

High-flux Hydrogen Irradiation-induced Cracking of Tungsten

Reproduced by Low-flux Plasma Exposure

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Abstract: Hydrogen-induced cracking (HiC) or blistering is a commonly observed feature in plasma-loaded material surfaces. HiC exhibits a strong dependence on the irradiation parameters, such as incident flux and fluence, particle energy, and sample temperature. However, the underlying physics of this process is still not understood. Focusing on HiC with intra-granular cavities in tungsten (W) exposed to deuterium (D) plasma, we apply a 1-dimensional flux-balance model and further propose the crucial role of the solute D distribution in the subsurface region for initiating HiC formation in plasma-loaded surfaces. Along this proposal, HiC features previously observed only under high-flux ($\sim 10^{24} \text{ D}\cdot\text{m}^{-2}\text{s}^{-1}$), elevated-temperature ($\sim 500 \text{ K}$) exposure conditions – the co-existence of protrusions with intra-granular cavities and small-sized, dome-shaped blisters with inter-granular cracking at the surfaces – were reproduced in our low-flux experiments ($\sim 10^{20} \text{ D}\cdot\text{m}^{-2}\text{s}^{-1}$) by loading W samples at low sample temperatures (230 K). The presence of protrusions in low-flux experiments is attributed to the comparable local solute D distribution in the corresponding blistering-relevant depth in both types of D plasma exposure. Applying the 1-dimensional flux-balance model in interpreting HiC formation in plasma-loaded surfaces, the present work allows us to further explore the underlying physics of HiC formation under well-defined experimental conditions.

PACS: 52.40.Hf; 52.77.Dq; 68.37.-d; 28.52.Fa;

Key words: Plasma-Surface Interaction; Hydrogen-induced Cracking; Deuterium; Tungsten; Solute distribution.

Published in:	Nuclear Fusion, 59 , 056023 (8pp) (2019).
doi:	10.1088/1741-4326/ab0915
Submitted:	15.01.2019
Accepted:	21.02.2019
Available online:	11.04.2019

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1. Introduction

Hydrogen-induced cracking (HiC) or blistering in metals, creating planar cavities (voids or cracks) along grain boundaries or crystallographic lattice planes [1] and often visible as blisters in the surface, is an important issue in many technological applications. In particular for thermonuclear fusion devices, HiC in plasma-facing materials (PFM) is known to affect H isotope retention, especially the radioactive fuel tritium is here of relevance [2]. Furthermore, it will lead to enhanced erosion and/or dust formation [3], and also degradation of the materials properties [4], resulting in great concerns with respect to safety and cost efficiency [5]. Tungsten (W) is presently the PFM foreseen for the divertor region of ITER [6], which triggered a lot of research work dedicated to H isotope retention in W. Generally speaking, the interaction of hydrogen with W and the consequences in terms of HiC morphologies and hydrogen retention are rather complex. Many aspects of this phenomenon are still poorly understood in spite of a huge amount of research work in the past (see Ref. [5, 7-9] and references therein). For example, it has been widely recognized that HiC features in plasma-loaded W surfaces depend strongly on exposure parameters, e.g., ion energy E_{ion} [10], ion flux $\Gamma_{incident}$ [11-13], hydrogen isotope fluence Φ [11, 14], and sample temperature T [15, 16], etc. However, a fundamental understanding of the underlying physics of this strong dependence on experimental parameters is still missing. Aiming at this, the task is to compare the results from experiments conducted in different devices, which is, however, rather complex to be fulfilled due to their vastly variable exposure conditions. Taking the particle flux $\Gamma_{incident}$ for HiC study as an example, it ranges from 10^{18} to $10^{24} \text{ m}^{-2}\text{s}^{-1}$ in the variety of different plasma or ion/atom-beam devices applied for studying HiC of W [11, 14, 17-22]. The extremely high particle flux ($\geq 10^{24} \text{ m}^{-2}\text{s}^{-1}$) is investigated because W materials in the divertor region will have to withstand similar intense bombardment from energetic hydrogen isotopes. The notable exceptions where W samples were actually exposed to particle fluxes in this range in laboratory experiments did show new blistering features [11, 20-22], which, however, are presently not fully understood. A typical drawback for many laboratory plasma devices is that while they allow experiments in a well-controlled environment, they cannot reach the extreme particle fluxes occurring in a divertor of a future fusion device. A similar dilemma applies also to other experimental parameters. Instead of comparing widely varying experimental results from different devices to achieve a physical understanding of HiC in W, an alternative is to reproduce the observed different blistering features in experiments from one identical device by a controlled variation of selected exposure parameters. This brings the intention of the present work: after deriving a hypothesis of the parameter dependence of HiC in plasma-exposed W based on a 1-dimensional flux-balance model [23, 24], we will provide experimental evidence supporting this hypothesis by reproducing in our experiments blistering features so far only observed in high-flux devices with vastly different loading conditions.

