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## The relevance of degenerate states in chiral polaritonics

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*J. Chem. Phys.* 161, 244101 (2024)

<https://doi.org/10.1063/5.0235935>



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Cite as: J. Chem. Phys. 161, 244101 (2024); doi: 10.1063/5.0235935

Submitted: 30 August 2024 • Accepted: 5 December 2024 •

Published Online: 23 December 2024



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**Note:** This paper is part of the JCP Special Topic on Polaritonics for Next Generation Materials.

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## ABSTRACT

In this work, we theoretically explore whether a parity-violating/chiral light–matter interaction is required to capture all relevant aspects of chiral polaritonics or if a parity-conserving/achiral theory is sufficient (e.g., long-wavelength/dipole approximation). This question is non-trivial to answer since achiral theories (Hamiltonians) still possess chiral solutions. To elucidate this fundamental theoretical question, a simple GaAs quantum ring model is coupled to an effective chiral mode of a single-handedness optical cavity in dipole approximation. The bare matter GaAs quantum ring possesses a non-degenerate ground state and a doubly degenerate first excited state. The chiral or achiral nature (superpositions) of the degenerate excited states remains undetermined for an isolated matter system. However, inside our parity-conserving description of a chiral cavity, we find that the dressed eigenstates automatically (*ab initio*) attain chiral character and become energetically discriminated based on the handedness of the cavity. In contrast, the non-degenerate bare matter state (ground state) does not show energetic discrimination inside a chiral cavity within a dipole approximation. Nevertheless, our results suggest that the handedness of the cavity can still be imprinted onto these states (e.g., angular momentum and chiral current densities). Overall, the above findings highlight the relevance of degenerate states in chiral polaritonics. In particular, because recent theoretical results for linearly polarized cavities indicate the formation of a frustrated and highly degenerate electronic ground state under collective strong coupling conditions, which, likewise, is expected to form in chiral polaritonics and, thus, could be prone to chiral symmetry breaking effects.

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## I. INTRODUCTION

The notion of chirality, i.e., that an object cannot be made identical to its mirror image upon rotations and translations, is connected to various concepts and ideas in physics and chemistry. For instance, an *achiral theory* (e.g., described by a Hamiltonian invariant under parity transformations) can have *solutions with chiral character* such as in the case of the Dirac equation.<sup>1,2</sup> One possible way for an achiral theory to attain chiral solutions is by spontaneous symmetry breaking, e.g., by degeneracies that allow the system to choose a solution that breaks the parity symmetry of the theory.<sup>3,4</sup> The concept of spontaneous symmetry breaking (not necessarily leading to chirality) is ubiquitous in (theoretical)

chemistry. For instance, when we localize a molecule and separate off translations and rotations, the free-space symmetry is broken. On the other hand, for *chiral theories*, parity symmetry is explicitly broken and so a discrimination is already evident on a Hamiltonian level.<sup>4</sup> In other words, a chiral theory treats one mirror image different from the other. In chemistry, chiral theories (Hamiltonians) are typically applied to describe a chiral subsystem of interest. That is, while the whole system (universe) is described by an achiral theory such as standard non-relativistic quantum mechanics (having electrons, nuclei/ions quantized and only interacting via the Coulomb interaction in free space), this symmetry is explicitly broken within a (localized) subsystem. This can happen, for

instance, when performing the Born–Oppenheimer approximation and localizing the nuclei/ions or when applying external parity-violating fields. It is on the Born–Oppenheimer level that chiral molecules (named *enantiomers*) are usually investigated. However, because they are approximations to an achiral theory, no energy discrimination can be described without a further external mechanism (e.g., a chiral cavity). Based on this preliminary discussion, we note that there is no straightforward unified theoretical approach to describe/investigate and possibly modify chiral chemistry (i.e., the handedness of the solutions). *A priori* both a chiral and an achiral theory might be possible to describe a situation of chemical interest. Things become even more fuzzy if we take into account that chiral properties also depend on the dimensionality, spacetime, and the chosen representations of symmetries.<sup>3,4</sup>

Irrespective of these theoretical subtleties, matter systems that exhibit chirality are crucial in many fields of natural science.<sup>5</sup> Specifically in chemistry, molecules that exhibit chirality (enantiomers) are of central importance. While enantiomers have similar chemical and physical properties, they react differently when interacting with other chiral objects. Hence, controlling and differentiating between enantiomers is important in chemical synthesis. To do so, it is common to use chiral solvents or substrates, but this practice is often expensive and, in many cases, not environmentally friendly.<sup>6,7</sup> An alternative is to use chiral optical fields. For instance, circularly polarized light is used for detecting chiral substances<sup>8–10</sup> and theoretical as well as experimental works show its potential to control chemical processes enantioselectively.<sup>11–13</sup> More recently, the idea of using optical cavities with chiral modes (chiral cavities) has been considered a promising tool for enantiomeric discrimination and manipulation.<sup>14–22</sup> Of specific interest is the case of *collective strong coupling*,<sup>23–26</sup> where a large ensemble of molecules is coupled to the modes of a cavity.

As can be anticipated from the previous theoretical ambiguities, there is no straightforward and unified approach to choosing a Hamiltonian that captures all chemically relevant aspects of a molecular ensemble that couples strongly to a chiral cavity. A specific theoretical aspect that we want to investigate in this work is whether we need to use an explicit parity-violating light–matter coupling term in the Hamiltonian or whether certain chiral properties can also be captured due to solutions with parity-conserving light–matter coupling terms. In particular, choosing a parity-conserving light–matter coupling term may be computationally beneficial when aiming for collective strong-coupling simulations with large molecular ensembles. The prime example of such a computationally efficient parity-conserving coupling term, even for chiral cavity modes, is the *long-wavelength/dipole light–matter coupling*.<sup>27</sup> The obvious advantage of a parity-violating light–matter coupling term for polaritonics is that it allows us to energetically discriminate between different enantiomers in a chiral cavity even for non-degenerate states. However, if the light–matter coupling term is parity conserving, i.e., the interaction with a chiral cavity transforms back onto itself under parity, then both enantiomers will have the same energy in the chiral cavity. Nevertheless, this only tells that there is no energetic discrimination for non-degenerate states, but still the wave function or other observables may have a chiral character. Furthermore, since we are usually interested in the collective-coupling case, which implies highly degenerate ensemble states,<sup>28</sup> cavity-induced spontaneous symmetry breaking becomes plausible. This, in turn, can

affect the chiral properties of the solutions and might become the dominating effect for chiral polaritonics. In other words, even a parity-conserving coupling term might be enough to capture the essentials of chiral polaritonics in the collective case.

