

# Spectroscopic characterisation of $H_2$ and $D_2$ helicon plasmas generated by a resonant antenna for neutral beam applications in fusion

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**Abstract.** A new generation of Neutral Beam (NB) systems will be required in future fusion reactors, such as DEMO, able to deliver high power, in total up to 50 MW, with high, 800 keV or higher, neutral energy. Only negative ion beams may be able to attain this performance, engendering strong research focus on negative ion production from both surface and volumetric plasma sources. A novel helicon plasma source, based on the resonant birdcage network antenna configuration, is currently under study at the Swiss Plasma Center (SPC), before installation on the Cybele negative ion source at CEA-IRFM. This source is driven by up to 10 kW at 13.56 MHz, and is being tested on a linear Resonant Antenna Ion Device (RAID). Passive spectroscopic measurements of the first three Balmer lines  $\alpha$ ,  $\beta$  and  $\gamma$  and of the Fulcher- $\alpha$  bands were performed with an  $f/2$  spectrometer, for both hydrogen and deuterium. Multiple viewing lines and an absolute intensity calibration were used to determine the plasma radiance profile, with a spatial resolution  $< 3$  mm. A minimum Fisher regularisation algorithm is applied to obtain the absolute emissivity profile for each emission line, for cylindrical symmetry that was experimentally confirmed. An uncertainty estimate of the inverted profiles was performed using a Monte Carlo approach. Finally, an RF-compensated Langmuir probe was inserted to measure the electron temperature and density profiles. The absolute line emissivities are interpreted using the collisional-radiative code YACORA which estimates the dissociation degree and the distribution of the atomic and molecular species, that includes the negative ion density. This paper reports the results of a power scan up to 5 kW in conditions satisfying Cybele requirements for the plasma source, namely a low neutral pressure,  $p \leq 0.3$  Pa and magnetic field  $B \leq 150$  G.

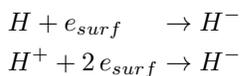
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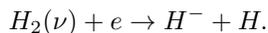
## 1. Introduction

The heating scheme for future fusion reactor, as DEMO, relies on multiple actuators, among which Neutral Beam (NB) systems play a major role [1]. In order to reach the core region, the neutrals must have high energy,  $\sim 800$  keV or higher. At the same time, to effectively heat the plasma, high (up to 50 MW) powers are necessary. Most NBs are presently based on positive ion sources that have been developed to attain high reliability and easy maintenance. After acceleration to the desired energy, the positive atoms are neutralised by charge exchange reactions with a neutral gas. However the neutralisation efficiency of positive ions decreases drastically above 100 keV/amu [2] prohibiting their use for high particle energy NB. Conversely, the gas neutralisation (in reality ionisation) efficiency of negative ions remains essentially constant above 100 keV/amu, with typical values of 60 %, and also other more efficient methods that can exceed 80% can be implemented, such as photodetachment [3]. Present negative ion sources supply negative ion densities one order of magnitude lower than those of positive sources, which has led to a strong research and development on alternative negative ion sources. The requirements on such sources are stringent. Eg: in DEMO the target extraction current density is 200 A/m<sup>2</sup>, the pressure 0.2 Pa, the pulse duration up to 2 h, the extraction area 0.1 m<sup>2</sup> with a beam uniformity  $\pm 10$  % and a co-extracted electron fraction  $< 1$ . The last requirement is particularly challenging due to the higher mobility of electrons compared to negative ions. The generation of negative hydrogen or deuterium (represented throughout this paper by the character  $H$  when referring to both) ions is based on two processes [2]:

- (i) surface production, where negative ions are the result of the interaction of a neutral atom  $H$  or an ion  $H^+$  with a low work function surface:



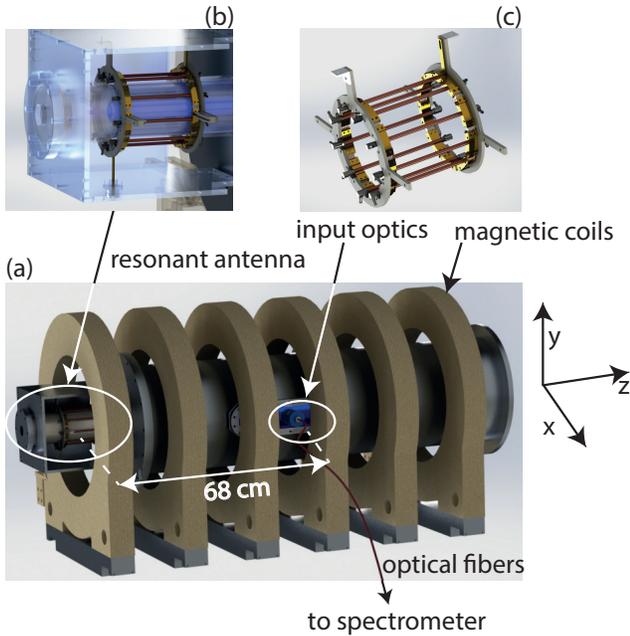
- (ii) volumetric production, where the negative ions are produced by dissociative attachment (DA) of electrons to molecules that are in an excited ro-vibrational level  $\nu$ :



