

## Western Caucasus regional hydroclimate controlled by cold-season temperature variability since the Last Glacial Maximum

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The Caucasus region is key for understanding early human dispersal and evolution in Eurasia, and characterizing the environmental contrast between Last Glacial Maximum and Holocene is crucial for investigating human adaptation strategies to large climatic shifts. However, a paucity of high-resolution paleoclimate records leave this context largely unknown for early human populations in the Caucasus region. Based on our model-proxy comparison of high- and low-resolution records of 24 stalagmites from three caves, we find spatially distinct changes in vegetation and seasonality of precipitation, especially under glacial conditions. Supported by modern oxygen-isotope data and climate modeling, we identify a supraregional cold-season temperature control for oxygen isotopes in Black Sea speleothems, which previously had been interpreted as a local moisture-source signal. Carbon-isotope and trace-element data further suggest disproportionate changes in vegetation cover and soil dynamics at high altitudes, which would have resulted in a reduction but not a disappearance of human refugia during the Last Glacial Maximum, relative to the current interglacial. Our findings imply that abrupt climatic pressures from harsh conditions were overcome by adaptive strategies in the past.

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Located at the crossroads between the Mediterranean, Europe, and Asia, the Caucasus long served as a natural passage, the so-called Trans-Caucasian corridor that facilitated hominin expansion from the Levant into the rest of Eurasia during the Pleistocene. Yet, the pressure imposed by past climate change on the expansion and development of anatomically modern humans in this region remains to be elucidated. While archaeological records provide some baseline information of past climate variability, the paucity of high-resolution paleoclimate records in the Caucasus region strongly limits our understanding of how anatomically modern human groups adapted to major climatic changes. This lack of paleoclimate reconstructions is especially critical for the Last Glacial Maximum (LGM; ~ 21,000 years ago), a time when parts of the Caucasus likely served as a refuge habitat that facilitated population and repopulation of Eurasia<sup>1–4</sup>. Identifying the nature and extent of climate and environmental change as a result of LGM cooling and warming during the following deglaciation into the Holocene is crucial for understanding historical and current human resilience in this region.

In most locations across the Caucasus region, there is a break in the archaeological record during the LGM; however, several sites do suggest continued occupation<sup>5–7</sup>. One hypothesis to explain this pattern suggests the presence of low-altitude refugia sheltering human groups during otherwise harsh LGM climatic conditions<sup>6</sup>. It remains unclear whether discontinuities in cave occupation during the LGM reflect absence of humans in the region or site-specific formation and taphonomic processes. Elevation likely had an impact on subsistence strategies, with high-altitude locations being used less often, while lower elevation sites being occupied more densely influenced by seasonal accessibility of migratory herds. During the Late Glacial, a new industry emerged in the region<sup>5,8,9</sup>, which could imply the arrival of new groups or adaptation techniques tailored to mitigate changing environmental and climatic conditions. These developments underscore the need for a more detailed interpretive framework from paleoclimatic archives that can inform regional-scale environmental changes at different elevations, supporting our understanding of human migration in this region.

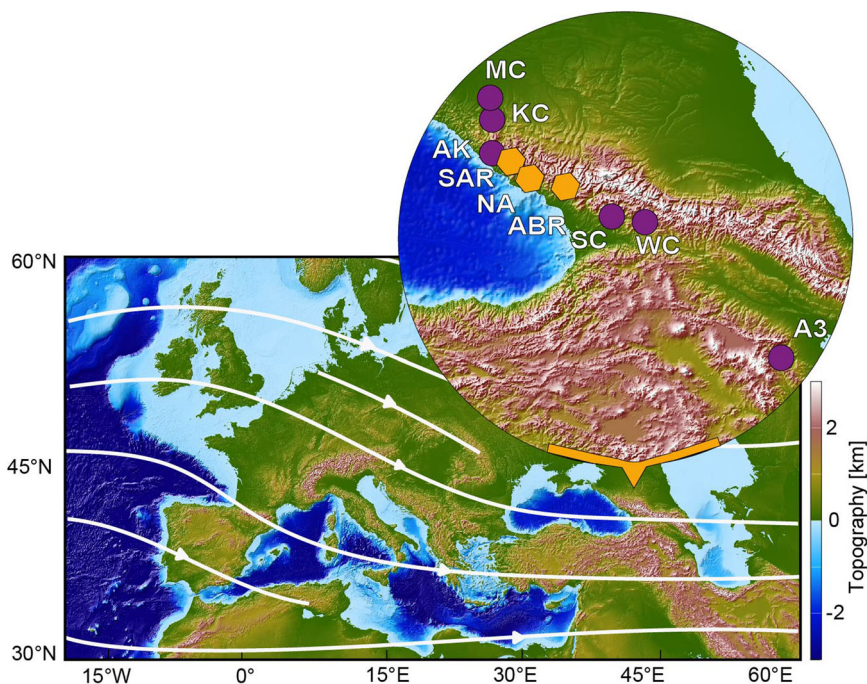
So far, the limited paleoclimate data available suggested substantial drying during the LGM<sup>10,11</sup>, accompanied by substantially lower Black Sea levels of up to –145 m<sup>11–15</sup>. Similar results are found in a speleothem record from northeastern Turkey, which reveals a fourfold reduction in growth rates during the LGM compared to the Holocene<sup>16</sup>, and speleothem records from Romania<sup>17</sup> also suggesting strong regional drying at the start of the LGM followed by a growth interruption at ~ 21,000 years BP. These proxy observations are challenged by the recent PMIP4-CMIP6 model ensemble that suggests a fairly small reduction in precipitation<sup>18</sup> in this region, especially when compared to contemporary central European climate. Here we hypothesize that the impact of LGM climate was spatially diverse in the Caucasus, with elevation being a potential factor. Thus, proxy records reflecting past precipitation from both lowland and high-altitude settings, covering the LGM and Holocene, are required to understand the full effect of climate changes on anatomically modern humans development across the wider Caucasus region. Here, we present three cave records from Abkhazia, located in the northwestern Caucasus, covering the LGM to present, and compare one high- and two low-altitude multi-proxy records ( $\delta^{18}\text{O}$ ,  $\delta^{13}\text{C}$ , Sr/Ca, S/Ca) to investigate the effects of LGM climate on local vegetation and habitability. We find that LGM drying was much more pronounced at the high-altitude site, compared to the lowland sites. Based on abnormally high  $\delta^{18}\text{O}$ ,  $\delta^{13}\text{C}$ , and dead carbon fraction, we conclude that during the LGM, high-altitude speleothem formation proceeded largely through pyrite oxidation in the bedrock (sulfuric-acid dissolution) rather than soil-CO<sub>2</sub> contributions to the

epikarst (carbonic-acid dissolution). Also reflected in our speleothem  $\delta^{18}\text{O}$  records is a pan-regional climatic signal controlled by a mixture of cold-season temperature variability and synoptic-scale circulation dynamics, rather than a direct moisture-source control from the Black Sea itself, as previously interpreted.