Taking a step toward this direction, in this work, we consider a simple toy system and investigate to which extent we need a parity-violating light–matter coupling term to describe/control chiral properties. We do so for an achiral matter system that is coupled to a single-handed chiral cavity field,<sup>17,18</sup> within the *local strong coupling* regime. That is, we do not consider a large ensemble that couples collectively to the cavity mode, but instead a single entity that couples strongly. To be specific, we consider a two-dimensional quantum ring that couples to an effective single-mode cavity. This can be taken as a model for a single quantum ring coupled strongly to a micro- or nano-cavity or a single quantum ring out of an ensemble under collective strong coupling conditions. In the latter case, it has been shown numerically that disorder can induce non-negligible local effects<sup>28,29</sup> that one can mimic by computationally accessible single entity strong coupling simulations. We will investigate how various observables behave and to which extent they differ between a parity-violating and parity-conserving light–matter coupling. In the end, we will discuss to which extent these results can help to anticipate what happens for more realistic setups and in the collective-coupling case of chiral polaritonics.

## II. THEORY AND METHODOLOGY

In the following, we consider the usual Pauli–Fierz description ubiquitous in polaritonics, but discard, for simplicity, the Stern–Gerlach term.<sup>30</sup> This leads for a single quantized particle to

$$\hat{H} = \frac{1}{2m} \left( -i\hbar\nabla + \frac{|e|\hbar}{c} \hat{\mathbf{A}}(\mathbf{r}) \right)^2 + V_{\text{ext}}(\mathbf{r}) + \sum_{\alpha} \hbar\omega_{\alpha} \left( \hat{a}_{\alpha}^{\dagger} \hat{a}_{\alpha} + \frac{1}{2} \right), \quad (1)$$

where  $m$  is the mass of the charged particle,  $|e|\hbar$  is its charge, and the free-space field consists of  $\alpha = (\mathbf{k}, s)$  modes for the wave number  $\mathbf{k}$  and polarization  $s$  in agreement with the allowed wave numbers of the matter system, to reflect the fundamental gauge principle.<sup>30</sup> Here, the consistent vector potential operator (for three dimensions) is

$$\hat{\mathbf{A}}(\mathbf{r}) = \sum_{\mathbf{k},s} \underbrace{\sqrt{\frac{\hbar c^2}{\epsilon_0 L^3} \frac{1}{\sqrt{2\omega_{\mathbf{k}}}}}}_{=\hat{\mathbf{A}}_{\mathbf{k},s}(\mathbf{r})} \left( \boldsymbol{\epsilon}_{\mathbf{k},s} \hat{a}_{\mathbf{k},s} e^{i\mathbf{k}\cdot\mathbf{r}} + \boldsymbol{\epsilon}_{\mathbf{k},s}^* \hat{a}_{\mathbf{k},s}^{\dagger} e^{-i\mathbf{k}\cdot\mathbf{r}} \right), \quad (2)$$

where  $L^3$  is the corresponding quantization volume,  $\epsilon_0$  the free-space permittivity, and  $c$  is the free-space speed of light. Moreover,  $\hat{a}_{\mathbf{k},s}$  and  $\hat{a}_{\mathbf{k},s}^{\dagger}$  are the creation and annihilation operators for mode  $(\mathbf{k}, s)$  with frequency  $\omega_{\mathbf{k}} = c|\mathbf{k}|$ , and the chiral transverse (we assume Coulomb gauge) polarization vectors obey<sup>30</sup>

$$\boldsymbol{\epsilon}_{\mathbf{k},\pm} = \boldsymbol{\epsilon}_{\mathbf{k},\mp}^* = -\boldsymbol{\epsilon}_{-\mathbf{k},\mp} \quad \text{and} \quad \boldsymbol{\epsilon}_{\mathbf{k},\pm} \cdot \boldsymbol{\epsilon}_{\mathbf{k},\pm}^* = 1. \quad (3)$$

Thus, we have quantized the photon field in a chiral representation. The connection to the linear-polarized quantization is given by expressing

$$\hat{a}_{\mathbf{k},\pm} = \frac{1}{\sqrt{2}} (\hat{a}_{\mathbf{k},1} \mp i\hat{a}_{\mathbf{k},2}), \quad (4)$$

with  $\hat{a}_{\mathbf{k},l}$  the linearly polarized annihilation operators, where  $l \in \{1,2\}$ , and accordingly for the creation operators. For the linearly polarized polarization vectors, we choose explicitly  $\boldsymbol{\epsilon}_{\mathbf{k},1} = (\mathbf{e}_x \times \mathbf{k})/|\mathbf{e}_x \times \mathbf{k}|$  and  $\boldsymbol{\epsilon}_{\mathbf{k},2} = [\mathbf{k} \times (\mathbf{e}_x \times \mathbf{k})]/|\mathbf{k} \times (\mathbf{e}_x \times \mathbf{k})|$  provided  $\mathbf{k} \not\propto \mathbf{e}_x$ , and accordingly otherwise. We have added an external scalar potential as  $V_{\text{ext}}(\mathbf{r})$ , and we can add an external classical vector potential  $\mathbf{A}_{\text{ext}}(\mathbf{r})$  by replacing

$$\hat{\mathbf{A}}(\mathbf{r}) \rightarrow \hat{\mathbf{A}}(\mathbf{r}) + \mathbf{A}_{\text{ext}}(\mathbf{r}). \quad (5)$$

If we next want to investigate the effect of a chiral cavity, we can imagine that some of the free-space modes are enhanced due to a photonic environment.<sup>17,18</sup> That is, similar to linear polarization and the usual Fabry–Pérot cavity,<sup>30</sup> we assume that we have a local redistribution of the photonic density of states to certain chiral modes, while the rest of the (quasi)continuum of states is subsumed in the observable mass of the charged particle. This procedure avoids some intrinsic gauge inconsistencies with respect to the free-space description of matter, but several subtle points about the description of realistic cavities remain.<sup>30</sup>

