

Classical eikonal from Magnus expansion

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ABSTRACT: In a classical scattering problem, the classical eikonal is defined as the generator of the canonical transformation that maps in-states to out-states. It can be regarded as the classical limit of the log of the quantum S-matrix. In a classical analog of the Born approximation in quantum mechanics, the classical eikonal admits an expansion in oriented tree graphs, where oriented edges denote retarded/advanced worldline propagators. The Magnus expansion, which takes the log of a time-ordered exponential integral, offers an efficient method to compute the coefficients of the tree graphs to all orders. We exploit a Hopf algebra structure behind the Magnus expansion to develop a fast algorithm which can compute the tree coefficients up to the 12th order (over half a million trees) in less than an hour. In a relativistic setting, our methods can be applied to the post-Minkowskian (PM) expansion for gravitational binaries. We demonstrate the methods by computing the 3PM eikonal and find agreement with previous results based on amplitude methods.

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1 Introduction

The *eikonal* was originally introduced in geometric optics to study propagation of rays, which leads to equations of motion similar to that of Hamiltonian mechanics; see e.g. §53 of ref. [1] for a short introduction. The eikonal played a crucial role in the formulation of quantum mechanics; Schrödinger’s wave equation [2] was motivated from the similarity between the eikonal description of wave propagation and Hamiltonian mechanics of particles. After the establishment of quantum mechanics, the *eikonal approximation* gained a narrower meaning of applying WKB approximation to quantum-mechanical scattering problems at small deflection angles, differing from the Born series approach in that the eikonal approximation includes all-order information of the scattering potential [3].

The generalization of the eikonal approximation to quantum field theory (QFT) was initially viewed as a resummation of ladder Feynman diagrams into an exponential form [4–7]. A more thorough discussion of the eikonal exponentiation can be found, *e.g.* in refs. [8–12]. Ignoring possible subtleties, we view the eikonal as the log of the unitary S -matrix:

$$\hat{S} = \exp(i\hat{\chi}/\hbar), \quad (1.1)$$

an idea dating back to early days of QFT [13, 14], and recently revived in refs. [15, 16]. We may call $\hat{\chi}/\hbar$ (dimensionless) “phase matrix” and $\hat{\chi}$ (dimensionful) “eikonal matrix”.

The recent invigoration of interest in the eikonal is partly due to their effectiveness in extracting *classical* physics from QFT amplitudes. The eikonal has a well-defined classical limit in contrast to the usual amplitudes with “superclassical” contributions (superficially carrying negative powers of \hbar) [17, 18]; see ref. [19] for a comprehensive review.

In [20], inspired by ref. [15] as well as the KMOC formula [21] for classical observables, three of the authors proposed that the classical limit of the χ -matrix should yield a generator of the canonical transformation¹ between the two (in and out) asymptotic copies of free particle phase space. We call the generator the *classical eikonal*.

The S -matrix in conventional treatments ($\hat{S} = \hat{I} + i\hat{T}/\hbar$) has an operational definition given by the Dyson series. The counterpart of the Dyson series for the χ -matrix is known as the *Magnus series* [23]; see refs. [24, 25] for a review. When the χ -matrix was introduced in ref. [15], it was written as a series expansion in the T -matrix. In this approach, the computation of the χ -matrix elements required subtracting superclassical terms from the T -matrix. The Magnus expansion, consisting of nested commutators, allows us to compute the χ -matrix without ever encountering a superclassical term.

In the bulk of this paper, through sections 2, 3, and 4, in the simple context of non-relativistic scattering, we present three methods to compute the classical eikonal with varying degrees of mathematical abstraction and computational efficiency, where a world-line description is adopted for massive particles. The first approach (section 2) is to solve the equations of motion (EOM) perturbatively and deduce the classical eikonal from the

¹A similar viewpoint of the radial action as the scattering generator was proposed in ref. [22]. However, this proposal is limited by the fact that the radial action is only well-defined for systems described by separable Hamilton-Jacobi equations.

impulse (change of momentum). The second approach (section 3) uses the Magnus expansion, which provides a shortcut to reach the classical eikonal. In a “classical interaction picture”, one computes nested Poisson brackets of the perturbative potential and integrate over time variables. The Poisson brackets produce worldline Green’s functions, hinting at the equivalence to the EOM method. The third approach (section 4) relies on the Hopf algebra structure behind the Magnus expansion. It is not just a matter of mathematical curiosity but offers a novel and extremely fast way to compute the classical eikonal.

In all approaches, the classical eikonal admits a tree-level Feynman diagram expansion, a viewpoint advocated by the worldline quantum field theory (WQFT) [26]. It is easy to enumerate all possible tree diagrams. Most of the computations concern how to determine the coefficient of each diagram. A subtlety, analyzed in great detail in this paper, is that the Magnus expansion assigns *different causality prescription* of the propagators from the usual Feynman diagram calculations. The causality prescription is important for obtaining the correct eikonal. A naïve use of Feynman propagators leads to an IR divergent result for the eikonal as we will show in an example.

In section 5, we carry over our methods to the relativistic setting and apply them to the post-Minkowskian (PM) expansion of gravitational binaries. The key insight is that the Magnus expansion treats propagation of worldline degrees of freedom (DOF) and propagation of second-quantized field DOF on an equal footing. Therefore, the tools developed for the dynamics of massive particles also apply to the dynamics of fields. We demonstrate our methods by computing the 3PM eikonal and find agreement with previous results based on amplitude methods. It is convenient to separate the eikonal depending on the mass ratio according to the so-called self-force (SF) expansion. The 0SF terms treat one of the particles as a static source and the other as a probe. The best way to compute the 0SF eikonal is to use the equivalence between the eikonal and the radial action; see appendix A. For the 1SF terms, the diagrammatic expansion developed in the main body of this paper is indispensable. We successfully reproduce the conservative and radiation-reaction parts of the 3PM 1SF eikonal. We do not cover the dissipative effects, since including a graviton as an external leg will require a further generalization of our methods. When applied to computing the impulse, we find that the eikonal computes the 3PM impulse up to dissipative effects due to radiated gravitational waves. We conjecture that the dissipative terms can be incorporated when we consider the enlarged phase space including the field DOF.

In section 6, we conclude the paper with discussions on possible generalizations and further applications. In appendix A, we explain some aspects of the radial action and its relevance in the 0SF computation. In appendix B, we offer a diagrammatic representation of Poisson brackets which forms the basis of the EOM method of section 2. In appendix C, we attempt to provide an intuition for the Hopf algebra structure.

We note that recent papers by Ajith et al. [27, 28] gave an in-depth analysis of the relationship between QFT, worldline, WQFT and eikonal exponentiation. Conceptually, these work have significant overlap with the current paper, but technically they are rather complementary to the current one.

2 Classical eikonal from equation of motion

2.1 Classical limit of the exponential representation

We recall how the classical eikonal as a scattering generator was motivated by the classical limit of the quantum S -matrix [20]. We trade the unitary operator \hat{S} for a hermitian operator $\hat{\chi}$ as $\hat{S} = \exp(i\hat{\chi}/\hbar)$ [14–16]. The change in a scattering observable, as in the KMOC formula [21], can be expressed in terms of $\hat{\chi}$ as [16]

$$\hat{O}_{\text{out}} = \hat{S}^\dagger \hat{O}_{\text{in}} \hat{S} = e^{-i\hat{\chi}/\hbar} O_{\text{in}} e^{+i\hat{\chi}/\hbar} =: (e^{-i\hat{\chi}/\hbar})_{\text{adj}}[O_{\text{in}}], \quad (2.1)$$

where the adjoint action of an operator is defined as an action through the commutator,

$$\begin{aligned} \hat{A}_{\text{adj}}[\hat{B}] &:= [\hat{A}, \hat{B}], \\ (e^{\hat{A}})_{\text{adj}}[\hat{B}] &= \sum_{n=0}^{\infty} \frac{1}{n!} \underbrace{[\hat{A}, [\hat{A}, \dots, [\hat{A}, \hat{B}] \dots]]}_{n \text{ times}} = \hat{B} + [\hat{A}, \hat{B}] + \frac{1}{2!} [\hat{A}, [\hat{A}, \hat{B}]] + \dots \end{aligned} \quad (2.2)$$

The equation (2.1) has an interpretation as a symmetry transformation; \hat{O}_{out} is obtained from \hat{O}_{in} by a symmetry transformation having $\hat{\chi}$ as the generator. Taking the classical limit of (2.1) turns the operator $\hat{\chi}$ to the classical eikonal χ and replaces the commutator by the Poisson bracket,

$$\frac{1}{i\hbar} [\hat{A}, \hat{B}] \rightarrow \{A, B\}, \quad (2.3)$$

we arrive at the interpretation of the classical eikonal as the scattering generator [20],

$$\begin{aligned} O_{\text{out}} &= e^{\{\chi, \bullet\}}[O_{\text{in}}] \\ &= O_{\text{in}} + \{\chi, O_{\text{in}}\} + \frac{1}{2!} \{\chi, \{\chi, O_{\text{in}}\}\} + \frac{1}{3!} \{\chi, \{\chi, \{\chi, O_{\text{in}}\}\}\} + \dots, \end{aligned} \quad (2.4)$$

where the final state scattering observable O_{out} is obtained from the initial state scattering observable O_{in} by a canonical transform $e^{\{\chi, \bullet\}}$ generated by the eikonal χ . Note that this viewpoint of the eikonal is rather different from the typical viewpoint found in the literature, where the classical eikonal is understood as a saddle-point approximation of the scattering amplitude [19].

The “de-quantization” to Poisson brackets can be understood as Lie brackets of vector fields in the usual sense in Hamiltonian mechanics:

$$X_f[h] = \{f, h\} \implies [X_f, X_g][h] = X_{\{f, g\}}[h], \quad (2.5)$$

where $X[h]$ denotes the derivative action of a vector field X on a scalar function h . For a pair of vector fields, $[X, Y]$ denotes the Lie bracket. The identity relating Lie bracket and Poisson bracket holds thanks to the Jacobi identity.

2.2 Equation of motion and iteration

A straightforward (but inefficient) way to compute the classical eikonal is to solve the EOM perturbatively and use (2.4). We compute $\chi_{(1)}$ and $\chi_{(2)}$ explicitly and develop a graphical method to reach higher orders. For simplicity, we work in Newtonian mechanics with the Hamiltonian

$$H = \frac{\vec{p}^2}{2m} + V(\vec{x}). \quad (2.6)$$

The generalization to relativistic particles should be straightforward.

The first order computation is almost trivial.

$$\Delta_{(1)}\vec{p} = - \int_{-\infty}^{\infty} \partial_{\vec{x}} V(\vec{b} + \vec{v}t) dt = \{\chi_{(1)}, \vec{p}\} \implies \chi_{(1)} = - \int_{-\infty}^{+\infty} V(\vec{b} + \vec{v}t) dt. \quad (2.7)$$

To avoid clutter, we will sometimes denote $V(\vec{b} + \vec{v}t_a)$ by $V(t_a)$ or V_a below. The integration range is to be understood as from $t = -\infty$ to $t = +\infty$ unless otherwise specified.

2nd order At the second order, the EOM for \vec{p} reads

$$\frac{d}{dt}\vec{p}_{(2)} = -\partial_i \partial_{\vec{x}} V(\vec{b} + \vec{v}t) x_{(1)}^i(t). \quad (2.8)$$

We need to feed in the first order solution $\vec{x}_{(1)}(t)$ at finite time. In terms of the retarded Green's function,

$$R_{12} = (t_1 - t_2)\theta(t_1 - t_2), \quad \left(\frac{d}{dt_1}\right)^2 R_{12} = \delta(t_1 - t_2), \quad R_{12} = 0 \quad \text{if } t_1 < t_2, \quad (2.9)$$

the first order solution is

$$\vec{x}_{(1)}(t) = -\frac{1}{m} \int R_{ts} \partial_{\vec{x}} V(s) ds. \quad (2.10)$$

The second order impulse is then

$$\Delta_{(2)}\vec{p} = \frac{1}{m} \int R_{ts} [\partial_i \partial_{\vec{x}} V(t) \partial_i V(s)] ds dt. \quad (2.11)$$

The next step is to subtract the iteration term,

$$\frac{1}{2} \{\chi_{(1)}, \{\chi_{(1)}, \vec{p}\}\} = \frac{1}{2} \{\chi_{(1)}, \Delta_{(1)}\vec{p}\} = -\frac{1}{2m} \int (s - t) \partial_i V(s) \partial_i \partial_{\vec{x}} V(t). \quad (2.12)$$

The $(s - t)$ factor is produced by the Poisson bracket

$$\left\{ x_i + \frac{p_i}{m} s, x_j + \frac{p_j}{m} t \right\} = -\frac{1}{m} (s - t) \delta_{ij} = -\frac{1}{m} (R_{st} - R_{ts}) \delta_{ij}. \quad (2.13)$$

We call the combination $(R_{st} - R_{ts})/m$ a ‘‘causality cut’’. The second order eikonal is

$$\{\chi_{(2)}, \vec{p}\} = \Delta_{(2)}\vec{p} - \frac{1}{2} \{\chi_{(1)}, \Delta_{(1)}\vec{p}\} \implies \chi_{(2)} = \frac{1}{2m} \int R_{ts} \partial_{\vec{x}} V(t) \cdot \partial_{\vec{x}} V(s), ds dt. \quad (2.14)$$

Diagrams for EOM We introduce a diagrammatic representation of the computation so far. The basic ingredients are as follows:

$$\chi_{(1)} = -\bullet, \quad \Delta_{(1)}\vec{p} = -\star, \quad \frac{1}{m}R_{12} = \text{○} \leftarrow \text{○} \quad \begin{matrix} 1 \\ 2 \end{matrix}. \quad (2.15)$$

The pentagram vertex, corresponding to the impulse $\Delta_{(1)}\vec{p} = \{\chi_{(1)}, \vec{p}\}$, can be interpreted as the derivative operator $\{\bullet, \vec{p}\}$ acting on the vertex corresponding to $\chi_{(1)}$. The white circles at the two endpoints of the retarded propagator R_{12} can be taken by a black dot or a pentagram from any subdiagram.

The second order impulse (2.11) is mapped to

$$\Delta_{(2)}p^\mu = \star \leftarrow \bullet. \quad (2.16)$$

The cut in (2.12) and (2.13) can be represented by

$$\begin{aligned} \{\chi_{(1)}, \Delta_{(1)}\vec{p}\} &= \{\bullet, \star\} \\ &= \bullet \leftarrow \star = \bullet \rightarrow \star - \bullet \leftarrow \star. \end{aligned} \quad (2.17)$$

The last step in determining $\chi_{(2)}$ in (2.14) can be depicted as

$$\begin{aligned} \{\chi_{(2)}, \vec{p}\} &= \Delta_{(2)}\vec{p} - \frac{1}{2}\{\chi_{(1)}, \Delta_{(1)}\vec{p}\} \\ &= \frac{1}{2}(\star \leftarrow \bullet + \bullet \leftarrow \star) = \left\{ \frac{1}{2} \bullet \leftarrow \bullet, \vec{p} \right\}. \end{aligned} \quad (2.18)$$

Similar to $\Delta_{(1)}\vec{p}$, the pentagram vertex can be interpreted as the derivative operator $\{\bullet, \vec{p}\}$ acting on the vertex. The sum over all possible single pentagram vertex substitutions corresponds to the Leibniz rule for derivatives.

3rd order The third order EOM,

$$\frac{d}{dt}\vec{p}_{(3)} = -\partial_i\partial_{\vec{x}}V(t)x_{(2)}^i(t) - \frac{1}{2}\partial_i\partial_j\partial_{\vec{x}}V(t)x_{(1)}^i(t)x_{(1)}^j(t), \quad (2.19)$$

leads to the following diagrammatic representation of the impulse,

$$\Delta_{(3)}\vec{p} = -\star \leftarrow \bullet \leftarrow \bullet - \frac{1}{2} \star \leftarrow \bullet \leftarrow \bullet. \quad (2.20)$$

The diagrammatic computation of $\chi_{(3)}$ can be summarized as

$$\begin{aligned} &\{\chi_{(3)}, \vec{p}\} \\ &= \Delta_{(3)}\vec{p} - \frac{1}{2}\{\chi_{(1)}, \{\chi_{(2)}, \vec{p}\}\} - \frac{1}{2}\{\chi_{(2)}, \Delta_{(1)}\vec{p}\} - \frac{1}{6}\{\chi_{(1)}, \{\chi_{(1)}, \Delta_{(1)}\vec{p}\}\} \\ &= \Delta_{(3)}\vec{p} - \frac{1}{2}\{\chi_{(1)}, \Delta_{(2)}\vec{p}\} - \frac{1}{2}\{\chi_{(2)}, \Delta_{(1)}\vec{p}\} + \frac{1}{12}\{\chi_{(1)}, \{\chi_{(1)}, \Delta_{(1)}\vec{p}\}\} \\ &= -\frac{1}{3}(\star \leftarrow \bullet \leftarrow \bullet + \bullet \leftarrow \star \leftarrow \bullet + \bullet \leftarrow \bullet \leftarrow \star) \\ &\quad - \frac{1}{12}(\star \leftarrow \bullet \leftarrow \bullet + 2 \bullet \leftarrow \star \leftarrow \bullet) - \frac{1}{12}(\bullet \leftarrow \bullet \leftarrow \star + 2 \star \leftarrow \bullet \leftarrow \bullet) \\ &= -\left\{ \frac{1}{3} \bullet \leftarrow \bullet \leftarrow \bullet + \frac{1}{12}(\bullet \leftarrow \bullet \leftarrow \star + \star \leftarrow \bullet \leftarrow \bullet), \vec{p} \right\}. \end{aligned} \quad (2.21)$$

Each term on the RHS can be computed diagrammatically. For example,

$$\begin{aligned}
-\{\chi_{(1)}, \Delta_{(2)}\vec{p}\} &= \left\{ \bullet, \begin{array}{c} \star \\ \uparrow \\ \bullet \end{array} \right\} = \begin{array}{c} \bullet \leftarrow \star \\ \uparrow \\ \bullet \end{array} + \begin{array}{c} \bullet \leftarrow \star \\ \uparrow \\ \bullet \end{array} \\
&= \left(\begin{array}{c} \bullet \rightarrow \star \\ \uparrow \\ \bullet \end{array} - \begin{array}{c} \bullet \leftarrow \star \\ \uparrow \\ \bullet \end{array} \right) + \left(\begin{array}{c} \bullet \leftarrow \star \\ \uparrow \\ \bullet \end{array} - \begin{array}{c} \bullet \rightarrow \star \\ \uparrow \\ \bullet \end{array} \right).
\end{aligned} \tag{2.22}$$

Similarly,

$$\begin{aligned}
-2\{\chi_{(2)}, \Delta_{(1)}\vec{p}\} &= \left\{ \begin{array}{c} \bullet \\ \uparrow \\ \bullet \end{array}, \star \right\} = \begin{array}{c} \bullet \leftarrow \star \\ \uparrow \\ \bullet \end{array} + \begin{array}{c} \bullet \leftarrow \star \\ \uparrow \\ \bullet \end{array} \\
&= \left(\begin{array}{c} \bullet \rightarrow \star \\ \uparrow \\ \bullet \end{array} - \begin{array}{c} \bullet \leftarrow \star \\ \uparrow \\ \bullet \end{array} \right) + \left(\begin{array}{c} \bullet \leftarrow \star \\ \uparrow \\ \bullet \end{array} - \begin{array}{c} \bullet \rightarrow \star \\ \uparrow \\ \bullet \end{array} \right).
\end{aligned} \tag{2.23}$$

In both of the examples, the diagrams were organized so that horizontally oriented propagators originate from Poisson brackets computed as causality cuts. We leave the last term, $\{\chi_{(1)}, \{\chi_{(1)}, \Delta_{(1)}\vec{p}\}\}$, as an exercise to the readers.