Most present-day efforts in the development on new negative ion sources focus on surface production, using caesium for its low surface work function. Eg: filament arc sources [4] and Radio Frequency (RF) sources [5]. Recently, it was proposed to use a helicon plasma source from consideration of

its high ionisation efficiency [6], (particularly at low pressures) and its volumetric ion production. The cross section for DA production is strongly dependent on the molecular vibrational level  $\nu$ . It increases by four order of magnitude from  $\nu = 0$  to  $\nu = 4$  [2]. A high vibrationally-excited molecular population requires relatively high electronic energies  $\geq 10$  eV, as the radiative decay of excited electronic states, known as E-V singlet excitation [2], is an effective source of vibrationally excited molecules. This mechanism is responsible for the peak in DA cross section at  $\simeq 14$  eV [7, 8].  $T_e$  must, however, be kept below 2 eV to reduce  $H^-$  detachment from electronic collisions, which is a very effective process due to the low binding energy (0.75 eV) of the extra captured electron [9]. To fully understand the negative ion production mechanism, a complete characterisation of the Electron Energy Distribution Function (EEDF) may therefore be required, especially for helicon sources, which have revealed, under certain conditions, the presence of non-Maxwellian features [10, 11]. An extension of the present investigation to measure the detailed EEDF in RAID plasmas will be the subject of future work. For the purpose of this paper a Maxwellian EEDF was assumed. The negative ion volumetric production in helicon plasmas is efficient with a high temperature electron population, confined by the magnetic field in the plasma-producing region, and a low temperature population diffused over a larger area, which provides the electrons for DA whilst reducing collisional losses. The main disadvantage of the volumetric production compared to surface production for negative ion beam application is the higher co-extracted electron fraction. It is also not proven yet whether volumetric processes could produce the same quantity of negative ions as in surface conversion at low pressure.

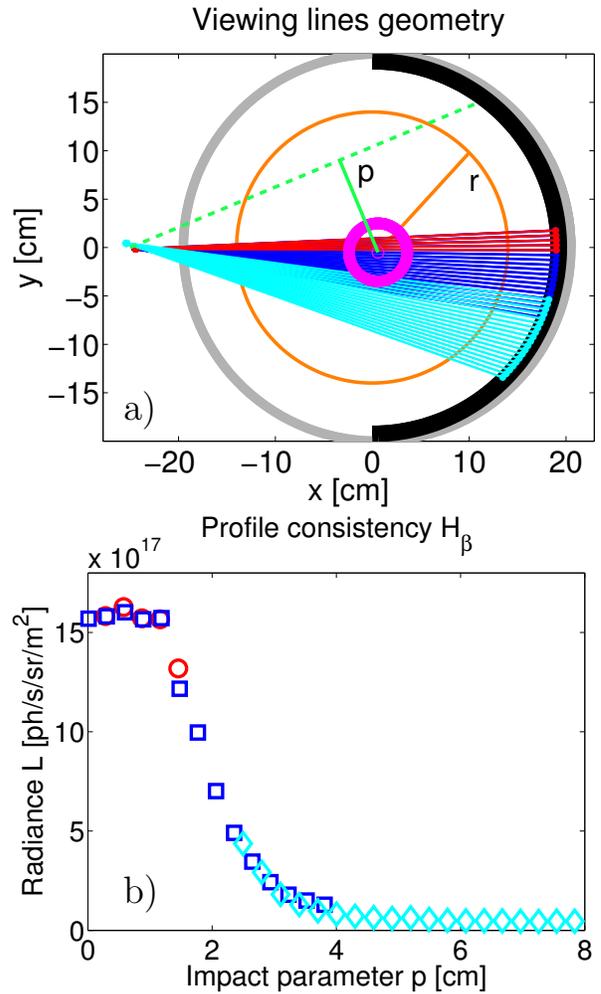
This paper is focussed on the characterisation of the helicon resonant birdcage network antenna recently developed at SPC, for application as negative ion source in the source NB test Cybele [3]. The experimental setup is described in section 2, with emphasis on the spectroscopic system. Section 3 explains in detail the steps used to compute the emissivities from the measured spectra, which are interpreted in section 4 to estimate the density of ions and neutral atoms. Emphasis is given on the dissociation degree, the ionisation degree and on the negative ion density. The results are discussed in section 5 and, finally, the conclusions and outlook are illustrated in section 6.



**Figure 1.** CAD drawing of (a) RAID and the helicon resonant antenna ((b) and (c)). The device has a circular cross section of 0.4 m diameter and a length of 1.8 m. The input optics for OES measurements are mounted on a port  $\sim 68$  cm from the resonant antenna.

## 2. RAID experimental setup and spectroscopic system

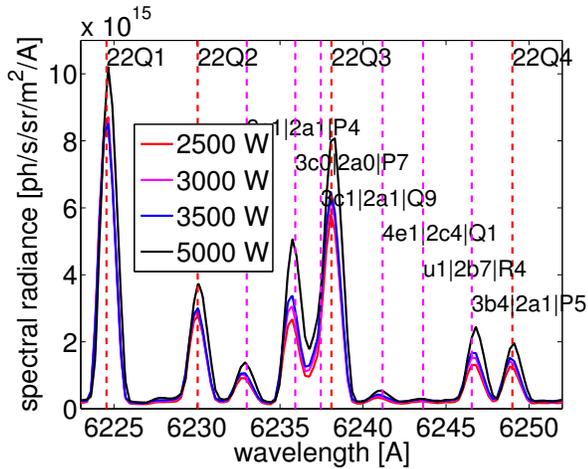
The reported Optical Emission Spectroscopy (OES) measurements are performed in the Resonant Antenna Ion Device (RAID) shown in figure 1, where the antenna and the input optics for OES are highlighted. The vacuum chamber is cylindrical, with a length of 1.8 m and a 0.4 m diameter. RAID is equipped with 6 magnetic field coils, able to generate an axial magnetic field up to 800 G. A coil current  $I_{coil} = 150$  A provides an axial  $B$  field in the vacuum chamber axis of 150 G, which meets the specifications of the Cybele source [3]. The current of the first coil next to the antenna is reversed,  $I_{coil1} = -30$  A, that increases the  $B$  gradient to give better antenna performance [6, 12]. The pressure is controlled by the gas inflow through a Bronkhorst mass flow controller at constant pumping speed and monitored by a Balzers pressure gauge. The pressure of 0.3 Pa, also required for operation in Cybele, is attained with a 7.5 ml<sub>n</sub>/min flow. This relatively low gas pressure is one of the ITER NB's source requirements, whose purpose is to minimise the negative ion losses due to collisions with molecules in the accelerator. Pressure variations are limited to  $< 20\%$  during the measurements. The antenna (see figure 1-(b) and (c)) is designed to efficiently couple with the plasma helicon wave field, for modes  $m = \pm 1$



