

# Pitfalls of using PQ-Trees in Automatic Graph Drawing

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## Abstract

Since the number of erroneous attempts involving  $PQ$ -trees for the solution of automatic graph drawing problems that have been presented in the literature have increased in recent years, we present a closer examination of some of the mistakes in order to prevent future research from constructing algorithms with similar errors.

Throughout this extended abstract, we study the computation of maximal planar subgraphs using  $PQ$ -trees and the leveled-planarity testing of directed acyclic graphs with several sources and sinks.

**Keywords:**  $PQ$ -Trees, Maximal Planar Subgraphs, Planarization, Leveled-Planar Dags

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## 1 Introduction

A  $PQ$ -tree is a powerful data structure that represents the permutations of a finite set in which the members of specified subsets occur consecutively, and in which updates require linear time. This data structure has been introduced by Booth and Lueker (1976) to solve the problem of testing for consecutive ones property. The most well known applications of  $PQ$ -trees in Automatic Graph Drawing are planarity testing (see Lempel *et al.*, 1967; Booth and Lueker, 1976) and embedding (see Chiba *et al.*, 1985). Both are difficult to implement but very efficient, therefore  $PQ$ -trees have become standard tools in automatic graph drawing systems.

Other attempts to use algorithms based on  $PQ$ -trees for automatic graph drawing problems have not been successful. One well known example is the computation of maximal planar subgraphs. Given a simple, connected graph  $G = (V, E)$  with  $n$  vertices and  $m$  edges, a subgraph  $G'$  of  $G$  is a *maximal planar* subgraph, if for all edges  $e \in G - G'$  the addition of  $e$  destroys planarity. Several efforts have been made in the literature to solve the problem with  $PQ$ -trees following a certain strategy first presented by Ozawa and Takahashi (1981) who described an  $O(nm)$  algorithm using  $PQ$ -tree techniques based on the vertex addition algorithm of Lempel *et al.* (1967). Jayakumar, Thulasiraman, and Swamy (1986) showed that in general this algorithm does not determine a maximal planar subgraph. Moreover, the resulting planar subgraph may not even contain all vertices. In 1989 Jayakumar, Thulasiraman, and Swamy improved the vertex addition algorithm

by computing a spanning planar subgraph  $G_p$  in  $O(n^2)$ . Furthermore, they presented an algorithm to augment a biconnected subgraph  $G_p$  into a maximal planar subgraph  $G'$  in a second phase. So they obtained a two-phase algorithm, whose first phase is called PLANARIZE and whose second phase is called MAX-PLANARIZE. Subsequently, Kant (1992) observed that the second phase does not necessarily augment  $G_p$  into a maximal planar subgraph, but his attempts to come up with a corrected version failed as well as is described in Jünger *et al.* (1996). In addition to the shortcomings found by Kant, we will point out a major mistake in the algorithm by Jayakumar *et al.* that is not solved by the ideas of Kant as well.

$PQ$ -trees have also been proposed by Heath and Pemmaraju (1996a,b) to test planarity of leveled directed acyclic graphs with several sources and sinks. We show why this application leads to an incorrect algorithm.

In section 2 we discuss the computation of maximal planar subgraphs, first giving a brief introduction on  $PQ$ -trees and the planarity test using this data structure. We then describe the principle of the planarization algorithm using the  $PQ$ -trees and show that the algorithm of Jayakumar *et al.* is incorrect giving a detailed description of the major mistake. In section 3 we discuss the leveled-planarity testing, giving an introduction on the algorithm presented by Heath and Pemmaraju and discussing one of the shortcomings in detail.

## 2 Case study: maximal planar subgraphs

### 2.1 Planarity test using $PQ$ -trees

Let  $G = (V, E)$  be a simple Graph with  $n$  vertices and  $m$  edges. A graph is *planar*, if it can be embedded in the plane without any edge crossings. A graph is obviously planar, if and only if its biconnected components are planar. We therefore assume that  $G$  is biconnected. The planarity testing algorithm of Lempel, Even, and Cederbaum (1967) first labels the vertices of  $G$  as  $1, 2, \dots, n$  using an *st-numbering* (see Even and Tarjan, 1976). The *st*-numbering induces an orientation of the graph, in which every edge is directed from the incident vertex with the higher *st*-number towards the incident vertex with the lower *st*-number. From now on we refer to the vertices of  $G$  by their *st*-numbers and call an edge  $(v, u)$ , with  $u < v$ , *incoming* edge of  $u$  and *outgoing* edge of  $v$ .

