1	The role of co-speech gestures in retrieval and prediction during naturalistic
2	multimodal narrative processing
3	Sergio Osorio ^{a,b,*,1} , Benjamin Straube ^c , Lars Meyer ^{d,e,+} , & Yifei He ^{c,+}
4	
5	^a Laboratory for Cognitive and Evolutionary Neuroscience, School of Medicine, Pontificia
6	Universidad Católica de Chile, Santiago de Chile, Chile
7	^b Interdisciplinary Centre for Neuroscience, Pontificia Universidad Católica de Chile,
8	Santiago de Chile, Chile
9	^c Translational Neuroimaging Group, Department of Psychiatry and Psychotherapy
10	Philipps-Universität Marburg, Marburg, Germany
11	^d MPRG Language Cycles, Max Planck Institute for Human Cognitive and Brain Sciences,
12	Leipzig, Germany
13	^e Clinic for Phoniatrics and Pedaudiology, University Hospital Münster, Germany
14	
15	* Corresponding author: srosorio@uc.cl
16	+ Shared senior authors
17	
18	¹ Current affiliation: Department of Neurology and Athinoula A. Martinos Center for
19	Biomedical Imaging, Massachusetts General Hospital, Harvard Medical School, Boston, MA.

20 Abstract

21 During daily communication, visual cues such as gestures accompany the speech signal and 22 facilitate semantic processing. However, how gestures impact lexical retrieval and semantic 23 prediction, especially in a naturalistic setting, remains unclear. Here, participants watched a 24 naturalistic multimodal narrative, where an actor narrated a story and spontaneously produced 25 co-speech gestures. For all content words, word frequency and lexical surprisal were 26 regressed against the EEG using temporal response functions (TFRs), which were fitted 27 separately, additively, and interactively for words accompanied and not accompanied by 28 gestures. Results from our analyses suggest a robust modulation effect of gesture on the frequency-dependent regression N400. Besides, we also observed some evidence of 29 modulative effect of gesture on the surprisal-N400 effect based on the single-predictor model. 30 Our finding thus suggests that, on a neural level, the presence of co-speech gestures facilitates 31 32 lexical retrieval and potentially semantic prediction during the processing of naturalistic 33 multimodal stimuli.

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Keywords: multimodality, co-speech gestures, surprisal, word frequency, regression ERP,
lexical retrieval, semantic prediction, N400

37

39 Introduction

40 Most human communication is essentially multimodal—besides speech, we use a range of 41 visual signals such as eye-gaze, body orientation, and hand gestures, to convey meaning and 42 social communicative intent. Multimodality has been proposed to facilitate information transmission (Holler & Levinson, 2019; McNeill, 2008). Amongst the modalities, hand 43 44 gestures (hereafter referred to as gestures) stand out as particularly unique and effective 45 means of expressing complex meaning together with accompanied speech (He et al., 2015; Kelly et al., 2010; Özyürek, 2014; Özyürek et al., 2007). More importantly, they are reported 46 47 to have a positive impact on speech perception and auditory sentence comprehension, by facilitating speech processing at various levels (Alibali & Kita, 2010; Bosker & Peeters, 48 2021; Cuevas et al., 2019; Drijvers & Özvürek, 2017; Holle et al., 2012; Kelly et al., 2010; Y. 49 50 Zhang et al., 2021).

51 Recent electrophysiological studies suggest that gestures modulate amplitudes of 52 evoked activities for both speech perception and sentence processing. At lower perceptual 53 levels, co-speech gestures modulate the early N1-P2 components when single words are 54 being processed (Kelly et al., 2004; Sun et al., 2021). At the semantic level, humans 55 automatically integrate gesture and speech semantics during online processing, as reflected in the N400 component (Fabbri-Destro et al., 2015; Kelly et al., 2004; Özyürek et al., 2007; 56 57 Willems et al., 2007; Wu & Coulson, 2005). Thus, increasing evidence suggests that gestures 58 modulate N400 amplitude when word or sentence semantics are being processed (He et al., 59 2020; Holle & Gunter, 2007; Morett et al., 2020; Wang & Chu, 2013; Y. Zhang et al., 2021). 60 However notably, these previous studies have predominantly made use of factorial

61 designs where the brain response to single words and sentences is investigated under

62 carefully controlled conditions. Although such designs have long been the basis for our

63 understanding of speech processing and sentence comprehension, questions have been raised 64 about whether observed effects actually hold during ecologically valid communication 65 scenarios (Hamilton & Huth, 2020; Kandylaki & Bornkessel-Schlesewsky, 2019; Meyer et 66 al., 2020; Willems et al., 2020). More recent studies on auditory language processing have therefore investigated how different lexical and contextual features modulate the N400 by 67 using experimental paradigms that employ naturalistic speech stimuli such as long auditory 68 69 narratives (Alday et al., 2017; Broderick et al., 2018; Goldstein et al., 2022; Sassenhagen, 2019; Yan & Jaeger, 2020). In line with the classic sentence processing literature using 70 71 factorial experiments (Kutas & Federmeier, 2011; Van Petten & Kutas, 1990), these studies 72 suggest that the N400 is modulated by either the lexical frequency of words that reflects an 73 automatic, bottom-up retrieval mechanism (Sassenhagen, 2019), or by metrics that measure 74 more context-dependent predictive mechanisms, such as semantic similarity and lexical 75 surprisal (Broderick et al., 2018; Goldstein et al., 2022).

76 In the EEG literature on the semantic processing of co-speech gestures, in contrast, 77 despite heterogeneity in design, most studies either directly compared the N400 amplitude of single words as accompanied by different types of gestures (e.g., Wang & Chu, 2013), or 78 79 measured the semantic N400 effect in response to match/mismatch between speech and gestures (e.g., He et al., 2020; Özyürek et al., 2007). In this regard, these studies provide us 80 81 with insights into either semantic processing in general (as reflected in the N400 amplitude), 82 or rather the interplay between semantic prediction and integration as reflected in the N400 83 effect arisen from semantic mismatch (Lau et al., 2008; Nieuwland et al., 2020). As a result, 84 it remains unclear how different sub-stages of semantic processes such as lexical retrieval or 85 semantic prediction is influenced by gestures. Also importantly, the naturalistic approach as 86 implemented in the auditory speech processing literature has not yet been adapted to shed 87 light on these issues.

88 Here, we conducted an EEG study employing a naturalistic paradigm to investigate 89 whether and how co-speech gestures modulate the N400 as reflecting word-by-word lexical 90 retrieval and semantic prediction. To this end, we obtained measures of frequency (which 91 quantifies lexical retrieval) and surprisal (which quantifies a word's unpredictability as a 92 measure of semantic prediction) for each word in a multimodal narrative. We then isolated 93 brain responses to individual content words while controlling for overlapping responses to 94 neighboring lexical items using multivariate time-resolved regression (Crosse et al., 2016; Ehinger & Dimigen, 2019; Sassenhagen, 2019). For lexical retrieval, it has been shown that 95 96 words that occur more frequently in daily communication generally elicit less pronounced 97 N400s than rare words (Sassenhagen, 2019; Van Petten & Kutas, 1990). Word 98 unpredictability, which can be measured using human ratings (i.e., cloze probability) or 99 computational measures of conditional probability derived from Probabilistic Language 100 Models (PLMs), has in turn been also extensively used as a predictor of cognitive load during 101 semantic processing (Frank et al., 2015; Huizeling et al., 2022; Kutas & Federmeier, 2011; 102 Kutas & Hillyard, 1980; Monsalve et al., 2012; Rayner & Duffy, 1986). Here, we quantified 103 words' unpredictability with lexical surprisal, an information-theoretic measure derived from 104 a deep neural network (GPT-2), as a measure of lexicosemantic pre-activation during 105 naturalistic language processing. GPT-2 is a pre-trained transformer-based model that can 106 estimate the unexpectedness of a lexical item considering its previous context, based on a 107 large training database of written text. GPT-2 outperforms other types of language models in 108 generating upcoming words (i.e., lexical-semantic prediction), including simple embedding 109 and recurrent-neural network models. It was also found that metabolic activity during 110 language processing is fit well by GPT-2's lexical-semantic predictions (Schrimpf et al., 111 2021).

