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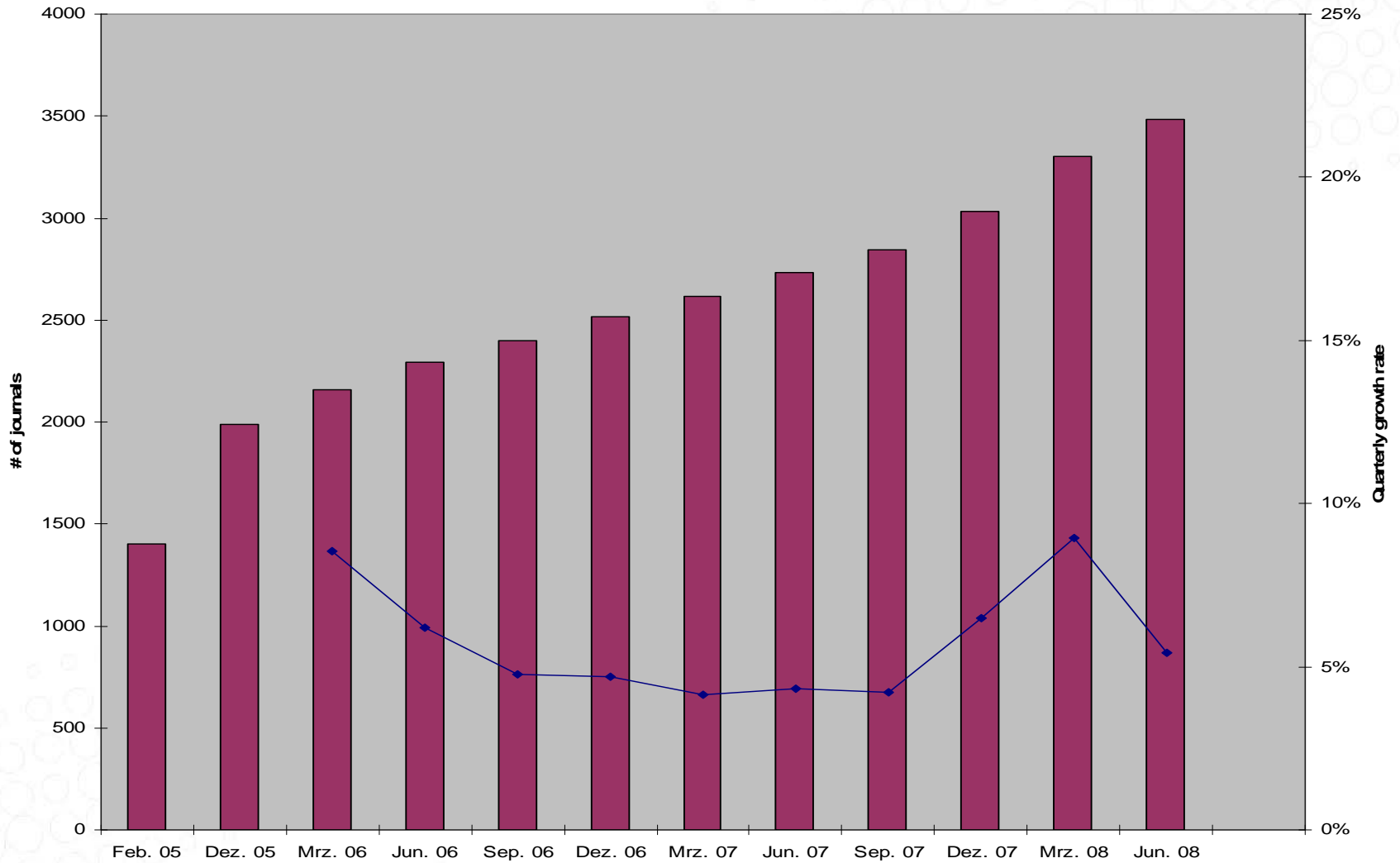
## Will more coordinated Open Access policies be developed?

