

# ON THE IRREDUCIBLE COMPONENTS OF MODULI SCHEMES FOR AFFINE SPHERICAL VARIETIES

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ABSTRACT. We give a combinatorial description of all affine spherical varieties with prescribed weight monoid  $\Gamma$ . As an application, we obtain a characterization of the irreducible components of Alexeev and Brion's moduli scheme  $M_\Gamma$  for such varieties. Moreover, we find several sufficient conditions for  $M_\Gamma$  to be irreducible and exhibit several examples where  $M_\Gamma$  is reducible. Finally, we provide examples of non-reduced  $M_\Gamma$ .

## 1. INTRODUCTION

Throughout this paper, we work over an algebraically closed field  $\mathbb{k}$  of characteristic 0.

Let  $G$  be a connected reductive algebraic group. Fix a Borel subgroup  $B$  of  $G$  along with a maximal torus  $T$  in  $B$  and denote the related set of dominant weights by  $\Lambda^+$ .

Given a  $G$ -variety, that is, an algebraic variety  $X$  equipped with a regular action of  $G$ , the action of  $G$  on  $X$  naturally induces a  $G$ -module structure on the algebra  $\mathbb{k}[X]$  of regular functions on  $X$ . When  $X$  is irreducible, the highest weights of  $\mathbb{k}[X]$  form a monoid  $\Gamma_X$  called the *weight monoid* of  $X$ . If furthermore  $X$  is affine, its weight monoid is finitely generated.

An affine  $G$ -variety  $X$  is said to be *multiplicity-free* if  $X$  is irreducible and the  $G$ -module  $\mathbb{k}[X]$  contains every simple  $G$ -module with multiplicity at most 1. In this case, the  $G$ -module structure of  $\mathbb{k}[X]$  is completely determined by the weight monoid of  $X$  and the decomposition of  $\mathbb{k}[X]$  into simple  $G$ -modules reads as

$$(1.1) \quad \mathbb{k}[X] = \bigoplus_{\lambda \in \Gamma_X} \mathbb{k}[X]_\lambda,$$

where  $\mathbb{k}[X]_\lambda$  stands for the simple  $G$ -submodule of  $\mathbb{k}[X]$  with highest weight  $\lambda$ .

According to a result of Vinberg and Kimelfeld [VK78], an irreducible affine  $G$ -variety is multiplicity-free if and only if it contains an open  $B$ -orbit. Normal irreducible (not necessarily affine)  $G$ -varieties containing an open  $B$ -orbit are said to be *spherical*. In particular, an irreducible affine  $G$ -variety is spherical if and only if it is multiplicity-free and normal. For a multiplicity-free affine  $G$ -variety  $X$ , the property of being normal (and hence spherical) can be read off from its weight monoid:  $X$  is normal if and only if  $\Gamma_X$  is *saturated*, that is, equals the intersection of a lattice with a cone.

Given any finitely generated monoid  $\Gamma \subset \Lambda^+$ , there exists a multiplicity-free affine  $G$ -variety  $X_0$  with weight monoid  $\Gamma$  for which the decomposition (1.1) is a grading, that is,  $\mathbb{k}[X_0]_\lambda \mathbb{k}[X_0]_\mu = \mathbb{k}[X_0]_{\lambda+\mu}$  for all  $\lambda, \mu \in \Gamma$ . As shown by Popov in [Po86], the  $G$ -variety  $X_0$  is a common  $G$ -equivariant degeneration of all multiplicity-free affine  $G$ -varieties with weight monoid  $\Gamma$ .

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For a general multiplicity-free affine  $G$ -variety  $X$ , the deviation of the decomposition (1.1) from being a grading is measured by the *tail cone* of  $X$ . This is the rational convex cone spanned by all expressions  $\lambda + \mu - \nu$  such that  $\lambda, \mu, \nu \in \Gamma_X$  and  $\mathbb{k}[X]_\lambda \mathbb{k}[X]_\mu \supset \mathbb{k}[X]_\nu$ . An invariant of importance to us is the set of *spherical roots* of  $X$ , which by definition are the primitive elements of the lattice spanned by  $\Gamma_X$  lying on extremal rays of the tail cone of  $X$ . It is known that each spherical root of  $X$  is an element of a finite set  $\Sigma(G)$  depending only on the group  $G$ ; see §5.3 for a description of  $\Sigma(G)$ .

Losev proved in [Lo09b] that, up to a  $G$ -equivariant isomorphism, every affine spherical  $G$ -variety is uniquely determined by its weight monoid along with its set of spherical roots. In [ACF15], this result was recovered by a different method and extended to arbitrary multiplicity-free affine  $G$ -varieties. It is therefore a natural problem to classify all multiplicity-free affine  $G$ -varieties with a prescribed weight monoid by determining all sets arising as sets of spherical roots of such varieties.

In this paper, we solve the above-mentioned problem in the case of affine spherical  $G$ -varieties. More precisely, for any given finitely generated and saturated monoid  $\Gamma \subset \Lambda^+$ , we determine all possible sets  $\Sigma$  (we call them *admissible*) such that there exists an affine spherical  $G$ -variety with weight monoid  $\Gamma$  and set of spherical roots  $\Sigma$  (see Theorem 6.9). Our description is derived from the combinatorial classification of (not necessarily affine) spherical  $G$ -varieties established jointly in [LV83, Kn91, Lu01, Lo09a, BP14, Cu14].<sup>1</sup> It appears that admissible sets are characterized by a number of combinatorial conditions, which can be easily checked in every concrete example.

From our description of the affine spherical  $G$ -varieties with prescribed weight monoid  $\Gamma$ , we derive a combinatorial characterization of the irreducible components of the moduli scheme  $M_\Gamma$  for these varieties that was constructed by Alexeev and Brion in [AB05]. According to loc. cit., for every finitely generated monoid  $\Gamma \subset \Lambda^+$  (not necessarily saturated),  $M_\Gamma$  is an affine scheme of finite type equipped with an action of the adjoint torus  $T_{\text{ad}}$  (the quotient of  $T$  by the center of  $G$ ) in such a way that  $T_{\text{ad}}$ -orbits in  $M_\Gamma$  are in bijection with  $G$ -isomorphism classes of multiplicity-free affine  $G$ -varieties with weight monoid  $\Gamma$ . Moreover, the variety  $X_0$  may be regarded as the unique  $T_{\text{ad}}$ -fixed closed point of  $M_\Gamma$ . It was also proved in [AB05] (and recovered in [ACF15]) that  $M_\Gamma$  contains only finitely many  $T_{\text{ad}}$ -orbits, so that there are only finitely many multiplicity-free affine  $G$ -varieties with any given weight monoid.

When  $\Gamma$  is saturated, we show that the irreducible components of the moduli scheme  $M_\Gamma$  bijectively correspond to maximal with respect to inclusion admissible sets for  $\Gamma$  (see Theorem 7.1). In particular,  $M_\Gamma$  is irreducible if and only if there is an admissible set that contains all the others. As an application of this criterion, we find a number of sufficient conditions on  $\Gamma$  for  $M_\Gamma$  to be irreducible, two of these conditions read as follows:

- (1)  $\Gamma$  is  $G$ -saturated, that is, equals the intersection of a lattice with  $\Lambda^+$  (see Theorem 7.27);
- (2)  $\Gamma$  is the weight monoid of an affine spherical  $G$ -variety whose algebra of regular functions is a unique factorization domain (see Proposition 7.21).

Based on our description of the irreducible components of the moduli scheme  $M_\Gamma$  (for saturated  $\Gamma$ ), we construct several examples of monoids  $\Gamma$  such that  $M_\Gamma$  is reducible

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<sup>1</sup>See also the references in [BP14] for partial results.

(see §7.5). The only example of that kind known before is due to D. Luna (unpublished) and was mentioned in [AB06, Example 3.20].

Finally, combining our irreducibility criterion with results on the tangent space of  $M_\Gamma$  at the point  $X_0$  obtained in [ACF15], we give a necessary and sufficient combinatorial condition for  $M_\Gamma$  to be an affine space (as a scheme); see Theorem 7.12. Thanks to this condition,  $M_\Gamma$  turns out to be an affine space in both cases (1) and (2) mentioned above. As a particular case of (2),  $M_\Gamma$  is an affine space whenever  $\Gamma$  is the weight monoid of a spherical  $G$ -module; this result was first proved by Papadakis and Van Steirteghem in [PvS12, PvS16] via a case-by-case approach based on the classification of spherical modules. As another application of the above-mentioned combinatorial condition, we exhibit examples of monoids  $\Gamma$  for which  $M_\Gamma$  is a non-reduced point (see §7.6).

We note that in [BvS16] Bravi and Van Steirteghem independently obtained a similar combinatorial description of affine spherical  $G$ -varieties with a prescribed weight monoid. Their method is essentially the same as ours, however they used a slightly different language. The fact that  $M_\Gamma$  is irreducible in situation (2) was announced (without proof) by Pezzini in [Pe17].

**Organization of the paper.** In §2 we set up the notation and conventions used throughout this paper. In §§3, 4, and 5 we collect some basic material and known results on multiplicity-free affine  $G$ -varieties, Alexeev and Brion’s moduli schemes  $M_\Gamma$ , and spherical  $G$ -varieties. In §6 we obtain our combinatorial description of all affine spherical  $G$ -varieties with a prescribed weight monoid in terms of admissible sets. In §7 we apply the results of §6 to characterize combinatorially the irreducible components of moduli schemes  $M_\Gamma$  and, in particular, to obtain an irreducibility criterion for  $M_\Gamma$ . Besides, we provide several conditions on  $\Gamma$  under which  $M_\Gamma$  turns out to be irreducible or even an affine space. We end up §7 by discussing several examples of  $M_\Gamma$  illustrating the diverse geometric properties of these schemes.