Irrespective of these subtle points, we will now choose a special case of this general procedure by first restricting the matter subsystem to two effective dimensions, i.e., the electron is strongly confined within one dimension (in our case in the  $z$  direction) and only free to move in the two perpendicular ones (in our case in the  $x-y$  plane). We will choose a model of a GaAs quantum ring, which is modeled as a “Mexican-hat” potential given by

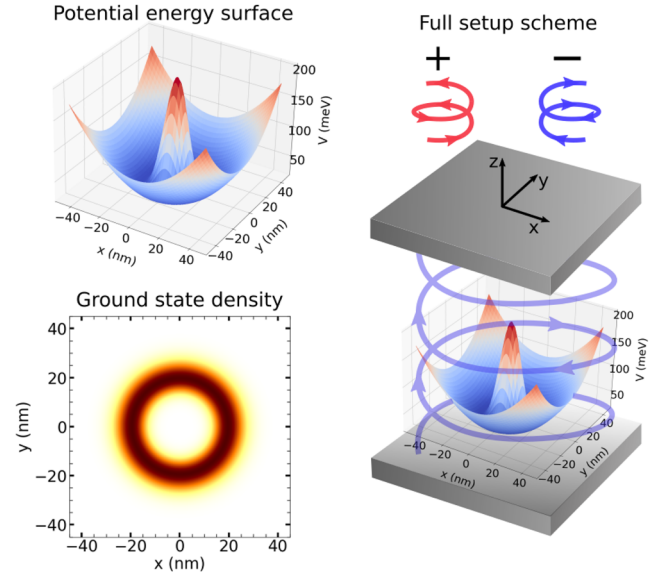
$$V_{\text{ext}}(\mathbf{r}) = \frac{1}{2}mv^2\mathbf{r}^2 + V_0e^{-r^2/d^2}. \quad (6)$$

Our potential choice indicates an achiral bare matter theory (uncoupled matter Hamiltonian) since, under parity transformation, one finds  $\nabla^2 = \nabla \cdot \nabla \rightarrow \nabla^2$  and  $V_{\text{ext}}(\mathbf{r}) \rightarrow V_{\text{ext}}(-\mathbf{r}) = V_{\text{ext}}(\mathbf{r})$ . Here,  $\mathbf{r} = (x, y, 0)$  and  $\hbar v = 10$  meV,  $V_0 = 200$  meV,  $d = 10$  nm, and  $m = 0.067m_e$ , with  $m_e$  being the mass of one electron. These parameters give the expected energy and length scales of the GaAs quantum ring.<sup>31</sup> For the cavity structure, we envision a setup where the effectively enhanced modes due to the cavity are proportional to  $\mathbf{k} = (0, 0, k)$  such that the polarization vectors become

$$\boldsymbol{\epsilon}_{\mathbf{k},\pm} = \sqrt{\frac{1}{2}}(\boldsymbol{\epsilon}_x \pm i\boldsymbol{\epsilon}_y) \quad (7)$$

for  $k > 0$ . Figure 1 depicts the bare matter potential energy surface, the corresponding electronic ground-state density of the quantum ring, and a scheme of the total setup including the cavity.

After having specified the setup, we can now investigate the behavior of the light–matter coupling term under parity transformation. In general, the quantized vector potential transforms under parity as  $\hat{\mathbf{A}}(\mathbf{r}) \rightarrow -\hat{\mathbf{A}}(-\mathbf{r})$ . For an achiral field (e.g., free space), the light–matter coupling in the Hamiltonian operator must be parity-conserving. Therefore, the corresponding vector potential operator must obey  $\hat{\mathbf{A}}(\mathbf{r}) \rightarrow -\hat{\mathbf{A}}(\mathbf{r})$  to cancel the minus from the parity-transformed momentum operator, i.e.,  $-i\hbar\nabla \rightarrow i\hbar\nabla$ . This is fulfilled for Eq. (2) as long as we sum over all wave numbers  $\mathbf{k}$  (up to a certain cut-off  $|\mathbf{k}| \leq \Lambda$ ) and polarizations  $s$ . Alternatively, specifically if one wants to consider only a few modes or a restricted dimensional setting (as in our simulations), one needs to include for each mode  $\mathbf{k}$  also  $-\mathbf{k}$  and sum over all  $s$  to preserve the achiral nature



**FIG. 1.** We consider a single effective electron that is restricted to two dimensions in an external “Mexican-hat”-like potential (top left), which gives rise to a quantum ring electronic density (bottom left). The effective electron interacts with a quantized chiral photon mode polarized along the  $z$  axis (right). The different handedness of the cavity is represented by their corresponding spiral orientation.

of the light–matter interaction. Thus, to have an explicitly chiral field present, one needs to break the symmetry between  $\mathbf{k}$  and  $-\mathbf{k}$  or between  $+$  and  $-$  in the chiral mode expansion. Alternatively, one can also introduce a symmetry-breaking external field. For instance, one can include an external vector potential of the form

$$\mathbf{A}_{\text{ext}}(\mathbf{r}) = -\frac{cB_0}{2}y\mathbf{e}_x + \frac{cB_0}{2}x\mathbf{e}_y \quad (8)$$

to break the parity of the total light–matter coupling term. Since the external field transforms as a pseudovector, i.e.,  $\mathbf{A}_{\text{ext}}(\mathbf{r}) \rightarrow \mathbf{A}_{\text{ext}}(\mathbf{r})$ , the resulting light–matter coupling contains a parity-violating term. Let us note at this point that the minimal-coupling operator  $(-i\hbar\nabla + \frac{e\hbar}{c}\hat{\mathbf{A}}(\mathbf{r}))^2$  is defined more precisely via  $(-i\hbar\nabla + \frac{e\hbar}{c}\hat{\mathbf{A}}(\mathbf{r})) \cdot (-i\hbar\nabla + \frac{e\hbar}{c}\hat{\mathbf{A}}(\mathbf{r}))$ . Therefore, the parity transformation is acting on the individual vector-valued operators of the Euclidean inner product. Only if  $\hat{\mathbf{A}}(\mathbf{r})$  transforms as  $-i\hbar\nabla$ , i.e., odd under parity, the full expression conserves parity. This behavior can be seen from the fact that the term  $\hat{\mathbf{A}}(\mathbf{r}) \cdot (-i\hbar\nabla)$  (in the Coulomb gauge) probes the phase of the wave function  $\Psi(\mathbf{r}) = \sqrt{\rho(\mathbf{r})} \exp(i\chi(\mathbf{r}))$  and vanishes if the wave function is purely real-valued. Since the difference between an even or odd complex-valued wave function is due to a phase jump through zero, in both cases,  $\nabla\chi(\mathbf{r})$  is odd away from zero. Similar to the scalar case, where the expectation value of every odd operator gives zero for pure even/odd states (because it maps even  $\leftrightarrow$  odd), so does a chiral vector field.

