

## Non-parametric Mean Curvature Evolution with Boundary Conditions

GERHARD HUISKEN

*Centre for Mathematical Analysis, Australian National University,  
Canberra ACT 2601, Australia*

Received April 15, 1988

Let  $\Omega$  be a bounded domain of  $\mathbb{R}^n$ ,  $n \geq 2$ , with smooth boundary  $\partial\Omega$  and let the minimal surface operator  $A$  be given by

$$A = -D_i(a^i(p)), \quad a^i = p^i(1 + |p|^2)^{-1/2}.$$

Then the evolution equation

$$\dot{u} + Au = 0 \quad \text{in } \Omega \times [0, T] \tag{1}$$

was studied for both Dirichlet and Neumann boundary conditions (see [2, 3, 7]). Here the surfaces  $M_t = \text{graph } u(\cdot, t)$  move in  $x^{n+1}$ -direction with speed given by their mean curvature  $H = -Au$ . Recent work on parametric surfaces moving by their mean curvature suggests that it is geometrically more natural to consider surfaces whose speed in direction of their *unit normal* is equal to the mean curvature [1, 5, 6]. In the graphical setting one is then naturally led to the evolution equation

$$\dot{u} + (1 + |Du|^2)^{1/2} \cdot Au = 0 \quad \text{in } \Omega \times [0, T]. \tag{2}$$

We want to show here that as in the case of closed convex surfaces (see [5]), this flow has the property to level out the curvature asymptotically. We prove in Theorem 1.1 that surfaces with vertical contact angle at the boundary asymptotically converge to a constant function. The main difficulty in the proof is a time-independent gradient bound. Such an estimate is established with the Sobolev inequality and an iteration method. In case of Dirichlet boundary conditions asymptotically  $u(\cdot, t)$  approaches the solution of the minimal surface equation, provided  $\partial\Omega$  has positive mean curvature. This result is obtained more easily since the same barriers as in the time-independent case can be used. It also follows from the work of Lieberman [8].

The author recently learned that Eq. (2) was used by G. Dziuk to compute minimal surfaces numerically.

## 1. SURFACES WITH VERTICAL CONTACT ANGLE

In the following we assume that  $\partial\Omega$  is of class  $C^{2,\alpha}$  and denote by  $\gamma = (\gamma_1, \dots, \gamma_n)$  the outer unit normal to  $\partial\Omega$ . We extend  $\gamma$  to a uniformly Lipschitz continuous vector field in  $\Omega$  which is absolutely bounded by 1.

1.1. THEOREM. *Let  $u_0 \in C^{2,\alpha}(\bar{\Omega})$  satisfy  $a^i(Du_0) \cdot \gamma_i = 0$  on  $\partial\Omega$ . Then the boundary value problem*

$$\begin{aligned} \dot{u} + (1 + |Du|^2)^{1/2} Au &= 0 & \text{in } \Omega \times [0, \infty) \\ a^i(Du) \cdot \gamma_i &= 0 & \text{on } \partial\Omega \times [0, \infty) \\ u(\cdot, 0) &= u_0 \end{aligned} \quad (3)$$

has a smooth solution  $u$  and  $u_t = u(\cdot, t)$  converges to a constant function as  $t \rightarrow \infty$ .

Since Eq. (2) is uniformly parabolic as long as  $|Du|$  is bounded, the existence part of Theorem 1.1 follows if we have an a priori estimate for the  $C^1$ -norm of  $u_t$ . To accomplish this we study the function

$$v = (1 + |Du|^2)^{1/2}.$$

If  $a^i = a^i(Du) = v^{-1} D_i u$  and  $a^{ij} = \partial a^i / \partial p_j$ , then we obtain from (2)

1.2. LEMMA. *We have the identities*

$$\begin{aligned} \dot{v} &= a^i D_i(vH) \\ &= D_i(a^{ij} D_j v)v + Ha^i D_i v - a^{ij} a^{kl} D_i D_k u D_j D_l u \cdot v. \end{aligned} \quad (4)$$

Notice that the last term on the RHS is positive; in fact, we have

$$a^{ij} a^{kl} D_i D_k u D_j D_l u = v^{-2} |\delta^2 u|^2, \quad (5)$$

where  $\delta^2 u$  denotes the second tangential derivatives of  $u$  (see [4, Lemma 1.3]). We can now derive a uniform supnorm bound for  $u$  on  $Q = \Omega \times [0, \infty)$ .