2. Theory

As a generally-accepted feature in most simulations [25-29] and experimental studies [1, 10-22], a relatively high local hydrogen concentration (higher than some critical value) is required to initiate HiC formation at potential defects under a certain loading condition. In other words, irrelevant of specific loading parameters, W material – provided the same material grade is used and the same mechanical properties apply – is supposed to show similar blistering features if the local solute hydrogen distributions in the relevant depth range are comparable. Therefore, crucial in reproducing HiC features at different loading conditions is to create a comparable solute D distribution in the blistering-relevant depth. Note that, since most metallic materials exhibit a ductile-to-brittle-transition temperature (DBTT), the mechanical properties of pristine W samples, even if they are from the same production batch, may differ significantly at different temperatures applied during plasma loading. To this end, the temperatures of W samples selected for comparing the HiC features after exposure in the present work are always below the reported DBTT of W material [30].

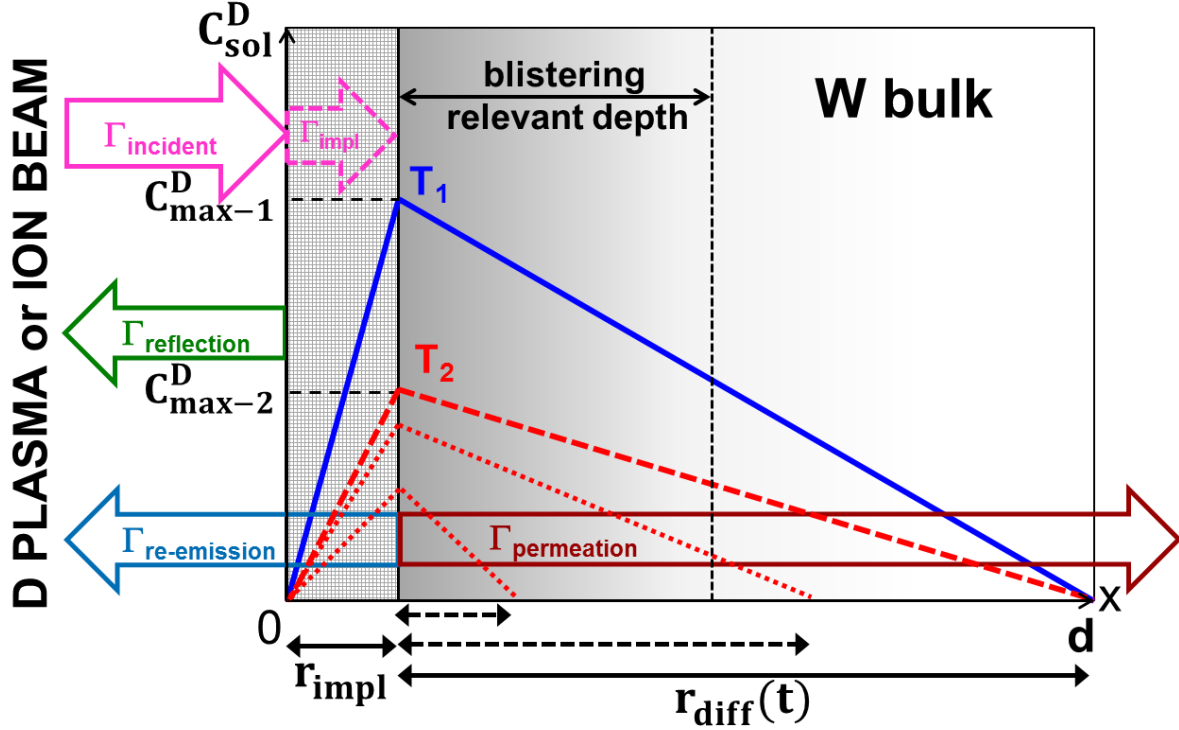


Fig. 1: Schematic of W sample exposed to D plasma. The horizontal axis stands for the depth in the sample while the vertical axis indicates the solute D concentration. The implantation depth (r_{impl}) is typically much smaller compared with the blistering-relevant depth (indicated by the vertical dashed line) and also far smaller than the sample thickness d . It is assumed that the solute hydrogen concentration is zero at the front and back surfaces (i.e., diffusion-limited boundary conditions) and reaches its maximum (C_{max}^D) at the implantation depth (r_{impl}). The fluxes shown in the scheme are balanced at steady state: $\Gamma_{incident} - \Gamma_{reflection} = \Gamma_{reemission} + \Gamma_{permeation}$ and thereby building up a linear distribution of the solute hydrogen (shown by solid line at T_1 and dashed line at T_2 ($T_1 < T_2$)). The dotted lines represent the evolution of the solute hydrogen profile at the start of implantation.

