

Asymptotic Form of Zero Energy Wave Functions in Supersymmetric Matrix Models

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Abstract

We derive the power law decay, and asymptotic form, of $SU(2) \times Spin(d)$ invariant wave-functions satisfying $Q_\beta \psi = 0$ for all $s_d = 2(d-1)$ supercharges of reduced $(d+1)$ -dimensional supersymmetric $SU(2)$ Yang Mills theory, resp. of the $SU(2)$ -matrix model related to supermembranes in $d+2$ dimensions.

1 Introduction

It is generally believed that supersymmetric $SU(N)$ matrix models in $d = 9$ dimensions admit exactly one normalizable zero-energy solution for each $N > 1$, while they admit none for all other dimensions for which the models can be formulated, i.e., for $d = 2, 3, 5$. For various approaches to this problem see e.g. [1]–[13].

In this article, we would like to summarize (and slightly modify/extend) what is known about the behaviour of $SU(2)$ zero-energy solutions far out at infinity in (and near) the space of configurations where the bosonic potential (the trace of all commutator-squares) vanishes. Based on some early 'negative' result concerning $N = 2, d = 2$ (that used rather different techniques/arguments; see [1, 18]) we started our investigation of the asymptotic behaviour, in the fall of 1997, with a Hamiltonian Born–Oppenheimer analysis of that $N = 2, d = 2$ case. Some months later, we realized that the rather complicated Hamiltonian analysis (Halpern and Schwartz [8] had, in the meantime, derived the form of the wave function for $d = 9$ near ∞ , by Hamiltonian Born–Oppenheimer methods) can be replaced by a simple first order analysis, using only the first order operators Q , and first order perturbation theory. One finds that asymptotically normalizable, $SU(2)$ and $SO(d)$ invariant, wave functions do not exist for $d = 2, 3$, and 5 , in contrast to $d = 9$, where there is exactly one.

We close these introductory words by recalling that the models discussed below arise in at least 3 somewhat different ways: As supersymmetric extensions of regulated membrane

theories in $d+2$ space–time dimensions [14, 18], as reductions (to 0+1 dimension) of $d+1$ dimensional Super Yang Mills theories [15]–[17], and, for $d = 9$, as a description of the dynamics of D–0 branes in superstring theory, [20, 21]. In this physical interpretation, the existence of a normalizable zero–energy solution is an important consistency requirement.

The paper is organized as follows. In Section 2 we recall the definition of the models, and in Section 3 we state our main result about zero–modes. The proof is given in Section 4 and Appendix 1. We suggest to skip Subsection 4.5 and Appendix 1 at a first reading. As a warm–up the reader is advised to read Appendix 2, where a simpler model is treated by the same method.

2 The models

The configuration space of the bosonic degrees of freedom is $X = \mathbb{R}^{3d}$ with coordinates

$$q = (\vec{q}_1, \dots, \vec{q}_d) = (q_{sA})_{\substack{s=1,\dots,d \\ A=1,2,3}}.$$

To describe the fermionic degrees of freedom let, as a preliminary,

$$\gamma^i = (\gamma_{\alpha\beta}^i)_{\alpha,\beta=1,\dots,s_d}, \quad (i = 1, \dots, d), \quad (1)$$

be the *real* representation of smallest dimension, called s_d , of the Clifford algebra with d generators: $\{\gamma^s, \gamma^t\} = 2\delta^{st}\mathbf{1}$. On the representation space, $\text{Spin}(d)$ is realized through matrices $R \in \text{SO}(s_d)$, so that we may view

$$\text{Spin}(d) \hookrightarrow \text{SO}(s_d), \quad (2)$$

as a simply connected subgroup. We recall that

$$s_d = \begin{cases} 2^{\lfloor d/2 \rfloor}, & d = 0, 1, 2 \pmod{8}, \\ 2^{\lfloor d/2 \rfloor + 1}, & \text{otherwise}, \end{cases}$$

where $\lfloor \cdot \rfloor$ denotes the integer part. We then consider the Clifford algebra with s_d generators and its irreducible representation on $\mathcal{C} = \mathbb{C}^{2^{s_d/2}}$. On $\mathcal{C}^{\otimes 3}$ the Clifford generators

$$(\vec{\Theta}_1, \dots, \vec{\Theta}_{s_d}) = (\Theta_{\alpha A})_{\substack{\alpha=1,\dots,s_d \\ A=1,2,3}}$$

are defined, satisfying $\{\Theta_{\alpha A}, \Theta_{\beta B}\} = \delta_{\alpha\beta} \delta_{AB}$. The Hilbert space, finally, is

$$\mathcal{H} = L^2(X, \mathcal{C}^{\otimes 3}). \quad (3)$$

There is a natural representation of $\text{SU}(2) \times \text{Spin}(d) \ni (U, R)$ on \mathcal{H} . In fact, the group acts naturally on X through its representation $\text{SO}(3) \times \text{SO}(d)$ (which we also denote by (U, R)). On $\mathcal{C}^{\otimes 3}$ we have the representation \mathcal{R} of $\text{Spin}(s_d) \ni R$

$$\mathcal{R}(R)^* \Theta_{\alpha A} \mathcal{R}(R) = \tilde{R}_{\alpha\beta} \Theta_{\beta A}, \quad (4)$$

where $\tilde{R} = \tilde{R}(R)$ is its $\text{SO}(s_d)$ representation. Through $\text{SO}(s_d) = \text{Spin}(s_d)/\mathbb{Z}_2$ and (2) we have

$$\text{Spin}(d) \hookrightarrow \text{Spin}(s_d), \quad (5)$$

and thus a representation \mathcal{R} of $\text{Spin}(d)$. The representation \mathcal{U} of $\text{SU}(2) \ni U$ on $\mathcal{C}^{\otimes 3}$ is characterized by $\mathcal{U}(U)^* \Theta_{\alpha A} \mathcal{U}(U) = U_{AB} \Theta_{\alpha B}$.

We shall now restrict to $d = 2, 3, 5, 9$, where $s_d = 2, 4, 8, 16$, the reason being that in these cases

$$s_d = 2(d-1), \quad (6)$$

whereas s_d is strictly larger otherwise. Eq. (6) is essential for the algebra (7) below [17].

The supercharges, acting on \mathcal{H} , are given by the s_d hermitian operators

$$Q_\beta = \vec{\Theta}_\alpha \cdot \left(-i\gamma_{\alpha\beta}^t \vec{\nabla}_t + \frac{1}{2} \vec{q}_s \times \vec{q}_t \gamma_{\beta\alpha}^{st} \right), \quad (\beta = 1, \dots, s_d),$$

where $\gamma^{st} = (1/2)(\gamma^s \gamma^t - \gamma^t \gamma^s)$. These supercharges transform as scalars under $\text{SU}(2)$ transformations generated by

$$J_{AB} = -i(q_{sA} \partial_{sB} - q_{sB} \partial_{sA}) - \frac{i}{2} (\Theta_{\alpha A} \Theta_{\alpha B} - \Theta_{\alpha B} \Theta_{\alpha A}) \equiv L_{AB} + M_{AB},$$

resp. as vectors in \mathbb{R}^{s_d} under $\text{Spin}(d)$ transformation generated by

$$J_{st} = -i(\vec{q}_s \cdot \vec{\nabla}_t - \vec{q}_t \cdot \vec{\nabla}_s) - \frac{i}{4} \vec{\Theta}_\alpha \gamma_{\alpha\beta}^{st} \vec{\Theta}_\beta \equiv L_{st} + M_{st}.$$

The anticommutation relations of the supercharges are

$$\{Q_\alpha, Q_\beta\} = \delta_{\alpha\beta} H + \gamma_{\alpha\beta}^t q_{tA} \varepsilon_{ABC} J_{BC}. \quad (7)$$