1.3. LEMMA. *If  $u = u(x, t)$  is a solution of (3), then*

$$\sup_Q |u| = \sup_\Omega |u_0|.$$

*Proof.* Let  $k = \sup_\Omega |u_0|$  and  $u_k = \max(u - k, 0)$ . Then multiply the evolution equation for  $u$  with  $u_k$  and integrate. Then we obtain from Lemma 1.2 with  $A(k) = \{x \in \Omega \mid u(x) > k\}$

$$\begin{aligned}
 \frac{d}{dt} \int_{\Omega} u_k^2 v \, dx &= \int_{A(k)} u_k^2 a^l D_l(vH) \, dx + 2 \int_{A(k)} u_k v^2 H \, dx \\
 &= - \int_{A(k)} u_k^2 H^2 v \, dx - 2 \int_{A(k)} u_k |Du|^2 H \, dx \\
 &\quad + 2 \int_{A(k)} u_k v^2 H \, dx \\
 &= - \int_{A(k)} u_k^2 H^2 v \, dx + 2 \int_{A(k)} u_k D_l(a^l) \, dx \\
 &= - \int_{A(k)} u_k^2 H^2 v \, dx - 2 \int_{A(k)} v^{-1} |Du|^2 \, dx \leq 0. \tag{6}
 \end{aligned}$$

Since  $a^l \cdot \gamma_l = 0$  on  $\partial\Omega$ , no boundary integrals occurred. We conclude that  $u(x, t) \leq k$  on  $Q$  and a similar calculation shows that  $-u(x, t) \leq k$ .

To obtain a gradient estimate, we denote by  $\delta = (\delta^1, \dots, \delta^{n+1})$  the tangential derivatives on  $M_t = \text{graph } u_t$ , such that

$$\delta^i g = D^i g - v_i \cdot \sum_{k=1}^{n+1} v_k \cdot D^k g, \quad i = 1, \dots, n+1,$$

where  $v = (v_1, \dots, v_{n+1})$  is the exterior unit normal to  $M_t$ , i.e.,

$$v = v^{-1}(-D_1 u, -D_2 u, \dots, -D_n u, 1).$$

We will use some estimates which were derived in [4].

1.4. LEMMA. *There is a constant  $c_1$  depending only on  $\partial\Omega$  such that for any positive function  $\eta \in W^{1,\infty}(\Omega)$*

$$\int_{\partial\Omega} v \eta \, dH_{n-1} \leq c_1 \int_{M_t} |\delta \eta| + (|H| + 1) \eta \, dH_n.$$

1.5. LEMMA. *There is a constant  $c_2$  depending only on  $\partial\Omega$  such that on  $\partial\Omega$  the estimate*

$$|\gamma_i \cdot a^{ij} D_j v| \leq c_2$$

is valid as long as  $a^i(Du)\gamma_i = 0$  holds on  $\partial\Omega$ .

We will also need a Sobolev inequality which was shown in [4] for functions with compact support and in [9] for the case of nonvanishing boundary values:

1.6. LEMMA. For any function  $g \in C^1(\bar{\Omega})$  the inequality

$$\left( \int_M |g|^{n/(n-1)} dH_n \right)^{(n-1)/n} \leq c_3 \left\{ \int_M |\delta g| + |H| |g| dH_n + \int_{\partial\Omega} |g| v dH_{n-1} \right\}$$

is valid with a constant  $c_3$  only depending on  $n$ .

Now we want to estimate

$$w = \log v.$$

For that purpose let  $w_k = \max(w - k, 0)$  and multiply the evolution equation for  $v$  in Lemma 1.2 with  $w_k^2$ . After integration we derive

$$\begin{aligned} \frac{d}{dt} \int_{\Omega} w_k^2 v dx &= \int_{\Omega} w_k^2 a^l D_l(vH) dx \\ &\quad + 2 \int_{\Omega} w_k \cdot \{ D_i(a^{ij} D_j v) v + H a^l D_l v \\ &\quad - a^{ij} a^{kl} D_i D_k u D_j D_l u \} dx \\ &\leq - \int_{\Omega} H^2 w_k^2 v dx - 2 \int_{\Omega} w_k a^l D_l v H dx \\ &\quad - 2 \int_{\Omega} a^{ij} D_i v D_j v w_k dx + \int_{\partial\Omega} a^{ij} \cdot \gamma_i D_j v w_k v dH_{n-1} \\ &\quad + 2 \int_{\Omega} w_k a^l D_l v H dx - 2 \int_{\Omega} a^{ij} D_i w_k D_j v v dx. \end{aligned}$$