Let us now consider an analytic model [23, 24] sketched in Fig. 1: It shows a schematic representation of the solute concentration profile as a function of depth in W samples during deuterium (D) irradiation. d is the sample thickness and r_{impl} the mean implantation depth. D ions are implanted into the sample and come to rest at r_{impl} . From there they diffuse to both sides and give – in steady state – rise to a linear D concentration profile (e.g., the solid line at temperature T_1 and the dashed line at T_2 ($T_1 < T_2$)) decaying from r_{impl} to both surfaces according to Fick's first law. The concentrations at both surfaces are zero if assuming diffusion-limited boundary conditions. Based on Ref. [23, 24] and our own experience, this assumption is often justified for hydrogen plasma exposure of W. Since $r_{impl} \ll d$, the built-up of the linear profile (e.g., shown by the dotted lines) from r_{impl} to the implantation surface is normally much faster than that to the rear surface. The D diffusion front propagates into the sample depth with a so-called 'absorbing boundary' [24] due to trapping by defects in the material until all the trap sites are filled in the corresponding depth. Note that a possible evolution of defects during D implantation is not taken into account. In steady state all fluxes through the whole sample thickness are balanced: $\Gamma_{incident} - \Gamma_{reflection} = \Gamma_{reemission} + \Gamma_{permeation}$. Both the maximum solute D concentration (C_{max}^D) at r_{impl} and

the concentration gradients depend strongly on the diffusion coefficient determined by the sample temperature. A higher temperature will enhance diffusion thereby reducing C_{\max}^D and the concentration gradients to the surfaces. Additionally, C_{\max}^D depends on r_{impl} determined by the impinging ion energy since a larger r_{impl} will reduce the out-diffusion to the nearby implantation surface. Furthermore, particles with higher impinging energies will have lower reflection yields, which will in turn increase Γ_{impl} for a given Γ_{incident} (i.e., $\Gamma_{\text{impl}} = \Gamma_{\text{incident}} - \Gamma_{\text{reflection}}$). For realistic experimental conditions we have $r_{\text{impl}} \ll d$ and in turn $\Gamma_{\text{reemission}} \gg \Gamma_{\text{permeation}}$, which means $\Gamma_{\text{reemission}} \approx \Gamma_{\text{impl}}$ this leads to an expression for C_{\max}^D at steady-state:

$$C_{\max}^D = \frac{r_{\text{impl}} \times \Gamma_{\text{reemission}}}{\rho_W \times D(T)} \quad (\text{Eq.1})$$

where ρ_W is the atomic density of W and $D(T)$ the diffusion coefficient at temperature T . For exposures with the same ion energy, both r_{impl} , and the reflection yield, are constant, and as a consequence, C_{\max}^D remains a function of Γ_{incident} and T . By adjusting T , one should be able to achieve comparable C_{\max}^D and in turn comparable solute D distributions in the near-surface region even with very different Γ_{incident} . Therefore, a way to reproduce HiC in W observed in high-flux plasma exposures at elevated temperatures in a low-flux experiment is to work at low temperatures. Following this idea, in the present work, we exposed W samples in a low-flux plasma device ($\sim 10^{20}$ D/m²·s) at very low sample temperature (230 K) and successfully reproduced HiC features previously only found after high-flux ($\sim 10^{24}$ D/m²·s) plasma exposures at elevated temperatures [11-14].

3. Experimental

Poly-crystalline, hot-rolled W samples ($15 \times 12 \times 0.8$ mm³, 99.97 wt. % purity, Plansee SE, Austria), with grain sizes of the order of 1 μm [31], were chemo-mechanically polished to a mirror-like finish and annealed in vacuum at 1200 K for 2 hours. D implantations were performed in the quantified plasma source ‘PlaQ’ [32] delivering primarily D_3^+ ions (94%) with minor contributions of D_2^+ (3 %) and D^+ (3 %). The applied ion bombardment energy of ~ 115 eV corresponds to a mean energy of 38 eV per D for the dominant ion species D_3^+ , which is chosen to be identical to the deuteron energy used in the high-flux experiments [11] for comparison. The used D flux was 1×10^{20} D·m⁻²·s⁻¹ and the accumulated D fluence was 6×10^{24} D·m⁻². The samples were mounted with screws on a tungsten-coated copper holder. The biased sample holder is electrically isolated from grounded cooling structure using an aluminium nitride disc, which has a very high heat conductivity of 180 ± 10 Wm⁻¹K⁻¹. Temperature control is achieved by two external fluid thermostats connected to two separate cooling channels. The separate cooling channels allow access to the combined temperature range covered by the thermostats without replacing the heat transfer medium first. Both thermostats are feedback-controlled by a thermocouple pressed against the sample holder from the back side. A closed-circuit thermostat with high-temperature oil as the heat transfer medium is used for temperatures between about 320 and 600 K. A low-temperature thermostat using ethanol as the heat transfer medium is technically able to produce temperatures down to 180 K and can be used up to about 310 K for safety reasons. It was used for the work presented here to maintain a sample temperature of 230 ± 5 K during plasma exposure. Morphological modifications at the surface and in the sub-surface of the samples after D exposure were investigated by scanning electron microscopy (SEM) assisted by focused ion beam (FIB) cross-sectioning.

4. Results and discussion

Typical HiC features observed in W surfaces exposed to high-flux D plasmas are the co-existence of the ‘classical’ dome-shaped blisters with cavities at grain boundaries [11-14] and the ‘special’ irregular-shaped protrusions [11] with in-grain cavities (see Figs. 2 & 5 in Ref. [11] and Figs. 1 & 2 in Ref. [14]). So far such protrusions have not been observed in W at comparable depth (i.e., ~200 nm) after low-flux exposure at temperatures of 300 K [18] or higher [19, 33] with otherwise comparable exposure parameters. Following the hypothesis above that the local solute D distribution determines if HiC will occur or not, we propose that the required local solute D distribution for the formation of protrusions is too high to be reached in the relevant depth in low-flux plasma exposure at temperatures above 300 K.

