

1 **Title: Online stimulation of prefrontal cortex during practice increases motor variability**  
2 **and modulates later cognitive transfer: a randomized, double-blinded & sham-controlled**  
3 **tDCS study**

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28 Conflict of interest: The authors declare no competing financial and non-financial interests.

29

30 **Abstract**

31 Background: The benefits of learning a motor skill extend to improved task-specific cognitive abilities.  
32 The mechanistic underpinnings of this motor-cognition relationship potentially rely on overlapping  
33 neural resources involved in both processes, an assumption lacking causal evidence.

34 Objectives: We hypothesize that interfering with prefrontal networks would affect concurrent motor  
35 skill performance, long-term learning and associated cognitive functions dependent on similar networks  
36 (transfer).

37 Methods: We conducted a randomized, double-blinded, sham-controlled brain stimulation study using  
38 transcranial direct current stimulation (tDCS) in young adults spanning over three weeks to assess the  
39 role of the prefrontal regions in learning a complex balance task and long-term cognitive performance.

40 Results: Balance training combined with active tDCS led to higher performance variability in the trained  
41 task as compared to the sham group, without affecting the learning rate. Furthermore, active tDCS also  
42 positively impacted performance in untrained motor and cognitive tasks.

43 Conclusion: The findings of this study help ascertaining the networks directly involved in learning a  
44 complex motor task and its implications on cognitive function. Hence, opening up the possibility of  
45 harnessing the observed frontal networks involved in resource mobilization in instances of aging, brain  
46 lesion/injury or dysfunction.

47 Keywords. Motor learning, prefrontal cortex, Transcranial direct current stimulation, transfer effects,  
48 cognition.

49

## 50 **1. Introduction**

51 Physical activity has proven instrumental in enhancing overall health and well-being across the lifespan.  
52 Physical inactivity on the other hand, particularly in the context of aging, has dire consequences  
53 extending to cognitive dysfunction [1,2]. Gaining a better understanding of the effects of physical  
54 activity on cognitive performance is crucial to support healthy aging, or ameliorate cognitive  
55 impairments by incorporating spared mobility into therapy. Perhaps a key component in explaining the  
56 link between physical activity and cognition lies within the brain and the synergistic neural networks  
57 subserving both motor processing and cognitive functions. Although colocalized brain activity has been  
58 identified for motor and cognitive processes, we lack important causal evidence linking movement and  
59 cognition at the neural level [3,4].

60 Among wide-ranging forms of physical exercise, the influence of complex motor skill learning on  
61 cognition has garnered considerable attention. Skill learning has the potential to positively impact  
62 cognition through the involvement of key cognitive functions supporting learning, viz., by challenging  
63 functions like information processing, decision-making, movement selection, planning, exploring-  
64 tracking-switching between courses of actions and predicting outcomes based on experience [5]. While  
65 the prefrontal cortex (PFC) has been associated with the majority of the above-mentioned cognitive  
66 functions [6–9], the PFC is also capable of undergoing motor learning-induced brain plasticity. For  
67 example, learning a complex and challenging whole-body task (DBT- dynamic balance task) was shown  
68 to induce structural and functional changes in the PFC, with PFC structure predicting improved balance  
69 task learning [10–12]. Moreover, various training studies suggest transfer effects of motor balance  
70 training to relevant cognitive domains [13–15]. The neural overlap hypothesis predicts that behavioural  
71 transfer from motor practice to cognitive performance is sub-served by overlapping neural circuits [16–  
72 18]; and its underlying mechanisms are hypothesized to occur during the acquisition period of a new  
73 skill [19]. Despite these observational neuroimaging and behavioural findings, the causal role of the  
74 PFC in motor balance learning and its potential to mediate learning-induced cognitive transfer remains  
75 unclear.

76 Unravelling complex brain-behaviour relationships has been effectively achieved through non-invasive  
77 brain stimulation techniques (NIBS). Transcranial direct current stimulation (tDCS) is one stimulation

78 technique widely employed in the context of motor learning. tDCS involves modulating cortical  
79 excitability of a target brain region [20]. Anodal tDCS over the primary motor cortex (M1) was shown  
80 to enhance motor learning [21,22]. Improved overnight motor skill consolidation has been observed  
81 through an effect on networks involved in early consolidation after anodal tDCS over M1 [23]. When  
82 learning is driven by factors such as performance feedback, sensory feedback error signals and cognitive  
83 strategies, opportunity for variation within the learning process is created in an attempt to explore the  
84 solution space [24–26]. In such cases, tDCS over PFC has resulted in faster motor learning through  
85 regulation of performance variability [27]. tDCS thereby aids in deriving causal inferences in the face  
86 of correlative electrophysiological or neuroimaging evidence [28,29]. Although the neurophysiological  
87 effects of a single tDCS session are shown to last a few minutes to a couple of hours after the end of  
88 stimulation [30,31], it is nevertheless capable of inducing long-term structural plasticity in the form of  
89 rearranged synaptic networks and spinogenesis as established through animal models [32,33]. Similarly,  
90 in older adults, training combined with tDCS spread over multiple days was shown to modulate  
91 functional connectivity and microstructural brain alterations associated with cognitive performance  
92 gains [34]. In line with these findings, prefrontal tDCS applied during motor practice may therefore  
93 influence the balance learning-induced prefrontal neural changes that support ongoing balance  
94 performance; also affecting the transfer to cognitive tasks assessed after a time delay that outlasts the  
95 acute neurophysiological effects of tDCS.

96 In order to test this prediction, cathodal tDCS (c-tDCS) over right PFC (rPFC) [10,35] was used during  
97 DBT practice sessions. First, we hypothesized cathodal compared to sham-tDCS will affect performance  
98 indices and learning of the DBT task. We have shown prefrontal regions to undergo structural changes  
99 throughout long-term DBT practice [11] and rapid grey matter changes in M1 after a single DBT practice  
100 session [36]. Therefore, we aim to assess the role of PFC during the process of skill learning using  
101 concurrent tDCS over several training sessions. Following the neural overlap hypothesis, we further  
102 predict prefrontal tDCS during motor practice to modulate remote (24h after motor practice)  
103 performance in cognitive tasks that rely on overlapping prefrontal networks without affecting cognitive  
104 performance immediately after stimulation.