Next, let us consider a simplified description of a chiral cavity. Here, we focus on the long-wavelength/dipole approximation. That is, we assume that the wavelength of the cavity-enhanced modes is small compared to the spatial extent of the matter system such that

we can approximate  $e^{i\mathbf{k}\cdot\mathbf{r}} \approx 1$ . This approximation introduces extra symmetries. On the one hand, for each mode in Eq. (2), we have now

$$\hat{A}_{\mathbf{k},s} \rightarrow -\hat{A}_{\mathbf{k},s} \quad (9)$$

because only the polarization vectors are transformed upon parity. On the other hand, we can find combinations of quantized modes such that they transform in a chiral as well as an achiral manner, e.g.,

$$(\hat{A}_{\mathbf{k},+} + \hat{A}_{-\mathbf{k},-}) \rightarrow -(\hat{A}_{\mathbf{k},+} + \hat{A}_{-\mathbf{k},-}) = (\hat{A}_{-\mathbf{k},-} + \hat{A}_{\mathbf{k},+}), \quad (10)$$

due to using  $\epsilon_{\mathbf{k},\pm} = -\epsilon_{-\mathbf{k},\mp}$  and just relabeling  $(\mathbf{k}, +) \leftrightarrow (-\mathbf{k}, -)$ . This ambiguity due to the missing spatial dependence is not unexpected. For the classical case, all spatially constant vector fields are achiral since they are merely vectors. It is the quantization of the modes that allows a dipole-approximated field to be *interpreted* as either chiral or achiral. Therefore, the long-wavelength/dipole approximation is *not explicitly parity-violating*, but the handedness of the cavity remains imprinted. Consequently, we will subsequently deal with an achiral theoretical description of a quantum ring strongly coupled to a chiral cavity.

Moreover, note that if we considered a chiral field consisting of two modes in the long-wavelength approximation as in Eq. (10), we can rewrite this into a single coupled mode of the form

$$\hat{b}_{\mathbf{k},+} = \frac{1}{\sqrt{2}}(\hat{a}_{\mathbf{k},+} - \hat{a}_{(-\mathbf{k}),-}) \quad (11)$$

and an uncoupled mode of the form  $\hat{b}_{\mathbf{k},-} = \frac{1}{\sqrt{2}}(\hat{a}_{\mathbf{k},+} + \hat{a}_{(-\mathbf{k}),-})$  and accordingly for the creation operators. Thus, without loss of generality, we can merely consider a single circularly polarized mode in dipole approximation in the following.

Now, to compare an explicitly parity-violating and parity-non-violating description of our chiral-cavity setup, in the following, we use a single circularly polarized mode (with either handedness + or - as shown in Fig. 1) to mimic a single-handedness<sup>17,18</sup> chiral cavity, and for the explicitly parity-violating interaction, we include the external magnetic field of Eq. (8). We will compare to which extent these two descriptions of a chiral-cavity setup differ and to which extent the parity-conserving description of just a circularly polarized mode in dipole approximation can capture/control the chiral character of the coupled wave functions and their observables.

For our calculations, unless otherwise stated, we use a frequency of the chiral cavity mode  $\hbar\omega = 1.413$  meV, which is in resonance with the first transition of the uncoupled matter system.

To simulate the system, we worked in a two-dimensional real-space grid of  $71 \times 71$  points, with a separation of 1.27 nm between each pair of points, and nine photon states. The chosen grid separation provides converged energies at sufficient computational speed. The energy and wave functions of each state were solved by numerically exact diagonalization of the full Hamiltonian operator. All the observables presented in this work were calculated by numerical integration of the corresponding exact eigenstates.

### III. RESULTS

#### A. Uncoupled matter system

In the absence of the cavity and magnetic field, the matter Hamiltonian is symmetric under parity transformation, and thus, the matter-only theory is achiral. Its wave-function solution shows no chiral character in the ground state. On the other hand, all the excited states of our system are doubly degenerate.<sup>31</sup> We will restrict our focus to the following on the first two degenerate states,  $|M_1\rangle$  and  $|M_2\rangle$  (see Fig. 2), which correspond to a transition of 1.413 meV for our parameter choice. It can be seen that these states are exchangeable by a  $C_4$  symmetry operation and they are odd under parity transformation. That is, these states are not identical to their mirror image but a (two-dimensional) rotation can transform them into each other. Thus, these two states are not chiral in the enantiomeric (scalar and real-valued) sense. In the following, the specific linear combination of these degenerate excited states,

$$|M_{\pm}\rangle = \sqrt{\frac{1}{2}}(|M_2\rangle \pm i|M_1\rangle), \quad (12)$$

will become particularly important. The reason for this is that these states are easily accessible from the ground state in terms of angular selection rules of the electronic dipole transition operators, which impose  $\Delta M = \pm 1$ , given that  $M = 0$  in the ground state. Here,  $M$  corresponds to the quantum number (positive or negative) that describes the  $z$ -component of the state's angular momentum expectation value  $L_z = \hbar M$ .<sup>31</sup> The  $|M_{\pm}\rangle$  eigenstates are odd under parity and *cannot* be transformed by a rotation around the  $z$  axis into each other. Thus,  $|M_{\pm}\rangle$  are considered two-dimensional chiral excited states (solutions). The corresponding densities  $\rho(\mathbf{r}) = |\Psi(\mathbf{r})|^2$  become cylindrically symmetric, similar to the non-degenerate ground state. However, they exhibit rotating current densities ( $\mathbf{J}(\mathbf{r}) = \frac{\hbar}{im} \text{Re}\{\Psi^*(\mathbf{r})\nabla\Psi(\mathbf{r})\}$ ) of opposite handedness, which makes them chiral (see Fig. 3). The two superpositions of opposite handedness are optically active and respond differently to circularly polarized fields.