**Figure 2.** a) LOS for the two sets of measurements, red and blue lines corresponds to the core measurements, above and below the plasma column centre respectively. In cyan the LOS for the edge set. The plasma column is schematically represented by a violet circle. The dashed green line simulates a LOS for the definition of the impact parameter  $p$ . The orange circle is used to define the radius  $r$  from the device axis. b) Profile consistency check, same colours as figure a), the cylindrical symmetry and the connection of the core and edge set are verified.

[13]. It has 9 straight copper rods 15 cm long, an internal diameter of 13 cm, and a single RF power injection. It contains 16 high current, high Q, non-magnetic capacitors, each with a capacitance of 3.96 nF. The antenna, able to deliver up to 10 kW at the standard ISM frequency of 13.56 MHz, is installed at one end of RAID, outside the main coil region, as shown in figure 1-(a). The plasma generated by the antenna forms a column of approximately constant radius that ends on a target at the other end of the device.

The spectroscopic setup consists of a field lens



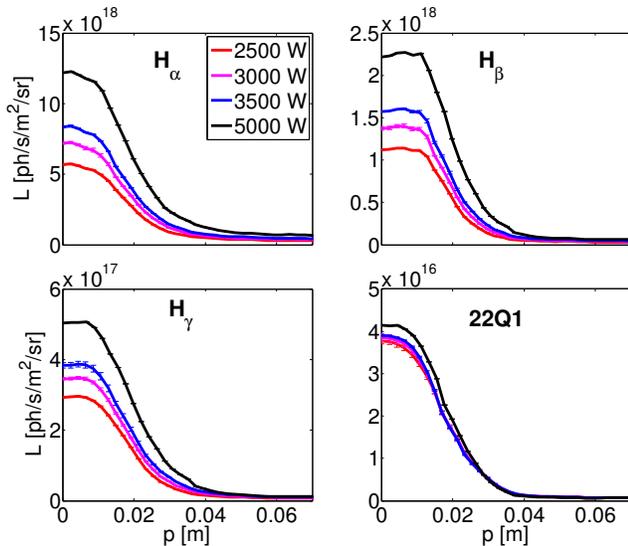
**Figure 3.**  $H_2$  spectrum of Fulcher- $\alpha$  22QN lines for different RF powers.

coupling through an optical fibre bundle to a high throughput spectrometer and a detector. The input optics is a Navitar f/1.4 35mm lens and the image focal plane is optimised to image the RAID axis at 25 cm from the lens. The input lens is mounted on a port  $\sim 68$  cm from the antenna to sample the plasma column far from the source. The fibre bundle is composed of 19 fibres of numerical aperture 0.22, with a fused silica core of diameter  $365 \mu\text{m}$ . The diameter of their adjacent images on the focal plane is 2.9 mm, resulting in a 55.1 mm wide sampling region. The geometry of the Lines Of Sight (LOS) is shown in figure 2-(a), together with the sketch of RAID's cross section. A violet inner ring schematically represents the plasma column position. In the figure are also defined the impact parameter  $p$  for a LOS, i.e. the distance of the LOS from the centre of the plasma column, and the radius  $r$  for a generic point, that is the distance of the point from the device axis. In RAID, the centre of the plasma column coincides with the mechanical axis. A core observation set that views the plasma column and an edge set that focuses on the outer region are available. Core LOSs view the plasma column both above and below its centre and are represented by red and blue lines respectively. Their goal is to ascertain the cylindrical symmetry of the plasma column emission. The edge measurements set observes impact parameter ranges up to 8 cm and partially overlaps with the core set, so that the consistency across the set merge region can be assessed as shown in figure 2-(b), where the  $n_{LOS} = 38$  LOS are displayed. The LOS geometry was verified by back illuminating each fibre with a He/Ne laser and measuring the projected spot position on a reference anti-reflection graphite ring installed inside the vacuum vessel, represented by a black semicircle in figure 2-(a).