Higher orders The diagrammatic computation generalizes straightforwardly to higher orders, as shown in detail in appendix B, but the computational cost grows quite quickly. We automated the procedure using *Mathematica*, which produces $\chi_{(n)}$ up to $n = 7$ in a few minutes. For the last illustration before we move on to more advanced methods, we present the results for $n = 4, 5$ in Figure 1.

$$\begin{aligned}
-\chi_{(4)} &= \frac{1}{24} \left[-6 \begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \leftarrow \bullet \end{array} - 2 \left(\begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array} + \begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array} \right) - 2 \begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array} \right] \\
&\quad + \frac{1}{24} \left[-2 \left(\begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array} + \begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array} \right) + 0 \left(\begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array} + \begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array} \right) \right] \\
-\chi_{(5,I)} &= \frac{1}{5!} \left[24 \begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \leftarrow \bullet \end{array} + 6 \left(\begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array} + \begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array} \right) + 2 \left(\begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array} \right) \right] \\
&\quad + \frac{1}{5!} \left[6 \left(\begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array} + \begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array} \right) + 2 \left(\begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array} + \begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array} \right) + 4 \begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array} \right] \\
-\chi_{(5,Y)} &= \frac{1}{5!} \left[9 \left(\begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array} + \begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array} \right) + 12 \left(\begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array} + \begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array} \right) - \left(\begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array} + \begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array} \right) + \left(\begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array} + \begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array} \right) \right] \\
&\quad + \frac{1}{5!} \left[\left(\begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array} + \begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array} \right) + 8 \left(\begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array} + \begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array} \right) \right] \\
-\chi_{(5,X)} &= \frac{1}{5!} \left[\frac{2}{3} \left(\begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array} + \begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array} \right) - \frac{1}{6} \left(\begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array} + \begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array} \right) \right] + \frac{4}{5!} \begin{array}{c} \bullet \leftarrow \bullet \leftarrow \bullet \\ \uparrow \\ \bullet \end{array}
\end{aligned}$$

Figure 1: $\chi_{(4)}$ and $\chi_{(5)}$. The black trees are rooted, while the red ones are non-rooted. For $\chi_{(5)}$, it is understood that all edges are oriented with an arrow from right to left.

2.3 Tree graphs, functions and integrals

WQFT Our diagrammatic notation arose from an attempt to refine a similar one introduced by the worldline quantum field theory (WQFT) initiated in ref. [26]. There it was suggested that the classical eikonal χ can be computed from the logarithm of the partition function of the WQFT in the background of the free (straight line) worldline. The classical limit collects tree diagrams only. The logarithm collects connected diagrams only.

Figure 2 shows the WQFT diagrams up to $n = 6$. We call it a *formal* expansion, to emphasize the fact that we have not specified the choice of the propagator. The coefficients of the diagrams are the standard symmetry factors (up to signs).

$$\begin{aligned}
 -\chi_{(2)}^W &= -\frac{1}{2} \text{---} \bullet \text{---} \bullet \\
 -\chi_{(3)}^W &= +\frac{1}{2} \text{---} \bullet \text{---} \bullet \text{---} \bullet \\
 -\chi_{(4)}^W &= -\frac{1}{2} \text{---} \bullet \text{---} \bullet \text{---} \bullet \text{---} \bullet \quad -\frac{1}{6} \text{---} \bullet \text{---} \bullet \text{---} \bullet \\
 -\chi_{(5)}^W &= +\frac{1}{2} \text{---} \bullet \text{---} \bullet \text{---} \bullet \text{---} \bullet \text{---} \bullet \quad +\frac{1}{2} \text{---} \bullet \text{---} \bullet \text{---} \bullet \text{---} \bullet \\
 &\quad +\frac{1}{24} \text{---} \bullet \text{---} \bullet \text{---} \bullet \text{---} \bullet \\
 -\chi_{(6)}^W &= -\frac{1}{2} \text{---} \bullet \text{---} \bullet \text{---} \bullet \text{---} \bullet \text{---} \bullet \text{---} \bullet \quad -\frac{1}{2} \text{---} \bullet \text{---} \bullet \text{---} \bullet \text{---} \bullet \text{---} \bullet \\
 &\quad -\frac{1}{8} \text{---} \bullet \text{---} \bullet \text{---} \bullet \text{---} \bullet \quad -\frac{1}{6} \text{---} \bullet \text{---} \bullet \text{---} \bullet \text{---} \bullet \\
 &\quad -\frac{1}{5!} \text{---} \bullet \text{---} \bullet \text{---} \bullet \text{---} \bullet \text{---} \bullet
 \end{aligned}$$

Figure 2: Feynman rules for χ in the formal WQFT.

The coefficients are $(-1)^{n-1}$ times the symmetry factors of the diagrams.

For $\chi_{(2)}$, the choice of propagator is immaterial. But, beginning from $\chi_{(3)}$, the causal flow in the diagrams becomes important. We observe in (2.21) that $\chi_{(3)}$ carries three sub-diagrams (oriented graphs) with coefficients $(1/3, 1/12, 1/12)$. The sum, $1/3+2(1/12) = 1/2$, matches the coefficient in the formal WQFT. As we will see shortly, the phenomenon continues to $\chi_{(n \geq 4)}$. The main body of this paper is devoted to an efficient algorithm to compute the coefficient of every oriented tree graph for all n .

Tree graphs Three types of tree graphs are relevant: unoriented, rooted, and oriented. Unoriented trees form the basis of the formal WQFT expansion. Oriented trees span the eikonal diagrams. Rooted trees are oriented trees which contains a unique node (called root), for which all arrows are incoming. For example, in (2.21), the first two diagrams are rooted, while the third is not. When we discuss the Magnus expansion and Hopf algebra in later sections, the distinction between rooted and non-rooted trees will be useful. Non-rooted trees carry two or more nodes for which all arrows are incoming. We call such nodes “semi-roots”.

Sometimes an alternative notation for oriented graph is useful. Instead of arrows, we can use a “time flow” to indicate the orientation of the edge connecting two nodes. In the latter, the relative horizontal position of connected nodes specifies the orientation of the edge. Our convention is that time flows from right to left. See Figure 3 for an illustration.

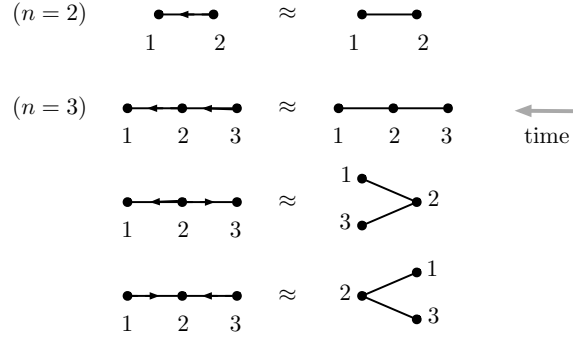


Figure 3: Two graphical notations: time-ordering by arrows or by a time flow.

Given the number of (unlabeled) nodes, n , the number of possible trees can be counted recursively. We denote the number of unoriented/rooted/oriented trees by $u_n/r_n/o_n$, respectively. Their values for small n are given in OEIS A000055/A000081/A000238, respectively, which we quote here for the readers’ convenience:

n	1	2	3	4	5	6	7	8	9	10	11	12	(2.24)
u_n	1	1	1	2	3	6	11	23	47	106	235	551	
r_n	1	1	2	4	9	20	48	115	286	719	1842	4766	
o_n	1	1	3	8	27	91	350	1376	5743	24635	108968	492180	

These sequences satisfy some interesting properties. For instance, the generating function for rooted trees, $R(x) = r_1x + r_2x^2 + \dots$, satisfies

$$R(x) = x \exp \left[R(x) + \frac{1}{2}R(x^2) + \frac{1}{3}R(x^3) + \frac{1}{4}R(x^4) + \dots \right]. \quad (2.25)$$

It is related to the generating function for unoriented trees, $U(x) = u_1x + u_2x^2 + \dots$, as

$$U(x) = R(x) - \frac{1}{2}R(x)^2 + \frac{1}{2}R(x^2). \quad (2.26)$$

Tree functions and integrals In the remainder of this paper, a “tree” (denoted generically by τ) will always refer to a connected, oriented tree graph. A tree function is a map from the set of all trees (including the empty tree) to another set (\mathbb{Z} , \mathbb{Q} , \mathbb{R} , etc.). A basic example is $|\tau|$, the number of vertices of τ . Another simple example is the symmetry factor $\sigma(\tau)$, the number of permutations that leaves τ invariant (with the orientation of edges taken into account). A “forest” is a formal product of trees. The tree function evaluated for a forest is defined as the product of the function evaluated for each connected component:

$$f(\tau_1\tau_2\tau_3 \cdots \tau_N) = f(\tau_1)f(\tau_2) \cdots f(\tau_N). \quad (2.27)$$

One of the main goals of this paper is to compute $\chi_{(n)}$. At each order n , in the tree notation, we can write the result as

$$-\chi_{(n)} = \sum_{|\tau|=n} \frac{\omega(\tau)}{\sigma(\tau)} \mathcal{I}(\tau). \quad (2.28)$$

We have already defined $|\tau|$ and $\sigma(\tau)$. The other tree function $\omega(\tau)$ is our target; we will explain a few algorithms to compute it efficiently. Normalizing each coefficient with $\sigma(\tau)$ in the denominator is similar to attaching symmetry factors of Feynman diagrams. We will see the precise connection shortly. $\mathcal{I}(\tau)$ is the integral expression associated to τ , which generalize what we have seen up to $n = 4$ earlier; see Figure 4 for further illustration.

$$\begin{aligned} \mathcal{I}(\bullet) &= \int V_1 dt_1 \\ \mathcal{I}(\bullet \leftarrow \bullet) &= \frac{1}{m} \int R_{12}(\partial_i V_1)(\partial_i V_2) dt_1 dt_2 \\ \mathcal{I}(\bullet \leftarrow \bullet \leftarrow \bullet) &= \frac{1}{m^2} \int R_{12} R_{23}(\partial_i V_1)(\partial_i \partial_j V_2)(\partial_j V_3) dt_1 dt_2 dt_3 \\ \mathcal{I}\left(\begin{array}{c} \bullet \\ \swarrow \quad \searrow \\ \bullet \quad \bullet \\ \swarrow \quad \searrow \\ \bullet \quad \bullet \end{array}\right) &= \frac{1}{m^3} \int R_{41} R_{42} R_{43}(\partial_i \partial_j \partial_k V_4)(\partial_i V_1)(\partial_j V_2)(\partial_k V_3) dt_1 dt_2 dt_3 dt_4 \end{aligned}$$

Figure 4: Examples of tree integrals. The generalization to arbitrary trees is clear.

In our search for $\omega(\tau)$ in later sections, another tree function $e(\tau)$ will play a crucial role. We define $e(\tau)$ in a few steps. We first introduce $\gamma(\tau)$ known as the “tree factorial”. Its definition begins with $\gamma(\emptyset) = \gamma(\bullet) = 1$. When multiple rooted trees (τ_1, \dots, τ_N) are “grafted” by a new root to form a tree τ (denoted by $\tau = [\tau_1, \dots, \tau_N]$) such that $|\tau| = \sum_a |\tau_a| + 1$, $\gamma(\tau)$ is defined recursively as

$$\gamma(\tau) = |\tau| \prod_a \gamma(\tau_a). \quad (2.29)$$

Next, we introduce $\phi(\tau)$ which counts the number of the “linear extensions of a poset”. Put simply, it counts the number of all possible time orderings of labeled vertices that are compatible with the oriented edges of a tree. See Figure 5 for an illustration.

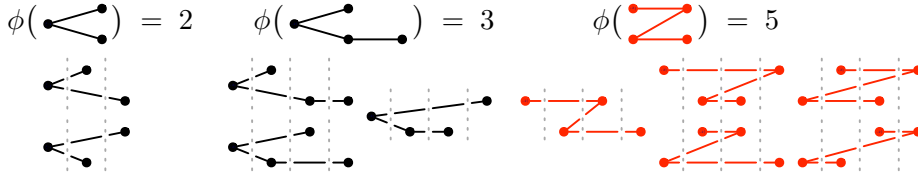


Figure 5: How to count $\phi(\tau)$.

For rooted trees, it is well known that the two functions satisfy

$$\phi(\tau)\gamma(\tau) = |\tau|!. \quad (2.30)$$

The tree function $e(\tau)$ is originally defined for rooted trees simply as $e(\tau) = 1/\gamma(\tau)$. It may not look obvious how to generalize the tree factorial $\gamma(\tau)$ to non-rooted trees. Fortunately, $\phi(\tau)$ is equally well-defined for rooted and non-rooted trees alike, as shown in Figure 5, so we can turn the relation (2.30) into a definition of $e(\tau)$ for non-rooted trees:

$$e(\tau) := \frac{1}{\gamma(\tau)} := \frac{\phi(\tau)}{|\tau|!}. \quad (2.31)$$

We note that for a non-rooted tree, $\gamma(\tau)$ is not necessarily an integer.

It is possible to generalize the recursive definition of $\gamma(\tau)$ to build an efficient algorithm to compute $e(\tau)$ even for non-rooted trees. For a rooted tree $\tau = [\tau_1, \dots, \tau_N]$, (2.29) means

$$e(\tau) = \frac{1}{|\tau|} \prod_{a=1}^N e(\tau_a). \quad (2.32)$$

For a non-rooted tree, we use essentially the same grafting formula except that we sum over the semi-roots; see Figure 6 for an illustration.

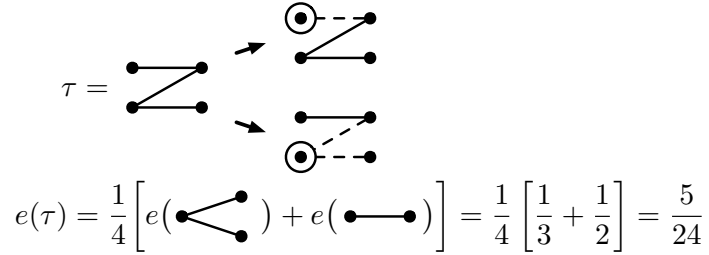


Figure 6: Recursion relation for $e(\tau)$ for non-rooted trees.

3 Magnus expansion

The Magnus expansion takes the log of a time-ordered exponential integral. It has a wide range of applications not only in physics but in many areas of applied mathematics. See, *e.g.*, ref. [24] for a comprehensive review for physicists and ref. [25] for a more abstract mathematical treatment.