Here, H is the Hamiltonian

$$H = - \sum_{s=1}^9 \vec{\nabla}_s^2 + \sum_{s<t} (\vec{q}_s \times \vec{q}_t)^2 + i \vec{q}_s \cdot (\vec{\Theta}_\alpha \times \vec{\Theta}_\beta) \gamma_{\alpha\beta}^s, \quad (8)$$

which commutes with both J_{AB} and J_{st} . The question we address is the possibility of a normalizable state $\psi \in \mathcal{H}$ with zero energy, i.e., with $H\psi = 0$, which is a singlet w.r.t. both $\text{SU}(2)$ and $\text{Spin}(d)$. Note that on $\text{SU}(2)$ invariant states $H = 2Q_\beta^2 \geq 0$ and in fact the energy spectrum is ([19]) $\sigma(H) = [0, \infty)$. Equivalently, we look for zero-modes

$$Q_\beta \psi = 0, \quad (\beta = 1, \dots, s_d).$$

3 Results

The potential $\sum_{s<t} (\vec{q}_s \times \vec{q}_t)^2$ vanishes on the manifold

$$\vec{q}_s = r \vec{e} E_s$$

with $r > 0$ and $\vec{e}^2 = \sum_s E_s^2 = 1$. The dimension of the manifold is $1 + 2 + (d-1) = 3d - 2(d-1)$. Points in a conical neighborhood of the manifold can be expressed in terms of tubular (or ‘‘end-point’’) coordinates [23]

$$\vec{q}_s = r \vec{e} E_s + r^{-1/2} \vec{y}_s \quad (9)$$

with

$$\vec{y}_s \cdot \vec{e} = 0, \quad \vec{y}_s E_s = \vec{0}. \quad (10)$$

A prefactor has been put explicitly in front of the transversal coordinates \vec{y}_s , so as to anticipate the length scale $r^{-1/2}$ of the ground state. The change

$$(\vec{e}, E, y) \mapsto (-\vec{e}, -E, y) \quad (11)$$

does not affect \vec{q}_s . Rather than identifying the two coordinates for \vec{q}_s , we shall look for states which are even under the antipode map (11).

We can now describe the structure of a putative ground state.

Theorem *Consider the equations $Q_\beta \psi = 0$ for a formal power series solution near $r = \infty$ of the form*

$$\psi = r^{-\kappa} \sum_{k=0}^{\infty} r^{-\frac{3}{2}k} \psi_k, \quad (12)$$

where: $\psi_k = \psi_k(\vec{e}, E, y)$ is square integrable w.r.t. $de dE dy$;
 ψ_k is $SU(2) \times \text{Spin}(d)$ invariant;
 $\psi_0 \neq 0$.

Then, up to linear combinations,

- $d=9$: The solution is unique, and $\kappa = 6$;
- $d=5$: There are three solutions with $\kappa = -1$ and one with $\kappa = 3$;
- $d=3$: There are two solutions with $\kappa = 0$;
- $d=2$: There are no solutions.

All solutions are even under the antipode map (11),

$$\psi_k(\vec{e}, E, y) = \psi_k(-\vec{e}, -E, y),$$

except for the state $d = 5, \kappa = 3$, which is odd.

Remarks 1. The equation $Q_\beta \psi = 0$ can be viewed as an ordinary differential equation in $z = r^{3/2}$ for a function taking values in $L^2(de dE dy, \mathcal{C}^{\otimes 3})$ (see eq. (14) below). It turns out that $z = \infty$ is a singular point of the second kind [22]. In such a situation the series (12) is typically asymptotic to a true solution, but not convergent.

2. The integration measure is $dq = dr \cdot r^2 de \cdot r^{d-1} dE \cdot r^{-\frac{1}{2} \cdot 2(d-1)} dy = r^2 dr de dE dy$. The wave function (12) is square integrable at infinity if $\int^\infty dr r^2 (r^{-\kappa})^2 < \infty$, i.e., if $\kappa > 3/2$. The theorem is consistent with the statement according to which **only** for $d = 9$ a (unique) normalizable ground state for (8) (which would have to be even) is possible.

3. Note that the connection of matrix models with supergravity requires the zero-energy solutions to be $\text{Spin}(d)$ singlets only for $d = 9$.

The case $d = 2$ can be dealt with immediately. We may assume $\gamma^2 = \sigma_3, \gamma^1 = \sigma_1$ (Pauli matrices), so that

$$M_{12} = \frac{i}{2} \Theta_{1A} \Theta_{2A},$$

with commuting terms. Since, for each $A = 1, 2, 3$, $(\Theta_{1A}\Theta_{2A})^2 = -1/4$, we see that M_{12} has spectrum in $\mathbb{Z}/2 + 1/4$. Given that L_{12} has spectrum \mathbb{Z} , no state with $J_{12}\psi = 0$ is possible. We mention [1] that, more generally, for $d = 2$ no normalizable $SU(2)$ invariant ground state exists.

The proof of the theorem will thus deal with $d = 9, 5, 3$ only.

4 Proof

We shall first derive the power series expansion of the supercharges Q_β . To this end we note that

$$\begin{aligned} \frac{\partial}{\partial q_{tA}} &= r^{1/2}(\delta_{st} - E_s E_t)(\delta_{AB} - e_A e_B) \frac{\partial}{\partial y_{sB}} \\ &+ r^{-1} [e_A E_t (r \frac{\partial}{\partial r} + \frac{1}{2} y_{sB} \frac{\partial}{\partial y_{sB}}) + i e_B E_t L_{BA} + i e_A E_s L_{st}] + O(r^{-5/2}), \end{aligned} \quad (13)$$

with the remainder not containing derivatives w.r.t. r (see Appendix 1 for derivation). This yields

$$Q_\beta = r^{1/2} Q_\beta^0 + r^{-1} (\widehat{Q}_\beta^1 r \frac{\partial}{\partial r} + Q_\beta^1) + r^{-5/2} Q_\beta^2 + \dots \quad (14)$$

with r -independent operators

$$\begin{aligned} Q_\beta^0 &= -i \Theta_{\alpha A} \gamma_{\alpha\beta}^t (\delta_{st} - E_s E_t)(\delta_{AB} - e_A e_B) \frac{\partial}{\partial y_{sB}} + \vec{\Theta}_\alpha \cdot (\vec{e} \times \vec{y}_t) E_s \gamma_{\beta\alpha}^{st}, \\ \widehat{Q}_\beta^1 &= -i (\vec{\Theta}_\alpha \cdot \vec{e}) \gamma_{\alpha\beta}^t E_t, \\ Q_\beta^1 &= \Theta_{\alpha A} \gamma_{\alpha\beta}^t (e_B E_t L_{BA} + e_A E_s L_{st} - \frac{i}{2} e_A E_t y_{sB} \frac{\partial}{\partial y_{sB}}) + \frac{1}{2} \vec{\Theta}_\alpha \cdot (\vec{y}_s \times \vec{y}_t) \gamma_{\beta\alpha}^{st}. \end{aligned}$$

The explicit expressions of Q_β^n , ($n \geq 2$) will not be needed. We then equate coefficients of powers of $r^{-3/2}$ in the equation $Q_\beta \psi = 0$ with the result

$$\begin{aligned} Q_\beta^0 \psi_n + (-\kappa + \frac{3}{2}(n-1)) \widehat{Q}_\beta^1 + Q_\beta^1 \psi_{n-1} + Q_\beta^2 \psi_{n-2} + \dots + Q_\beta^n \psi_0 &= 0, \\ (n = 0, 1, \dots). \end{aligned} \quad (15)$$

4.1 The equation at $n = 0$

The equation at $n = 0$,

$$Q_\beta^0 \psi_0 = 0, \quad (16)$$

admits precisely the (not necessarily $SU(2) \times \text{Spin}(d)$ invariant) solutions

$$\psi_0(\vec{e}, E, y) = e^{-\sum_s \vec{y}_s^2 / 2} |F(E, \vec{e})\rangle, \quad (17)$$