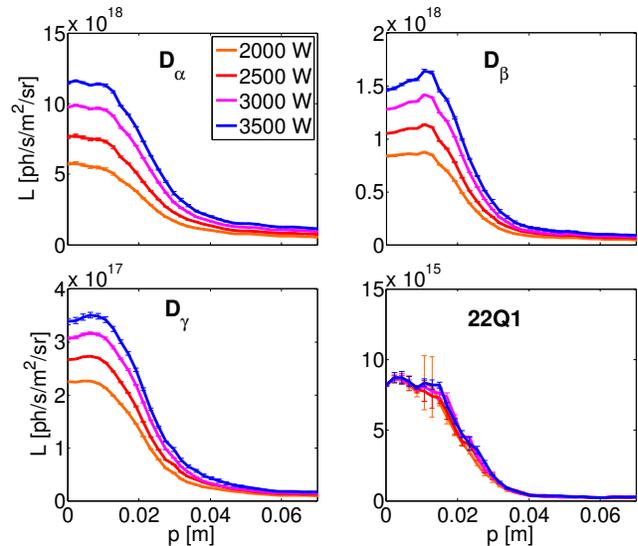
Collected light is analysed by a high throughput spectrometer of similar design to [14]. It is composed of two Nikon f/2 200 mm lenses and a Horiba holographic grating of 2400 l/mm groove density blazed at 400 nm. The clear aperture of the Nikon lenses is 100 mm and a grating of size  $120 \times 140 \text{ mm}^2$  was chosen to minimise vignetting. The angle between the optical axis of the two lenses was fixed to  $2\phi = 20^\circ$ , where  $\phi$  is the half-included angle. The angle  $\theta$  between the bisector of the two lenses and the grating normal, called the scanning angle, is adjustable and determines the central wavelength. The spectrometer spectral coverage is  $\sim 14$  nm and is too small to cover all the lines of interest within a single exposure. A set of 8 scanning angles, that include a partial overlap in the Fulcher- $\alpha$  range between 600 and 650nm, was used. This method is viable only if the emission of all lines is stable in time for the whole scan, an assumption that was verified by repeating the full angular scan at least twice for each antenna power. A constant emissivity (within 5 %) is observed within a few seconds for each input power value. The spectrometer input slit width was set to  $80 \mu\text{m}$  for a spectral bandpass of  $0.9 \text{ \AA}$  at 615 nm. This is sufficient to resolve most of the Fulcher- $\alpha$  molecular lines. The spectrometer uses an Andor iXon Ultra 897 camera, that features a back-illuminated  $512 \times 512$  pixels frame transfer sensor and an optional Electron Multiplying (EM) readout register. The camera integration time and EM gain are adjusted at each scanning angle and power to maximise the counts and signal-to-noise ratio whilst avoiding saturation. The allowed integration time is in the range  $3.5 \cdot 10^{-4}$ -0.2 s where EM gains between 8 and 60 were employed. A complete spectrum is the result of an average of 15-30 of such acquisitions, with the experimental uncertainty estimated from the inter-spectra standard deviation. Figure 3 shows a spectrum of the  $H_2$  Fulcher- $\alpha$  22QN lines for different RF powers, obtained with the procedure described above.

### 3. Absolute radiance measurements and emissivity profiles

The procedure to calculate absolute line emissivity is described in this section. It consists of two steps: a radiance calculation from the measured spectra and the tomographic inversion of these radiance profiles. The experiments were performed at a constant pressure of 0.3 Pa, in both hydrogen and deuterium. A scan in the antenna input power was performed for both isotopes, but with a different power range. The minimum possible power was determined by plasma stability: 2.5 kW for  $H_2$  and 2 kW for  $D_2$ . The maximum power for these experiments was limited by thermal loads on the device. A measurement at 5 kW was performed in  $H_2$ ,



**Figure 4.** Radiance profiles  $L(p)$  of Balmer and 22Q1 lines for  $H_2$ . Different colours indicate different RF powers.



**Figure 5.** Radiance profiles  $L(p)$  of Balmer and 22Q1 lines for  $D_2$ . Different colours indicate different RF powers.

and resulted in damage to the target. The scan in  $D_2$  was therefore limited to 3.5 kW.

### 3.1. Radiance calculation

The absolute radiance is computed from the acquired spectra. The light from each optical fibre is imaged by the spectrometer into a region of interest (ROI) on the CCD. 19 ROIs of approximately 20 vertical pixels are defined. The remaining section of the CCD that is not illuminated was used for CCD-shift smear correction. The photon rate  $R_{exp}$  [ph/s] of each emitted line for each ROI is evaluated using a multi-gaussian fitting procedure that included the measured experimental instrumental function. The photon rate of lines that are partially overlapped was still computed with satisfactory accuracy. The radiant flux reaching the sensor  $\phi_{expt}$  [W] can be calculated from the photon rate using the equation

$$\phi_{exp} = R_{exp} h\nu_{ph}, \quad (1)$$

where  $\nu_{ph}$  is the photon's frequency and  $h$  the Planck constant. The absolute radiance is then deduced by comparing the experimental rate  $R_{exp}$  with the photon rate  $R_{cal}$  measured with an absolutely calibrated source at the same wavelength, using the same experimental configuration. This ensures correct compensation for the system transmission and geometrical etendue. The radiance  $L_{exp}$  [ph/s/sr/m<sup>2</sup>] of a line is given by

$$L_{exp} = \frac{R_{exp}}{R_{cal}} L_{cal} \quad (2)$$

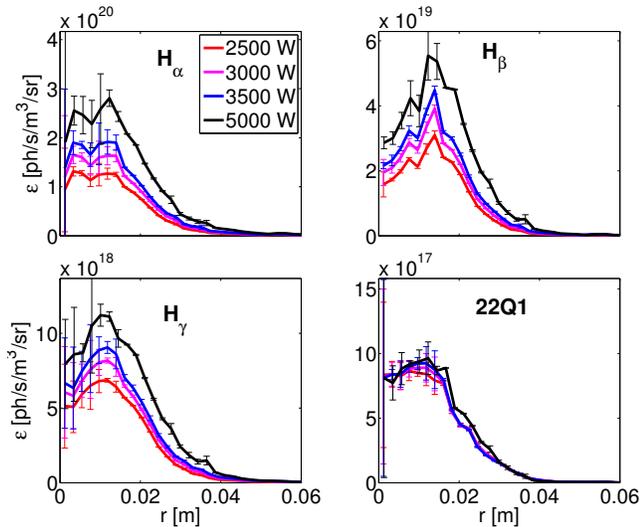
where  $L_{cal}$  is the known spectral radiance of the calibration source integrated over the pixel wavelength

range. An example of a  $H_2$  molecular spectrum in the range of the 22QN (N from 1 to 4) lines is shown in figure 3, where the molecular lines are identified from reference wavelengths in Dieke [15]. The same procedure is implemented for  $D_2$  using the reference lines in Lavrov's Atlas [16]. Figures 4 and 5 show the resulting radiance profiles for the first three Balmer lines and the molecular 22Q1 line, for  $H_2$  and  $D_2$  respectively. Each line has a characteristic radiance profile shape that is independent of the input RF power, which only determines the absolute radiance value. There is a noticeable isotope effect too on both profile shape, broader in deuterium, and radiance level, higher in deuterium for the atomic lines. The 22Q1  $D_2$  line radiance is much lower than the 22Q1  $H_2$ . This is partially due to the different nuclear spin of the isotopes, that interchange the statistical weight for even-odd rotational molecular levels [17]. Nevertheless, the total  $D_2$  Fulcher- $\alpha$  Q-branch emission is lower than for  $H_2$ . The radiance of Balmer lines increases linearly with the RF power, while there is no clear dependence for the molecular line radiance.