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## 2. NOTATION AND CONVENTIONS

Throughout this paper, all topological terms relate to the Zariski topology. All subgroups of algebraic groups are assumed to be closed. A *variety* is a separated reduced scheme of finite type. A  $K$ -variety is a variety equipped with a regular action of an algebraic group  $K$ . A  $K$ -isomorphism of two  $K$ -varieties is a  $K$ -equivariant isomorphism. Closed subsets of schemes are always equipped with their reduced subscheme structure.

$$\mathbb{Z}^+ = \{z \in \mathbb{Z} \mid z \geq 0\};$$

$$\mathbb{Q}^+ = \{q \in \mathbb{Q} \mid q \geq 0\};$$

$\mathbb{k}^\times$  is the multiplicative group of the field  $\mathbb{k}$ ;

$|X|$  is the cardinality of a finite set  $X$ ;  
 $V^*$  is the dual of a vector space  $V$ ;  
 $L^* = \text{Hom}_{\mathbb{Z}}(L, \mathbb{Z})$  is the dual lattice of a lattice  $L$ ;  
 $L_{\mathbb{Q}} = L \otimes_{\mathbb{Z}} \mathbb{Q}$  is the rational vector space spanned by a lattice  $L$ ;  
 $L_{\mathbb{Q}}^* = (L_{\mathbb{Q}})^* = \text{Hom}_{\mathbb{Z}}(L, \mathbb{Q})$   
 $\langle \cdot, \cdot \rangle$  is the natural pairing between  $L_{\mathbb{Q}}^*$  and  $L$ , where  $L$  is a lattice;  
 $\mathfrak{X}(K)$  is the character group of an algebraic group  $K$  (in additive notation);  
 $\bar{Y}$  is the closure of a subset  $Y$  of a scheme  $X$ ;  
 $\mathbb{k}[X]$  is the algebra of regular functions on an algebraic variety  $X$ ;  
 $\mathcal{O}_X$  is the structure sheaf of a scheme  $X$ ;  
 $T_x X$  is the tangent space of a scheme  $X$  at a closed point  $x \in X$ ;  
 $G$  is a connected reductive algebraic group;  
 $C$  is the connected center of  $G$ ;  
 $B \subset G$  is a fixed Borel subgroup;  
 $T \subset B$  is a fixed maximal torus;  
 $U \subset B$  is the unipotent radical of  $B$ ;  
 $T_{\text{ad}}$  is the adjoint torus of  $T$ , that is, the quotient of  $T$  by the center of  $G$ ;  
 $(\cdot, \cdot)$  is a fixed inner product on  $\mathfrak{X}(T)_{\mathbb{Q}}$  invariant with respect to the Weyl group associated with  $T$ ;  
 $\Delta \subset \mathfrak{X}(T)$  is the root system of  $G$  with respect to  $T$ ;  
 $\Pi \subset \Delta$  is the set of simple roots with respect to  $B$ ;  
 $\Delta^{\vee} \subset \mathfrak{X}(T)^*$  is the root system dual to  $\Delta$ ;  
 $\alpha^{\vee} \in \Delta^{\vee}$  is the coroot corresponding to a root  $\alpha \in \Delta$ ;  
 $\Lambda^+ \subset \mathfrak{X}(T)$  is the monoid of dominant weights with respect to  $B$ ;  
 $V(\lambda)$  is the simple  $G$ -module with highest weight  $\lambda \in \Lambda^+$ ;  
 $v_{\lambda} \in V(\lambda)$  is a highest weight vector in  $V(\lambda)$ .

The lattices  $\mathfrak{X}(B)$  and  $\mathfrak{X}(T)$  are identified via restricting characters from  $B$  to  $T$ .

If  $V$  is a vector space equipped with an action of a group  $K$ , then the notation  $V^K$  stands for the subspace of  $K$ -invariant vectors. For every character  $\chi$  of  $K$ , the notation  $V_{\chi}^{(K)}$  stands for the subspace of  $K$ -semi-invariant vectors of weight  $\chi$ .

The nodes of connected Dynkin diagrams as well as the simple roots of simple algebraic groups are numbered as in [Bo68].

For every element  $\sigma = \sum_{\alpha \in \Pi} k_{\alpha} \alpha$ , where  $k_{\alpha} \in \mathbb{Q}^+$  for all  $\alpha \in \Pi$ , we set  $\text{Supp } \sigma = \{\alpha \mid k_{\alpha} \neq 0\}$ . The *type* of  $\sigma$  is the type of the Dynkin diagram of the set  $\text{Supp } \sigma$ . When the Dynkin diagram of  $\text{Supp } \sigma$  is connected, we denote the  $i$ th simple root in  $\text{Supp } \sigma$  by  $\alpha_i$ .<sup>2</sup>

If the group  $G$  is simple then  $\varpi_i$  stands for the  $i$ th fundamental weight of  $G$ .

For every subset  $F \subset \mathfrak{X}(T)$ , we set  $F^{\perp} = \{\alpha \in \Pi \mid \langle \alpha^{\vee}, \lambda \rangle = 0 \text{ for all } \lambda \in F\}$ . By abuse of notation, for a single element  $\lambda \in \mathfrak{X}(T)$  we write  $\lambda^{\perp}$  instead of  $\{\lambda\}^{\perp}$ .

Let  $Q$  be a finite-dimensional vector space over  $\mathbb{Q}$ .

A subset  $\mathcal{C} \subset Q$  is called a (finitely generated convex) *cone* if there are finitely many elements  $q_1, \dots, q_s \in Q$  such that  $\mathcal{C} = \mathbb{Q}^+ q_1 + \dots + \mathbb{Q}^+ q_s$ .

A cone  $\mathcal{C} \subset Q$  is said to be *strictly convex* if  $\mathcal{C} \cap (-\mathcal{C}) = \{0\}$ .

<sup>2</sup>If the Dynkin diagram of  $\text{Supp } \sigma$  has non-trivial symmetries, this convention may not determine  $\alpha_i$  uniquely, however this does not cause any ambiguity in our paper.

The *dimension* of a cone is the dimension of its linear span.

The *dual cone* of a cone  $\mathcal{C} \subset Q$  is the cone

$$\mathcal{C}^\vee = \{\xi \in Q^* \mid \langle \xi, q \rangle \geq 0 \text{ for all } q \in \mathcal{C}\}.$$

One always has  $(\mathcal{C}^\vee)^\vee = \mathcal{C}$ .

A *face* of a cone  $\mathcal{C} \subset Q$  is a subset  $\mathcal{F} \subset \mathcal{C}$  of the form

$$\mathcal{F} = \mathcal{C} \cap \{q \in Q \mid \langle \xi, q \rangle = 0\}$$

for some  $\xi \in \mathcal{C}^\vee$ . Each face of  $\mathcal{C}$  is again a cone.

An *extremal ray* of a strictly convex cone  $\mathcal{C}$  is a face of dimension 1.

### 3. GENERALITIES ON MULTIPLICITY-FREE AFFINE $G$ -VARIETIES

As already stated in the introduction, we say that an affine  $G$ -variety is multiplicity-free if it is irreducible and its algebra of regular functions is a multiplicity-free  $G$ -module. An affine spherical  $G$ -variety is a multiplicity-free affine  $G$ -variety that is normal.

**3.1. Combinatorial invariants.** Let  $X$  be a multiplicity-free affine  $G$ -variety.

The *weight monoid* of  $X$  is the set  $\Gamma_X$  of highest weights of the  $G$ -module  $\mathbb{k}[X]$ . Since  $X$  is irreducible,  $\Gamma_X$  is a submonoid of  $\Lambda^+$ . Moreover,  $\Gamma_X$  is finitely generated, which is implied by the fact that  $X$  is affine; see, for instance, [Po86, Corollary 5 of Theorem 4].

A monoid  $\Gamma \subset \Lambda^+$  is said to be *saturated* if  $\Gamma = \mathbb{Z}\Gamma \cap \mathbb{Q}^+\Gamma$ . It is well-known (and follows essentially from [Vu76, §1.2, Theorem 1] and [KKMS73, Ch. I, §1, Lemma 1]) that  $X$  is normal (and hence spherical) if and only if the weight monoid  $\Gamma_X$  is saturated.

For every  $\lambda \in \Gamma_X$ , let  $\mathbb{k}[X]_\lambda \subset \mathbb{k}[X]$  be the simple  $G$ -submodule with highest weight  $\lambda$ . An expression  $\lambda + \mu - \nu$  with  $\lambda, \mu, \nu \in \Gamma_X$  is called a *tail* of  $X$  if  $\mathbb{k}[X]_\lambda \mathbb{k}[X]_\mu \supset \mathbb{k}[X]_\nu$ . The *tail cone* of  $X$  is the convex cone in  $\mathbb{Q}\Gamma_X$  generated by all tails.

The *root monoid* of  $X$  is the monoid  $\Xi_X$  generated by all tails. Note that  $\Xi_X$  is a submonoid in  $\mathbb{Z}^+\Pi$ . Let  $\Xi_X^{\text{sat}}$  be the saturation of  $\Xi_X$ , that is, the intersection of the group  $\mathbb{Z}\Xi_X$  with the tail cone. A fundamental property of the monoid  $\Xi_X^{\text{sat}}$  is given by the following theorem, which is a particular case of [Kn96, Theorem 1.3].

**Theorem 3.1.** *The monoid  $\Xi_X^{\text{sat}}$  is free, and its indecomposable elements form a set of simple roots of a root system in  $\mathfrak{X}(T)$ .*

It follows from this theorem that the tail cone of  $X$  is simplicial. Let  $\overline{\Sigma}_X$  denote the set of indecomposable elements of  $\Xi_X^{\text{sat}}$ . Clearly, the set  $\overline{\Sigma}_X$  is linearly independent and

$$\Xi_X^{\text{sat}} = \mathbb{Z}^+\overline{\Sigma}_X.$$

A *spherical root* of  $X$  is a primitive element of the lattice  $\mathbb{Z}\Gamma_X$  lying on an extremal ray of the tail cone of  $X$ . We denote the set of spherical roots of  $X$  by  $\Sigma_X$ .

The definitions of the sets  $\Sigma_X$  and  $\overline{\Sigma}_X$  imply that for every  $\sigma \in \Sigma_X$  there is a unique element  $\overline{\sigma} \in \overline{\Sigma}_X$  which is a multiple of  $\sigma$ . Then the map  $\sigma \mapsto \overline{\sigma}$  is a natural bijection between the sets  $\Sigma_X$  and  $\overline{\Sigma}_X$ . See Theorem 7.8 for an explicit description of this bijection for affine spherical  $G$ -varieties.

In what follows, we shall need the following consequence of Theorem 3.1.

**Corollary 3.2.** *The set  $\Sigma_X$  is linearly independent.*

A refined version of Theorem 3.1 for affine spherical  $G$ -varieties is given by

**Theorem 3.3** ([ACF15, Theorem 4.12]). *Suppose that  $X$  is an affine spherical  $G$ -variety. Then  $\Xi_X = \Xi_X^{\text{sat}}$ ; in particular,  $\Xi_X$  is free.*

The following uniqueness result was first proved by Losev in case of affine spherical  $G$ -varieties; see [Lo09b, Theorem 1.2].

**Theorem 3.4** ([ACF15, Corollary 4.23]). *Up to a  $G$ -equivariant isomorphism, a multiplicity-free affine  $G$ -variety  $X$  is uniquely determined by the pair  $(\Gamma_X, \Sigma_X)$ .*

**3.2. The variety  $X_0$ .** Given a finitely generated submonoid  $\Gamma \subset \Lambda^+$ , take a finite generating set  $E$  of  $\Gamma$  and consider the  $G$ -module

$$V = \bigoplus_{\lambda \in E} V(\lambda)^*.$$

For every  $\lambda \in E$ , choose a highest weight vector  $v_{\lambda^*} \in V(\lambda)^*$ , consider the vector

$$x_0 = \sum_{\lambda \in E} v_{\lambda^*} \in V,$$

and put

$$X_0 = \overline{Gx_0} \subset V.$$

**Theorem 3.5** ([VP72, Theorem 6]). *The following assertions hold:*

- (a) *up to a  $G$ -isomorphism, the  $G$ -variety  $X_0$  is independent of the choice of  $E$ ;*
- (b)  *$X_0$  is a multiplicity-free affine  $G$ -variety;*
- (c)  $\Gamma_{X_0} = \Gamma$ ;
- (d)  $\mathbb{k}[X_0]_{\lambda} \cdot \mathbb{k}[X_0]_{\mu} = \mathbb{k}[X_0]_{\lambda+\mu}$  for all  $\lambda, \mu \in \Gamma_{X_0}$ ; in other words,  $\Sigma_{X_0} = \emptyset$ .