## 106 **2. Material and methods**

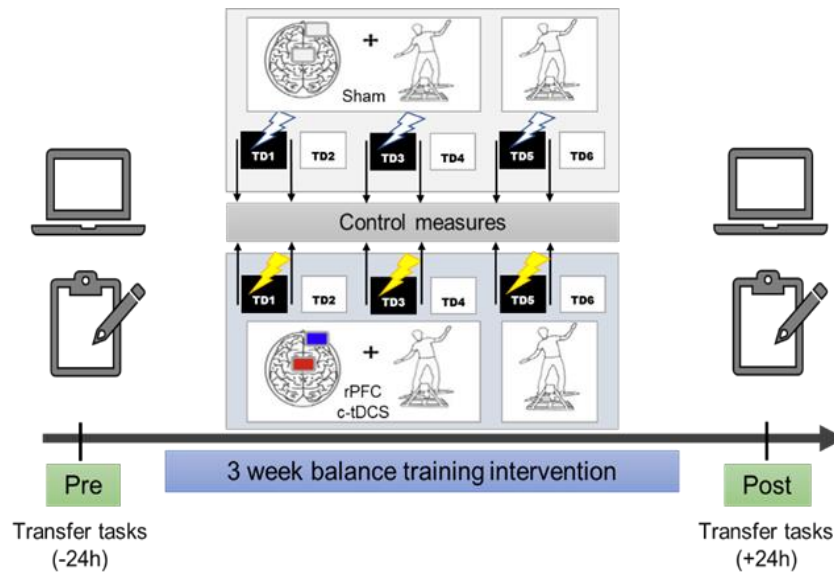
### 107 2.1. Ethics statement

108 This study was approved by the local ethics committee of the Otto-von-Guericke University, Magdeburg  
109 [130/20]. Conforming to the declaration of Helsinki, all subjects provided their written informed consent  
110 prior to participation in the experiment and received financial compensation for participation.

### 111 2.2. Study design

112 We conducted a randomized, double-blinded, sham-controlled study to examine the modulatory effect  
113 of c-tDCS over the PFC during balance performance and learning over 3 weeks in forty-four subjects  
114 between the ages of 18-35yrs (n=44, 21.8±3.25yrs, 27 females). Sample size was estimated based on  
115 findings from [35] using a similar motor learning paradigm along with concurrent tDCS (supplementary  
116 materials 1.1. for further details). Highly skilled subjects such as slackliners or participants with prior  
117 experience with the DBT were excluded. Additionally, in order to evaluate their general physical activity  
118 levels, participants were required to fill-in an activity questionnaire [37].

119 All participants were informed about potential risks of non-invasive brain stimulation used in this study.  
120 After granting their written informed consent, participants were randomly assigned to either cathodal  
121 (c-tDCS) or sham (s-tDCS) groups by one of the authors (MT: no contact with any of the participants).  
122 Neither the researchers involved in data acquisition/training nor the participants were aware of the group  
123 assignment. Irrespective of the training groups, similar tDCS electrode montage using EEG 10-20  
124 position was applied. The entire training duration lasted a total of 3 weeks consisting of two training  
125 sessions per week (TD1-TD6) with motor and cognitive transfer tests conducted 24 hrs pre- and post-  
126 the training period (Figure 1). The first training session of the week (TD1, TD3, TD5) included DBT  
127 practice with concurrent c-tDCS or s-tDCS over right PFC (rPFC). These training sessions were  
128 followed (24hrs later) by a re-evaluation of the DBT performance without c-tDCS (TD2, TD4, TD6).  
129 To control for the acute effects of tDCS on general balance ability and general cognitive abilities of the  
130 participants, balance and cognitive assessments were performed as control tasks immediately before and  
131 after c-tDCS application (refer 2.2.3).



132

133 *Figure 1. Experimental design: Participants trained on the DBT over 3 weeks with two practice sessions per week. The first*  
134 *session of the week included practice under tDCS stimulation followed 24 hours by practice without tDCS. Every session*  
135 *included 15 trials lasting 30 seconds each, interspersed with a rest period of 90 seconds. All participants also performed a*  
136 *battery of motor transfer, computer-based and paper-pencil cognitive tests before and after the 6 training sessions.*

### 137 2.2.1. Complex balance task (DBT)

138 The motor learning paradigm in our study included a whole-body dynamic balance task consisting of a  
139 balance platform that moves in a see-saw like manner known as a Stabilometer (stability platform,  
140 Model 16030, Lafayette Instruments, Lafayette, IN, USA), with a maximum deviation of 26 degrees on  
141 each side. A typical training session on the stabilometer included 15 trials lasting 30 secs each, with 90  
142 seconds rest period between each trial. The goal was to maintain the platform in a horizontal position,  
143 i.e., parallel to the floor, for as long as possible during the 30sec trial; staying within a target deviation  
144 of 0°-3° to the right or left from the horizontal axis. This required the participant to position the body's  
145 centre-of-pressure vertically above the boards' axis of rotation. Each training session lasted  
146 approximately 30-40 mins each day. At the end of each trial, participants received feedback about their  
147 performance in the form of time in balance (TIB- outcome measure), i.e., seconds spent within the  $\pm 3^\circ$   
148 target window. Receiving no instructions regarding task performance strategies, apart from the  
149 necessary safety guidelines and TIB feedback, they were granted the freedom to explore their own  
150 strategies in order to improve performance over the 6 training sessions (Discovery learning  
151 approach)[38,39].

152 2.2.2. Transcranial direct current stimulation (tDCS)

153 A weak direct current of 1mA generated from a rechargeable battery driven stimulator (NeuroConn  
154 GmbH, Ilmenau, Germany) was used for a total duration of 20min during TD1, TD3, TD5. Electrodes  
155 were fastened using Velcro straps over the areas corresponding with rPFC (EEG 10-20 electrode  
156 placement), i.e., cathodal electrode on the right supraorbital region (Fp2). The reference electrode was  
157 placed midway between frontal and central zero (Fz-Cz- with slight off-set to left side) ensuring no  
158 overlap with the cathodal electrode occurred while simultaneously avoiding stimulation over the M1  
159 area [21]. Electrodes were encased within sponge covers drenched in saline solution (NaCl) and  
160 rehydrated intermittently if necessary using syringes without moving the electrodes from their fastened  
161 position. Sizes of both electrodes were kept at 35cm<sup>2</sup> (5x7cm) with a current density of 0.028 mA/cm<sup>2</sup>  
162 and a total charge of 0.033 C/cm<sup>2</sup> under each electrode, similar to [35]. The cathodal stimulation group  
163 (c-tDCS, n=22) experienced stimulation with a trapezoidal pulse form consisting of ramp-up at the  
164 beginning and ramp-down lasting 30 secs at the end of 20-min stimulation period. However, the s-tDCS  
165 group (n=22) received a similar ramp-like stimulation with a fade-in, maintenance of stimulation for 30  
166 secs only, followed by a fade-out. The tDCS stimulation was started only after the second trial during  
167 each training session and lasted 20 minutes thereafter. The participants carried the stimulator in a  
168 backpack during DBT practice. As a precautionary measure, a questionnaire pertaining to sensory  
169 perception, changes in attention, perception of fatigue and discomfort after/ during stimulation was  
170 administered [40]. To assess the success of blinding, all participants were asked whether they believed  
171 they received stimulation or not after TD1, TD3 and TD5.