For  $1 \leq k \leq n$ , let  $G_k$  denote the subgraph of  $G$  induced by the vertex set  $V_k := \{1, 2, \dots, k\}$ . Let  $G'_k$  be the graph formed by adding to  $G_k$  all those edges with one end in  $V_k$  and the other end in  $V \setminus V_k$ . These edges are called *virtual edges* and their endvertices in  $V \setminus V_k$  are called *virtual vertices*. The virtual vertices are labeled like their counterparts in  $V \setminus V_k$ , but they are kept separate. Let  $B_k$  be a planar embedding of  $G'_k$  such that all virtual vertices are placed on the outer face. Then,  $B_k$  is called a *bush form*. It has been shown by Lempel *et al.* (1967) that  $G$  is planar, if and only if for every  $B_k$ ,  $k = 1, 2, \dots, n$ , there exists a bush form  $B'_k$  isomorphic to  $B_k$ , such that all virtual vertices in  $B'_k$  labeled  $k + 1$  appear consecutively.

The  $PQ$ -tree  $T_k$  corresponding to the bush form  $B_k$  is a rooted ordered tree that consists of three types of nodes :

1. Leaves in  $T_k$  represent virtual edges in  $B_k$ .
2.  $P$ -nodes in  $T_k$  represent cutvertices in  $B_k$ .
3.  $Q$ -nodes represent maximal biconnected components in  $B_k$ .

The *frontier* of a  $PQ$ -tree is the sequence of all leaves of  $T_k$  read from left to right. The frontier of a node  $X$ , denoted by  $frontier(X)$ , is the sequence of its descendant leaves read from left to right.

Let  $E_{k+1}$  denote the set of leaves in  $T_k$  that correspond to the virtual vertex  $k + 1$ . A node  $X$  is called *full*, if all leaves in its frontier are in  $E_{k+1}$ . A node  $X$  is *empty*, if its frontier does not contain any leaf of  $E_{k+1}$ . Otherwise,  $X$  is called *partial*. A node is called *pertinent*, if it is full or partial. The *pertinent subtree* is the smallest connected subtree that contains all leaves of  $E_{k+1}$  in its frontier. The root of the pertinent subtree is called *pertinent root*. Two  $PQ$ -trees are *equivalent*, if one can be obtained from the other by one or more of the following operations:

1. Permuting the children of a  $P$ -node.
2. Reversing the order of the children of a  $Q$ -node.

These operations are called *equivalence transformations* and describe *equivalence classes* on the set of all  $PQ$ -trees. Every tree in an equivalence class of  $PQ$ -trees has a different frontier. That means it describes a different permutation of the set of all leaves in its frontier. Such an equivalence class of  $PQ$ -trees corresponds to a class of permutations called the *permissible permutations*.

It has been shown by Booth and Lueker (1976) that  $B'_k$  exists if and only if  $T_k$  can be converted into an equivalent  $PQ$ -tree  $T'_k$  such that all pertinent leaves appear consecutively in the frontier of  $T'_k$ . Booth and Lueker (1976) have defined a set of patterns and replacements called *templates* that can be used to reduce the  $PQ$ -tree such that the leaves corresponding to edges of the set  $E_{k+1}$  appear consecutively in all permissible permutations. To construct  $T_{k+1}$  from  $T_k$  they first reduce  $T_k$  by use of the templates and then replace all leaves corresponding to virtual edges of the vertex  $k + 1$  by a  $P$ -node, whose children are the leaves corresponding to the incoming edges of the vertex  $k + 1$  in  $G$ .

The planarity testing algorithm now starts with  $T_1$  and constructs a sequence of  $PQ$ -trees  $T_1, T_2, \dots$ . If the graph is planar, the algorithm terminates after constructing  $T_{n-1}$ . Otherwise it terminates after detecting the impossibility of reducing some  $T_k$ ,  $1 \leq k < n$ .

## 2.2 Principle of an approach for planarization

The basic idea of a planarization algorithm using  $PQ$ -trees presented by Jayakumar *et al.* (1989) is to construct the sequence of  $PQ$ -trees  $T_1, T_2, \dots, T_{n-1}$  by deleting an appropriate number of pertinent leaves every time the reduction fails such that the resulting  $PQ$ -tree becomes reducible. In every step of the algorithm PLANARIZE, a maximal consecutive sequence of pertinent leaves is computed by using a  $[w, h, a]$ -numbering (see Jayakumar *et al.*, 1989). All pertinent leaves that are not adjacent to the maximal pertinent sequence are removed from the  $PQ$ -tree in order to make it reducible. Hence the edges corresponding to the leaves are removed from  $G$  and the resulting Graph  $G_p$  is planar.