**3.3. Degenerations.** Let  $X$  be a multiplicity-free affine  $G$ -variety. We say that an element  $\varrho \in \mathfrak{X}(T)^*$  is *non-negative* (with respect to  $X$ ) if  $\langle \varrho, \gamma \rangle \geq 0$  for all  $\gamma \in \Gamma_X \cup \Sigma_X$ . Note that every element of  $\mathfrak{X}(T)^*$  lying in the dominant Weyl chamber of the root system  $\Delta^\vee$  is non-negative.

Take a non-negative element  $\varrho \in \mathfrak{X}(T)^*$  and for every  $n \in \mathbb{Z}^+$  define the subspace

$$D_{\varrho, n} = \bigoplus_{\substack{\lambda \in \Gamma_X, \\ \langle \varrho, \lambda \rangle \leq n}} \mathbb{k}[X]_{\lambda} \subset \mathbb{k}[X].$$

Then the collection of subspaces  $\{D_{\varrho, n} \mid n \in \mathbb{Z}^+\}$  forms a  $G$ -invariant filtration on  $\mathbb{k}[X]$ . Let

$$\text{gr}_{\varrho} \mathbb{k}[X] = \bigoplus_{n \in \mathbb{Z}^+} D_{\varrho, n} / D_{\varrho, n-1}$$

be the graded algebra associated with this filtration. Clearly, the algebras  $\mathbb{k}[X]^U$  and  $(\text{gr}_{\varrho} \mathbb{k}[X])^U$  are isomorphic, which by [Po86, Corollary of Theorem 6] implies that the algebra  $\text{gr}_{\varrho} \mathbb{k}[X]$  is a finitely generated integral domain. We now consider the irreducible affine  $G$ -variety

$$\text{gr}_{\varrho} X = \text{Spec}(\text{gr}_{\varrho} \mathbb{k}[X]).$$

The following result follows directly from the construction.

**Lemma 3.6.** *The affine  $G$ -variety  $Y = \text{gr}_{\varrho} X$  is multiplicity-free. Moreover,  $\Gamma_Y = \Gamma_X$  and  $\Sigma_Y = \{\sigma \in \Sigma_X \mid \langle \varrho, \sigma \rangle = 0\}$ . In particular,  $\Sigma_Y \subset \Sigma_X$ .*

Note that if  $\langle \varrho, \alpha \rangle > 0$  for all  $\alpha \in \Pi$  then  $\text{gr}_\varrho X$  is  $G$ -isomorphic to the  $G$ -variety  $X_0$  introduced in §3.2; see [Po86, §4].

**Proposition 3.7.** *Suppose that  $X$  is a multiplicity-free affine  $G$ -variety and  $\Sigma \subset \Sigma_X$  is an arbitrary subset. Then*

- (a) *there exists a non-negative element  $\varrho \in \mathfrak{X}(T)^*$  such that  $\langle \varrho, \sigma \rangle = 0$  for all  $\sigma \in \Sigma$  and  $\langle \varrho, \sigma \rangle > 0$  for all  $\sigma \in \Sigma_X \setminus \Sigma$ ;*
- (b) *for any  $\varrho$  as in (a), the  $G$ -variety  $Y = \text{gr}_\varrho X$  satisfies  $\Gamma_Y = \Gamma_X$  and  $\Sigma_Y = \Sigma$ .*

*Proof.* (a) Recall from Theorem 3.1 that the elements in  $\overline{\Sigma}_X$  form a set of simple roots of a root system in  $\mathfrak{X}(T)$ . For every  $\sigma \in \Sigma_X$ , let  $\varpi(\sigma) \in \mathfrak{X}(T)^*_\mathbb{Q}$  be the fundamental coweight of this root system corresponding to the simple root  $\overline{\sigma}$ , so that  $\langle \varpi(\sigma), \overline{\sigma} \rangle = 1$  and  $\langle \varpi(\sigma), \overline{\sigma}' \rangle = 0$  for all  $\sigma' \in \Sigma_X \setminus \{\sigma\}$ . Since every fundamental coweight of a root system is a non-negative linear combination of simple coroots and  $\overline{\Sigma}_X \subset \mathbb{Z}^+\Pi$ , it follows that for every  $\sigma \in \Sigma_X$  the element  $\varpi(\sigma)$  lies in  $\mathbb{Q}^+\{\alpha^\vee \mid \alpha \in \Pi\}$  and hence satisfies  $\langle \varpi(\sigma), \lambda \rangle \geq 0$  for all  $\lambda \in \Lambda^+$ . Consequently, a suitable positive multiple of the element  $\sum_{\sigma \in \Sigma_X \setminus \Sigma} \varpi(\sigma)$  belongs to  $\mathfrak{X}(T)^*$  and is non-negative, hence it can be taken for  $\varrho$ .

(b) This is a consequence of part (a) and Lemma 3.6. □

#### 4. GENERALITIES ON MODULI SCHEMES $M_\Gamma$

Throughout this section we assume that  $\Gamma \subset \Lambda^+$  is an arbitrary finitely generated monoid.

**4.1. The definition of  $M_\Gamma$ .** Consider the  $G$ -module

$$(4.1) \quad A_\Gamma = \bigoplus_{\lambda \in \Gamma} V(\lambda).$$

Fix a highest weight vector  $v_\lambda \in V(\lambda)$  and equip the subspace  $A_\Gamma^U = \bigoplus_{\lambda \in \Gamma} \mathbb{k}v_\lambda \subset A_\Gamma$  with an algebra structure by setting

$$(4.2) \quad v_\lambda \cdot v_\mu = v_{\lambda+\mu} \quad \text{for all } \lambda, \mu \in \Gamma.$$

Note that the algebra  $A_\Gamma^U$  is isomorphic to the semigroup algebra of  $\Gamma$ .

Let

$$\mathcal{M}_\Gamma: (\text{Schemes}) \rightarrow (\text{Sets})$$

be the contravariant functor assigning to each scheme  $S$  the set of  $\mathcal{O}_S$ - $G$ -algebra structures on the sheaf  $\mathcal{O}_S \otimes_{\mathbb{k}} A_\Gamma$  that extend the given multiplication (4.2) on  $A_\Gamma^U$ .

As a consequence of [AB05, Proposition 2.10 and Theorems 1.12, 2.7] (see also [Br13, §4.3]), the functor  $\mathcal{M}_\Gamma$  is represented by an affine scheme  $M_\Gamma$  of finite type, called the *moduli scheme of multiplicity-free affine  $G$ -varieties with weight monoid  $\Gamma$* . In particular, the closed points of  $M_\Gamma$  are in bijection with the  $G$ -equivariant algebra structures on  $A_\Gamma$  extending the multiplication (4.2) on  $A_\Gamma^U$ .

Thanks to [Po86, Theorem 2], for every multiplicity-free affine  $G$ -variety  $X$  with weight monoid  $\Gamma$  there is a (not necessarily unique)  $T$ -equivariant isomorphism

$$(4.3) \quad \tau: \mathbb{k}[X]^U \xrightarrow{\sim} A_\Gamma^U,$$

which uniquely extends to a  $G$ -module isomorphism  $\mathbb{k}[X] \xrightarrow{\sim} A_\Gamma$ . The algebra structure on  $A_\Gamma$  transferred from  $\mathbb{k}[X]$  via this isomorphism thus determines a closed point of  $M_\Gamma$ . In this way,  $X$  may be regarded as a closed point of  $M_\Gamma$  (which depends however on the choice of  $\tau$ ).

**4.2. Basic facts on the  $T_{\text{ad}}$ -action on  $M_\Gamma$ .** The moduli scheme  $M_\Gamma$  can be equipped with an action of the adjoint torus  $T_{\text{ad}}$ ; see [AB05, §2.1] for a precise definition. For convenience of the reader, we recall this action on the level of closed points. As was mentioned in §4.1, each closed point of  $M_\Gamma$  is given by a multiplication law  $m: A_\Gamma \otimes A_\Gamma \rightarrow A_\Gamma$  extending the multiplication (4.2) on  $A_\Gamma^U$ . It is clear from (4.1) that  $m$  can be expressed as the sum

$$m = \sum_{\lambda, \mu, \nu \in \Gamma} m_{\lambda, \mu}^\nu$$

where each component  $m_{\lambda, \mu}^\nu: V(\lambda) \otimes V(\mu) \rightarrow V(\nu)$  is a  $G$ -module homomorphism. Then [AB05, Proposition 2.11] asserts that

$$(t \cdot m)_{\lambda, \mu}^\nu = (\nu - \lambda - \mu)(t) \cdot m_{\lambda, \mu}^\nu$$

for all  $t \in T_{\text{ad}}$  and  $\lambda, \mu, \nu \in \Gamma$ . It is worth noting that  $T_{\text{ad}}$  acts on the closed points of  $M_\Gamma$  just by changing the isomorphism  $\tau$  in (4.3).

Below we gather several properties of the  $T_{\text{ad}}$ -action on  $M_\Gamma$ .

**Theorem 4.1** (see [AB05, Theorem 1.12 and Lemma 2.2]). *Let  $X$  be a multiplicity-free affine  $G$ -variety with weight monoid  $\Gamma$ . Regard  $X$  as a closed point of  $M_\Gamma$  via an isomorphism  $\tau$  as in (4.3).*

- (a) *The  $T_{\text{ad}}$ -orbit  $T_{\text{ad}}X \subset M_\Gamma$  does not depend on the choice of  $\tau$ .*
- (b) *The map  $X \mapsto T_{\text{ad}}X$  induces a bijection between the  $G$ -isomorphism classes of multiplicity-free affine  $G$ -varieties with weight monoid  $\Gamma$  and the  $T_{\text{ad}}$ -orbits in  $M_\Gamma$ .*

Recall the definition of the variety  $X_0$  from §3.2.

**Theorem 4.2** ([AB05, Theorem 2.7]). *Regarded as a closed point of  $M_\Gamma$ ,  $X_0$  is fixed by  $T_{\text{ad}}$  and belongs to each  $T_{\text{ad}}$ -orbit closure in  $M_\Gamma$ .*

The following result was first proved in [AB05, Corollary 3.4] and recovered by another method in [ACF15, Corollary 4.24].

**Theorem 4.3.** *The torus  $T_{\text{ad}}$  acts on  $M_\Gamma$  with finitely many orbits. In particular, there are only finitely many isomorphism classes of multiplicity-free affine  $G$ -varieties with prescribed weight monoid  $\Gamma$ .*

**Corollary 4.4.** *The irreducible components of  $M_\Gamma$  are given by the closures of the open  $T_{\text{ad}}$ -orbits in  $M_\Gamma$ .*

The next theorem provides a moduli interpretation of the root monoid of a multiplicity-free affine  $G$ -variety.