### 3.2. Tomographic inversion

A tomographic inversion is then applied to extract the emissivity profiles from the radiance. The inversion of the line integrated data, in this case the radiances  $L_j$  with  $j = 1, 2, \dots, n_{LOS}$ , is a well known ill-posed mathematical problem, as the system of integral equations for the emissivity  $\epsilon$

$$L_j = \int_{LOS_j} \epsilon dl \quad (3)$$



**Figure 6.** Emissivity profiles  $\epsilon(r)$  of Balmer and 22Q1 lines for  $H_2$ . Different colours indicate different RF powers.

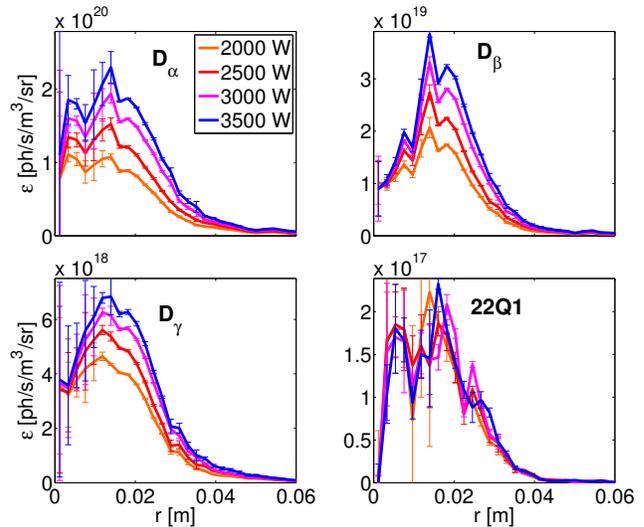
is under-determined. To make it treatable further conditions must be applied [18]. This is increasingly problematic with a limited number of LOS, as is the case for the measurements reported here. In comparison, in medical tomography,  $\sim 10^5$  LOS are routinely used. An important improvement for accurate Abel tomographic inversion is having multiple observation positions, not possible on RAID due to diagnostic access limitations at the time of the experiment. This requirement can be relieved in cases where the object has symmetry properties and, as mentioned above, the RAID plasma column has cylindrical symmetry. The chosen approach was to subdivide the cross section into  $n_r$  radially-concentric pixels of constant emissivity  $\epsilon_i$ ,  $i = 1, 2, \dots, n_r$  and recast the integral problem as a system of algebraic equations in matrix formalism:

$$\epsilon_i = T_{ij} L_j \quad (4)$$

where the element  $T_{ij}$  of the transfer matrix  $T$  is the intersection length of the LOS  $j$  with the pixel  $i$ . The transfer matrix size is  $n_r \times n_{LOS}$ . A unique solution of system 4 can be found by choosing  $n_r < n_{LOS}$  and imposing a further regularisation on the solution, achieved by minimising the functional

$$\tilde{h} = \frac{1}{2} \chi^2 + \alpha \mathcal{R}. \quad (5)$$

The positive parameter  $\alpha$  acts as a weighting factor between the regularising functional  $\mathcal{R}$  and a least-squared optimized fitting. A desirable property for the regularisation is to perform a strong smoothing when the emissivity is low yet allow larger variations when the emissivity is high, to better distinguish features in



**Figure 7.** Emissivity profiles  $\epsilon(r)$  of Balmer and 22Q1 lines for  $D_2$ . Different colours indicate different RF powers.

the luminous core region. The Fisher information,

$$I_f = \int \frac{\left(\frac{d\epsilon(l)}{dl}\right)^2}{\epsilon} dl \quad (6)$$

has this property [19], and was chosen as regularising functional. The implemented algorithm, called minimum Fisher regularisation method [20], solves the system of normal equations, equivalent to minimising the functional of equation 5,

$$\left(T^T * T + \alpha \tilde{H}\right) * \epsilon = T^T L \quad (7)$$

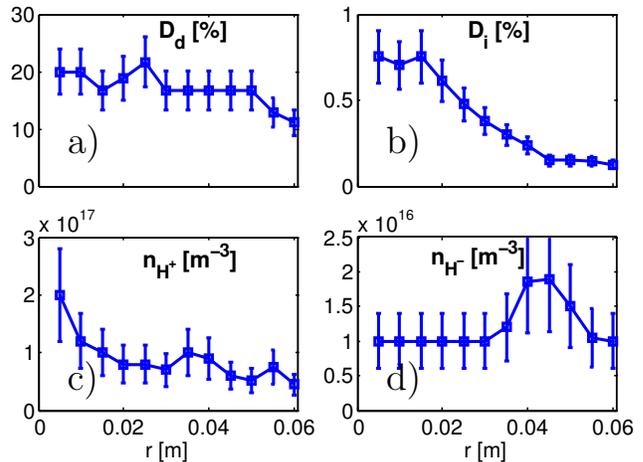
where the  $\tilde{H}$  matrix contains the Fisher information. The parameter  $\alpha$  is optimally chosen by the algorithm. Appropriate convergence of the algorithm is verified by reconstructing the input radiance profiles from the output emissivities and comparing with the initial data. A value  $n_r \leq n_{LOS}$  is selected to preserve as many of the smaller features as possible in the inverted profile. Particular care must be taken in choosing the boundary conditions. Measurements were limited to impact parameter  $p_{max} \sim 8$  cm, where the radiance has yet to vanish; a straightforward inversion thus yields a non-physical spike in the emissivity profile at this position. The correction of this feature required an extrapolation of the radiance profile, before the inversion, to the unknown zero radiance point  $p_0$ . Several extrapolation methods were tested, linear, quadratic and exponential, to choose  $p_0$ . The resulting emissivities are thus robust against variations of the extrapolating methods and  $p_0$  position, with an uncertainty within 25% at  $p_{max}$ , decreasing to less than 10% at 6 cm, for impact parameters of  $p_0$  in the range 16-20 cm. From these assessment results, a linear extrapolation with  $p_0$  at 20 cm was