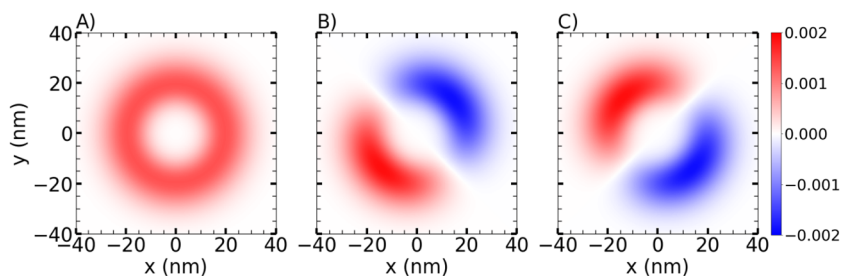
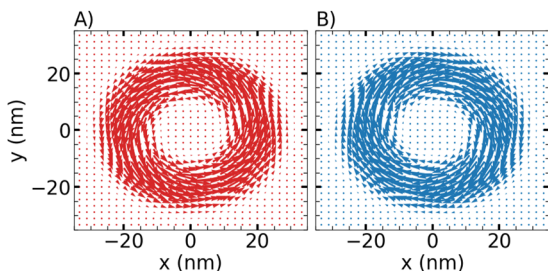


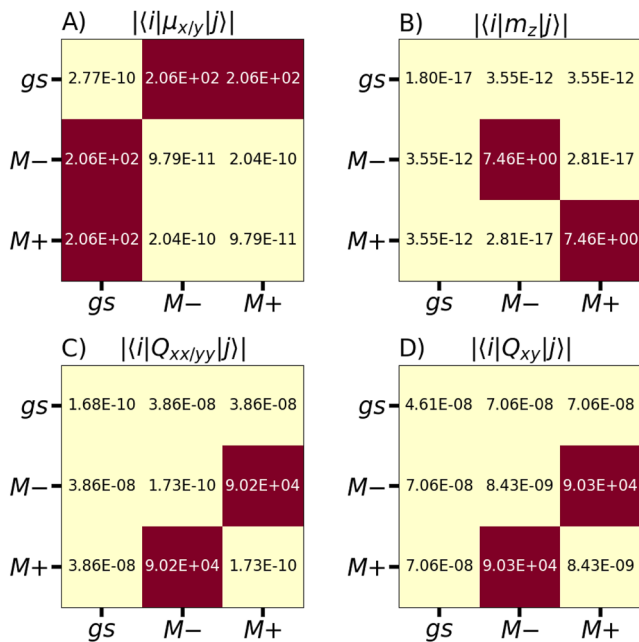
FIG. 2. Real-valued bare matter wave function of the (a) ground state, (b)  $|M_1\rangle$  state, and (c)  $|M_2\rangle$  state that are part of the first excited state in our uncoupled quantum-ring setup.



**FIG. 3.** Excited state current densities of the uncoupled (no cavity or magnetic field) states (a)  $|M_+\rangle$  with  $L_z = \hbar$  and (b)  $|M_-\rangle$  with  $L_z = -\hbar$ .

In Fig. 4, we can see that transitions from the ground state to the states  $|M_\pm\rangle$  are dipole-allowed, according to the electronic selection rules mentioned above. However, they are forbidden for magnetic dipole and electronic quadrupole transitions. In more detail, no transitions are magnetic dipole allowed, and only transitions with  $\Delta M = \pm 2$  are electrically quadrupole allowed. This is straightforward to show, due to the radial symmetry of our setup (similar to a 2D hydrogen atom).<sup>32</sup>

If we now apply a constant external magnetic field, the degeneracy of the  $|M_\pm\rangle$  states is broken. Nevertheless, the angular momenta and the transition terms shown in Fig. 4 remain qualitatively the same (see Fig. S1). However, the explicit parity-violating interaction term can still have a physically relevant impact on the chiral states  $|M_\pm\rangle$ , as we will explore later in Sec. III C.



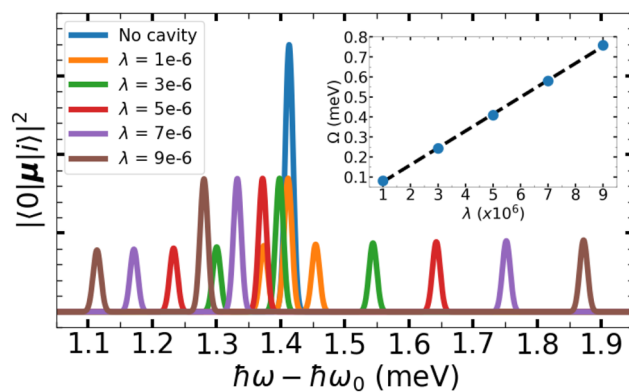
**FIG. 4.** Magnitude of the transition terms, in atomic units for the bare system, for (a) the x or y component of the dipole moment, (b) the z component of the magnetic dipole moment, (c) the xx or yy component of the quadrupole tensor, and (d) the xy component of the quadrupole tensor, between the ground state (gs) and the states  $M_\pm$ . The main contributions are highlighted in red.