Fig. 2 shows the surface modification and sub-surface cracking features of HiC in W after low-flux exposure at 230 K with a fluence of 6×10^{24} D/m². An overview image in Fig. 2a and details of features probed by scanning electron microscope (SEM) (Figs. 2b-f) are compiled. A careful comparison shows that almost identical blistering features as found on the high-flux exposed W surfaces [11-14] are produced here by low-flux exposure at 230 K. Some small-sized, dome-shaped blisters with diameters up to 5 μ m (Fig. 2c) and a lot of small, irregular-shaped nanostructures (< 300 nm) formed within individual grains become visible at higher magnification (Figs. 2b & c). Both types of blistering features are in areal density and size distribution very similar to those found for the high-flux exposure (see, e.g., Fig. 5 in Ref. [11] and Fig. 2 in Ref. [14]). Further investigations with FIB cross-sectioning (Figs. 2 d-f) also show almost identical cracking features: all the protrusions investigated here show intra-granular cracking and the corresponding cavities are at comparable depths within isolated grains. Note that the W material grades used in both experiments might slightly differ. However, because both W samples were produced by the same company and in both experiments the sample temperatures are below the reported DBTT for W [30], it is reasonable to assume comparable mechanical properties with respect to blistering formation at these two conditions. The incident ion flux reported in Ref. [11] is more than 4 orders of magnitude higher ($\sim 10^{24}$ D·m⁻²s⁻¹) than the D flux used in the experiment described here and the sample temperature was ~500 K [11]. Using Frauenfelder’s diffusion coefficient of hydrogen in W [34], i.e., $D(T) = D_0 \cdot \exp[-0.39 / (k_B T)]$ with $D_0 = 2.9 \times 10^{-7}$ m²s⁻¹ (Frauenfelder’s value for H divided by $\sqrt{2}$), yields a diffusion coefficient which is more than 4 orders of magnitude lower at 230 K than at 500 K ($D_{230\text{ K}} = 8.47 \times 10^{-16}$ m²/s and $D_{500\text{ K}} = 3.48 \times 10^{-11}$ m²/s, respectively). The reflection yield is estimated (~0.70 for deuteron with 38 eV on W surfaces) based on Eckstein’s calculation for light projectiles [35]. Γ_{incident} in Ref. [11] is $\sim 2 \times 10^{24}$ D·m⁻²s⁻¹ while the flux used in this work is $\sim 1 \times 10^{19}$ D·m⁻²s⁻¹ [32]. As a result, a roughly 4-times higher C_{max}^D was achieved in the present low-flux experiments (1.4×10^{-3} D/W) compared with that in the high-flux experiments (3.5×10^{-4} D/W), which finally gives rise to a similar solute D distribution in the blistering-relevant depth and accordingly to similar blistering features. Note that, Fig. 1 is not drawn to scale but rather for illustration. E.g., depending on the exposure conditions, the diffusion length is often much larger than the implantation depth and the blister-relevant depth.