chosen for all the inversions as the lowest order, and thus more stable, approach. An uncertainty estimate of the Abel-inverted profiles was performed using a Monte Carlo approach [21]. For each radiance profile a set of 400 virtual profiles was generated, varying the radiance value at each position according to a normal distribution centered at the original profile value, with a standard deviation given by the computed uncertainty. Each one of these virtual profiles was then inverted using the methods described above, with the emissivity uncertainty taken as the standard deviation of the virtual emissivity profiles. The average value of the virtual profiles was verified to converge to the inversion of the original profile.

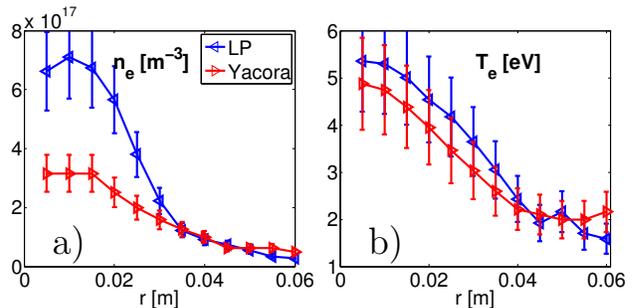
Figures 6 and 7 show the computed emissivity profiles and their uncertainties for the Balmer lines and the molecular 22Q1 line, for  $H_2$  and  $D_2$  respectively. Emissivity profiles are generally hollow and their shape is preserved for different input powers. The most hollow profile is for the Balmer  $\beta$  line (for both  $H_2$  and  $D_2$ ), that is a consequence of the already hollow radiance profile. The Balmer  $\alpha$  line is only slightly hollow, and, considering the estimated uncertainties, remains compatible with a flat profile. The molecular line profiles are also slightly hollow, with a similar behaviour as the  $H_\alpha$  line. The estimated uncertainties from the Monte Carlo assessment provide a high confidence in the inverted profiles in the 1.5-6 cm radial range, where their values are typically  $\leq 15\%$ .

#### 4. Analysis with YACORA code and power scan results

Now that the experimental emissivity profiles are measured and their uncertainties estimated, we seek to deduce the ion population profiles in the RAID plasma. The radial profiles of the first 3 Balmer lines and the sum of the diagonal Fulcher- $\alpha$  lines are interpreted using the collisional-radiative (CR) code YACORA [22]. The code solves the CR rate equations for the population density  $n_s$  of the hydrogen atom, resolving the lower 40 energy states ( $s = 1, 2 \dots 40$ ). The reactions included in the model are: excitation and de-excitation by  $e^-$  collisions, spontaneous emission, ionisation, (radiative, dielectronic and three-body) recombination of  $H^+$ , dissociative excitation of  $H_2$ , dissociative recombination of  $H_2^+$  and  $H_3^+$  and mutual neutralisation of  $H^-$  with  $H^+$ ,  $H_2^+$  and  $H_3^+$ . When available, cross sections are preferred to rate coefficients, which gives YACORA the ability to perform calculations with non-Maxwellian EEDF. The reported analysis was performed assuming a Maxwellian EEDF. YACORA is able to include effects due to opacity, but these are neglected in the current analysis since they are expected to be negligible at

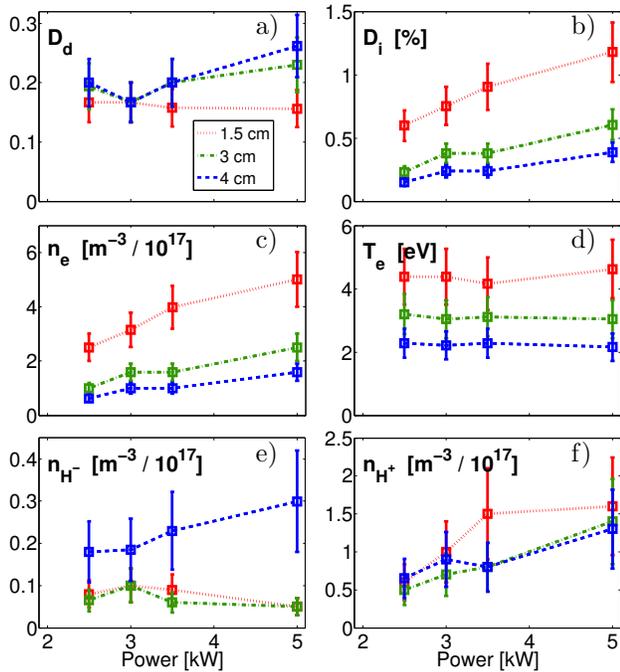


**Figure 8.** Profiles of a) dissociation degree  $D_d$ , b) ionisation degree  $D_i$ , c)  $H^+$  density and d)  $H^-$  density estimated by YACORA for input power 3 kW in hydrogen.