It has been shown by Jayakumar *et al.* (1989) that the graph  $G_p$  computed by PLANARIZE is not necessarily maximal planar. The authors therefore suggest to apply a second phase called MAX-PLANARIZE, also based on  $PQ$ -trees. Knowing which edges have been removed from  $G$  to construct  $G_p$ , edges from  $G - G_p$  are added back to  $G_p$  in the second phase without destroying planarity.

During the reduction of a vertex  $v$ , there might exist nonpertinent leaves that are in all permissible permutations of the  $PQ$ -tree  $T_{v-1}$  between a pertinent leaf  $l_v$  and its maximal pertinent sequence. This maximal pertinent sequence has been determined with the help of the  $[w, h, a]$ -numbering. In order to make the tree  $T_{v-1}$  reducible, the leaf  $l_v$  is removed from the tree and the corresponding edge is removed from the graph  $G$ , guaranteeing that the subgraph  $G_p$  will be planar. However, it may occur that the nonpertinent leaves that are positioned between  $l_v$  and its maximal pertinent sequence in  $T_{v-1}$ , are removed as well from a tree  $T_k$ ,  $v \leq k < n$ , in order to obtain reducibility. Therefore, there is no need to remove the edge corresponding to  $l_v$  from the graph  $G$ .

In order to find leaves such as  $l_v$ , Jayakumar *et al.* (1989) use the algorithm MAX-PLANARIZE. Assuming that  $G_p$  is biconnected, a maximal planar subgraph of  $G$  has to be found that contains  $G_p$ . In order to do this, the authors construct the sequence of  $PQ$ -trees that has been computed in PLANARIZE. This implies that the sequence of planar subgraphs  $G_k$  is constructed with the same order that was implied by the  $st$ -numbering computed during PLANARIZE.

So in step  $i$  both PLANARIZE as well as MAX-PLANARIZE reduce the same vertex  $i$ . The difference between the  $PQ$ -trees in the two algorithms is, according to the authors, that all leaves that have been deleted in PLANARIZE are ignored in MAX-PLANARIZE from the moment they are introduced into the tree until they get pertinent.

This application causes the nonpertinent leaves between the pertinent leaf  $l_v$  and its maximal pertinent sequence to be ignored. Hence  $l_v$  is adjacent to its maximal pertinent sequence and the corresponding edge can be added back to  $G_p$ , while the leaves between  $l_v$  and the maximal pertinent sequence are removed from the  $PQ$ -tree.

### 2.3 On the incorrectness of the algorithm

Although some incorrect details of the approach of Jayakumar *et al.* have been described in a technical report by Kant (1992), who attempted to correct the algorithm, a major problem has not been detected.

Jayakumar *et al.* assume that the maximal planar subgraph  $G_p$  is biconnected for the correct application of the Lempel-Even-Cederbaum algorithm. Furthermore, as they have stated correctly, this is necessary in order to have an  $st$ -numbering. Nevertheless, the  $PQ$ -trees in MAX-PLANARIZE are constructed according to the  $st$ -numbering that was computed for the graph  $G$ .

As a matter of fact, the  $st$ -numbering of  $G$  does not imply an  $st$ -numbering of any subgraph  $G_p$  even if the subgraph  $G_p$  is biconnected. This results in two problems, of which one is crucial and cannot be dealt with even by the ideas described by Kant (1992).

Both problems are based on the fact that during the application of PLANARIZE for some vertices of  $V$  all incoming edges may be deleted from the graph while the resulting graph

$G_p$  stays biconnected. In this abstract, we consider only the crucial problem. The other problem is described in detail by Jünger *et al.* (1996).

The existence of the problem is based on the fact that the planarization algorithm of Jayakumar *et al.* (1989) does not obey an important invariant implied by the following lemma, shown by Even (1979).

**Lemma 2.1** *Let  $G = (V, E)$  be a planar graph with an  $st$ -numbering and let  $1 \leq k \leq n$ . If the edge  $(s, t)$  is drawn on the boundary of the outer face in an embedding of  $G$ , then all vertices and edges of  $G - G_k$  are drawn in the outer face of the plane subgraph  $G_k$  of  $G$ .*

This result allowed Lempel, Even, and Cederbaum (1967) to transform the problem of planarity testing to the construction of a sequence of bush forms  $B_k$ ,  $1 \leq k \leq n$ . For a planar graph  $G$ , edges and vertices that have not been introduced into the current subgraph  $G_k$  are always embedded into the outer face of  $G_k$ .