112 Lexical surprisal is defined as the negative conditional log-probability of a word given 113 its preceding context. It quantifies the cognitive demand associated with the construction of 114 semantic representations on the basis of incremental probabilistic disambiguation (Hale, 115 2001; Levy, 2008). Lexical surprisal values predict reaction times during self-paced reading 116 of single sentences (Monsalve et al., 2012) and gaze duration during natural reading 117 (Goodkind & Bicknell, 2018). Neurobiologically, the N400-as a marker of cognitive effort 118 during lexical-semantic prediction-increases in amplitude with higher lexical surprisal 119 (Frank et al., 2015; Frank & Willems, 2017; Yan & Jaeger, 2020). More recently, GPT-2-120 derived measures of surprisal have also been shown to be associated with an N400-like 121 regression effect during natural language comprehension (Goldstein et al., 2022; Heilbron et 122 al., 2022).

123 While it is well-established that both lexical frequency and surprisal correlate with the 124 amplitude of N400 component, whether and how multimodal cues can also modulate this 125 electrophysiological response during naturalistic speech processing is less clear. In a recent 126 study (Y. Zhang et al., 2021), by investigating the processing of isolated multimodal sentences, the authors showed that the regression-based N400 associated with surprisal may 127 128 be modulated by co-speech gestures, among other multimodal cues (e.g., prosody). Taking a 129 step further, we aimed at extending this work from several novel perspectives: (1) We tested 130 if the facilitative effect of co-speech gestures can be observed in more ecologically valid 131 narratives (Willems et al., 2020). (2) We employed a time-resolved regression technique 132 (multivariate Temporal Response Functions, mTRFs) instead of conventional ERPs, which is tailored to analyze EEG recordings from the processing of continuous speech (Crosse et al., 133 134 2016). (3) We examined the potential facilitative effects of gestures on both lexical retrieval 135 (word frequency) and semantic prediction (GPT2-surprisal). Notably, although both measures 136 have been linked to the N400, they are usually highly correlated and may interact under

certain contexts (Halgren et al., 2002; Huizeling et al., 2022; Kretzschmar et al., 2015).
Consequently, to what extent the frequency-derived or surprisal-derived N400s may be
affected by gestures remains unknown.

140 We hypothesized that, during the processing of a naturalistic multimodal narrative, the 141 presence of gestures would result in a decreased amplitude of the N400 response to both 142 frequency and surprisal, analogous to the dampening of the N400 in the presence of co-143 speech gestures in factorial experiments. Twenty participants were presented with 144 audiovisual clips in which an actor narrated a story presented in German using co-speech 145 gestures spontaneously (figure 1b). Content words in the speech stimuli were coded according to whether their meanings were either repeated, emphasized, or complemented by 146 147 accompanying co-speech gestures (*Gesture present*) or not (*Gesture absent*), for all types of 148 gestures that are produced by the actor (see methods). Word frequency was included as the 149 corpus rank bin count from the Projekt Deutscher Wortschatz (Goldhahn et al., 2012), with 150 higher ranks (1-24) indicating less frequent words. Words not found in the corpus were 151 coded as 2 + the highest rank (Sassenhagen, 2019). The GPT-2 transformer model was used 152 to estimate surprisal values. Responses associated with lexical retrieval and semantic 153 predictions were obtained through mTRFs for frequency and surprisal. We estimated model 154 fit for two single-predictor models (one for surprisal and the other one for frequency), as well 155 as for an additive model (frequency + surprisal) and an interaction model (frequency + surprisal + frequency * surprisal), with and without gestures as a categorical predictor. We 156 157 found that adding gesture information improved model fit regardless of model type, but the 158 additive model showed the best fit to the data. Then, by hypothesis, we set up separate 159 models for frequency and surprisal for gesture and no-gesture words to investigate how the 160 EEG correlates of retrieval and semantic prediction error scale with the presence of co-speech 161 gestures. Individual mTRF responses were modelled as the sum of the product of unknown

162 beta coefficients with individual values of frequency or surprisal per word (see figure 1a for a 163 visual summary of our analytical protocol). Results are in line with our hypotheses: words 164 that were not accompanied by gestures during a naturalistic narrative showed both 165 frequency- and surprisal-related N400 responses, which were reduced for words that were 166 accompanied by gestures. Further analysis including both frequency and surprisal as 167 regressors in the same additive model only revealed significant modulation effect of gestures 168 on frequency-dependent N400 responses. To summarize, our findings provide-for the first 169 time-clear evidence that co-speech gestures are associated with modulated frequency-170 dependent N400 effects, thus indicating a potentially facilitative role of gesture on bottom-up 171 lexical retrieval. For surprisal, results from our surprisal-only model agree with prior 172 observations showing the facilitative effect of gesture on probabilistic disambiguation during 173 lexical-semantic prediction. However, to what extent this effect can be dissociated from 174 lexical retrieval requires further examination.

175

(Figure 1 here)

177 Materials and methods

178 Participants

179 Participants (n = 20, mean age = 24.1 years, range = 19-34 years, 14 females) were native 180 German speakers recruited from the Marburg-Giessen area, Germany. Participants were right-handed, had normal hearing and normal or corrected to normal vision. None of them 181 182 reported any medical or neuropsychiatric condition. Participants read and signed an informed 183 consent before participating in the study. They were compensated with seven euros per hour 184 for participation. The research protocol and procedures were approved by the Ethics 185 Committee at Phillips University Marburg and were conducted in accordance with the 186 Declaration of Helsinki.

187

188 *Stimuli*

189 Participants watched 16 video clips (individual clips lasting between 1:02 and 3:31 min; story 190 duration = 32:12 minutes) of a professional male actor narrating an adapted version of the 191 story Der Kuli Kimgun as naturally as possible. Consent was obtained from the actor to use 192 his image for research and publication purposes. Foreign words in the original story were 193 replaced by German synonyms. We analyzed EEG responses to all content words in the story. 194 Firstly, content words whose semantic representations that were either associated, 195 emphasized, or complemented by gestures were coded as Gesture present; all other content 196 words were coded as Gesture absent. For example, in figure 1, the screenshots depict two 197 consecutive beat gestures that were used by the actor to emphasize the two "three" in "Samir 198 stayed three days and three nights on the hills"). In this case, even if the gesture onsets from 199 "stay" and offsets around "on", we only coded the two "three"s as words in the Gesture

200 present condition, and all other words were coded as Gesture absent. This coding applies 201 analogously for non-referential beat gestures. For iconic, metaphoric, and emblems, it is then 202 their lexical affiliates that were coded as gesture present. The coding of the Gesture 203 present/absent conditions were double-checked by two independent expert coders. From the 204 total number of content words in the story (n = 3582), 466 were coded as Gesture present. 205 The actor was free to decide when and how to make use of gestures. Throughout the story, a 206 total of 493 hand gestures were conducted by the actor. Table 1 reports the frequency of each 207 type of gestures. For a sample description (screenshot and transcript) of the multimodal story, 208 please refer to Cuevas et al., 2019. In supplementary figure s1, we also illustrate a few 209 additional examples of the hand gestures and the corresponding Gesture present words. 210 Notably, despite prior research showing mixed effect of beat gestures on semantic processing 211 and potentially differential effect of iconic gestures and other gestures (Hintz et al., 2022; 212 Morett et al., 2020; Wang & Chu, 2013; Y. Zhang et al., 2021), for the purpose of testing the 213 facilitative role of gestures in general on semantic processing, we collapsed across all gesture 214 types for all analyses for the main analysis (see Cuevas et al., 2019 for an fMRI study using 215 the same stimuli). We nevertheless reported specific effects of both iconic and beat gestures 216 in the supplement.

