules. This entropy decomposition differs from the one put forward by Ben-Naim and Yu and Karplus and offers a more direct and microscopically intuitive interpretation. For example, the single-molecule entropy  $\Delta S_1$  contribution to the solvation free energy in many cases reflects direct interactions between the solvent and the solute, whereas the entropy contributions due to solvent–solvent correlations provide direct microscopic insights into how the solvent structure and fluctuations are affected and, in turn, contribute to the solvation free energy. Hence, these calculations also offer the chance to test whether entropy changes due to many-body solvent correlations are canceled by changes in solvent–solvent interactions.

In our molecular dynamics (MD) simulations,<sup>19</sup> the molten-globule-like conformation of crambin showed many hydrophobic residues, which are buried within the folded conformation, exposed to the solvent. Relative to the native fold, we observed indeed a marked entropic free energy contribution to the solvation free energy due to strongly correlated water molecules in the innermost solvation shells.

Here, we show that this finding is, in fact, perfectly compatible with the Ben-Naim theorem. Our analysis will, further, provide a deeper understanding of the contribution of the solvent response to the solvation free energy and also clarify the notion that structural changes of the solvent cannot affect the solvation free energy.<sup>12</sup> We will illustrate our reasoning by a simple Ising model example that can be exhaustively enumerated as well as by a more realistic example of a Lennard-Jones particle solvated in liquid argon.

## 2. THEORY

**2.1. Canonical Decomposition.** Ben-Naim<sup>12–14</sup> has proven that the change of average solvent–solvent interaction energies upon solvation is exactly compensated by a corresponding entropy term, such that there is no net free energy contribution. Later, Yu and Karplus<sup>15</sup> obtained essentially the same result for the less general case of pairwise additive solute–solvent and solvent–solvent interactions by considering a solvation process described by the coupling parameter  $\lambda$  ( $\lambda = 0$ : not solvated,  $\lambda = 1$ : fully solvated).

In particular, they demonstrated that for a Hamiltonian

$$\mathcal{H}(\lambda) = \mathcal{H}_{uv}(\lambda) + \mathcal{H}_{vv} \quad (2)$$

consisting of pairwise solute–solvent ( $uv$ ) and solvent–solvent ( $vv$ ) interactions, the internal energy ( $\Delta U$ ) and entropy ( $\Delta S$ ) changes can be expressed as

$$\Delta U = \frac{\langle \mathcal{H}_{uv} \rangle_{\lambda=1}}{\Delta U_{uv}} + \beta \int_0^1 d\lambda \left[ \frac{\langle \mathcal{H}_{vv} \rangle \left\langle \frac{\partial \mathcal{H}_{uv}}{\partial \lambda} \right\rangle_{\lambda} - \left\langle \mathcal{H}_{vv} \frac{\partial \mathcal{H}_{uv}}{\partial \lambda} \right\rangle_{\lambda}}{\Delta U_{vv}} \right] \quad (3a)$$

$$= \Delta U_{uv} + \Delta U_{vv} \quad (3b)$$

$$T\Delta S = \beta \int_0^1 d\lambda \left[ \frac{\langle \mathcal{H}_{uv} \rangle \left\langle \frac{\partial \mathcal{H}_{uv}}{\partial \lambda} \right\rangle_{\lambda} - \left\langle \mathcal{H}_{uv} \frac{\partial \mathcal{H}_{uv}}{\partial \lambda} \right\rangle_{\lambda}}{T\Delta S_{uv}} \right] + \beta \int_0^1 d\lambda \left[ \frac{\langle \mathcal{H}_{vv} \rangle \left\langle \frac{\partial \mathcal{H}_{uv}}{\partial \lambda} \right\rangle_{\lambda} - \left\langle \mathcal{H}_{vv} \frac{\partial \mathcal{H}_{uv}}{\partial \lambda} \right\rangle_{\lambda}}{T\Delta S_{vv}} \right] \quad (4a)$$