**Theorem 4.5** ([AB05, Proposition 2.13]). *Let  $X$  be a multiplicity-free affine  $G$ -variety with weight monoid  $\Gamma$ . The  $T_{\text{ad}}$ -orbit closure  $\overline{T_{\text{ad}}X} \subset M_\Gamma$  is a multiplicity-free affine  $T_{\text{ad}}$ -variety with weight monoid  $\Xi_X$ .*

**Corollary 4.6.** *Under the hypotheses of Theorem 4.5,  $\dim T_{\text{ad}}X = |\Sigma_X|$ .*

**4.3. Further properties.** The three equivalent conditions of the following proposition naturally define a partial order on the set of ( $G$ -isomorphism classes of) multiplicity-free affine  $G$ -varieties with weight monoid  $\Gamma$ .

**Proposition 4.7.** *Let  $X$  and  $Y$  be multiplicity-free affine  $G$ -varieties with weight monoid  $\Gamma$ . The following conditions are equivalent.*

- (1)  $\overline{T_{\text{ad}}Y} \subset \overline{T_{\text{ad}}X}$ .
- (2)  $\Sigma_Y \subset \Sigma_X$ .
- (3)  $Y = \text{gr}_{\varrho} X$  for some non-negative element  $\varrho \in \mathfrak{X}(T)^*$ .

*Proof.* (1) $\Rightarrow$ (2) In view of Theorem 4.5 and well-known facts from the theory of (possibly non-normal) affine toric varieties (see, for instance, [CLS11, Theorem 3.A.3]), the relation  $\overline{T_{\text{ad}}Y} \subset \overline{T_{\text{ad}}X}$  implies that the cone  $\mathbb{Q}^+\Xi_Y$  is a face of the cone  $\mathbb{Q}^+\Xi_X$ , which yields  $\Sigma_Y \subset \Sigma_X$ .

(2) $\Rightarrow$ (1) As the set  $\Sigma_X$  is linearly independent (Corollary 3.2), the cone  $\mathbb{Q}^+\Sigma_Y$  is a face of the cone  $\mathbb{Q}^+\Sigma_X$ . It then follows from loc. cit. that  $\overline{T_{\text{ad}}X}$  contains a  $T_{\text{ad}}$ -orbit  $O$  such that the weight monoid of  $\overline{O}$  equals  $\Xi_X \cap \mathbb{Q}^+\Sigma_Y$ . By Theorem 4.1, there exists a multiplicity-free affine  $G$ -variety  $Y'$  with weight monoid  $\Gamma$  such that  $O = T_{\text{ad}}Y'$ . Then  $\Sigma_{Y'} = \Sigma_Y$ , therefore  $Y$  and  $Y'$  are  $G$ -isomorphic by Theorem 3.4.

(2) $\Rightarrow$ (3) This follows from Proposition 3.7 and Theorem 3.4.

(3) $\Rightarrow$ (2) This follows from Lemma 3.6. □

The following smoothness criterion for  $M_\Gamma$  is known to specialists; we provide it together with a proof for convenience of the reader.

**Theorem 4.8.** *The following properties are equivalent.*

- (1)  $M_\Gamma$  is smooth at  $X_0$ .
- (2)  $M_\Gamma$  is smooth.
- (3)  $M_\Gamma$  is an affine space.

*Proof.* (1) $\Rightarrow$ (2) Clearly, the set of singular points in  $M_\Gamma$  is closed and  $T_{\text{ad}}$ -stable. If it is nonempty then it contains  $X_0$  by Theorem 4.2, a contradiction.

(2) $\Rightarrow$ (3) It follows from Theorem 4.5 that  $M_\Gamma$  is a smooth affine multiplicity-free  $T_{\text{ad}}$ -variety. By Theorem 4.2, the closed  $T_{\text{ad}}$ -orbit in  $M_\Gamma$  is the point  $X_0$ , whence  $M_\Gamma$  is an affine space.

(3) $\Rightarrow$ (1) This implication is obvious. □

## 5. GENERALITIES ON SPHERICAL VARIETIES

As was already mentioned in the introduction, a  $G$ -variety  $X$  is said to be spherical if it is normal, irreducible, and contains an open  $B$ -orbit. Thanks to [VK78, Theorem 2], in the case of affine  $X$  this definition agrees with that given at the beginning of § 3.

**5.1. Combinatorial invariants.** Let  $X$  be an arbitrary spherical  $G$ -variety and let  $\mathbb{k}(X)$  denote the field of rational functions on  $X$ .

The *weight lattice* of  $X$  is the set

$$\Lambda_X = \{\lambda \in \mathfrak{X}(T) \mid \mathbb{k}(X)_\lambda^{(B)} \neq 0\}.$$

Clearly,  $\Lambda_X$  is a sublattice of  $\mathfrak{X}(T)$ . For every  $\lambda \in \Lambda_X$ , we fix a nonzero function  $f_\lambda \in \mathbb{k}(X)_\lambda^{(B)}$ . Since  $X$  contains an open  $B$ -orbit, one has  $\mathbb{k}(X)_\lambda^{(B)} = \mathbb{k}f_\lambda$  for all  $\lambda \in \Lambda_X$ .

We set

$$\mathcal{L}_X = \Lambda_X^* \quad \text{and} \quad \mathcal{Q}_X = (\mathcal{L}_X)_{\mathbb{Q}} = (\Lambda_X)_{\mathbb{Q}}^*.$$

We regard  $\mathcal{L}_X$  as a sublattice in  $\mathcal{Q}_X$ .

Every discrete  $\mathbb{Q}$ -valued valuation  $v$  of  $\mathbb{k}(X)$  vanishing on  $\mathbb{k}^\times$  determines the element  $\rho_v \in \mathcal{Q}_X$  such that  $\langle \rho_v, \lambda \rangle = v(f_\lambda)$  for all  $\lambda \in \Lambda_X$ . The restriction of the map  $v \mapsto \rho_v$  to the set of  $G$ -invariant  $\mathbb{Q}$ -valued valuations of  $\mathbb{k}(X)$  vanishing on  $\mathbb{k}^\times$  is injective (see [LV83, 7.4] or [Kn91, Corollary 1.8]); we denote its image by  $\mathcal{V}_X$ . Moreover,  $\mathcal{V}_X \subset \mathcal{Q}_X$  is a finitely generated convex cone of full dimension; see [BP87, 4.1, Corollary, i)] or [Kn91, Corollary 5.3]. The cone  $\mathcal{V}_X$  is called the *valuation cone* of  $X$ .

Primitive elements  $\sigma \in \Lambda_X$  such that  $\mathbb{Q}^+\sigma$  is an extremal ray of the cone  $-\mathcal{V}_X^\vee$  are called *spherical roots* of  $X$ . We denote the set of all spherical roots of  $X$  by  $\Sigma_X$ .

From [Br90, §3] or [Kn94, Theorem 7.4], we know that  $\Sigma_X$  is a set of simple roots of a root system in  $\Lambda_X$ . Hence  $(\sigma_1, \sigma_2) \leq 0$  for any two distinct elements  $\sigma_1, \sigma_2 \in \Sigma_X$ . In particular, the set  $\Sigma_X$  is linearly independent.

Let  $\mathcal{B}_X$  (resp.  $\mathcal{D}_X$ ) denote the set of all  $G$ -stable (resp.  $B$ -stable but not  $G$ -stable) prime divisors in  $X$ . Elements of  $\mathcal{D}_X$  are called *colors* of  $X$ . Clearly, the union  $\mathcal{B}_X \cup \mathcal{D}_X$  is the set of all  $B$ -stable prime divisors in  $X$ . As  $X$  contains an open  $B$ -orbit, the set  $\mathcal{B}_X \cup \mathcal{D}_X$  is finite.

For every  $D \in \mathcal{B}_X \cup \mathcal{D}_X$ , let  $v_D$  be the valuation of the field  $\mathbb{k}(X)$  defined by  $D$ , that is,  $v_D(f) = \text{ord}_D(f)$  for every  $f \in \mathbb{k}(X)$ . We define the map

$$\rho_X : \mathcal{B}_X \cup \mathcal{D}_X \rightarrow \mathcal{L}_X$$

by setting  $\rho_X(D) = \rho_{v_D}$ .

For every  $\alpha \in \Pi$ , let  $\mathcal{D}_X(\alpha) \subset \mathcal{D}_X$  be the set of colors that are unstable with respect to the action of the minimal parabolic subgroup  $P_\alpha \supset B$  of  $G$  associated with  $\alpha$ . Then the set  $\mathcal{D}_X$  is the union of the sets  $\mathcal{D}_X(\alpha)$  with  $\alpha$  running over  $\Pi$ . We set

$$(5.1) \quad \Pi_X^p = \{\alpha \in \Pi \mid \mathcal{D}_X(\alpha) = \emptyset\}.$$

*Remark 5.1.* It follows from the above definitions that the invariants  $\Lambda_X$ ,  $\mathcal{L}_X$ ,  $\mathcal{Q}_X$ ,  $\mathcal{V}_X$ ,  $\Sigma_X$ ,  $\mathcal{D}_X$ ,  $\rho_X|_{\mathcal{D}_X}$ , and  $\Pi_X^p$  depend only on the open  $G$ -orbit  $O \subset X$ . The sets  $\mathcal{D}_X$  and  $\mathcal{D}_O$  are identified by intersecting colors of  $X$  with  $O$ .

*Remark 5.2.* If  $X$  is affine then by [Kn91, Lemma 5.1] the dual cone of  $-\mathcal{V}_X$  is exactly the tail cone of  $X$  defined in §3.1. Taking into account Proposition 5.3(b) below, we see that in this case the set  $\Sigma_X$  is exactly the set of primitive elements of  $\Lambda_X$  lying on extremal rays of the tail cone of  $X$ , which agrees with the definition of  $\Sigma_X$  given in §3.1.

The following proposition, which is known to specialists, relates some of the above invariants of an affine spherical  $G$ -variety  $X$  with its weight monoid  $\Gamma_X$  introduced in §3.1.

**Proposition 5.3.** *Let  $X$  be an affine spherical  $G$ -variety and let  $\mathcal{K}_X$  be the cone in  $\mathcal{Q}_X$  generated by the set  $\rho_X(\mathcal{B}_X \cup \mathcal{D}_X)$ .*

- (a)  $\Gamma_X = \Lambda_X \cap \mathcal{K}_X^\vee$ , where  $\mathcal{K}_X^\vee$  is considered as a cone in  $(\Lambda_X)_{\mathbb{Q}}$ .
- (b)  $\Lambda_X = \mathbb{Z}\Gamma_X$ .
- (c)  $\Pi_X^p = \Gamma_X^\perp$ .

*Proof.* (a) Let  $\lambda \in \Lambda_X$ . Since the function  $f_\lambda$  is  $B$ -semi-invariant, it can have poles only in the complement of the open  $B$ -orbit in  $X$ . The normality of  $X$  implies that  $f_\lambda$  is

regular on  $X$  if and only if it has no poles along each of the divisors in  $\mathcal{B}_X \cup \mathcal{D}_X$ , which is equivalent to  $\lambda \in \mathcal{K}_X^\vee$ .

(b) See, for instance, [Ti11, Proposition 5.14].

(c) To prove the inclusion “ $\subset$ ”, let  $\alpha \in \Pi_X^p$  and assume that  $\langle \alpha^\vee, \lambda \rangle > 0$  for some  $\lambda \in \Gamma_X$ . Then the line  $\mathbb{k}f_\lambda = \mathbb{k}[X]_\lambda^{(B)}$  is  $P_\alpha$ -unstable, hence so is the divisor of zeros of  $f_\lambda$  by [PV94, Theorem 3.1]. Consequently,  $\mathcal{D}_X(\alpha) \neq \emptyset$ , which contradicts (5.1).