172 2.2.3. Control measures

173 Acute effects of tDCS stimulation on general balance ability and executive functions were tested using  
174 the Balance Error Scoring System (BESS)[41] and the Stroop test [42,43] respectively. These tests were  
175 administered pre- and post- training sessions where participants received tDCS (refer supplementary  
176 materials 1.3 for test description). These tasks were chosen to match our tasks of interest with respect to  
177 its characteristics and difficulty, although distinct in terms of the involved cognitive or motor functions  
178 of interest. This allowed us to ascertain task specificity while examining the acute effects of tDCS, in  
179 turn avoiding confounds via co-affected supporting functions [28].

180 2.2.4. Transfer tests

181 Based on transfer effects reported in previous coordinative exercise training studies with and without  
182 tDCS[4,14,18,44], a cognitive test battery conducted 24 hours before and after the training period  
183 investigated the transfer effects of concurrent tDCS and motor practice. The tests and the measured  
184 parameters included: Visual and Verbal Memory Test- delayed recall and rate of forgetting (VVM)[45],  
185 d2- Test of Attention- concentration score (d2-R)[46], Eriksen Flanker task- accuracy and reaction time  
186 interference [47] and Trail making test (TMT)- time to completion in TMT-A (1-2-3-...), TMT-B (1-A-  
187 2-B-...) and  $\Delta$  TMT (factoring out the time component of TMT while accounting for completion times  
188 in both subtests TMT A & B)[48,49]. As a motor transfer test, a football header task available on the  
189 WiiFit console (Nintendo) was used to assess the goal-directed control of COM movement at the  
190 beginners and advanced level. (Detailed description of all the tests conducted are included in  
191 supplementary materials 1.2).

192 2.3. Data analysis

193 All statistical analyses for this study were conducted using the software R version 4.1.3 [50]. Between  
194 group comparisons at baseline for all demographic variables were conducted, depending on the scale  
195 level, using chi square or Brunner-Munzel [51] tests. To investigate the performance changes over the  
196 entire training duration and on the data from the questionnaire inspecting perceived sensory effects of  
197 stimulation, robust two-way mixed ANOVAs based on 20% trimmed means as implemented in the  
198 WRS2 package [52] were used. The blinding responses were analysed using BI package implemented  
199 in R. James blinding index (two-sided) for TD1, TD3 and TD5 are reported separately and interpreted  
200 as 0.0 = complete unblinding, 0.5 = random guessing and 1.0 = complete blinding [53].

201 The TIB recorded during 15 trials was averaged for each TD for between and with-in group comparisons.  
202 In addition to TIB, coefficient of variation (CoV= SD/mean) in TIB over each TD was compared. A  
203 posthoc analyses of CoV's at every TD was further conducted using the nonparametric combination  
204 (NPC) framework, combining results from multiple studentized Wilcoxon permutation tests [51,54]  
205 using Fisher's chi-square [55] combination into a single global *p*-value accounting for the dependence  
206 among the component tests (R package NPC v1.1.0)[56].



207 In order to investigate the effect of c-tDCS on learning-induced transfer, pre-post difference scores were  
 208 calculated for (1) the control tasks, (2) cognitive and (3) motor transfer tasks. Transfer task comparisons  
 209 were conducted using a non-parametric Brunner-Munzel test (brunnermunzel package)[51], whereas  
 210 mixed ANOVAs (described above) were used for comparing control tasks accounting for multiple time  
 211 points. Type I error rate  $\alpha$  was set at the conventional significance level of .05. Depending on the  
 212 statistical test used, effect sizes are reported as Cohen's  $d$  (small = 0.20, medium = 0.50, large = 0.80)  
 213 or Cliff's delta ( $\delta$ ; Cliff, 1996) interpreted as small = 0.11; medium = 0.28; large = 0.43 [58].

### 214 3. Results

215 Baseline characteristics of the participants in this study with respect to age, gender, body height, body  
 216 weight, hand dominance and day-to-day physical activities did not differ between groups (Table 1).

217 *Table 1. Demographic data: Comparisons between groups in relation to age, gender, height, weight, dominance, physical*  
 218 *activity. Values displayed denote the median and interquartile ranges within parentheses for both groups. All statistical*  
 219 *comparisons performed with Brunner-Munzel test except gender and hand dominance (chi-square  $X^2$ ).*

Characteristics	Overall	c-tDCS	s-tDCS	c-tDCS vs s-tDCS
Age	21 (3)	21 (2.75)	21 (2.75)	$t(41.83) = 0.41, p = .68$
Sex (F; M)	27;17	13;9	14;8	$X^2(1) = 0.09, p = .76$
Height	174 (15)	173 (16.5)	174.5 (12)	$t(39.87) = -0.33, p = .74$
Weight	69 (12.75)	68 (16)	70.5 (10.75)	$t(40.68) = -0.85, p = .39$
Hand dominance (Left; Right)	2;42	0;22	2;20	$X^2(1) = 2.09, p = .15$
Physical activity: Work index	2.19 (1.63)	2.13 (1.31)	2.25 (1.38)	$t(40.13) = -0.29, p = .77$
Physical activity: Sport index	3.25 (0.88)	3.13 (1.00)	3.25 (1.00)	$t(41.90) = -0.78, p = .44$
Physical activity: Leisure time index	3.5 (0.75)	3.5 (0.69)	3.75 (0.63)	$t(40.09) = -0.76, p = .45$

220

221 3.1. Control measures

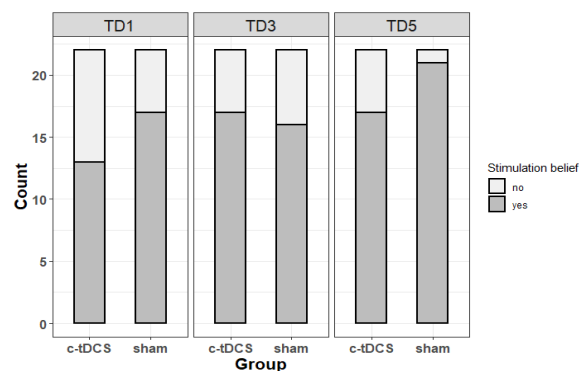
222 3.1.1. Stimulation Questionnaire.