3.1 Overview

We recall some facts about the Magnus expansion following ref. [25]. Consider a matrix-valued differential equation,

$$\dot{Y}(t) = A(t)Y(t), \quad Y(t_0) = Y_0 \quad \implies \quad Y(t) = \exp \left[\Omega^{(A)}(t) \right] Y_0. \quad (3.1)$$

Magnus [23] showed that $\Omega^{(A)}(t)$ is the unique solution of the differential equation,

$$\dot{\Omega}(t) = A(t) + \sum_{k>1} \frac{B_k}{k!} \text{ad}_{\Omega^{(A)}}^k(A)(t) = \frac{\text{ad}_{\Omega^{(A)}}}{e^{\text{ad}_{\Omega^{(A)}}} - 1}(A)(t), \quad \Omega^{(A)}(t_0) = 0. \quad (3.2)$$

Here, ad^k is the k -th iteration of $\text{ad}_X(Y) := [X, Y]$ and $B_n = B_n^-$ are the Bernoulli numbers. With a formal parameter \hbar , the Magnus expansion is given by

$$\Omega^{(\hbar A)}(t) = \sum_{n \geq 1} \hbar^n \Omega_n^{(A)}(t), \quad \Omega_1^{(A)}(t) = \int_{t_0}^t A(s) ds. \quad (3.3)$$

We will omit the superscript (A) from here on. For $n \geq 2$, Ω_n can be computed recursively by integrating

$$\dot{\Omega}_n(t) = \sum_{k > 0} \frac{B_k}{k!} \sum_{\{r\}_k} [\Omega_{r_k}(t), \dots [\Omega_{r_2}(t), [\Omega_{r_1}(t), A(t)]] \dots], \quad (3.4)$$

where $\{r\}_k$ is the set of all k -tuples of integers satisfying

$$r_1 + r_2 + \dots + r_k = n - 1, \quad r_i > 0. \quad (3.5)$$

Quantum scattering In a full-fledged QFT, the analytic structure of the S -matrix can be extremely complicated, plagued by a plethora of poles and cuts. Here, we pursue a much more modest goal; we focus on a single particle quantum mechanics where the S -matrix can be constructed rigorously in the interaction picture. Let us quickly review the construction, following ref. [29].

Assume that the Hamiltonian takes the form

$$\hat{H} = \hat{H}_0 + \hat{V}. \quad (3.6)$$

The time-evolution operator in the interaction picture is given by

$$\hat{U}_I(t, t_0) = e^{-\hat{H}_0 t / i\hbar} e^{\hat{H}(t-t_0) / i\hbar} e^{\hat{H}_0 t_0 / i\hbar}. \quad (3.7)$$

It can be expressed as a time-ordered integral:

$$\hat{U}_I(t, t_0) = \mathcal{T} \exp \left(\frac{1}{i\hbar} \int_{t_0}^t \hat{V}_I(t) dt \right), \quad \hat{V}_I(t) = e^{-\hat{H}_0 t / i\hbar} \hat{V} e^{\hat{H}_0 t / i\hbar}. \quad (3.8)$$

The S -matrix is defined by the limit,

$$\hat{S} = \lim_{t \rightarrow +\infty} \hat{U}_I(+t, -t). \quad (3.9)$$

One could expand the integral in (3.7) to obtain the Dyson series,

$$\hat{S} = \hat{1} + \frac{1}{i\hbar} \int_{-\infty}^{\infty} dt_1 \hat{V}_I(t_1) + \frac{1}{(i\hbar)^2} \int_{t_1 > t_2} dt_1 dt_2 \hat{V}_I(t_1) \hat{V}_I(t_2) + \dots \quad (3.10)$$

But, since we aim for $\hat{\chi} = -i\hbar \log \hat{S}$, a better choice is the Magnus expansion [23]. The first few terms of the expansion are

$$\begin{aligned}
-\hat{\chi}_{(1)} &= \int_{-\infty}^{\infty} \hat{V}_I(t_1) dt_1, \\
-\hat{\chi}_{(2)} &= \frac{1}{i\hbar} \frac{1}{2} \int_{t_1 > t_2} [\hat{V}_I(t_1), \hat{V}_I(t_2)] dt_1 dt_2, \\
-\hat{\chi}_{(3)} &= \frac{1}{(i\hbar)^2} \frac{1}{6} \int_{t_1 > t_2 > t_3} \left([\hat{V}_1, [\hat{V}_2, \hat{V}_3]] + [\hat{V}_3, [\hat{V}_2, \hat{V}_1]] \right) dt_1 dt_2 dt_3, \\
-\hat{\chi}_{(4)} &= \frac{1}{(i\hbar)^3} \int_{t_1 > t_2 > t_3 > t_4} \hat{\Upsilon}_4(t_1, t_2, t_3, t_4) dt_1 dt_2 dt_3 dt_4, \\
\hat{\Upsilon}_4 &= \frac{1}{12} \left([\hat{V}_1, [\hat{V}_2, [\hat{V}_3, \hat{V}_4]]] + [\hat{V}_2, [\hat{V}_3, [\hat{V}_4, \hat{V}_1]]] \right. \\
&\quad \left. + [[\hat{V}_1, \hat{V}_2], \hat{V}_3], \hat{V}_4] + [\hat{V}_1, [[\hat{V}_2, \hat{V}_3], \hat{V}_4]] \right).
\end{aligned} \tag{3.11}$$

From the third line on, we abbreviated $\hat{V}_I(t_k)$ by \hat{V}_k . Several ways to spell out the n -th order term of the Magnus expansion are known. For now, it suffices to note that

$$-\hat{\chi}_{(n)} = \frac{1}{(i\hbar)^{n-1}} \int_{t_1 > \dots > t_n} \hat{\Upsilon}_n(t_1, \dots, t_n) dt_1 \dots dt_n, \tag{3.12}$$

where the operator $\hat{\Upsilon}_n(t_1, \dots, t_n)$ is a sum of $(n-1)$ -fold nested commutators with constant coefficients, where each term is multi-linear in \hat{V}_k ($k = 1, \dots, n$).

Classical limit A notable feature of the Magnus expansion is that the classical limit is almost trivial; we simply replace commutators with Poisson brackets as in (2.3). The result is the Magnus expansion for the classical eikonal:

$$\begin{aligned}
-\chi_{(1)} &= \int V_1 dt_1, \\
-\chi_{(2)} &= \frac{1}{2} \int_{t_1 > t_2} \{V_1, V_2\} dt_1 dt_2, \\
-\chi_{(3)} &= \frac{1}{6} \int_{t_1 > t_2 > t_3} (\{V_1, \{V_2, V_3\}\} + \{V_3, \{V_2, V_1\}\}) dt_1 dt_2 dt_3, \\
-\chi_{(n)} &= \int_{t_1 > \dots > t_n} \Upsilon_n(t_1, \dots, t_n) dt_1 \dots dt_n.
\end{aligned} \tag{3.13}$$

Here, V_k is the classical limit of the operator $\hat{V}_I(t_k)$. An advantage of this expansion over the Dyson series (3.10) is that the latter is prone to the so-called ‘‘super-classical’’ terms, which are not well-behaved in the $\hbar \rightarrow 0$ limit. In contrast, each term in the Magnus expansion carries $1/(i\hbar)^{n-1}$ to turn the $(n-1)$ -fold commutators to Poisson brackets, resulting in a perfectly well-defined classical limit.

To be concrete, from here on, we choose to work in non-relativistic quantum mechanics with the free Hamiltonian for a particle,

$$H_0 = \frac{\vec{p}^2}{2m}. \tag{3.14}$$

Then a simple algebra shows that

$$V_k = V\left(\vec{x} + \frac{\vec{p}}{m}t_k\right). \quad (3.15)$$

From brackets to worldline propagators The expansion (3.13) is completely classical, but the brackets are still not commutative. To gain further insights, let us compute the brackets explicitly with (3.15).

For $\chi_{(2)}$, we get

$$-\chi_{(2)} = -\frac{1}{2m} \int_{t_1 > t_2} t_{12} [(\partial_{\vec{x}}V_1) \cdot (\partial_{\vec{x}}V_2)] dt_1 dt_2, \quad t_{kl} = t_k - t_l. \quad (3.16)$$

The final result for $\chi_{(2)}$ is then

$$-\chi_{(2)} = -\frac{1}{2m} \int R_{12} [(\partial_{\vec{x}}V_1) \cdot (\partial_{\vec{x}}V_2)] dt_1 dt_2. \quad (3.17)$$

It agrees (rather trivially) with $\chi_{(2)}$ obtained from the EOM (2.14).

For $\chi_{(3)}$, after some reshuffling, we get

$$\begin{aligned} -\chi_{(3)} &= \frac{1}{m^2} \int P_3(t_1, t_2, t_3) [(\partial_i V_1)(\partial_i \partial_j V_2)(\partial_j V_3)] dt_1 dt_2 dt_3, \\ P_3(t_1, t_2, t_3) &= \frac{1}{6} \left(2R_{12}R_{23} + \frac{1}{2}R_{12}R_{32} + \frac{1}{2}R_{21}R_{23} \right). \end{aligned} \quad (3.18)$$

The partial derivative ∂_i is taken with respect to $\vec{x} = (x^1, x^2, x^3)$. Again, it agrees perfectly with the result from the EOM iteration.

Notations To connect two similar sets of notations, we provide a dictionary,

$$\Omega_n \leftrightarrow -\chi_n, \quad A(t) \leftrightarrow V(t), \quad [,] \leftrightarrow \{ , \}. \quad (3.19)$$

We also recall from (2.13) that

$$\{V_2, V_1\} \propto +(R_{12} - R_{12}) \propto \begin{array}{c} 1 \quad 2 \\ \bullet \quad \bullet \\ \leftarrow \quad \rightarrow \end{array} = \begin{array}{c} 1 \quad 2 \\ \bullet \quad \bullet \\ \leftarrow \quad \leftarrow \end{array} - \begin{array}{c} 1 \quad 2 \\ \bullet \quad \bullet \\ \rightarrow \quad \rightarrow \end{array}. \quad (3.20)$$

3.2 Murua's formula for rooted trees

We recall from (2.28) that our goal is to compute the tree function $\omega(\tau)$ in the diagrammatic expansion,

$$-\chi_{(n)} = \sum_{|\tau|=n} \frac{\omega(\tau)}{\sigma(\tau)} \mathcal{I}(\tau).$$

For rooted trees, Murua [30] defined $\omega(\tau)$ in a different (but related) context, and gave a recursive formula to compute $\omega(\tau)$:

$$\omega(\tau) = \sum_{p \in P_r(\tau)} B_{|p|-1} e(p') \omega(\tau \setminus p). \quad (3.21)$$

Here, $P_r(\tau)$ is the set of partitions of τ that necessarily contain all edges connected to the root. A “partition” p of a tree τ is an arbitrary collection of edges of τ ; there are $2^{|\tau|-1}$ partitions when there is no restriction. It is understood that p is made connected by shrinking each connected components of the remainder $(\tau \setminus p)$. p' is what is left of p after amputating all the edges connected to the root. The function $e(\tau)$ is the inverse of the tree factorial, as explained in section 2.3.

Here is a simple example of (3.21) taken from Murua’s original paper:

$$\begin{aligned} \omega\left(\begin{array}{c} \bullet \\ \circ \\ \bullet \end{array}\right) &= B_2 e(\bullet)^2 \omega\left(\begin{array}{c} \bullet \\ \bullet \end{array}\right) + B_4 e\left(\begin{array}{c} \bullet \\ \bullet \end{array}\right) \omega(\bullet)^4 \\ &= B_2 \omega\left(\begin{array}{c} \bullet \\ \bullet \end{array}\right) + B_4 e\left(\begin{array}{c} \bullet \\ \bullet \end{array}\right) = \frac{1}{36} - \frac{1}{90} = \frac{1}{60}. \end{aligned} \quad (3.22)$$

Sketch of the derivation The formula (3.21) can be derived from the Magnus recursion relation (3.4). We do not attempt a rigorous proof, for which the readers can consult ref. [30]. Instead, we give a down-to-earth sketch of the derivation, where each element of the formula is explained intuitively based on examples. In this subsection, we focus on rooted trees as in ref. [30], for which it suffices to take only the “positive branch” from the “cut” (3.20).

In the tree integral $\mathcal{I}(\tau)$ for the eikonal, all the vertices $V(t_a)$ are integrated from $t_a = -\infty$ to $t_a = +\infty$. The time ordering, denoted by the oriented edges of the tree, is enforced by the retarded Green’s function, so we need not impose restrictions on the integration range. To take advantage of the Magnus recursion (3.4), we single out the largest time variable consistent with the time ordering and write the integral as

$$\mathcal{I}(\tau) = \int_{-\infty}^{\infty} \dot{\mathcal{I}}(\tau, t) dt \quad (3.23)$$

Other time variables are hidden inside the integrand. For a rooted tree, t is simply the time variable for the root vertex.

On the LHS of (3.4), we expect

$$\dot{\Omega}_n(t) = \sum_{|\tau|=n} \frac{\omega(\tau)}{\sigma(\tau)} \dot{\mathcal{I}}(\tau; t), \quad (3.24)$$

On the RHS, for each Ω factor, we write

$$\Omega_{r_a}(t) = \int_{-\infty}^t \dot{\Omega}_{r_a}(s_a) ds_a. \quad (3.25)$$

It is understood that $\dot{\Omega}$ in the integrand can again be decomposed as in (3.24).

The partition, a key ingredient in Murua’s formula (3.21), naturally arises from (3.4). The “new” edges produced by the brackets constitute the partition p , whereas the “old” edges included in $\dot{\Omega}_{r_a}$ contribute to $(\tau \setminus p)$. From this perspective, the reason why $\omega(\tau \setminus p)$ appear on the RHS is obvious.

At this stage, it is useful to introduce a graphical notation (which traces back at least to Penrose [31]); see Figure 7. We evaluate the nested brackets one at a time. When a bracket is inserted into another bracket, we denote the inner one by a bubble. Each bracket producing the cut (3.20) is represented by a dotted line. When a bracket acts on a bubble, it “pops” the bubble to produce a sum, where the dotted line gets connected to components inside the bubble one by one (Leibniz rule). The operation is associative; the result does not depend on which bubble we pop first.

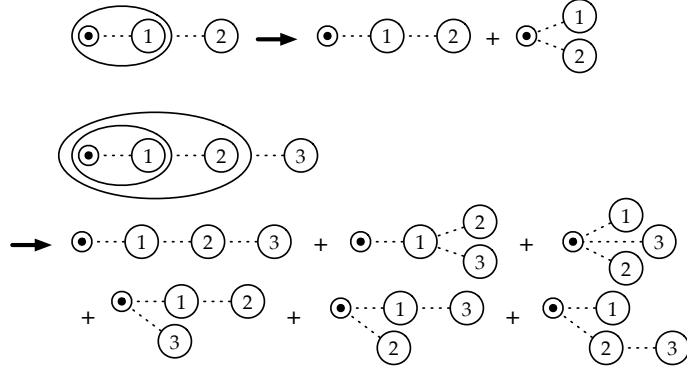


Figure 7: Popping bubbles. The numbers $a = 1, 2, 3$ in circles represent Ω_{r_a} . Non-rooted trees are suppressed temporarily.

We now explain how $e(p')$ arise. When the partition, regarded as a tree on its own, has no symmetry, the $e(p')$ factor simply follows from a sequence of equalities, with $|p| = k + 1$,

$$\frac{\phi(p)}{k!} = \frac{\phi(p)}{(|p| - 1)!} = |p| \frac{\phi(p)}{|p|!} = |p|e(p) = e(p'). \quad (3.26)$$

The third step used (2.30), so the only non-trivial point in (3.26) is how the sum over $\{r\}_k$ in (3.4) produces multiplicity $\phi(p)$. Let us illustrate the idea with the trees at the bottom of the $k = 3$ example in Figure 7. When the three circles are all distinct, we should sum over all 6 permutations of $(1, 2, 3)$, so that we get a total of 18 trees of the same shape. They split into 6 groups of multiplicity 3, which is the correct value for $\phi(p)$. When all three circles are indistinguishable, we have no permutations to sum over, but we still get multiplicity 3 from the three diagrams in the Figure with the labels removed.

We now turn to the most delicate aspect of Murua’s formula: why the symmetry factor $\sigma(\tau)$ present in (3.24) disappears completely from (3.21). Given a partition p , suppose we color the edges participating in p differently from those belonging to $(\tau \setminus p)$. We denote the symmetry factor of the two-colored tree by $\sigma(\tau; p)$. When $\sigma(\tau; p) > 1$, the $\phi(p)$ factor in (3.26) gets modified as

$$\phi(p) \rightarrow \frac{\phi(p)}{\sigma(\tau; p)}. \quad (3.27)$$

The $\sigma(\tau; p)$ factor in the denominator can be traced back to how a term in the Magnus recursion is weighted differently depending on identical connected components of $(\tau \setminus p)$; see Figure 8 for an illustration.

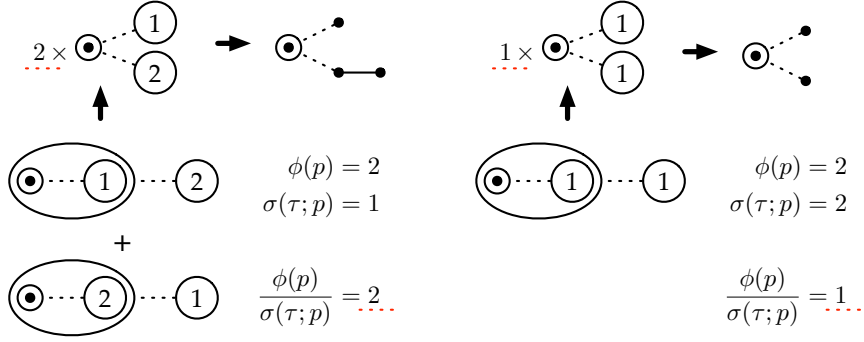


Figure 8: Symmetry factor of the two-colored tree formed by a partition.

In some cases, as in the top example of Figure 9, the product $\sigma(\tau; p)\sigma(\sigma \setminus p)$ equals $\sigma(\tau)$. In other cases, as in the bottom example of Figure 9, $\sigma(\tau; p)\sigma(\sigma \setminus p)$ is an integer divisor of $\sigma(\tau)$, and the quotient $\sigma(\tau)/(\sigma(\tau; p)\sigma(\sigma \setminus p))$ counts the multiplicity of “equivalent” partitions related to each other by the symmetry of the whole tree τ . The multiplicity is included in the partition sum in (3.21). In all cases, we observe that the symmetry factors and the multiplicity factor conspire to remove all explicit dependencies on $\sigma(\tau)$ and $\sigma(\tau \setminus p)$ from Murua’s formula.