$$= T\Delta S_{uv} + T\Delta S_{vv} \quad (4b)$$

Whereas the internal energy and entropy parts  $\Delta U_{uv}$  and  $T\Delta S_{uv}$  only contain solute–solvent interactions  $\mathcal{H}_{uv}$ , the remaining terms are referred to as solvent–solvent terms,  $\Delta U_{vv}$  and  $T\Delta S_{vv}$ , respectively. The important finding by Ben-Naim and Yu and Karplus is that these two terms, also referred to as or solvent reorganization terms, are identical and thus cancel in the net free energy difference

$$\Delta F = \Delta U - T\Delta S = \Delta U_{uv} - T\Delta S_{uv} \quad (5)$$

which thus only contains the so-called solute–solvent terms.

Note, however, that whereas the interpretation of  $\Delta U_{vv}$  as the change of the average solvent–solvent interaction is straightforward, there is no similarly intuitive microscopic interpretation for the (canceling) entropy term  $T\Delta S_{vv}$ . Although it arises canonically as the second term in eq 4a, and despite the common subscript “solvent–solvent”,  $\Delta S_{vv}$  does not describe the entropy change of the solvent due to its changed ordering. In fact, the separation into solute–solvent and solvent–solvent interactions does not imply a unique additive separation of corresponding entropy contributions. We think that this misconception is the root of long-standing and widespread confusion.

In particular, the seeming absence of solvent–solvent terms in the free-energy balance has led to the widely held belief that the solvent response to the presence of a solute, e.g., solvent rearrangements such as the Frank and Evans “icebergs”, cannot contribute as a thermodynamic driving force.<sup>14,26</sup>

**2.2. Mutual Information Expansion.** Additionally, although the two terms  $\Delta S_{uv}$  and  $\Delta S_{vv}$  are of course well-defined, it is misleading to interpret these entropy terms, which are inherently ensemble properties, as representing the entropy contribution arising separately from solute–solvent and solvent–solvent interactions, respectively, as defined in eq 2. For example, the term  $\Delta S_{vv}$  in eq 4a contains solute–solvent interactions  $\mathcal{H}_{uv}$  not only implicitly via ensemble averages but also explicitly.

As an alternative, and to gain physical insights into the solvation process that can be interpreted in a more straightforward manner, we suggest to use a MIE,<sup>22–25</sup> as, e.g., implemented in the recently developed method Perl Mut.<sup>20,21</sup>

Accordingly, the total solvent entropy is decomposed into single-body entropies, akin to an ideal-gas term, and multibody correlations

$$S \approx \sum_{i=1}^N S_1(i) - \sum_{\substack{(j,k) \\ \text{pairs}}} I_2(j, k) + \sum_{\substack{(l,m,n) \\ \text{triples}}} I_3(l, m, n) + \dots \quad (6a)$$

$$= S_1 + S_{\geq 2} \quad (6b)$$

where  $S_1(i)$  are the single-body entropies of the (three-dimensional) probability distributions of molecules 1, ...,  $N$  and  $I_2(j, k)$  and  $I_3(l, m, n)$  are the two-body and three-body mutual information terms of molecule pairs and triples, respectively. These terms are defined as

$$I_2(j, k) = S(j) + S(k) - S(j, k) \quad (7a)$$

$$I_3(l, m, n) = S(l) + S(m) + S(n) - S(l, m) - S(l, n) - S(m, n) + S(l, m, n) \quad (7b)$$

and represent the entropy change due to two- and three-body correlations, respectively. In this notation,  $S(j, k)$  and  $S(l, m, n)$  are the entropies of the (six- and nine-dimensional) marginal distributions of the full configuration space density  $\varrho$  with respect to molecule pairs  $j, k$  and triples  $l, m, n$ , respectively, e.g.