The approach of Jayakumar *et al.* (1989) does not obey this invariant. There exist edges that have to be embedded into an inner face of some  $G_k$  of  $G_p$ , even if  $(s, t)$  is drawn on the outer face. Due to the above lemma, the correction step MAX-PLANARIZE only considers edges for reintroduction into the planar subgraph  $G_p$  that are on the outer face of the current graph  $G_k$ . Since the numbering that is used to determine the order in which the vertices are reduced does not correspond to an  $st$ -numbering of  $G_p$  in general, the algorithm of Jayakumar *et al.* (1989) ignores edges that have to be added into an inner face of the embedding of a current graph  $G_k$ . This fact is fatal, as we are about to show now.

In Figure 1 a part of a bush form  $B_{k-1}$ ,  $1 < k \leq n$  of a graph  $G = (V, E)$  is shown. The virtual vertices corresponding to the vertex  $k$  are labeled  $k_1, k_2, \dots, k_5$  and all other virtual vertices are left unlabeled. We have marked them with  $v$  for simplicity. The corresponding part of the  $PQ$ -tree is shown in Figure 2. Obviously, there do not exist any reversions or permutations such that the virtual vertices of  $k$  occupy consecutive positions. Hence, the graph  $G$  is not planar. Applying the  $[w, h, a]$ -numbering of Jayakumar *et al.* (1989) allows us to delete the virtual vertex  $k_5$  and to reduce the other four vertices  $k_1, k_2, k_3, k_4$ . The resulting bush form  $B_k$  is planar and the relevant part is shown in Figure 3.

The virtual vertices incident on  $k$  are labeled  $o$  in Figure 3, regardless of the number of the corresponding vertex in  $G$ . Figure 4 shows the corresponding part of the  $PQ$ -tree. Assume now that all leaves labeled with  $o$  have to be removed from the  $PQ$ -tree in a later step. Hence all incoming edges incident on  $k$  are removed from the tree. Now assume further that there exists a path  $v_1, v_2, \dots, v_l$  in  $G_p$  such that

- for all  $i, j$ ,  $1 \leq i < j \leq l$  the inequality  $v_i < v_j$  holds,
- the edge  $(v_1, v_2)$  corresponds to one of the virtual edges that are between the leaf  $k_5$  and the maximal pertinent sequence  $k_1, k_2, k_3, k_4$  in all  $PQ$ -trees equivalent to  $T_{k-1}$ ,
- $v_l = t$ .

This path guarantees that all outgoing edges of the vertex  $k$  cannot be embedded into the outer face of the embedding of  $B_{k-1}$  without crossing an edge on this path. Hence the edge

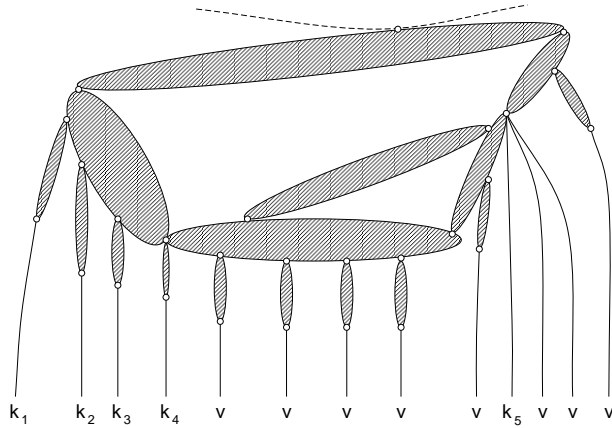


Figure 1: Part of a bush form  $B_{k-1}$

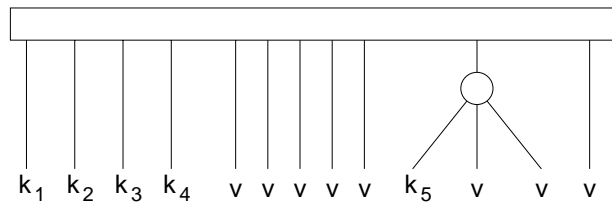


Figure 2: Part of a  $PQ$ -tree corresponding to bush form  $B_{k-1}$

$e_{k_5}$  corresponding to the leaf  $k_5$  is not considered by the algorithm MAX-PLANARIZE as being an edge that does not destroy planarity. Therefore,  $e_{k_5}$  is not added back to the planar subgraph  $G_p$ .

Nevertheless adding the edge  $e_{k_5}$  to  $G_p$  may not destroy planarity of  $G_p$  as is shown in our example in Figure 5. Since all incoming edges of the vertex  $k$  have been deleted by PLANARIZE and are not added back by MAX-PLANARIZE, it may be possible to swap the vertex  $k$  into an inner face of the embedding of  $B_k$  such that the virtual vertex  $k_5$  can be identified with  $k$  and the edge  $e_{k_5}$  is embedded into the bush form  $B_k$  without destroying planarity.