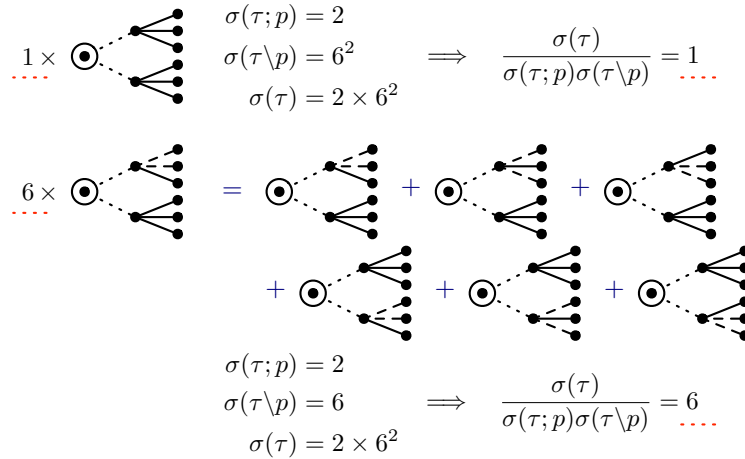


Figure 9: Mismatch in symmetry factors compensated by the multiplicity of equivalent partitions.

3.3 Extension to non-rooted trees

Having understood how Murua’s formula (3.21) can be derived from the Magnus recursion (3.4), it is not too difficult to extend the formula to non-rooted trees.

By definition, a non-rooted tree carries two or more root-like vertices where all edges are incoming. We call them “semi-roots”. With an arbitrary choice s among the semi-roots,

our extended formula reads

$$\omega(\tau) = \sum_{p \in P_s(\tau)} (-1)^{\ell(p)} B_{|p|-1} e(p') \omega(\tau \setminus p). \quad (3.28)$$

It appears to involve the choice s , but the resulting $\omega(\tau)$ turns out to be independent of s . $P_s(\tau)$ is the set of partitions of τ that contain all edges connected to the semi-root s . Given a partition p , p' is defined in two steps. First, we flip the orientation of all the “wrong” edges of p to obtain a new tree \bar{p} rooted with respect to s . Then p' is what is left of \bar{p} after amputating all edges connected to s . The function $\ell(p)$ counts how many edges of p should be flipped to reach \bar{p} . Since \bar{p} and p' are rooted trees, this formula does not require $e(\tau)$ for non-rooted trees.

Examples The formula is best explained by examples. The simplest one is the “upside-down rooted tree” in Figure 10. The two semi-roots are on an equal footing. Two partitions contribute to the sum and give the same $\omega(\tau)$ as the original “right way up” rooted tree. The first genuine non-rooted tree, shown in Figure 11, admits two different choices of the semi-root, but both yield the same $\omega(\tau)$.

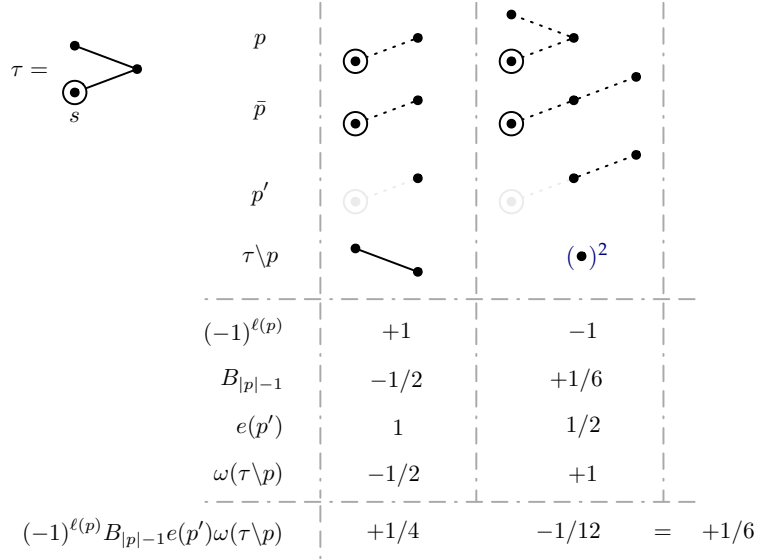


Figure 10: A rooted tree turned upside-down, regarded as a non-rooted tree.

Derivation The derivation of the extended Murua formula (3.28) from the Magnus recursion (3.4) is similar to the one for the original formula, but we should discuss a few novel features.

For a non-rooted tree, two or more semi-roots can compete for the largest time. The full integral should include all possible semi-roots, so the integral (3.23) is generalized to

$$\dot{\mathcal{I}}(\tau, t) = \sum_s \dot{\mathcal{I}}(\tau_s, t), \quad (3.29)$$

$\tau_s =$		$\tau_s =$		
p				
\bar{p}				
p'	$(\bullet)^2$			
$\tau \setminus p$				
$(-1)^{\ell(p)}$	+1	+1	-1	+1
$B_{ p -1}$	+1/6	-1/2	+1/6	+1/6
$e(p')$	1	1	1/2	1/2
$\omega(\tau \setminus p)$	-1/2	+1/6	-1/2	-1/2
$(-1)^{\ell(p)} B_{ p -1} e(p') \omega(\tau \setminus p)$	-1/12	= -1/12	+1/24	-1/24

Figure 11: The simplest genuine non-rooted tree with two distinct choices of the semi-root.

where the label s runs over the semi-roots and τ_s denotes the tree τ with the semi-root s marked distinctly from other vertices.

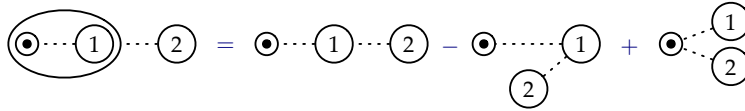


Figure 12: Popping bubbles to produce non-rooted trees.

The sign factor $(-1)^{\ell(p)}$ follows from the relative minus sign in (3.20). A simple example is given in Figure 12. The edges connected to the root vertex can only go forward in time. Other edges in the partition receive both forward and backward branches from (3.20). The generalization to arbitrary partitions is straightforward. The discussion on $e(p')$ and the symmetry factors go through with little modification. The fact that $\omega(\tau)$ for non-rooted trees can be computed with an arbitrary choice of semi-root means that the tree integral for non-rooted trees can be written as a single integral without restrictions on the integration range other than those required by the retarded Green's functions.

4 Hopf algebra

After the EOM iteration and the Magnus expansion, we reach another level of abstraction and discuss the Hopf algebra structure behind the eikonal expansion. Our discussion follows early papers on the subject, such as refs. [32, 33]. For a recent update on the mathematics of Magnus expansion, see ref. [25] and references therein.

Our interest lies not in the Hopf algebra for its own sake, but in the practical application arising from it. After reviewing the Hopf algebra originally developed for rooted trees, we propose an extension to non-rooted trees compatible with the non-rooted extension of the previous section. The Hopf algebra allows us to prove non-trivial relations satisfied by $e(\tau)$ and $\omega(\tau)$, which we turn to an efficient algorithm to compute them.

4.1 CEM Hopf algebra for rooted trees

We give an informal introduction to the Hopf algebra of Calaque, Ebrahimi-Fard, Manchon (CEM) [33], mainly for physicists not familiar with Hopf algebra. The authors' interest in the Hopf algebra began with the realization that the ‘‘eikonal coefficients’’ in (2.28), when restricted to rooted trees, agree perfectly with $\omega(\tau)/\sigma(\tau)$ tabulated up to $n = 5$ in ref. [33].

Composition of power series Consider two functions defined by infinite series:

$$\begin{aligned} f(x) &= f_0 + f_1x + f_2x^2 + f_3x^3 + \dots, \\ g(x) &= g_0 + g_1x + g_2x^2 + g_3x^3 + \dots. \end{aligned} \quad (4.1)$$

We impose two mild simplifying assumptions:

$$f_0 = 0, \quad g_0 = 1. \quad (4.2)$$

It is straightforward to compute the coefficients of the composite series order by order,

$$\begin{aligned} (g \circ f)(x) &= g(f(x)) = 1 + (g \circ f)_1x + (g \circ f)_2x^2 + (g \circ f)_3x^3 + \dots, \\ (g \circ f)_1 &= g_1f_1, \\ (g \circ f)_2 &= g_1f_2 + g_2f_1^2, \\ (g \circ f)_3 &= g_1f_3 + 2g_2f_1f_2 + g_3f_1^3. \end{aligned} \quad (4.3)$$

To all orders, every monomial on the RHS is linear in g_k and the orders of f_k add up to n .

Composition of tree series To each power series in (4.1), we associate a ‘‘tree series’’:

$$\begin{aligned} F &= \sum_{\tau} f(\tau)\tau = f(\emptyset) + f(\bullet)\bullet + f(\bullet\leftarrow\bullet)\bullet\leftarrow\bullet + f(\begin{array}{c} \bullet \\ \swarrow \quad \searrow \\ \bullet \quad \bullet \end{array}) + \dots, \\ G &= \sum_{\tau} g(\tau)\tau = g(\emptyset) + g(\star)\star + g(\star\leftarrow\star)\star\leftarrow\star + g(\begin{array}{c} \star \\ \swarrow \quad \searrow \\ \star \quad \star \end{array}) + \dots, \end{aligned} \quad (4.4)$$

where $f(\tau)$, $g(\tau)$ are numerical coefficients, and the sum over trees is a formal sum. We assume that for linear trees ℓ_n with n vertices, the tree coefficients are determined by those in the power series,

$$g(\emptyset) = g_0, \quad g(\bullet) = g_1, \quad g(\bullet\leftarrow\bullet) = g_2, \quad g(\ell_n) = g_n, \quad (4.5)$$

and that there exists a canonical extension to non-linear trees.

The composition of two tree series follows from the replacement rule,

$$\star \rightarrow f(\bullet) \bullet + f(\bullet \leftarrow \bullet) \bullet \leftarrow \bullet + f(\bullet \rightarrow \bullet) \bullet \rightarrow \bullet + \dots, \quad (4.6)$$

and the result is best summarized in terms of partitions,²

$$G \star F = \sum_{\tau} (g \star f)(\tau) \tau, \quad (g \star f)(\tau) = \sum_{p \in P(\tau)} g(p) f(\tau \setminus p). \quad (4.7)$$

The role of partitions here is similar to the one in the previous section. When restricted to linear trees, the result agrees with the ordinary composition of power series (4.3).

Figure 13 lists all 16 partitions of a tree with four edges (five vertices). The edges belonging to the partition are denoted by dotted lines; in the composition $[G \star F]$, they come from the G side. The solid lines come from F through the replacement rule (4.6).

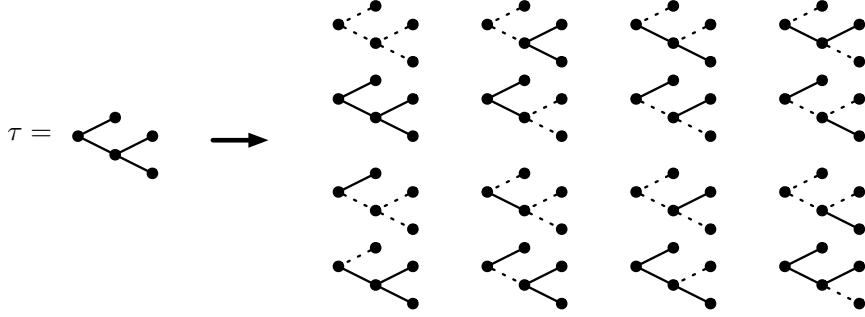


Figure 13: A rooted tree and its partitions.

Hopf algebra A key ingredient of the CEM Hopf algebra is the co-product,

$$\Delta(\tau) = \sum_{p \in P(\tau)} p \otimes (\tau \setminus p), \quad (4.8)$$

which is coassociative,

$$(\text{id} \otimes \Delta) \circ \Delta = (\Delta \otimes \text{id}) \circ \Delta. \quad (4.9)$$

We can rewrite the composition rule for the tree series (4.7) slightly abstractly as

$$(g \star f)(\tau) = (\mu \circ (g \otimes f) \circ \Delta)(\tau), \quad (4.10)$$

where μ takes the product of two functions.

Another key element of the CEM Hopf algebra is the antipode S satisfying

$$\mu \circ (S \otimes \text{id}) \circ \Delta = \mu \circ (\text{id} \otimes S) \circ \Delta = \bullet \delta_{\bullet}, \quad (4.11)$$

where the single-vertex tree (\bullet) is considered as an identity element among trees and $\delta_{\tau_1}(\tau_2)$ is the Kronecker delta on trees:

$$\delta_{\tau_1}(\tau_2) = 1 \quad (\tau_1 = \tau_2), \quad \delta_{\tau_1}(\tau_2) = 0 \quad (\tau_1 \neq \tau_2). \quad (4.12)$$

Intuitively, the antipode allows us to compute the “inverse” of a tree series with $f(\bullet) = 1$.

²The ordering of G and F in $(G \star F)$ is reversed from the definition of ref. [32].

Exp-Log pair A prototypical example of a pair of tree series satisfying the “inverse” relation is the pair $(e(\tau), \omega(\tau))$, which play a central role throughout this paper:

$$\begin{aligned} e(\tau) &\leftrightarrow e^x = \sum_{n=0}^{\infty} \frac{1}{n!} x^n, \\ \omega(\tau) &\leftrightarrow \log(1+x) = \sum_{n=1}^{\infty} \frac{(-1)^{n-1}}{n} x^n. \end{aligned} \tag{4.13}$$

As explained in ref. [32], the precise relation between the two tree series is

$$(e \star \omega)(\tau) = \delta_{\emptyset}(\tau) + \delta_{\bullet}(\tau) \quad \leftrightarrow \quad e^y|_{y=\log(1+x)} = 1+x. \tag{4.14}$$

We may exchange the role of the two functions and write another composition rule,

$$(\omega_+ \star e_-)(\tau) = \delta_{\emptyset}(\tau) + \delta_{\bullet}(\tau) \quad \leftrightarrow \quad [1 + \log(1+y)]_{y=e^x-1} = 1+x. \tag{4.15}$$

The shift by (± 1) is to ensure the conditions (4.2).

Computing ω As shown in ref. [32], given $e(\tau)$, we can compute $\omega(\tau)$ by solving (4.14). Similarly, we can compute $\omega(\tau)$ by solving (4.15). We are interested in trees with $|\tau| \geq 2$, which sets the RHS to zero. The LHS can be expanded using (4.7):

$$\begin{aligned} (e \star \omega)(\tau) &= e(\bullet)\omega(\tau) + (\text{many smaller trees}) + e(\tau)\omega(\bullet)^n \\ &= \omega(\tau) + (\text{many smaller trees}) + e(\tau). \end{aligned} \tag{4.16}$$

When we work recursively, we assume that $\omega(\tau')$ for all smaller trees τ' are known, so it is clear that $\omega(\tau)$ can be determined uniquely.

Let us apply (4.14) to the example in Figure 13, which admits 16 partitions. After grouping similar terms, we obtain an identity:

$$\begin{aligned} 0 &= \omega(\tau) + e(\tau) + 2e(v_3)\omega(v_3) + 2e(v_3)\omega(\ell_3) + 2e(\ell_3)\omega(\ell_2)^2 \\ &\quad + e(y_4)\omega(\ell_2) + e(\ell_2)\omega(y_4) + e(w_4)\omega(\ell_2) + e(\ell_2)\omega(\ell_2)\omega(v_3) \\ &\quad + 2e(v_4)\omega(\ell_2) + 2e(\ell_2)\omega(v_4), \end{aligned} \tag{4.17}$$

where ℓ_n denotes the linear tree with n vertices, and other nicknames for trees are given in Figure 14. Upon inserting $e(\tau) = 1/15$ and the e, ω values for smaller trees, we obtain $\omega(\tau) = 1/60$, in agreement with (3.22). If we instead use (4.15), we obtain a similar identity as (4.17), with the replacement $e \leftrightarrow \omega$, which still gives the same value for $\omega(\tau)$.



Figure 14: Some nicknames for trees.

4.2 Extension to non-rooted trees

The original CEM Hopf algebra is defined on rooted trees. The tree functions e and ω are “inverses” of each other (they satisfy the antipode relation), so extending $e(\tau)$ to non-rooted trees will automatically extend $\omega(\tau)$ and vice versa.

The extended Murua formula (3.28), derived from the Magnus expansion, suggests a way to extend $\omega(\tau)$ before figuring out how to extend $e(\tau)$. Here, we take an alternative approach; we extend $e(\tau)$ first based on the relation $e(\tau) = \phi(\tau)/|\tau|!$ as explained in section 2.3, and then use the Hopf algebra to extend $\omega(\tau)$. Happily, the two extensions agree perfectly. Using some relations among the tree functions, we will be able to *prove* that the two approaches lead to the same extension of $e(\tau)$ and $\omega(\tau)$.

Computing ω of non-rooted trees The computation of $\omega(\tau)$ using either (4.14) or (4.15) works as well for non-rooted trees as for rooted ones. Applying the rules to the example in Figure 15, we obtain an equation,

$$0 = \omega(\tau) + e(\tau) + 2e(v_3)\omega(\ell_2) + 2e(\ell_2)\omega(v_3) + e(\ell_3)\omega(\ell_2) + e(\ell_2)\omega(\ell_2)^2, \quad (4.18)$$

where we use the same nicknames as in Figure 14. Inserting $e(\tau) = 5/24$ and the e, ω values for smaller trees, we obtain $\omega(\tau) = -1/12$, in agreement with the result of the extended Murua formula shown in Figure 11.