**Figure 9.** Comparison of experimental a)  $n_e$  and b)  $T_e$  profiles (LP) with YACORA convergence values for input power 3 kW in hydrogen.

the low plasma density of these experiments [23]. The densities of the 6 species  $H$ ,  $H^-$ ,  $H_2$ ,  $H^+$ ,  $H_2^+$  and  $H_3^+$  are varied independently, together with the electron density  $n_e$  and temperature  $T_e$ , for each code run that is then compared with the measured emissivity, with a trial and error procedure. When the deviation from the experimental value is lower than 10% a match is determined. The use of  $H_2^+$  and  $H^-$  is mandatory to reach convergence. This implies that other, simpler, OES analysis methods, that neglect some of the species considered by YACORA, could lead to erroneous estimations if the excluded channels are important [24]. In these plasmas, the mutual neutralisation channel of  $H^+$  is fundamental in the analysis while the  $H^+$  recombination channel is essentially irrelevant. Uncertainties of 20% are assigned to the electron density and temperature accordingly to results from code validation in similar low pressure plasmas [22]. The uncertainty on the



**Figure 10.** Results of YACORA analysis for power scans in hydrogen  $H_2$  at radial positions 1.5, 3, and 4 cm, as function of input power. a) dissociation degree  $D_d$ , b) ionisation degree  $D_i$ , c)  $n_e$ , d)  $T_e$ , e)  $n_{H^-}$  and f)  $n_{H^+}$ .

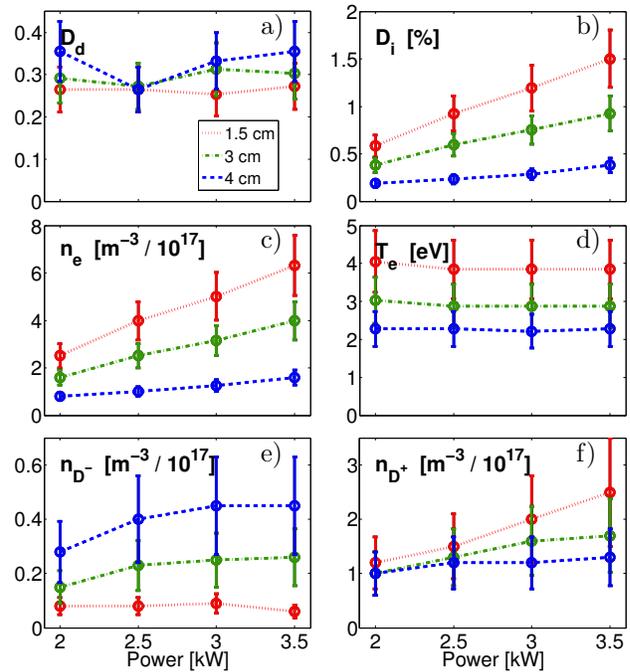
density ratio of atoms to molecules is given by the uncertainty of the corresponding emissivities, as the ratio of the rate coefficients is insensitive to  $T_e$  and  $n_e$ . For this reason an upper limit for uncertainty of 20% is chosen. The uncertainty for the other species ( $H^-$ ,  $H^+$ ,  $H_2^+$  and  $H_3^+$ ) depends strongly on the contribution of the corresponding process to the Balmer line radiation. In this case an estimated upper limit to the uncertainty of 40% is applied. For this paper, the procedure was time consuming and was performed manually; so only a reduced set of three radial positions (at 1.5, 3 and 4 cm) was considered for analysis. These positions were chosen from the results of the 3 kW RF power in hydrogen, which was the only case for which the full profile was completed, as detailed below.

Figure 8 shows the dissociation degree, the ionisation degree and the  $H^+$  and  $H^-$  density profiles estimated by YACORA for this latter case. The dissociation degree  $D_d$  is defined as

$$D_d = \frac{f}{f+2} \quad (8)$$

with  $f$  the ratio of atomic to molecular density and is approximately constant for radii smaller than 5 cm, with values larger than 15%. The ionisation degree  $D_i$ , defined as

$$D_i = \frac{n_e}{n_H + n_{H_2}}, \quad (9)$$



**Figure 11.** Results of YACORA analysis for power scans in deuterium  $D_2$  at radial positions 1.5, 3, and 4 cm, as function of input power. a) dissociation degree  $D_d$ , b) ionisation degree  $D_i$ , c)  $n_e$ , d)  $T_e$ , e)  $n_{D^-}$  and f)  $n_{D^+}$ .

is peaked in the core region,  $r \leq 1.5$  cm, where it almost reaches 1%. It decreases linearly with radius by one order of magnitude at 4.5 cm, remaining constant at larger radii. The  $H^+$  density is strongly peaked on axis, for  $r < 1$  cm, while the negative ion density has a peak in the edge region, between 4 and 5 cm. The radial position 1.5 cm is representative of the core region, the 3 cm point is a transition region for both  $n_{H^+}$  and  $n_{H^-}$  and the final position at 4 cm is where the negative ion density peaks. The  $n_e$  and  $T_e$  profiles determined via YACORA are compared in Fig. 9 with experimental profiles, measured with an RF-compensated Langmuir probe (LP), by integrating the EEDF. A voltage sweep of [-60, +35] V was used in order to characterize the I-V curve. The  $T_e$  profile is in agreement but there remains a discrepancy of about a factor 2 in the  $n_e$  profile in the core region that is still under investigation. Nevertheless the hollow electron density profile measured by the LP probe is in agreement with the hollow emissivity profiles. The gas translational and vibrational temperatures are estimated from Boltzmann plots of the Fulcher lines [25] and are respectively  $T_{tran} = 900 \pm 200$  K and  $T_{vib} \geq 6000 \pm 1000$  K for the first 5 vibrational levels in the ground state. These values are found not to depend upon input power and remain constant at all radii.