217

(Table 1 about here)

Word frequency was included as the corpus rank bin count from the Projekt
Deutscher Wortschatz (Goldhahn et al., 2012), with higher ranks (1–24) indicating less
frequent words. Words not found in the corpus were coded as 2 + the highest rank. To model
word-by-word lexical-semantic processing demands, we employed a deep neural network
transformer-based model (GPT-2). Whereas earlier language models (e.g., n-gram models,
recurrent neural networks) derive surprisal from serial cumulative calculations on prior word

224	sequences of varying length (e.g., two words, all words from sentence onset), transformers
225	incorporate an analogue of attention to those parts of the context that do maximize word
226	prediction performance (e.g., Radford et al., 2019; Ryu & Lewis, 2021; Schrimpf et al.,
227	2021). While attention can be bidirectional, GPT-2 is a unidirectional forward-attention
228	transformer, consistent with the contingency of human speech. Note also that instead of using
229	raw text, GPT-2 performs next-word prediction based on word embeddings. We here
230	employed the German DBMZ GPT-2 model provided via Huggingface at
231	https://huggingface.co/dbmdz/german-gpt2. Surprisal was calculated from GPT-2's word
232	probabilities using the standard formula. The base of the logarithm was 2.
233	Summary statistics are provided in table 2. We compared the difference for both
234	frequency and surprisal between the Gesture present and Gesture absent conditions with a
235	Wilcoxon rank sum test. A significant difference was observed for both surprisal ($z = -2.50$,
236	<i>rank sum</i> = 1.43e+06, <i>p</i> = 0.012) and frequency (<i>z</i> = -3.726, <i>rank sum</i> = 1.42e+06, <i>p</i> = 1.94e-
237	04).

238

(Table 2 about here)

239

240 *Procedure*

241 Participants sat in a sound attenuated room at 70 centimetres from a monitor and were

242 instructed to watch a professional actor telling the story. Auditory stimuli were delivered

243 using a pair of loudspeakers with volume being constant for all participants.

244

245 Data acquisition

Electrophysiological data was acquired using a Brain Products 32-channel EEG system
(Brain Products GmbH, Gilching, Germany). Electrodes were positioned according to the 1020 international standard. EEG data was collected at sampling rate of 250Hz without any online filters, referenced to FCz. The EEG dataset has been reported by Sassenhagen (2019) for
a different research question.

251

252 Data analysis

253 *EEG data preprocessing*

254 Data were preprocessed using a modified version of the Harvard Automated Preprocessing Pipeline (Gabard-Durnam et al., 2018) together with the EEGlab toolbox (Delorme & 255 256 Makeig, 2004). EEG data were re-referenced to the average of electrodes TP9/10 (mastoids). 257 Bad channels were removed for later interpolation based on joint probability (Delorme & Makeig, 2004). Line noise was removed with ZapLine (de Cheveigné, 2019), and data were 258 259 lowpass-filtered using an 10-Hz one-pass Hamming sinc FIR filter. For artifact detection and 260 rejection, data underwent Independent Component Analysis (Delorme et al., 2007). 261 Components underwent frequency-domain thresholding (Castellanos & Makarov, 2006). 262 Artifact components were then selected automatically by ICLABEL (Pion-Tonachini et al., 263 2019), MARA (p < 0.05; Winkler et al., 2011) and ADJUST (Mognon et al., 2011). On 264 average across participants, 2.85 components (SD = 1.89) were rejected. Bad channels 265 identified initially were interpolated. Linear detrending was applied. Any remaining data exceeding 50 μ V with a moving window of 2 seconds were excluded from statistical analysis. 266 267 On average, across participants, this resulted in 3.59 % of words (SD = 5.08) being excluded. 268 We defined a group of representative centro-parietal electrodes (electrodes Cz, Pz, C3, C4, P3, P4, CP1, CP2) as our region of interest (ROI), and a 300-500 ms time window as our time 269

window of interest. This is consistent with the topographical features and latency of the N400response previously reported elsewhere in the literature.

272

273 Multivariate time-resolved regression

274 For multivariate time-resolved regression analyses, we used the mTRF toolbox 275 (Crosse et al., 2016). This method models brain responses using ridge-regression by fitting a 276 multivariate temporal response function (mTRF) to brain signals, which allows mapping 277 between stimulus features and neural activity. For this reason, multivariate time-resolved 278 regression is particularly well-suited to investigate brain responses to continuous, naturalistic 279 stimuli. Another important advantage of ridge-regression is that it is robust against collinearity. This is particularly important because lexical surprisal and lexical frequency 280 281 values are highly correlated (r = 0.64, p < 0.001).

Brain responses were modelled for each subject as the sum of the product of unknown 282 283 beta coefficients with individual values per content word for word onset, lexical frequency, 284 GPT2-derived surprisal, and the interaction between frequency and surprisal, estimated as the 285 product between these two predictors. We analyzed content words only, as function words do 286 not induce much word-by-word N400 (Frank et al., 2015). All predictors except word onset 287 were z normalized before mTRF estimation. We implemented four separate encoding models, one for surprisal using the formula $y \sim word onset + surprisal$, another one for frequency 288 289 using the formula $y \sim word onset + frequency$, one additive model for the combined effect of 290 surprisal and frequency ($y \sim word \ onset + surprisal + frequency$) and one last model for the 291 interaction between surprisal and frequency ($y \sim word \ onset + surprisal + frequency +$ 292 *surprisal*frequency*). Here, importantly, the first two single-predictor models evaluate the effects of frequency and surprisal separately, and how these effects were modulated by 293

294 gestures (see below). The latter two models consider additionally the situations where both 295 the effect of frequency and surprisal maybe dependent on, and interact with each other (Dambacher et al., 2006; Huizeling et al., 2022; Pavne et al., 2015; Van Petten & Kutas, 296 297 1990). For model fit evaluation, the four mTRF models were obtained from all content words 298 collapsed regardless of whether they were accompanied by gestures or not. Next, the model's 299 prediction accuracy was evaluated via cross-validation (see next section). Then, the four 300 models were estimated and evaluated again after adding a categorical predictor indicating the 301 presence or absence of gestures. A linear mixed effect model was used to test the effect of 302 model type and gesture information as an additional regressor on model fit.