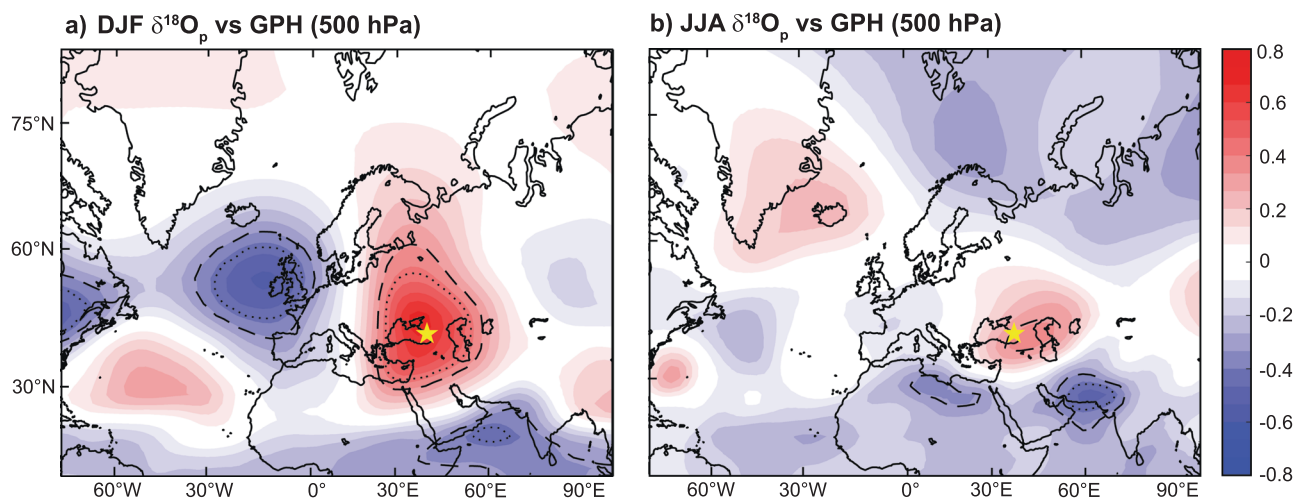
**Local climatic and hydrological regime.** Sarma Cave is located in the Arabika karst plateau in Abkhazia, northwest Caucasus, near the eastern seaboard of the Black Sea (43.39 °N, 40.37 °E, Fig. 1). With 1830 m depth, Sarma Cave is the 3<sup>rd</sup> deepest recorded cave in the world, and its main entrance is located at 2150 m above mean sea level, thus representing the high-altitude setting. The climate on the Arabika plateau is classified as humid continental mild summer, wet all year/subarctic with cool summer, wet all year (Dfb/Dfc), with dry summers and substantial winter precipitation, whereas the coastal climate is temperate oceanic climate (Cfb)<sup>19</sup>. The greater alpine region of Abkhazia is moist, maritime, subtropical and receives between 2500–3000 mm of precipitation annually, compared to ~1500 mm in the coastal zone; the mean annual air temperature is ~6 °C at Sarma Cave, compared to ~15 °C in the coastal zone (Fig. S1)<sup>20,21</sup>. Soil cover on the plateau, well above the ~1700 m tree line, is poorly developed and patchy, reflecting the harsh alpine climate conditions<sup>22</sup>. Cave air temperature stabilizes near the collection site (~200 m depth) at around 4 °C<sup>23,24</sup>. Sarma Cave receives most of its infiltration from snowmelt, although short but intense flooding events occur during summer storms. Infiltration of summer rainfall is reduced by high evaporation rates and has a smaller contribution to drip water compared to spring meltwater derived from winter snow (Fig. S1e). We thus interpret the hydrological proxy reconstructions from Sarma Cave to be indicative primarily of winter precipitation and climate variability of the Caucasus region (Figs. S1 and S2).

To investigate the spatial paleoenvironmental changes and test how potential refugia developed over time, we add data from two well-monitored lowland caves in Abkhazia (Fig. 1): Novoafonskaya (New Athos) Cave (43.09°N 40.81°E; 220 m a.s.l.) and Abrskil (Otpaskaya) Cave (42.92°N 41.55°E; 225 m a.s.l.). Reported cave air temperatures and relative humidity range from 12 to 14.5 °C and 98 to 100%<sup>25</sup>, consistent with our ongoing monitoring. Based on a survey of regional weather stations (Figs. S1), we estimate that monthly temperature is 8.5–12.5 °C warmer at the lowland sites (10.4 °C warmer annually) compared to the Sarma Cave entrance. Correcting for potential evapotranspiration (P–PET<sup>26</sup>), the winter half-year (October–March) precipitation contributes up to ~76% of infiltrating water at all three caves sites, from which we infer that cold-season precipitation and climate should similarly dominate the paleoclimatic signal in lowland sites. This conclusion is supported by  $\delta^{18}\text{O}$  measurements of dripwater in Sofular, Novoafonskaya, and Abrskil caves, whose mean values fall within 0.05‰, 0.08‰, and 0.43‰ respectively, of modeled dripwater  $\delta^{18}\text{O}$  using a P–PET correction (see Table S1 and Fig. S3, and Supplementary Discussion 2). Modeled dripwater  $\delta^{18}\text{O}$  in Sarma Cave further agrees with observed  $\delta^{18}\text{O}$  in speleothem calcite, assuming equilibrium precipitation (Fig. S1e and Table S1;<sup>26</sup>). The proxy bias toward cold-season precipitation is important, because climatic and environmental changes during the LGM winter would likely have a greater impact on human habitability of the region. While LGM changes in seasonality could result in a weakened infiltration cold-season bias, our estimates that ~76% of water infiltrates during the winter half-year is supported by a simulated LGM climatology<sup>27</sup>.

**Controls on meteoric  $\delta^{18}\text{O}$  in Abkhazia.** To understand the climatic significance of temporal variations in  $\delta^{18}\text{O}$  at our cave sites, we investigated links between local monthly  $\delta^{18}\text{O}$  in



**Fig. 1 Cave and archeological sites in the Caucasus.** Map showing streamlines of the mean wind field at 200 hPa from the ERA5 dataset covering 1970 to 2022<sup>66</sup>. Zoomed in map shows the locations of archeological sites as purple dots (KC (Korotkaya Cave), AK (Akhshtyrskaya Cave), A3 (Aghitu 3 Cave), SC (Satsurbliya Cave), MC (Mezmaiskaya Cave), and WC (western Caucasus including: Dzudzuana, Bondi, Ortvale and Kotias Klde). Cave sites used for paleoclimate studies are shown as orange hexagons: SAR (Sarma Cave), ABR (Abrskil Cave), and NA (Novoafonskaya Cave).