(with \vec{y} restricted to (10)), where the fermionic states $|F(E, \vec{e})\rangle$ can be described as follows: Let \vec{n}_\pm be two complex vectors satisfying $\vec{n}_+ \cdot \vec{n}_- = 1$, $\vec{e} \times \vec{n}_\pm = \mp i \vec{n}_\pm$ (and hence

$\vec{n}_\pm \cdot \vec{n}_\pm = 0$, $\vec{n}_+ \times \vec{n}_- = -i\vec{e}$. For any vector $v \in \mathbb{R}^{sd}$ we may introduce $\vec{\Theta}(v) = \vec{\Theta}_\alpha v_\alpha$, as well as fermionic operators $\vec{\Theta}(v) \cdot \vec{n}_\pm$ satisfying canonical anticommutation relations:

$$\{\vec{\Theta}(u) \cdot \vec{n}_+, \vec{\Theta}(v) \cdot \vec{n}_-\} = u_\alpha v_\alpha, \quad \{\vec{\Theta}(u) \cdot \vec{n}_\pm, \vec{\Theta}(v) \cdot \vec{n}_\pm\} = 0.$$

Then, $|F(E, \vec{e})\rangle$ is required to obey

$$\vec{\Theta}(v) \cdot \vec{n}_\pm |F(E, \vec{e})\rangle = 0 \quad \text{for} \quad E_s \gamma^s v = \pm v. \quad (18)$$

To prove the above, let us note that

$$\begin{aligned} \{Q_\alpha^0, Q_\beta^0\} &= \delta_{\alpha\beta} H^0 + \gamma_{\alpha\beta}^t E_t \varepsilon_{ABC} M_{AB} e_C, \\ H^0 &= [-(\delta_{st} - E_s E_t)(\delta_{AB} - e_{AE} e_B) \frac{\partial}{\partial y_{sA}} \frac{\partial}{\partial y_{tB}} + \sum_s \vec{y}_s^2] + i E_s \gamma_{\alpha\beta}^s \vec{e} \cdot (\vec{\Theta}_\alpha \times \vec{\Theta}_\beta) \\ &\equiv H_B^0 + H_F^0. \end{aligned} \quad (19)$$

By contracting eq. (19) against $\delta_{\alpha\beta}$, resp. $\gamma_{\alpha\beta}^t E_t$ we see that the equations (16) are equivalent to the pair of equations

$$H^0 \psi_0 = 0, \quad \varepsilon_{ABC} M_{AB} e_C \psi_0 = 0. \quad (20)$$

Here, H_B^0 is a harmonic oscillator in $2(d-1)$ degrees of freedom, with orbital ground state wave function $e^{-\sum_s \vec{y}_s^2/2}$ and energy $2(d-1)$. On the other hand,

$$\begin{aligned} H_F^0 &= -E_s \gamma_{\alpha\beta}^s ((\vec{\Theta}_\alpha \cdot \vec{n}_+) (\vec{\Theta}_\beta \cdot \vec{n}_-) - (\vec{\Theta}_\alpha \cdot \vec{n}_-) (\vec{\Theta}_\beta \cdot \vec{n}_+)) \\ &= -s_d + 2P_{\alpha\beta}^+ (\vec{\Theta}_\alpha \cdot \vec{n}_-) (\vec{\Theta}_\beta \cdot \vec{n}_+) + 2P_{\alpha\beta}^- (\vec{\Theta}_\alpha \cdot \vec{n}_+) (\vec{\Theta}_\beta \cdot \vec{n}_-), \end{aligned} \quad (21)$$

where we used the spectral decomposition $E_s \gamma^s = P^+ - P^-$. In view of (6), the equation $H^0 \psi_0 = 0$ is fulfilled iff the fermionic state is annihilated by the last two positive terms in (21), i.e., if (18) holds. The second equation (20) is now also satisfied, since

$$\begin{aligned} \frac{1}{2} \varepsilon_{ABC} M_{AB} e_C &= -\frac{i}{2} \vec{e} \cdot (\vec{\Theta}_\alpha \times \vec{\Theta}_\alpha) \\ &= \frac{1}{2} ((\vec{\Theta}_\alpha \cdot \vec{n}_+) (\vec{\Theta}_\alpha \cdot \vec{n}_-) - (\vec{\Theta}_\alpha \cdot \vec{n}_-) (\vec{\Theta}_\alpha \cdot \vec{n}_+)) \\ &= P_{\alpha\beta}^- (\vec{\Theta}_\alpha \cdot \vec{n}_+) (\vec{\Theta}_\beta \cdot \vec{n}_-) - P_{\alpha\beta}^+ (\vec{\Theta}_\alpha \cdot \vec{n}_-) (\vec{\Theta}_\beta \cdot \vec{n}_+) \end{aligned} \quad (22)$$

annihilates $|F(E, \vec{e})\rangle$.

4.2 $SU(2) \times \text{Spin}(d)$ invariant states

We recall that the representation $\mathcal{R}[\cdot]$ of $\text{Spin}(d)$ on \mathcal{H} is $(\mathcal{R}[R]\psi)(q) = \mathcal{R}(R)(\psi(R^{-1}q))$, where $\mathcal{R}(R)$ acts on $\mathcal{C}^{\otimes 3}$. Similarly for $SU(2)$. The invariant solutions among (17) are thus those which satisfy

$$\mathcal{U}(U)|F(E, \vec{e})\rangle = |F(E, U\vec{e})\rangle, \quad \mathcal{R}(R)|F(E, \vec{e})\rangle = |F(RE, \vec{e})\rangle, \quad (23)$$

for $(U, R) \in SU(2) \times \text{Spin}(d)$. These states are in bijective correspondence to states invariant under the ‘little group’ $(U, R) \in U(1) \times \text{Spin}(d-1)$, i.e., to states $|F(E, \vec{e})\rangle$ satisfying

$$\mathcal{U}(U)|F(E, \vec{e})\rangle = |F(E, \vec{e})\rangle, \quad \mathcal{R}(R)|F(E, \vec{e})\rangle = |F(E, \vec{e})\rangle, \quad (24)$$

for some arbitrary but fixed (E, \vec{e}) and all U, R with $U\vec{e} = \vec{e}$, $RE = E$. The first relation holds on all of (18). In fact the generator (22) of the group $\mathcal{U}(U)$ of rotations U about \vec{e} annihilates $|F(E, \vec{e})\rangle$, as we just saw. To discuss the second relation (24) we note that the generators of $\text{Spin}(d-1)$ (i.e., of the fermionic rotations about E), are $M_{st}U_sV_t$ with $U_sE_s = V_sE_s = 0$. We write $M_{st} = M_{st}^\perp + M_{st}^\parallel$, where

$$M_{st}^\perp = -(i/2)(\vec{\Theta}_\alpha \cdot \vec{n}_+) \gamma_{\alpha\beta}^{st} (\vec{\Theta}_\beta \cdot \vec{n}_-), \quad M_{st}^\parallel = -(i/4)(\vec{\Theta}_\alpha \cdot \vec{e}) \gamma_{\alpha\beta}^{st} (\vec{\Theta}_\beta \cdot \vec{e}), \quad (25)$$

and remark that, by a computation similar to (22), $M_{st}^\perp U_s V_t$ annihilates $|F(E, \vec{e})\rangle$. As a result, we may study the representation \mathcal{R} of the group $\text{Spin}(d-1)$ through its embedding in the Clifford algebra generated by the $\vec{\Theta}_\alpha \cdot \vec{e}$.