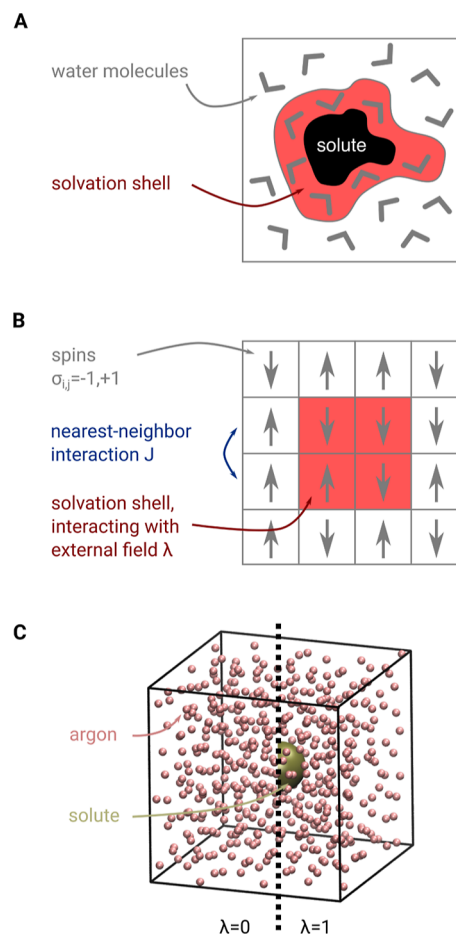
$$\varrho(j, k) = \int \varrho \prod_{\substack{p=1 \\ p \neq j, p \neq k}}^N dx_p \quad (8)$$

A full MIE up to the  $N$ -body correlation term yields an exact entropy decomposition. In our numerical approach below, evaluation of the respective integrals would require sampling over the full  $3N$ -dimensional configuration space, which is impractical. We therefore truncated the expansion after the three-body correlations to obtain a good approximation of entropy, neglecting higher-order terms. For short-ranged interactions, these have indeed been demonstrated to be small.<sup>27</sup>

### 3. METHODS

**3.1. Ising Model.** To assess the solvent response to a solute (e.g., a protein), as sketched in Figure 1A, we first considered the simple  $4 \times 4$  subcritical Ising model sketched in Figure 1B. In this model, each spin  $\sigma_{ij} = -1, +1$  interacts with its nearest neighbors with an interaction strength  $J = 0.2$  under periodic boundary conditions. Here, the spins mimic a solvent, with the four most center spins (shaded in red) interacting with an external field  $\lambda$ , which mimics the interaction with a solute. Note that because the solute is described purely by these interactions, the Ising model depicted in Figure 1B does not contain any explicit solute degrees of freedom.

Accordingly, the Hamiltonian reads



**Figure 1.** (A) Sketch of a solute (black) in water (gray angles), where the solvation shell (red area) interacts directly with the protein. (B) Sketch of a  $4 \times 4$  Ising model, serving as a simplified model of solute–solvent/solvent–solvent interactions. Here, spins (gray arrows) represent water molecules. The four spins shaded in red interact with the solute; this interaction is described by an external field  $\lambda$  that acts on the four spins. (C) As a more realistic model system, argon-type atoms (red) are coupled to a solute Lennard-Jones sphere (yellow). On the left ( $\lambda = 0$ ), the solute is decoupled from the argon solvent; on the right ( $\lambda = 1$ ), the solute is fully solvated.

$$\mathcal{H}(\mathbf{x}) = \mathcal{H}_{\text{vv}}(\mathbf{x}) + \mathcal{H}_{\text{uv}}(\mathbf{x}) \quad (9a)$$

$$= -J \sum_{\text{n.n.}} \sigma_{i,j} \sigma_{i',j'} - 4\lambda \sum_{\text{shell}} \sigma_{i,j} \quad (9b)$$

where the first sum runs over all nearest neighbors, and the second sum runs over the spins shaded in red (i.e., the “solvation shell”, the solute is not shown in Figure 1B). The probability of each state  $\mathbf{x} \in \mathbf{X} = [-1, +1]^{4 \times 4}$  reads

$$P(\mathbf{x}) = \frac{1}{Z} e^{-\mathcal{H}(\mathbf{x})/k_{\text{B}}T} \quad (10)$$

with the partition function  $Z$  chosen such that  $\sum_{\mathbf{x} \in \mathbf{X}} P(\mathbf{x}) = 1$ .

