



Survival strategies of Antarctic vegetation during extensive glacial expansion across the Oligocene/Miocene Transition

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5 **Abstract.** Antarctica's terrestrial ecosystems are at risk from a rapidly changing climate. Investigating how Antarctica's vascular plants responded to major climatic variations in the geological past, especially under atmospheric CO₂ values similar to modern and future projections, may provide insight into how organisms could migrate across the continent as conditions change. Here, we investigate vegetation trends across the Oligocene/Miocene Transition (OMT, ~23 Myr), one of the largest transient glaciations of the Cenozoic. Despite extensive ice sheet expansion, Antarctic vegetation survived throughout this
10 glacial episode. We use compound specific isotope trends ($\delta^{13}\text{C}$ and $\delta^2\text{H}$) of plant waxes in an Antarctic proximal sediment core from the Ross Sea (Deep Sea Drilling Project site 270) to investigate the response and survival mechanisms of Antarctic vegetation during this event. We detect the first observation of a marked negative *n*-alkane $\delta^{13}\text{C}$ excursion over the OMT, coupled with a shift to more positive *n*-alkane $\delta^2\text{H}$. We interpret this as plants sacrificing water use efficiency to maintain photosynthesis and carbon uptake during increasing glacial conditions, as atmospheric CO₂ decreased and orbital
15 configurations favoured shorter, colder growing seasons with lower light intensity. We consider further drivers of these isotopic trends to be enhanced aridity, and a shift to a stunted, low elevation vegetation. These findings establish the adaptability of ancient Antarctic vegetation under atmospheric CO₂ conditions comparable to modern, and mechanisms that allowed vegetation to keep a foothold on the continent despite prolonged hostile conditions.

1 Introduction

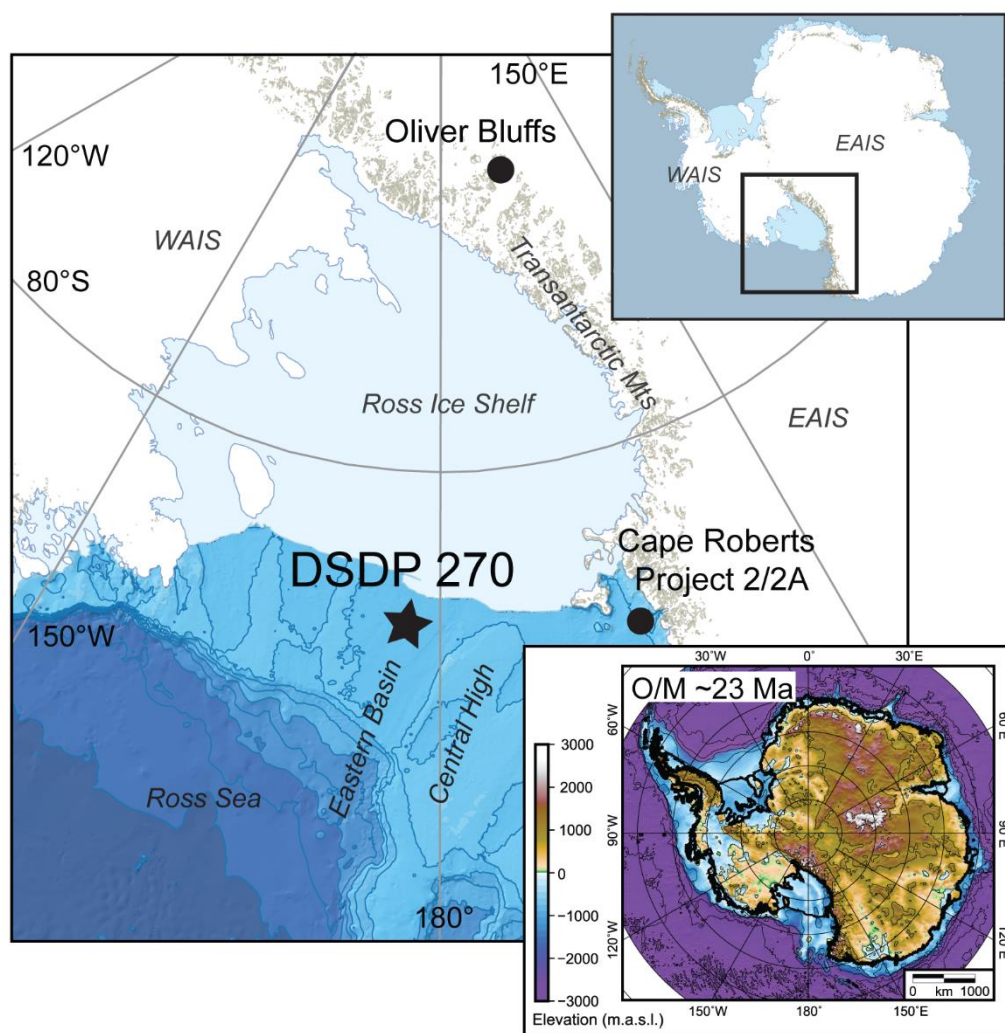
20 The modern Antarctic terrestrial ecosystem is limited to two native species of vascular plants, which occupy a narrow ecological niche on the Antarctic Peninsula (Colesie et al., 2023). A more diverse magellanic subpolar forest-tundra-type flora was widespread across Antarctica for much of the past 34 million years (e.g. Ashworth and Cantrill, 2004; Prebble et al., 2006;



Lewis et al., 2008; Warny et al., 2009). The timing of the demise of vascular plants from the continent is long debated but inferred to have occurred sometime between the late Miocene and Pliocene (~14 and 3 Ma) (Lewis et al., 2008; Fielding et al., 25 2012; Barrett et al., 2013; Wei et al., 2014). In the lead up to this eventual disappearance of widespread vegetation, intervals of large glacial expansion pushed Antarctic plants to the brink of their habitable zone.

The Oligocene/Miocene Transition (OMT) is marked by the transient Mi-1 glaciation at 23.03 Ma (Zachos et al., 1997; Naish et al., 2001). The Mi-1 event is represented by a positive benthic foraminiferal oxygen isotope excursion of ~0.6-1‰ over a 30 200-300 kyr interval. This large shift was caused in part by major expansion of the Antarctic Ice Sheets (AIS) from smaller-than-present terrestrial-based ice sheets to an AIS up to 25% greater in volume than present day (Zachos et al., 2001; Pekar and DeConto, 2006; Beddow et al., 2016). The Mi-1 glaciation arose from multiple drivers. Proxies indicate a drop in atmospheric CO₂ from ~500 ppm during the early Oligocene to preindustrial values during Mi-1 (CenCO2PIP, 2023). Proximal Antarctic cores also show cooling surface ocean temperatures in the Ross Sea and offshore Wilkes Land from ~24.5 Ma 35 (Duncan et al., 2022). The Mi-1 glaciation is associated with a low in 400-kyr eccentricity (a more circular orbit) and a node in 1.2 Myr obliquity (low axial tilt) that resulted in an extended period of low seasonality and cooler summer temperatures, conditions favouring polar ice growth (Zachos et al., 2001; Pälike et al., 2006).