Now let us prove the inclusion “ $\supset$ ”. Since  $X$  is affine, there exists a nonzero  $B$ -semi-invariant function  $f \in \mathbb{k}[X]$  that vanishes on all colors of  $X$ . Without loss of generality we may assume that  $f = f_\lambda$  for some  $\lambda \in \Gamma_X$ . If  $\alpha \in \Pi \setminus \Pi_X^p$  then  $\mathcal{D}_X(\alpha) \neq \emptyset$  by (5.1), hence the line  $\mathbb{k}f_\lambda$  is  $P_\alpha$ -unstable and  $\langle \alpha^\vee, \lambda \rangle > 0$ .  $\square$

**5.2. Relations between simple roots and colors.** The results in this subsection are extracted from [Lu97, §§2.7, 3.4]; see also [Ti11, §30.10].

Let  $X$  be a spherical  $G$ -variety.

**Proposition 5.4.** *For every  $\alpha \in \Pi$ , exactly one of the following possibilities is realized:*

(p)  $\mathcal{D}_X(\alpha) = \emptyset$ .

(a)  $\alpha \in \Sigma_X$ ,  $\mathcal{D}_X(\alpha) = \{D^+, D^-\}$ , and  $\langle \rho_X(D^+), \lambda \rangle + \langle \rho_X(D^-), \lambda \rangle = \langle \alpha^\vee, \lambda \rangle$  for all  $\lambda \in \Lambda_X$ .

(a')  $2\alpha \in \Sigma_X$ ,  $\mathcal{D}_X(\alpha) = \{D\}$ , and  $\langle \rho_X(D), \lambda \rangle = \langle \frac{1}{2}\alpha^\vee, \lambda \rangle$  for all  $\lambda \in \Lambda_X$ .

(b)  $\mathbb{Q}\alpha \cap \Sigma_X = \emptyset$ ,  $\mathcal{D}_X(\alpha) = \{D\}$ , and  $\langle \rho_X(D), \lambda \rangle = \langle \alpha^\vee, \lambda \rangle$  for all  $\lambda \in \Lambda_X$ .

In what follows, by  $\mathcal{D}_X^a$  (resp.  $\mathcal{D}_X^{a'}$ ,  $\mathcal{D}_X^b$ ) we denote the union of the sets  $\mathcal{D}_X(\alpha)$  where  $\alpha$  runs over all simple roots of type (a) (resp. (a'), (b)).

**Proposition 5.5.** *The union  $\mathcal{D}_X = \mathcal{D}_X^a \cup \mathcal{D}_X^{a'} \cup \mathcal{D}_X^b$  is disjoint.*

**5.3. Classification of spherical homogeneous spaces.**

**Definition 5.6.** An element  $\sigma \in \mathfrak{X}(T)$  is called a *spherical root of  $G$*  if  $\sigma$  is a non-negative linear combination of simple roots of  $G$  with coefficients in  $\frac{1}{2}\mathbb{Z}$  such that the following conditions are satisfied:

- (1) if  $\sigma \in \mathbb{Z}\Delta$  then  $\sigma$  appears in Table 1;
- (2) if  $\sigma \notin \mathbb{Z}\Delta$  then  $2\sigma$  appears in Table 1 and its number is marked by an asterisk.

We denote the set of all spherical roots of  $G$  by  $\Sigma(G)$ .

In Table 1, the notation  $\alpha_i$  stands for the  $i$ th simple root of the set  $\text{Supp } \sigma$  whenever the Dynkin diagram of  $\text{Supp } \sigma$  is connected. If  $\text{Supp } \sigma$  is of type  $A_1 \times A_1$ , then  $\alpha, \beta$  are the two distinct roots in  $\text{Supp } \sigma$ .

*Remark 5.7.* Usually, a spherical root of  $G$  is (equivalently) defined as an element  $\sigma \in \mathfrak{X}(T)$  such that there exists a spherical  $G$ -variety  $X$  with  $\Lambda_X = \mathbb{Z}\sigma$  and  $\Sigma_X = \{\sigma\}$ . In this paper we adopt Definition 5.6 because it is purely combinatorial and hence practical.

A pair  $(\Pi^p, \sigma)$  with  $\Pi^p \subset \Pi$  and  $\sigma \in \Sigma(G)$  is said to be *compatible* if

$$(5.2) \quad \Pi_\sigma \subset \Pi^p \subset \sigma^\perp$$

where the set  $\Pi_\sigma \subset \text{Supp } \sigma$  is determined as follows:

$$\Pi_\sigma = \begin{cases} \text{Supp } \sigma \cap \sigma^\perp \setminus \{\alpha_r\} & \text{if } \sigma = \alpha_1 + \alpha_2 + \dots + \alpha_r \text{ with support of type } B_r; \\ \text{Supp } \sigma \cap \sigma^\perp \setminus \{\alpha_1\} & \text{if } \sigma \text{ has support of type } C_r; \\ \text{Supp } \sigma \cap \sigma^\perp & \text{otherwise.} \end{cases}$$

TABLE 1. SPHERICAL ROOTS

No.	Type of Supp $\sigma$	$\sigma$	$\Pi_\sigma$	Note
1	$A_1$	$\alpha_1$	$\emptyset$	
2	$A_1$	$2\alpha_1$	$\emptyset$	
3*	$A_1 \times A_1$	$\alpha + \beta$	$\emptyset$	
4	$A_r$	$\alpha_1 + \alpha_2 + \dots + \alpha_r$	$\emptyset$ for $r = 2$ ; $\alpha_2, \alpha_3, \dots, \alpha_{r-1}$ for $r \geq 3$	$r \geq 2$
5*	$A_3$	$\alpha_1 + 2\alpha_2 + \alpha_3$	$\alpha_1, \alpha_3$	
6	$B_r$	$\alpha_1 + \alpha_2 + \dots + \alpha_r$	$\emptyset$ for $r = 2$ ; $\alpha_2, \alpha_3, \dots, \alpha_{r-1}$ for $r \geq 3$	$r \geq 2$
7	$B_r$	$2\alpha_1 + 2\alpha_2 + \dots + 2\alpha_r$	$\alpha_2, \alpha_3, \dots, \alpha_r$	$r \geq 2$
8*	$B_3$	$\alpha_1 + 2\alpha_2 + 3\alpha_3$	$\alpha_1, \alpha_2$	
9	$C_r$	$\alpha_1 + 2\alpha_2 + 2\alpha_3 + \dots + 2\alpha_{r-1} + \alpha_r$	$\alpha_3, \alpha_4, \dots, \alpha_r$	$r \geq 3$
10*	$D_r$	$2\alpha_1 + 2\alpha_2 + \dots + 2\alpha_{r-2} + \alpha_{r-1} + \alpha_r$	$\alpha_2, \alpha_3, \dots, \alpha_r$	$r \geq 4$
11	$F_4$	$\alpha_1 + 2\alpha_2 + 3\alpha_3 + 2\alpha_4$	$\alpha_1, \alpha_2, \alpha_3$	
12	$G_2$	$\alpha_1 + \alpha_2$	$\emptyset$	
13	$G_2$	$2\alpha_1 + \alpha_2$	$\alpha_2$	
14	$G_2$	$4\alpha_1 + 2\alpha_2$	$\alpha_2$	

For the reader's convenience, in the column " $\Pi_\sigma$ " of Table 1 we listed all roots in the set  $\Pi_\sigma$  for every spherical root  $\sigma \in \mathbb{Z}\Delta$ . If  $\sigma \in \Sigma(G) \setminus \mathbb{Z}\Delta$ , then  $\Pi_\sigma = \Pi_{2\sigma}$ .

The following definition is due to Luna; see [Lu01, §2]. Our version of this definition is close to [Ti11, Definition 30.21].

**Definition 5.8.** Suppose that  $\Lambda$  is a sublattice in  $\mathfrak{X}(T)$ ,  $\Pi^p$  is a subset of  $\Pi$ ,  $\Sigma \subset \Sigma(G) \cap \Lambda$  is a set consisting of primitive elements in  $\Lambda$ , and  $\mathcal{D}^a$  is a finite set equipped with a map  $\rho: \mathcal{D}^a \rightarrow \Lambda^*$ . For every  $\alpha \in \Pi \cap \Sigma$ , put  $\mathcal{D}(\alpha) = \{D \in \mathcal{D}^a \mid \langle \rho(D), \alpha \rangle = 1\}$ .

The quadruple  $(\Lambda, \Pi^p, \Sigma, \mathcal{D}^a)$  is called a *homogeneous spherical datum* if it satisfies the following axioms:

- (A1)  $\langle \rho(D), \sigma \rangle \leq 1$  for all  $D \in \mathcal{D}^a$  and  $\sigma \in \Sigma$ , and the equality is attained if and only if  $\sigma = \alpha \in \Pi \cap \Sigma$  and  $D \in \mathcal{D}(\alpha)$ ;
- (A2) for every  $\alpha \in \Pi \cap \Sigma$ , the set  $\mathcal{D}(\alpha)$  contains exactly two elements  $D_\alpha^+$  and  $D_\alpha^-$ , which satisfy  $\langle \rho(D_\alpha^+), \lambda \rangle + \langle \rho(D_\alpha^-), \lambda \rangle = \langle \alpha^\vee, \lambda \rangle$  for all  $\lambda \in \Lambda$ ;
- (A3) the set  $\mathcal{D}^a$  is the union of the sets  $\mathcal{D}(\alpha)$  over all  $\alpha \in \Pi \cap \Sigma$ ;
- (Σ1) if  $\alpha \in \Pi \cap \frac{1}{2}\Sigma$  then  $\langle \alpha^\vee, \lambda \rangle \in 2\mathbb{Z}$  for all  $\lambda \in \Lambda$ ;
- (Σ2) if  $\alpha, \beta \in \Pi$ ,  $\alpha \perp \beta$ , and  $\alpha + \beta \in \Sigma \cup 2\Sigma$ , then  $\langle \alpha^\vee, \lambda \rangle = \langle \beta^\vee, \lambda \rangle$  for all  $\lambda \in \Lambda$ ;
- (S)  $\Pi^p \subset \Lambda^\perp$  and for every  $\sigma \in \Sigma$  the pair  $(\Pi^p, \sigma)$  is compatible.

**Theorem 5.9** ([Lu01, BP14, Cu14]). *The map  $O \mapsto (\Lambda_O, \Pi_O^p, \Sigma_O, \mathcal{D}_O^a)$  is a bijection between ( $G$ -isomorphism classes of) spherical homogeneous spaces of  $G$  and homogeneous spherical data for  $G$ .*

According to this theorem, the quadruple  $(\Lambda_O, \Pi_O^p, \Sigma_O, \mathcal{D}_O^a)$  is said to be the *homogeneous spherical datum* of  $O$ , we shall denote it by  $\mathcal{H}_O$ .

**5.4. Affine embeddings of spherical homogeneous spaces.** Let  $O$  be a spherical homogeneous space of  $G$ . A spherical  $G$ -variety  $X$  containing  $O$  as an open  $G$ -orbit is said to be a  $G$ -equivariant embedding (or simply an embedding) of  $O$ .