The entropy  $S$  and the average solvent–solvent interaction energy therefore read

$$S = -k_{\text{B}}T \sum_{\mathbf{x} \in \mathbf{X}} P(\mathbf{x}) \log P(\mathbf{x}) \quad (11)$$

$$U_{\text{vv}} = \sum_{\mathbf{x} \in \mathbf{X}} P(\mathbf{x}) \mathcal{H}_{\text{vv}}(\mathbf{x}) \quad (12)$$



Following Yu and Karplus,<sup>15</sup> all solute–solvent and solvent–solvent entropy changes were calculated according to eq 4a. These values are therefore subject to a small integration error due to the required numerical integration, for which we used 251 discrete  $\lambda$ -intermediates. For the Ising model, all calculations were carried out with unitless energies, i.e.,  $k_B = T = \beta = 1$ .

**3.2. Argon.** **3.2.1. MD Simulations.** To quantify the response of an argon-type liquid to a Lennard-Jones solute, two systems were simulated: an unsolvated system containing 512 argon-type atoms and a solvated system with an additional immobilized van der Waals sphere as a “solute”. All MD simulations were carried out using the software package Gromacs 2020.6<sup>28–32</sup> with a leapfrog integrator with a 2 fs time step. The van der Waals parameters of argon were taken from the CHARMM36m force field.<sup>33–35</sup> The Lennard-Jones<sup>36</sup> parameters (particle size  $\sigma$  and potential depth  $\epsilon$ ) for the model solute were chosen as twice as those of argon to enhance the statistical significance of average energy differences. All van der Waals interactions were switched between 1.0 and 1.2 nm, and no dispersion correction was applied. To immobilize the solute at the center of the simulation box, freeze-options within Gromacs were used. During all simulation runs, the temperature was kept at 120 K using the V-rescale thermostat<sup>37</sup> with a time constant of 0.1 ps.

The unsolvated (pure argon) system was equilibrated at 1 bar pressure in a 20 ns *NPT*-run using the Berendsen barostat,<sup>38</sup> resulting in a (3.073 nm)<sup>3</sup> cubic simulation box. The second, solvated system was prepared by adding the solute to the simulation box and allowing for a further equilibration, lasting 10 ns under *NVT* conditions. For both systems, production runs, each lasting 4  $\mu$ s, were carried out under *NVT* conditions. For subsequent analysis, configurations were stored every 10 ps, resulting in trajectories consisting of  $4 \times 10^5$  frames each.

**3.2.2. Entropy Calculation.** Entropy contributions were calculated using the method PerIMut,<sup>20,21</sup> which utilizes a permutation reduction<sup>39,40</sup> and a MIE<sup>22–25</sup> into one-, two-, and three-body correlations. For permutation reduction, 50 different simulation snapshots were randomly selected as reference structures, and a MIE was carried out using each of the permutationally reduced trajectories. In the MIE, the mutual information between all pairs of argon atoms was taken into account; triple-wise mutual information terms were cut off at an average distance of 0.5 nm after permutation reduction.<sup>20,21</sup> All MIE orders were calculated using a *k*-nearest-neighbor algorithm with a value of  $k = 1$ .

From the resulting entropy difference  $\Delta S_{\text{MIE}}$  between the unsolvated system and the solvated system, the free energy difference  $\Delta F_{\text{MIE}} = \Delta U - T\Delta S_{\text{MIE}}$  was calculated, where the internal energy difference  $\Delta U$  was obtained directly from the average interaction energies in the simulation runs.