303

304 *Model optimization and evaluation*

305 Model optimization and model evaluation were conducted via a leave-one-out 10-fold 306 cross-validation procedure using the mTRF toolbox (Crosse et al., 2016). For single predictor 307 models, the lambda (i.e., ridge) parameter was consistently set to zero. For all the other 308 models, optimal lambda parameters were identified by evaluating model fit for a logarithmic 309 space of 31 ridge values between 0.01 and 10 and between 100-600ms after word onset. This resulted in a partition-by-lambda-by-sensor matrix of correlation coefficients. The optimal 310 311 lambda parameter was programmatically set as the mean spearman r value across the 312 electrodes within the pre-defined ROI that maximized model fit (supplementary table s1). For 313 model evaluation, the predictive power of the trained data after cross-validation was tested 314 against the test data partition, which returns a set of 27 correlation coefficients, one for each channel. The mean spearman r value was then obtained for the set of electrodes within our 315 316 predefined ROI (supplementary table s2).

319 In a next step, we separately obtained mTRFs for gesture absent and gesture present 320 content words based on the four models above. This set of analyses, in addition to the model 321 fit comparisons, provides additionally information on if the presence of gesture enhances or 322 modulates the frequency- or surprisal-dependent N400s. For statistical analyses, we 323 separately obtained each subject's median beta values for gesture present and gesture absent 324 mTRF models in the group of representative centro-parietal electrodes within our ROI, and 325 within a predefined time-window of 300-500ms. This is consistent with the topographical 326 features and latency of the N400 response previously reported elsewhere in the literature. 327 Within each model, we compared the extracted frequency- and surprisal-N400 beta 328 amplitudes by means of a Wilcoxon signed-rank test. We used median values and a non-329 parametric test because Kolmogorov-Smirnov normality tests indicated that not all 330 distributions were normal (e.g., surprisal-dependent N400s in the gesture absent, p = 0.02). 331 Given our strong hypothesis for a decreased N400 amplitude in the presence of a co-speech 332 gesture for both frequency and surprisal, we opted for one-tailed testing, which increases 333 statistical power (Cho & Abe, 2013).

334

336 Results

337 To investigate the overall effect of gestures on mTRFs, we modelled brain responses to all 338 content words. We computed four different models: two single-predictor models using lexical 339 frequency and surprisal separately, one additive model for the combined effect of frequency 340 and surprisal, and a model testing the interaction of the two regressors. We then repeated this 341 procedure after adding to all models an additional categorical predictor indicating the 342 presence or absence of gestures. Because model fit varies numerically from one run to another, we implemented these analyses 10 times and averaged model fit values across runs. 343 344 We report the model fit averaged across all subjects in table 3. Results show that model fit improves when gestures are included as an additional regressor, regardless of model type. 345 346 Among the four models that include gestures as a categorical predictor, the additive model 347 shows the best fit. For statistical comparison between model fits, we conducted a linear 348 mixed effect model for model type and gesture information, controlling for the within-subject 349 nature of the design by including random effects for subject and the interaction of subject 350 with model type and subject with gesture information. Results revealed a statistically 351 significant main effect of gesture information (F = 33.718, p = 1.36e-05) and model type (F =352 9.68, p = 2.94e-05) in predicting a better model fit. No statistically significant effect was 353 found for the model type by gesture interaction. For the main effect of model type, post hoc 354 Tukey contrasts revealed significant better model fit for the additive model in comparison to the frequency-only (z = 2.97, p = 0.015) and the surprisal-only (z = 3.17, p = 8.25e-03) 355 356 models, with no difference between the additive and the interaction models (z = 0.425 p = 357 0.974).

358

(Table 3 here)

360 Having established the importance of gesture information in model fit, we next 361 investigated the frequency-dependent and the surprisal-dependent mTRF responses for words 362 accompanied by gestures and for words not accompanied by gestures separately. Regarding 363 the effect of frequency, figure 2a shows the mTRF for Gesture absent and Gesture present 364 words, averaged across a group of representative centroparietal electrodes (see methods). Beta values for *Gesture absent* words are more negative than beta values for *Gesture present* 365 366 words within a time-window that is consistent with the latency of the classic N400 responses (300–500 ms). We then visually inspected the topographical distribution of the mean mTRF 367 368 responses between 300 and 500 ms after word onset. Unlike Gesture present words, Gesture 369 absent words showed a centro-parietal negativity that is also reminiscent of the classical 370 N400 effect (figure 2b). Finally, we extracted the median beta values between 300-500 ms 371 for this group of electrodes (figure 2c). Given our directional hypothesis, we expected the 372 beta values for the Gesture absent words to be more negative than those for Gesture present 373 words in a time window consistent with the N400. A non-parametric Wilcoxon signed-rank 374 test (z = -3.49, signed rank = 11, p = 2.40e-04, one-tailed) showed that the median beta 375 values for *Gesture absent* words (n = 20, median = -5.37, SD = 3.96) was significantly more 376 negative than the median beta values for *Gesture present* words (n = 20, median = 3.07, SD = 9.01), with a large effect size (r = 0.78). Besides the mTRF results, we also reported the 377 378 conventional ERP effects for high-vs. low-frequency words (with median split), both collapsed across both conditions and within the Gesture present and Gesture absent 379 380 conditions in supplementary figure s2.

381

(Figure 2 here)

383 The mTRF results for GPT-2-surprisal are illustrated in figure 3. Similar to the 384 frequency-dependent results, beta values for Gesture absent words are more negative than 385 beta values for *Gesture present* words within a time-window that is consistent with the 386 latency and the topography of the classic N400 responses. Again, we extracted the median mTRF beta values between 300–500 ms for the same *a priori* defined centro-parietal 387 electrodes. Given our directional hypothesis, we expected the mTRF response for the Gesture 388 389 absent words to be more negative than mTRF for Gesture present words in a time window consistent with the N400. A Wilcoxon signed-rank test (z = -2.07, signed rank = 49, p = 390 391 0.019, one-tailed) showed that the median beta values between 300 and 500 ms for Gesture 392 *absent* words (n = 20, median = -2.43, SD = 3.96) were significantly more negative than the 393 median beta values for *Gesture present* words (n = 20, median = 0.085, SD = 10.15), with a 394 moderate effect size (r = 0.46). Similar to lexical frequency, conventional ERP effects for 395 high-vs. low-surprisal words (with median split), both collapsed across and within the 396 Gesture present and Gesture absent conditions, are reported in the supplementary figure s3.

397

(Figure 3 here)

398

Notably, within the same time window and for identical electrodes, the modulation 399 400 effects for the Gesture absent and Gesture present comparisons are statistically significant for 401 both frequency and GPT-2 surprisal, although the beta coefficients appear to be larger for the 402 frequency-dependent effect by visual inspection. Thus, we compared if gestures elicit a 403 stronger modulatory effect for the regression-based N400 for lexical frequency than for 404 surprisal. To this end, we conducted a Wilcoxon signed-rank test to directly compare the 405 median difference between Gesture present and Gesture absent responses for frequency 406 (median = 9.54, SD = 9.77) and surprisal (median = 5.77, SD = 9.19). We found that this

407 difference is indeed significant (z = 2.20, signed rank = 164, p = 0.028, two-tailed). Thus, it 408 appears that gesture modulates the frequency-derived N400 more strongly than the surprisal-409 derived N400.