**Definition 5.10.** An embedding  $X$  of  $O$  is said to be *simple* if  $X$  contains exactly one closed  $G$ -orbit.

Simple embeddings are classified by strictly convex colored cones.

**Definition 5.11** (see [Kn91, §3]). A *colored cone* is a pair  $(\mathcal{C}, \mathcal{F})$  with  $\mathcal{C} \subset \mathcal{Q}_O$  and  $\mathcal{F} \subset \mathcal{D}_O$  having the following properties:

- (CC1)  $\mathcal{C}$  is a cone generated by  $\rho_O(\mathcal{F})$  and finitely many elements of  $\mathcal{V}_O$ ;
- (CC2)  $\mathcal{C}^\circ \cap \mathcal{V}_O \neq \emptyset$ .

A colored cone is said to be *strictly convex* if the following property holds:

- (SCC)  $\mathcal{C}$  is strictly convex and  $0 \notin \rho_O(\mathcal{F})$ .

Let  $X$  be a simple embedding of  $O$  and let  $Y$  be the closed  $G$ -orbit of  $X$ . We put  $\mathcal{F}_X = \{D \in \mathcal{D}_X \mid Y \subset D\}$  and let  $\mathcal{C}_X$  denote the cone in  $\mathcal{Q}_X$  generated by the set  $\rho_X(\mathcal{B}_X \cup \mathcal{F}_X)$ .

**Proposition 5.12** ([Kn91, Theorem 3.1]). *The map  $X \mapsto (\mathcal{C}_X, \mathcal{F}_X)$  is a bijection between  $G$ -isomorphism classes of simple embeddings of  $O$  and strictly convex colored cones in  $\mathcal{Q}_O$ .*

The following theorem provides a description of all affine embeddings of  $O$ .

**Theorem 5.13** ([Kn91, Theorem 6.7]). *Let  $X$  be an embedding of  $O$ .*

- (a) *If  $X$  is affine then  $X$  is simple.*
- (b) *Suppose that  $X$  is simple and let  $(\mathcal{C}, \mathcal{F})$  be the corresponding colored cone. Then  $X$  is affine if and only if there is an element  $\chi \in \Lambda_X$  such that:*
  - (AE1)  $\langle v, \chi \rangle \leq 0$  for all  $v \in \mathcal{V}_O$ ;
  - (AE2)  $\langle q, \chi \rangle = 0$  for all  $q \in \mathcal{C}$ ;
  - (AE3)  $\langle \rho_O(D), \chi \rangle > 0$  for all  $D \in \mathcal{D}_O \setminus \mathcal{F}$ .

Here is a useful application of the above theorem.

**Proposition 5.14** (compare with [Ti11, Corollary 15.5]). *Let  $\mathcal{K} \subset \mathcal{Q}$  be a strictly convex cone generated by  $\rho_O(\mathcal{D}_O)$  and finitely many elements of  $\mathcal{V}_O$ . Suppose that  $0 \notin \rho_O(\mathcal{D}_O)$ . Then there exists an affine embedding  $X$  of  $O$  such that  $\Gamma_X = \Lambda_O \cap \mathcal{K}^\vee$ , where  $\mathcal{K}^\vee$  is considered as a cone in  $(\Lambda_O)_\mathbb{Q}$ .*

*Proof.* Let  $\mathcal{C}$  be the largest face of  $\mathcal{K}$  such that  $\mathcal{C}^\circ \cap \mathcal{V}_O \neq \emptyset$  and set

$$\mathcal{F} = \{D \in \mathcal{D}_O \mid \rho_O(D) \in \mathcal{C}\}.$$

Then  $(\mathcal{C}, \mathcal{F})$  is a colored cone, and the simple embedding  $X$  of  $O$  corresponding to  $(\mathcal{C}, \mathcal{F})$  has the desired properties.  $\square$

## 6. AFFINE SPHERICAL $G$ -VARIETIES WITH A PRESCRIBED WEIGHT MONOID

**6.1. Spherical roots compatible with a lattice.** Let  $\Lambda \subset \mathfrak{X}(T)$  be a sublattice.

**Definition 6.1.** A spherical root  $\sigma \in \Sigma(G)$  is said to be *compatible with  $\Lambda$*  if the following properties hold:

- (CL1)  $\sigma \in \Lambda$  and  $\sigma$  is a primitive element of  $\Lambda$ ;
- (CL2) the pair  $(\Lambda^\perp, \sigma)$  is compatible;
- (CL3) if  $\sigma = \alpha + \beta$  or  $\sigma = \frac{1}{2}(\alpha + \beta)$  for some  $\alpha, \beta \in \Pi$  with  $\alpha \perp \beta$ , then  $\langle \alpha^\vee, \lambda \rangle = \langle \beta^\vee, \lambda \rangle$  for all  $\lambda \in \Lambda$ ;
- (CL4) if  $\sigma = 2\alpha$  for some  $\alpha \in \Pi$ , then  $\langle \alpha^\vee, \lambda \rangle \in 2\mathbb{Z}$  for all  $\lambda \in \Lambda$ .

A geometrical interpretation of this definition is given by

**Proposition 6.2.** *For a spherical root  $\sigma \in \Sigma(G)$ , the following conditions are equivalent.*

- (1)  $\sigma$  is compatible with  $\Lambda$ .
- (2) There exists a spherical homogeneous space  $G/H$  with  $\Lambda_{G/H} = \Lambda$  and  $\Sigma_{G/H} = \{\sigma\}$ .

*Proof.* (1) $\Rightarrow$ (2) According to Theorem 5.9, it suffices to find a set  $\mathcal{D}^a$  equipped with a map  $\rho: \mathcal{D}^a \rightarrow \Lambda^*$  such that  $\mathcal{H} = (\Lambda, \Lambda^\perp, \{\sigma\}, \mathcal{D}^a)$  is a homogeneous spherical datum. If  $\sigma \notin \Pi$ , then we take  $\mathcal{D}^a = \emptyset$ . In case  $\sigma = \alpha \in \Pi$ , we take  $\mathcal{D}^a$  to be a set consisting of two elements  $D^+$  and  $D^-$  such that  $\rho(D^+)$  is any element in  $\Lambda^*$  with  $\langle \rho(D^+), \alpha \rangle = 1$  and  $\langle \rho(D^-), \lambda \rangle = \langle \alpha^\vee, \lambda \rangle - \langle \rho(D^+), \lambda \rangle$  for all  $\lambda \in \Lambda$ . In both cases, one easily checks that  $\mathcal{H}$  is a homogeneous spherical datum.

(2) $\Rightarrow$ (1) Thanks to Theorem 5.9, this follows by comparing Definitions 5.8 and 6.1.  $\square$

**6.2. Spherical roots compatible with a monoid.** Let  $\Gamma \subset \Lambda^+$  be a finitely generated and saturated monoid. Set  $\mathcal{L} = (\mathbb{Z}\Gamma)^*$ ,  $\mathcal{Q} = \mathcal{L}_{\mathbb{Q}} = (\mathbb{Q}\Gamma)^*$  and let  $\iota: \mathfrak{X}(T)^* \rightarrow \mathcal{L}$  be the restriction map. Further, let  $\mathcal{K} \subset \mathcal{Q}$  be the cone dual to  $\mathbb{Q}^+\Gamma$ . Clearly,  $\mathcal{K}$  is strictly convex. Let  $\mathcal{K}^1$  be the set of primitive elements  $\varrho$  in  $\mathcal{L}$  such that  $\mathbb{Q}^+\varrho$  is an extremal ray of  $\mathcal{K}$ . Finally, for every  $\sigma \in \mathbb{Z}\Gamma$  we put  $\mathcal{K}^1(\sigma) = \{\varrho \in \mathcal{K}^1 \mid \langle \varrho, \sigma \rangle > 0\}$ .

**Definition 6.3.** A spherical root  $\sigma \in \Sigma(G)$  is said to be *compatible with  $\Gamma$*  if  $\sigma$  is compatible with the lattice  $\mathbb{Z}\Gamma$  and satisfies the following conditions:

- (CM1) if  $\sigma \notin \Pi$  then for every  $\varrho \in \mathcal{K}^1(\sigma)$  there exists  $\delta \in \Pi \setminus \Gamma^\perp$  such that  $\iota(\delta^\vee)$  is a positive multiple of  $\varrho$ .
- (CM2) if  $\sigma = \alpha \in \Pi$  then there exist two elements  $\varrho_1, \varrho_2 \in \mathcal{K} \cap \mathcal{L}$  with the following properties:
  - (a)  $\langle \varrho_1, \alpha \rangle = \langle \varrho_2, \alpha \rangle = 1$ ;
  - (b)  $\iota(\alpha^\vee) = \varrho_1 + \varrho_2$ ;
  - (c)  $\mathcal{K}^1(\alpha) \subset \{\varrho_1, \varrho_2\}$ .

The set of all spherical roots  $\sigma \in \Sigma(G)$  compatible with  $\Gamma$  will be denoted by  $\Sigma(\Gamma)$ .

*Remark 6.4.* It follows from condition (CM2) that, for every  $\alpha \in \Sigma(\Gamma) \cap \Pi$ , at least one of the two elements  $\varrho_1, \varrho_2$  lies on an extremal ray of the cone  $\mathcal{K}$ . The latter implies that the two elements  $\varrho_1, \varrho_2$  are uniquely determined, up to a permutation.

With every  $\alpha \in \Sigma(\Gamma) \cap \Pi$  we associate a two-element set  $\mathcal{D}(\alpha) = \{D_\alpha^+, D_\alpha^-\}$  equipped with the map  $\rho: \mathcal{D}(\alpha) \rightarrow \mathcal{L}$  given by  $\rho(D_\alpha^+) = \varrho_1$  and  $\rho(D_\alpha^-) = \varrho_2$ .

The following proposition provides a geometrical interpretation of spherical roots compatible with  $\Gamma$ .

**Proposition 6.5.** *For a spherical root  $\sigma \in \Sigma(G)$ , the following conditions are equivalent.*

- (1)  $\sigma \in \Sigma(\Gamma)$ .
- (2) There exists an affine spherical  $G$ -variety  $X$  with  $\Gamma_X = \Gamma$  and  $\Sigma_X = \{\sigma\}$ .

*Proof.* For every spherical root  $\sigma \in \Sigma(G) \cap \mathbb{Z}\Gamma$ , we put  $\mathcal{V}_\sigma = \{q \in \mathcal{Q} \mid \langle q, \sigma \rangle \leq 0\}$ .

(1) $\Rightarrow$ (2) We consider two cases.