**Fig. 2 Large-scale circulation patterns for Abkhazia.** Gridded correlation between (a) winter (DJF) and (b) summer (JJA)  $\delta^{18}\text{O}_p$  at Sarma Cave and 500-hPa Geopotential height (NCEP/NCAR reanalysis<sup>30</sup>). Monthly  $\delta^{18}\text{O}_p$  values are taken from the IsoGSM2 dataset<sup>29</sup>, with means plotted in Fig. S1d. Dashed and dotted lines indicate areas of 95% and 99% statistical significance, respectively.  $\delta^{18}\text{O}_p$  exhibits a strong correlation with the mid-tropospheric dipole in winter, but not summer.

precipitation ( $\delta^{18}\text{O}_p$ ) from the Global Network of Isotopes in Precipitation/Rivers (GNIP/GNIR)<sup>28</sup>, and atmospheric data using the IsoGSM2 and National Centers for Environmental Prediction/National Center for Atmospheric Research (NCEP/NCAR) reanalysis datasets, over the common period of 1979–2016 C.E.<sup>29,30</sup>. Monthly  $\delta^{18}\text{O}_p$  exhibits a significant ( $p < 0.001$ ) positive correlation with temperature from October to April that is strongest in March ( $r = 0.62$ ), whereas any ‘temperature effect’ on warm-season  $\delta^{18}\text{O}_p$  is likely obscured by terrestrial moisture recycling. Winter (December to February (DJF))  $\delta^{18}\text{O}_p$  is strongly controlled by a mid-tropospheric dipole between the study site and the northeast Atlantic Ocean (Fig. 2). This dipole

broadly mimics and overlaps with the East Atlantic/West Russia pattern<sup>31</sup>, a blocking feature<sup>32</sup> whose positive phase is characterized by positive 500 hPa geopotential height anomalies over the eastern Atlantic Ocean and negative anomalies over western Russia. Monthly temperature along the eastern Black Sea coast is strongly anticorrelated with the East Atlantic/West Russia index at both low- and high-altitude stations (Fig. S2). This observation indicates enhanced northwesterly circulation towards our cave sites during positive East Atlantic/West Russia phases, resulting in lower temperatures and negative  $\delta^{18}\text{O}_p$  anomalies, while the opposite is true for enhanced southwesterly circulation.

Thus, two cold-season temperature controls on Sarma  $\delta^{18}\text{O}_p$  should be considered in paleoclimate interpretations: First, Rayleigh distillation along moisture trajectories is enhanced at lower regional temperature, resulting in lower  $\delta^{18}\text{O}_p$ , and vice versa. Second, the relative contribution of moisture from the North Atlantic and Mediterranean basins, driven by the frequency of circulation modes (Fig. S2; primarily the East Atlantic/West Russia), alters  $\delta^{18}\text{O}_p$  at our cave sites. Because the East Atlantic/West Russia pattern emanates from Rossby wave propagation across western Eurasia and is sensitive to upstream meridional dipoles such as the North Atlantic Oscillation (NAO)<sup>31</sup>, persistent shifts in jet stream position and/or baroclinic forcing via sea surface temperatures (SST) or sea ice dynamics in the North Atlantic sector are plausibly recorded as long-term variations in Sarma Cave  $\delta^{18}\text{O}_C$ .