Presentation in the Session  
Open Access Today: The international perspective  
at the Workshop  
“Policy and Technology for e-Science”  
16-17 July 2008  
Institut d'Estudis Catalans, Barcelona

## In a Nutshell:

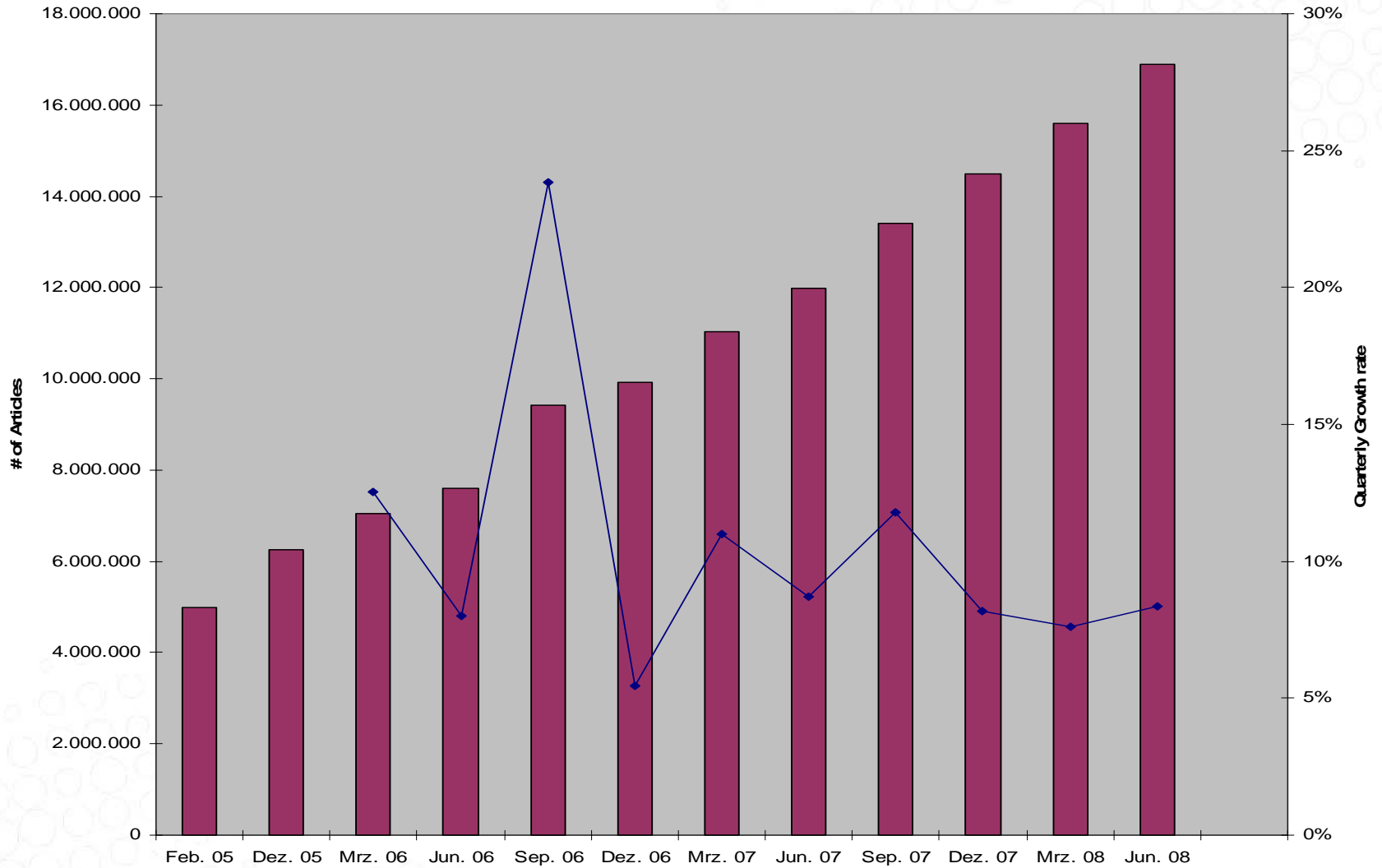
- Open Access prospers
- One vision - many actors - varying interest
- European Union/Germany: Coordinated policies in the making
- Implementing Policy: OA Activities at Max Planck Society

### Growing Number of Directory of Open Access Journals (DOAJ)



Morrison 2008

### OAIster Growth



Morrison 2008

## Stakeholders

### OA Advocates

- Librarians (many)
- Researchers (some)
- Research managers of public organizations (?)
- Learned Societies (?)
- Politicians with special interest in science (few)
- Civil society (many)
- Publishers (growing number)
- Private/public funding organizations (many)

### Open Access Skeptics

- Publishers (many)
- Learned societies (?)
- Politicians with special interest in economics or law (in Germany) (many)