The operators $\vec{\Theta}_\alpha \cdot \vec{e}$ leave the space (18) invariant and act irreducibly on it. That space is thus isomorphic to \mathcal{C} , and $\text{Spin}(s_d)$ acts according to (4) (with $\Theta_{\alpha A}$ replaced by $\vec{\Theta}_\alpha \cdot \vec{e}$). This representation decomposes (see e.g. [24]) as

$$\mathcal{C} = (2^{(s_d/2)-1})_+ \oplus (2^{(s_d/2)-1})_- \quad (26)$$

w.r.t. the subspaces where $\Theta \equiv 2^{s_d/2} \prod_{\alpha=1}^{s_d} \vec{\Theta}_\alpha \cdot \vec{e} = +1$, resp. -1 . The embedding (5) and the corresponding branching of the representation (but not the statement of the theorem!) depend on the choice of the γ -matrices. In order to select a definite embedding, let

$$\gamma^d = \begin{pmatrix} \mathbf{1} & 0 \\ 0 & -\mathbf{1} \end{pmatrix}, \quad \gamma^{d-1} = \begin{pmatrix} 0 & \mathbf{1} \\ \mathbf{1} & 0 \end{pmatrix}, \quad \gamma^j = \begin{pmatrix} 0 & i\Gamma^j \\ -i\Gamma^j & 0 \end{pmatrix} \quad (27)$$

with Γ^j , ($j = 1, \dots, d-2$) purely imaginary, antisymmetric, and $\{\Gamma^j, \Gamma^k\} = 2\delta_{jk} \mathbf{1}_{s_d/2}$. Then (26) branches as (see [25], resp. [12, 13])

$$\mathcal{C} = \begin{cases} (44 \oplus 84) \oplus 128, & (d=9), \\ (5 \oplus 1 \oplus 1 \oplus 1) \oplus (4 \oplus 4), & (d=5), \\ 2 \oplus (1 \oplus 1), & (d=3), \end{cases} \quad (28)$$

when viewed as a representation of $\text{Spin}(d)$. (The choice $\tilde{\gamma}_{\alpha\beta}^i = \tilde{R}_{\alpha'\alpha} \gamma_{\alpha'\beta'}^i \tilde{R}_{\beta'\beta}$ with $\tilde{R} \in O(s_d)$, $\det \tilde{R} = -1$ would have inverted the branching of the representations on the r.h.s. of (26)). The case $d=3$ deserves a remark, as there are additional inequivalent embeddings $\text{Spin}(d=3) \hookrightarrow \text{Spin}(s_d=4)$, and one has to consider the one appropriate to (5). In fact $R \in \text{Spin}(3) = \text{SU}(2)$ acts in the fundamental representation on \mathbb{C}^2 , the irreducible representation space of the complex Clifford algebra with 3 generators. The real representation (27) is obtained by joining two complex representations, followed by an appropriate change T of basis. The embedding (5) is thus realized through $R \mapsto T^{-1}(R \otimes \mathbf{1}_2)T$ and the embedding $\text{su}(2)_{\mathbb{C}} \hookrightarrow \text{so}(4)_{\mathbb{C}} = \text{su}(2)_{\mathbb{C}} \oplus \text{su}(2)_{\mathbb{C}}$ is equivalent to $u \mapsto (u, 0)$.

The further branching $\text{Spin}(d) \leftrightarrow \text{Spin}(d-1)$ yields

$$\mathcal{C} = \begin{cases} (1 \oplus 8_v \oplus 35_v) \oplus (28 \oplus 56_v) \oplus (8_s \oplus 8_c \oplus 56_s \oplus 56_c), & (d-1=8), \\ 1 \oplus 1 \oplus 1 \oplus (1 \oplus 4) \oplus (2_+ \oplus 2_-) \oplus (2_+ \oplus 2_-), & (d-1=4), \\ (1_1 \oplus 1_{-1}) \oplus 1_0 \oplus 1_0, & (d-1=2). \end{cases} \quad (29)$$

The content of invariant states stated in the theorem is now manifest. One should notice that for $d = 3$ the little group $U(1)$ is abelian and the singlets $1_{\pm 1}$ do not correspond to invariant states. For later use we also retain the fermionic $\text{Spin}(d)$ representation to which the remaining singlets are associated,

$$44 \quad (d = 9); \quad 1, 1, 1, 5 \quad (d = 5); \quad 1, 1 \quad (d = 3), \quad (30)$$

together with the corresponding eigenvalue of Θ :

$$\Theta = \quad 1 \quad (d = 9); \quad 1, 1, 1, 1 \quad (d = 5); \quad -1, -1 \quad (d = 3). \quad (31)$$

4.3 Even states

It remains to check which of these states satisfy $|F(-E, -\vec{e})\rangle = |F(E, \vec{e})\rangle$. Let us begin by noting that by (23)

$$|F(-E, -\vec{e})\rangle = e^{iM_{AB}e_A u_B \pi} e^{iM_{st}E_s U_t \pi} |F(E, \vec{e})\rangle,$$

where $\vec{u} \in \mathbb{R}^3$, resp. $U \in \mathbb{R}^d$ are unit vectors orthogonal to \vec{e} , resp. E . The $\text{Spin}(d)$ rotation can be factorized as $e^{iM_{st}E_s U_t \pi} = e^{iM_{st}^\perp E_s U_t \pi} e^{iM_{st}^\parallel E_s U_t \pi}$. We claim that $e^{iM_{st}^\parallel E_s U_t \pi} |F(E, \vec{e})\rangle = \sigma |F(E, \vec{e})\rangle$ with

$$\sigma = \quad 1 \quad (d = 9); \quad 1, 1, 1, -1 \quad (d = 5); \quad 1, 1 \quad (d = 3). \quad (32)$$

The operator represents a rotation $R \in \text{Spin}(d)$ with $RE = -E$ in the representation (30). For $d = 9$ the latter can be realized on symmetric traceless tensors T_{ij} , ($i, j = 1, \dots, 9$), where the $\text{Spin}(8)$ -singlet is $E_i E_j - (1/9)\delta_{ij}$, implying $\sigma = 1$. For $d = 5$, the last representation (30) is just the vector representation, where $\sigma = -1$. As the remaining cases are evident, eq. (32) is proven. A computation using (27) and, without loss $E = (0, \dots, 0, 1)$, $U = (0, \dots, 1, 0)$ shows

$$\begin{aligned} e^{iM_{d,d-1}^\perp \pi} |F(E, \vec{e})\rangle &= \prod_{\alpha=1}^{s_d/2} e^{[(\vec{\Theta}_\alpha \cdot \vec{n}_+) (\vec{\Theta}_{\alpha+s_d/2} \cdot \vec{n}_-) - (\vec{\Theta}_{\alpha+s_d/2} \cdot \vec{n}_+) (\vec{\Theta}_\alpha \cdot \vec{n}_-)] \pi / 2} |F(E, \vec{e})\rangle \\ &= \prod_{\alpha=1}^{s_d/2} (\vec{\Theta}_{\alpha+s_d/2} \cdot \vec{n}_+) (\vec{\Theta}_\alpha \cdot \vec{n}_-) |F(E, \vec{e})\rangle \equiv |\overline{F}(E, \vec{e})\rangle, \\ e^{iM_{AB}e_A u_B \pi} |\overline{F}(E, \vec{e})\rangle &= \prod_{\alpha=1}^{s_d} e^{(\vec{\Theta}_\alpha \cdot \vec{e}) (\vec{\Theta}_\alpha \cdot \vec{u}) \pi} |\overline{F}(E, \vec{e})\rangle \\ &= (-1)^{s_d/4} \Theta \prod_{\alpha=1}^{s_d/2} (\vec{\Theta}_\alpha \cdot \vec{n}_+) (\vec{\Theta}_{\alpha+s_d/2} \cdot \vec{n}_-) |\overline{F}(E, \vec{e})\rangle = |F(E, \vec{e})\rangle, \end{aligned}$$

where we used (31) in the last step. Together with (32) this proves the statement of theorem concerning the invariance under (11).