410 In a further step, based on the fact that the additive model outperforms the single 411 predictor models, we additionally compared the regression coefficient between the Gesture 412 present and absent conditions for both frequency and surprisal, when they were both entered 413 as regressors in the additive model. Results of this analysis are illustrated in figure 4. Here, 414 beta values differ significantly between Gesture present and Gesture absent conditions for 415 lexical frequency (z = -2.967, signed rank = 25, p = 0.0015) with a moderate effect size (r =416 0.66), whereas no significant difference was observed for surprisal (z = 0.952, signed rank = 130, p = 0.829, r = 0.21). This analysis corroborates the effect of gesture on frequency-417 418 dependent N400s. Here, however, in comparison to the single predictor effects, the scalp 419 distribution of the frequency effect appears to be more anterior. This pattern seems to be 420 reminiscent to the report on the effect of gesture on speech processing being more anterior 421 (Kandana Arachchige et al., 2021). Therefore, based on the additive model together with the gesture information (with gesture) binary regressor, we additionally compared the model fit 422 423 between our N400 parietal ROI and a set of frontal electrodes (F1/2/3/4/z, FC1/2). A t-test for 424 the difference between beta values extracted from the parietal and frontal ROIs showed that 425 this difference was not statistically significant (t = 2.06, p = 0.053).

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(Figure 4 here)

427

Further, even though the model fit did not differ between the additive and the
interaction models, we nevertheless conducted a control analysis to investigate the effect of
gesture on a potential interaction between frequency and lexical frequency (figure s4,

supplementary materials). Results of the mTRF analysis for the interaction model suggest an effect of frequency (z = -2.86, signed rank = 28, p = 0.002) for a moderate effect size (r =0.64), where words not accompanied by gestures (z = -3.863, SD = 7.25) are associated to more negative beta coefficients than words accompanied by gestures (z = 7.70, SD = 17.11). However, the gesture effect on the interaction term in this model was not statistically significant (z = 1.47, signed rank = 144, p = 0.93, r = 0.33, figure s3).

437 We also explored if iconic and beat gestures may have differential impacts of either frequency-dependent or surprisal-dependent N400. This comparison, however, needs to be 438 439 treated with caution given the much lower numbers of data points for each gesture type. We 440 evaluated both gesture type's potential impacts also in two steps. Firstly, for model comparison, for both frequency-only and surprisal-only models separately, we included a 441 442 binary regressor regarding the presence of either an iconic or a beat gesture, and evaluated if 443 the inclusion of iconic/beat gesture regressor improves the model fit, and if they differ from 444 each other. For the frequency model, results showed that including coding for both types of 445 gestures generally improves model fit (iconic: t = 3.79, p = 0.02, beat: t = 2.29, p = 0.024). 446 However, no difference between both types of gestures were observed (t = 1.57, p = 0.137). 447 For surprisal, including coding for both types of gestures also improved model fit (iconic: t = 2.29, p = 0.038, beat: t = 1.74, p = 0.015). We also observed no difference between both 448 449 types of gestures (t = 1.75, p = 0.096).

To investigate the effect of gesture types on frequency-derived N400s, we estimated two separate models for frequency, based on words that were marked as gesture present with iconic gestures and beat gestures respectively. We then analyzed their corresponding mTRFs to gesture present and gesture absent content words for each gesture type. Results (figure s5, supplementary materials) indicated that N400s are similarly modulated by both gesture types, 455 as both iconic gesture absent words (median = -5.37, SD = 3.96) and beat gesture absent 456 words (median = -5.37, SD = 3.96) are associated with more negative beta coefficients than 457 their gesture present counterparts (iconic: median = 7.03, SD = 13.33; beat: median = -0.12, 458 SD = 15.30). Both effects were statistically significant (iconic: z = -2.93, signed rank = 26, p = 0.002, r = 0.66; beat: z = -2.40, signed rank = 40, p value = 0.008, r = 0.54, figure s4). We 459 460 repeated this analysis for surprisal derived N400s. For surprisal (figure s6, supplementary materials), only beat gestures show a statistical effect (z = -2.632, signed rank = 34, r = 461 462 (0.589) where gesture absent words (median = -2.43, SD = 3.96) are statistically more 463 negative than gesture present words (median = 4.50, SD = 13.24). In contrast, iconic gestures do not have a statistically significant effect on the surprisal derived N400 amplitude (z = -464

465 0.690, signed rank = 86, p = 0.245, r = 0.154).

467 Discussion

468 We investigated whether the presence of gestures modulates the cognitive demands 469 associated with lexical retrieval and semantic prediction during the processing of naturalistic 470 multimodal stimuli. With a set of mTRF analyses, we found that providing the gesture coding 471 significantly improves the mTFR model fit. Most importantly, extending a prior study 472 (Sassenhagen, 2019), across all models, we observed robust evidence that co-speech gestures 473 reduced the amplitude of the frequency-dependent N400, thus suggesting a facilitative role of 474 gestures on lexical retrieval. This finding significantly elaborates the semantic processing 475 literature of multimodal language: prior studies typically employ classic semantic violation 476 paradigms (He et al., 2020; Morett et al., 2020; Wang & Chu, 2013), or disambiguation paradigms (Holle & Gunter, 2007) to derive the N400 effect. Consequently, although results 477 478 from these studies speak strongly for a facilitative role of gesture, it is, more specifically, 479 semantic *integration* that benefits from the visual modality. Here, building on the well-480 established link between lexical frequency and the N400, we showed that bottom-up lexical 481 retrieval, as indexed by the N400, benefits from a complementary visual modality, just as how it interacts with sentence context (Van Petten & Kutas, 1990). Our results also align with 482 483 an extensive line of literature on the facilitative effect of gesture on lexical retrieval during 484 production (Hadar et al., 1998; Hadar & Butterworth, 1997; Lanyon & Rose, 2009). To our 485 knowledge, this is the first time that an interaction between frequency and co-speech gestures 486 was observed, although directly from a naturalistic paradigm.

487 Regarding semantic prediction, GPT-2 surprisal indeed models an apparent N400
488 during the processing of a naturalistic multimodal narrative. This is in line with studies
489 showing a surprisal N400 effect using auditory-only stimuli (Frank et al., 2015; Frank &
490 Willems, 2017; Yan & Jaeger, 2020) and corroborate a similar effect of surprisal during the

491 processing of multimodal stimuli (Y. Zhang et al., 2021). We extended these findings by 492 using speech stimuli from a long and continuous multimodal narrative rather than single 493 sentences, thus establishing the modulatory effect of co-speech gestures on the N400 during 494 naturalistic comprehension. Similar to more recent studies, we also implemented a time-495 resolved version of regression-based ERPs, namely multivariate Temporal Response 496 Functions, which allowed us to unmix the ERP responses to words of interest from that to 497 preceding and succeeding words in the continuous EEG signal (Crosse et al., 2016). With this 498 in mind, our findings imply that surprisal can quantify a word's unpredictability not only at 499 the level of single lexical items or sentences (Hale, 2001; Levy, 2008), but also during word-500 by-word processing of naturalistic narratives that are multimodal in nature.