Solute–solvent and solvent–solvent entropy differences  $\Delta S_{\text{uv}}$  and  $\Delta S_{\text{vv}}$  respectively, were calculated by thermodynamic integration (TI) from the unsolvated state to the solvated state using 200 equidistant windows, each lasting 200 ns. As a control for the PerIMut results, the total entropy difference  $\Delta S_{\text{TI}} = \Delta S_{\text{uv}} + \Delta S_{\text{vv}}$  and the free energy change

$$\Delta F_{\text{TI}} = \int_0^1 \left\langle \frac{\partial H}{\partial \lambda} \right\rangle d\lambda \quad (13)$$

were calculated using standard TI.

Errors of the internal energies were calculated as  $\sigma_U / \sqrt{N_f - 1}$ , where  $\sigma_U$  are the standard deviations of the respective interaction energies from  $N_f = 400 \times 10^3$  simulation frames. Due to the long interval of 10 ps between frames, these were considered statistically independent. Similarly, PerIMut errors were estimated as the standard errors resulting from the 50 permutationally reduced simulation trajectories. TI errors were estimated from the difference between two independent sets of TI simulation runs with identical input parameters but different initial (random) velocities but turned out to be negligible for all further analyses.

## 4. RESULTS AND DISCUSSION

To investigate the seeming contradiction between the Ben-Naim theorem and the free energy effects of increased solvent correlations observed for the crambin<sup>19</sup> solvent shell, we calculated the relevant contributions of the solvent response to the solvation free energy for two simple model systems, for which sampling errors are either absent or can be neglected. Specifically, we will compare all free energy contributions of the Yu et al. decomposition (eqs 3a–5) with the MIE (eq 1).

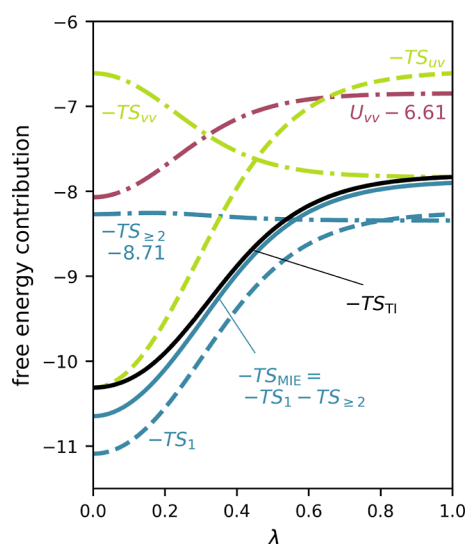
We will first consider an idealized solvation process for an Ising model, for which all relevant quantities can be exhaustively enumerated, such that the results are exact to numerical precision. Subsequently, we will consider a liquid argon-type Lennard-Jones system with a van der Waals solute, the relaxation times of which are short with respect to simulation times, such that for this, more realistic system sampling errors can be assumed to be very small with respect to the relevant energy and entropy differences.

**4.1. Ising Model.** As a simple illustrative model of a solute in a solvent (Figure 1A), we consider the  $4 \times 4$  subcritical Ising model shown in Figure 1B. Here, each spin represents a solvent molecule that interacts with its nearest neighbors. The effects of a solute are modeled by an external field with strength  $\lambda$  that acts on the “solvation shell” (red), consisting of the four spins at the center.

Figure 2 shows the exact relevant thermodynamic quantities as a function of the coupling parameter  $\lambda$ , calculated by full enumeration as described in Section 3.1. As expected, with the increase in coupling to the solvent, the total entropic free energy contribution  $-TS_{\text{TI}}$  (black line) becomes less favorable (i.e., it increases) and eventually saturates at an entropy difference of  $-T\Delta S_{\text{TI}} = 2.48$  between fully solvated ( $\lambda = 1$ ) and fully decoupled ( $\lambda = 0$ ). This contribution is dominated by the unfavorable solute–solvent contribution  $-T\Delta S_{\text{uv}} = 3.70$  (green dashed line), which is partially compensated by the favorable solvent–solvent contribution  $-T\Delta S_{\text{vv}} = -1.22$  (green dashed-dotted line).