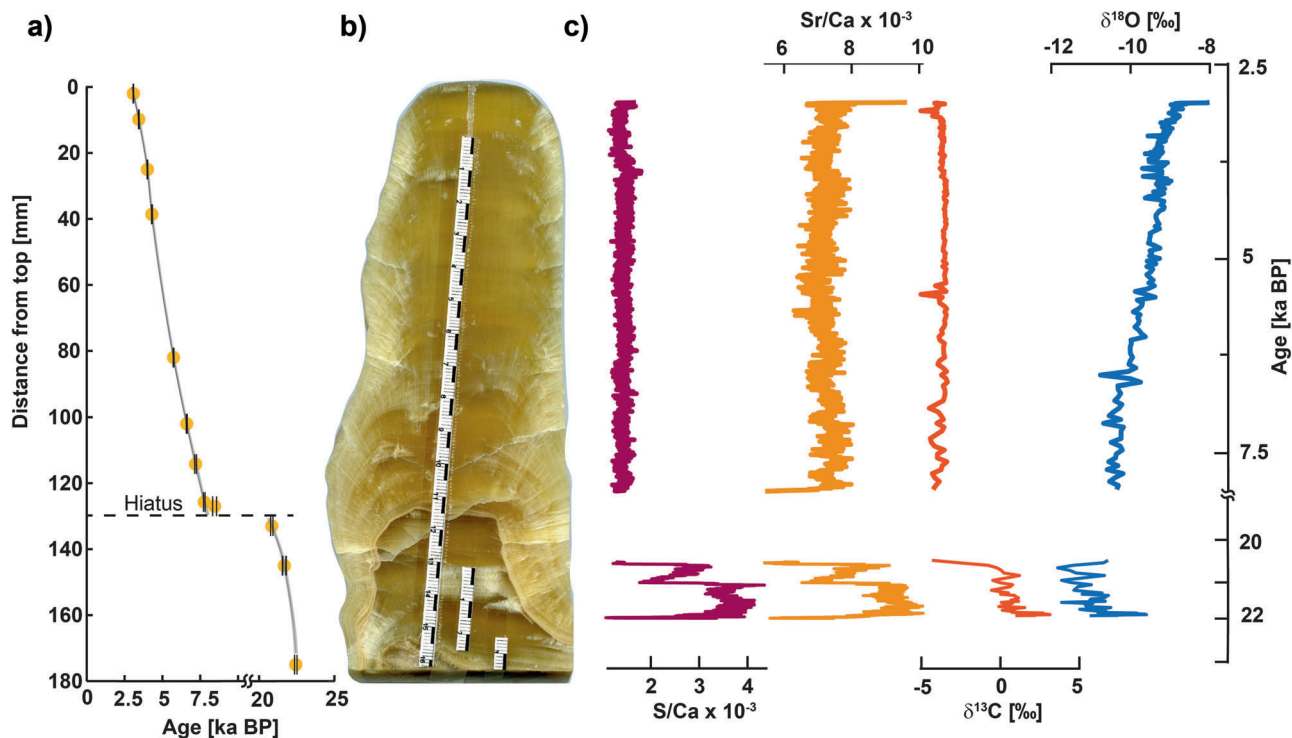
## Results

**Speleothem  $\delta^{18}\text{O}$  records from Abkhazia.** Stalagmite SAR-12-1, collected 100 m below surface in Sarma Cave, is ca. 18 cm long and consists of yellowish-brown calcite (Fig. 3b). U/Th dating reveals that the sample grew between ca. 22.4 ka BP and 3 ka BP (Fig. 3a and Table S3). A hiatus is visible in the lower section, confirmed by the U/Th dating, which separates the LGM section from the Holocene growth interval. The older part of SAR-12-1 was deposited between 22.4 and 20.9 ka BP (i.e., during the LGM), while the section above the hiatus spans a 5.5 ka long interval from Early to Late Holocene (ca. 8.5 to 3 ka BP) (Fig. 3). The U/Th dates indicate that the growth rate (ca. 25  $\mu\text{m}/\text{year}$ ) did not differ strongly between LGM and Holocene. In addition to the high-resolution proxy time series ( $\delta^{18}\text{O}$ ,  $\delta^{13}\text{C}$ , Sr/Ca, S/Ca) from Sarma Cave, we report single-point stable isotope ( $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$ ) analyses of 23 U/Th dated microcores (see “Methods” section) from Novoafonskaya and Abrskil cave stalagmites, which collectively span from 32.4 ka to 0 (Table S4).

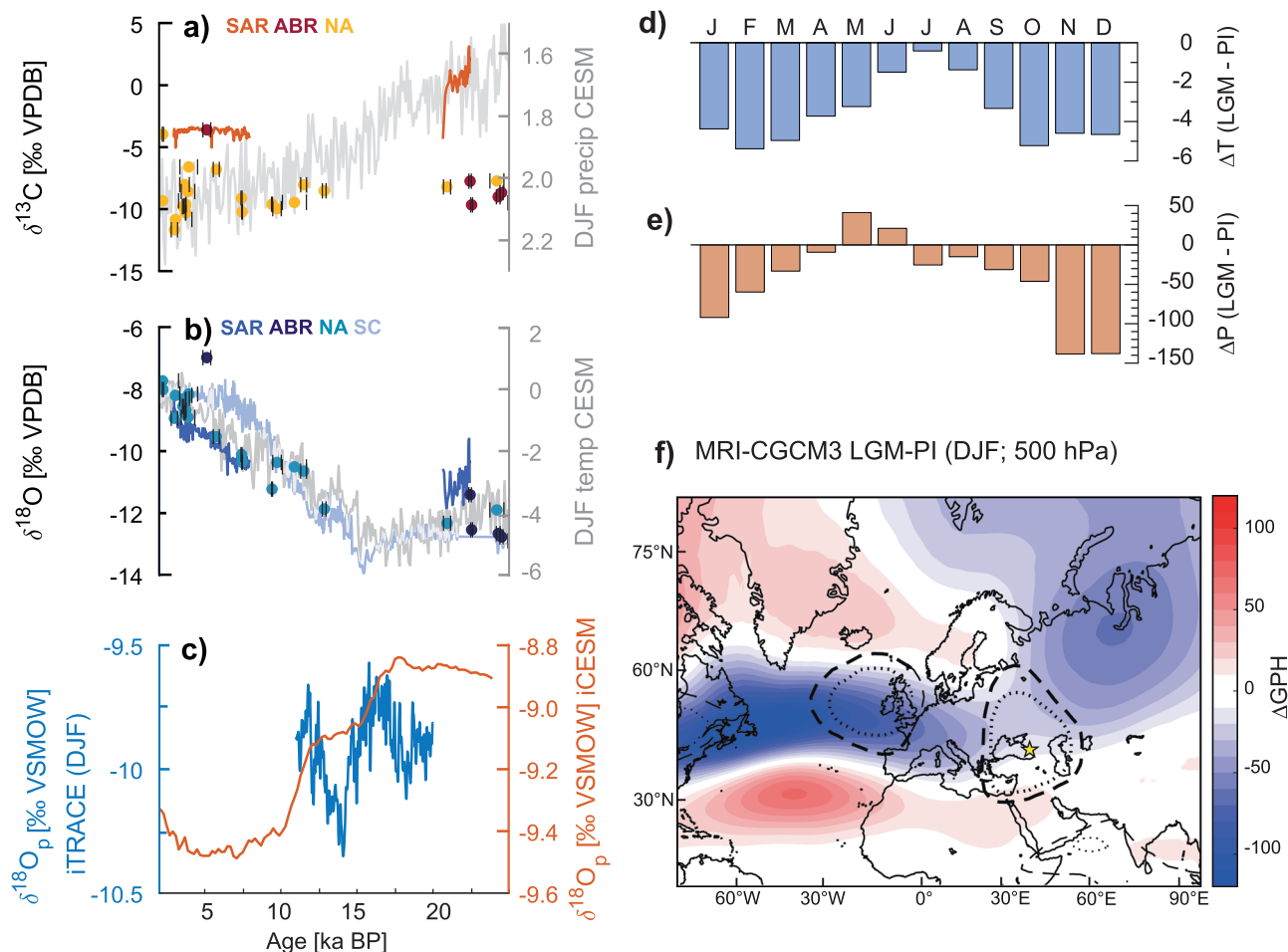
LGM  $\delta^{18}\text{O}$  values of SAR-12-1 range from  $-9.6\text{‰}$  to  $-11.8\text{‰}$  (Fig. 3c), which is lower than during the Holocene, when  $\delta^{18}\text{O}$

values range between  $-8.0\text{‰}$  and  $-10.8\text{‰}$  and gradually increase towards a maximum value of  $-8\text{‰}$  at ca. 3 ka BP. These trends are corroborated by Novoafonskaya and Abrskil  $\delta^{18}\text{O}$  data (Fig. 4), which range from  $-12.9\text{‰}$  at 26 ka to  $-8.3\text{‰}$  in the late Holocene. Holocene values between the alpine and lowland sites are unexpectedly similar, given that meteoric  $\delta^{18}\text{O}_p$  at Sarma Cave should be  $>2\text{‰}$  lower compared to Novoafonskaya and Abrskil, due to the difference in elevation (assuming a 2.15 $\text{‰}/\text{km}$  lapse rate<sup>28</sup>). Apparently, that altitudinal difference is offset by enhanced fractionation during calcite precipitation associated with the  $\sim 9^\circ\text{C}$  lower cave air temperature observed at Sarma Cave (Table S1). Modern  $\delta^{18}\text{O}_C$  values in Novoafonskaya and Abrskil caves, however, are within uncertainty of equilibrium values predicted from observed dripwater  $\delta^{18}\text{O}$  and temperature<sup>33</sup> (Fig. S3). We thus conclude that SAR-12-1 stalagmite captures a long-term  $\delta^{18}\text{O}_p$  and climatic signal. Unless the local lapse rate deviates from that of the wider Western Caucasus region<sup>28</sup>, this signal was modified systematically by internal cave processes such as Prior Carbonate Precipitation (PCP) and kinetic effects known to increase  $\delta^{18}\text{O}$  fractionation between the dripwater and stalagmite carbonate<sup>34,35</sup>.