*Case 1:*  $\sigma \notin \Pi$ . Then  $\mathcal{H} = (\mathbb{Z}\Gamma, \Gamma^\perp, \{\sigma\}, \emptyset)$  is a homogeneous spherical datum. By Theorem 5.9, there is a spherical homogeneous space  $O$  of  $G$  such that  $\mathcal{H}_O = \mathcal{H}$ . In view of Propositions 5.4 and 5.5, we thus have

$$(6.1) \quad \rho_O(\mathcal{D}_O) = \begin{cases} \{\iota(\gamma^\vee) \mid \gamma \in \Pi \setminus \Gamma^\perp\} & \text{if } \sigma \notin 2\Pi; \\ \{\iota(\alpha^\vee)/2\} \cup \{\iota(\gamma^\vee) \mid \gamma \in \Pi \setminus (\Gamma^\perp \cup \{\alpha\})\} & \text{if } \sigma = 2\alpha \in 2\Pi. \end{cases}$$

In particular,  $0 \notin \rho_O(\mathcal{D}_O)$ . It follows from (6.1) and condition (CM1) that the cone  $\mathcal{K}$  is generated by the set  $\rho_O(\mathcal{D}_O)$  and finitely many elements of  $\mathcal{V}_\sigma$ . As  $\mathcal{K}$  is strictly convex, by Proposition 5.14 there exists an affine embedding  $X$  of  $O$  such that  $\Gamma_X = \Gamma$ .

*Case 2:*  $\sigma = \alpha \in \Pi$ . We consider the quadruple  $\mathcal{H} = (\mathbb{Z}\Gamma, \Gamma^\perp, \{\alpha\}, \mathcal{D}(\alpha))$ , where  $\mathcal{D}(\alpha)$  is equipped with the above map  $\rho$ . It is easily verified that  $\mathcal{H}$  is a homogeneous spherical datum. By Theorem 5.9, there is a spherical homogeneous space  $O$  of  $G$  such that  $\mathcal{H}_O = \mathcal{H}$ . Then by Propositions 5.4 and 5.5 we have

$$(6.2) \quad \rho_O(\mathcal{D}_O) = \{\varrho_1, \varrho_2\} \cup \{\iota(\gamma^\vee) \mid \gamma \in \Pi \setminus (\Gamma^\perp \cup \{\alpha\})\}.$$

In particular,  $0 \notin \rho_O(\mathcal{D}_O)$ . It follows from (6.2) and condition (CM2) that the cone  $\mathcal{K}$  is generated by the set  $\rho_O(\mathcal{D}_O)$  and finitely many elements of  $\mathcal{V}_\sigma$ . As  $\mathcal{K}$  is strictly convex, by Proposition 5.14 there exists an affine embedding  $X$  of  $O$  such that  $\Gamma_X = \Gamma$ .

(2) $\Rightarrow$ (1) Let  $X$  be an affine spherical  $G$ -variety with  $\Gamma_X = \Gamma$  and  $\Sigma_X = \{\sigma\}$  and let  $O$  be the open  $G$ -orbit in  $X$ . By Proposition 5.3(b), we have  $\Lambda_X = \mathbb{Z}\Gamma_X$ . In view of Remark 5.1, Proposition 6.2 implies that  $\sigma$  is compatible with  $\Lambda_X$ . Thanks to Proposition 5.3(a), the cone  $\mathcal{K}_X = \mathcal{K}$  is generated by the set  $\rho_X(\mathcal{D}_X)$  and finitely many elements of  $\mathcal{V}_X = \mathcal{V}_\sigma$ . Further, Proposition 5.3(c) yields  $\Pi_X^p = \Gamma^\perp$ . Conditions (CM1) and (CM2) now follow from Propositions 5.4, 5.5, and axiom (A1).  $\square$

**Corollary 6.6.** *Suppose that  $X$  is an affine spherical  $G$ -variety with  $\Gamma_X = \Gamma$ . Then  $\Sigma_X \subset \Sigma(\Gamma)$ .*

*Proof.* Thanks to Proposition 3.7, for every  $\sigma \in \Sigma_X$  there exists an affine spherical  $G$ -variety  $Y$  with  $\Gamma_Y = \Gamma$  and  $\Sigma_Y = \{\sigma\}$ , hence  $\sigma \in \Sigma(\Gamma)$  by Proposition 6.5.  $\square$

**6.3. Admissible sets of spherical roots for a given monoid.** In this subsection, we obtain one of the main results of this paper: a combinatorial description of the affine spherical  $G$ -varieties with prescribed weight monoid (Theorem 6.9).

We retain all the notation introduced in §6.2.

**Definition 6.7.** A subset  $\Sigma \subset \Sigma(\Gamma)$  is said to be *admissible* if it satisfies the following condition:

- (AP) for every  $\alpha \in \Sigma \cap \Pi$ ,  $D \in \mathcal{D}(\alpha)$ , and  $\sigma \in \Sigma \setminus \{\alpha\}$ , the inequality  $\langle \rho(D), \sigma \rangle \leq 1$  holds, and the equality is attained if and only if  $\sigma = \beta \in \Pi$  and there is  $D' \in \mathcal{D}(\beta)$  with  $\rho(D') = \rho(D)$ .

*Remark 6.8.* The following statements follow directly from the definition.

- (a) Every 1-element subset of  $\Sigma(\Gamma)$  is admissible.  
(b) Every subset  $\Sigma \subset \Sigma(\Gamma)$  with  $\Sigma \cap \Pi = \emptyset$  is admissible. In particular,  $\Sigma(\Gamma)$  is admissible whenever  $\Sigma(\Gamma) \cap \Pi = \emptyset$ .

- (c) A subset  $\Sigma \subset \Sigma(\Gamma)$  is admissible if and only if so is every 2-element subset of  $\Sigma$ .
- (d) A subset  $\{\alpha, \sigma\} \subset \Sigma(\Gamma)$  with  $\alpha \in \Pi$  and  $\sigma \notin \Pi$  is admissible if and only if  $\langle \varrho, \sigma \rangle \leq 0$  for every  $\varrho \in \rho(\mathcal{D}(\alpha))$ .
- (e) If  $\Sigma \subset \Sigma(\Gamma)$  is an admissible subset then every subset  $\Sigma' \subset \Sigma$  is also admissible.

**Theorem 6.9.** *For a subset  $\Sigma \subset \Sigma(\Gamma)$ , the following conditions are equivalent.*

- (1)  $\Sigma$  is admissible.
- (2) There exists an affine spherical  $G$ -variety  $X$  with  $\Gamma_X = \Gamma$  and  $\Sigma_X = \Sigma$ .

*Proof.* (1) $\Rightarrow$ (2) First consider the disjoint union  $\mathcal{S} = \bigsqcup_{\alpha \in \Sigma \cap \Pi} \mathcal{D}(\alpha)$ . We introduce an equivalence relation on  $\mathcal{S}$  as follows. For  $\alpha, \alpha' \in \Pi \cap \Sigma$ ,  $D \in \mathcal{D}(\alpha)$ , and  $D' \in \mathcal{D}(\alpha')$  we write  $D \sim D'$  if and only if one of the following two conditions holds:

- $\alpha = \alpha'$  and  $D = D'$ ;
- $\alpha \neq \alpha'$  and  $\rho(D) = \rho(D')$ .

Now consider the quotient set  $\mathcal{D}^a = \mathcal{S}/\sim$ . By construction,  $\mathcal{D}^a$  is equipped with a well-defined map  $\rho: \mathcal{D}^a \rightarrow \mathcal{L}$ . For every  $\alpha \in \Sigma \cap \Pi$ , we shall identify the set  $\mathcal{D}(\alpha)$  with its image in  $\mathcal{D}^a$ . One easily checks that the quadruple  $\mathcal{H} = (\mathbb{Z}\Gamma, \Gamma^\perp, \Sigma, \mathcal{D}^a)$  is a homogeneous spherical datum. By Theorem 5.9, there is a spherical homogeneous space  $O$  of  $G$  such that  $\mathcal{H}_O = \mathcal{H}$ . Then Propositions 5.4 and 5.5 yield

$$(6.3) \quad \rho_O(\mathcal{D}_O) = \rho(\mathcal{D}^a) \cup \left\{ \frac{1}{2}\iota(\beta^\vee) \mid \beta \in \Pi \cap \frac{1}{2}\Sigma \right\} \cup \left\{ \iota(\beta^\vee) \mid \beta \in \Pi \setminus (\Gamma^\perp \cup \Sigma \cup \frac{1}{2}\Sigma) \right\}.$$

Note that  $0 \notin \rho_O(\mathcal{D}_O)$ .

We now check that the cone  $\mathcal{K}$  is generated by the set  $\rho_O(\mathcal{D}_O)$  and finitely many elements of  $\mathcal{V}_O$ . As  $\rho(\mathcal{D}^a) \subset \mathcal{K}$  by (CM2), formula (6.3) implies  $\rho_O(\mathcal{D}_O) \subset \mathcal{K}$ . Consequently, it suffices to take an arbitrary element  $\varrho \in \mathcal{K}^1 \setminus \mathcal{V}_O$  and show that a suitable positive multiple of  $\varrho$  lies in  $\rho_O(\mathcal{D}_O)$ . Since  $\mathcal{V}_O = \bigcap_{\sigma \in \Sigma} \mathcal{V}_\sigma$ , there is a spherical root  $\sigma \in \Sigma$  such that  $\varrho \in \mathcal{K}^1(\sigma)$ .

If  $\sigma \in \Pi$  then  $\varrho \in \rho_O(\mathcal{D}_O)$  by (CM2) and (6.3). If  $\sigma \notin \Pi$  then by (CM1) there exists  $\delta \in \Pi \setminus \Gamma^\perp$  such that  $\iota(\delta^\vee)$  is a positive multiple of  $\varrho$ . It follows from (6.3) that  $\iota(\delta^\vee)$  or  $\iota(\delta^\vee)/2$  lies in  $\rho_O(\mathcal{D}_O)$  unless  $\delta \in \Pi \cap \Sigma$ . But the latter implies  $\langle \delta^\vee, \sigma \rangle > 0$ , which is impossible because  $\delta$  and  $\sigma$  are two simple roots in a root system; see §5.1.

Thus, the strictly convex cone  $\mathcal{K}$  satisfies all the conditions of Proposition 5.14, and so there exists an affine embedding  $X$  of  $O$  such that  $\Gamma_X = \Gamma$ .

(2) $\Rightarrow$ (1) Let  $X$  be an affine spherical  $G$ -variety with  $\Gamma_X = \Gamma$  and  $\Sigma_X = \Sigma$ . By Proposition 5.3(a), the cone  $\mathcal{K}$  is generated by the set  $\rho_X(\mathcal{B}_X \cup \mathcal{D}_X)$ . Now take any  $\alpha \in \Sigma \cap \Pi$ . In view of condition (CM2) and Remark 6.4, the set  $\mathcal{K}^1(\alpha)$  is non-empty and is contained in  $\mathcal{D}(\alpha)$ . On the other hand, Propositions 5.4, 5.5, Remark 5.1, Theorem 5.9, and axiom (A1) imply  $\mathcal{K}^1(\alpha) \subset \rho_X(\mathcal{D}_X(\alpha))$ , hence  $\rho(\mathcal{D}(\alpha)) = \rho_X(\mathcal{D}_X(\alpha))$ . The latter yields (AP) thanks to axiom (A1).  $\square$

## 7. APPLICATIONS TO MODULI SCHEMES $M_\Gamma$

Throughout this section,  $\Gamma$  stands for a finitely generated and saturated monoid. We retain all the notation introduced at the beginning of §6.2.