4.4 The equation at $n > 0$

We next discuss the equations $(15)_n$ with $n \geq 1$. Let P_0 be the orthogonal projection onto the states (17), i.e., onto the null space of Q_β^0 . We replace them with an equivalent

pair of equations, obtained by multiplication of (15)_{n+1} with P_0 , resp. of (15)_n with Q_β^0 , which is injective on the range of the complementary projection $\overline{P}_0 = 1 - P_0$:

$$P_0\left(-\left(\kappa + \frac{3}{2}n\right)\widehat{Q}_\beta^1 + Q_\beta^1\right)P_0\psi_n = -P_0\left(Q_\beta^1\overline{P}_0\psi_n + Q_\beta^2\psi_{n-1} + \dots + Q_\beta^{n+1}\psi_0\right), \quad (n = 0, 1, \dots), \quad (33)$$

$$(Q_\beta^0)^2\psi_n = -Q_\beta^0\left(\left(-\left(\kappa + \frac{3}{2}(n-1)\right)\widehat{Q}_\beta^1 + Q_\beta^1\right)\psi_{n-1} + Q_\beta^2\psi_{n-2} + \dots + Q_\beta^n\psi_0\right), \quad (n = 1, 2, \dots) \quad (34)$$

(we used $P_0\widehat{Q}_\beta^1\overline{P}_0 = 0$). Here, and until the end of this subsection, no summation over β is understood. The equation (33) at $n = 0$ reads

$$P_0Q_\beta^1\psi_0 = \kappa P_0\widehat{Q}_\beta^1\psi_0 (= \kappa\widehat{Q}_\beta^1\psi_0). \quad (35)$$

We shall verify this by explicit computation later on. Since a similar issue will show up in solving the equation (33) at $n > 0$, let us also present a more general statement, whose proof is postponed to the next subsection.

Lemma *Let T_β be linear operators on the range of P_0 , which transform as real spinors of $\text{Spin}(d)$ and commute with the antipode map. Then, for each invariant state we have*

$$T_\beta\psi_0 = \kappa\widehat{Q}_\beta^1\psi_0, \quad (36)$$

with κ depending only on the associated representation (30).

We now assume having solved the equations (33, 34) up to $n - 1$ for $\text{Spin}(d)$ invariant $\psi_1, \dots, \psi_{n-1}$ (which is true for $n - 1 = 0$), and claim the same is possible for n . Since Q_β^0 is invertible on the range of \overline{P}_0 , eq. (34)_n determines $\overline{P}_0\psi_n$ uniquely. The fact that the solution so obtained is independent of β and is $\text{Spin}(d)$ invariant may deserve a comment, because the equivalence of the equations $Q_\beta\psi = 0$ and $(Q_\beta)^2\psi = 0$, which holds on (3), does not apply in the sense of formal power series (12). Consider the expansion (14), i.e.,

$$Q_\beta = r^{1/2} \sum_{k=0}^{\infty} r^{-\frac{3}{2}k} [Q_\beta]_k, \quad [Q_\beta]_k = Q_\beta^k + \delta_{1k} \widehat{Q}_\beta^1 r \frac{\partial}{\partial r},$$

as well as its formal square

$$(Q_\beta)^2 = r \sum_{k=0}^{\infty} r^{-\frac{3}{2}k} [(Q_\beta)^2]_k.$$

Notice that $(Q_\beta)^2$ is, by (7), independent of β and $\text{Spin}(d)$ invariant as an operator on $\text{SU}(2)$ invariant power series. Similarly, let $[Q_\beta\psi]_k$ (given by the l.h.s. of (15)) and $[(Q_\beta)^2\psi]_k$ be the coefficients of the corresponding series. By induction assumption we have $[Q_\beta\psi]_k = 0$ for $k = 0, \dots, n - 1$. Since $Q_\beta(Q_\beta\psi) = (Q_\beta)^2\psi$, we obtain

$$\begin{aligned} [(Q_\beta)^2\psi]_n &= \sum_{k=0}^n Q_\beta^k [Q_\beta\psi]_{n-k} - \left(\kappa + \frac{3}{2}n - 2\right) \widehat{Q}_\beta^1 [Q_\beta\psi]_{n-1} = Q_\beta^0 [Q_\beta\psi]_n, \\ [(Q_\beta)^2\psi]_n &= (Q_\beta^0)^2\psi_n + \widetilde{\psi}_{n-1}, \end{aligned}$$

where $\tilde{\psi}_{n-1}$ (determined by $\psi_0, \dots, \psi_{n-1}$) has the desired properties. The equation $(34)_n$, i.e., $Q_\beta^0[Q_\beta\psi]_n = 0$ is thus equivalent to $(Q_\beta^0)^2\psi_n = -\tilde{\psi}_{n-1}$, which exhibits the claim.

On the other hand, invariance requires $P_0\psi_n$ to be a linear combination of invariant singlets. For the ansatz $P_0\psi_n = \lambda_n\psi_0$, eq. $(33)_n$ reads

$$\frac{3}{2}n\lambda_n\widehat{Q}_\beta^1\psi_0 = -P_0(Q_\beta^1\overline{P}_0\psi_n + Q_\beta^2\psi_{n-1} + \dots + Q_\beta^{n+1}\psi_0),$$

because of (35). Again, by the lemma, this holds true for suitable λ_n . Indeed, this solution for $P_0\psi_n$ is the only one.

4.5 Proof of the lemma

The vectors $T_\beta\psi_0$, ($\beta = 1, \dots, s_d$) transform under $\text{Spin}(d)$ as real spinors, although they might be linearly dependent. By reducing matters to the little group as before, any representation of that sort is specified by the values $|F^\beta(E, \vec{e})\rangle$ of its states (see (17)) at one point (E, \vec{e}) , which are required to satisfy

$$\tilde{R}_{\beta\alpha}(R)|F^\alpha(E, \vec{e})\rangle = \mathcal{R}(R)|F^\beta(E, \vec{e})\rangle$$

for R with $RE = E$. Pretending the states $|F^\beta(E, \vec{e})\rangle$ to be linearly independent, the branching $\text{Spin}(d) \leftrightarrow \text{Spin}(d-1)$ yields

$$\begin{aligned} 16 = 8_s \oplus 8_c \quad (d=9); & \quad 4 \oplus 4 = (2_+ \oplus 2_-) \oplus (2_+ \oplus 2_-) \quad (d=5); \\ 2 \oplus 2 = (1_1 \oplus 1_{-1}) \oplus (1_1 \oplus 1_{-1}) \quad (d=3). \end{aligned}$$

For $d = 9, 5$ each term on the r.h.s. occurs as often as in (29), and ψ_0 can indeed be chosen so that the s_d vectors $\widehat{Q}_\beta^1\psi_0$ are independent. Not so in the last case, where the vectors $T_\beta\psi_0$ just belong to $1_1 \oplus 1_{-1}$. We continue the discussion for different values of d separately.

- $d = 9$. Any linear transformation K commuting with a $\text{Spin}(9)$ representation as above is thus of the form $K = \kappa_s \oplus \kappa_c$. If K also commutes with the antipode map, then $\kappa_s = \kappa_c \equiv \kappa$. Applying this to the representation $\widehat{Q}_\beta^1\psi_0$ and to the map $K : \widehat{Q}_\beta^1\psi_0 \mapsto T_\beta\psi_0$ yields the claim.