As shown by the dashed-dotted red line in Figure 2, the average solvent–solvent interaction energies  $U_{\text{vv}}$  increase for increasing coupling parameter  $\lambda$  and thus contribute unfavorably to the free energy change by  $\Delta U_{\text{vv}} = 1.22$ . Fully in line with the Ben-Naim theorem (eq 5),  $U_{\text{vv}}$  is indeed precisely compensated by  $-TS_{\text{vv}}$ , such that  $\Delta U_{\text{vv}} - T\Delta S_{\text{vv}} = 0$  and, hence,  $\Delta F = \Delta U_{\text{uv}} - T\Delta S_{\text{uv}}$  ( $\Delta U_{\text{uv}} = -15.98$ , not shown in Figure 2).

Does this finding imply that solvent–solvent correlations do not contribute to the solvation free energy? To answer this question, consider the above MIE of entropy, which directly quantifies these correlations. The single-body term  $-TS_1$  (dashed blue line) underestimates the entropy on average by



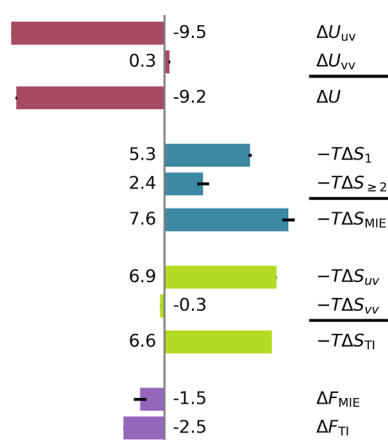
**Figure 2.** Thermodynamic quantities of the Ising model as a function of the external field  $\lambda$ . Individual entropic (green and blue) and enthalpic (red) contributions are shown as dashed or dashed-dotted lines, and the respective sums and total entropies are shown as solid lines. For reference, the precise entropy contribution ( $-TS_{TI}$ ) is shown as a solid black line. Entropies obtained via PerIMut MIE are colored blue; entropies representing the Ben-Naim decomposition are shown in green. Labels mark the individual contributions discussed in the text. For a better visual representation,  $U_{vv}$  and  $-TS_{\geq 2}$  are shifted by 6.61 and 8.71 units, respectively.

0.53 units and contributes  $-T\Delta S_1 = 2.82$  to the overall entropy change. Inclusion of the two- and three-body correlation terms ( $-T\Delta S_{MIE}$ , solid blue line) improves the approximation markedly, with an average deviation from the exact values below 0.13 units. The correlation terms  $-TS_{\geq 2}$  (dashed-dotted light blue line) add a small favorable contribution of  $-T\Delta S_{\geq 2} = -0.07$  to the overall MIE entropic free energy change (blue solid line) of  $-T\Delta S_{MIE} = 2.75$ .

Crucially, the term  $-TS_{vv}$  differs from the solvent–solvent correlations  $-TS_{\geq 2}$  both by definition and, indeed, also numerically, as shown in Figure 2. As a result,  $-TS_{uv}$  also differs from  $-TS_1$ , and the entropy change due to solvent correlations  $-T\Delta S_{\geq 2}$  is not compensated by any canonical internal energy term. This simple example illustrates that, generally, solvent correlations do contribute to the solvation free energy; it also clarifies why this finding is not in conflict with the Ben-Naim theorem.

**4.2. Argon.** Is this subtle but important distinction between  $-TS_{vv}$  and the actual many-body contribution to the solvation entropy,  $-TS_{\geq 2}$ , also relevant for more realistic systems? To address this question, we carried out MD simulations of a system comprising 512 argon-type atoms and an immobilized Lennard-Jones “solute”, as described in Section 3.2 (see also Figure 1C). Here, we calculated the free energy change of solvation, as well as the relevant enthalpic and entropic contributions using both PerIMut and thermodynamic integration.