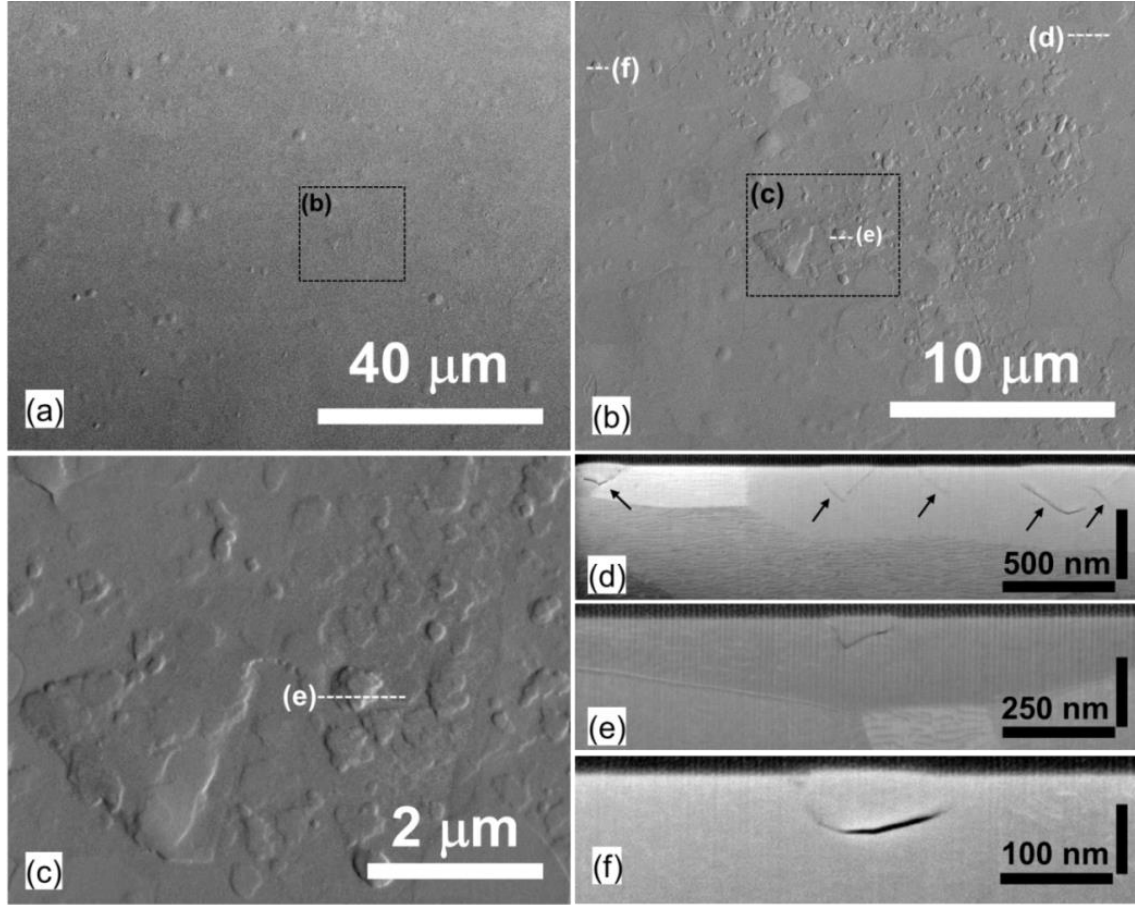


Fig. 2: Surface morphology of W samples exposed to low-flux D plasma at temperature of 230 K: a)-c) are overview of the surface morphology in different magnification. d)-f) are detailed cross-section images to show the protrusions with intra-granular cracks, some of which are indicated by the arrows in (d).

As a reasonable assumption, we take the deepest point of the cavity as the initiation point of the crack formation. All the presently observed in-grain cracks are initiated in depths (> 100 nm) much larger than the implantation depth (a few nm at 38 eV per D). Such a fact validates the assumption that blistering is not caused due to direct implantation of D, i.e. at r_{impl} , but due to D diffusion and oversaturation in the relevant depth. This is actually the basic assumption to apply the 1-dimensional flux-balance model for interpreting HiC formation, i.e., using the here-derived C_{max}^D to describe the local solute D distribution responsible for the blistering features. It should be pointed out that the linear concentration profile drawn in Fig. 1 is the upper limit of the solute D concentration in steady state. Realistically, this steady state could be very difficult to reach especially for very low temperatures as presented here, so the actual solute D concentration should be lower at a certain depth. Nevertheless, this will not hamper our attempt to take C_{max}^D as a good empirical criterion to judge if HiC with a certain feature (i.e., intra-granular cavities for the present work) forms during plasma exposure. It should be further pointed out that the correlation between the depth of crack nucleation and C_{max}^D requires additional information on the material properties (e.g., defect distribution and their interaction with the solute hydrogen). This is beyond the scope of this work and will hopefully be clarified in the near future.