Here we used that  $a^i \gamma_i = 0$  on  $\partial\Omega$  and (5). Notice that in a convex domain the boundary integral has the right sign and can be neglected (cf. [12]). Now observe that

$$a^{ij} D_i g D_j g = v^{-1} |\delta g|^2.$$

Then we derive from Lemma 1.5 that

$$\begin{aligned} \frac{d}{dt} \int_{\Omega} w_k^2 v dx &\leq - 2 \int_{A(k)} |\delta w|^2 v dx - \int_{A(k)} H^2 w_k^2 v dx \\ &\quad + c_2 \int_{\partial\Omega} w_k v dH_{n-1}, \end{aligned}$$

where  $A(k)$  is the set  $\{(x, u(x)) \in M \mid w(x) > k\}$ . Since on  $M$   $dH^n = v dx$ , we conclude from Lemma 1.4

$$\begin{aligned} \frac{d}{dt} \int_M w_k^2 dH_n &\leq -2 \int_{A(k)} |\delta w|^2 dH_n - \int_{A(k)} H^2 w_k^2 dH_n \\ &\quad + c_2 c_1 \int_{A(k)} |\delta w_k| + (|H| + 1) w_k dH_n \\ &\leq - \int_{A(k)} |\delta w|^2 dH_n - \frac{1}{2} \int_{A(k)} H^2 w_k^2 dH_n \\ &\quad + c |A(k)| + c \int_{A(k)} w_k^2 dH_n. \end{aligned} \tag{7}$$

Combining now Lemmas 1.4 and 1.6 we have

$$\begin{aligned} &\left( \int_M |g|^{n/(n-1)} dH_n \right)^{(n-1)/n} \\ &\leq c \left( \int_M |\delta g| + (|H| + 1) |g| dH_n \right) \end{aligned}$$

for some constant depending on  $c_1$  and  $c_3$ . The Hölder inequality then implies that

$$\left( \int_M |g|^{2q} dH_n \right)^{1/q} \leq c \int_M |\delta g|^2 + (|H|^2 + 1) |g|^2 dH_n, \tag{8}$$

where

$$q = \begin{cases} n/(n-2), & n > 2, \\ < \infty, & n = 2. \end{cases}$$

Now let

$$\|A(k)\|_T = \int_0^T |A(k)| d\tau = \int_0^T \int_{A(k)} dH_n d\tau.$$

Then for each  $T < \infty$  we derive from (7) and (8)

$$\begin{aligned} &\sup_{[0, T]} \int_{A(k)} w_k^2 dH_n + c \int_0^T \left( \int_{A(k)} w_k^{2q} dH_n \right)^{1/q} dt \\ &\leq c \|A(k)\|_T + c \int_0^T \int_{A(k)} w_k^2 dH_n dt \end{aligned}$$

provided  $k \geq k_0 = \sup_{\Omega} (w|_{t=0})$ . From interpolation inequalities for  $L^p$ -spaces we have

$$\left( \int_{A(k)} w_k^{2q_0} dH_n \right)^{1/q_0} \leq \left( \int_{A(k)} w_k^{2q} dH_n \right)^{a/q} \left( \int_{A(k)} w_k^2 dH_n \right)^{1-a},$$

$$\frac{1}{q_0} = \frac{a}{q} + (1-a),$$

where  $a = 1/q_0$  such that  $1 < q_0 < q$ . So we derive

$$\left( \int_0^T \int_{A(k)} w_k^{2q_0} dH_n dt \right)^{1/q_0} \leq c \|A(k)\|_T + c \left( \int_0^T \int_{A(k)} w_k^{2q_0} dH_n dt \right)^{1/q_0} \|A(k)\|_T^{1-1/q_0}. \tag{9}$$

To proceed further, we need to estimate  $\|A(k)\|_T$ . We use estimate (6) with  $k = 0$  to obtain

$$\frac{d}{dt} \int_{\Omega} u^2 v dx \leq - \int_{\Omega} H^2 u^2 v dx - 2 \int_{\Omega} v^{-1} |Du|^2 dx.$$

It follows that

$$\int_0^T \int_{\Omega} v^{-1} |Du|^2 dx dt \leq \int_{\Omega} u^2 v dx|_{t=0} = c_4 \tag{10}$$

uniformly in  $T$ . Now notice that on  $A(k)$

$$v^{-1} |Du|^2 = v - v^{-1} \geq v(1 - e^{-k}) = \alpha(k)v.$$

Then for arbitrary but fixed  $k_0 > 0$  we have  $\alpha(k_0) > 0$  and therefore

$$\|A(k)\|_T \leq \int_0^T \int_{A(k)} v dx dt \leq \alpha^{-1}(k_0) \int_0^T \int_{A(k)} v^{-1} |Du|^2 dx dt \leq \alpha^{-1}(k_0) c_4 \tag{11}$$