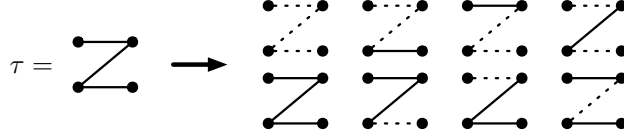


Figure 15: A non-rooted tree and its partitions.

The tree functions $e(\tau)$ and $\omega(\tau)$ satisfy a few interesting properties. We list them and discuss their derivation, interpretation and applications.

Sum rules Let $\hat{\tau}$ be an unoriented tree with n vertices. We associate two sets with $\hat{\tau}$. Let $A(\hat{\tau})$ be the set of 2^{n-1} oriented trees obtained by assigning all possible orientations to the edges of $\hat{\tau}$. Some elements of $A(\hat{\tau})$ are isomorphic to each other as oriented trees. Let $B(\hat{\tau})$ be a subset of $A(\hat{\tau})$, where we count isomorphic oriented trees as one element. In other words, $B(\hat{\tau})$ is an equivalence class among all oriented trees with n vertices. The sum rules are stated as follows.

Sum rules for $e(\tau)$:

$$\sum_{\tau \in A(\hat{\tau})} e(\tau) = 1, \quad \sum_{\tau \in B(\hat{\tau})} \frac{e(\tau)}{\sigma(\tau)} = \frac{1}{S(\hat{\tau})}. \quad (4.19)$$

Sum rules for $\omega(\tau)$:

$$\sum_{\tau \in A(\hat{\tau})} \omega(\tau) = (-1)^{|\hat{\tau}|-1}, \quad \sum_{\tau \in B(\hat{\tau})} \frac{\omega(\tau)}{\sigma(\tau)} = \frac{(-1)^{|\hat{\tau}|-1}}{S(\hat{\tau})}. \quad (4.20)$$

For both sum rules $S(\hat{\tau})$ is the symmetry factor of the unoriented tree. The second ω sum rule may be called ‘‘Feynman reduction’’, as it relates the eikonal expansion to the formal WQFT expansion. For both functions, the second variant of the sum rule follows from the first one by the orbit-stabilizer theorem in group theory; for any tree function $f(\tau)$,

$$\sum_{\tau \in B(\hat{\tau})} \frac{S(\hat{\tau})}{\sigma(\tau)} f(\tau) = \sum_{\tau \in A(\hat{\tau})} f(\tau). \quad (4.21)$$

Feynman reduction is equivalent to the following statement. Ignoring causality prescriptions of all propagators and summing over the coefficients of all equivalent diagrams, we obtain the coefficient (inverse of the symmetry factor) that would have been associated to the corresponding Feynman diagram. The physical motivation is that the effective action computed from the usual diagrammatic rules should be equal to the vacuum expectation value of the $\hat{\chi}$ operator computed using the Magnus expansion, if all propagators can never go on-shell. In other words, the Magnus expansion captures the subtleties in Wick-rotating Euclidean computations to Lorentzian signatures in the form of causality prescriptions. This property is important since tools developed for scattering amplitudes, such as generalized unitarity, can be used to construct the integrands. The $i0^+$ prescriptions can be determined after reduction to master integrals; see section 5.2.

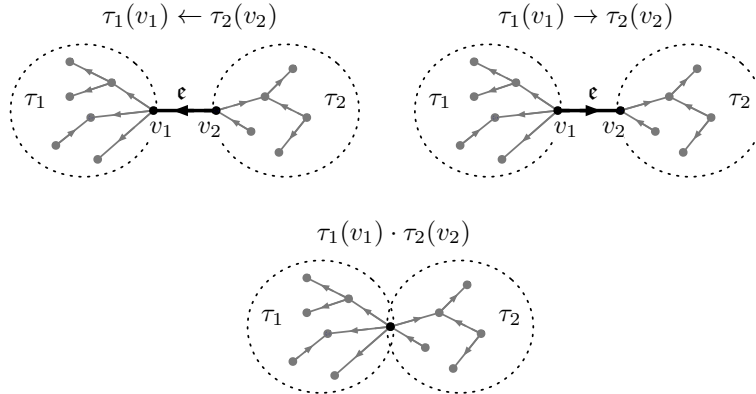


Figure 16: Three ways to combine two trees.

Contraction rules To state the contraction rules, we introduce three ways to combine two trees, τ_1 and τ_2 , to form a bigger tree. The definitions are pictorially explained in Figure 16. Although the definitions depend crucially on the choice of vertices, for brevity, we may sometimes omit the reference to the vertices and write $\tau_{[1 \leftarrow 2]}$, $\tau_{[1 \rightarrow 2]}$, $\tau_1 \cdot \tau_2$.

Contraction rule for $e(\tau)$:

$$e(\tau_{[1 \leftarrow 2]}) + e(\tau_{[1 \rightarrow 2]}) = e(\tau_1)e(\tau_2). \quad (4.22)$$

Contraction rule for $\omega(\tau)$:

$$\omega(\tau_{[1 \leftarrow 2]}) + \omega(\tau_{[1 \rightarrow 2]}) = -\omega(\tau_1 \cdot \tau_2). \quad (4.23)$$

We may state the latter slightly differently. For a graph τ , the graph $\tau|_{\bar{\epsilon}}$ is obtained by selecting an edge $\epsilon \in \tau$ and reversing its orientation. Define the graph τ_{ϵ_c} as the graph obtained from τ by shrinking the edge ϵ to a vertex. Then, the contraction rule reads

$$\omega(\tau) + \omega(\tau|_{\bar{\epsilon}}) = -\omega(\tau_{\epsilon_c}). \quad (4.24)$$

The edge contraction can be physically motivated as follows. Consider a UV theory with the interaction Hamiltonian H_{UV} . Integrating out heavy degrees of freedom, we get an effective theory with the interaction Hamiltonian H_{eff} . Given a graph τ , assume that the edge $\epsilon \in \tau$ corresponds to the propagator of the heavy degrees of freedom in the UV theory. In the effective theory, the heavy degrees of freedom cannot become on-shell, so its causality prescription is irrelevant. This means the graph τ and the graph $\tau|_{\bar{\epsilon}}$ obtained by reversing the causality of edge ϵ results in the same integral, and they can be considered the same. Moreover, the two H_{UV} operators joined by the edge ϵ can be shrunk to the effective operator H_{eff} , leading to the graph τ_{ϵ_c} obtained by shrinking $\epsilon \in \tau$ to a vertex. Requiring the sum of the first two integrals solely composed of H_{UV} to be equal to the second integral with the edge ϵ replaced by H_{eff} yields the edge contraction relation.

Combinatorics of the rules for e For $e(\tau)$, both the sum rule and the contraction rule admit simple combinatorial interpretation. In terms of the ordering counting function $\phi(\tau)$, the first sum rule reads

$$\sum_{\tau \in A(\hat{\tau})} \phi(\tau) = n!, \quad (4.25)$$

which splits $n!$ possible orderings of n vertices into subsets depending on the orientation of the edges of τ . The second sum rule is equivalent to the first one, except that we group trees related by symmetry.

Similarly, the contraction rule can be understood as

$$\phi(\tau_{[1 \leftarrow 2]}) + \phi(\tau_{[1 \rightarrow 2]}) = \phi(\tau_1)\phi(\tau_2)\frac{n!}{n_1!n_2!}. \quad (4.26)$$

The LHS counts the ordering of vertices subject to the oriented tree, except that the orientation of the edge connecting τ_1 and τ_2 is ignored. The RHS counts the ordering within τ_1 and τ_2 separately, and then take account of the relative ordering between the n_1 vertices of τ_1 and the n_2 vertices of τ_2 .

Contraction rule for ω When we regard an unoriented tree $\hat{\tau}$ as an equivalence class among oriented trees, the contraction rule allows us to compute ω for all trees in a class from that of any one tree in the same class. In a situation where we have two or more candidate functions for ω , if all of them satisfy the contraction rule and agree on the ω value for at least one tree in each class, then they should all be the same.

We have three candidates for ω : the extended Murua formula (3.28), and two variants of the Hopf algebra relation (4.14), (4.15). We will show that all three satisfy the contraction rule. Assuming that the original Murua formula (3.21) agrees with Hopf algebra for

and consider the sum

$$K(\tau_{[1\leftarrow 2]}) + K(\tau_{[1\rightarrow 2]}) = 0. \quad (4.31)$$

For the sum over partitions containing the edge ϵ to be contracted, the contraction rule for $e(\tau)$ leads to the factorization

$$\left[\sum e(p_1)\omega(\tau_1 \setminus p_1) \right] \left[\sum e(p_2)\omega(\tau_2 \setminus p_2) \right], \quad (4.32)$$

which vanishes by (4.30). For the sum over partitions not containing the edge ϵ , we get

$$\omega(\tau_{[1\leftarrow 2]}) + \omega(\tau_{[1\rightarrow 2]}) - \sum_{p \neq \emptyset} e(p)\omega(\tau_1 \cdot \tau_2 / p) = \omega(\tau_{[1\leftarrow 2]}) + \omega(\tau_{[1\rightarrow 2]}) + \omega(\tau_1 \cdot \tau_2), \quad (4.33)$$

where we used the ω contraction rule for smaller trees and (4.30). Again, we conclude that the ω contraction rule holds for $|\tau| = n$.

Finally, we show that the extended Murua formula (3.28) satisfies the contraction rule. Let us assume that the contracted edge ϵ is not attached to the semi-root. Among all partitions, those with $\epsilon \notin p'$ produces the Murua formula for $-\omega(\tau_1 \cdot \tau_2)$ (like the top line in Figure 18). The partitions with $\epsilon \in p'$ cancel out, as the two terms carry the same $B_{|p|-1}e(p')\omega(\tau \setminus p)$ but opposite signs from $(-1)^{\ell(p)}$.

The assumption that the contracted edge is not connected to the semi-root does not hold when both τ_1 and τ_2 are rooted and their roots are connected by ϵ . But, we can turn the trees upside-down to keep ϵ away from the semi-root.

Sum rule for ω The sum rule for ω is also proved recursively. It holds trivially for $|\hat{\tau}| = 1$. We assume that the rule holds for all sets $A(\hat{\tau})$ up to $|\hat{\tau}| = n - 1$, and examine the sum for $|\hat{\tau}| = n$. Consider a chain of equalities,

$$\sum_{\tau \in A(\hat{\tau})} \omega(\tau) = - \sum_{\tau \in A(\hat{\tau})} \sum_{p \neq \tau} \omega(p)e(\tau \setminus p) = - \sum_{\tau \in A(\hat{\tau})} \sum_{p \neq \tau} \omega(p)2^{|p|-|\tau|}. \quad (4.34)$$

The first equality is the Hopf algebra relation (4.15) for $|\tau| \geq 2$. The second equality requires two steps. First, we use the contraction rule for e in the reverse order to recover $e(\tau')$ for several $\tau' \in A(\hat{\tau})$ from disconnected components in $(\tau \setminus p)$; see Figure 19. Second, after rearranging the $e(\tau')$ within the sum over $\tau \in A(\hat{\tau})$, we use the sum rule for e to replace e by its average value, $2^{|p|-|\tau|}$.

Similarly, we apply the sum rules for ω for smaller trees to replace $\omega(p)$ by its average value, $(-1)^{|p|-1} \cdot 2^{1-|p|}$. Counting the number of distinct partitions at a fixed $|p|$, we find

$$\begin{aligned} \sum_{\tau \in A(\hat{\tau})} \omega(\tau) &= -2^{|\hat{\tau}|-1} \sum_{|p|=1}^{|\hat{\tau}|-1} \binom{|\hat{\tau}|-1}{|p|-1} (-1)^{|p|-1} \cdot 2^{1-|p|} \cdot 2^{|p|-|\hat{\tau}|} \\ &= - \sum_{|p|=1}^{|\hat{\tau}|-1} \binom{|\hat{\tau}|-1}{|p|-1} (-1)^{|p|-1} = (-1)^{|\hat{\tau}|-1}. \end{aligned} \quad (4.35)$$

Hence, the proof is complete.

$$\begin{aligned}
& \begin{array}{c} \bullet \\ \diagup \\ \bullet \end{array} \begin{array}{c} \bullet \\ \diagdown \\ \bullet \end{array} \begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} \rightarrow \omega \left(\begin{array}{c} \bullet \\ \diagup \\ \bullet \end{array} \begin{array}{c} \bullet \\ \diagdown \\ \bullet \end{array} \begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} \right) e \left(\begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} \begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} \right) \\
e \left(\begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} \begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} \right) &= e \left(\begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} \begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} \right) + e \left(\begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} \begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} \right) \\
&= e \left(\begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} \begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} \right) + e \left(\begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} \begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} \right) + e \left(\begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} \begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} \right) + e \left(\begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} \begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} \right)
\end{aligned}$$

Figure 19: Using the contraction rule in the reverse order to turn $e(\tau \setminus p)$ to $e(\tau')$.

Fast algorithm for computing e and ω The contraction rules can be used to devise a novel algorithm to compute $e(\tau)$ and $\omega(\tau)$. For each equivalence class $\hat{\tau}$, we take the “maximally folded tree” in the sense shown in Figure 20. We apply the extended Murua formula (3.28) to compute $\omega(\tau)$ and the recursion formula to compute $e(\tau)$. For all the other trees in the same class, we use the contraction rules to compute e and ω .

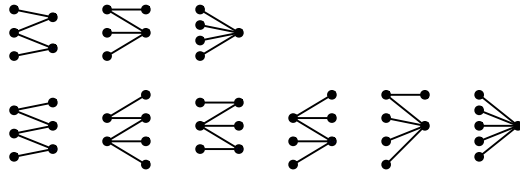


Figure 20: Maximally folded trees for $n = 5$ (top) and $n = 6$ (bottom). For each unoriented tree, the maximally folded tree is unique up to an overall flip of orientation.

The *Mathematica* notebook, `contraction-omega.nb`, included in the set of ancillary files to this paper, computes $e(\tau)$ and $\omega(\tau)$ for all (rooted and non-rooted) trees up to $n = 12$ in less than an hour in a state-of-the-art laptop computer, which is substantially faster than the EOM-based method and the Hopf-algebra-based method explained earlier. Empirically, the computing time for the fast algorithm scales as c^n ($c \sim 6$), a notable reduction from the $\sim (n!)^2$ scaling of the EOM-based method and the $\sim (n!)$ scaling of the Hopf-algebra-based method.

5 Application to post-Minkowskian dynamics

Generalization to relativistic scattering (including dynamical background field degrees of freedom) becomes almost trivial when we repackage the classical computation as a quantum field theory computation through the worldline quantum field theory (WQFT) formalism [26], where propagation of worldline DOFs and graviton DOFs are treated on an equal footing as quantum field theory (QFT) two-point functions.

The necessary small leap is a simple shift of view on the eikonal. Up to this point, we used the scattering generator equation (2.4) as the definition for the eikonal and determined propagator causality prescriptions based on diagrammatic representation of Poisson brackets (causality cuts), and then showed that the same causality prescription is given by the Magnus expansion. We now reverse the logic: We *define* the eikonal by the Mag-

nus expansion, and argue that the diagrams corresponding to scattering observables are obtained from the scattering generator equation (2.4) where Poisson brackets are computed by causality cuts. The nontrivial part of the leap is arguing that the causality cuts for graviton propagators will also compute Poisson brackets in the extended phase space where both worldline DOFs and graviton DOFs are present.

This section is organized as follows. We first recall the properties of two-point functions in QFT, and provide evidence that the causality cut for graviton propagators also compute Poisson brackets. Next, we compute the 3PM 1SF eikonal from WQFT as a demonstration that the causality prescription given by the Magnus expansion also applies to graviton propagators. We conclude the section by checking the 3PM impulse computed from the eikonal against full impulse calculations and commenting on the missing bremsstrahlung loss, which may be recovered when “radiative eikonal” is included in the scattering generator equation (2.4).

5.1 Wightman, Pauli and Jordan, and all that

The elementary building blocks for perturbative QFT are the Wightman functions,³

$$G^+(x, y) := \langle 0 | \phi(x) \phi(y) | 0 \rangle, \quad G^-(x, y) := \langle 0 | \phi(y) \phi(x) | 0 \rangle, \quad (5.1)$$

where $\phi(x)$ is an abstract (fluctuation) field; it stands for any (dynamical) field content of the theory. The Wightman functions satisfy the free field equations for both arguments,

$$(\square_x - m^2) G^\pm(x, y) = (\square_y - m^2) G^\pm(x, y) = 0, \quad (5.2)$$

where \square_x is the d’Alembertian in the variable x and m is the mass of the field ϕ .⁴

The Pauli-Jordan function is the vacuum expectation value of the commutator,

$$G(x, y) := \langle 0 | [\phi(x), \phi(y)] | 0 \rangle = G^+(x, y) - G^-(x, y) = [\phi(x), \phi(y)], \quad (5.3)$$

where the last equation follows from the fact that the commutator of fields is a c -number.

The retarded/advanced Green’s functions are

$$\begin{aligned} G_R(x, y) &:= +\theta(x^0 - y^0) G(x, y), \\ G_A(x, y) &:= -\theta(y^0 - x^0) G(x, y) = G_R(y, x), \end{aligned} \quad (5.4)$$

where $\theta(x)$ is the Heaviside step function. The Green’s functions satisfy the inhomogeneous wave equations,

$$(\square_x - m^2) G_{R,A}(x, y) = -\delta(x^0 - y^0) [\partial_0 \phi(x), \phi(y)] = i\delta^D(x - y), \quad (5.5)$$

where we have used equal time commutation relations between the field $\phi(y)$ and its conjugate momentum field $\pi(x) = \partial_0 \phi(x)$.