All our Abkhazian datasets are broadly coherent with the  $\delta^{18}\text{O}$  timeseries from Sofular Cave on the southern Black Sea coast of Turkey<sup>36</sup> (Fig. 4). However, we find several deviations among the records: For example, the sign-reversal of the isotopic offset between Sarma and Sofular speleothems during the LGM, for which Sarma speleothem  $\delta^{18}\text{O}$  is systematically higher by 1–2 $\text{‰}$ . Higher LGM values cannot feasibly be attributed to a change in moisture source, since they are not observed in Novoafonskaya and Abrskil data, which are instead similar to those at Sofular Cave. To explain this discrepancy, we consider (1) substantial changes in the LGM climatology on the Arabika Plateau, and/or (2) enhanced PCP in Sarma Cave, relative to the lowland sites. The MRI-CGCM3 simulation shows regional LGM cooling of  $3.6^\circ\text{C}$  (mean annual surface air temperature; Fig. 4d), within uncertainty of the PMIP4 ensemble<sup>18</sup>. More importantly the



**Fig. 3 Sarma Cave multi-proxy record.** **a** Age-depth model of SAR-12-1, **b** scan of SAR-12-1, **c** proxy time series for SAR-12-1. All local proxies ( $\delta^{13}\text{C}$ , Sr/Ca, and S/Ca) are characterized by high LGM values and low Holocene values, while the opposite is true for  $\delta^{18}\text{O}$ .



**Fig. 4 Simulations and proxy records since the LGM.** Carbon (a) and oxygen (b) isotope records of SAR (Sarma, highland), ABR, and NA caves (lowland). Gray lines indicate winter (DJF) precipitation (a) and winter (DJF) temperature (b) simulated by CEM transient model outputs. Dots show individual samples from NA and ABR caves (U/Th age and mean of duplicate isotope measurements) including 2- $\sigma$  age uncertainties (black lines), whereas line plots show time series for each isotope record. The gray line in b shows the oxygen-isotope data from Sofular Cave<sup>36</sup> (SC). c Transient simulations of  $\delta^{18}\text{O}$  in precipitation based on iTRACE<sup>62</sup> and iCESM<sup>52</sup> at Sarma cave. MRI-CGCM3<sup>27</sup> monthly mean change in temperature (d) and precipitation (e) between LGM and PI simulations. f MRI-CGCM3 LGM-PI (DJF; 500 hPa) anomalies in wintertime (DJF) atmospheric structure. Color shading shows the gridded difference in DJF 500-hPa geopotential height between LGM and PI simulations. Dashed and dotted lines are the same as those in Fig. 2a, outlining the modern correlation to a mid-tropospheric dipole that influences  $\delta^{18}\text{O}_p$  at our cave sites.

winter (DJF) anomaly is substantially larger than for summer (June to August (JJA)), i.e., 4.8 °C vs. 1.1 °C, and is associated with a nearly 50% reduction in DJF precipitation (Fig. 4e). Such a shift in the seasonality in precipitation (along with cooler summers) would enhance the relative contribution of summer precipitation to groundwater infiltration, thereby increasing the  $\delta^{18}\text{O}$  in dripwater. The modeled reduction in DJF precipitation may also have been exacerbated at high elevation, where modern mean winter temperature is already near  $-5\text{ °C}$ <sup>21,22</sup>. To isolate the potential influence from disproportionate changes in monthly T and P between the LGM and preindustrial (PI) climatologies, we recalculated the P-PET correction used to construct the isotope climatology at Sarma Cave (Fig. S1) by referencing the monthly LGM-PI anomalies shown in Fig. 4d-e. With all other factors held constant, the effect of drier winters from LGM cooling should result in a +0.42‰ shift in dripwater  $\delta^{18}\text{O}$ . Even if the seasonality shift were isolated to the Arabika Plateau, it could not plausibly account for the +1.5‰ anomaly in Sarma, relative to Novoafonskaya, Abrskil, and Sofular caves. Thus, we suspect PCP to play a major role and explore Sr/Ca and S/Ca ratios obtained by  $\mu$ -XRF mapping in combination with  $\delta^{13}\text{C}$  to constrain the influence of PCP on speleothem  $\delta^{18}\text{O}$  in Sarma Cave, which we

deem the most likely source of the observed discrepancy between low and high-altitude caves.

**Local hydroclimate proxy data from Sarma Cave.** Our local hydroclimate proxies, Sr/Ca and S/Ca ratios (expressed as X/Ca  $\times 10^3$ ) and carbon isotopes ( $\delta^{13}\text{C}$ ), show similar trends with higher values during the LGM and lower values during the Holocene. Relatively high Sr/Ca ratios occur during the LGM that vary between 5.6 and 10.1 while Sr/Ca values are lower and more stable (between 5.5 and 8  $\times 10^3$ ; Fig. 3c) in the Holocene. Similarly, S/Ca  $\times 10^3$  ratios are much higher during the LGM, with values ranging from 1.1 to 4.4  $\times 10^3$ , whereas values are constantly low (ca. 1.5  $\times 10^3$ ) during the Holocene (Fig. 3c). The trace-element pattern is very similar to the  $\delta^{13}\text{C}$  record, which shows  $\delta^{13}\text{C}$  values ranging from 3.1‰ to  $-4.3\text{‰}$  (mean around 0.6‰) during the LGM and much more stable values ranging from  $-3.3\text{‰}$  to  $-5.0\text{‰}$  (mean of  $-3.7\text{‰}$ ) for the Holocene.

High  $\delta^{13}\text{C}$  values, mostly above 0‰, during the LGM suggest minimal or absent influence of biogenic soil  $\text{CO}_2$ . Increasing  $\delta^{13}\text{C}$  with altitude can reflect sparser vegetation cover and/or soil thickness<sup>34</sup>. The soil above Sarma Cave is poorly developed today and higher speleothem  $\delta^{13}\text{C}$  values likely indicate even more thinning of the soil layer and ceased soil biological activity.