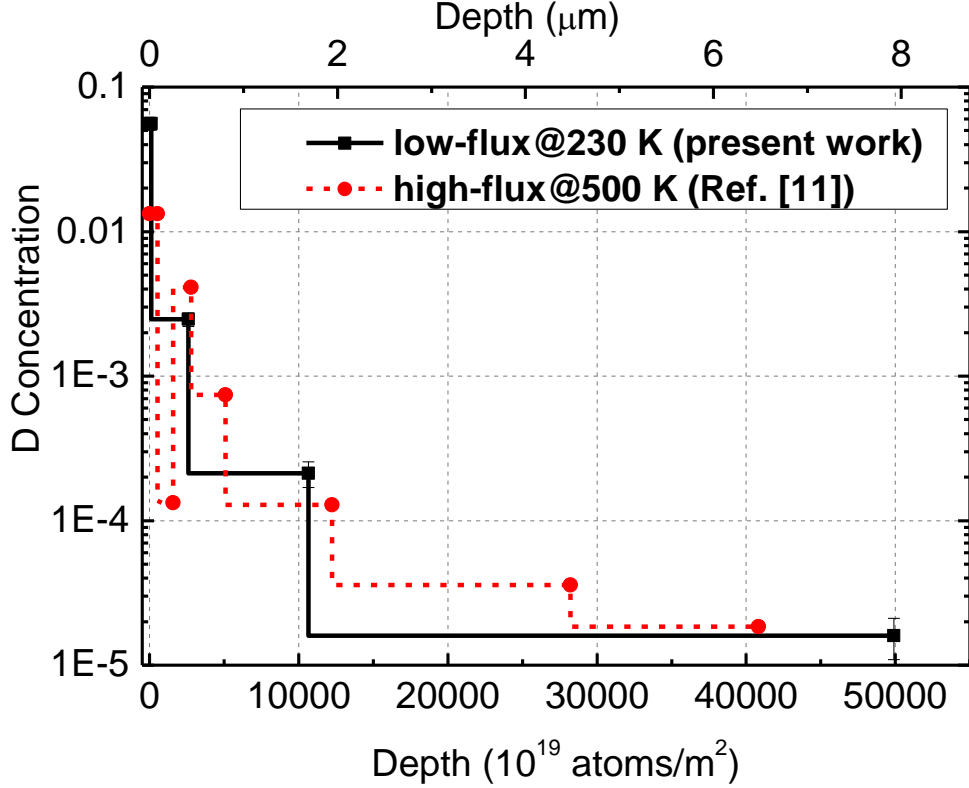


Fig. 3: D depth profiles in W samples exposed to low-flux D plasma at temperature of 230 K (solid line) and high-flux experiments at 500 K (dashed line). The high-flux data is re-drawn from Ref. [11], where the applied D fluence ($10^{26} \text{ D}\cdot\text{m}^{-2}$) is 2 orders of magnitude higher than that of the low-flux exposure from the present work ($6\times 10^{24} \text{ D}\cdot\text{m}^{-2}$)

The depth profile of D retained in the low-flux-exposed W sample measured after loading is compared with that from high-flux exposure [11], as shown in Fig. 3. Considering, on the first glance, the very different experimental conditions, the two depth profiles in Fig. 3 are strikingly similar. However, as mentioned above, we specifically compare these experiments because they are characterized by similar C_{max}^D values. We regard this as further evidence that the local solute concentration profile, which we describe by C_{max}^D , is indeed a key value for comparing plasma exposure experiments. It should be mentioned that the applied D fluence ($10^{26} \text{ D}\cdot\text{m}^{-2}$) is more than one order of magnitude higher in Ref. [11] than in the low-flux exposure of the present work ($6\times 10^{24} \text{ D}\cdot\text{m}^{-2}$). The observation that the D retention depth profiles for these two cases are comparable may indicate that they are influenced by blisters and that the blisters have formed already at relatively low fluence. It has been shown earlier that blisters or protrusions will enhance reemission of D after implantation and in turn reduce the diffusion flux into larger depth [36, 37]. This means the additional D fluence after the formation of such blistering features will not significantly modify the D retention profile beyond the cavities.

In addition, knowing that W samples exposed to identical conditions as applied in the here presented experiments but at 300 K do not show protrusions [11, 18], we determined the critical temperature for initiating protrusions by varying the temperature from 230 K to 300 K with a fixed ion energy (i.e., 38 eV per D). The D fluence for determining the critical temperature for the appearance of protrusions is set to $6\times 10^{22} \text{ D}/\text{m}^2$. This low fluence is already sufficient to distinguish whether protrusions appear. SEM imaging of each sample after implantation was performed to check if protrusions appear or not. It is confirmed that no protrusion appear for temperatures higher than $280 \pm 5 \text{ K}$. Taking Eq. 1 and also the

required constants from Ref. [34, 35], we arrive at a C_{max}^D of 1.0×10^{-4} D/W, which is a factor of 3 lower than the C_{max}^D value reached in Ref. [11]. Extrapolating this critical C_{max}^D determined from the present low-flux experiments to high-flux exposure yields a higher temperature limit (i.e., higher than 500 K), above which protrusions do not appear on W surfaces under comparable exposure condition as in Ref. [11], which is roughly 700 K. From literature [15, 38, 39], where the used W materials were all from PLANSEE, we indeed find that no protrusions are found in W after irradiation at a temperature above 710 K with similar flux as in [11], which fits to our prediction quite nicely. It should be noted that this high temperature threshold already exceeds the DBTT and accordingly modifies the mechanical properties of W. Within the present framework, we cannot predict in how far this change will affect HiC formation during D exposure.

One may argue that the gas pressure in equilibrium p_{eq} with D in solution should be the driving force for bubble growth by hydrogen precipitation [40, 41]. Due to the large endothermic enthalpy of solution for H in tungsten (1.04 eV/atom [34]), p_{eq} is much higher at low temperature than at high temperature for a given concentration of D in solution. If pressure alone would be the dominating factor then a significant difference in p_{eq} between blistering and non-blistering conditions would be expected. To test our proposal that C_{max}^D is crucial for determining if protrusions occur in W surfaces after D plasma loading, we made a broad survey in literature about the occurrence of similar HiC features (i.e., intra-granular cracking) in comparable polycrystalline W material grades. We calculate all the C_{max}^D and the corresponding p_{eq} for different flux-temperature combinations from literature as well as from the present work, as shown in Figs. 4 and 5, respectively.