Here, we use plant wax compound-specific isotopes ($\delta^{13}\text{C}$ and $\delta^2\text{H}$) from a proximal Antarctic drill core to investigate how 40 Antarctic vegetation responded to, and survived through, an extensive glacial period 23 million years ago. Deep Sea Drilling Project Site 270 (DSDP 270) comprises a sedimentary sequence that records advance and retreat of the AIS over the late Oligocene to early Miocene (Kulhanek et al., 2019; Olivetti et al., 2023). Importantly, environmental proxies gleaned from the sequence offer a means to examine changes in Antarctic vegetation through the Mi-1 event. DSDP 270 was drilled on the continental shelf in the Eastern Basin of the central Ross Sea in 1973 (77°26.48'S, 178°30.19'W), as part of DSDP Leg 28 45 (Fig. 1, The Shipboard Scientific Party, 1975). Seismic studies and models suggest that the bathymetry of the Ross Sea embayment was significantly shallower during the deposition of the sediments at DSDP 270, with basement highs and/or islands separated by deep basins (De Santis et al., 1999; Paxman et al., 2019). The stratigraphy of DSDP 270 is characterised by fluctuating ice-distal (mudstone) to ice-proximal (diamictite) sediments, recording the variable proximity of glacial ice to the core site (Kulhanek et al., 2019). In this study we examine compound specific isotopes of high molecular weight *n*-alkanes 50 obtained from the core. These compounds come from plant waxes that were transported to the core site by wind, ocean currents and glacio-fluvial processes from vegetated areas onshore the Antarctic mainland and/or islands.



55 **Figure 1:** Location of DSDP 270 and other sites referred to in this study. WAIS: West Antarctic Ice Sheet, EAIS: East Antarctic Ice Sheet. Insert shows the reconstructed topography of Antarctica at the Oligocene/Miocene boundary²⁰, under a Creative Commons license CC BY. Base map from Quantarctica GIS package, Norwegian Polar Institute.

4. Methods

4.1. Lipid Biomarkers

60 Samples from DSDP 270 were obtained from the IODP Gulf Coast Repository at Texas A&M University and from archive samples held at GNS Science, New Zealand. Unless already desiccated, samples were freeze dried for 48 h prior to processing. All samples were homogenised to a powder using a Retsch 200 mixer mill. Organic geochemical work-up was performed in the Birmingham Molecular Climatology Laboratory (BMC), University of Birmingham. Lipids were extracted from ~10-15 g of homogenised sediment by ultrasonic extraction using 3:1 dichloromethane (DCM):methanol (MeOH) solution. The total



solvent extract was fractionated by silica gel chromatography, using *n*-hexane to isolate the aliphatic saturated and unsaturated hydrocarbons (e.g. *n*-alkanes). Procedural blanks were also analysed to ensure the absence of laboratory contaminants. *n*-alkanes were identified and quantified using an Agilent 7890B series gas chromatograph (GC), equipped with a 7639ALS autosampler, a BP5-MS column (SGE Analytical Science, 60m × 0.32mm × 0.25µm) and an flame ionisation detector (FID), using hydrogen (H₂) as a carrier gas. The GC oven was programmed using a multi-ramp with a starting temperature of 70°C maintained for 1 min, followed by a 30°Cmin⁻¹ ramp to 120°C, and a 30°Cmin⁻¹ ramp to 320°C, which was held constant for 20 min. GC-Mass spectrometry (GC-MS) was performed using an Agilent 7890B GC, coupled to an Agilent 5977A Mass Selective Detector (MSD). The same capillary column and temperature program was used throughout the analyses for consistent compound separation. Helium (He) was used as a carrier gas. Samples were bracketed with an external standard containing known abundances of certain *n*-alkanes to allow identification and quantification of *n*-alkanes (average standard deviation of ± 7.6 %). *n*-Alkane peaks were integrated in Agilent OpenLAB Data Analysis Version A.01.01 - Build 1.93.0.

4.2. Compound specific isotopes

Carbon and hydrogen stable isotopes of *n*-alkanes *n*-C₂₃ – *n*-C₃₁ were analysed in the Organic Geochemistry Unit, University of Bristol. The δ¹³C values were determined using an Isoprime 100 instrument interfaced via a GC5 interface to an Agilent 7890A GC with a split/splitless injector operating in splitless mode (splitless time of 2 min). Using helium as a carrier gas, 1µl of sample was injected on to a Restek column (Rtx-1 50m × 0.32mm × 0.17µm) and analysed with the temperature was held at 40 °C for 1 min, then increased to 300 °C at a rate of 10°Cmin⁻¹ and then held at 300 °C for 10 min. The δ²H values were determined using a ThermoFisher Scientific Delta V IRMS interfaced via a GC Isolink Combustion and High Temperature Conversion interface to a Trace GC Ultra, operating in splitless injection mode (splitless time of 1.5 minutes). Using helium as a carrier gas, 0.5µl of sample was co-injected with 0.5 µl standard (comprising ethyl decanoate and pentadecane), on to a Phenomenx column (ZB-1 30m x 0.25mm x 0.25µm). The temperature program consisted of an initial temperature of 70°C, held for 1 minute, then ramped to 300°C at a rate of 10°Cmin⁻¹, and then held at 300°C for 8 minutes. Isotope measurements are reported using standard delta notation against Vienna Pee Dee Belemnite (VPDB) scale defined by NBS 19 and LSVEC and Vienna Standard Mean Ocean Water (VSMOW) for the ratios ¹³C/¹²C and D/H,

$$\delta^{13}\text{C} = \frac{\left(\frac{^{13}\text{C}}{^{12}\text{C}}\right)_{\text{sample}}}{\left(\frac{^{13}\text{C}}{^{12}\text{C}}\right)_{\text{VPDB}}} - 1, \quad \delta\text{D} = \frac{\left(\frac{\text{D}}{\text{H}}\right)_{\text{sample}}}{\left(\frac{\text{D}}{\text{H}}\right)_{\text{VPDB}}} - 1$$

using per mil (‰) units. The precision for δ¹³C determinations was ± 0.3 ‰ for natural abundance isotope determinations. The H₃⁺ Factor was calculated daily prior to sample analyses and was typically in the range of 1.5-1.8 ppm nA⁻¹. δ²H values were initially calibrated to two H₂ reference pulses introduced directly into the ion source. All results were subsequently normalised using the equation of linear fit of measured against known δ²H values of a standard suite of 15 *n*-alkanes (*n*-C₁₆ to *n*-C₃₀,



Schimmelmann Mixture B3, University of Indiana), which was analysed daily prior to every two sample runs. $\delta^2\text{H}$ values are reported on the VSMOW-SLAP scale. The instrument residual mean standard error was typically less than 5 %, calculated using the same *n*-alkane standard reference. Duplicate analyses of samples were run, with the average of these analyses used as the value for that sample, and the range demonstrated by the bars on Figure 3. In some samples with low concentrations, $\delta^{13}\text{C}$ and $\delta^2\text{H}$ values are based on triplicate measurements.