- $d = 5$. Let us regroup $(2_+ \oplus 2_-) \oplus (2_+ \oplus 2_-) \cong (2_+ \otimes \mathbb{1}_2) \oplus (2_- \otimes \mathbb{1}_2)$. Then any map K commuting with the representation is of the form

$$K = (\mathbb{1} \otimes K_+) \oplus (\mathbb{1} \otimes K_-),$$

where K_- is conjugate to K_+ if K commutes with the antipode map. This allows for a four dimensional space of such maps K . To proceed further we shall again assume that $E = (0, \dots, 0, 1)$ and introduce creation operators

$$a_\alpha^* = \frac{1}{\sqrt{2}}[(\vec{\Theta}_\alpha \cdot \vec{e}) + i(\vec{\Theta}_{\alpha+4} \cdot \vec{e})], \quad (\alpha = 1, \dots, 4)$$

which then define a vacuum through $a_\alpha|0\rangle = 0$. We next choose an orthonormal basis $\{\psi_0^1, \dots, \psi_0^4\}$ for the 4-dimensional subspace of singlets in the range of P_0 by specifying

the values of the corresponding fermionic parts (see (17)) at (E, \vec{e}) :

$$\begin{aligned} |F_0^4(E, \vec{e})\rangle &= \frac{1}{\sqrt{2}}(|0\rangle - a_1^* a_2^* a_3^* a_4^* |0\rangle), \\ |F_0^i(E, \vec{e})\rangle &= \frac{1}{2\sqrt{2}} \tilde{\Gamma}_{\alpha\beta}^i a_\alpha^* a_\beta^* |0\rangle = \frac{i}{4} (\gamma^4 \tilde{\gamma}^i)_{\alpha\beta} (\vec{\Theta}_\alpha \cdot \vec{e}) (\vec{\Theta}_\beta \cdot \vec{e}) |F_0^4(E, \vec{e})\rangle, \quad (i = 1, 2, 3), \end{aligned}$$

where

$$\tilde{\gamma}^i = \begin{pmatrix} 0 & i\tilde{\Gamma}^i \\ -i\tilde{\Gamma}^i & 0 \end{pmatrix} = \sigma^{-1} \gamma^i \sigma, \quad \sigma = \begin{pmatrix} \Sigma & 0 \\ 0 & \Sigma \end{pmatrix}$$

with $\Sigma \in O(4)$ and $\det \Sigma = -1$. Note that ψ_0^4 is the singlet belonging to the 5-dimensional fermionic representation of $\text{Spin}(5)$. One can verify that the four maps

$$K^i : \hat{Q}_\beta^1 \psi_0^1 \mapsto \begin{cases} \hat{Q}_\beta^1 \psi_0^i, & (i = 1, 2, 3), \\ \gamma_{\beta\alpha}^t E_t \hat{Q}_\alpha^1 \psi_0^4, & (i = 4), \end{cases}$$

besides being of the kind just discussed, are linearly independent. Therefore any map K of the above form is a linear combination thereof. In particular this applies, for any $(\underline{x}, x_4) \in \mathbb{R}^{3+1}$, to the map $K : \hat{Q}_\beta^1 \psi_0^1 \mapsto x_i T_\beta \psi_0^i + x_4 \gamma_{\beta\alpha}^t E_t T_\alpha \psi_0^4$, hence

$$x_i T_\beta \psi_0^i + x_4 \gamma_{\beta\alpha}^t E_t T_\alpha \psi_0^4 = y_i \hat{Q}_\beta^1 \psi_0^i + y_4 \gamma_{\beta\alpha}^t E_t \hat{Q}_\alpha^1 \psi_0^4.$$

This defines a linear map $\kappa : (\underline{x}, x_4) \mapsto (\underline{y}, y_4)$ on \mathbb{R}^{3+1} . We claim that

$$\kappa : (R\underline{x}, x_4) \mapsto (R\underline{y}, y_4) \quad (37)$$

for $R \in \text{SO}(3)$, which implies $\kappa = \text{diag}(\kappa_1 = \kappa_2 = \kappa_3, \kappa_4)$ and hence (36). Eq. (37) can be proven using $R_{ij} \psi_0^i = \mathcal{R} \psi_0^j$ for $\mathcal{R} \in \text{Spin}(8)$ projecting to $R \in \text{Spin}(3) \subset \text{Spin}(5) \hookrightarrow \text{SO}(8)$. This in turn follows from (4) and from $\mathcal{R} \psi_0^4 = \psi_0^4$.

- $d = 3$. Analogously to $d = 9$.

4.6 Determination of κ

Since $J_{AB} \psi_0 = J_{st} \psi_0 = 0$ we may replace Q_β^1 by

$$Q_\beta^1 = \Theta_{\alpha A} \gamma_{\alpha\beta}^t \left(-e_B E_t M_{BA} - e_A E_s M_{st} - \frac{i}{2} e_A E_t y_{sB} \frac{\partial}{\partial y_{sB}} \right) + \frac{1}{2} \vec{\Theta}_\alpha \cdot (\vec{y}_s \times \vec{y}_t) \gamma_{\beta\alpha}^{st}. \quad (38)$$

We discuss the contributions to (35) of these four terms separately.

i) With

$$e_B M_{BA} = -\frac{i}{2} ((\vec{\Theta}_\beta \cdot \vec{e}) \Theta_{\beta A} - \Theta_{\beta A} (\vec{\Theta}_\beta \cdot \vec{e}))$$

we find

$$\begin{aligned} \Theta_{\alpha A} e_B M_{BA} &= i((\vec{\Theta}_\alpha \cdot \vec{n}_+) (\vec{\Theta}_\beta \cdot \vec{n}_-) + (\vec{\Theta}_\alpha \cdot \vec{n}_-) (\vec{\Theta}_\beta \cdot \vec{n}_+)) (\vec{\Theta}_\beta \cdot \vec{e}), \\ P_0 \Theta_{\alpha A} e_B M_{BA} \psi_0 &= i(\vec{\Theta}_\alpha \cdot \vec{e}) \psi_0, \end{aligned}$$

since only the term with $\beta = \alpha$ survives the projection P_0 . Hence

$$-P_0\Theta_{\alpha A}\gamma_{\alpha\beta}^t e_B E_t M_{BA}\psi_0 = \widehat{Q}_\beta^1\psi_0 \quad (39)$$

contributes 1 to κ .

ii) Similarly,

$$-P_0(\vec{\Theta}_\alpha \cdot \vec{e})\gamma_{\alpha\beta}^t E_s M_{st}\psi_0 = -(\vec{\Theta}_\alpha \cdot \vec{e})\gamma_{\alpha\beta}^t E_s M_{st}^\parallel\psi_0 ,$$

where M_{st}^\parallel is given in (31). For the r.h.s. we then claim

$$-(\vec{\Theta}_\alpha \cdot \vec{e})\gamma_{\alpha\beta}^t E_s M_{st}^\parallel\psi_0 = \kappa' \widehat{Q}_\beta^1\psi_0 \quad (40)$$

with

$$\kappa' = \begin{cases} 9, & (d = 9) , \\ 0, 0, 0, 4, & (d = 5) , \\ 0, 0, & (d = 3) . \end{cases} \quad (41)$$

This is clear in the cases where the representation in (30) is already a singlet, i.e., when $\kappa' = 0$. To prove the two remaining cases we first establish

$$-(\vec{\Theta}_\alpha \cdot \vec{e})\gamma_{\alpha\beta}^t E_s M_{st}^\parallel\psi_0 = -\frac{i}{2}\gamma_{\alpha\beta}^s E_s [\vec{\Theta}_\alpha \cdot \vec{e}, M_{ut}^\parallel M_{ut}^\parallel]\psi_0 - i\frac{d^2 - d}{8}(\vec{\Theta}_\alpha \cdot \vec{e})\gamma_{\alpha\beta}^s E_s \psi_0 , \quad (42)$$

or the equivalent equation obtained by multiplication from the right with $E_u \gamma^u$:

$$-(\vec{\Theta}_\alpha \cdot \vec{e})(\gamma^t \gamma^u)_{\alpha\beta} E_u E_s M_{st}^\parallel\psi_0 = -\frac{i}{2}[\vec{\Theta}_\beta \cdot \vec{e}, M_{ut}^\parallel M_{ut}^\parallel]\psi_0 - i\frac{d^2 - d}{8}(\vec{\Theta}_\beta \cdot \vec{e})\psi_0 . \quad (43)$$

To this end we note that, by the invariance of ψ_0 , its fermionic part $|F(E, \vec{e})\rangle$ at $E \in S^{d-1}$ is invariant under rotations of $\text{Spin}(d)$ leaving E fixed: $(\delta_{us} - E_u E_s)M_{sv}^\parallel(\delta_{vt} - E_v E_t)\psi_0 = 0$, i.e.,

$$(M_{st}^\parallel E_u E_s + M_{uv}^\parallel E_v E_t)\psi_0 = M_{ut}^\parallel\psi_0 . \quad (44)$$