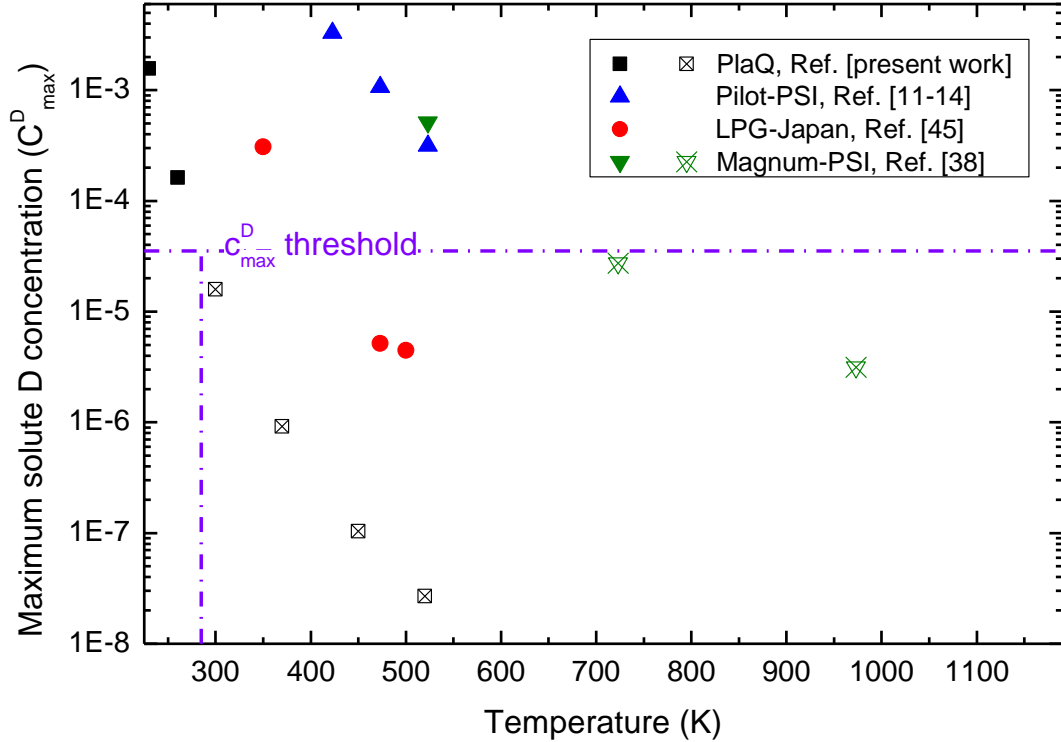


Fig. 4: The maximum solute D concentration C_{max}^D under different flux-temperature combinations from different devices collected from literature to determine the occurrence of protrusions (i.e., intra-granular cracking) in plasma-loaded W materials. Filled symbols correspond to protrusions occurring and open symbols means conditions without protrusions occurring. The dash-dotted line indicates the critical C_{max}^D extrapolated from low-flux plasma devices (i.e., 285 K in PlaQ) in the present work. Most of the W materials selected for comparison are polycrystalline W from PLANSEE, only the symbols shown as down triangles (i.e., Magnum-PSI) are for polycrystalline W from A.L.M.T. Corp., Japan.

As one can see in Fig.4, it seems that the whole dataset can be distinguished in terms of protrusions occurrence by the critical C_{max}^D determined in the present work (i.e., the dash-dotted line), except for the two data set shown as red circles. Two data points should according to our threshold not to show blistering. They are actually from exactly the same material as for the present work but exposed to much higher fluence in LPG device [42]. This may indicate an additional effect of the exposure fluence on the occurrence of protrusions, particularly near the threshold conditions. We will address this issue in a future experiment. It should be stressed that for the comparison in Fig. 4, we only selected literature data where the used W material is comparable to that in our study, and the protrusion features are very similar to those in our experiments and in [11]. As illustrated in, e.g. [19], the response of W during plasma loading depends also strongly on material grades. Indeed, some literature results do not follow the proposed threshold behavior (e.g., [43, 44]), but these were obtained using different W grades and/or showed different surface features. We, therefore, plan to extend our investigations also to other W grades and possibly other metals in the future.