501 However notably, our analyses in the additive and interactive models only showed a 502 significant effect of gesture on frequency-dependent N400, but not on the surprisal-dependent 503 N400. This finding may be considered evidence of the modulation effect of gestures on 504 surprisal being potentially dependent on frequency. In the literature on unimodal (visual or 505 auditory) naturalistic language processing, word frequency is commonly, but not always 506 input as a covariate when modelling the effect of context-dependent measures such as 507 surprisal or semantic similarity (Armeni et al., 2019; Weissbart et al., 2019; Willems et al., 508 2016, but see Broderick et al., 2018). In the multimodal language processing literature, to 509 date, the effect of gestures on semantic prediction (as indexed by surprisal) has been investigated in only one recent study (Y. Zhang et al., 2021), but there the potential effect of 510 511 word frequency was not controlled for. In our study, the null effect for surprisal in the 512 *frequency* + *surprisal* model does not necessarily imply no effect of gestures on semantic 513 prediction: for example, the absence of a significant effect of surprisal in the additive model 514 may be alternatively explained by the fact that frequency captures the shared variance 515 between frequency and surprisal; and after all, in the single-predictor model, we still

observed significant effect of gesture on the surprisal-N400. Thus, although our results are in
accordance with prior studies in suggesting a modulatory effect of gestures on the N400s
(which may reflect semantic processing in general), they would need further validation,
especially regarding how lexical retrieval, semantic prediction, and semantic integration are
interactively affected by gestures.

521 An important contribution of the current study is that the effect of gestures on the 522 frequency- and/or surprisal-dependent N400 is observed in a multimodal narrative (Willems et al., 2020). This extends previous results from factorial studies (Fabbri-Destro et al., 2015; 523 524 Kelly et al., 2004; Özyürek et al., 2007; Willems et al., 2007; Wu & Coulson, 2005) to the 525 processing of more naturalistic stimuli. A possible reason for this facilitative effect is that 526 gesture onset in the current experiment preceded the onset of critical words, preactivating 527 semantic representations or facilitating lexical retrieval, and thus alleviating the cognitive demand associated to the decoding of meaning (He et al., 2020; Maess et al., 2016; Szewczyk 528 529 & Schriefers, 2018; ter Bekke et al., 2020; Y. Zhang et al., 2021). This effect could be 530 especially highlighted in a more naturalistic setting where the actor of the video was able to 531 freely produce the spontaneous gestures during recording. Thus, it could be that there is a 532 bias to use gestures for words that are contextually more salient (Pouw et al., 2021; Trujillo et al., 2021), or that gestures co-occur with enhanced articulation, or even with a slow-down of 533 534 the speaking rate, both of which could potentially facilitate semantic processing (e.g. Broderick et al., 2018). Consequently, when perceived by the comprehender, the onset of a 535 536 gesture would automatically signal the ease of processing of the upcoming key word and 537 would jointly reduce the effort of lexical retrieval and/or semantic prediction together with 538 more enhanced articulation. However, as we did not control for these factors (e.g., artificially 539 cover the actor's mouth) for the purpose of maintaining maximal naturalness of the stimuli, 540 these potential confounds would need to be further assessed in more controlled conditions.

541 Alternatively, from a neurobiological perspective, it has been suggested that a left-lateralized, 542 modality-independent system exists in anterior and posterior temporal regions that maps 543 semantic information into common conceptual representations (Andric et al., 2013; Straube et 544 al., 2012, 2013; Xu et al., 2009, but see Jouravlev et al., 2019 for an alternative view). Therefore, it could also be possible that the facilitative effect of gestures during 545 546 lexicosemantic retrieval/prediction indexed by the attenuation of the frequency and surprisal 547 N400 responses reflects the integration of matching acoustic and visual symbolic 548 representations encoded by multisensory neuronal populations in this supramodal semantic 549 system. This would be similar to what has been documented for low-level perceptual features 550 during audiovisual speech perception (Park et al., 2018) and to additive and supra-additive 551 effects during multisensory integration (Stein & Stanford, 2008). Both scenarios, however, 552 highlight the relevance of speech gestures in human communication and raise interesting questions about the evolutionary origins and relevance of their facilitative effect. 553

554 Our study has a number of limitations. First, for practical considerations, we did not 555 set up an auditory-only condition that could potentially serve as unimodal baseline. Although 556 this approach is used by a number of recent naturalistic language processing studies (S. 557 Zhang et al., 2022), it is nevertheless vulnerable to the lack of control of stimulus-related features between the Gesture present and Gesture absent conditions. Secondly, in the current 558 559 study, even if we have compared iconic and beat gestures on their effects on frequency and 560 surprisal, and have found potentially differential effects on the surprisal-dependent N400s 561 (see figure s5, supplement), the interpretation of this set of analysis should be cautious 562 because of the low number of datapoints available in the current study. Here, we found that 563 both iconic and beat gestures consistently modulate the frequency-dependent N400; but for 564 surprisal, although the mTRF model fit generally improved when both iconic and beat gesture 565 coding were additionally included as regressors, the pairwise comparison between gesture

566 present and gesture absent words only showed a significant modulation effect of beat gesture 567 on the surprisal-dependent N400. Other recent literature has provided evidence of dissociable 568 effects of gesture types on electrophysiological responses using both naturalistic and factorial 569 approaches, with iconic (i.e., meaningful) gestures being associated to a reduction in the 570 amplitude of the N400 and beat gestures being associated to increased N400 effects as 571 derived from semantic violation or surprisal (Hintz et al., 2022; Y. Zhang et al., 2021). On the 572 other hand, there is another line of literature showing that the N400 amplitude of single words in a sentence may still be modulated by the presence of beat gestures, suggesting a potentially 573 574 facilitative effect of beat gestures (Morett et al., 2020; Wang & Chu, 2013). Clearly, given 575 the mixture of current literature, future experiments are necessary to shed light on this issue. 576 Moreover, in this study we used GPT-2 for analyzing surprisal. While it was informative, 577 subsequent large language models may outperform GPT-2 due to their expanded training 578 datasets and refined architectures, and may provide better fit to the EEG data (Digutsch & 579 Kosinski, 2023; Mahowald et al., 2023; Michaelov et al., 2023). Further, in the current study 580 we interpolated bad electrodes based on a relatively sparse 32-channel system, this potential 581 distortion may be best handled with a conceptual replication with an independent dataset. 582 Lastly, like many other studies that investigate semantic processing using narratives, we did 583 not employ any behavioral tasks during the experiential procedure (e.g., Broderick et al., 584 2018; Goldstein et al., 2022; Willems et al., 2016). As a result, the interpretation of any 585 degree of facilitation, either of lexical retrieval or semantic prediction, can be interpreted on a 586 neural level at best. For this reason, a naturalistic paradigm that most optimally combines 587 behavior and its neural substrates becomes imperative for further research (Gratton et al., 588 2022).

590	Acknowledgments
591	This project was funded by the Deutsche Forschungsgemeinschaft (DFG), funding number
592	HE8029/2-1, the von Behring-Röntgen-Stiftung (funding number 59-0002, 64-0001), and the
593	Excellence Program 'The Adaptive Mind' of the Hessian Ministry of Higher Education. SO
594	received funding from Agencia Nacional de Investigación y Desarollo (ANID), national grant
595	for doctoral studies N° 21181786.
596	
597	Competing interests
598	The authors declare no competing interests.
599	
600	Data availability
601	Data will be made available upon request by contacting YH at <u>vifei.he@staff.uni-marburg.de</u> .
602	
603	Author contributions
604	SO implemented EEG preprocessing and data analysis scripts, implemented multivariate
605	regression analyses, created figures, interpreted results, and wrote and edited the manuscript.
606	BS designed the experiment, acquired funding, and reviewed the manuscript. LM
607	implemented multivariate regression analyses, GPT2 lexical-surprisal analyses, interpreted
608	results, wrote, reviewed, and edited the manuscript. YH designed the experiment, acquired
609	data, created figures, interpreted results, and wrote, reviewed, and edited the manuscript.