³We mostly follow ref. [34], with some factors of i ’s removed to simplify the diagrammatic rules. Although we only work with bosonic fields, generalization to fermionic fields should be straightforward.

⁴We use mostly positive metric signature. For worldline fields, $\square_\sigma = -\frac{\partial^2}{\partial \sigma^2}$ is the double time derivative.

The Feynman propagator is

$$\begin{aligned} G_F(x, y) &:= \langle 0|T\phi(x)\phi(y)|0\rangle = \theta(x^0 - y^0) G^+(x, y) + \theta(y^0 - x^0) G^-(x, y) \\ &= \frac{1}{2} [G_R(x, y) + G_A(x, y)] + \frac{1}{2} [G^+(x, y) + G^-(x, y)] , \end{aligned} \quad (5.6)$$

which also satisfies the inhomogeneous wave equation (5.5). Note that the Feynman propagator is equivalent to the average of retarded and advanced Green’s functions for worldline fields, since the average over Wightman functions vanish.

The *causality cut* is defined as the difference between retarded and advanced Green’s functions extend to general fields,⁵

$$G_{R-A}(x, y) := G_R(x, y) - G_A(x, y) = G(x, y) = [\phi(x), \phi(y)] , \quad (5.7)$$

and corresponds to the Pauli-Jordan function. “De-quantizing” the causality cut yields the Poisson bracket between fields,

$$G_{R-A}(x, y) = [\phi(x), \phi(y)] \xrightarrow{\text{de-quantise}} G_{R-A}(x, y) = -i\{\phi(x), \phi(y)\} . \quad (5.8)$$

When attached to vertices, the causality cuts of worldline fields compute the Poisson bracket between the amputated vertices; see appendix B for a detailed proof.

We also expect that causality cuts of bulk graviton fields compute the Poisson bracket between amputated vertices. This is because the key ingredient of the proof is (5.8) with the Poisson bracket between fluctuation fields substituted by that of background fields. The vertex amputation rules only computed derivatives of vertex rules, and it was necessary to pair them with causality cuts to complete them into a Poisson bracket between vertices. However, there is a loophole that needs to be closed; the Lagrangian (2nd order) action is implicitly assumed in this section, while the Hamiltonian (1st order) action is assumed in appendix B. Nonetheless, we expect that the gap is not a serious obstacle for extending results in one action formulation to the other.

5.2 3PM 1SF eikonal from WQFT

The expansion in terms of the (symmetric) mass ratio $\nu = \frac{m_1 m_2}{(m_1 + m_2)^2}$ is colloquially known as the self-force (SF) expansion. The leading order (0SF) corresponds to the background-probe limit, where the radial action coincides with the classical eikonal and can be most efficiently computed from the Schwarzschild solution in the isotropic coordinates; see appendix A and references therein. The leading order eikonal where causality prescription matters for propagators of massless particles⁶ is the 1SF sector of the 3PM eikonal. As a demonstration that the causality prescription from the Magnus expansion extends to propagators of massless particles, we compute the 3PM 1SF eikonal using WQFT.

We use Feynman rules for WQFT presented in ref. [26], therefore adopt mostly negative metric signature in this section.⁷ The xTras bundle of xAct package [36] was used for

⁵This definition is slightly different from that of ref. [20], which was restricted to worldline fields. This combination of Green’s functions is also known as the Peierls bracket [35].

⁶More precisely, where propagators of massless particles can become on-shell in the integration domain of loop momenta and therefore the integral depends on their causality prescriptions.

⁷The bulk graviton Feynman rules were provided by Gustav Jakobsen to one of the authors (JWK).

evaluating the integrands, and LiteRed2 package [37] was used for integration-by-parts (IBP) reduction to master integrals.

We use notations of ref. [38] for the master integrals whose values are taken from ref. [39].⁸ For the reader's convenience, the notation for the master integrals are reproduced below.

$$I_{n_1 \dots n_7}^{\sigma_1 \sigma_2} = \int_{l_1, l_2} \frac{\delta(l_1 \cdot v_2) \delta(l_2 \cdot v_1)}{\prod_{i=1}^7 D_i^{n_i}}, \quad (5.9)$$

$$\begin{aligned} D_1 &= l_1 \cdot v_1 + \sigma_1 i 0^+, & D_2 &= l_2 \cdot v_2 + \sigma_2 i 0^+, & D_3 &= (k^0 + i 0^+)^2 - \vec{k}^2, \\ D_4 &= l_1^2, & D_5 &= l_2^2, & & \\ D_6 &= (l_1 + q)^2, & D_7 &= (l_2 + q)^2. & & \end{aligned} \quad (5.10)$$

The $v_i^\mu = p_i^\mu / m_i$ are the velocity vectors. We have fixed the causality prescription for D_3 , where $k^\mu = l_1^\mu + l_2^\mu + q^\mu$. The alternative causality prescription for D_3 can be mapped to this set of integrals with $\sigma_{1,2} \rightarrow -\sigma_{1,2}$. The relevant master integrals are

$$\begin{aligned} I_{(1)} &= I_{0,0,1,1,1,0,0}, & I_{(2)} &= I_{0,0,2,1,1,0,0}, & I_{(3)} &= I_{-1,-1,3,1,1,0,0}, \\ I_{(4)} &= I_{1,1,1,1,1,0,0}, & I_{(5)} &= I_{0,0,1,1,0,1,0}, & I_{(6)} &= I_{0,0,1,1,1,1,1}, \\ I_{(7)} &= I_{-1,-1,1,1,1,1,1}, & I_{(8)} &= I_{0,0,0,1,1,1,1}. \end{aligned} \quad (5.11)$$

The causality prescription only matters for the master integral $I_{(4)}$, where the two distinct values are given by $I_{(4)}^{++} = I_{(4)}^{--}$ and $I_{(4)}^{+-} = I_{(4)}^{-+}$. The listed master integrals were classified as b -type integrals based on the observation that the integrals are relevant for the impulse in the direction of b^μ [38]. Note that the other master integrals, referred to as the v -type integrals based on the observation that the integrals are relevant for the impulse in the direction of v_i^μ , do not appear in the calculations. This is consistent with the expectation that $\{\chi_{(3)}, p_1^\mu\}$ can only contribute to the impulse in the b^μ direction, and that the ‘‘longitudinal impulse’’ arises from iterations. The observation implies that we need to solve for less number of master integrals when we compute the eikonal, when compared to computing the impulse.

The relevant diagrams for the eikonal are given in Figure 21. For the last two diagrams, 21e and 21f, their mirror images obtained by exchanging worldlines $1 \leftrightarrow 2$ should also be added for full results. We use Feynman reduction property of the tree function $\omega(\tau)$ (4.20) and construct the integrand through the usual Feynman rules, perform IBP reduction of the integrand to master integrals, substitute the master integrals whose weights are determined by the tree function $\omega(\tau)$, and then perform the impact parameter space Fourier transform. The evaluated diagrams (before weighting the master integrals) are presented in the ancillary file `diagram_data.dat.m`. We comment on evaluation of the diagrams before presenting the results.

The diagram 21a is the most interesting in that it is the only diagram where causality prescription of worldline propagators matters. The relevant master integral is $I_{(4)}$, which

⁸The tabulated canonical basis in (4.59) of ref. [38] is missing a factor of ζ ; a factor of ζ should be present in all canonical integrals, not only $I_{(4)}^{C,+ \pm}$. JWK would like to thank Gustav Jakobsen for clarification.

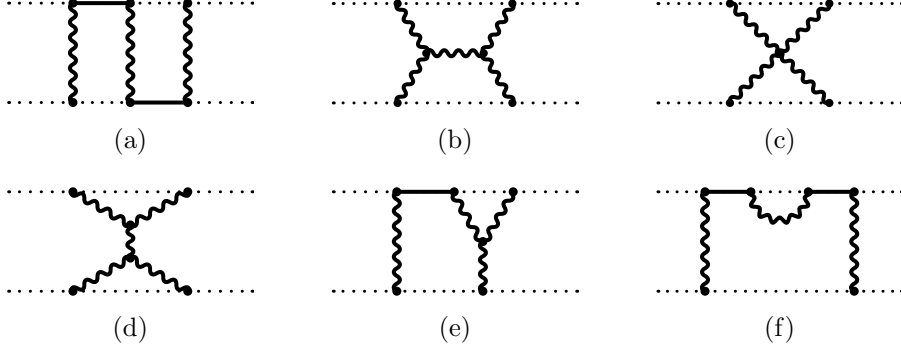


Figure 21: WQFT diagrams for 3PM 1SF eikonal.

Mirror diagrams ($1 \leftrightarrow 2$) of (e) and (f) should be added for full results.

we weight by $I_{(4)} = w_{++}I_{(4)}^{++} + w_{+-}I_{(4)}^{+-}$. The weights $w_{\pm\pm}$ can be determined from the tree function $\omega(\tau)$, where we use edge contraction rule (4.23) to amputate the outermost graviton propagators since their causality prescriptions are irrelevant.⁹

$$\begin{aligned}
 w_{++} &= - \left[\omega \left(\begin{array}{c} \bullet \bullet \\ \nearrow \searrow \\ \bullet \bullet \end{array} \right) + \omega \left(\begin{array}{c} \bullet \bullet \\ \searrow \nearrow \\ \bullet \bullet \end{array} \right) + \omega \left(\begin{array}{c} \bullet \bullet \\ \nearrow \nearrow \\ \bullet \bullet \end{array} \right) + \omega \left(\begin{array}{c} \bullet \bullet \\ \searrow \searrow \\ \bullet \bullet \end{array} \right) \right] \\
 &= - \left[\left(-\frac{1}{4} \right) + \left(-\frac{1}{12} \right) + \left(-\frac{1}{12} \right) + \left(-\frac{1}{4} \right) \right] = \frac{2}{3}, \tag{5.12a}
 \end{aligned}$$

$$\begin{aligned}
 w_{+-} &= - \left[\omega \left(\begin{array}{c} \bullet \bullet \\ \nearrow \searrow \\ \bullet \bullet \end{array} \right) + \omega \left(\begin{array}{c} \bullet \bullet \\ \searrow \nearrow \\ \bullet \bullet \end{array} \right) + \omega \left(\begin{array}{c} \bullet \bullet \\ \nearrow \nearrow \\ \bullet \bullet \end{array} \right) + \omega \left(\begin{array}{c} \bullet \bullet \\ \searrow \searrow \\ \bullet \bullet \end{array} \right) \right] \\
 &= - \left[\left(-\frac{1}{12} \right) + \left(-\frac{1}{12} \right) + \left(-\frac{1}{12} \right) + \left(-\frac{1}{12} \right) \right] = \frac{1}{3}. \tag{5.12b}
 \end{aligned}$$

This nontrivial weighting is important since the combination cancels the IR divergence of the eikonal. The leading ϵ behaviors of $I_{(4)}^{+\pm}$ are ($D = 4 - 2\epsilon$)

$$\begin{aligned}
 I_{(4)}^{++} &\propto \frac{1}{2\epsilon^2} + \mathcal{O}(\epsilon^{-1}), & I_{(4)}^{+-} &\propto -\frac{1}{\epsilon^2} + \mathcal{O}(\epsilon^{-1}). \\
 \therefore \frac{2}{3}I_{(4)}^{++} + \frac{1}{3}I_{(4)}^{+-} &= \mathcal{O}(\epsilon^{-1}). \tag{5.13}
 \end{aligned}$$

In other words, if the diagrams are computed only using Feynman propagators, the 3PM eikonal will suffer from an IR divergence of the form $\frac{G^3}{\epsilon|b|^2}$ as the weights will become $w_{++} = w_{+-} = \frac{1}{2}$. A similar behavior is also observed in the 0SF sector, where the Magnus expansion provides necessary weighting factors that render the eikonal finite.

The diagrams 21c and 21d are both finite in $D = 4 - 2\epsilon$ and therefore do not contribute to the eikonal. This is consistent with HEFT computations that the four-graviton cut does not contribute to classical physics; see section 6.3 of ref. [40]. The non-vanishing contributions from the diagram 21b is also consistent, since the diagram contributes to the “zig-zag” cuts of HEFT computations; see section 6.2 of ref. [40].

⁹In principle each $\omega(\tau)$ needs to be rescaled by the symmetry factor, $\omega(\tau)/\sigma(\tau)$, but since all relevant propagators are distinct symmetry factors can be dropped in this calculation.

In principle, the “mushroom” family of integrals are needed for the diagram 21f as the two worldline propagators can have differing causality prescriptions. However, the causality prescription for the worldline propagators are irrelevant for this diagram as the integral receives vanishing contributions from the locus of worldline on-shell conditions [41]. We can also argue that the integral family (5.9) is sufficient since a mixed product of retarded and advanced worldline propagators can be rewritten as linear combinations of products of retarded and products of advanced worldline propagators when appropriate regularization scheme is employed; see the discussion in appendix D of ref. [20].

The computed eikonal,

$$\chi_{(3)}^{\text{1SF}} = \frac{G^3 m_1^2 m_2^2}{|b^2|} \left[\frac{2\gamma(-55 + 6\gamma^2(22 - 19\gamma^2 + 6\gamma^4))}{3(\gamma^2 - 1)^{5/2}} + \frac{4(3 + 12\gamma^2 - 4\gamma^4) \operatorname{arccosh}(\gamma)}{(\gamma^2 - 1)} - \frac{2(1 - 2\gamma^2)^2(-8 + 5\gamma^2)}{3(\gamma^2 - 1)^2} + \frac{2\gamma(1 - 2\gamma^2)^2(-3 + 2\gamma^2) \operatorname{arccosh}(\gamma)}{(\gamma^2 - 1)^{5/2}} \right], \quad (5.14)$$

exactly matches the corresponding parts computed from amplitudes [15, 40, 42]; see (5.15c) below. The second line is known as the radiation-reaction contribution, and only receives contributions from diagrams 21a and 21f. One notable aspect of WQFT computations is that the eikonal is real-valued, contrary to amplitudes-based computations where the eikonal is complex-valued.

5.3 3PM impulse from the eikonal

We first list the eikonal reported in the literature [15, 26, 40, 42],

$$\chi_{(1)} = -Gm_1 m_2 \frac{2\gamma^2 - 1}{\sqrt{\gamma^2 - 1}} \log |b^2|, \quad (5.15a)$$

$$\chi_{(2)} = +\frac{3\pi}{4} G^2 m_1 m_2 (m_1 + m_2) \frac{5\gamma^2 - 1}{\sqrt{\gamma^2 - 1}} \frac{1}{|b^2|^{1/2}}, \quad (5.15b)$$

$$\chi_{(3)} = \frac{G^3 m_1 m_2}{3\sqrt{\gamma^2 - 1} |b^2|} [(m_1^2 + m_2^2) X_{(3,0)} + m_1 m_2 (X_{(3,1c)} + X_{(3,1r)})], \quad (5.15c)$$

$$X_{(3,0)} = \frac{(64\gamma^6 - 120\gamma^4 + 60\gamma^2 - 5)}{(\gamma^2 - 1)^2},$$

$$X_{(3,1c)} = \frac{2\gamma(36\gamma^6 - 114\gamma^4 + 132\gamma^2 - 55)}{(\gamma^2 - 1)^2} - \frac{12(4\gamma^4 - 12\gamma^2 - 3)}{\sqrt{\gamma^2 - 1}} \operatorname{arccosh}(\gamma),$$

$$X_{(3,1r)} = (2\gamma^2 - 1)^2 \left[-2 \frac{(5\gamma^2 - 8)}{(\gamma^2 - 1)^{3/2}} + \frac{6\gamma(2\gamma^2 - 3)}{(\gamma^2 - 1)^2} \operatorname{arccosh}(\gamma) \right].$$

For the 3PM eikonal, 0 and 1 in the subscripts distinguishes 0SF from 1SF, and c refers to “conservative” while r refers to “radiation reaction”.

The 3PM impulse reported in the literature can be divided into conservative (c), radiation reaction (rr), and radiation loss (rl) contributions [39, 43–45],

$$\Delta_{(3)} p_1^\mu = \Delta_{(3,c)} p_1^\mu + \Delta_{(3,rr)} p_1^\mu + \Delta_{(3,rl)} p_1^\mu. \quad (5.16)$$

The separation of conservative and radiative contributions is based on the integration domain, where conservative/radiative corresponds to the potential/radiative region. The radiation loss $\Delta_{(3,rl)}p_1^\mu$ refers to the momentum lost by bremsstrahlung [39],

$$\Delta_{(3,rl)}p_1^\mu = (v_2 \cdot P_{\text{rad}})w_2^\mu, \quad P_{\text{rad}}^\mu := -\Delta_{(3)}p_1^\mu - \Delta_{(3)}p_2^\mu, \quad (5.17)$$

where w_i^μ is the dual velocity vector defined by the conditions $(w_i \cdot v_j) = \delta_{ij}$.

$$w_1^\mu := \frac{\gamma v_2^\mu - v_1^\mu}{\gamma^2 - 1}, \quad w_2^\mu := \frac{\gamma v_1^\mu - v_2^\mu}{\gamma^2 - 1}. \quad (5.18)$$

The radiation loss (5.17) is along the longitudinal direction and does not contribute to the scattering angle. We refer to ref. [39] for the full impulse.