## B. Chiral cavity without magnetic field

Next, we consider how the bare matter picture changes upon coupling to a quantized effective (single) chiral cavity mode in the long-wavelength approximation. The corresponding Hamiltonian is given by

$$\hat{H}_\pm = \frac{1}{2m} \left( -i\hbar\nabla + \frac{\lambda}{\sqrt{2\omega}} (\epsilon_\pm \hat{b} + \epsilon_\pm^* \hat{b}^\dagger) \right)^2 + V_{\text{ext}}(\mathbf{r}) + \hbar\omega \left( \hat{b}^\dagger \hat{b} + \frac{1}{2} \right), \quad (13)$$

where  $\pm$  labels the handedness of the cavity. The coupling constant between light and matter is defined as  $\lambda = \sqrt{\hbar|e|^2/\epsilon_0 L^3}$ , where  $L^3$  corresponds to the mode volume of the cavity. As discussed in Sec. II, the chosen chiral light-matter interaction will not break parity explicitly. It turns out that the cavity cannot couple equally to the two chiral eigenstates of the bare matter problem  $|M_\pm\rangle$ . In particular, the handedness of the cavity breaks the degeneracy of the excited states by coupling mostly with one state and creating two polaritons. Thus, a chiral cavity in dipole approximation couples selectively to the chiral superpositions (solutions) obtained from the achiral bare matter theory (Hamiltonian). Notably, this chiral-discriminating nature of the excited state couplings emerges naturally (*ab initio*), whereas for the bare matter system, the chiral nature only appears upon a specific choice (superposition) of the degenerate excited states. In other words, no *ad hoc* assumption was necessary, i.e., no *a priori* restriction to a chiral basis of the bare matter system was imposed as, e.g., done in Ref. 15 (see numerical justification below). However, note that the handedness of the energy spectrum becomes only interpretable/assignable if the handedness of a single-handed cavity is known. In Fig. 5, we present the dipole spectra for different coupling strengths ( $\lambda$ ), by modifying  $|e|^2/(\epsilon_0 L^3)$  while keeping the frequency  $\omega$  the same. In all our results, we express  $\lambda$  in atomic units. Each spectrum obtained in the cavity shows three peaks: the first and third peaks correspond to the polaritonic states and the second peak corresponds to the state  $|\Psi_{m(\pm)}\rangle$  that only weakly couples with the cavity by solving Eq. (13) numerically. In more detail,



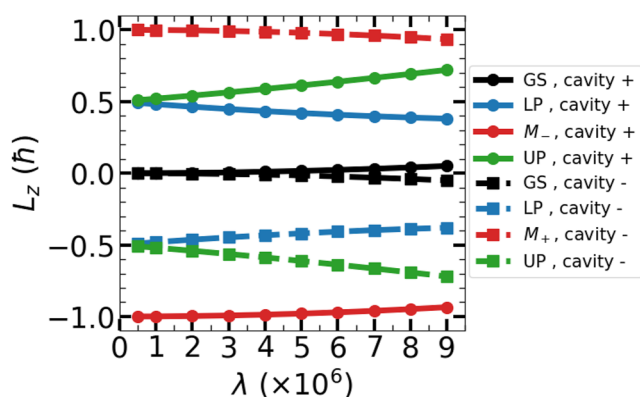
**FIG. 5.** Linear electronic dipole absorption spectra, when tuning the chiral cavity to the first electronic excitation of the quantum ring with different coupling strengths  $\lambda = \sqrt{\hbar|e|^2/\epsilon_0 L^3}$ , in atomic units. The broadening of every peak has been set by a Gaussian function. The inset plot illustrates the variation of the Rabi splitting  $\Omega = \hbar\omega_{UP} - \hbar\omega_{LP}$  as a function of  $\lambda$ .

investigating the projection of the bare matter chiral-superposition states  $M_{\pm}$ , defined in Eq. (12), reveals  $|\langle M_{\pm} | \Psi_{m(\pm)} \rangle|^2 \in [1, 0.96]$  for the investigated coupling strengths  $\lambda$  of a cavity described by  $\hat{H}_{\mp}$ . Due to this large overlap, we consider the middle state  $|\Psi_{m(\pm)}\rangle$  as virtually unaffected by the cavity and label it, hereafter, as  $M_{\pm}$  (the sign is opposite to the one of the cavity). From this argument, we have justified *ab initio* that a chiral cavity couples virtually only to the bare matter states that possess the same handedness as the cavity. The resulting Rabi splitting obeys the usual linear dependency with respect to the coupling parameter  $\lambda$ . However, the separation of the peaks is not symmetric with respect to the cavity frequency. Similarly, the peak assigned to the weakly coupled state is red-shifted as we increase the coupling strength. These changes are attributed to the “detuned” interaction between states and the back-action of the matter system onto the cavity.<sup>28,33–35</sup>

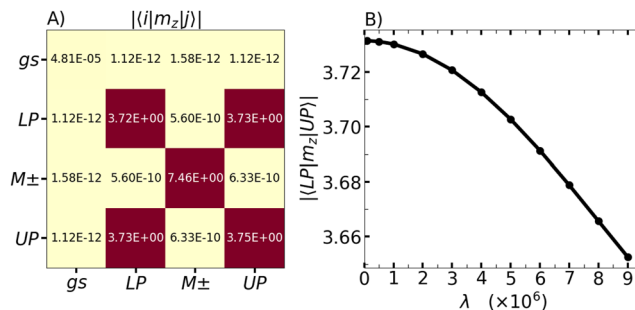
The energy spectrum obtained by coupling with the cavity in dipole approximation does not depend on its handedness, as anticipated from our introductory discussion. However, the current density and other observables do. The latter aspect is reflected in the changes of  $L_z$ , which we calculate according to its canonical definition,

$$\hat{\mathbf{L}} = \mathbf{r} \times \left( -i\hbar\nabla + \frac{|e|\hbar}{c} \hat{\mathbf{A}} \right). \quad (14)$$

In Fig. 6, we can see the changes in  $L_z$  of the ground state and the first three excited states. For lower coupling strength, the two polaritons share half of the angular momentum of the original state  $|M_{\pm}\rangle$ , while the weakly coupled state is not considerably affected. As the coupling strength increases,  $L_z$  of the upper polariton (UP) and the lower polariton (LP) separates, and the last one gets closer to the one of the ground state, which moves away from zero. Thus, the ground state also gets a considerable chiral character (angular currents). The value of  $|L_z|$  decreases for the weakly coupled state with respect to the coupling strength. This is because, at higher coupling strengths, the cavity imprints its opposite angular momentum. The same happens with the ground state. Furthermore, note that the rigorous bare matter angular selection rule is weakened by the cavity for electronic dipole transitions. Now, the transitions from the ground state to any of the first excited states involve  $0 < |\Delta M| < 1$ , at least within the numerically explored parameter



**FIG. 6.** Angular momentum of the ground state and the three first excited states in the (+) and (−) cavities.



**FIG. 7.** (a) Magnetic-dipole moment ( $m_z$ ) matrix for a cavity-coupling of  $\lambda = 5 \times 10^{-6}$  without additional external magnetic field. Relevant transitions are highlighted in red. (b) Magnetic-dipole moment transition between LP and UP are plotted with respect to different coupling strengths. In both plots, the magnetic-dipole moment is expressed in atomic units. The results are independent of the cavity polarization.