## Motivation - Policies

### Open Access Advocates

- **Motivations**
  - Cost control
  - Enhancement of research process (communication and scholarly workbench)
  - Boost of economic competitiveness while not changing IPR law
  - Access for equality, development, self determination
  - Option for new business models
- **Policy instruments/aims**
  - Advocacy
  - Built-up of expertise/infrastructure
  - Restructuring of funding mechanisms
  - Mandates
  - Changes in IPR law

### Open Access Skeptics

- **Motivations**
  - Fear to loose business
  - Fear to loose income / need to spend more on society journal
  - Scientific publication market too minor to legitimate changes in IPR policy
  - National copyright law is restricted by international agreements which strongly limit the scope for changes
  - Aversion against alleged free ride mentality of scientific community
- **Policy instruments/aims**
  - Resistance against change
  - Threat of job loss

## Consolidation and Coordination of OA Policies

- European level
  - Pro open access policy statements/policy by Commission, Council, ESF, EUROHORCs, EUA
  
- Germany
  - Research Alliance Focus initiative "Digital Information"
  - Research Alliance member organizations
    - Helmholtz Association
    - Leibniz Gemeinschaft
    - Max Planck Society
    - German Research Foundation
    - German Rector's Conference
    - German Council of Science and Humanities



## EUROHORCs / ESF Vision + Roadmap on ERA

- Open access integral part of policy
- Joint statement on open access announced
- Open access policy is to include strategies on research data



## Germany: Focus Initiative "Digital Information"

- Roadmap for 2008-2012
- Making all published scientific knowledge available to researchers in Germany
- Build-up of central infrastructure to archive content of journals subscriptions
- Extension of national licenses / disjunction from print subscription price models
- Interoperability of repositories
- Development of common policy on research data
- Change in German copyright law: author right to regain copyright after a set period

## Open Access Activities at Max Planck Society

- Services for scientists
  - New repository software
  - Legal support concerning copyright questions
  - § 137I UrhG project
  - Central funding for article charges by several publishers
    - BioMed Central
    - Copernicus
    - New Journals of Physics
    - PloS
    - Springer
- Deposit Request
- Publishing
  - Living Reviews
- Advocacy
  - Annual Berlin Conference (11-13 Nov. 2008, Duesseldorf, Germany, [www.berlin6.org/](http://www.berlin6.org/))
  - OA seminars at institutes
  - MPG-OA-Network
    - Mailing List
    - Seminar on US copyright law
  - Co-organizer of Open Access Days
  - Cooperation with German Open Access Platform (<http://www.open-access.net/>)
- Research
  - PEER project

## MPS Deposit Request (Draft)

- ... MPS is establishing the technical, organisational and legal parameters for scientific publications ... to be electronically archived entirely, permanently and long-term system compatible. ...
- To be archived: All scientific publications, ...
- The archiving of scientific publications is effected no later than its publication date.
- Scientists see to it that in the context of publication usage rights in favour of publishers are preferably granted content- and time-limited. They particularly reserve the right to independently undertake or allow for non-commercial electronically secondary publications.  
This Deposit Request does not encroach on the scientist's rights to allow or not allow for non-commercial electronic secondary publications in individual cases.
- Responsibility for the implementation of this Deposit Request is on the institute management. Scientists give written explanation if the rules of this Deposit Request cannot be observed in individual cases.

## Publishing and the Ecology of European Research (PEER) Aims

- Produce evidence on impact of archiving research outputs in oa repositories if implemented on a broad and systematic scale.
- Participating publishers will collectively contribute up to 300 journals to the project and allow deposit of stage-two research outputs in European repositories using various embargo periods.
- Supporting research studies will address issues such as:
  - How large-scale archiving will affect journal viability
  - Whether it increases access
  - How it will affect the broader ecology of European research
  - Factors influencing the readiness to deposit in institutional and disciplinary repositories and the associated costs
  - Models to illustrate how traditional publishing systems can coexist with self-archiving.

## Publishing and the Ecology of European Research (PEER)

- The project is a collaboration involving the
  - publishing,
  - library and
  - research communities
- Partner Organizations
  - International Association of Scientific, Technical and Medical Publishers
  - European Science Foundation
  - Goettingen State and University Library (DRIVER project)
  - Max Planck Society
  - Institut National de Recherche en Informatique et en Automatique
  - University of Bielefeld
  - SURF
- Project duration
  - September 2008 (planned) - August 2011

**Thank you very much for your attention!**

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These slides will be available via [edoc.mpg.de](http://edoc.mpg.de)