The impulse computed by inserting (5.15) into the scattering generator equation (2.4) reproduces (5.16) *except* the radiation loss (5.17), when the Poisson brackets are computed in the phase space spanned by worldline DOFs, i.e. $b^\mu \sim x_1^\mu - x_2^\mu$ and p_i^μ .¹⁰ It is important to recall that the impact parameter space is “noncommutative” [20],

$$\{b^\mu, b^\nu\} \doteq \frac{b^\mu w_1^\nu - b^\nu w_1^\mu}{m_1} - \frac{b^\mu w_2^\nu - b^\nu w_2^\mu}{m_2}, \quad (5.19)$$

where \doteq denotes equality up to mass-shell conditions. Note that the missing radiation loss (5.17) *cannot* be attributed to terms missing in the 3PM eikonal (5.15c), since it is along the “longitudinal” direction w_2^μ which can only arise from nested Poisson brackets.

It would be interesting to show if the radiation loss can be attributed to neglected Poisson bracket contributions from the phase space of the gravitational field, e.g. the missing contribution is computed from iteration of leading-order “radiation eikonal” $\chi_{(1.5)}^{\text{rad}}$ corresponding to emission ($2 \rightarrow 3$) and/or absorption ($3 \rightarrow 2$), which may be viewed as a classical version of the eikonal operator considered in ref. [46], such that

$$\Delta_{(3,rl)}p_1^\mu = \frac{1}{2} \{ \chi_{(1.5)}^{\text{rad}}, \{ \chi_{(1.5)}^{\text{rad}}, p_1^\mu \}_\circ \}_\circ, \quad (5.20)$$

where $\{\bullet, \bullet\}_\circ$ is the extended Poisson bracket that incorporates the symplectic structure of the phase space of the gravitational field.

6 Discussion

We developed the eikonal expansion mainly in a non-relativistic scattering problem, and then applied it to the gravitational binary system using vertex factors from the WQFT literature. It would be interesting to derive systematically the eikonal expansion of a single massive particle in an arbitrary electromagnetic and/or gravitational background, paying attention to the gauge/diffeomorphism invariance of the background field. In refs. [20, 47], it was argued that electromagnetic and gravitational interactions are more naturally described by a deformation of the symplectic form than a deformation of the Hamiltonian.

¹⁰JWK would like to thank Gustav Mogull for bringing this point to attention.

The existence of the classical eikonal remains beyond doubt. However, it is not clear whether/how the techniques developed in this paper could be used in a symplectic perturbation theory.

In the PM computations, the OSF terms are most efficiently computed by the radial action, completely bypassing the need for the eikonal expansion. At 1SF and higher SF orders, it would be interesting to see how much one can reduce the need for the eikonal expansion by extending the use of the radial action.

A topic that has not been discussed is the extension to diagrams with loops. While examination of tree graphs is enough for the classical limit in worldline-based methods, amplitude-based methods necessitate consideration of loop diagrams. Since amplitude-based methods are still extensively used in post-Minkowskian computations, it would be advantageous to have a diagrammatic representation of the Magnus series in the loop expansion. For example, subtraction of iteration/superclassical contributions when computing the effective Hamiltonian [48] could be circumvented when diagrammatic representation of the Magnus series is available for loop expansions.

A related topic in this direction would be to study the analytic structure of the χ -matrix, defined as the logarithm of the S -matrix $\hat{S} = \exp(i\hat{\chi}/\hbar)$. Although the Magnus series makes unitarity of the S -matrix manifest at the price of some analyticity, this does not imply that analyticity properties of the S -matrix will be completely lost in the χ -matrix. Understanding the analytic structures of the χ -matrix may provide us with an insight into various (non-)exponentiation observed in scattering amplitudes; e.g. exponentiation of IR divergences observed by Weinberg [49], exponentiation of $\mathcal{N} = 4$ amplitudes known as the BDS ansatz [50], and *non-exponentiation* of ladder-type diagrams when spin effects are present [9–11, 51, 52]. By studying its analyticity properties, we will be able to determine whether the χ -matrix also possesses convenient properties that could benefit computation of collider observables.

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A Radial action

For a generic potential, $\chi_{(n)}$ gives an n -fold integral. For the central potential, the equivalence between χ and the radial action allows us to write $\chi_{(n)}$ as a single integral. We discuss the relation and present a perturbation formula for the radial action.

Classical eikonal for a central potential We consider the central potential problem with Hamiltonian

$$H = \frac{\vec{p}^2}{2m} + \kappa V(r). \quad (\text{A.1})$$

The angular momentum $\vec{L} = \vec{x} \times \vec{p}$ is conserved, and the motion is confined on the plane perpendicular to \vec{L} . The scattering acts on the vectors \vec{b} and \vec{p} as an SO(2) rotation. The rotation symmetry also implies that the eikonal χ depends on \vec{p} , \vec{b} only through their magnitudes ($|b| = |\vec{b}|$, $|p| = |\vec{p}|$)

$$\chi = \chi(|b|, |p|). \quad (\text{A.2})$$

Recall that the impact parameter vector is defined as

$$\vec{b} = \vec{x} - \frac{\vec{x} \cdot \vec{p}}{p^2} \vec{p}, \quad (\text{A.3})$$

which satisfies

$$\{|p|^2, \vec{b}\} = 0, \quad \{|b|^2, \vec{p}\} = 2\vec{b}, \quad \{|b|^2, \vec{b}\} = -\frac{2|b|^2}{|p|^2} \vec{p}. \quad (\text{A.4})$$

Since $|p|$ commutes with everything, the SO(2) Lie algebra action is given by

$$\{\chi, \hat{p}\} = +\hat{b} \left(\frac{1}{|p|} \frac{\partial \chi}{\partial |b|} \right), \quad \{\chi, \hat{b}\} = -\hat{p} \left(\frac{1}{|p|} \frac{\partial \chi}{\partial |b|} \right). \quad (\text{A.5})$$

Clearly, the relation between the eikonal and the deflection angle should be

$$\frac{1}{|p|} \frac{\partial}{\partial |b|} \chi(|b|, |p|) = \theta(|b|, |p|). \quad (\text{A.6})$$

Radial action vs. classical eikonal The relation (A.6) is reminiscent of how to recover the deflection angle θ from the radial action. Let us try to make a precise connection between the two concepts. The radial action \mathcal{I} is defined as

$$\mathcal{I}(E, L; r_1, r_2) = \int_{r_1}^{r_2} p_r(E, L; r) dr, \quad (\text{A.7})$$

where $p_r(E, L, r)$ is the solution of the equation

$$E = \frac{p_r^2}{2m} + \frac{L^2}{2mr} + \kappa V(r). \quad (\text{A.8})$$

For fixed E , \mathcal{I} generates the angular motion on the plane as

$$\frac{\partial \mathcal{I}}{\partial L} = \phi_2 - \phi_1. \quad (\text{A.9})$$

Assuming that the polar angle ϕ increases along the particle trajectory, in a convention that the scattering angle θ is positive for a repulsive potential, the relation between θ and the change of ϕ is

$$\theta = \pi - \Delta\phi. \quad (\text{A.10})$$

In a scattering problem, the particle trajectory connects the past and future asymptotic regions while going through a minimum value of r . It is convenient to compute the radial action with r_{\min} as a reference point,

$$\mathbb{I}(|p|, |b|; r) = |p| \int_{r_{\min}}^r \sqrt{1 - \frac{b^2}{r^2} - \frac{2m\kappa V(r)}{p^2}} dr, \quad L = |b||p|. \quad (\text{A.11})$$

Comparing (A.6), (A.9) and (A.11), we note that the relation between the classical eikonal χ and the radial action should be

$$\chi(|p|, |b|) = 2 \lim_{R \rightarrow \infty} \mathbb{I}(|p|, |b|; R)_{\text{finite}}. \quad (\text{A.12})$$

It is understood that the term linearly divergent in R (and a $\log R$ term for a $1/r$ potential) are subtracted out. From here on, we assume that the potential falls off faster than $1/r$.

Perturbation theory For a perturbation theory, there is an alternative to the relation (A.12). We rewrite (A.11) slightly as

$$\mathbb{I}(\kappa, \tilde{r}) = p \int_{\tilde{r}_{\min}}^{\tilde{r}} \sqrt{\tilde{r}^2(1 - u(\tilde{r})) - b^2} \frac{d\tilde{r}}{\tilde{r}}, \quad u(\tilde{r}) = \frac{2m\kappa V(\tilde{r})}{p^2}. \quad (\text{A.13})$$

We renamed the original radial coordinate “ \tilde{r} ” for later convenience. The relation between \tilde{r}_{\min} and b is non-trivial. One way to proceed is to define a new radial coordinate r by

$$\tilde{r}^2(1 - u(\tilde{r})) = r^2. \quad (\text{A.14})$$

It follows that

$$\tilde{r} = \tilde{r}_{\min} \iff r = b, \quad 2\frac{d\tilde{r}}{\tilde{r}} + d\log(1 - u) = 2\frac{dr}{r}. \quad (\text{A.15})$$

The divergent part is clearly separated from the finite part. Doing an integration parts for the latter, we arrive at the alternative expression,

$$\chi = \frac{p}{2} \int_{-\infty}^{\infty} \log(1 - u(\tilde{r})) dz, \quad r = \sqrt{b^2 + z^2}. \quad (\text{A.16})$$

The new integration variable is z , which quickly determines \tilde{r} , but u is still a function of the old \tilde{r} . To proceed further, we should solve (A.14) to express r as a function of \tilde{r} .

$$\tilde{r} = f(r) = \tilde{r} + f_1(r) + f_2(r) + \dots \quad (\text{A.17})$$

and use it to expand the integrand of (A.16). The leading order answer is as expected:

$$\chi_{(1)} = -\frac{p}{2} \int_{-\infty}^{\infty} u\left(\sqrt{z^2 + b^2}\right) dz = -\kappa \int_{-\infty}^{\infty} V(\vec{b} + \vec{v}t) dt. \quad (\text{A.18})$$

Solving (A.17) order by order becomes cumbersome at higher orders.

All order formula from a contour integral It is convenient to take r^2 as an independent variable; define $x = r^2$, $\tilde{x} = \tilde{r}^2$, etc. The coordinate change now reads

$$\tilde{x}(1 - u(\tilde{x})) = x \implies \frac{d\tilde{x}}{\tilde{x}} + d\log(1 - u(\tilde{x})) = \frac{dx}{x}. \quad (\text{A.19})$$

Our goal is to show that

$$F(x) := -\log(1 - u[\tilde{x}(x)]) = \sum_{n=1}^{\infty} \frac{1}{n!} \left(\frac{d}{dx}\right)^{n-1} [x^{n-1}u(x)^n]. \quad (\text{A.20})$$

To begin, we use Cauchy's formula to write

$$\begin{aligned} -\log(1 - u[\tilde{x}(x)]) &= -\frac{1}{2\pi i} \oint \log(1 - u[\tilde{w}(w)]) \frac{dw}{w - x} \\ &= -\frac{1}{2\pi i} \oint \log\left(\frac{w}{\tilde{w}}\right) \frac{dw}{w - x}, \end{aligned} \quad (\text{A.21})$$

where we used (A.19). Now we add to both sides

$$0 = \frac{1}{2\pi i} \oint \left[\frac{dw}{w - x} \log(w) - \frac{d\tilde{w}}{\tilde{w} - x} \log(\tilde{w}) \right]. \quad (\text{A.22})$$

After the $\log(w)$ terms cancel out, we get

$$F(x) = \frac{1}{2\pi i} \oint \left[\frac{dw}{w - x} - \frac{d\tilde{w}}{\tilde{w} - x} \right] \log(\tilde{w}) = \frac{1}{2\pi i} \oint d\log\left(\frac{w - x}{\tilde{w} - x}\right) \log(\tilde{w}). \quad (\text{A.23})$$

The branch cuts are such that we can apply an integration by parts to get

$$F(x) = -\frac{1}{2\pi i} \oint \log\left(\frac{w - x}{\tilde{w} - x}\right) \frac{d\tilde{w}}{\tilde{w}} = -\frac{1}{2\pi i} \oint \log\left(1 - \frac{\tilde{w}u(\tilde{w})}{\tilde{w} - x}\right) \frac{d\tilde{w}}{\tilde{w}}. \quad (\text{A.24})$$

In the last step, we applied (A.19) once again. Relabelling the integration variable ($\tilde{w} \rightarrow w$), expanding the log, and exchanging the sum and the integral, we get

$$F(x) = -\sum_{n=1}^{\infty} \frac{1}{n} \frac{1}{2\pi i} \oint \frac{w^n u(w)^n}{(w - x)^n} \frac{dw}{w} = \sum_{n=1}^{\infty} \frac{1}{n!} \left(\frac{d}{dx}\right)^{n-1} [x^{n-1}u(x)^n]. \quad (\text{A.25})$$

In the final step, we used Cauchy's formula for derivatives. Switching back to the radial coordinates, we obtain the all order formula for $\chi_{(n)}$ in a central potential:

$$\chi_{(n)} = - \left(\frac{p}{2}\right) \frac{1}{2^{n-1}n!} \int \left(\frac{d}{rdr}\right)^{n-1} \left[r^{2(n-1)}u(r)^n\right]. \quad (\text{A.26})$$

This formula is not new in that an equivalent result, the all order scattering angle formula, was given in [53, 54] (see also [55]). But, to the best of our knowledge, our derivation based on a contour integral is new.

It is a straightforward (but not entirely trivial even for $n = 2, 3$) exercise to specialize the general eikonal formula of the main text to a central potential and reproduce (A.26). The precise values of $\omega(\tau)$ are needed to guarantee the matching.

B Diagrammatic representation of Poisson brackets from causality cuts

We consider a worldline in one-dimensional target space whose action takes the form

$$S = \int pdq - \frac{\kappa}{2}(p^2 + m^2)d\sigma + S_{\text{int}}[p, q; A], \quad (\text{B.1})$$

where κ is the Lagrange multiplier field enforcing mass-shell conditions and A denotes implicit dependence on the background field which will be suppressed in the discussions. Generalization to higher-dimensional target space and multiple worldlines is straightforward.

B.1 Vertex amputation rules

We define *fundamental variables* as the variables whose connected correlation functions are constructed from Wick contraction and vertex rules. We make the following definitions for the fundamental variables.

- *Momentum variables* refer to fundamental variables whose background-fluctuation separation takes the form $p(\sigma) = p_0 + \pi(\sigma)$ where $\pi(\sigma)$ is the fluctuation field.
- *Position variables* refer to fundamental variables whose background-fluctuation separation takes the form $q(\sigma) = q_0 + \kappa p_0 \sigma + \phi(\sigma)$ where $\phi(\sigma)$ is the fluctuation field. We refer to p_0 as the conjugate momentum of the background position variable q_0 .

This definition allows us to write vertex rules with the fluctuation field $f(\omega) \in \{\pi(\omega), \phi(\omega)\}$ attached to the delta constraints $\delta(\omega)$ or $\delta'(\omega)$ as amputated vertex rules where the fluctuation field $f(\omega)$ is substituted by derivatives of the background fields.

We first consider a pair of canonically conjugate fields $p(\sigma)$ and $q(\sigma)$ satisfying the definition of momentum and position variables given above. In frequency space, their expansion is given as

$$\begin{aligned} p(\omega) &= p_0 \delta(\omega) + \pi(\omega), \\ q(\omega) &= q_0 \delta(\omega) - i\kappa p_0 \delta'(\omega) + \phi(\omega), \end{aligned} \quad (\text{B.2})$$

which follows from the free action¹¹

$$S_{\text{free}} = \int pdq - \frac{\kappa}{2}(p^2 + m^2)d\sigma, \quad (\text{B.3})$$

where we use the negative frequency expansion $f(\sigma) = \int_{\omega} e^{-i\omega\sigma} f(\omega)$. The Feynman rules for vertices where one of the legs are specified to be $\pi(\omega)$ or $\phi(\omega)$ are obtained from the functional derivative of the interaction action,¹²

$$\begin{aligned} \frac{\delta S_{\text{int}}[p, q]}{\delta \pi(\omega)} &= \frac{\partial p(\omega')}{\partial \pi(\omega)} \frac{\delta S_{\text{int}}[p, q]}{\delta p(\omega')} = \frac{\delta S_{\text{int}}[p, q]}{\delta p(\omega)}, \\ \frac{\delta S_{\text{int}}[p, q]}{\delta \phi(\omega)} &= \frac{\partial q(\omega')}{\partial \phi(\omega)} \frac{\delta S_{\text{int}}[p, q]}{\delta q(\omega')} = \frac{\delta S_{\text{int}}[p, q]}{\delta q(\omega)}. \end{aligned} \quad (\text{B.4})$$

On the other hand, the vertex rules with derivatives of the background field acting on them can be obtained from the same derivative acting on the interaction action,

$$\begin{aligned} \frac{\partial S_{\text{int}}[p, q]}{\partial q_0} &= \frac{\partial q(\omega)}{\partial q_0} \frac{\delta S_{\text{int}}[p, q]}{\delta q(\omega)} = \delta(\omega) \frac{\delta S_{\text{int}}[p, q]}{\delta \phi(\omega)}, \\ \frac{\partial S_{\text{int}}[p, q]}{\partial p_0} &= \frac{\partial p(\omega)}{\partial p_0} \frac{\delta S_{\text{int}}[p, q]}{\delta p(\omega)} + \frac{\partial q(\omega)}{\partial p_0} \frac{\delta S_{\text{int}}[p, q]}{\delta q(\omega)} \\ &= \delta(\omega) \frac{\delta S_{\text{int}}[p, q]}{\delta \pi(\omega)} - i\kappa \delta'(\omega) \frac{\delta S_{\text{int}}[p, q]}{\delta \phi(\omega)}, \end{aligned} \quad (\text{B.5})$$

where the integration \int_{ω} is implicit on the RHS. Therefore, we find the following *amputation rules* for zero frequency fluctuation fields,

$$V_{\phi}[\phi(\omega)]\delta(\omega) \rightarrow \frac{\partial V'}{\partial q_0}, \quad V_{\pi}[\pi(\omega)]\delta(\omega) - i\kappa V_{\phi}[\phi(\omega)]\delta'(\omega) \rightarrow \frac{\partial V'}{\partial p_0}, \quad (\text{B.6})$$

where V' is the amputated vertex rule and $V_{\pi/\phi}$ is the vertex rule with extra π/ϕ fluctuation field attached to the amputated vertex V' .