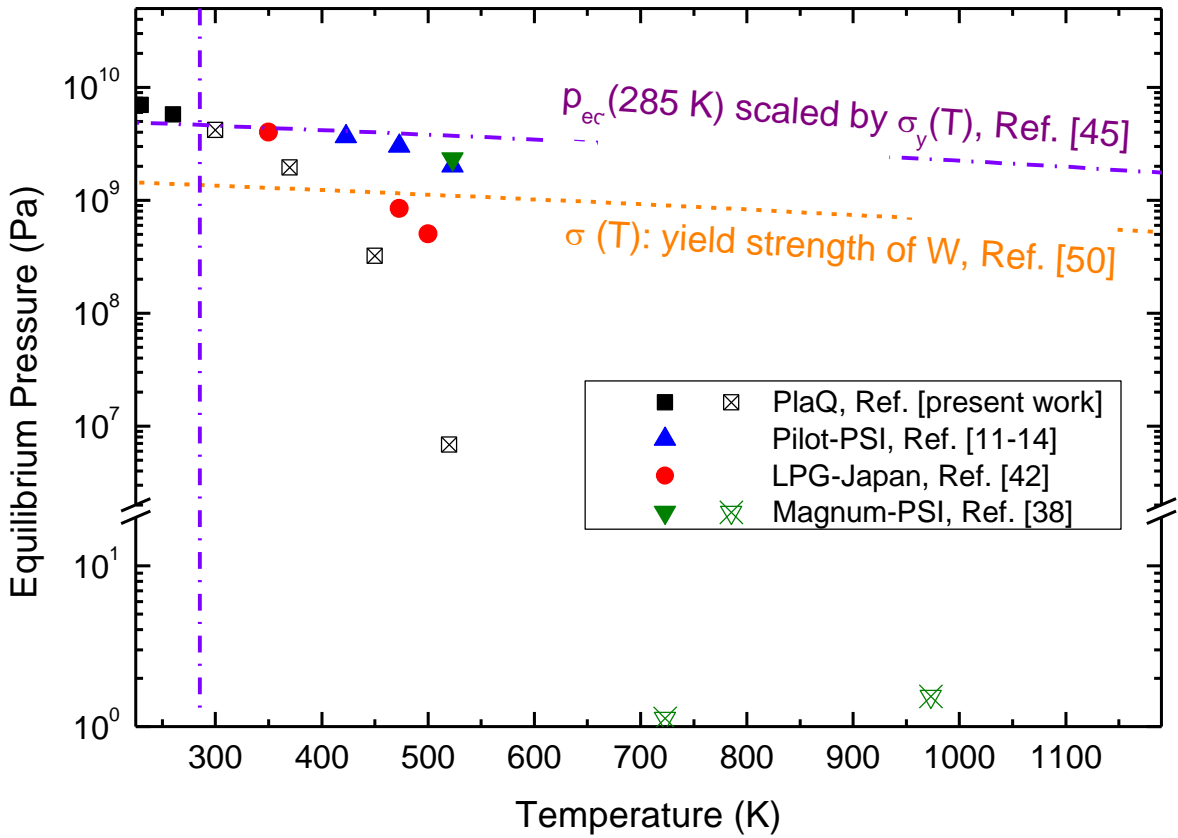


Fig. 5: The corresponding equilibrium pressure p_{eq} calculated based on Eq.(8) from Ref. [45] for all the C_{max}^D and temperatures in Fig.4. Filled symbols correspond to protrusions occurring and open symbols means conditions without protrusions occurring. The dashed line indicates the yield strength of stress-relieved W σ_y as a function of temperature [50]. Taken the same scaling factor as for σ_y , for a possible temperature dependence of the critical p_{eq} the dash-dotted line represents the scaled p_{eq} calculated based on the critical C_{max}^D acquired from low-flux plasma devices (i.e., 285 K in PlaQ) in the present work. Except for the data set from the present work, all other data sets do not follow if we take p_{eq} to judge the occurrence of blistering. Most of the selected W materials for comparison are polycrystalline W from PLANSEE, only the symbols in down triangles (i.e., Magnum-PSI data points) are for polycrystalline W from A.L.M.T. Corp., Japan [39].

Similar comparisons on the equilibrium pressure p_{eq} for the same dataset to judge if protrusions occur or not are shown in Fig.5. The p_{eq} is calculated based on the extrapolation of the presently-determined C_{max}^D threshold but following the equation provided in Ref. [45] (Eq. 8). While our empirical criterion for protrusion occurrence with respect to C_{max}^D in Fig. 4 has some limitations with respect to the material grade, it nevertheless appears to be a better threshold condition than p_{eq} in Fig. 5 in the context of the present work. By this, we would rather rule out that the deciding process for the occurrence of the observed HiC features is bubble growth due to pressure [41, 42]. Instead, we propose that the important step is actually the nucleation of the bubbles. According to our findings, these processes should be closely related to the solute D concentration. Possible candidates would be superabundant vacancy formation [46, 47], which could facilitate the nucleation of vacancy clusters, or hydrogen-enhanced de-cohesion [48, 49 and references therein], which would facilitate HiC nucleation at crystal defects. These processes are affected by the material microstructure: presence of grain boundaries, vacancy clusters and dislocations, either intrinsic or from radiation damage. This is in line with the finding that the critical solute concentration for HiC is different for different W material grades.

5. Conclusion

In summary, the solute D distribution in the near surface region is proposed to play a crucial role on blister formation in plasma-exposed or ion-irradiated surfaces. This proposal is corroborated by qualitative conclusions drawn from a 1-dimensional flux-balance model. By working at low-temperature (230 K), we reproduce in low-flux experiments the near-surface solute D concentration profile and in turn W blistering features with intra-granular cavities observed otherwise only after high-flux exposures. This work provides a basic framework to understand the underlying physics of HiC formation in plasma-loaded surfaces, i.e., taking C_{max}^D as an empirical criterion to decide which HiC morphologies could be expected for the chosen W grades. Applying this framework allows, on the one hand, a comparison of experiments performed under vastly varying experimental conditions, and, on the other hand, enables further studies by producing different W blistering features in laboratory plasma device with high reproducibility and good control of the relevant parameters.

Acknowledgements

We are grateful to Gabriele Matern for help on sample preparation. Thanks are further due to Michael Fußeder and Joachim Dorner for help with the NRA measurements. This work has been carried out within the framework of the EUROfusion Consortium and has received funding from the Euratom research and training program 2014-2018 and 2019-2020 under grant agreement No 633053. Work performed under EUROfusion WP PFC. The views and opinions expressed herein do not necessarily reflect those of the European Commission.

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