2. Results

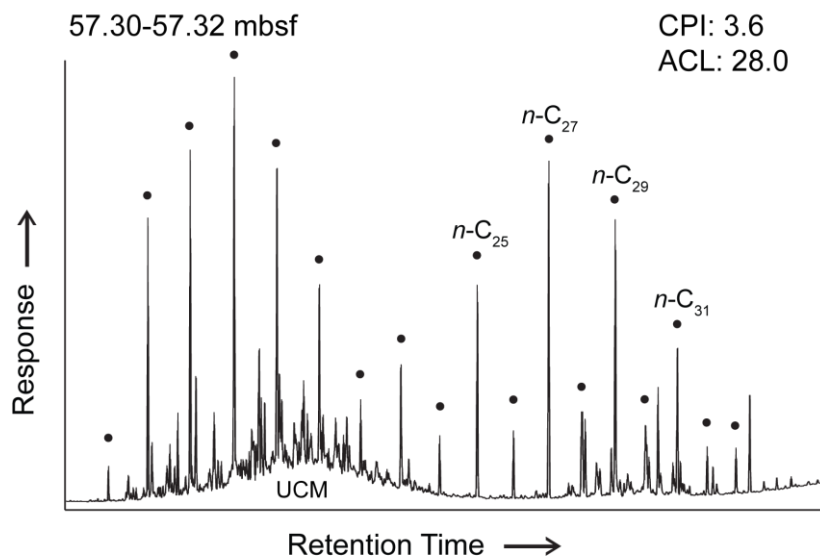
2.1 Distributions of *n*-Alkane plant waxes

In modern environments, *n*-alkanes of specific carbon numbered chain lengths can be used to identify biological sources. Algae and some photosynthetic bacteria produce dominantly *n*-C₁₇, with lesser amounts of *n*-C₁₅ and *n*-C₁₉ (Clark and Blumer, 1967; Han and Calvin, 1969). Aquatic plants and *Sphagnum* mosses have enhanced production of *n*-C₂₃ and *n*-C₂₅ (Baas et al., 2000; Pancost et al., 2002). High molecular weight (HMW) *n*-alkanes (*n*-C₂₇ and higher), usually with a pronounced odd-over-even predominance (carbon preference index, CPI), mark inputs from the epicuticular leaf and stem waxes of terrestrial higher plants (Eglinton and Hamilton, 1963).

n-Alkane distributions of DSDP 270 sediments are typically bimodal (Fig. 2). We infer compounds in the lower molecular weight range where *n*-C₁₇ or *n*-C₁₉ are most abundant likely derive from marine algae. High molecular weight *n*-alkanes (*n*-C₂₅ to *n*-C₃₁) are characterised by *n*-C₂₇ is the most abundant compound. The source and maturity of higher molecular weight *n*-alkanes can be characterised by their carbon preference index (CPI):

$$CPI = \frac{1}{2} \left(\left(\frac{\sum_{odd}(n-C_{25-33})}{\sum_{even}(n-C_{24-32})} \right) + \left(\frac{\sum_{odd}(n-C_{25-33})}{\sum_{even}(n-C_{26-34})} \right) \right) \quad (1)$$

A survey of modern leaf wax material demonstrates that a CPI of >1-2 is a reasonable threshold value indicative of relatively unmodified terrestrial plant material (Bush and McInerney, 2013), while sediments containing CPI values of <1 usually indicate either exposure to elevated burial temperatures, or an input of organic matter that has been altered by diagenetic or catagenetic processes (Bray and Evans, 1961). CPI values for *n*-C₂₅ to *n*-C₃₁ range from 2.4 to 4.4 (avg. = 3.3), indicating they are predominantly sourced from terrestrial plants (Fig. 2).



120 **Figure 2: Representative GC-FID chromatogram from DSDP 270. Filled circles above peaks indicate n-alkanes. UCM: Unresolved complex mixture, CPI: Carbon preference index, ACL: Average chain length.**

Average chain length (ACL) indicates the dominant *n*-alkane in a given carbon number range (Poynter et al., 1989; Schefuß et al., 2003):

$$ACL = \frac{\sum(C_{odd\ 25-33} \cdot x_{odd\ 25-33})}{(x_{odd\ 25-33})} \quad (2)$$

125 where $C_{odd\ 25-33}$ represents the carbon number of the odd chain length *n*-alkanes, and $x_{odd\ 25-33}$ represents the concentrations of the odd *n*-alkanes in the sample. Higher ACLs are typical of warmer, tropical regions, whilst lower ACLs are more commonly observed from cooler, temperate regions, indicating that ACL could be related to air temperature (Gagosian and Peltzer, 1986; Poynter et al., 1989; Dodd and Afzal-Rafii, 2000; Kawamura et al., 2003; Bendle et al., 2007; Vogts et al., 2009; Bush and McInerney, 2015). Other studies suggest that aridity has a strong control on ACL, with the synthesis of longer *n*-alkanes in
 130 more arid environments providing plants with a more efficient wax coating to restrict water loss (Schefuß et al., 2003; Dodd et al., 1998; Dodd and Afzal-Rafii, 2000; Calvo et al., 2004; Zhou et al., 2005; Moossen et al., 2015). ACL is also strongly controlled by the contributing vegetation, with large inter- and intra-species variation in *n*-alkane distributions (e.g. Bush and McInerney, 2013; Vogts et al., 2009; Feakins et al., 2016). The ACL values in DSDP 270 vary within a narrow range of ~27.6 to 28, with the lowest values occurring during Mi-1 and in the early Miocene (Fig. 3) (SI Appendix Table S1).