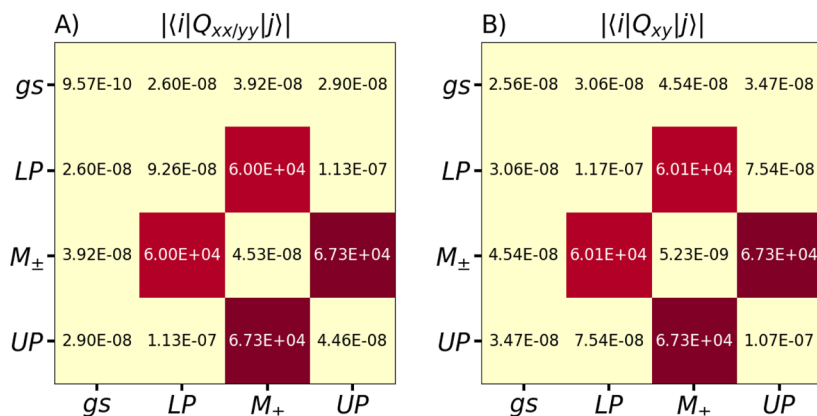
range of  $\lambda$ . The presence of a chiral cavity in dipole approximation also enables transitions between the polaritonic states through magnetic-dipole coupling (see Fig. 7), which is absent for the bare system. On the other hand, the magnitude of this transition is affected by the coupling strength of the cavity (Fig. 7). As  $\lambda$  tends to 0, the transition term tends to be half of the magnetic dipole moment of the pure state (compare with Fig. 4). This is because, for  $\lambda \neq 0$ , the solution keeps being a mixture of light and matter. In Fig. 8, we can see that the original quadrupole transition term between  $M_+$  and  $M_-$  (Fig. 4) connects now the polaritonic states with  $M_{\pm}$ . However, this suggests a violation of the bare matter selection rules due to the chiral cavity. Overall, we see that, already in dipole approximation, various chiral properties, which are connected to violations of the uncoupled symmetries/selection rules, are present in the wave function and certain observables.

### C. Chiral cavity with magnetic field

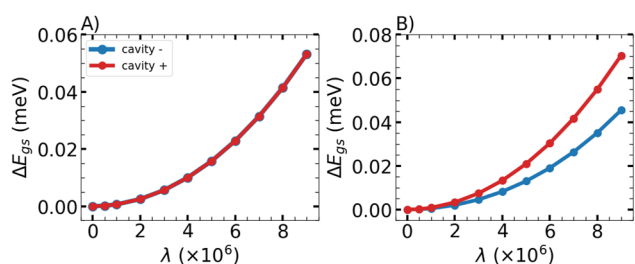
Next, we want to see whether qualitative changes appear in the coupled light–matter wave functions and observables, once we employ an explicit parity-violating interaction term. For this purpose, we apply a static external magnetic field  $B_0$  in the  $z$ -direction. The corresponding Hamiltonian is then given,

$$\hat{H}_{\pm} = \frac{1}{2m} \left( -i\hbar\nabla + \frac{\lambda}{\sqrt{2\omega}} (\epsilon_{\pm} \hat{b} + \epsilon_{\pm}^* \hat{b}^{\dagger}) - \frac{|e|B_0}{2} (y\mathbf{e}_x - x\mathbf{e}_y) \right)^2 + V_{\text{ext}}(\mathbf{r}) + \hbar\omega \left( \hat{b}^{\dagger} \hat{b} + \frac{1}{2} \right). \quad (15)$$

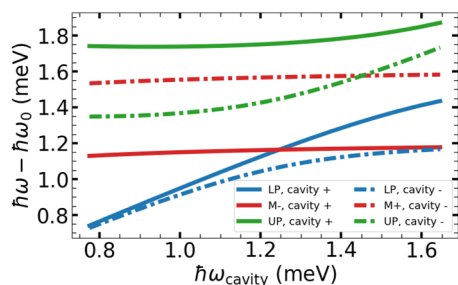
Explicitly, by adding a parity-violating interaction term, we expect that the non-degenerate bare matter ground state is energetically discriminated by the chiral photon field. The reason is that the parity-violating part of the photon field imprints a handedness onto the non-degenerate bare matter ground state. Thus, it couples differently to the two circular polarizations of the cavity. In our simulations,  $B_0 = 0.235$  T was used. A comparison of the coupled ground-state energy discrimination in a parity-conserving chiral cavity with and without external magnetic fields is given in Fig. 9. Another interesting aspect of the externally applied magnetic field concerns its ability to reorder the excited states depending on the handedness of the cavity and the frequency of the cavity (detuning),



**FIG. 8.** Matrices for the (a)  $xx$  (or  $yy$ ) and (b)  $xy$  components of the quadrupolar tensor, obtained for the first four states inside a cavity, using  $\lambda = 5 \times 10^{-6}$ , in atomic units. No external magnetic field is applied. The shown magnitudes are independent of the cavity handedness. The main contributions are highlighted in red. The cavity-induced transitions do not follow the original selection rule  $\Delta M = \pm 2$ .



**FIG. 9.** Comparison of the ground state energy of the system coupled with both cavities as a function of  $\lambda$  when (a)  $B_0 = 0.0$ ,  $\hbar\omega = 1.413$  meV and (b)  $B_0 = 0.235$  T,  $\hbar\omega = 1.21$  meV. In both plots,  $E_{gs} = 0.0$  corresponds to the uncoupled case.