Therefore, the strategy of using  $PQ$ -trees presented by Jayakumar *et al.* (1989) does not compute a maximal planar subgraph in general. Furthermore, we point out that the same problem holds for the modified version of this algorithm, presented by Kant (1992). This version follows a similar strategy of computing a spanning planar subgraph  $G_p$  using PLANARIZE and then adding edges that do not destroy planarity in a second phase. The order of reductions that is used to insert vertices into existing bush forms is the same as the one implied by the  $st$ -numbering on  $G$ . Hence this approach is not able to compute a maximal planar subgraph for the same reason.

We therefore state the following lemma that has been shown in the discussion above.

**Lemma 2.2** *Let  $G = (V, E)$  be a nonplanar Graph. Let  $G_p = (V, E_p)$ ,  $E_p \subseteq E$ , be a planar subgraph of  $G$ , such that  $G_p$  was obtained from  $G$  by*

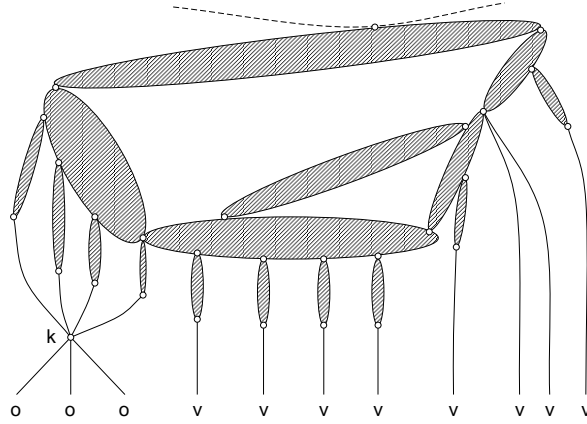


Figure 3: Part of a bush form  $B_k$

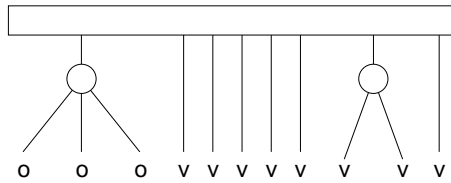


Figure 4: Part of a  $PQ$ -tree corresponding to bush form  $B_k$

1. computing an  $st$ -numbering for all vertices and
2. applying the algorithm of Lempel, Even, and Cederbaum (1967) constructing a sequence of bush forms  $B_k$ ,  $1 \leq k < n$ , by embedding a maximal number of outgoing edges of a vertex  $k$ ,  $1 < k \leq n$ , in the outer face of  $B_{k-1}$  without crossings, deleting all other outgoing edges of  $k$ .

Let  $G'_p = (V, E'_p)$ , be a planar subgraph of  $G$  such that

1.  $E_p \subseteq E'_p \subseteq E$ ,
2. the graph  $G'_p$  is computed by constructing a sequence of bush forms  $B'_k$ ,  $1 \leq k < n$ , based on the  $st$ -numbering used for determining  $G_p$ , and possibly embedding outgoing edges  $e \in E \setminus E_p$  of every vertex  $k$ ,  $1 < k \leq n$ , without crossings in the outer face of  $B'_{k-1}$ .

Then the subgraph  $G'_p$  is not necessarily maximal planar.

Considering a computation of an  $st$ -numbering for the planar subgraph  $G_p$  in order to augment  $G_p$  to a maximal planar subgraph of  $G$  and then construct a sequence of bush forms  $B'_k$ ,  $1 \leq k < n$ , is aggravated by the fact that the graph  $G_p$  is not biconnected in general, and the sequence of bush forms  $B'_k$ ,  $1 \leq k < n$  is not equivalent to the bush forms  $B_k$ , constructed in the first phase PLANARIZE. Kant (1992) has already shown that the nonequivalence of the  $PQ$ -trees in MAX-PLANARIZE and the resulting

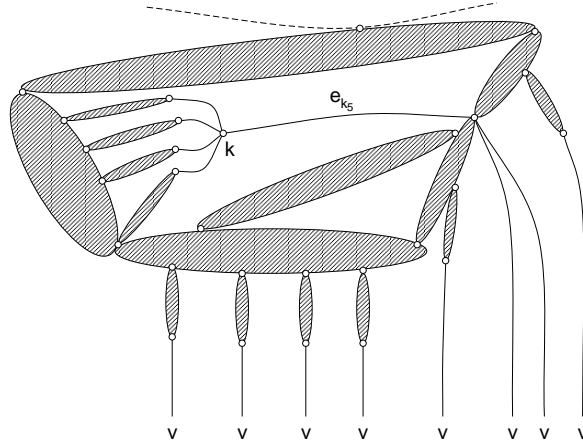


Figure 5: Part of a bush form  $B_k$  with  $e_{k_5}$  embedded

nonequivalence of the bush forms might result in the deletion of edges of the planar subgraph  $G_p$ . Nevertheless, the authors attempt to come up with a corrected version of the algorithm does not solve the here described problem.