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902	Table 1.	Summary	of gesture	occurrences
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Gesture Type	Occurrence	%
Iconic	181	36.71
Metaphoric	85	17.24
Emblematic	19	3.85
Beat	148	30.02
Deictic	60	12.17
Total	493	100

		N° of Word duration Words				GPT-2 Surprisal				Word frequency				
			Mean	Median	SD	Range	Mean	Median	SD	Range	Mean	Median	SD	Range
	Gesture Present	466	0.545	0.510	0.199	1.080	13.714	12.679	7.331	47.010	12.695	11	5.628	27
	Gesture Absent	1491	0.491	0.460	0.195	2.810	13.056	11.538	7.932	53.972	11.674	11	5.586	27
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914 Table 2. Summary of word statistics

928 Table 3. Model fit comparison

	Model				
		Without	gesture	With g	esture
		as reg	ressor	as reg	ressor
		Mean	SD	Mean	SD
	Surprisal	0.058	0.013	0.069	0.016
	Frequency	0.056	0.015	0.069	0.013
	Additive	0.066	0.017	0.076	0.015
	Interaction	0.065	0.015	0.075	0.016
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942 Figures

943 Figure 1







964 Figure 4



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976 Figure captions

Figure 1. Schematic representation of experimental and analytic protocol. a. EEG 977 • 978 data was acquired while participants were exposed to multimodal naturalistic speech stimuli. Besides lexical frequency, word-by-word surprisal was obtained using the 979 980 GPT-2 model. Multimodal stimuli were annotated for the presence of absence of 981 gestures. EEG data were then modelled using the mTRF toolbox to obtain regression-982 based ERPs (i.e., mTRFs) for gesture present and gesture absent data separately. b. 983 Sample frames from the multimodal video stimuli (top) along with corresponding 984 word-by-word frequency and GPT-2-derived surprisal values (middle) and the 985 average EEG signal for a group of centro-parietal electrodes (bottom). Words marked 986 as Gesture present are marked with red background.

987 Figure 2. Results of TRF analyses for lexical frequency. a. Mean mTRF to Gesture • 988 absent (blue) and Gesture present (orange) words obtained from the average of our 989 electrodes of interest. Coloured shades show the 95% bootstrapped confidence 990 intervals. Dotted lines represent our time-window of interest for statistical analyses 991 (300–500 ms). b. Topographical distributions of mean mTRF responses between 300– 992 500 ms. c. Individual and group median mTRF beta values between 300–500 ms. The 993 line and asterisks represent a statistically significant effect (p < 0.001, one-tailed). 994 Figure 3. Results of TRF analyses for GPT-2 surprisal. a. Mean mTRF to Gesture • 995 absent (blue) and Gesture present (orange) words obtained from the average of a 996 group of centro-parietal electrodes. Coloured shades show the 95% bootstrapped 997 confidence intervals. Dotted lines represent our time-window of interest for statistical 998 analyses (300–500 ms). b. Topographical distributions of mean mTRF responses between 300–500 ms. c. Individual and group median mTRF beta values between 999

300–500 ms. The line and asterisk represent a statistically significant effect (p < 0.05,
one-tailed).

1002	• Figure 4. Results of TRF analyses for the additive model a . Effect of GPT-2
1003	surprisal. b. Effect of lexical frequency. For both panels, mTRF for Gesture absent
1004	(blue) and Gesture present (orange) words obtained as the average of an a priori
1005	defined group of centro-parietal electrodes. Coloured shades show the 95%
1006	bootstrapped confidence intervals. Dotted lines represent our time-window of interest
1007	for statistical analyses (300–500 ms). Topographical distributions are based on the
1008	mean mTRF responses between 300-500 ms. Point and line-plots show Individual
1009	and group median mTRF beta values between 300-500 ms. The line and asterisks
1010	represent a statistically significant effect ($p < 0.01$, one-tailed).

Supplementary materials

The role of co-speech gestures in retrieval and prediction during naturalistic multimodal narrative processing

This PDF includes:

Tables s1 and s2 Figures s1, s2, s3, s4, s5, s6

Subject	Add	litive	Interaction			
	Gesture absent	Gesture present	Gesture absent	Gesture present		
1	0.631	0.040	0.794	0.200		
2	0.794	10.000	1.995	10.000		
3	0.010	0.794	0.158	0.010		
4	0.010	0.200	0.316	0.251		
5	0.010	0.010	1.585	0.631		
6	0.398	2.512	1.000	0.010		
7	1.000	1.995	1.259	0.794		
8	6.310	1.259	5.012	0.794		
9	0.010	0.501	1.000	0.501		
10	0.501	0.010	0.794	0.010		
11	0.794	0.631	0.398	0.200		
12	0.010	10.000	0.010	1.000		
13	0.010	1.259	0.010	0.010		
14	7.943	0.032	2.512	0.079		
15	2.512	0.010	0.010	0.251		
16	0.010	0.398	0.251	0.200		
17	0.010	1.995	0.631	0.010		
18	0.200	0.010	0.794	0.020		
19	1.000	0.010	1.259	0.032		
20	0.010	10.000	0.794	10.000		

Table s1. Optimal Lamba values for additive and interaction models after cross-validation

Subject	Surprisal		Frequ	uency	Add	itive	Interaction		
	Gesture	Gesture	Gesture	Gesture	Gesture	Gesture	Gesture	Gesture	
	absent	present	absent	present	absent	present	absent	present	
1	0,088	-0,013	0,103	0,042	0,030	0,048	0,108	0,037	
2	0,037	0,007	0,051	0,031	0,044	0,041	0,039	0,056	
3	0,032	0,047	0,028	0,048	0,089	0,039	0,103	0,046	
4	0,073	0,053	0,059	0,061	0,082	0,053	0,033	0,048	
5	0,024	0,042	0,047	0,020	0,026	0,051	0,037	0,053	
6	0,078	0,054	0,116	0,033	0,042	0,083	0,084	0,062	
7	0,045	0,040	0,034	0,036	0,066	0,044	0,053	0,010	
8	0,055	0,099	0,086	0,043	0,026	0,072	0,028	0,035	
9	0,047	0,039	0,092	0,055	0,042	0,074	0,066	0,056	
10	0,066	0,039	0,028	0,037	0,042	0,023	0,026	0,070	
11	0,050	0,010	0,070	0,059	0,036	0,087	0,050	0,003	
12	0,049	0,020	0,078	0,035	0,033	0,021	0,029	0,036	
13	0,046	-0,008	0,064	0,054	0,036	0,004	0,093	0,048	
14	0,046	0,064	0,068	0,045	0,100	0,070	0,100	0,069	
15	0,057	0,019	0,039	0,050	0,075	0,028	0,065	0,102	
16	0,123	0,092	0,090	0,100	0,089	0,078	0,084	0,082	
17	0,041	0,032	0,033	0,045	0,135	0,039	0,110	0,067	
18	0,067	0,035	0,021	0,054	0,086	0,046	0,046	0,045	
19	0,022	0,052	0,072	0,060	0,039	0,075	0,074	0,066	
20	0,075	0,028	0,043	0,004	0,080	0,021	0,050	0,033	

Table s2. Model fit values (spearman r) for the four models



Figure s1. Example of the gestures as produced in the multimodal narrative (left panel). The middle panel shows the corresponding original German sentence and the *Gesture present* words (underlined). The right panel shows the English translation of the sentences and the *Gesture present* words.