**FIG. 10.** The first three energy transitions as a function of the cavity frequency for the two different handedness, (+) or (-), where  $\lambda = 5.0 \times 10^{-6}$  and  $B_0 = 0.235$  T.

as can be seen in Fig. 10. In more detail, the LP and UP can be made to even exchange ordering with the cavity-unaffected excited states  $|M_{\pm}\rangle$ . Apart from these two aspects, the other observables (excited state discrimination, transition moments, angular momenta, etc.) remain qualitatively unaffected by explicitly breaking the parity-symmetry of the light-matter interaction using a strong external magnetic field (see Figs. S2–S5 in the [supplementary material](#)).

Importantly, at this point, we need to highlight that the externally applied magnetic field is deceptively small. This is because the dimensions of our system as well as the electron's effective mass considered for our model, i.e., the magnetic flux through the quantum

dot effectively determines the magnetic splitting. On molecular-sized diameters, the required magnetic flux to reach significant energetic changes would be incredibly strong and, thus, presumably unrealistic for any practical purpose. Similarly, beyond-dipolar contributions to the chiral cavity description (making the theory achiral) are expected to have a minuscule impact.<sup>23</sup> In particular, already the much stronger dipolar coupling effects have caused substantial controversies in the polaritonic-chemistry community, whether or not they are sufficient to change chemistry locally.<sup>30</sup> We will comment on this in the following.

#### IV. SUMMARY AND CONCLUSION

In this work, we have explored theoretically if a parity-violating light-matter coupling is required to capture all relevant aspects of chiral polaritonics or if an achiral theory is sufficient (Hamiltonian symmetric under parity transformations). This question is non-trivial to answer since achiral theories still possess parity-violating solutions. In particular, we have demonstrated that many aspects of a chiral photon field can be captured by a non-parity violating light-matter interaction term within the long-wavelength/dipole approximation. To do so, a simple GaAs quantum ring model was coupled to a non-parity-violating chiral mode of a cavity. The resulting theory (coupled Hamiltonian) was achiral and, thus, for non-degenerate states, no energetic discrimination is expected.<sup>21</sup> However, things are more intricate once degeneracies are present. The bare matter GaAs quantum ring possesses a non-degenerate ground state and a doubly degenerate first excited state. Thus, the excited states allow for an achiral choice ( $|M_1\rangle, |M_2\rangle$ ) as well as for two-dimensional chiral superpositions ( $|M_+\rangle, |M_-\rangle$ ). In principle, those choices of excited states remain undetermined in a bare matter setup without external perturbation. However, inside our parity-conserving chiral cavity, we find that the dressed eigenstates automatically attain chiral character and become energetically discriminable, provided that the handedness of the cavity is known (fixed). In more detail, the handedness of the cavity selects and splits only one of the two degenerate chiral matter solutions into a (chiral) lower and upper polariton. The selected matter solution of opposite-handedness does not split but is slightly shifted in energy instead. Moreover, we find that the parity-conserving chiral cavity can also imprint chiral features onto the ground state, e.g., a



small angular momentum, whose handedness is determined by the cavity. However, an energetic discrimination of the non-degenerate ground state is imposed only by explicitly using a parity-violating light-matter interaction. Often, an explicit energetic discrimination (parity-violating chiral theory) is achieved by going beyond the dipolar coupling of the light-matter Hamiltonian or, as in our case, by an additional external magnetic field. However, the practical issue with explicitly parity-violating light-matter contributions is their extremely small magnitude under realistic experimental conditions,<sup>22</sup> which currently seems to undermine the practical feasibility of chiral polaritonic chemistry from a theoretical point of view.

Nevertheless, our here-presented results suggest a remedy for this fundamental theoretical issue of chiral polaritonics. Instead of using numerically challenging and mathematically problematic (not every choice is consistent with the basic principles of QED<sup>30</sup>) parity-violating light-matter theories, we propose to focus rather on simpler parity-conserving couplings in combination with highly degenerate bare matter states. We expect that such a theoretical description is much more sensitive to chiral cavity effects since it does not rely on dipolar couplings or strong external fields. Instead, degenerate states can be re-arranged (as in the case of the excited-state manifold of our quantum-ring example) and chiral symmetries may become favorable. Our proposal is further backed by recent theoretical results for linearly polarized cavities under collective vibrational strong coupling.<sup>28,36</sup> Those suggest the formation of a frustrated and highly degenerate electronic ground state, which is prone to spontaneous symmetry breaking that impacts local molecular properties.<sup>28,36</sup> Accordingly, it seems plausible that a chiral cavity may also induce chiral symmetry-breaking effects of chemical relevance. This will be the focus of future research efforts.

## SUPPLEMENTARY MATERIAL

The [supplementary material](#) encompasses additional simulation data that is provided for the chiral cavity with an externally applied magnetic field.

## ACKNOWLEDGMENTS

This work was supported by the RouTe Project (Project No. 13N14839), financed by the Federal Ministry of Education and Research [Bundesministerium für Bildung und Forschung (BMBF)] and supported by the European Research Council (Grant No. ERC-2015-AdG694097), the Cluster of Excellence “CUI: Advanced Imaging of Matter” of the Deutsche Forschungsgemeinschaft (DFG), EXC 2056 (Project ID 390715994), and the Grupos Consolidados (Grant No. IT1249-19). The Flatiron Institute is a division of the Simons Foundation. C. M. Bustamante, thanks to the Alexander von Humboldt-Stiftung for the financial support from the Humboldt Research Fellowship.

## AUTHOR DECLARATIONS

### Conflict of Interest

The authors have no conflicts to disclose.

## Author Contributions

**Carlos M. Bustamante:** Data curation (lead); Formal analysis (lead); Investigation (equal); Methodology (supporting); Project administration (supporting); Software (lead); Visualization (lead); Writing – original draft (supporting); Writing – review & editing (supporting). **Dominik Sidler:** Conceptualization (equal); Investigation (equal); Methodology (equal); Supervision (equal); Validation (equal); Writing – original draft (equal); Writing – review & editing (equal). **Michael Ruggenthaler:** Conceptualization (supporting); Formal analysis (equal); Investigation (equal); Methodology (equal); Supervision (equal); Validation (equal); Writing – original draft (equal); Writing – review & editing (equal). **Ángel Rubio:** Conceptualization (equal); Funding acquisition (equal); Resources (equal); Supervision (equal); Writing – review & editing (equal).

## DATA AVAILABILITY

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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