### 3 Case study: leveled-planarity testing

#### 3.1 Principle of an approach for recognizing leveled-planar dags

Let  $G = (V, E)$  be a directed acyclic graph. A leveling of  $G$  is a function  $lev : V \rightarrow \mathbb{Z}$  mapping the nodes of  $G$  to integers such that  $lev(v) = lev(u) + 1$  for all  $(u, v) \in E$ .  $G$  is called a *leveled dag* if it has a leveling. If  $lev(v) = j$ , then  $v$  is a *level- $j$  vertex*. Let  $V_j = lev^{-1}(j)$  denote the set of level- $j$  vertices. Each  $V_j$  is a *level* of  $G$ .

For the rest of this section, we consider  $G$  to be a leveled dag with  $m \in \mathbb{N}$  levels. An embedding of  $G$  in the plane is called *leveled* if the vertices of every  $V_j$ ,  $1 \leq j \leq m$ , are placed on a horizontal line  $l_j = \{(x, m - j) \mid x \in \mathbb{R}\}$ , and every edge  $(u, v) \in E$ ,  $u \in V_j$ ,  $v \in V_{j+1}$  is drawn as straight line segment between the lines  $l_j$  and  $l_{j+1}$ . A leveled embedding of  $G$  is called *leveled-planar* if no two edges cross except at common endpoints. The dag  $G$  is obviously leveled-planar, if all its components are leveled-planar. We therefore assume that  $G$  is connected.

Let  $G$  have a leveled embedding. This embedding determines for every  $V_j$ ,  $1 \leq j \leq m$ , a total order  $\leq_j$  of the vertices of  $V_j$ , given by the left to right order of the nodes on  $l_j$ . In order to test whether a leveled embedding of  $G$  is leveled planar, it is sufficient to find an order of the vertices of every set  $V_j$ ,  $1 \leq j \leq m$ , such that for every pair of edges  $(u_1, v_1), (u_2, v_2) \in E$  with  $lev(u_1) = lev(u_2) = j$  and  $u_1 \leq_j u_2$  it follows that  $v_1 \leq_{j+1} v_2$ . Apparently, the ordering  $\leq_j$ ,  $1 \leq j \leq m$ , describes a permutation of the vertices of  $V_j$ . Let  $G_j$  denote the subgraph of  $G$ , induced by  $V_1 \cup V_2 \cup \dots \cup V_j$ . Unlike  $G$ ,  $G_j$  is not necessarily connected.

The basic idea of the leveled-planar test algorithm presented by Heath and Pemmaraju (1996a,b) is to perform a top-down sweep processing the levels in the order  $V_1, V_2, \dots, V_m$



computing for every level  $V_j$ ,  $1 \leq j \leq m$ , a set of permutations of the vertices of  $V_j$  that appear in some leveled-planar embedding of  $G_j$ . In case that the set of permutations for  $G_m$  is not empty, the graph  $G = G_m$  is obviously leveled-planar.

As long as the graph  $G_j$  is connected for some  $j \in \{1, 2, 3, \dots, m\}$  standard  $PQ$ -tree techniques similar to the ones used in the planarity test can be applied in order to determine the required set of permutations (see Di Battista and Tamassia, 1989). In case that  $G_j$ ,  $1 \leq j < m$ , consists of more than one connected component, Heath and Pemmaraju suggest to use a  $PQ$ -tree for every component and formulate a set of rules of how to merge components  $F_1$  and  $F_2$ , respectively their corresponding  $PQ$ -trees  $T_1$  and  $T_2$ , if  $F_1$  and  $F_2$  both are adjacent to some vertex  $v \in V_{j+1}$ .

The authors first reduce the pertinent leaves of  $T_1$  and  $T_2$  corresponding to the vertex  $v$ . After successfully performing the reduction, the consecutive sequence of pertinent leaves is replaced by a single pertinent representative in both  $T_1$  and  $T_2$ . Going up one of the trees  $T_i$ ,  $i \in \{1, 2\}$ , from its pertinent representative, an appropriate position is searched, allowing the tree  $T_j$ ,  $j \neq i$  to be placed into  $T_i$ . After successfully performing this step the resulting tree  $T'$  has two pertinent leaves corresponding to the vertex  $v$ , which again are reduced. If any of the steps fails, Heath and Pemmaraju state that the graph  $G$  is not leveled-planar.