Conventional ERP effects for high- vs. low-frequency words

We conducted a median-split analysis based on lexical frequency for all content words and compared the conventional ERP effects between high- and low-frequency words for (1) all words and (2) words within the Gesture present and the Gesture absent conditions. ERPs were all baseline-corrected based on the -200-0 ms time-locked to the onset of each word. We plot the ERP waveforms in figure s1. Analogous to the mTRF results, we directly extracted the ERP amplitudes between 300-500ms for centro-parietal electrodes and entered these values into a 2 x 2 parametric repeated-measures ANOVA with the factors FREQUENCY (high vs. low) and GESTURE (present vs. absent), as interaction is unable to be tested via non-parametric tests. ANOVA revealed a main effect of GESTURE ($F_{(1,19)}$ = 41.90, p < 0.00003) and no main effect of frequency ($F_{(1,19)} = 1.04$, p = 0.32). The interaction between the two factors was significant ($F_{(1,1)} = 5.92$, p < 0.00003). We then conducted Wilcoxon signed-rank tests for the effect of frequency within Gesture present and Gesture *absent*. No effect of frequency was observed in the *Gesture absent* condition (z = 2.25, signed rank = 165, p = 0.99), but low-frequency content words (above median) showed more positive N400 amplitudes in comparison to high-frequency words (z = -2.14, signed rank = 47, p = 0.015) in the *Gesture present* condition. This effect, besides being significant, is not in accordance with the hypothesized pattern that low-frequency words should be more negative in the N400 window. Overall, the hypothesized effect of frequency was not reliably observed in the ERP results.



Figure s2. Results of the ERP analyses (median split) for lexical frequency for **a**. All words collapsed across *Gesture absent* and *Gesture present* conditions. **b**. Words in the *Gesture absent* condition. and **c**. Words in the *Gesture present* condition. For all panels, waveforms of low-frequency words (Above median) are depicted in red, and high-frequency words (Below median) are depicted in blue. ERPs were obtained from the average of all electrodes. Coloured shades show the 95% bootstrapped confidence intervals. Dotted lines represent our time-window of interest for statistical analyses (300-500 ms). Topographical distributions show ERP responses between 300-500 ms. Point- and line-plots show individual and group median ERP amplitudes between 300-500 ms. The line and asterisk represent a statistically significant effect (p < 0.05, one-tailed).

Conventional ERP effects for high- vs. low-surprisal words

For surprisal, we also conducted a median-split ERP analysis based on GPT-2 surprisal for all content words and compared the conventional ERP effects between high- and low-surprisal words for 1) all words and 2) words within the *Gesture present* and the *Gesture absent* conditions. ERPs were all baseline-corrected based on the -200-0 ms time-locked to the onset of each word. We plot the ERP waveforms in Figure s2. Analogous to the mTRF results, we directly extracted the ERP amplitudes between 300-500ms for centro-parietal electrodes and entered these values into a 2 x 2 parametric repeated-measures ANOVA with the factors SURPRISAL (high vs. low) and GESTURE (present vs. absent). ANOVA revealed a main effect of GESTURE ($F_{(1,19)} = 52.212$, p = 7.34e-07), but no main effect of SURPRISAL ($F_{(1,19)} = 0.078$, p = 0.79). The interaction between the factors was also non-significant ($F_{(1,19)} = 0.861$, p = .365). Non-parametric Wilcoxon signed-rank tests also showed no effect of SURPRISAL within the *Gesture absent* (z = 1.4, signed rank = 142, p = 0.919), and the *Gesture present* conditions (z = -0.35, signed rank = 95, p = 0.361). Similar to lexical frequency, ERPs on high vs. low surprisal did not exhibit interpretable patterns.



Figure s3: Results of the ERP analyses for GPT-2 surprisal for **a**. All words collapsed across *Gesture absent* and *Gesture present* conditions. **b**. Words in the *Gesture absent* condition. and **c**. Words in the *Gesture present* condition. For all panels, waveforms of high-surprisal words (Above median) are depicted in red, and low-surprisal words (Below median) are depicted in blue. ERPs were obtained from the average of all electrodes. Coloured shades show the 95% bootstrapped confidence intervals. Dotted lines represent our time-window of interest for statistical analyses (300–500 ms). Topographical distributions show ERP responses between 300–500 ms. Point- and line-plots show individual and group median ERP amplitudes between 300–500 ms.

Discussion on the median-split ERPs

Our conventional median-split ERP analyses revealed no systematic and interpretable effects for either frequency or surprisal. Our findings, at first glance, might be at odds with prior publications showing either an N400 effect of high vs. low surprisal using unimodal language stimuli e.g., visually presented sentences (Frank et al., 2015). Notably, in this study, the stimuli were presented in an RSVP manner, and only one critical word within one sentence were analysed for the ERPs. Regarding frequency, despite a long list of literature employing factorial ERP design (e.g., Van Petten and Kutas, 1990), no prior literature has used even unimodal but naturalistic stimuli with median-split to examine how the N400 ERPs vary as a function of word frequency. On the other hand, in the multimodal language processing literature, a number of studies have reported and N400 effect using conventional ERP methods (Kelly et al., 2004; Morett et al., 2020; Wang and Chu, 2013; Wu and Coulson, 2005). Similar to the study from Frank and colleagues (2015), the gesture-speech N400 studies also focused on a single critical word within sentences, so that there is no overlap of EEG time-windows between words. As a result, conventional ERP analysis focusing on the N400 window has led to reliable and interpretable data pattern. This is, however, not the case for the current study, as shown from our results. Here, the validity, and the comparability to prior studies when using conventional ERP methods is undermined by two important confounds: the temporal segments of words being analysed overlap with each other; and all these words were multimodal in nature (with facial expressions, lip movements, and where applicable, hand gestures). Thus, we argue that the conventional ERP analysis (here using the median-split approach) has limitations to answer our research questions; and the effect of surprisal and word frequency, and their interaction with co-speech gestures is best examined via the temporally-resolved regression approach, as we reported in the main text.



Figure s4. Results of mTRF analyses for surprisal (a), frequency (b) and their interaction (c). Mean mTRF to *Gesture absent* (blue) and *Gesture present* (orange) words obtained from the average of a group of centro-parietal electrodes with their 95% bootstrapped confidence intervals. Topographical distributions are based on the mean mTRF responses between 300–

500 ms. Point and line-plots show Individual and group median mTRF beta values between 300-500 ms. The line and asterisk represent a statistically significant effect (p < 0.05, one-tailed).



Figure s5. Results of mTRF analyses for frequency using iconic gestures (a) and beat gestures (b) only. Mean mTRF to *Gesture absent* (blue) and *Gesture present* (orange) words obtained from the average of a group of centro-parietal electrodes with their 95% bootstrapped confidence intervals. Topographical distributions are based on the mean mTRF responses between 300–500 ms. Point and line-plots show Individual and group median mTRF beta values between 300–500 ms. The line and asterisks represent a statistically significant effect (p < 0.01, one-tailed).



Figure s6. Results of mTRF analyses for surprisal using iconic gestures (a) and beat gestures (b) only. Mean mTRF to *Gesture absent* (blue) and *Gesture present* (orange) words obtained from the average of a group of centro-parietal electrodes with their 95% bootstrapped confidence intervals. Topographical distributions are based on the mean mTRF responses between 300–500 ms. Point and line-plots show Individual and group median mTRF beta values between 300–500 ms. The line and asterisks represent a statistically significant effect (p < 0.01, one-tailed).