135 2.2 Plant wax compound specific carbon and hydrogen isotopes

Compound specific carbon isotope ratios were measured for n -C₂₃ to n -C₃₁ (SI Appendix Table S2). $\delta^{13}\text{C}$ of the odd n -alkanes ranges from -25.4 to -38.13 ‰, with most values between -25.8 ‰ and -31.5 ‰. One sample each for n -C₂₅, n -C₂₇ and n -C₂₉, and three samples for n -C₃₁ were excluded as outliers for those specific chain lengths, as they were different from adjacent samples by more than 2 ‰. A marked negative carbon isotope excursion is apparent for all chain lengths across the OMT, with the magnitude of the excursion varying between -2.6 ‰ for n -C₂₅, -1.5 ‰ for n -C₂₇, -3.5 ‰ for n -C₂₉ and -5.0 ‰ for n -C₃₁ (Fig. 3). In more temperate settings n -C₂₃ and n -C₂₅ are considered mostly produced by aquatic plants and mosses (Baas et al., 2000, Pancost et al., 2002). However, $\delta^{13}\text{C}$ values of n -C₂₃ and n -C₂₅ show no correlation ($r=0.13$, $p=0.5636$) at DSDP 270, instead n -C₂₅ is significantly correlated with the $\delta^{13}\text{C}$ of the HMW n -alkanes ($r=0.84$, $p<0.0001$ for n -C₂₅ and n -C₂₇, $r=0.85$, $p<0.0001$ for n -C₂₅ and n -C₂₉, and $r=0.84$, $p<0.0001$ for n -C₂₅ and n -C₃₁). This suggests n -C₂₅ derives from higher plants, as opposed to aquatic plants and mosses. We assume the significant correlation between n -C₂₅ to n -C₃₁ to have arisen from a common source, and therefore use an average n -alkane value ($\delta^{13}\text{C}_{\text{av}25-31}$) to discuss the trend. The magnitude of the negative excursion between $\delta^{13}\text{C}_{\text{av}25-31}$ values in the upper Oligocene and Mi-1 is -2.9 ‰ (Fig. 3).

Hydrogen compound specific isotopes were measured on n -C₂₃ to n -C₃₁ n -alkanes (SI Appendix Table S2). The $\delta^2\text{H}$ values of the odd chain lengths vary from -144 to -207 ‰. Similar to $\delta^{13}\text{C}$, n -C₂₃ and n -C₂₅ show no correlation ($r=0.12$, $p=0.6507$), instead n -C₂₅ is significantly correlated with the $\delta^2\text{H}$ of the HMW n -alkanes, albeit to a weaker extent than $\delta^{13}\text{C}$ ($r=0.67$, $p=0.0001$ for n -C₂₅ and n -C₂₇, $r=0.53$, $p=0.0046$ for n -C₂₅ and n -C₂₉, and $r=0.42$, $p=0.0312$ for n -C₂₅ and n -C₃₁). Like $\delta^{13}\text{C}$, we interpret n -C₂₅ to n -C₃₁ as sourced from terrestrial vegetation and take an average $\delta^2\text{H}$ value across these chain lengths to investigate $\delta^2\text{H}$ trends. We find a shift to more positive values between upper Oligocene sediments and Mi-1, with the exception of more negative values in samples at 174 mbsf and 133 mbsf (Fig. 3).

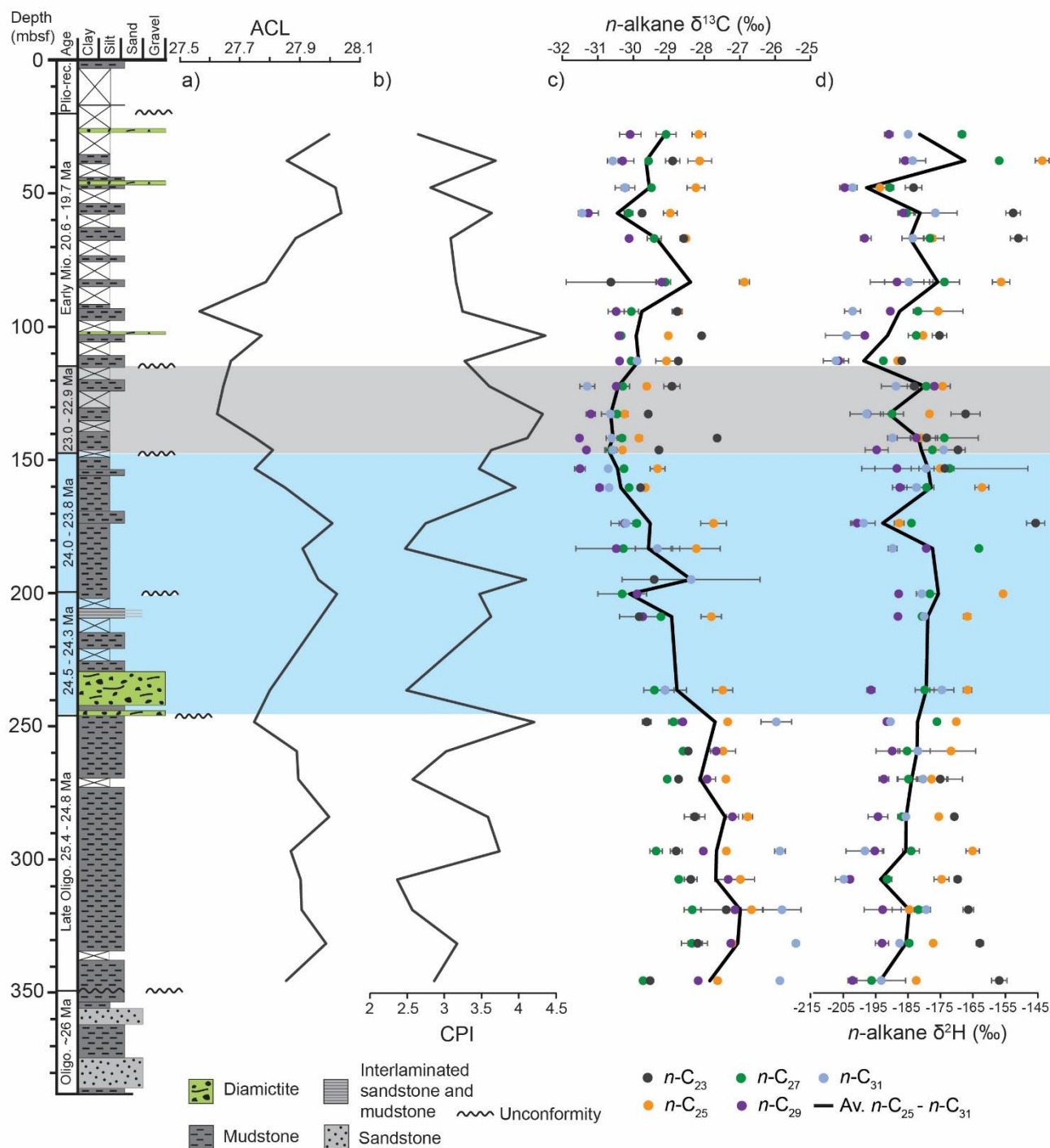


Figure 3: Stratigraphy of DSDP 270 with a) average chain length values for n-alkanes, b) carbon preference index for n-alkanes c) n-alkane $\delta^{13}\text{C}$, d) n-alkane $\delta^2\text{H}$. Error bars on c) and d) represent the range of analyses for that sample. Black lines on c) and d)



160 show the average value for $n\text{-C}_{25}$ to $n\text{-C}_{31}$. The blue box represents an interval of regional cooling, while the grey box indicates the interval containing Mi-1.