223 In the questionnaire related to tDCS-induced immediate effects, participants rated on a scale from 0 to  
224 4 their subjective perception of pain, attention, sensation, etc. No significant main or interaction effects  
225 were found on factors like tingling ( $F(2, 22.42) = 0.17, p = .84$ ), burning sensation ( $F(2, 19.66) = 0.15,$   
226  $p = .85$ ), headache ( $F(2, 22.63) = 1.18, p = .32$ ), concentration problems ( $F(2, 21.15) = 0.58, p = .57$ ),  
227 attention ( $F(2, 17.33) = 0.23, p = .79$ ), etc. (refer supplementary material 2.1. for further details)

228

### 229 3.1.2. Blinding of stimulation

230 The blinding index (BI) on TD1 was estimated at 0.56 with 95% CI [0.42, 0.69], on TD3 BI = 0.44 with  
231 95 % CI [0.32, 0.57] and on TD5 BI = 0.59 with 95% CI [0.49, 0.69], indicating random guessing  
232 (Figure 2). These results combined with the results of the stimulation questionnaire, indicate successful  
233 blinding between the groups.



234

235 *Figure 2. Responses from both groups about stimulation belief (blinding) on training sessions 1, 3, 5*

### 236 3.1.3. Stroop task

237 No significant effect for group,  $F(1, 25.31) = 0.33, p = .57$ , time  $F(2, 22.44) = 0.06, p = .95$ , or interaction  
238 effect,  $F(2, 22.44) = 1.90, p = .17$ , was detected for Stroop accuracy interference reduction. A significant  
239 main effect of group was found only for reaction time during the Stroop task,  $F(1, 25.32) = 7.53, p =$   
240  $.01$ , without an effect of time,  $F(2, 22.77) = 0.35, p = .71$ , or interaction,  $F(2, 22.77) = 0.14, p = .87$   
241 (Supplementary Figure. S7). This result stems from the poorer performance of the s-tDCS group  
242 immediately after training compared to pre-training performance (c-tDCS group performance remained  
243 unchanged). This pattern remained consistent over time.

244

### 245 3.1.4. BESS task

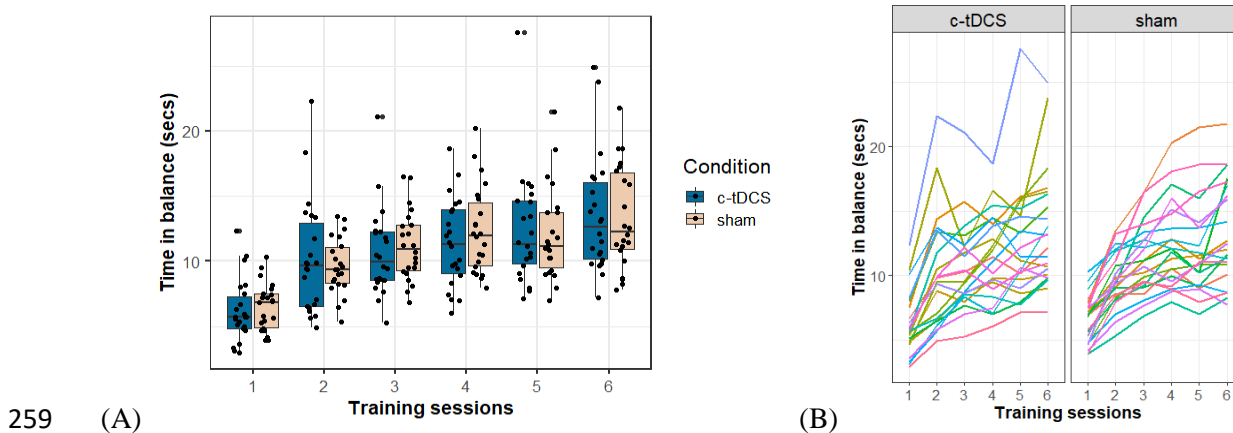
246 tDCS stimulation did not affect general balance ability between groups as no effect for factor group  
247 ( $F(1, 25.96) = 0.71, p = .40$ ), time ( $F(2, 22.04) = 1.18, p = .33$ ) nor an interaction effect ( $F(2, 22.04) =$   
248  $1.58, p = .23$ ) was observed (Supplementary Figure. S6).

249

250 3.2. tDCS effects on DBT performance

251 3.2.1. DBT learning

252 Baseline performance recorded as the first two trials on TD1 (before tDCS stimulation commenced) was  
253 found to be similar between both groups (mean TIB c-tDCS:  $3.05 \pm 1.7$  secs vs s-tDCS:  $2.99 \pm 1.49$   
254 secs), Brunner-Munzel  $t(41.97) = -0.27, p = .78, \delta = .05$  (Supplementary Figure. S1). After six  
255 consecutive training sessions on the stabilometer, both groups significantly improved their DBT  
256 performance,  $F(5,18.66) = 34.57, p = .00, d > 1.81$  (mean TIB c-tDCS:  $13.53 \pm 4.5$  secs and s-tDCS:  
257  $13.36 \pm 3.93$ ), without an effect of group ( $F(1,25.55) = 0.13, p = .73, d = .11$ ) or group\*time interaction  
258 effects,  $F(5,18.66) = 1.29, p = 0.31, d = .35$  (Figure 3A).



259 (A)

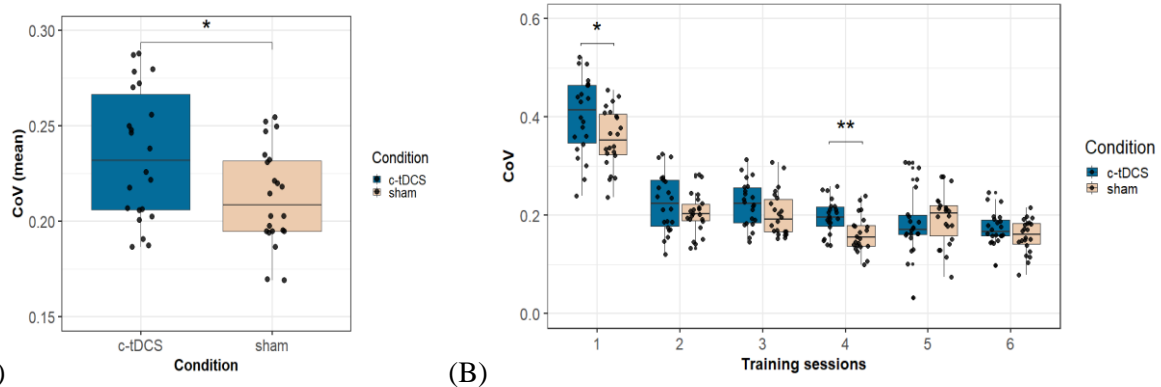
(B)

260 *Figure 3. DBT performance and learning: (A) Improvements in Time in Balance (TIB) from training day-1 to training day-6.*  
261 *Every data point represents each participants TIB values; (B) Trajectory of performance change for every participant over six*  
262 *training sessions in the c-tDCS and s-tDCS groups, respectively. Each line represents the performance trajectory of a different*  
263 *participant.*