Merging two  $PQ$ -trees  $T_1$  and  $T_2$  corresponds to merging the two components  $F_1$  and  $F_2$  and is accomplished using certain informations that are stored at the nodes of the  $PQ$ -trees. For any subset  $S$  of the set of vertices in  $V_j$ ,  $1 \leq j \leq m$ , that belong to a component  $F$ , define  $ML(S)$  to be the greatest  $d \leq j$  such that  $V_d, V_{d+1}, \dots, V_j$  induces a dag in which all nodes of  $S$  occur in the same connected component. For a  $Q$ -node  $q$  in the corresponding  $PQ$ -tree  $T_F$  with ordered children  $r_1, r_2, \dots, r_t$  maintain in node  $q$  integers denoted  $ML(r_i, r_{i+1})$ , where  $1 \leq i < t$ , satisfying  $ML(r_i, r_{i+1}) = ML(frontier(r_i) \cup frontier(r_{i+1}))$ . For a  $P$ -node  $p$  maintain in  $p$  a single integer denoted  $ML(p)$  that satisfies  $ML(p) = ML(frontier(p))$ . Furthermore define  $LL(F)$  to be the smallest  $d$  such that  $F$  contains a vertex in  $V_d$  and maintain this integer at the root of the corresponding  $PQ$ -tree.

Using these  $LL$ - and  $ML$ -values, Heath and Pemmaraju (1996a,b) describe a set of rules how to connect two  $PQ$ -trees claiming that the pertinent leaves of the new tree  $T'$  are reducible if and only if the corresponding component  $F'$  is leveled-planar.

### 3.2 On the incorrectness of the algorithm

Within the merge phase, pertinent leaves are reduced pairwise in any given order. This includes the pairwise reduction of pertinent leaves of different components as well. Hence, components that have pertinent leaves of the same vertex in their frontier, are merged in an arbitrary order.

Consider four different components  $F_1, F_2, F_3, F_4$  and their corresponding  $PQ$ -trees  $T_1, T_2, T_3, T_4$  each having at least one pertinent leaf corresponding to some level- $j$  vertex  $k$ . For simplicity, assume that the pertinent leaves of every component appear consecutively in all permutations on one side of their  $PQ$ -trees and assume further that the smallest common ancestor of the pertinent leaves and some other leaves is a  $Q$ -node. In Figure 6 such a component  $F_i$ ,  $i \in \{1, 2, 3, 4\}$ , and its corresponding  $PQ$ -tree  $T_i$ ,  $i \in \{1, 2, 3, 4\}$ , is shown. The number  $c_i$ ,  $i \in \{1, 2, 3, 4\}$ , depicts the  $ML$ -value between the leftmost perti-

nent leaf and the frontier of its left neighbor. We have marked all pertinent leaves with a  $k$  for simplicity.

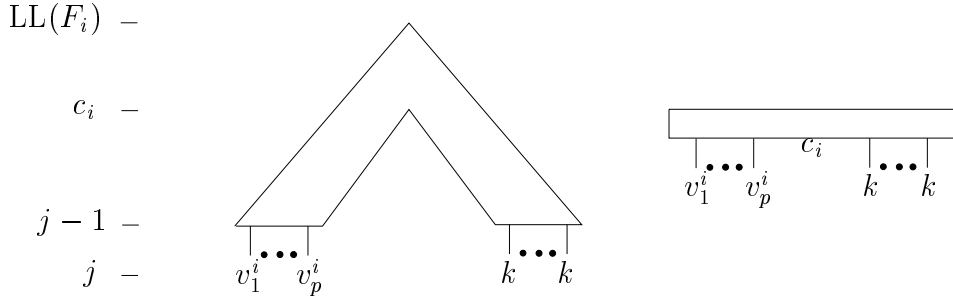


Figure 6: Component  $F_i$  and its corresponding  $PQ$ -tree  $T_i$ . On the left side of  $F_i$ , some levels of  $F_i$  are indicated. The value  $c_i$  is equal to  $\text{ML}(\{v_p^i, k\})$ .

Assuming that the following condition on the  $\text{ML}$ - and  $\text{LL}$ -values of the components holds:

$$\text{LL}(F_1) \leq c_1 < \text{LL}(F_2) \leq c_2 < \text{LL}(F_3) \leq c_3 < \text{LL}(F_4) \leq c_4,$$

it is possible to merge all four components into one component such that the pertinent leaves form a consecutive sequence. Figure 7 shows the four components, indicating how the components can be merged allowing a reduction of the pertinent leaves.