3. Discussion

3.1 Antarctic vegetation during the OMT.

165 Palynological analysis indicates a low diversity, shrubby tundra dominated by *Nothofagidites*, podocarps and bryophytes (including mosses) persisting during sediment deposition at DSDP 270 (Kulhanek et al., 2019). Pollen assemblages are sparse with low pollen counts, but available data indicate only minor changes in vegetation diversity during Mi-1, with a relative increase of spores sourced from mosses and other bryophytes (Kulhanek et al., 2019) (Fig. 4). Palynological assemblages contain minimal reworked taxa, which is supported by n -alkane CPI values above 2.4 indicating plant waxes reflect contemporaneous Oligocene/Miocene input rather than a reworked source (SI Appendix Text, Duncan et al., 2019; Kulhanek
170 et al., 2019). The ACL values between 27.6 and 28 are also consistent with other Oligocene and Miocene-aged Antarctic sediments that typically contain $n\text{-C}_{27}$ as the dominant HMW n -alkane (Duncan et al., 2019). The lowest ACL values occur in Mi-1 and the early Miocene and are likely driven by climate (i.e. cooler temperatures) with some impact due to the minor vegetation change that pollen assemblages suggest occurred during this interval.

175 3.2 Drivers of carbon isotope discrimination in Antarctic plants

The carbon isotopic composition of plant leaf waxes is the product of the atmospheric CO_2 carbon source ($\delta^{13}\text{C}_{\text{atm}}$) and kinetic isotope effects associated with photosynthesis, carbon fixation and other plant biochemical processes, that result in more negative $\delta^{13}\text{C}$ in plant matter relative to atmospheric CO_2 (Farquhar et al., 1989; Marshall et al., 2007). Modern plants typically
180 employ either the C_3 , C_4 or CAM photosynthetic pathway to assimilate carbon, with each pathway resulting in different bulk plant carbon isotopic compositions (Marshall et al., 2007). C_4 plants did not become a significant component of Earth's biosphere until the late Miocene, and modern high latitudes still overwhelmingly comprise C_3 vegetation, with C_4 and CAM plants inhabiting warm, arid lower latitude environments (Tippie and Pagani, 2007; Polissar et al., 2019). As such, only C_3 plants likely comprised the Antarctica vegetation of the late Oligocene and early Miocene.

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In the leaves of C_3 plants, carbon discrimination (Δ) is described by the relationship:

$$\Delta = a + (b - a) \times p_i/p_a \quad (3)$$



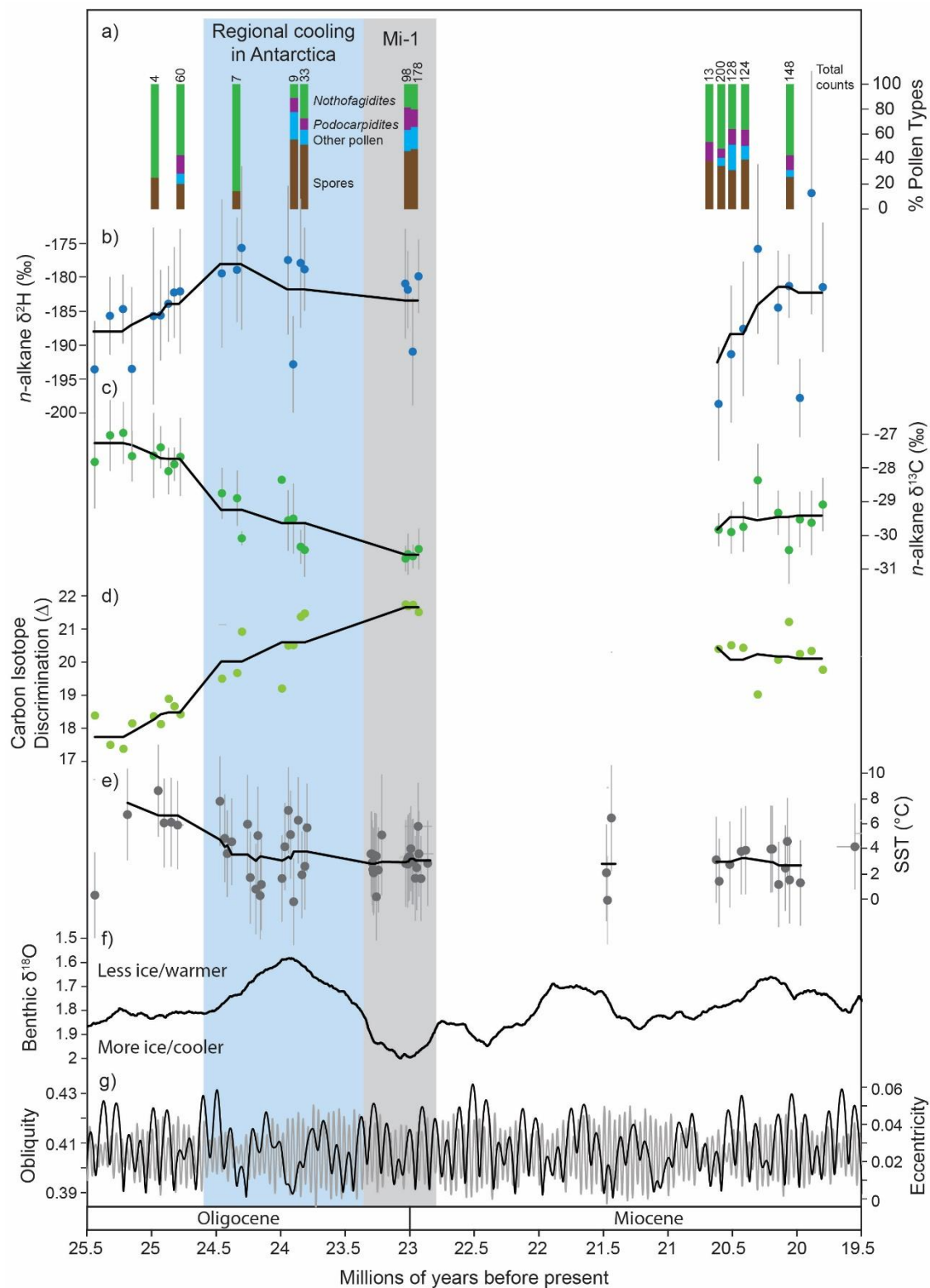
190 Where a is a fractionation of 4.4 ‰ caused by the diffusion of CO₂ from the atmosphere into the stomata, b is the photosynthetic fractionation by Rubisco of 27 ‰, and p_i/p_a is the ratio between the partial pressure of CO₂ in the leaf (p_i) to the atmosphere (p_a) (Farquhar et al., 1989).