for all  $k \geq k_0 > 0$ . Similarly, we can compute from Lemmata 1.2 and 1.4 that

$$\frac{d}{dt} \int_{A(k)} u^2 w_k^2 v dx \leq -2 \int_{A(k)} v^{-1} |Du|^2 w_k^2 dx + c_5 \int_{A(k)} v dx$$

with a constant  $c_5$  depending on  $\sup |u|$ ,  $c_1$ , and  $c_2$ . Thus we have from (11) for  $k \geq k_0 > 0$

$$\begin{aligned} \int_0^T \int_{A(k)} w_k^2 dH_n dt &\leq \alpha^{-1}(k_0) \int_0^T \int_{A(k)} v^{-1} |Du|^2 w_k^2 dx dt \\ &\leq \alpha^{-1}(k_0) c_6. \end{aligned}$$

In particular we get

$$\begin{aligned} \|A(k)\|_T &\leq (k - k_0)^{-2} \int_0^T \int_{A(k)} w_{k_0}^2 dH_n dt \\ &\leq (k - k_0)^{-2} \alpha^{-1}(k_0) c_6. \end{aligned}$$

Choosing then  $k_1 \geq k_0$  sufficiently large, we get from (9) that

$$\left( \int_0^T \int_{A(k)} w_k^{2q_0} dH_n dt \right)^{1/q_0} \leq c \|A(k)\|_T, \quad \forall k \geq k_1.$$

Therefore by Hölders inequality

$$|h - k|^2 \|A(h)\|_T \leq c_7 \|A(k)\|_T^{2-1/q_0} = c_7 \|A(k)\|_T^\gamma, \quad \gamma > 1$$

for all  $h > k \geq k_1$ . The constant  $c_7$  depends only on  $c_1$ ,  $c_2$ , and  $\sup |u|$ , but not on  $T$ . By a well-known result (see, e.g., [11, Lemma 4.1]) we conclude that on  $\Omega \times [0, T]$

$$w \leq k_1 + d, \quad d^2 = c_7 2^{2\gamma/(\gamma+1)} \|A(k_1)\|_T^{\gamma-1}.$$

Together with (11) this completes the proof of the gradient estimate.

1.6. PROPOSITION. *If  $u$  is a smooth solution of (3) in  $\Omega \times [0, T]$  then*

$$\sup_{\Omega \times [0, T]} [ |u(x, t)| + |Du(x, t)| ] \leq c_8$$

*with a constant  $c_8$  depending only on  $n$ ,  $u_0$ , and  $\partial\Omega$ .*

Now standard results imply that (3) has a smooth solution on  $\Omega \times [0, \infty)$  for arbitrary  $u_0 \in C^{2,\alpha}(\bar{\Omega})$ . It remains to show that  $u$  approaches a constant function as  $t \rightarrow \infty$ . To show this observe that

$$\frac{d}{dt} \int_{\Omega} v dx = - \int_{\Omega} H^2 v dx,$$

such that

$$\int_0^\infty \int_{\Omega} H^2 v dx dt \leq \int_{\Omega} v dx|_{t=0} = c.$$

Thus we obtain from (10) and the uniform gradient bound that

$$\int_0^T \int_{\Omega} |\dot{u}|^2 dx dt + \int_0^T \int_{\Omega} |Du|^2 dx dt \leq c. \quad (12)$$

In view of Proposition 1.6 our evolution equation is uniformly parabolic, implying uniform estimates on all higher derivatives of  $u$ . Thus (12) shows that  $u$  converges uniformly to a constant function, completing the proof of Theorem 1.1.

## 2. DIRICHLET BOUNDARY CONDITIONS

The case of Dirichlet boundary conditions was studied by Lieberman in [8] for general quasilinear parabolic equations and his structure conditions cover our equation. For the convenience of the reader we include a short proof based on Lemma 1.2.

Assuming that  $\varphi$  is a function in  $C^{2,\alpha}(\bar{\Omega})$ , we have

**2.1. THEOREM.** *Let  $u_0 \in C^{2,\alpha}(\bar{\Omega})$  satisfy  $u_0 = \varphi$  on  $\partial\Omega$ . If  $\partial\Omega$  has non-negative mean curvature, the boundary value problem*

$$\begin{aligned} \dot{u} + (1 + |Du|^2)^{1/2} Au &= 0 & \text{in } \Omega \times [0, \infty) \\ u &= \varphi & \text{on } \partial\Omega \\ u(\cdot, 0) &= u_0 \end{aligned} \quad (12)$$

*has a smooth solution and  $u_t = u(\cdot, t)$  converges to the solution of the minimal surface equation with boundary data  $\varphi$  as  $t \rightarrow \infty$ .*