Climate model simulations suggest low regional temperatures of ca.  $-10$  to  $-4$  °C during the LGM compared to present and decreases in precipitation making it likely that biological activity was reduced or absent during the LGM leading to high speleothem  $\delta^{13}\text{C}$ <sup>34</sup>. However, speleothem formation required low pH waters in order to dissolve carbonate host rock. Studies from caves in the Alps at similar altitudes<sup>37</sup> suggest that pyrite oxidation in the karst produces sulfuric acid leading to carbonate dissolution. Similar observations have been made in parts of the Greater Caucasus region<sup>38</sup>, which should also apply to the Upper Jurassic limestone flysch hosting Sarma Cave. Pyrite oxidation releases sulfur which can be incorporated in the speleothem and, thus, we interpret the elevated S/Ca values (LGM relative to Holocene) as higher amounts of pyrite oxidation. Further evidence for reduced biological  $\text{CO}_2$  at our cave site is given by two radiocarbon dates, which suggest a dead carbon fraction of  $\sim 60\%$  in the Holocene and  $95\%$  during the LGM (Table S3). A dead carbon fraction close to  $100\%$  during the LGM indicates that nearly all carbon incorporated in the stalagmite originated from the host rock. For the Holocene, a dead carbon fraction of  $\sim 60\%$  indicates that carbonate dissolution is the result of both, pyrite oxidation and presence of organic matter, which is also corroborated by lower mean  $\delta^{13}\text{C}$  values in the Holocene<sup>39</sup>. Additionally, we find a significant positive correlation between  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  ( $r = 0.54$ ,  $p$ -value  $< 0.001$ ) and between Sr/Ca and S/Ca ( $r = 0.91$ ,  $p$ -value  $< 0.001$ ) in SAR-12-1 during the LGM, but not for the Holocene. We observe that temporal trends for all four proxies are similar during the LGM, in particular, between the local proxy records ( $\delta^{13}\text{C}$ , Sr/Ca, and S/Ca). However, uncertainties in sampling depth and the large discrepancy in sampling resolution preclude a meaningful calculation of statistical confidence for this apparent correlation. It should also be noted here that radiocarbon dating is applied to estimate the dead carbon fraction in SAR-12-1, the dates are not used for age determination. Using radiocarbon dating for age determination in speleothems is highly complex and can only yield useful results when the samples meet specific requirements<sup>40</sup>.

Nevertheless, these similarities suggest that one mechanism is primarily responsible for changes during the LGM in all four proxies. Sr/Ca is usually interpreted as reflecting changes in PCP, where enhanced or longer duration of PCP leads to higher Sr/Ca values and vice versa<sup>41–43</sup>. These PCP-induced changes in Sr/Ca can be associated with simultaneous increases in oxygen and/or carbon isotope values<sup>34,35,44</sup>, thus, can explain the speleothem proxy pattern observed in the LGM. During the Holocene, the co-variability of the proxies decreases, potentially indicating a reduction or absence of PCP during this time.

## Discussion

**Revisiting precipitation  $\delta^{18}\text{O}$  as recorded by speleothems in the Caucasus/Black Sea region.** Based on our model-proxy data comparison (Figs. 4b and S3), we find that Abkhazian stalagmite  $\delta^{18}\text{O}$  documents a pan-regional climate signal, governed principally by winter temperature effects and shifts in the general circulation pattern (Fig. 4). The close relationship between modeled DJF temperature and speleothem  $\delta^{18}\text{O}$  in both Sofular and our Abkhazian cave records suggest that winter temperature was a key control on  $\delta^{18}\text{O}$  variability in this region over orbital timescales. For the LGM, most  $\delta^{18}\text{O}$  records based on marine sediments from the Mediterranean and the northern Atlantic show increased values compared to the Holocene, similar to model simulations (Figs. S4 and S6). However, our  $\delta^{18}\text{O}$  records from Abkhazia exhibit the opposite trend, with lower stalagmite  $\delta^{18}\text{O}$  values during the LGM compared to the Holocene; a trend also observed at Sofular Cave<sup>36</sup> (Fig. S6). The decline in  $\delta^{18}\text{O}$  values of

Black Sea water during the LGM has been explained by the broken connection to the Mediterranean Sea via the Bosphorus sill, along with increased meltwater influx into the Black Sea and lower  $\delta^{18}\text{O}$  of riverine inputs from northern Eurasia<sup>45,46</sup>. This process led to decreased Black Sea water  $\delta^{18}\text{O}$  values<sup>45,46</sup> and indirectly influenced the long-term  $\delta^{18}\text{O}$  trend at Sofular Cave<sup>36,47</sup>. We emphasize, however, that the Black Sea speleothem  $\delta^{18}\text{O}$  records are strongly consistent with those from central Europe<sup>17,48</sup> and the Ural Mountains<sup>49</sup>, which encompass the Black Sea watershed and are interpreted to reflect cold-season air temperature. The combined moisture-source and temperature effects on continental precipitation over Europe are thus imprinted on speleothem  $\delta^{18}\text{O}$  in the Black Sea region, both directly via delivery of North Atlantic moisture or indirectly via evaporation from the Black Sea, which receives predominantly cold-season runoff from continental Europe. These moisture source dynamics are not yet captured in current simulations, and the model-proxy discrepancy presented here provides a key test to refine those in future.

Further, we find some evidence that the Black Sea  $\delta^{18}\text{O}$  did not ubiquitously or uniformly influence  $\delta^{18}\text{O}_p$  at all four cave sites across the LGM–Holocene transition. Holocene  $\delta^{18}\text{O}$  values in SAR-12-1 are systematically more negative by ca.  $1$ – $2\text{‰}$  than Sofular  $\delta^{18}\text{O}$  values. This observed offset is predicted by our modeling of dripwater at each site (Fig. S3), but the magnitude of the offset between Sarma and Sofular  $\delta^{18}\text{O}$  values increases from the Middle to the Late Holocene. By itself, a widening offset could be explained by a site-specific change in the isotopic signal at Sarma Cave or a temporal change in lapse rate. However, neither factor explains how Novoafonskaya and Abrskil  $\delta^{18}\text{O}$  values could exhibit the same Mid-Holocene offset, despite that they replicate Late and Early Holocene, as well as LGM Sofular  $\delta^{18}\text{O}$  values (Fig. 4b). These patterns suggest that instead, moisture source dynamics could have evolved differently between the southern and eastern Black Sea sites, possibly as a function of westerly circulation driven by orbital forcing. For example, an increase in the frequency of northwesterly winter air masses during the Mid-Holocene (analogous to persistent NAO- or SCAN+ conditions) would explain both enhanced rainfall over northwestern Turkey/East Mediterranean Sea<sup>47</sup> and enhanced delivery of  $^{18}\text{O}$ -depleted North Atlantic-derived moisture delivered to the Western Caucasus (Fig. 4f). This interpretation is consistent with relatively low Mid-Holocene  $\delta^{18}\text{O}$  values at Kinderlinskaya Cave, Russia<sup>49</sup>. We hypothesize that the gradient between coeval  $\delta^{18}\text{O}$  series from each region provides information to constrain westerly wind dynamics over continental Eurasia. Our results provide a novel interpretation of  $\delta^{18}\text{O}$  in rainfall recorded in paleoclimate records in this region and highlight that  $\delta^{18}\text{O}$  records capture a pan-regional signal beyond changes in the oxygen-isotope composition of the Black Sea.