Figures 10 and 11 show the results of the analysis for hydrogen and deuterium respectively, at the three radial positions considered, as a function of the input power, clearly displaying the isotope effect. For the same conditions, the dissociation degree and the ion densities are larger for deuterium than for hydrogen, while electron temperatures are lower. Trends with power are generally similar for both isotopes. Both  $n_e$  and  $T_e$  are decreasing functions of the radius, as already seen in figure 9, but while  $n_e$  increases linearly with input power,  $T_e$  is almost insensitive at all positions. Being able to increase the plasma density without increasing the temperature is a typical feature of helicon sources, and it is highly desirable for a negative ion source for NB, as it would permit an easy tuning of the negative ion production rate. The dissociation degree slightly increases with power and with the radius, reaching 35% and 25% at 4 cm for deuterium and hydrogen, respectively. The weak dependence of the dissociation degree on position could be explained by the  $H$  mean free path, which is estimated to be of a few centimetres. The neutral hydrogen atoms are generated by dissociation in the core region, where  $T_e$  and  $n_e$  are high, and diffuse to larger radii. The flat neutral density profile is related to a relatively large mean free path compared to the observation volume. The positive ions  $H^+$  exhibit the same behaviour as  $n_e$ , but with lower values,  $H_2^+$  and  $H_3^+$  (not shown) ensuring quasi-neutrality. The negative ion density is relatively low and insensitive to the input power inside the plasma column, radius  $< 3$  cm, where the relatively high  $T_e$  reduces stable  $H^-$  formation and lifetime. At the edge of the plasma column, for  $r \geq 4$  cm, the negative ion density attains high values of strong interest for a negative source development. The trend at 4 cm is different between the two isotopes (see figures 10-(e) and 11-(e)). For hydrogen, the negative ion density increases linearly with power, reaching  $3 \cdot 10^{16} \text{ m}^{-3}$  at 5 kW, while for deuterium it saturates to  $4.5 \cdot 10^{16} \text{ m}^{-3}$  at 3 kW, with a similar trend, but lower values, at 3 cm.

## 5. Discussion

The results shown above are extremely encouraging for the application of the helicon antenna as negative ion source in the Cybele configuration, which exploits an extremely elongated extraction geometry. The dissociation degree  $D_d$  reaches relevant values for surface production at all the powers of the scan. The ionisation degree  $D_i$ , which directly determines the ability to extract negative ions from a source, is a limiting factor at these input powers. However the application of this plasma source for surface production is still feasible (in both  $H_2$  and  $D_2$ ) if the  $D_i$  linear

increase is maintained at higher powers.

The scan in power, for this paper, was interrupted at 5 kW following damage assessment on the machine during hydrogen operation. Furthermore, the antenna matching box was not compatible with higher powers. A new matching box and improvements on the chamber cooling system were designed and are now in commissioning phase, to extend the antenna exploitation to its full potential.

A first analysis of the possible application of this antenna as a volumetric source is presented. Direct extraction and acceleration of the negative ions surrounding the plasma column should be feasible, without the positive ion flux which is essential for surface production. The limiting factor in this case is the maximum attainable negative ion density and the ratio  $n_{H^-}/n_e$ , which is directly related to the co-extracted electron fraction. The negative ion density has contrasting trends for the two isotopes tested. In deuterium, it appears close to saturation while for hydrogen it retains a linear dependence so that extrapolation at higher powers remains unclear. The ratio of negative ions to electron density is likely to decrease with power, from the deduced values of about 0.3, whereas the required ratio should be higher than one. It is possible that this behaviour can be corrected by modifying the magnetic configuration and an extensive study will be necessary to assess this possibility.

## 6. Conclusions and outlook

OES measurements were performed in RAID to characterise the performance of a newly developed resonant network helicon antenna. Absolute emissivity profiles for the first three Balmer lines and the molecular diagonal Fulcher- $\alpha$  lines were computed by Abel-inverting multi-channel radiance profile measurements. The emissivities were interpreted using the collisional radiative code YACORA, which provided an estimated density for the 6 species  $H$ ,  $H^-$ ,  $H_2$ ,  $H^+$ ,  $H_2^+$  and  $H_3^+$ . The population trends with input power were initially studied at three different radial positions. The results obtained are promising for application of the antenna as a negative ion source if maintained and should extraction and acceleration in a beam source behave as expected on the beam test stand. The plasma is effectively confined in a column all along the length of RAID. On the device axis, a high plasma density  $n_e \geq 6 \cdot 10^{17} \text{ m}^{-3}$  is attained at low input power, with a relatively high electron temperature  $\simeq 5$  eV. At the edge of the plasma column,  $r \geq 4$  cm, where the electron density and temperature decrease to  $\simeq 1 \cdot 10^{17} \text{ m}^{-3}$  and  $\simeq 2$  eV respectively, high dissociation degree  $\geq 35$  % and high negative ion density  $\simeq 4.5 \cdot 10^{16}$

are obtained. It should be noted that these negative ion densities already reach values close to those near the extraction region of caesium negative sources [5]. Extrapolation of these results to a nominal antenna power of 10 kW remains complicated by the large gap between the upper power in deuterium and the observed isotope dependencies. A conservative linear extrapolation of  $n_e$  results in a density of  $2 \cdot 10^{18} \text{ m}^{-3}$  for deuterium, corresponding to an ionisation degree of 0.05. This would result in an increase in the negative ion yield with power in applications for surface production, even assuming that the negative ion density due to volumetric production and the dissociation degree saturate to the values at 3.5 kW input power. To reduce the extrapolation uncertainties, source performance at 10 kW will be verified with a new set of measurements after the commissioning of the chamber cooling system and installation of the new matching box are completed. Increasing the diagnostic array around this new source will allow us to harden these conclusions. In addition to refining the spectroscopic and Langmuir measurements, a photodetachment diagnostic is under development that can independently measure the negative ion density. A helicon antenna based system may provide the negative ion injectors, considered necessary for fusion reactor development, with a welcome alternative source.

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