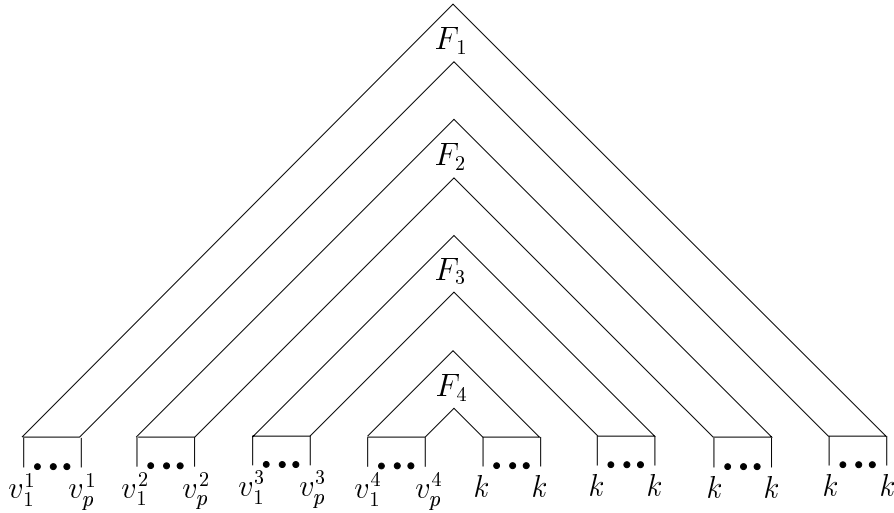


Figure 7: Possible leveled-planar arrangement of the components  $F_1, F_2, F_3, F_4$ .

Considering the following merge operations on the components  $F_1, F_2, F_3, F_4$  and their corresponding  $PQ$ -trees:

1. merge  $F_1$  and  $F_4$  into component  $F'$ ,
2. merge  $F'$  and  $F_3$  into component  $F''$ ,
3. merge  $F''$  and  $F_2$  into component  $F'''$ ,

the resulting  $PQ$ -tree  $T'''$  corresponding to  $F'''$  is shown in Figure 8. Obviously, the pertinent leaves do not form a consecutive sequence in any permutation of the  $PQ$ -tree. Hence the algorithm presented by Heath and Pemmaraju (1996a) states non planarity although the graph may be planar.

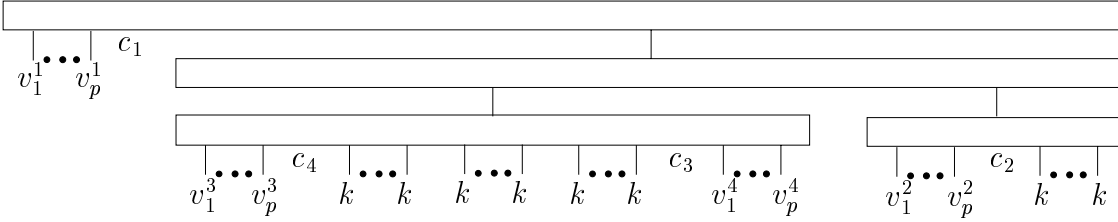


Figure 8:  $PQ$ -tree  $T'''$  whose pertinent leaves depicted by  $k$  are not reducible.

As a matter of fact, the order of merging the components is important for testing a leveled dag. Moreover it is easy to see, that using different orderings while merging three or more components results in different equivalence classes of  $PQ$ -trees. So even if every order of merging  $PQ$ -trees with pertinent leaves results in a reducible  $PQ$ -tree, a  $PQ$ -tree may be constructed such that the leaves of some vertex  $l$ ,  $lev(l) > j$  are not reducible, although the graph  $G$  is leveled-planar. Hence the algorithm presented by Heath and Pemmaraju (1996a) may state incorrectly the non-leveled-planarity of a leveled-planar graph.

## 4 Conclusions

Although  $PQ$ -trees have proved themselves as a powerful data structure, they have to be handled with great care. Any application has to make sure that the problem, which has to be solved with  $PQ$ -trees really can be reduced to solving the problem of consecutive sets. As we have seen throughout the abstract, it is mostly the lack of information that makes the algorithms fail. Finding these problems is aggravated by the fact the algorithms are intuitively clear, and the proofs are correct, except for the final conclusion. Mostly it was luck or chance that revealed the mistakes in the past. So for the development of new algorithms, it is very helpful to take a close look onto the mistakes.

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