264 3.2.2. DBT performance variability

265 The c-tDCS group ( $0.24 \pm 0.03$ ) on average exhibited significantly larger performance variability  
266 compared to s-tDCS ( $0.21 \pm 0.025$ ), Brunner Munzel  $t(38.16) = -2.22, p = .03, \delta = .36$  (medium)(Figure  
267 4A). Across the six training sessions (Figure 4B), the c-tDCS group displayed higher CoV than the s-

268 tDCS group,  $F(1,22.79) = 4.91, p = .04, d = .68$ . CoV reduced significantly over time for both groups  
269  $F(5,19.36) = 52.07, p = .00, d > 2.23$ , revealing a group\*time interaction  $F(5,19.36) = 2.96, p = .04, d =$   
270  $.53$



271 (A) (B)  
272 Figure 4. Motor variability expressed as coefficient of variation (CoV). (A) Mean CoV over the entire training duration shows  
273 significantly higher variability exhibited by the c-tDCS group, Brunner-Munzel  $t(38.16) = -2.22, p = .03, \delta = .36$  (medium);  
274 (B) Reduction of CoV over the 6 training sessions. Asterisks indicate significant differences in variability between both groups  
275 at that specific training session ( $* \leq .05, ** \leq .001$ ).

276 Fisher's chi-square combination of rank-based partial  $p$ -values[51,54,55] across training sessions  
277 yielded a significant effect for group difference in performance variability ( $p = .01$ ). Posthoc unadjusted  
278 and multiple testing adjusted comparisons revealed significant differences at TD1 (Brunner-Munzel  
279  $t(34.20) = 2.16, p = .02, \delta = .4$  (medium)/  $pFWE = .09$ ) and TD4 (Brunner-Munzel  $t(39.94) = 3.45, p =$   
280  $.001, \delta = .5$  (large)/  $pFWE = .03$ ) displaying higher variation in the c-tDCS group performance than the  
281 s-tDCS group (Figure 4B). No significant group differences were found at TD2 (Brunner-Munzel  
282  $t(34.76) = 0.95, p = .16/ pFWE = .40$ ), TD3 (Brunner-Munzel  $t(41.99) = 1.66, p = .04/ pFWE = .17$ ),  
283 TD5 (Brunner-Munzel  $t(41.69) = -0.85, p = .80/ pFWE = 0.81$ ) and TD6 (Brunner-Munzel  $t(41.66) =$   
284  $1.21, p = .12/ pFWE = 0.3$ )

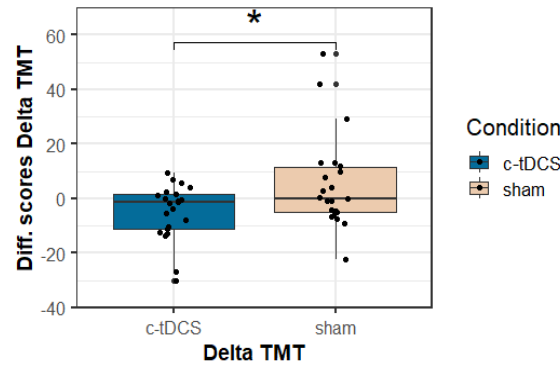
### 285 3.3. Effect of concurrent tDCS on cognitive transfer

#### 286 3.3.1. Visual and Verbal Memory Test (VVM):

287 No effect of tDCS was found on delayed recall, Brunner-Munzel  $t(37.64) = -1.44, p = .16, \delta = .25$ , or  
288 the rate of forgetting, Brunner-Munzel  $t(39.21) = 0.56, p = .58, \delta = .1$  (Supplementary materials 2.4.1,  
289 Supplementary Figure. S6).

290 3.3.2. Trail making test (TMT):

291  $\Delta$ TMT. A noticeable improvement in  $\Delta$ TMT was detected in the c-tDCS group compared to the s-tDCS  
292 group (Figure 5), Brunner-Munzel  $t(40.49) = 2.08, p = .04, \delta = .34$  (medium)

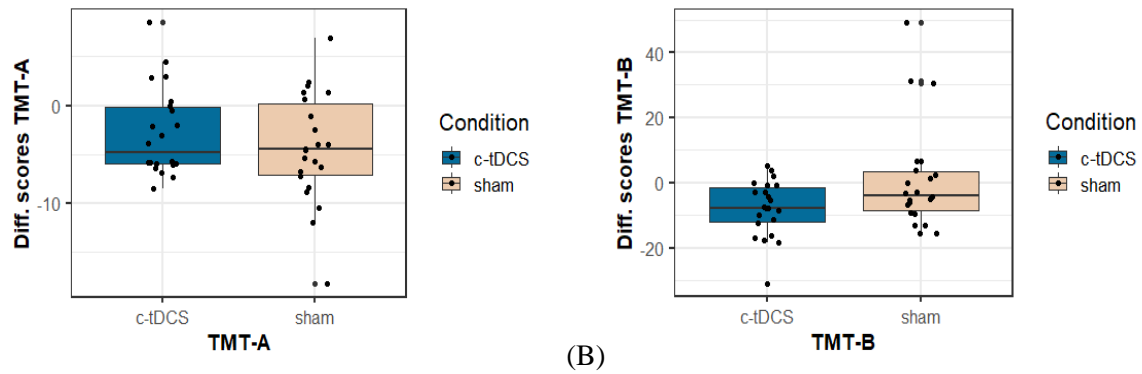


293

294 *Figure 5. Delta TMT = TMT B – TMT A. Improvement in Delta TMT seen as pre-post difference scores calculated from the*  
295 *pre and post test scores expressed as seconds. Lower scores signify higher improvements. Asterisks indicate significant*  
296 *difference between groups.*

297 TMT A. Both groups significantly improved in this subtest (Figure 6A) with no significant difference  
298 between either groups, Brunner-Munzel  $t(39.89) = -0.64, p = .52, \delta = .12$ .

299 TMT B. In this subtest measuring cognitive flexibility, a trend towards higher improvements for the c-  
300 tDCS group compared to the s-tDCS group was observed (Figure 6B), Brunner-Munzel  $t(41.49) = 1.89,$   
301  $p = .07, \delta = .31$  (medium), implying faster completion times exhibited by the c-tDCS group compared  
302 to s-tDCS. Since the baseline performance in TMT-B was similar for both groups (Brunner-Munzel  
303  $t(38.38) = -0.64, p = .53$ ), justifications for such asymmetric performance improvement other than  
304 training under concurrent tDCS seem unlikely.