While all speleothem  $\delta^{18}\text{O}$  records exhibit relatively low  $\delta^{18}\text{O}$  values in the LGM, reflecting low temperatures compared to the Holocene, LGM  $\delta^{18}\text{O}$  values in the Sofular, Novoafonskaya and Abrskil records have almost no offset, as observed similarly in Late Holocene values. These similarities between lowland  $\delta^{18}\text{O}$  records during the LGM and Late Holocene, combined with LGM climate model simulations compared to PI, show that the East Atlantic/West Russia pattern likely dominated the atmospheric circulation in this region during the LGM (Fig. 4b, f). Thus, we propose that while air temperature was much lower than today during the LGM, the general circulation patterns likely resembled those of today.

**Paleoclimatic context of human occupation and expansion through the Western Caucasus.** A key question in human evolution relates to the mechanisms which drove the global expansion of early *Homo* from Africa to the subsequent population of Eurasia. The Caucasus being a fluid region of intensive human

migrations and climate fluctuations over the Pleistocene is a relevant model to generate a mechanistic understanding of human dispersal patterns and strategies as well as human response to climatic shifts and resulting changes in the environment. Here, we present reconstructions of local past climate and vegetation in Abkhazian to elucidate environmental conditions during this key period of human evolution.

Supported by the trace-element record, we interpret  $\delta^{13}\text{C}$  as reflective of soil thickness, microbial activity, and vegetation cover, which collectively modulate the contribution of soil-respired  $\text{CO}_2$  to carbonate dissolution in the epikarst<sup>34</sup>.  $\delta^{13}\text{C}$  values are nearly constant from 8.5 to 3 ka BP, without any major shifts (Fig. 3c), suggesting a stable soil thickness and no large changes in vegetation cover. Precipitation reconstructions from the Southern Caucasus, based on pollen data<sup>50</sup>, suggest that annual and summer precipitation show similar trends to our  $\delta^{13}\text{C}$  record, with constant values from 9 ka BP on. Indeed, the Holocene onset in growth at Sarma Cave coincides with a major increase in annual precipitation and increased cold season temperatures recorded in the Southern Caucasus<sup>50,51</sup>. Model and proxy data<sup>52,53</sup> further suggest that the Late Glacial was characterized by even colder temperatures than the LGM (Fig. S4). While we have no certainty about the hiatus observed in our Sarma Cave record, it may be related to freezing of the soil, preventing water from entering the cave. However, a comparison with other paleoclimate reconstructions in the wider region is difficult, because of the seasonal biases in archives<sup>54</sup>, e.g. reconstructions from lake Van are biased towards spring/summer moisture, whereas Sarma Cave primarily reflects a winter signal.

The much higher LGM  $\delta^{13}\text{C}$  values at Sarma Cave are essentially equal to that of the Jurassic bedrock, which combined with a dead carbon fraction of ~95% suggests minimal to no presence of active soils and vegetation – i.e., a carbon pool derived almost entirely from inorganic carbonate bedrock. During the LGM, the Sarma Cave record thus indicates very dry and potentially cold conditions, with negligible or absent soil cover, most likely unsuitable for plant growth, even meadows (Fig. 4a). Holocene  $\delta^{13}\text{C}$  values and radiocarbon results on the other hand, reflect atmospheric and soil-carbon contributions of ~35%, consistent with the modern meadow landscape and thin, unfrozen soils. Carbon-isotope data from Abrskil and Novoafonskaya caves do not follow this trend; instead, values are relatively low (ca. –9‰) and remain similar during the LGM and Holocene (Fig. 4a), suggesting no major changes in soil cover and vegetation over this time period. Our records thus indicate that low-altitude regions in Abkhazia were hydroclimatically and ecologically stable from LGM to Holocene and provided a favorable stable microenvironment enabling species to persist during these climatic extremes. This interpretation is also supported by modeling of summer-green tree refugia during the LGM<sup>4</sup>. A distinct characteristic of the pockets of relatively stable warm climate is the presence of Colchic and Hyrcanian forests, which provided a habitat for Tertiary relict plants and animals<sup>55–57</sup>. Sporadically dispersed patches of mesophilic Cenozoic plants currently observed between the Caspian and Black seas<sup>58</sup>, support our findings and suggest the existence of multiple spatially confined refugia during the LGM. Furthermore, rich zooarchaeological records of the region indicate that the onset of the LGM did not cause a major turnover in faunal diversity further supporting the refugia hypothesis. Local Upper Paleolithic sequences have many temporal and spatial gaps, reflecting a hiatus in human occupation during the LGM. Yet, both sides of the Greater Caucasus were repopulated as early as ca. 20 ka<sup>6</sup>, suggesting that anatomically modern humans likely survived these harsh climate conditions and quickly repopulated the region. Our proxy records give evidence that humans could have retreated into coastal, low-altitude refugia when climate conditions became less favorable.

Here, we compare LGM climatic conditions at the Abkhazian coast and the Arabika plateau, which are the most extreme

endmembers for low and high-altitude sites. While it is unlikely that anatomically modern human groups lived in shelters directly on top of the Arabika plateau, we use our comparison to show that there is a disproportional change in LGM climate in high-altitude locations, which is not pronounced at the coastal sites. We provide insights into the effects of large-scale climate shifts on local hydrology and vegetation and highlight that migration may have had a crucial role in sheltering animal and human groups in the Caucasus region during the LGM.

## Materials and methods

**$^{230}\text{Th}$  (U/Th) dating.** All  $^{230}\text{Th}$  dating was performed at the Isotope Laboratory of Xi'an Jiaotong University (China) using a multi-collector inductively coupled plasma mass spectrometer (MC-ICP-MS) (Thermo-Finnigan Neptune-plus). We used standard chemistry procedures to separate uranium and thorium for dating. A triple-spike ( $^{229}\text{Th}$ – $^{233}\text{U}$ – $^{236}\text{U}$ ) isotope dilution method was employed to correct for instrumental fractionation and determine U/Th isotopic ratios and concentrations. The instrumentation, standardization, and half-lives are reported in Cheng et al. (2013)<sup>59</sup>. Uncertainties in U/Th isotopic data were calculated offline at the  $2\sigma$  level, including corrections for blanks, multiplier dark noise, abundance sensitivity, and contents of the same nuclides in spike solution. Corrected  $^{230}\text{Th}$  ages assume an initial  $^{230}\text{Th}/^{232}\text{Th}$  atomic ratio of  $4.4 \pm 2.2 \times 10^{-6}$ , the value for material at secular equilibrium with a bulk earth  $^{232}\text{Th}/^{238}\text{U}$  value of 3.8.