The magnitude of carbon isotope discrimination (Δ) across the O/M transition can be described by the difference between the
195 $\delta^{13}\text{C}_{atm}$, and the $\delta^{13}\text{C}$ incorporated into the plant ($\delta^{13}\text{C}_{TT}$) (Farquhar et al., 1989):

$$\Delta = \frac{\delta^{13}\text{C}_{atm} - \delta^{13}\text{C}_{TT}}{1 + \frac{\delta^{13}\text{C}_{TT}}{1000}} \quad (4)$$

For $\delta^{13}\text{C}_{atm}$ we use the 0.5 Myr moving average derived from for benthic foraminifera from Tipple et al. (2010). We use
200 benthic foraminiferal values as they are not affected by differences in production depth, the impact of photosymbiotes or seasonal variability (Tipple et al., 2010). A potential source of error in using this method is that the same $\delta^{18}\text{O}_{sw}$ value (-0.5 ‰) is applied over the late Oligocene and early Miocene during the calculation of $\delta^{13}\text{C}_{atm}$. It is likely this value would have varied in response to ice volume changes, but Tipple et al. (2010) consider the impact of this on the calculated $\delta^{13}\text{C}_{atm}$ to be minor. To calculate the carbon isotopic value of the total plant tissue ($\delta^{13}\text{C}_{TT}$), an enrichment factor is applied to account for
205 isotopic fractionation between plant tissue and individual n -alkanes (ϵ_{lipid}). This is calculated by applying n -alkane specific averages from published data for temperate C₃ plants (Collister et al., 1994; Chikaraishi and Naraoka, 2003; Diefendorf et al., 2011). The ϵ_{lipid} corrections applied are 3.28 ‰ for n -C₂₅, 3.46 ‰ for n -C₂₇, 3.90 ‰ for n -C₂₉ and 4.17 ‰ for n -C₃₁, with the average of these values (3.70 ‰) applied to the $\delta^{13}\text{C}_{av25-31}$ record. This method determines a positive shift in Δ over the OMT (Fig. 4). The magnitude of this change varies between 3.06 ‰ for n -C₂₅, 1.93 ‰ for n -C₂₇, 3.94 ‰ for n -C₂₉ and 5.54 ‰ for
210 n -C₃₁, with a value of 3.38 ‰ for $\delta^{13}\text{C}_{av25-31}$.

In modern global datasets, Δ is largely linked to water availability. Water stress causes plants to reduce stomatal width to combat evapotranspiration, thereby limiting the diffusion of CO₂ into the leaf, and resulting in smaller carbon isotope discrimination (Marshall et al., 2007). Changes in Δ are therefore usually associated with an array of environmental factors
215 that cumulatively influence the supply and demand for water, for example, precipitation, potential evapotranspiration, temperature, elevation and plant species-specific traits (e.g. Diefendorf et al., 2010; Kohn 2010; Cornwell et al., 2018; Basu et al. 2019).





220 **Figure 4: a) Percentages of pollen groupings from 16, numbers represent total counts of pollen in each sample, b) n-Alkane $\delta^2\text{H}$ average from $n\text{-C}_{25}$ to $n\text{-C}_{31}$, 1σ error bars represent the variance in different chain lengths used to reconstruct the average values, c) n-Alkane $\delta^{13}\text{C}$ average from $n\text{-C}_{25}$ to $n\text{-C}_{31}$, 1σ error bars represent the variance in different chain lengths used to reconstruct the average values, d) Carbon isotope discrimination, e) Compilation of sea surface temperatures from the Ross Sea (GDGT-based OPTiMAL calibration) (Duncan et al., 2022) f) 500 kyr moving average of benthic $\delta^{18}\text{O}$ from Westerhold et al. (2020) g) Obliquity in radians, in light grey from Laskar et al. (2004), and eccentricity in black from (Laskar et al. 2011). Black moving averages for a)-**
225 **f) are 500 kyr moving averages derived using mwStats in the R package astrochron (Meyers et al. (2019)).**

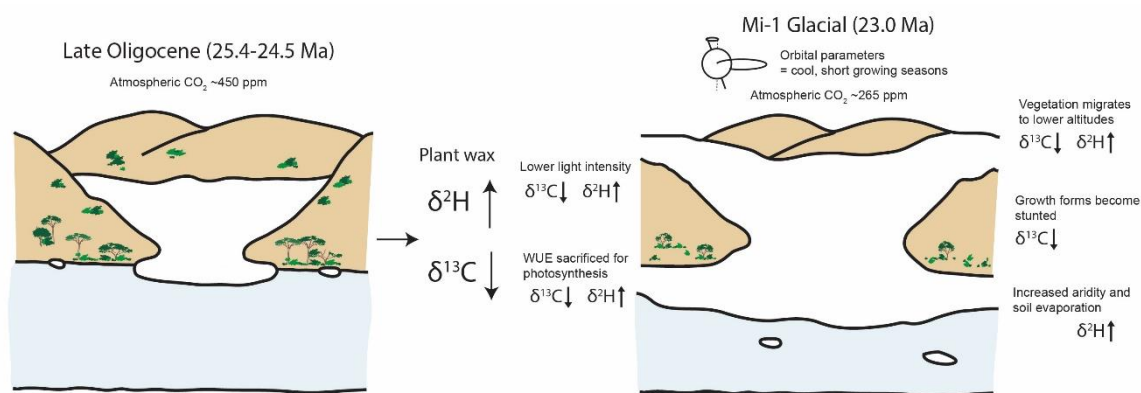
We take a modern analogue approach to consider the environmental and species-specific factors that would have impacted Δ in Antarctic vegetation during the OMT. Our fossil pollen assemblage is dominated by *Nothofagidites* species, with
230 *Podocarpidites* also a persistent feature through the drill core (Kulhanek et al., 2019). Differences in Δ are apparent across various modern species and plant functional types, with $\delta^{13}\text{C}_{alkanes}$ from angiosperms typically $\sim 3\text{-}5\text{‰}$ more depleted than gymnosperms (Chikaraishi and Naraoka, 2003; Diefendorf et al., 2011). However, pollen from DSDP 270 and other Ross Sea sections do not indicate a significant change in the proportion of angiosperms (i.e. *Nothofagidites*) and gymnosperms (i.e. *Podocarpidites*) across the OMT (Askin and Raine, 2000; Prebble et al., 2006; Kulhanek et al., 2019), leading us to discount
235 a change in vegetation assemblage as a key driver of our Δ trend.