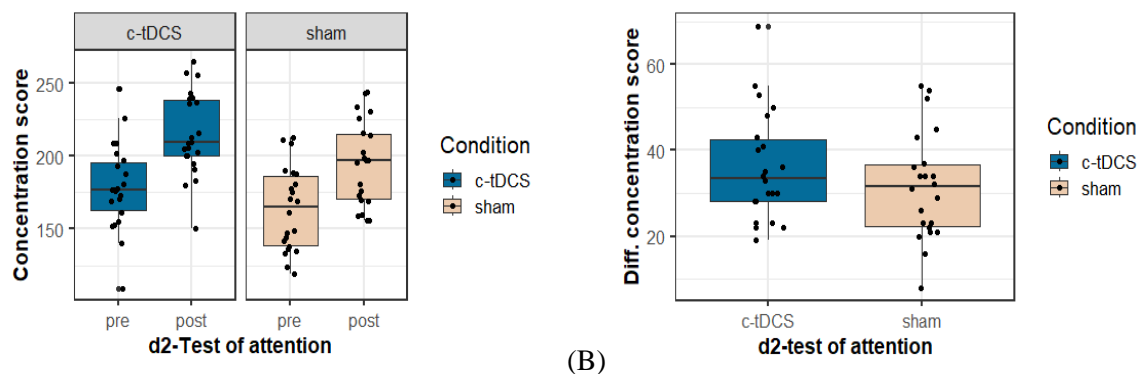
305 (A)

(B)

306 *Figure 6. Trail-making test A & B: (A) Similar pre-post TMT-A difference scores for both groups; (B) Pre-post TMT-B*  
307 *difference scores for both groups displaying a tendency towards higher improvements in c-tDCS as compared to s-tDCS. Here*  
308 *lower scores signify good performance.*

### 309 3.3.3. D2- Test of Attention (d2):

310 Both groups improved in this test as observed in the concentration scores (Figure 7A), without  
311 significant difference between either groups(Figure 7 B), Brunner-Munzel  $t(40.67) = -0.99, p = .32, \delta =$   
312  $.17$



313 (A)

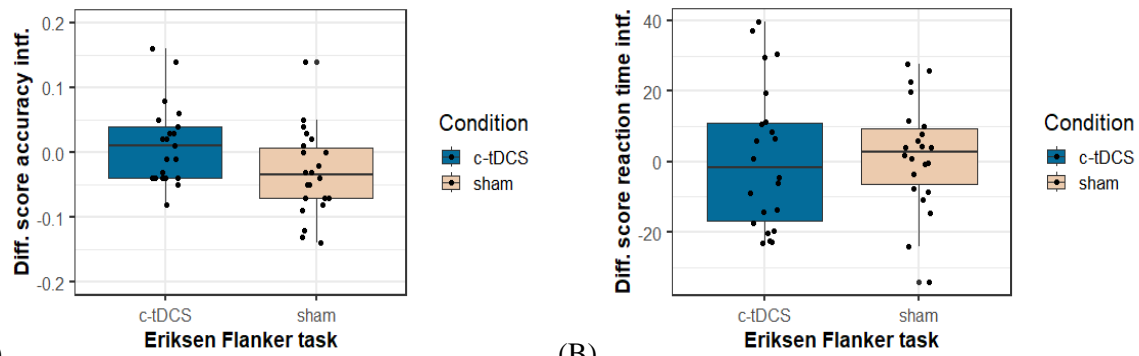
(B)

314 *Figure 7. Concentration score was considered as a parameter of attention measured using d2-test of attention; (A) Pre- and*  
315 *post-test concentration scores for both groups; (B) Improvement in concentration scores seen as pre-post difference scores for*  
316 *both groups.*

### 317 3.3.4. Eriksen Flanker task:

318 Accuracy interference. c-tDCS group showed comparatively lower improvements than the s-tDCS  
319 group in accuracy interference reduction after the intervention trending towards significance (Figure  
320 8A), Brunner-Munzel  $t(41.83) = -1.93, p = .06, \delta = .32$  (medium)

321 Reaction time interference. No difference between either groups was observed for this reaction time  
322 metric of the Eriksen flanker task, Brunner-Munzel  $t(35.40) = 0.31, p = .76$  (Figure 8B).

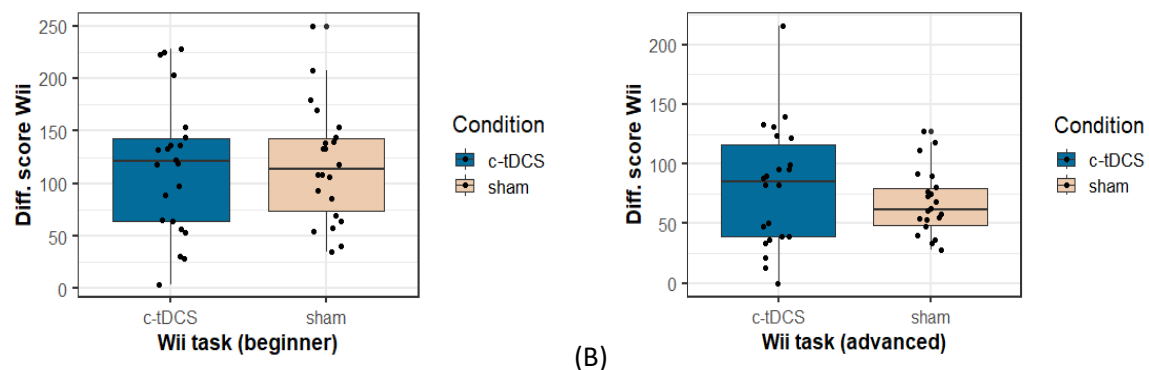


323 (A) (B)

324 *Figure 8. Accuracy interference and reaction time interference scores were considered as parameters of interest in the Eriksen*  
325 *flanker test: (A) Improvement in accuracy interference scores seen as pre-post difference scores calculated for both groups.*  
326 *For purposes of better visualization an outlier (-0.68) from the c-tDCS group was removed from the graph; (B) Improvement*  
327 *in reaction time interference scores seen as pre-post difference scores.*

### 328 3.4. Effect of intervention on motor transfer

329 *Wii task. At the beginners level, both groups equally profited from the intervention, Brunner-Munzel*  
330  *$t(40.34) = 0.15, p = .9, \delta = .03$  (Figure 9A). Whereas at the advanced level, although statistically not*  
331 *significant, c-tDCS group experienced higher improvements (median= 85 ± 76.6 points) than the s-tDCS*  
332 *group (median=61.7 ± 30.85 points), Brunner-Munzel  $t(28.75) = -0.79, p = .4, \delta = .15$  (Figure 9B).*

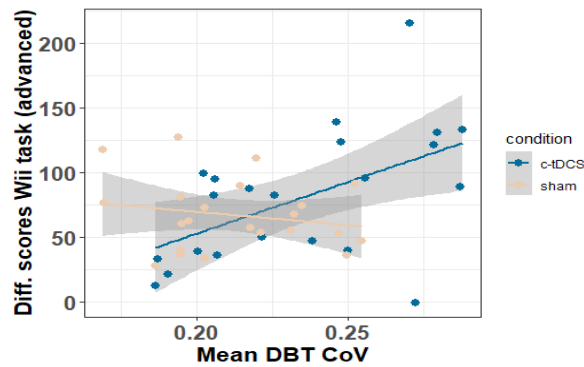


333 (A) (B)