Using $\gamma^t \gamma^u = -\gamma^{ut} + \delta^{ut}\mathbf{1}$ and the observation just made we rewrite the l.h.s. of (43) as

$$\begin{aligned} -(\vec{\Theta}_\alpha \cdot \vec{e})(\gamma^t \gamma^u)_{\alpha\beta} E_u E_s M_{st}^\parallel\psi_0 &= (\vec{\Theta}_\alpha \cdot \vec{e})\gamma_{\alpha\beta}^{ut} E_u E_s M_{st}^\parallel\psi_0 \\ &= \frac{1}{2}(\vec{\Theta}_\alpha \cdot \vec{e})\gamma_{\alpha\beta}^{ut} (E_u E_s M_{st}^\parallel - E_t E_s M_{su}^\parallel)\psi_0 \\ &= \frac{1}{2}(\vec{\Theta}_\alpha \cdot \vec{e})\gamma_{\alpha\beta}^{ut} M_{ut}^\parallel\psi_0 . \end{aligned}$$

The commutation relation

$$i[\vec{\Theta}_\alpha \cdot \vec{e}, M_{ut}^\parallel] = \frac{1}{2}\gamma_{\alpha\beta}^{ut}(\vec{\Theta}_\beta \cdot \vec{e})$$

follows from (4) or by direct computation. It implies

$$i[\vec{\Theta}_\alpha \cdot \vec{e}, M_{ut}^\parallel M_{ut}^\parallel] = \frac{1}{2}\gamma_{\alpha\beta}^{ut}\{\vec{\Theta}_\beta \cdot \vec{e}, M_{ut}^\parallel\} = \gamma_{\alpha\beta}^{ut}(\vec{\Theta}_\beta \cdot \vec{e})M_{ut}^\parallel - \frac{1}{2}\gamma_{\alpha\beta}^{ut}[\vec{\Theta}_\beta \cdot \vec{e}, M_{ut}^\parallel]$$

$$= \gamma_{\alpha\beta}^{ut}(\vec{\Theta}_\beta \cdot \vec{e})M_{ut}^\parallel - i\frac{d^2 - d}{4}\vec{\Theta}_\alpha \cdot \vec{e} .$$

Solving for the first term on the r.h.s. proves (43) and hence (42). Let us now note that for $d = 9$ the fermionic part of ψ_0 , resp. of $(\vec{\Theta}_\alpha \cdot \vec{e})\psi_0$ belongs to the 44, resp. 128 representation of Spin(9) (see (28)). Eq. (42) then implies

$$-(\vec{\Theta}_\alpha \cdot \vec{e})\gamma_{\alpha\beta}^t E_s M_{st}^\parallel \psi_0 = (C(44) - C(128) + 9)\widehat{Q}_\beta^1 \psi_0 = 9\widehat{Q}_\beta^1 \psi_0 ,$$

where we used the values [25] of the Casimir: $C(44) = C(128) = 18$. In the case $d = 5$ the fermionic part of ψ_0 , resp. of $(\vec{\Theta}_\alpha \cdot \vec{e})\psi_0$ belongs to the representation 5, resp. $4 \oplus 4$. We conclude that

$$-(\vec{\Theta}_\alpha \cdot \vec{e})\gamma_{\alpha\beta}^t E_s M_{st}^\parallel \psi_0 = (C(5) - C(4) + \frac{5}{2})\widehat{Q}_\beta^1 \psi_0 = 4\widehat{Q}_\beta^1 \psi_0 ,$$

given that $C(5) = 4$, $C(4) = 5/2$.

We remark that the proof of (41) can be shortened by using the lemma, according to which (40) holds true for some κ' . Thus, contracting with $\widehat{Q}_\beta^1 \psi_0$ and summing over β , we find

$$\begin{aligned} -\kappa'(\psi_0, \widehat{Q}_\beta^1 \widehat{Q}_\beta^1 \psi_0) &= -i(\psi_0, (\vec{\Theta}_\gamma \cdot \vec{e})\gamma_{\gamma\beta}^u E_u (\vec{\Theta}_\alpha \cdot \vec{e})\gamma_{\alpha\beta}^t E_s M_{st}^\parallel \psi_0) \\ &= 4(\psi_0, E_u M_{ut}^\parallel M_{st}^\parallel E_s \psi_0) \\ &= 2(\psi_0, M_{ut}^\parallel (M_{st}^\parallel E_u E_s + M_{uv}^\parallel E_v E_t) \psi_0) = 2(\psi_0, M_{ut}^\parallel M_{ut}^\parallel \psi_0) . \end{aligned}$$

In the step before last we relabeled indices in half the expression; in the last one we used (44). Using $\widehat{Q}_\beta^1 \widehat{Q}_\beta^1 = -s_d/2$ we obtain $(s_d/2)\kappa' = 2 \cdot 2 \cdot C$, i.e., $\kappa' = 8C/s_d$, where C is the Casimir in the representation (30). The above values of $C(44)$ ($d = 9$) and of $C(5)$ ($d = 5$) yield again (41).

iii) Using $de^{-y^2/2}/dy = -ye^{-y^2/2}$ we get

$$\frac{1}{2}y_{sB}\frac{\partial}{\partial y_{sB}}\psi_0 = -\frac{1}{2}y_{sB}y_{sB}\psi_0 = -\frac{1}{2}\sum_{sB}(y_{sB}^2 - \frac{1}{2})\psi_0 - \frac{1}{4} \cdot 2(d-1)\psi_0 , \quad (45)$$

where the sum, consisting of second Hermite functions, is annihilated by P_0 .

iv) The last term in (38), when acting on ψ_0 , is similarly annihilated by P_0 .

Collecting terms (39, 41, 45) we find

$$\kappa = 1 + \kappa' - \frac{1}{2}(d-1) = \begin{cases} 6, & (d=9) , \\ -1, -1, -1, 3, & (d=5) , \\ 0, 0, & (d=3) . \end{cases}$$

Appendix 1

To prove (13) we shall compute the partial derivatives in

$$\frac{\partial}{\partial q_{tA}} = \frac{\partial r}{\partial q_{tA}} \frac{\partial}{\partial r} + \frac{\partial e_B}{\partial q_{tA}} \frac{\partial}{\partial e_B} + \frac{\partial E_s}{\partial q_{tA}} \frac{\partial}{\partial E_s} + \frac{\partial y_{sB}}{\partial q_{tA}} \frac{\partial}{\partial y_{sB}} . \quad (46)$$

We regard r, \vec{e}, E, y as functions of q defined by $\vec{e}^2 = \sum_s E_s^2 = 1$ and (9, 10) and solve for their differentials by taking different contractions of

$$dq_{tA} = (e_A E_t - \frac{1}{2} r^{-3/2} y_{tA}) dr + r E_t de_A + r e_A dE_t + r^{-1/2} dy_{tA} .$$

Using that

$$\begin{aligned} e_A dy_{tA} + y_{tA} de_A &= 0 , & E_t dy_{tA} + y_{tA} dE_t &= 0 , \\ e_A de_A &= 0 , & E_t dE_t &= 0 , \end{aligned}$$

the contractions are:

$$\begin{aligned} e_A E_t dq_{tA} &= dr , \\ (\delta_{BA} - e_B e_A) E_t dq_{tA} &= r de_B - r^{-1/2} y_{tA} dE_t , \end{aligned} \tag{47}$$

$$e_A (\delta_{st} - E_s E_t) dq_{tA} = r dE_s - r^{-1/2} y_{sA} de_A , \tag{48}$$

$$(\delta_{BA} - e_B e_A) (\delta_{st} - E_s E_t) dq_{tA} = -\frac{1}{2} r^{-3/2} y_{sB} dr + r^{-1/2} (dy_{sB} + e_B y_{sA} de_A + E_s y_{tB} dE_t) .$$