As shown in Figure 3, the internal energy change  $\Delta U$  upon solvation is favorable and totals  $-9.2$  kJ mol<sup>-1</sup>, to which solvent–solute interactions ( $\Delta U_{uv}$ ) contribute  $-9.5$  kJ mol<sup>-1</sup> and solvent–solvent interactions ( $\Delta U_{vv}$ ) contribute  $0.3$  kJ mol<sup>-1</sup>. In line with the Ben-Naim theorem, the latter contribution is exactly compensated by  $-T\Delta S_{vv} = -0.3$  kJ mol<sup>-1</sup>, which, also for this system, might suggest that the



**Figure 3.** Solvation free energy contributions (in kJ mol<sup>-1</sup>) of the fixed Lennard-Jones solute in an argon-type liquid. Red bars denote the internal energy change and its contributions. Colors correspond to those in Figure 2; blue and green bars denote the entropy change and its contributions, calculated using PerIMut and TI, respectively. Purple bars show the overall free energy change, as calculated using PerIMut and TI. Estimated sampling uncertainties are shown as small black bars. Within each colored group, the lowest bar is the sum of the upper 2 bars, as indicated by the horizontal black summation lines.

solvent–solvent interactions and correlations, taken together, do not contribute to the solvation free energy.

However, the many-body entropy contribution  $-T\Delta S_{\geq 2} = (2.4 \pm 0.4)$  kJ mol<sup>-1</sup>, calculated using PerIMut and the MIE, is substantial and contributes a significant fraction to the solvation entropy  $-T\Delta S_{MIE} = (7.6 \pm 0.4)$  kJ mol<sup>-1</sup>, which is dominated by the reduced volume of the individual argon atoms,  $-T\Delta S_1 = (5.3 \pm 0.1)$  kJ mol<sup>-1</sup>.

To test our assumption that four-body and higher correlations not included within  $-T\Delta S_{MIE}$  are sufficiently small, we also calculated the relevant entropy terms using TI (green). Indeed, the similar total entropy change of  $-T\Delta S_{TI} = 6.6$  kJ mol<sup>-1</sup> supports this assumption and shows that the contribution of the higher correlations to the solvation entropy is markedly smaller than the MIE estimate. Also for this more realistic system, the entropy change due to solute–solvent interactions ( $-T\Delta S_{uv} = 6.9$  kJ mol<sup>-1</sup>) dominates, and  $-T\Delta S_{vv}$  does not even describe the correct sign of the actual solvent–solvent correlation contribution to the solvation free energy. The remaining difference of ca. 1 kJ mol<sup>-1</sup> between the MIE and TI solvation entropies is also reflected in the respective total free energies  $\Delta F_{MIE} = \Delta U - T\Delta S_{MIE} = -1.5$  kJ mol<sup>-1</sup> and  $\Delta F_{TI} = -2.5$  kJ mol<sup>-1</sup>, respectively, underscoring that this difference is mainly due to the truncated MIE expansion rather than sampling uncertainties.

Similar to our findings for the above Ising model, also for the more realistic argon-type system, the two possible entropy decompositions differ significantly. Whereas the small size of the two solvent–solvent terms  $\Delta U_{vv}$  and  $-T\Delta S_{vv}$ —and in particular their mutual cancellation—seem to show that the solvation of this Lennard-Jones particle is unaffected by the reaction of the solvent, the actual solvent–solvent entropy contributions are substantial and not compensated by any canonical internal energy term.