334 *Figure 9. Effects of the interventions on performance of the football header task (Nintendo Wii). The Wii score is a cumulation*  
335 *of all the hits of the target objects and unsuccessfully dodged non-target objects; (A) Improvement in Wii scores at the beginner*  
336 *level; (B) Improvement in Wii scores at the advanced level are expressed as the difference in the pre to post test for both groups.*

337 *The difference scores revealed a clear distinction with-in the c-tDCS group where 13 participants*  
338 *exhibited larger improvements ( $\geq 80$  points) in the Wii task (advanced level) compared to 9 participants*  
339 *with minimal gains ( $\leq 50$  points). This distinction was absent in the s-tDCS group. A subsequent*  
340 *correlational analysis revealed a positive medium correlation between the difference scores of the Wii*

341 task (advanced level) and mean performance variability (CoV) over the entire training session on the  
342 stabilometer, which was only present in the c-tDCS group,  $r = 0.53$ ,  $p = .01$ , not observed in the s-tDCS  
343 group ( $r = -0.20$ ,  $p = .39$ ). This suggests that participants from the c-tDCS group exhibiting larger  
344 variability during stabilometer practice also displayed higher gains in the Wii task (Figure 10).



345

346 *Figure 10. Correlation between performance variability (CoV) on the stabilometer and Wii change scores (advanced level;*  
347 *pre to post intervention) for the c-tDCS and s-tDCS groups.*

#### 348 4. Discussion

349 This randomised, double-blinded, sham-controlled tDCS study highlights the importance of frontal  
350 networks in learning a complex dynamic balance task. Our results demonstrate that the influence of c-  
351 tDCS over these networks during a long-term motor learning process caused higher performance  
352 variability compared to the s-tDCS stimulation group. This increase in behavioural variance indicates  
353 that the stimulation causally affected (pre-)frontal brain networks [27,28]. Moreover, DBT training with  
354 concurrent c-tDCS not only resulted in a ‘near’ transfer effect on postural control, but also in ‘far’  
355 transfer on cognitive flexibility known to rely on the prefrontal networks persisting 24 hours after the  
356 end of training.

##### 357 4.1. PFC involvement in balance learning

358 In this study, tDCS applied during DBT practice was aimed at influencing network nodes implicated in  
359 long-term DBT learning. Hence, shifting the focus onto the specific task-relevant activation of networks,  
360 down-weighting the low anatomical precision of tDCS[28,59]. These network nodes were selected based  
361 on previous findings showing macro- and microstructural properties of PFC-SMA regions predict future  
362 DBT learning[10,60] also changing in response to DBT practice[11,61,62]. Although these studies



363 provided evidence of a brain-behaviour relationship between PFC-SMA networks and balance learning,  
364 demonstrated using approaches like statistical mediation analyses [63], the neuroimaging findings  
365 remain correlative. However, [35] showed a single session of online c-tDCS over the right PFC-SMA  
366 region during training has an acute effect on subsequent DBT performance. Here, we extend these  
367 previous findings by causally showing PFC-SMA network involvement in long-term balance learning,  
368 manifesting itself through increased performance variability [28].

369 The true direction of the effect of tDCS on performance may be masked/varied across and with-in  
370 participants due to dissimilar amplification in neuronal noise, in such cases, the sheer increase in  
371 variance (beyond measurement noise) after tDCS may be considered evidence for a cause-effect  
372 relationship[28]. Such behavioural consequences of tDCS may arise due to individual differences in the  
373 recruitment of brain networks during task performance leading to differences in excitability modulation  
374 [20,28,64]. Along with reported within-session, non-linear effects of c-tDCS[65], dissimilarities in  
375 tDCS induced modulation of cortical excitability may not necessarily translate into behavioural  
376 deviations as drastic as performance inhibition. Lack of DBT performance deterioration can therefore  
377 be associated with tDCS being a weak direct current and its behavioural effects meagre; making it  
378 possible for networks to capably compensate for weak disturbances during online stimulation by  
379 adapting to the electric field over time[28]. The results of this study demonstrate improved DBT  
380 performance for both groups over the 3-week training duration; indicating similar task proficiency at  
381 the end of practice. Hence, tDCS may have affected the process of learning a complex task rather than  
382 altogether changing the learning trajectory.

383 The prefrontal networks involved in the strategy building aspect of motor learning were the prime target  
384 of c-tDCS in our study [24,59]. Consequently, participants were not instructed on the most optimal task  
385 execution strategy (contrary to a 'classical' motor skill learning/training), instead, encouraged to learn  
386 the task by discovering their own strategies via trial and error[38]. Previous studies investigating the  
387 mechanisms involved in adopting specific courses of action during learning have associated the anterior  
388 PFC in exploration of new possibilities. Here, future outcomes are said to be predicted by tracking  
389 alternative options and exploratory switching between courses of actions through extrapolation of short-  
390 term trends [7,9]. Hence, task complexity and uncertainty of outcomes may dictate the extent of PFC

391 involvement, where selection of appropriate strategies and guiding cognitive resources to implement  
392 these strategies is done by integrating and comparing various sequential outcomes [6,9]. Owing to the  
393 task complexity and the available solution space, the DBT fulfils criteria's particularly conducive for  
394 cognitive processes involved in reinforcement learning, in particular, exploration of solutions achieved  
395 through various coordinative whole-body movements. Therefore, we speculate that PFC-dependent  
396 networks responsible for exploration of new performance strategies (in the context of learning) were  
397 modulated by c-tDCS. This modulation was behaviourally expressed as increased performance  
398 variability.

#### 399 4.2. PFC and balance training-induced transfer

400 It is suggested that extending learning gains to other untrained tasks is possible only if a shared  
401 commonality exists between these tasks, viz., abilities required in executing both tasks, neural  
402 processing mechanisms and brain regions [16,17,66]. These transfer effects are also theorised to be tied  
403 to early phases of structural plasticity within overlapping networks[19]. The 'neural overlap hypothesis'  
404 has been supported by evidence from concurrent tDCS during cognitive training resulting in  
405 microstructural brain alterations alongside near-transfer behavioural effects [34,67]. Since the motor  
406 learning paradigm used in this study is capable of inducing structural grey and white matter changes in  
407 PFC and SMA regions [11,62,68,69], we further hypothesized it to potentially lead to cognitive transfer  
408 effects. Consistent with this hypothesis, we found higher improvement in executive functioning  
409 performance (i.e.,  $\Delta$ TMT and TMT-B)[70] as a result of DBT training with concurrent rPFC c-tDCS  
410 compared to s-tDCS. Both, aerobic exercise on its own[71] and a-tDCS over left DLPFC during  
411 coordinative exercise [44] have shown a tendency towards TMT performance improvements. Similarly,  
412 cognitive training combined with tDCS at an intensity of 1.0-mA augmented both decision-making  
413 performance and cognitive transfer[72].