We solve (47, 48) for de_B, dE_s :

$$\begin{aligned} dr &= e_A E_t dq_{tA} , \\ de_B &= (m^{-1})_{BC} (r^{-1} (\delta_{CA} - e_C e_A) E_t + r^{-5/2} y_{tC} e_A) dq_{tA} \\ &= (r^{-1} (\delta_{BA} - e_B e_A) E_t + O(r^{-5/2})) dq_{tA} , \\ dE_s &= (M^{-1})_{su} (r^{-1} (\delta_{ut} - E_u E_t) e_A + r^{-5/2} y_{sA} E_t) dq_{tA} \\ &= (r^{-1} (\delta_{st} - E_s E_t) e_A + O(r^{-5/2})) dq_{tA} , \\ dy_{sB} &= [r^{1/2} (\delta_{BA} - e_B e_A) (\delta_{st} - E_s E_t) + \frac{1}{2} r^{-1} e_A E_t y_{sB}] dq_{tA} - e_B y_{sA} de_A - E_s y_{tB} dE_t , \end{aligned}$$

where m, M are the matrices

$$m_{AB} = \delta_{AB} - r^{-3} y_{tA} y_{tB} , \quad M_{st} = \delta_{st} - r^{-3} y_{sA} y_{tA} .$$

We can now read off the partial derivatives appearing in (46) and obtain

$$\begin{aligned} \frac{\partial}{\partial q_{tA}} &= r^{1/2} (\delta_{st} - E_s E_t) (\delta_{AB} - e_A e_B) \frac{\partial}{\partial y_{sB}} + r^{-1} [e_A E_t (r \frac{\partial}{\partial r} + \frac{1}{2} y_{sB} \frac{\partial}{\partial y_{sB}})] \\ &\quad + r^{-1} (\delta_{AC} - e_A e_C) E_t (\delta_{CB} \frac{\partial}{\partial e_B} - e_B y_{sC} \frac{\partial}{\partial y_{sB}}) \\ &\quad + r^{-1} (\delta_{ut} - E_u E_t) e_A (\delta_{us} \frac{\partial}{\partial E_s} - E_s y_{uB} \frac{\partial}{\partial y_{sB}}) + O(r^{-5/2}) , \end{aligned} \tag{49}$$

with the remainder not containing derivatives w.r.t. r . Finally, we insert this expression into

$$\begin{aligned} iL_{BA} &= q_{sB} \frac{\partial}{\partial q_{sA}} - q_{sA} \frac{\partial}{\partial q_{sB}} \\ &= [(\delta_{AC} - e_A e_C) y_{sB} - (\delta_{BC} - e_B e_C) y_{sA}] \frac{\partial}{\partial y_{sC}} \\ &\quad + e_B (\delta_{AC} \frac{\partial}{\partial e_C} - e_C y_{sA} \frac{\partial}{\partial y_{sC}}) - e_A (\delta_{BC} \frac{\partial}{\partial e_C} - e_C y_{sB} \frac{\partial}{\partial y_{sC}}) , \end{aligned}$$

(with no higher order corrections, as L_{AB} is of exact order $O(r^0)$) and then into

$$ir^{-1}e_B E_t L_{BA} = r^{-1}(\delta_{AC} - e_A e_C) E_t \left(\delta_{CB} \frac{\partial}{\partial e_B} - e_{By_s C} \frac{\partial}{\partial y_{sB}} \right).$$

Similarly, we have

$$ir^{-1}e_A E_s L_{st} = r^{-1}(\delta_{ut} - E_u E_t) e_A \left(\delta_{us} \frac{\partial}{\partial E_s} - E_s y_{uB} \frac{\partial}{\partial y_{sB}} \right).$$

Together with (49), this proves (13).

Appendix 2

Consider

$$H = (-\partial_x^2 - \partial_y^2 + x^2 y^2) \mathbb{I} + \begin{pmatrix} x & -y \\ -y & -x \end{pmatrix}, \quad (50)$$

which is the square of

$$Q = i \begin{pmatrix} \partial_x & \partial_y + xy \\ \partial_y - xy & -\partial_x \end{pmatrix}.$$

Just as in (8), the bosonic potential $V (= x^2 y^2)$ is non-negative, but vanishing in regions of the configuration space that extend to infinity (causing the classical partition function to diverge). Quantum-mechanically, just as in (8), the bosonic system is stabilized by the zero point energy of fluctuations transverse to the flat directions; the fermionic matrix part in (50) exactly cancels this effect, causing the spectrum to cover the whole positive real axis [19]. As simple as it is, it has remained an open question (for now more than 10 years) whether (50) admits a normalizable zero energy solution, or not. The argument, derived in a few lines below, gives ‘no’ as an answer and provides the simplest illustration of our method: as $x \rightarrow +\infty$, $Q\Psi = 0$ has two approximate solutions,

$$\Psi_+ = e^{-\frac{xy^2}{2}} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \quad \text{and} \quad \Psi_- = e^{+\frac{xy^2}{2}} \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad (51)$$

the first of which should be chosen for Ψ_0 in the asymptotic expansions

$$\Psi = x^{-\kappa} (\Psi_0 + \Psi_1 + \dots). \quad (52)$$

In this simple example, the sum $Q = \sum_{n=0}^{\infty} Q^{(n)}$ terminates after the first two terms, and

$$0 \stackrel{!}{=} Q\Psi = \left(\begin{pmatrix} 0 & \partial_y + xy \\ \partial_y - xy & 0 \end{pmatrix} + \begin{pmatrix} \partial_x & 0 \\ 0 & -\partial_x \end{pmatrix} \right) (x^{-\kappa} (\Psi_0 + \Psi_1 + \dots)),$$

yields (as already anticipated, cp. (51))

$$\begin{pmatrix} 0 & \partial_y + xy \\ \partial_y - xy & 0 \end{pmatrix} \Psi_0 = 0$$

and

$$\begin{pmatrix} 0 & \partial_y + xy \\ \partial_y - xy & 0 \end{pmatrix} \Psi_n + x^\kappa \begin{pmatrix} \partial_x & 0 \\ 0 & -\partial_x \end{pmatrix} x^{-\kappa} \Psi_{n-1} = 0, \quad n = 1, 2, \dots \quad (53)$$

Multiplying (53) by Ψ_0^\dagger and integrating over y one sees that

$$\int_{-\infty}^{+\infty} e^{-\frac{xy^2}{2}} x^\kappa (0, -\partial_x) x^{-\kappa} \Psi_{n-1} dy$$

has to vanish, implying in particular

$$\begin{aligned} 0 &= \int_{-\infty}^{+\infty} \left(\frac{y^2}{2} + \frac{\kappa}{x} \right) e^{-xy^2} dy, \\ \kappa &= -\frac{1}{4}, \end{aligned}$$

which proves that (50) does not admit any square-integrable solution of the form (52). A different approach has recently been undertaken by Avramidi [26]. Finally note that, calculating the $\Psi_{n>0}$ from (53), yields the asymptotic expansion, $x \rightarrow +\infty$,

$$\Psi(x, y) = x^{\frac{1}{4}} e^{-\frac{xy^2}{2}} \sum_{n=0}^{\infty} x^{-\frac{3n}{2}} \begin{pmatrix} \frac{y}{4x} f_n(xy^2) \\ g_n(xy^2) \end{pmatrix},$$

where $f_0 = 1 = g_0$, $f_1 = 0 = g_1$, and the $f_n(s)$, $g_n(s)$ are the (unique) polynomial solutions

$$f_n(s) = \sum_{i=0}^n f_{n,i} s^i, \quad g_n(s) = \sum_{i=0}^n g_{n,i} s^i$$

of

$$\begin{aligned} 2s f'_n + (1 - 2s) f_n &= (1 - 2s - 6n) g_n + 4s g'_n, \\ 8g'_{n+2} &= \left(\frac{3}{4} + \frac{s}{2} + \frac{3n}{2} \right) f_n - s f'_n. \end{aligned}$$

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