*Proof.* Again we need uniform a priori estimates for  $\sup_{\Omega} |u|$  and  $\sup_{\Omega} |Du|$ . From the parabolic maximum principle or an argument as in Lemma 1.3 we get immediately that

$$\sup_{\Omega \times [0, T]} |u| = \sup_{\Omega} |u_0|.$$

Furthermore, since  $\partial\Omega$  has nonnegative mean curvature, it is well known (see, e.g., [10]) that one can construct barriers  $\delta^+$  and  $\delta^-$  with

$$\begin{aligned} A \delta^+ &\geq 0, & \delta^+|_{\partial\Omega} &= \varphi \\ A \delta^- &\leq 0, & \delta^-|_{\partial\Omega} &= \varphi. \end{aligned}$$

It is easy to see that one can also achieve  $\delta^- \leq u_0 \leq \delta^+$ .



In view of the parabolic maximum principle we have then  $\delta^- \leq u_t \leq \delta^+$  for all times. Thus there is a constant  $c_8$  depending only on  $\varphi$ ,  $u_0$ , and  $\partial\Omega$  such that

$$|Du| \leq c_8 \quad \text{uniformly on } \partial\Omega \times [0, T].$$

Applying then the parabolic maximum principle to the evolution equation for  $v$  in Lemma 1.2 we conclude that  $\sup_{\Omega \times [0, T]} |Du|$  can be bounded uniformly in time by a constant depending on  $c_8$  and  $\sup_{\Omega} |Du_0|$ . As in the proof of Theorem 1.1 the uniform a priori estimate on  $\|u\|_{C^1}$  ensures the existence of a solution to (12) for all times  $0 < t < \infty$ . Moreover, the gradient estimate ensures that the evolution equation is uniformly parabolic, so all higher derivatives of  $u$  are bounded as well. Now we compute

$$\frac{d}{dt} \int_{\Omega} v \, dx = - \int_{\Omega} H^2 v \, dx$$

since  $H = \dot{u}v^{-1} = 0$  on  $\partial\Omega$ . Therefore

$$\int_0^{\infty} \int_{\Omega} H^2 v \, dx \, dt \leq \int_{\Omega} v \, dx|_{t=0}.$$

Since  $v$  is already bounded, we conclude that both  $\sup_{\Omega} |\dot{u}|$  and  $\sup_{\Omega} |H|$  converge to zero uniformly as  $t \rightarrow \infty$ . This completes the proof of Theorem 2.1.

#### REFERENCES

1. K. A. BRAKKE, The motion of a surface by its mean curvature, in "Math. Notes," Princeton Univ. Press, Princeton, NJ, 1978.
2. K. ECKER, Estimates for evolutionary surfaces of prescribed mean curvature, *Math. Z.* **180** (1982), 179–192.
3. C. GERHARDT, Evolutionary surfaces of prescribed mean curvature, *J. Differential Equations* **36** (1980), 139–172.
4. C. GERHARDT, Global regularity of the solutions to the capillarity problem, *Ann. Sci. Norm. Sup. Pisa Ser. IV* **4** (1977), 343–362.
5. G. HUISKEN, Flow by mean curvature of convex surfaces into spheres, *J. Differential Geom.* **20** (1984), 237–266.
6. G. HUISKEN, Contracting convex hypersurfaces in Riemannian manifolds by their mean curvature, *Invent. Math.* **84** (1986), 463–480.
7. A. LICHNEWSKI AND R. TEMAM, Surfaces minimales d'évolution: Le concept de pseudosolution, *C.R. Acad. Sci. Paris* **284** (1977), 853–856.
8. G. M. LIEBERMAN, The first initial boundary value problem for quasilinear second order parabolic equations, *Ann. Sci. Norm. Sup. Pisa Ser. IV* **8** (1986), 347–387.

9. J. H. MICHAEL AND L. M. SIMON, Sobolev and mean value inequalities on generalized submanifolds of  $\mathbb{R}^n$ , *Comm. Pure Appl. Math.* **26** (1973), 361–379.
10. J. SERRIN, The problem of Dirichlet for quasilinear elliptic differential equations with many independent variables, *Philos. Trans. Roy. Soc. London Ser. A* **264** (1969), 413–496.
11. G. STAMPACCHIA, “Équations elliptiques du second ordre à coefficients discontinus,” Les Presses de l'Université, Montréal, 1966.
12. N. N. URAL'CEVA, The solvability of the capillarity problem, *Vestnik Leningrad Univ. Mat. Mekh. Astronom.* **4** (1973), 54–64.