The exceptional cases not covered by the amputation rules are the worldline fluctuation 3pt vertices, which may appear when the 2pt functions are dependent on the background fields, the twistor worldline model [20] being an example. Such 3pt vertices should be combined with the 2pt functions and checked separately that the amputation rule reduces to taking a background field derivative of the 2pt functions.¹³

Generalization of (B.6) to higher order background field derivatives is straightforward and can be expressed elegantly using the *augmentation operator* Δ which acts on a vertex rule V and converts it to the vertex rule $\Delta_{\phi(\omega)/\pi(\omega)}V$ that has an extra $\phi(\omega)/\pi(\omega)$ fluctuation field compared to the original vertex rule V . The *augmentation rule* is the rewriting of the amputation rule (B.6) given by

$$\frac{\partial}{\partial q_0} = \delta(\omega)\Delta_{\phi(\omega)}, \quad \frac{\partial}{\partial p_0} = \delta(\omega)\Delta_{\pi(\omega)} - i\kappa\delta'(\omega)\Delta_{\phi(\omega)}, \quad (\text{B.7})$$

¹¹Free action refers to terms of the action that are up to quadratic in the fundamental variables.

¹²Interaction action refers to terms of the action that are cubic and higher in the fundamental variables.

¹³When taking a product of propagators with differing $i0^+$ prescriptions, an appropriate regularization procedure is required to remove divergences associated to the ‘‘infinite volume’’ of the real line. See the discussion in appendix D of ref. [20].

which can be applied multiple times. The equality (B.7) should be understood as a statement about operators acting on vertex rules where the integration \int_ω is implicit on the RHS.

B.2 Causality cuts as Poisson brackets

The free action (B.3) leads to the Poisson bracket

$$\{q, p\} = 1 = \{q_0, p_0\}, \quad (\text{B.8})$$

inherited by the background fields and the 2pt functions,

$$\langle \pi(\omega') \phi(\omega) \rangle = -\frac{1}{\omega} \delta(\omega' + \omega), \quad \langle \phi(\omega') \phi(\omega) \rangle = \frac{i\kappa}{\omega^2} \delta(\omega' + \omega), \quad (\text{B.9})$$

where the $i0^+$ prescription determines the causality flow. The causality flow $\omega' \rightarrow \omega$ corresponds to the $i0^+$ prescription $\omega \rightarrow \omega + i0^+$, and *causality cut* is defined as the difference between the retarded and advanced $i0^+$ prescriptions.¹⁴ The cutting rules for the causality cut $[(\omega' \rightarrow \omega) - (\omega \rightarrow \omega')]$ can be written as

$$\begin{aligned} \langle \pi(\omega') \phi(\omega) \rangle &\rightarrow +i\delta(\omega')\delta(\omega) = -i(\delta(\omega')\{p_0, q_0\}\delta(\omega)), \\ \langle \phi(\omega') \phi(\omega) \rangle &\rightarrow -\kappa\delta(\omega' + \omega)\delta'(\omega) = -i(\delta(\omega')\{q_0, p_0\}[-i\kappa\delta'(\omega)] \\ &\quad + [-i\kappa\delta'(\omega')]\{p_0, q_0\}\delta(\omega)). \end{aligned} \quad (\text{B.10})$$

The combinations inside square brackets are intended to reproduce the combination appearing in (B.6).

To show that causality cuts compute Poisson brackets of amputated subdiagrams, we consider the reverse problem of starting from the left/right subdiagrams $G_{L/R}$ and summing over all possible combinations of cuts constructed by selecting a vertex $V_{L/R}$ from each subdiagram $G_{L/R}$ and joining them by the cutting rules (B.10). The augmentation operator Δ defined above (B.7) is useful for this purpose. Applying the cutting rules (B.10) to the sum over propagators joining the augmented vertices ΔV_L and ΔV_R generates the Poisson bracket between the original vertices.

$$\begin{aligned} \sum_{f, g=\pi, \phi} [\Delta_{f(\omega')} V_L] \langle f(\omega') g(\omega) \rangle [\Delta_{g(\omega)} V_R] &\rightarrow \sum_{f_0, g_0=p_0, q_0} \frac{\partial V_L}{\partial f_0} \times -i\{f_0, g_0\} \times \frac{\partial V_R}{\partial g_0} \\ &= -i\{V_L, V_R\}. \end{aligned} \quad (\text{B.11})$$

The integrand $I[G_L]$ of the left subdiagram G_L is given by the product of Feynman rules for the vertices V_i and edges E_i of the diagram divided by the symmetry factor $S[G_L]$ associated to the diagram.

$$I[G_L] = \frac{1}{S[G_L]} \prod_{V_i \in G_L} V_i \prod_{E_i \in G_L} E_i. \quad (\text{B.12})$$

¹⁴Compared to the original definition of “retarded minus time-symmetric” introduced in ref. [20], this definition differs by a factor of 2.

Augmenting a vertex $V_a \in G_L$ converts G_L to the diagram $G_{L \setminus a}$ where the vertex V_a is “colored” and considered distinct from other vertices. We join the vertex V_a with the vertex V_R from the right side of the cut using the cutting rules (B.11) and sum over all possible choices of vertices V_a that lead to distinct diagrams. The corresponding integrand is

$$\left[\sum_{V_a} \left(\frac{1}{S[G_{L \setminus a}]} \prod_{\substack{V_i \in G_L \\ V_i \neq V_a}} V_i \prod_{E_i \in G_L} E_i \right) \times -i\{V_a, V_R\} \right] \times \cdots = -i\{I[G_L], V_R\} \times \cdots, \quad (\text{B.13})$$

where ellipsis denotes the factors from the right side of the cut. Note that RHS follows from the observation that the ratio $S[G_{L \setminus a}]/S[G_L]$ is the multiplicity of the vertex V_a , or the number of vertices equivalent to V_a in G_L . Repeating the same process to the right side of the cut yields

$$\begin{aligned} \sum_{V_a, V_b} \left(\frac{1}{S[G_{L \setminus a}]} \prod_{\substack{V_i \in G_L \\ V_i \neq V_a}} V_i \prod_{E_i \in G_L} E_i \right) \times -i\{V_a, V_b\} \times \left(\frac{1}{S[G_{R \setminus b}]} \prod_{\substack{V_i \in G_R \\ V_i \neq V_b}} V_i \prod_{E_i \in G_R} E_i \right) \\ = -i\{I[G_L], I[G_R]\}, \end{aligned} \quad (\text{B.14})$$

where $V_b \in G_R$ was selected from the right subdiagram G_R . Since $S[G_{L \setminus a}] \times S[G_{R \setminus b}]$ is the symmetry factor of the diagram $G_{L \setminus a} \cup G_{R \setminus b}$ formed by adding an edge joining the vertex $V_a \in G_L$ and $V_b \in G_R$, reverting the cut bracket $-i\{V_a, V_b\}$ on the first line of (B.14) gives a sum over integrands of tree diagrams G that can be bisected into G_L and G_R by cutting a single edge.

Generalization of causality cuts to include second-quantized field degrees of freedom should be relatively straightforward with Hamiltonian action (1st order action) for the field variables in the background field formalism. One caveat is the treatment of trivalent vertices in the amputation rules. Similar to the worldline 3pt vertices, the amputation rule for trivalent vertices is expected to become background field derivatives of 2pt functions with appropriate regularization scheme for the $i0^+$ prescription, which can become involved due to difficulty of computing propagators on nontrivial backgrounds. Moreover, the proof given in this section should be generalized to include Poisson brackets involving edges of subdiagrams.

C Operator power series and the CEM Hopf algebra

The CEM Hopf algebra [33] can be motivated as a diagrammatic representation of *composition of operators* by generalizing the presentation given in section 4.1 to power series of operators. We use a vertex as an abstract representation of an operator—the interaction Hamiltonian in our context—given as a (normal-ordered) power series in (abstract) fields¹⁵

$$\bullet \Leftrightarrow \mathcal{O} = \mathcal{O}_{(0)} + \mathcal{O}_{(1)}\phi + \cdots + \mathcal{N}_{(j)}\mathcal{O}_{(j)} : \phi^j : + \cdots, \quad (\text{C.1})$$

¹⁵Abstract in the sense that ϕ stands for any (dynamical) field of the theory.

where the colons $:AB:$ denote normal ordering and $\mathcal{O}_{(j)}$ are the c -number coefficients attached to the normal-ordered j -th power of ϕ , normalized by the combinatorial factor $\mathcal{N}_{(j)}$. The monomial $:\phi^j:$ stands for any product of fields whose power adds up to j ; e.g. in a theory with n scalar fields Φ_i , the monomial $:\phi^j:$ can stand for any monomial of the form

$$:\Phi_1^{j_1} \dots \Phi_n^{j_n}: \subset : \phi^j :, \quad j_1 + \dots + j_n = j. \quad (\text{C.2})$$

The corresponding combinatorial factor $\mathcal{N}_{(j)} = \mathcal{N}_{(j_1, \dots, j_n)}$ is

$$:\Phi_1^{j_1} \dots \Phi_n^{j_n}: \rightarrow \mathcal{N}_{(j_1, \dots, j_n)} = \prod_{i=1}^n \frac{1}{(j_i)!}, \quad (\text{C.3})$$

such that when the operator $\mathcal{N}_{(j)} \mathcal{O}_{(j)} : \phi^j :$ is converted to Feynman rules, the coefficient $\mathcal{O}_{(j)}$ becomes the corresponding vertex rule.

It is convenient to define the *retarded Wightman functions*,

$$G_R^\pm(x, y) := \theta(x^0 - y^0) G^\pm(x, y). \quad (\text{C.4})$$

Definition for the Wightman functions $G^\pm(x, y)$ can be found in section 5.1. Although retarded Wightman functions are not Lorentz invariant, they can be completed into usual propagators which are Lorentz invariant.

$$\begin{aligned} G_R(x, y) &= G_R^+(x, y) - G_R^-(x, y), \\ G_F(x, y) &= G_R^+(x, y) + G_R^+(y, x). \end{aligned} \quad (\text{C.5})$$

We define *directed contraction* between vertices as removing one field from each vertex and substituting by the retarded Wightman function, normal-ordering the remaining products of fields. For example, the directed contraction between \mathcal{O}_2 and \mathcal{O}_1 is,

$$\begin{aligned} \begin{array}{c} \mathcal{O}_2 \quad \mathcal{O}_1 \\ \bullet \longleftarrow \bullet \end{array} &\Leftrightarrow \mathcal{O}_{2,(1)} \mathcal{O}_{1,(1)} G_{R,21}^+ + \dots \\ &+ \mathcal{N}_{(j_2-1; j_1-1)} \mathcal{O}_{2,(j_2)} \mathcal{O}_{1,(j_1)} G_{R,21}^+ : \phi_2^{j_2-1} \phi_1^{j_1-1} : + \dots, \end{aligned} \quad (\text{C.6})$$

where $G_{R,21}^+ = \theta(t_2 - t_1) \langle 0 | \phi_2 \phi_1 | 0 \rangle$ is the retarded Wightman function. The combinatorial factor $\mathcal{N}_{(j_2, j_1)}$ only depends on the non-contracted fields in the normal-ordered product, e.g.

$$:\Phi_{2,1}^{j_2,1} \dots \Phi_{2,n}^{j_2,n} \Phi_{1,1}^{j_1,1} \dots \Phi_{1,n}^{j_1,n}: \rightarrow \mathcal{N}_{(j_2,1, \dots, j_2,n; j_1,1, \dots, j_1,n)} = \prod_{i=1}^n \frac{1}{(j_{2,i})! (j_{1,i})!}. \quad (\text{C.7})$$

A more nontrivial example is

$$\begin{aligned} \begin{array}{c} \mathcal{O}_3 \\ \bullet \swarrow \bullet \\ \bullet \searrow \bullet \\ \mathcal{O}_2 \end{array} \mathcal{O}_1 &\Leftrightarrow \mathcal{O}_{3,(1)} \mathcal{O}_{2,(1)} \mathcal{O}_{1,(2)} G_{R,31}^+ G_{R,21}^+ + \dots \\ &+ \mathcal{N}_{(j_3-1; j_2-1; j_1-2)} \mathcal{O}_{3,(j_3)} \mathcal{O}_{2,(j_2)} \mathcal{O}_{1,(j_1)} G_{R,31}^+ G_{R,21}^+ : \phi_3^{j_3-1} \phi_2^{j_2-1} \phi_1^{j_1-2} : \\ &+ \dots \end{aligned} \quad (\text{C.8})$$

The subscripts of the vertices are intended to aid tracking; we will consider all vertices to correspond to the same operator at different insertion points. In summary, a diagram with

k vertices represents the operator \mathcal{O} raised to the k -th power $(\mathcal{O})^k$ with fields removed by directed contractions represented by the directed edges.

Given an operator \mathcal{O} , we can construct the ‘‘power series’’ operator $F[\mathcal{O}]$ as a sum over all possible directed contractions, represented as a sum over directed graphs. We define the *coefficient function* $f(\tau)$ to the power series operator $F[\mathcal{O}]$, which associates each directed graph τ with the coefficient of its corresponding directed contraction $f(\tau)$. More explicitly,

$$F[\mathcal{O}] = \sum_{k=0}^{\infty} \left[\sum_{\tau, |\tau|=k} f(\tau) \tau \right] \quad (\text{C.9})$$

$$= f(\emptyset) + f(\bullet) \bullet + f(\bullet \leftarrow \bullet) \bullet \leftarrow \bullet + f(\bullet \rightarrow \bullet) \bullet \rightarrow \bullet + \dots,$$

where $|\tau|$ denotes the number of vertices in the graph τ . The coefficient functions are understood to be multiplicative, i.e. for a graph $\tau = \tau_1 \cup \tau_2$ consisting of disjoint graphs τ_1 and τ_2 ,

$$f(\tau_1 \cup \tau_2) = f(\tau_1)f(\tau_2), \quad \text{if } \tau_1 \cap \tau_2 = \emptyset. \quad (\text{C.10})$$

Now consider the composition (convolution) of the operators $(G \star F)[\mathcal{O}] = G[F[\mathcal{O}]]$. We draw the graphs corresponding to the ‘‘power series’’ expansion of $(G \star F)[\mathcal{O}]$ through the following steps;

1. Draw diagrams corresponding to $G[\mathcal{O}']$, e.g.

$$G[\mathcal{O}'] \Leftrightarrow g(\star) \star + g(\star \leftarrow \star) \star \leftarrow \star + g(\star \rightarrow \star) \star \rightarrow \star + \dots, \quad (\text{C.11})$$

where the pentagram vertex corresponds to the operator \mathcal{O}' and we have explicitly written the coefficients $g(\tau)$ corresponding to the graph τ .

2. Substitute the pentagram vertices by the expansion of $\mathcal{O}' = F[\mathcal{O}]$, i.e.

$$\mathcal{O}' = F[\mathcal{O}] \Leftrightarrow \star = f(\bullet) \bullet + f(\bullet \leftarrow \bullet) \bullet \leftarrow \bullet + f(\bullet \rightarrow \bullet) \bullet \rightarrow \bullet + \dots, \quad (\text{C.12})$$

where the circular vertex corresponds to the operator \mathcal{O} . Similarly, the coefficients $f(\tau)$ were explicitly written down for the corresponding graphs τ .

The CEM Hopf algebra relation is obtained by reorganizing the resulting diagrams,

$$(g \star f)(\tau) = \sum_{p \in \mathcal{P}(\tau)} g(p)f(\tau \setminus p), \quad (\text{C.13})$$

where $(g \star f)$ are the coefficients of the convoluted operator $(G \star F)[\mathcal{O}]$. The notation for the graphs is slightly different from that of section 3.2 as we do not require the graph to be rooted tree nor the partition p to be connected; $\mathcal{P}(\tau)$ is the set of all partitions (choice of edges) p of a graph τ , the remainder $\tau \setminus p$ is the (possibly disconnected) graph obtained from τ by removing the partition p , and p_τ (called the *skeleton*) is the graph obtained from τ by shrinking connected subgraphs of $\tau \setminus p$ to a vertex.

In the Magnus expansion, the tree function $e(\tau)$ computes the coefficient of directed contractions in the Dyson series. Therefore, we may understand the CEM Hopf algebra relation as iteratively solving the “operator differential equation” $e^{d\Omega} = \mathbb{1} + H dt$ to obtain coefficients of the directed contractions appearing in the Magnus expansion. We remark that the same Hopf algebra also seems to solve the coefficients of directed contractions for loop graphs when the function $e(\tau)$ is appropriately generalized to looped directed graphs.

Note that terms corresponding to directed contractions only constitute a subset of terms appearing in the Magnus expansion. However, knowing the coefficients of directed contractions is enough to reconstruct the full Magnus expansion for tree graphs, as all retarded Wightman functions $G_{R,jk}^+$ must appear in the combination of retarded Green’s functions, $G_{R,jk} = G_{R,jk}^+ - G_{R,jk}^-$, due to the nested commutator structure of the Magnus expansion. The retarded Wightman functions do not follow this pattern at loop level, which is an obstacle for constructing a “diagrammar” for the Magnus expansion corresponding to Feynman rules for the Dyson series.

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