In modern environments, Δ in Nothofagaceae and Podocarpaceae has a variable response to precipitation. For example, high latitude Nothofagaceae have been shown to have higher Δ during summer despite lower rainfall, suggesting physiological or morphological adaption to allow continued photosynthesis during the warm conditions of summer despite mild water deficit
240 (Read and Farquhar, 1991; Read et al., 2010). In contrast, other studies using *in situ* and herbarium Nothofagaceae, as well as Podocarpaceae, have described decreased plant $\delta^{13}\text{C}$ and thus increased Δ in response to increased precipitation (Peri et al., 2012; Griener et al., 2013; Brett et al., 2014). However, modern Nothofagaceae and Podocarpaceae are likely imperfect analogues for vegetation in DSDP 270, as these plants exist at lower latitudes under different light and growing season conditions than would have been experienced by Antarctic vegetation during the OMT.

245 This leads us to consider modern Arctic vegetation as an analogue for ancient Antarctic vegetation. Like the modern Arctic, at latitudes south of the Antarctic Circle, growth would be restricted to a short period during summer when there was sunlight, thawed soil and warmer temperatures for photosynthesis. Low precipitation is not necessarily restrictive in modern high Arctic environments, as key water sources are snow melt and permafrost thawing at the base of the active soil layer (Gold and Bliss, 1995). Therefore, growth is heavily influenced by soil and air temperature as well as nutrient levels (e.g. Gold and Bliss, 1995; Sturm et al., 2005; Ernakovich et al., 2014). For example, higher Δ correlates with colder temperatures in *Carex* from the Eurasian coastal Arctic (Welker et al., 2003). We suggest a restricted Antarctic summer growing season required plants to retain open stomata to allow continued uptake of CO_2 , thereby prioritising the assimilation of CO_2 over water use efficiency, leading to elevated carbon isotope discrimination. The summer growing window would be further constrained in the latest



255 Oligocene by colder temperatures associated with reduced atmospheric $p\text{CO}_2$ concentrations (CenCO2PIP, 2023) coupled with orbital conditions (i.e. reduced eccentricity and obliquity) favouring low seasonality (Fig. 5.). Additionally, low intensity, high latitude continuous light conditions result in more negative plant $\delta^{13}\text{C}$ values than for plants living under diurnal light (Yang et al., 2009). Taken together, low seasonality and decreased light intensity during the OMT would contribute to a negative $\delta^{13}\text{C}$ excursion and associated higher Δ (Fig. 5).



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Figure 5: Summary of interpreted drivers for isotopic trends in DSDP 270. WUE= water use efficiency.

Other environmental conditions of relevance to the OMT in Antarctica could also increase Δ . Plants living at lower elevations typically display higher Δ , influenced by variation in the partial pressure of CO_2 and O_2 , humidity, temperature, irradiance or other factors (e.g. Diefendorf et al., 2010; Wu et al., 2017). During large transient glaciations like Mi-1 it is likely vegetation became restricted to low elevation coastal regions due to ice advance and climatic controls, resulting in the DSDP 270 terrestrial catchment area integrating an increase in average Δ . Tree height can also impact Δ , with declining values a function of increasing tree height in a global dataset (McDowell et al., 2011). For example, Δ varies with Nothofagaceae tree height on the order of $-0.22\text{‰}/\text{m}$ in New Zealand (McDowell et al., 2011). During a cold glacial period in Antarctica, tree species like *Nothofagidites* would adapt by stunting their growth, forming shrub-like forms similar to Antarctic Miocene or Pliocene-aged *Nothofagidites* fossils preserved at Oliver Bluffs in the Beardmore Glacier region (Francis and Hill, 1996).

The response of Δ to changes in atmospheric $p\text{CO}_2$ has been extensively debated, with variable results from recent observations, laboratory experiments, and Cenozoic fossil evidence (e.g. Arens et al., 2000; Schubert and Jahren, 2015; Lomax et al., 2019; Schlanser et al., 2020). A Last Glacial Maximum to Holocene global compilation of Schubert and Jahren (2015) suggests a positive relationship between Δ and $p\text{CO}_2$. Their global compilation record averages the effects of local and regional environmental conditions and plant community shifts, and results in a trend best explained by changing $p\text{CO}_2$. However, as DSDP 270 reflects a highly specific regional signal it is not interpreted in these terms. Furthermore, a positive relationship

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between Δ in DSDP 270 and $p\text{CO}_2$ would be in opposition to independent proxy records that exhibit declining $p\text{CO}_2$ through
280 the late Oligocene (CenCO2PIP, 2023).

3.3 Hydrogen isotopes in Antarctica plants as an indicator of hydroclimate

Leaf wax lipid hydrogen isotope signatures ($\delta^2\text{H}_l$) are highly correlated with, but significantly offset from their plant's water
285 source, typically meteoric water ($\delta^2\text{H}_w$) (Sessions et al., 1999; Sachse et al., 2004). The $\delta^2\text{H}_w$ varies spatially and temporally
due to Rayleigh fractionation processes resulting from rainfall, temperature, orographic effects and distance from water source
(Sachse et al. 2012). In modern ecosystems, wetter conditions are associated with more negative $\delta^2\text{H}_w$ in the low latitudes, but
at mid- to high-latitudes $\delta^2\text{H}_w$ is more strongly linked to temperature gradients, with more positive $\delta^2\text{H}_w$ recorded at warmer
temperatures (Bowen, 2008; Masson-Delmotte et al., 2008). Soil water is the main water source for terrestrial higher plants
290 and predominantly reflects an amount weighted average of precipitation inputs. However, the uppermost soil horizons can
have ^2H enrichment due to evaporation (Sachse et al., 2012). While there is typically no fractionation between source water
and root uptake, leaf composition can have a wide range of variability due to transpiration, and fractionation that further occurs
during biosynthesis of leaf wax lipids (Sessions et al., 1999; Chikaraishi and Naraoka, 2003; Kahmen et al., 2013a; 2013b).
The hydrogen isotopic composition of lipids can show a large variation when measured on individual organisms, but analysing
295 sediment results in significantly reduced variability due to spatial and temporal integration in the catchment (Sachse et al.,
2012).