**Radiocarbon dating.** Two samples (~20 mg) were drilled with a Dremel and the powder samples were leached to reduce weight by 50% with diluted HCl prior to hydrolysis, which was performed with 85% phosphoric acid. Sample preparation backgrounds have been subtracted, based on measurements of  $^{14}\text{C}$ -free calcite. Radiocarbon concentrations are given as fractions of the Modern standard,  $\Delta^{14}\text{C}$ , and conventional radiocarbon age, following the conventions of Stuiver and Polach (1977)<sup>60</sup>. All results have been corrected for isotopic fractionation<sup>60</sup>. Measurements were performed at the Keck Carbon Cycle Accelerator Mass Spectrometer Facility at the University of California, Irvine.

**SAR-12 proxy record.** Seven subsamples from SAR-12-1 were analyzed for U/Th dating. For  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  measurements, 288 powder samples were manually drilled every 0.1 mm to 1.0 mm and analyzed on a IsoPrime 100 mass spectrometer equipped with a Multi-Prep device at the Institute of Earth Environment of the Chinese Academy of Sciences. The external analytical uncertainty of the stable isotope analysis is ca. 0.08‰ for both,  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$ . Micro-X-ray fluorescence ( $\mu\text{XRF}$ ) mapping with a 50  $\mu\text{m}$  resolution was acquired using a Bruker M4 Tornado  $\mu\text{XRF}$  scanner at the GFZ German Research Centre for Geosciences. Element intensity records of S, Ca, and Sr (in counts per second, cps) were retrieved from the element maps and reported as Sr/Ca and S/Ca ratios from this stalagmite.

The age model for the proxy record of SAR-12-1 was calculated in COPRA, using 2000 Monte-Carlo simulations to account for age uncertainties<sup>61</sup>.

**Sampling of Novoafonskaya and Abrskil caves.** Preliminary fieldwork conducted in August 2019 sought to constrain the range of speleothem growth within Novoafonskaya and Abrskil caves, along with their suitability to paleoclimate reconstruction. Because both caves are highly touristic and important to the local economy, we avoided the removal of in situ stalagmites that might alter cave aesthetics. Instead, we employed a minimally invasive technique to recover 150–250 mg of calcite powder from a suite of stalagmite cores for U-Th dating and stable-isotope

analysis. For sampling, a 2-mm drill bit was used to bore either vertically or horizontally toward the growth axis (when practical, top and bottom samples were taken from single stalagmites). For top samples, the outer ~1 mm of material was discarded to minimize environmental contamination; for bottom samples, material from the flank of the stalagmite was discarded to avoid mixing of layers and potential disequilibrium effects. Drill bits were also washed thoroughly between sampling to minimize cross contamination. Once the powder was collected, the 2-mm hole was plugged with clay to conceal it.

Of all stalagmites sampled, a total of 35 calcite powders from 23 individual stalagmites yielded  $^{230}\text{Th}$  ages from the LGM to Present and are reported here (Table S2). Three samples are excluded from this table due to exceptionally high  $^{232}\text{Th}$  concentrations. Several of the reported samples yielded  $^{230}\text{Th}/^{232}\text{Th}$  ratios  $<50$  (Table S4), which likely was influenced by the incorporation of cave water during sampling. Although uncertainties from detrital-Th corrections are higher than acceptable for precise age modeling, they are inconsequential to our interpretations herein.

Each calcite powder was then analyzed in duplicate for  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  on a Thermo-Scientific MAT-253-plus mass spectrometer coupled with an on-line carbonate preparation device (Kiel-IV) at the Isotope Laboratory, Xi'an Jiaotong University (China). Values were corrected to in-house and external standards, from which the external analytical uncertainty of  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  was determined to be 0.08 and 0.10‰, respectively. We report the mean and standard deviation of duplicate analyses in Table S4.

**Climate model simulations and  $\delta^{18}\text{O}$  calculation.** In order to identify underlying drivers of  $\delta^{18}\text{O}$  variability in the Caucasus region we employ the output of several models and experiments. However, we do not perform any of the experiments in this study and, thus, we refer the reader to the previous studies for a full description of the experimental setups. We chose the model output based on suitability, resolution, and available variables.

Firstly, we use data produced by Timmerman et al., 2022, using the Community Earth System Model (CESM), version 1.2, which is forced by time-varying greenhouse gases, ice sheets and insolation conditions to understand the impacts of changes in seasonal precipitation and temperature. Second, we compare our proxy data to output from two transient isotope-enabled models (iTRACE<sup>62</sup> and iCESM<sup>52</sup>). iTRACE is a water isotope-enabled transient simulation covering the last deglaciation between 20 and 11 kyrs BP (50). iTRACE simulations are performed in iCESM1.3<sup>63</sup>, which encompasses the Community Atmosphere Model version 1.3 (CAM5.3), the Parallel Ocean Program version 2 (POP2), the Los Alamos Sea Ice Model version 4 (CICE4), and the Community Land Model version 4 (CLM4). The iCESM data from Osman et al., 2021<sup>52</sup> is based on the isotope-enabled Community Earth System Model, versions 1.2 and 1.3 (iCESM1.2 and iCESM1.3), and paleoclimate data assimilation. For validation, we also show data from the Transient Climate of the Last 21,000 Years Simulation (TraCE-21ka)<sup>64</sup> and ECBilt-CLIO<sup>65</sup>.

### Data availability

All data are available in the main text, the supplementary materials or online at: <https://doi.org/10.5281/zenodo.10152032>. The climate model output used in this study can be accessed at: TraCE21ka: <https://www.earthsystemgrid.org/project/trace.html>. iTRACE: <https://www.earthsystemgrid.org/dataset/ucar.cgd.cesm4.iTRACE.html>. ECBilt-CLIO: <http://apdr.csoest.hawaii.edu/dataset/ecbilt-clio-control.php>. IsoGSM2: <http://isotope.iis.u-tokyo.ac.jp/~kei/tmp/isogsm2/>. CESM: <https://www.cesm.ucar.edu/models/cesm2>

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## Competing interests

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## Additional information

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