We conclude that also for the solvation of a Lennard-Jones particle in a Lennard-Jones fluid, the induced solvent reorganization—defined via solvent entropies—contributes markedly to the solvation free energy. We note that the solute

has been inserted in the  $NVT$  ensemble, i.e., with the volume kept constant, which may increase the structural response of the solvent to the insertion of the solute compared to a constant pressure simulation and thus enhance the solvent reorganization terms  $\Delta U_{vv}$  and  $-T\Delta S_{vv}$ .

## 5. CONCLUSIONS

We pointed out that the entropy decomposition by Ben-Naim and Yu et al. into a contribution  $S_{uv}$  from solute–solvent interactions and a remaining contribution ( $S_{vv}$ ) as defined in eq 4a, differs conceptually from direct evaluation—e.g., via a MIE—of entropic solvent–solvent correlation contributions to the solvation free energy. In particular, the term “solvent-reorganization entropy” for  $\Delta S_{vv}$ , although canonically defined, may create the wrong impression that any solvent change due to the presence of a solute, however defined, cannot contribute to the net free energy.

Two examples served to illustrate the solution of this seeming paradox. First, a simple semianalytical Ising model, which permitted exhaustive enumeration, establishes that the conceptual difference between  $\Delta S_{vv}$  and  $\Delta S_{\geq 2}$  actually gives rise to marked numerical differences. Second, our MD simulations of solvation within a Lennard-Jones liquid show that this distinction is also relevant for a more realistic solvation system. For both systems,  $\Delta S_{vv}$  is exactly compensated by the change of average solvent–solvent interactions ( $\Delta U_{vv}$ ), as required by Ben-Naim’s theorem.

In more general terms, our examples also illustrate an alternative decomposition of entropic contributions to the solvation free energy, which is more accessible to a microscopic interpretation and provides insight into which entropy changes drive or oppose solvation. In particular, the single-molecule entropy difference  $\Delta S_1$  describes how restricted spatial or (in the case of, e.g., water solvent) orientational mobility of the solvent molecules due to solute–solvent interactions opposes solvation. In this sense,  $-T\Delta S_1$  is the natural counterpart of  $\Delta U_{uv}$ . In contrast, the higher order terms  $-T\Delta S_{\geq 2} = -T\Delta S_2 - T\Delta S_3 - \dots$  quantify how (typically) increased translational and orientational solvent–solvent correlations, as, e.g., described by the early “iceberg” hypothesis, reduce the solvent shell entropy and, hence, also oppose solvation.

For the two examples discussed here, and also for a solvated globular protein,<sup>19</sup> the pair correlation term  $\Delta S_2$  dominates the solvent–solvent correlations  $\Delta S_{\geq 2}$ . Along the same lines, therefore,  $-T\Delta S_{\geq 2}$  can be seen as the natural counterpart of  $\Delta U_{vv}$ , and in fact actually represents what the  $vv$ -subscript of  $-T\Delta S_{vv}$ , perhaps misleadingly, seems to suggest.

We hope our explanations and examples will contribute to resolving a long-standing controversy and the resulting widespread confusion. Fully in line with Ben-Naim’s theorem, solvent–solvent correlations can—and generally do—contribute markedly to the overall free energy of solvation, thus underscoring the need for an improved understanding of the “iceberg”-type ordering of solvent shells, in particular near complex macromolecular solutes and surfaces.

## AUTHOR INFORMATION

### Corresponding Author

Helmut Grubmüller – Department of Theoretical and Computational Biophysics, Max-Planck Institute for Multidisciplinary Sciences, 37077 Göttingen, Germany;

orcid.org/0000-0002-3270-3144; Email: hgrubmu@gwdg.de

### Author

Leonard P. Heinz – Department of Theoretical and Computational Biophysics, Max-Planck Institute for Multidisciplinary Sciences, 37077 Göttingen, Germany; Present Address: d-fine GmbH, 60313 Frankfurt am Main, Germany; orcid.org/0000-0002-9863-3310

Complete contact information is available at: <https://pubs.acs.org/10.1021/acs.jctc.3c00655>

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