In DSDP 270, plant wax $\delta^2\text{H}$ values trend from more negative in the late Oligocene to more positive over the OMT (Figs. 3
and 4). This suggests temperature is unlikely to be the dominant control on plant wax $\delta^2\text{H}$ at this site, as more positive values
300 would indicate warmer temperatures in the middle of a large glacial interval, contradicting sea surface temperature records for
the Ross Sea and a range of other paleoenvironmental and sedimentological evidence for cool conditions during this time (Leckie
and Webb, 1983; Naish et al., 2001; Duncan et al., 2022). Instead, we suggest the observed ^2H enrichment results from shorter,
colder and more arid growing seasons. Evidence for this comes from studies of ^2H incorporation into high latitude plants and
plant waxes, which, similar to $\delta^{13}\text{C}$, demonstrate that plants living under low intensity, continuous light conditions at high
305 latitudes show smaller fractionations of hydrogen between leaf waxes and their water source than mid- to low-latitude plants
due to high transpiration rates as plants continuously photosynthesise during 24 hr light conditions (Yang et al., 2009; 2011;
Shanahan et al., 2013). A shift to more positive $\delta^2\text{H}_l$ values during the late Eocene on the Antarctic Peninsula (Feakins et al.,
2014) and the Eocene/Oligocene transition from Prydz bay (Tibbett et al., 2021) are also interpreted as reflecting smaller
fractionations in response to cooling, drying and a shift towards more tundra-like vegetation. We therefore conclude that low
310 seasonality, decreased light intensity and more arid conditions during the OMT resulted in even smaller hydrogen isotope
fractionation and consequently more positive $\delta^2\text{H}_l$, as enhanced plant transpiration occurred at the expense of water use
efficiency to allow plants to photosynthesise during shorter, harsh growing seasons (Fig. 5.).



Other environmental factors likely also impacted positive $\delta^2\text{H}$ values. Studies on Miocene or Pliocene macrofossils from Oliver Bluffs in the Transantarctic Mountains indicate that shallow-rooted, stunted tundra vegetation grew on permafrost with restricted drainage in arid conditions (Francis and Hill, 1996; Ashworth and Cantrill, 2004). Using this as an analogue for the Ross Sea region during the OMT we infer plants would have incorporated higher proportions of ^2H as arid conditions and poor drainage led to ^2H enrichment in the uppermost soil layers. Plants were also likely restricted to lower elevations as a consequence of the colder climate and increased ice volume during Mi-1, a process which also drives $\delta^2\text{H}_i$ towards more positive values (Fig. 5. Polissar et al., 2009)).

4. Synthesis

We present a proximal Antarctic record of the OMT including the Mi-1 glaciation, one of the largest transient glacial excursions of the Cenozoic. We find a marked negative $\delta^{13}\text{C}$ excursion in HMW *n*-alkanes, coincident with a shift to more positive *n*-alkane $\delta^2\text{H}$. These trends begin ~24.5 Ma, coinciding with cooling Ross Sea temperatures and the deposition of glacially proximal sediments in DSDP 270 (Fig. 4, Kulhanek et al., 2019; Duncan et al., 2022; Olivetti et al., 2023). This is inferred to represent the first significant marine ice sheet advance of the late Oligocene, occurring in response to atmospheric CO_2 declining below 450-400 ppm and an astronomical configuration optimal for ice sheet growth (Duncan et al., 2022; Olivetti et al., 2023).

The most negative *n*-alkane $\delta^{13}\text{C}$ and most positive $\delta^2\text{H}$ in DSDP 270 occurred during the latest Oligocene and the Mi-1 event, when the AIS increased to an even greater size during another period with a favourable astronomical configuration and a further decline in CO_2 to ~265 ppm (Greenop et al., 2019, CenCO2PIP, 2023). We attribute *n*-alkane $\delta^{13}\text{C}$ and $\delta^2\text{H}$ trends to several environmental changes that affected Antarctic vegetation during the OMT (Fig. 5.). These are: 1) a shift in climate to colder, shorter and more arid growing seasons with lower light intensity, 2) a decrease in catchment area and reduction in growing region (both space and elevation), 3) a shift to more shrub-like growth forms. The impact of growing season length and conditions on the isotopic trends demonstrated during the OMT likely indicates Antarctic plants prioritised continued photosynthesis and uptake of carbon over water use efficiency during the short growing season. Plant wax isotopic records from DSDP 270 provide insight into the response and survival mechanisms of Antarctic vegetation during major glacial expansion. Importantly, data from this past interval of warmer-than-present climate suggest that a range of vascular plants and shrubs are capable of establishing a foothold in Antarctica under atmospheric CO_2 levels comparable to present. Although vegetation continued to subsist on the continent following Mi-1, this glacial period marked a key step towards the eventual demise of nearly all vegetation in Antarctica as increasingly polar conditions developed over the course of the Cenozoic.



345 **Data availability**

Data will be archived in a data repository (Pangaea) upon publication of the preprint. All data is also available in the supplementary files for review.

Author contributions

B.D., R.M., J.B., R.L. and T.N. designed the research. B.D. processed samples and conducted analysis for DSDP 270. J.G.P. 350 assisted with vegetation and environmental interpretations. O.S. and G.T.V. assisted with plant wax distribution and isotope interpretations. H.M. advised on laboratory processing and data interpretation. C.K. and D.K.K. assisted with sedimentological and environmental interpretations. B.D. wrote the text and created the figures with assistance from all authors.

Competing interests

The authors declare that they have no conflict of interest.

355 **Acknowledgments**

The authors are grateful for access to samples from the IODP core repository at Texas A&M University and GNS Science for DSDP Site 270. This study was funded via an Antarctica New Zealand Sir Robin Irvine PhD Scholarship, Scientific Committee of Antarctic Research Fellowship and Rutherford Foundation Postdoctoral Fellowship (RFT-VUW1804-PD) awarded to B.D. with additional funding by the Royal Society Te Apārangi Marsden Fund award MFP-VUW1808 and New Zealand Ministry 360 of Business, Innovation and Employment through the Antarctic Science Platform (ANTA1801) (B.D., R.M., T.N., R.L., J.G.P.). Isotope analysis was performed at the NERC- Life Sciences Mass Spectrometry Facility at the University of Bristol (project BRIS/85/1015), we thank Hanna Gruszczynska for laboratory support. The authors are grateful for support from IODP and support in kind from the University of Birmingham.

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