414 Despite a global network involvement in TMT execution[73], our regions of interest were restricted to  
415 the overlapping PFC-SMA networks involved in DBT learning. We hypothesize the combination of  
416 DBT training-induced plasticity, discovery-learning based motor training and tDCS to encourage a rapid  
417 network reorganisation and compensation [74–76]. This functional compensation probably constituted  
418 conditioning new or otherwise inactive networks within the overlapping brain regions leading to an

419 advantageous effect of intervention, absent in the s-tDCS group [77,78]. Benefiting from richly  
420 connected brain networks supporting a multitude of cognitive functions required in TMT-B execution  
421 may have improved the potential for transfer via compensatory mechanisms in the overlapping networks  
422 [73,79–81]. A combination of brain imaging and stimulation techniques is required to prove the specific  
423 functional and structural correlates of PFC involvement in learning and associated transfer.

424 Contrary to executive functioning, we did not find significant differences between either groups on  
425 memory and attention abilities, although positive effects of physical exercise (e.g., coordinative and  
426 aerobic exercise) on visuospatial attention, working memory [82], associative memory, spatial cognition  
427 [14,15] and visuospatial memory [83] have been observed in previous studies. Note, however, that our  
428 results indicate marginally better performance in the attention task (d2-R) exhibited by the c-tDCS group  
429 compared to the s-tDCS group. Although this difference did not reach statistical significance. On the  
430 other hand, the s-tDCS group showed a tendency towards higher improvements in an SMA-dependent  
431 selective interference resolution task (Eriksen flanker task- accuracy interference) as compared to the c-  
432 tDCS group, this trend was accompanied by a medium sized effect (Results 3.3.4).

433 Finally, the observed transfer effects on PFC-SMA-dependent cognitive tasks can be assumed to be due  
434 to a shared commonality with the trained task (neural overlap hypothesis)[19,66], which changed as a  
435 function of the intervention, demonstrating a potential common neural substrate underlying the trained  
436 balance task and the transfer task[84]. This complex motor training engaging higher-order processes  
437 may have enabled cognitive improvements by transferring learning gains to untrained tasks. In turn  
438 benefiting abilities like information processing, goal-dependent inhibition/ maintenance of responses,  
439 formulating strategies based on feedback, distributing attention over multiple strategies, switching  
440 between strategies(cognitive flexibility), etc [16,17,66]. Findings from [14] demonstrate balance  
441 training-induced improvement in memory and spatial cognition attributed to a training that encompassed  
442 proprioceptive, visual and motor-based learning. Likewise, a month of slackline training improved  
443 vestibular-dependent spatial orientation performance [13] suggesting a positive effect on vestibulo-  
444 hippocampal spatial orientation.

445 Lastly, we also observed a statistical tendency towards larger near-transfer effects to an untrained  
446 balance task (Nintendo Wii header game- advanced level) in the c-tDCS group compared to the s-tDCS  
447 group. Interestingly, consistent with the ‘neural overlap hypothesis’, in the c-tDCS but not in the s-tDCS  
448 group we observed a medium-sized positive correlation between DBT performance variability and Wii  
449 scores. Such near motor transfer effects have recently been observed by [85], manifested as improved  
450 cross-limb transfer from the trained to the untrained hand after anodal tDCS over rM1 in older adults.  
451 Similarly, we hypothesize that participants in our study were able to successfully use the movement  
452 solutions learned during DBT training onto an untrained balance task which also requires a comparable  
453 movement pattern in terms of body’s centre of mass (COM) control and displacement. [86–88]  
454 emphasize introduction of variation during practice as a key aspect in eliciting new movement solutions  
455 enabling a degree of transfer beyond the practiced solutions. However, further studies are required to  
456 support the role of movement variability to improve transfer during stabilometer learning.

#### 457 4.3. Limitations

458 Although the results of this study highlight the importance of the frontal networks in learning a complex  
459 task, we are unable to disentangle the contributions of PFC from those of SMA as both these regions  
460 have been implicated with undergoing learning-induced structural changes. Our cognitive transfer  
461 results do point towards higher PFC involvement but we were not able to definitively outline the specific  
462 contributions of these regions. The utilization of a combination of tDCS and neuroimaging may aid in  
463 explicitly mapping stimulation-induced changes at the neuronal and network levels. Linking these brain  
464 changes to the behavioural effects would be the natural subsequent step in order to unravel the  
465 complexity of the underlying brain-behaviour relationship. Stimulating an alternative brain region is  
466 advised in order to ascertain that the observed effects emanate solely as a result of interference within  
467 the regions of interest [28,29]. However, this control condition was not included since we intended on  
468 influencing the networks previously implicated in learning the complex DBT. In light of the recently  
469 revealed predispositions to improved learning abilities [10,60], heterogeneity of participants in the form  
470 of genetic makeup, brain structure and environmental diversity requires consideration [89]. The solitary  
471 effect of tDCS on cognitive abilities without the influence of training is an aspect that could help  
472 differentiate between the cumulative effect of tDCS and training observed in this study.

473

## 474 **5. Conclusion**

475 Our results provide new evidence for PFC-SMA involvement during long-term DBT practice.  
476 Specifically, we show that interfering with these networks using c-tDCS leads to increased performance  
477 variability, potentially indicating a causal involvement of PFC-SMA networks in DBT learning[28].  
478 Against the background of ‘neural overlap hypothesis’, we interpret the observed tDCS-effects on motor  
479 and cognitive performance as tDCS effects pertaining not only to the trained tasks, but also to the  
480 untrained tasks which rely on overlapping brain networks. The conclusions drawn through this study  
481 reinforce the positive impact of physical activity on cognition through the synergistic neural networks  
482 sub-serving both motor processing and cognitive functioning. An understanding of this brain-behaviour  
483 relationship may prove valuable not only in promoting overall health through exercise but also support  
484 healthy aging by means of mobilizing neural resources to remedy dysfunction.

485

486 CRediT author contributions:

487 NP: Conceptualization, methodology, data acquisition, formal analyses, data curation and writing-  
488 original draft, review & editing;

489 NL: Conceptualization, methodology, supervision, formal analyses and writing- review & editing;

490 EK: Methodology, formal analyses, writing- review & editing;

491 NM: Methodology, resources, writing- review & editing;

492 MT: Conceptualization, methodology, resources, funding acquisition, supervision, formal analyses and  
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