**7.1. A combinatorial description of the irreducible components of  $M_\Gamma$ .** In this subsection, we apply the results of §6.3 to describe the irreducible components of the moduli scheme  $M_\Gamma$ .

According to Theorem 6.9, for every admissible subset  $\Sigma \subset \Sigma(\Gamma)$  let  $X(\Sigma)$  be the affine spherical  $G$ -variety such that  $\Gamma_{X(\Sigma)} = \Gamma$  and  $\Sigma_{X(\Sigma)} = \Sigma$ .

**Theorem 7.1.** *The map  $\Sigma \mapsto \overline{T_{\text{ad}}X(\Sigma)}$  is a bijection between the maximal with respect to inclusion admissible subsets of  $\Sigma(\Gamma)$  and the irreducible components of  $M_\Gamma$ . Moreover,  $\dim \overline{T_{\text{ad}}X(\Sigma)} = |\Sigma|$ .*

*Proof.* This follows readily from Theorem 6.9, Corollaries 4.4 and 4.6, and Proposition 4.7.  $\square$

In view of Remark 6.8(a), the set  $\Sigma(\Gamma)$  contains a unique maximal admissible subset if and only if  $\Sigma(\Gamma)$  is admissible itself. This along with Theorem 7.1 yields the following irreducibility criterion for  $M_\Gamma$ .

**Corollary 7.2.** *The following conditions are equivalent.*

- (1) *The set  $\Sigma(\Gamma)$  is admissible.*
- (2)  *$M_\Gamma$  is irreducible.*

**7.2. The tangent space of  $M_\Gamma$  at  $X_0$ .** In this subsection, we present (in a reformulated form) the combinatorial description of the  $T_{\text{ad}}$ -module structure in  $T_{X_0}M_\Gamma$  obtained in [ACF15]; see Theorem 7.10. Our version of this description, which will be needed in the remaining part of this section, requires the notions of a  $\Gamma$ -deviant simple root and a  $\Gamma$ -loose spherical root.

**Definition 7.3.** A root  $\alpha \in \Pi$  is said to be  $\Gamma$ -deviant if  $\alpha \in \mathbb{Z}\Gamma$  and there exist two distinct elements  $\varrho_1, \varrho_2 \in \mathcal{K}^1$  with the following properties:

- (DR1)  $\langle \varrho_1, \alpha \rangle = \langle \varrho_2, \alpha \rangle = 1$ ;
- (DR2)  $\iota(\alpha^\vee) \in (\mathbb{Q}^+\varrho_1 + \mathbb{Q}^+\varrho_2) \setminus \{2\varrho_1, \varrho_1 + \varrho_2, 2\varrho_2\}$ ;
- (DR3)  $\mathcal{K}^1(\alpha) = \{\varrho_1, \varrho_2\}$ .

The set of all  $\Gamma$ -deviant roots will be denoted by  $\text{Dev}(\Gamma)$ .

*Remark 7.4.* It follows directly from the definition that every  $\alpha \in \text{Dev}(\Gamma)$  has the following properties:

- (a)  $\alpha$  is primitive in the lattice  $\mathbb{Z}\Gamma$ ;
- (b)  $\alpha$  is compatible with the lattice  $\mathbb{Z}\Gamma$ ;
- (c)  $\alpha \notin \Sigma(\Gamma)$ .

The following proposition shows that the set  $\text{Dev}(\Gamma)$  is empty for a wide class of monoids  $\Gamma$ .

**Proposition 7.5.** *Suppose that  $\Gamma = \Gamma_0 \oplus \Lambda_0$  where  $\Gamma_0$  is a free monoid and  $\Lambda_0$  is a lattice with  $\Lambda_0^\perp = \Pi$  (that is,  $\Lambda_0 \subset \mathfrak{X}(C)$ ). Then  $\text{Dev}(\Gamma) = \emptyset$ . In particular,  $\text{Dev}(\Gamma) = \emptyset$  whenever  $\Gamma$  is free.*

*Proof.* Suppose that  $\alpha \in \mathbb{Z}\Gamma \cap \Pi$  and two distinct elements  $\varrho_1, \varrho_2 \in \mathcal{K}^1$  satisfy conditions (DR1)–(DR3). Then  $\{\varrho_1, \varrho_2\}$  is a part of a basis of  $\mathcal{L}$  hence  $\iota(\alpha^\vee) = b_1\varrho_1 + b_2\varrho_2$  for some  $b_1, b_2 \in \mathbb{Z}$ . In this case, one easily checks that conditions (DR1), (DR2) cannot hold simultaneously.  $\square$

Examples of  $\Gamma$  with  $\text{Dev}(\Gamma) \neq \emptyset$  are given in §7.6.

For our description of  $T_{X_0}M_\Gamma$ , we shall need the following lemma.

**Lemma 7.6.** *For an element  $\alpha \in \mathbb{Z}\Gamma \cap \Pi$ , the following conditions are equivalent.*

- (1)  $\alpha \in \text{Dev}(\Gamma) \cup \Sigma(\Gamma)$ .
- (2) *There exist two elements  $\varrho_1, \varrho_2 \in \mathcal{K} \cap \mathcal{L}$  satisfying the following conditions:*
  - (a)  $\langle \varrho_1, \alpha \rangle = \langle \varrho_2, \alpha \rangle = 1$ ;
  - (b)  $\iota(\alpha^\vee) \in (\mathbb{Q}^+ \varrho_1 + \mathbb{Q}^+ \varrho_2) \setminus \{2\varrho_1, 2\varrho_2\}$ ;
  - (c)  $\mathcal{K}^1(\alpha) \subset \{\varrho_1, \varrho_2\}$ .

*Proof.* The implication (1) $\Rightarrow$ (2) follows directly from Definitions 6.3 and 7.3. To prove the converse implication, suppose that two elements  $\varrho_1, \varrho_2 \in \mathcal{K} \cap \mathcal{L}$  satisfy conditions (a)–(c). Clearly,  $\mathcal{K}^1(\alpha) \neq \emptyset$ , and so we have two cases.

*Case 1:*  $|\mathcal{K}^1(\alpha)| = 2$ , that is,  $\mathcal{K}^1(\alpha) = \{\varrho_1, \varrho_2\}$ . It follows from Definitions 6.3 and 7.3 that  $\alpha \in \text{Dev}(\Gamma) \cup \Sigma(\Gamma)$ .

*Case 2:*  $|\mathcal{K}^1(\alpha)| = 1$ . Without loss of generality we assume that  $\mathcal{K}^1(\alpha) = \{\varrho_1\}$ . We claim that  $\alpha \in \Sigma(\Gamma)$ . To check condition (CM2) it suffices to prove that the element  $\varrho'_2 = \iota(\alpha^\vee) - \varrho_1$  lies in the cone  $\mathcal{K}$ . Let  $a, b \in \mathbb{Q}^+ \setminus \{0\}$  be such that  $\iota(\alpha^\vee) = a\varrho_1 + b\varrho_2$ ; note that  $a + b = 2$ . Next, as  $\varrho_2 \in \mathcal{K}$  there is an expression  $\varrho_2 = c\varrho_1 + \tau$  where  $c \in \mathbb{Q}^+$  and  $\tau$  is an element of the cone spanned by the set  $\mathcal{K}^1 \setminus \{\varrho_1\}$ . Since  $\langle \tau, \alpha \rangle \leq 0$ , it follows from (a) that  $c \geq 1$ . We have  $\varrho'_2 = (a + bc - 1)\varrho_1 + b\tau$  with  $a + bc - 1 \geq a + b - 1 = 1$ , and so  $\varrho'_2 \in \mathcal{K}$ .  $\square$

**Definition 7.7.** A spherical root  $\sigma \in \Sigma(G)$  is said to be  $\Gamma$ -loose<sup>3</sup> if  $\sigma \in \Sigma(\Gamma)$  and one of the following conditions holds:

- (LR1)  $\sigma \notin \mathbb{Z}\Pi$ ;
- (LR2)  $\sigma = \alpha \in \Pi$  and  $\rho(\mathcal{D}(\alpha)) = \{\iota(\alpha^\vee)/2\}$ ;
- (LR3)  $\sigma = \alpha_1 + \dots + \alpha_r$  with  $\text{Supp } \sigma$  of type  $B_r$  ( $r \geq 2$ ) and  $\alpha_r \in \Gamma^\perp$ ;
- (LR4)  $\sigma = 2\alpha_1 + \alpha_2$  with  $\text{Supp } \sigma$  of type  $G_2$ .

Note that  $2\sigma \in \Sigma(G) \cap \mathbb{Z}\Pi$  for every  $\Gamma$ -loose  $\sigma \in \Sigma(G)$ .

For every  $\sigma \in \Sigma(\Gamma)$  we define the element  $\bar{\sigma} \in \{\sigma, 2\sigma\}$  as follows:

$$\bar{\sigma} = \begin{cases} 2\sigma & \text{if } \sigma \text{ is } \Gamma\text{-loose;} \\ \sigma & \text{otherwise.} \end{cases}$$

The following theorem, which is a particular case of [Lo09a, Theorem 2] (see also [ACF15, Theorem 4.20]), explains the role played by  $\Gamma$ -loose spherical roots for affine spherical  $G$ -varieties.

**Theorem 7.8.** *Suppose that  $X$  is an affine spherical  $G$ -variety with weight monoid  $\Gamma$ . Then  $\bar{\Sigma}_X = \{\bar{\sigma} \mid \sigma \in \Sigma_X\}$ .*

Next, we define the set

$$\bar{\Sigma}(\Gamma) = \{\bar{\sigma} \mid \sigma \in \Sigma(\Gamma)\} \subset \Sigma(G).$$

Note that  $\sigma \mapsto \bar{\sigma}$  is a natural bijection between  $\Sigma(\Gamma)$  and  $\bar{\Sigma}(\Gamma)$ .

*Remark 7.9.*  $\bar{\Sigma}(\Gamma) \cap \text{Dev}(\Gamma) = \emptyset$ .

<sup>3</sup>The term is taken from [BL11, §2.2] where it was used in a similar situation.

We now put

$$\Phi(\Gamma) = \{\sigma \in \mathfrak{X}(T_{\text{ad}}) \mid -\sigma \text{ is a } T_{\text{ad}}\text{-weight of } T_{X_0}M_\Gamma\}.$$

In other words,  $\Phi(\Gamma)$  is the set of  $T_{\text{ad}}$ -weights in the cotangent space of  $M_\Gamma$  at  $X_0$ .

The following theorem is a reformulation of [ACF15, Theorem 3.1].

**Theorem 7.10.** *The tangent space  $T_{X_0}M_\Gamma$  is a multiplicity-free  $T_{\text{ad}}$ -module<sup>4</sup>. Moreover,  $\Phi(\Gamma) = \overline{\Sigma}(\Gamma) \cup \text{Dev}(\Gamma)$ .*

*Proof.* This follows by comparing [ACF15, Theorem 3.1] with the definitions of  $\Sigma(\Gamma)$ ,  $\overline{\Sigma}(\Gamma)$ ,  $\text{Dev}(\Gamma)$  and taking into account Lemma 7.6.  $\square$

Combining this theorem together with Proposition 7.5, we obtain

**Corollary 7.11.** *Suppose that  $\Gamma$  is free. Then  $\Phi(\Gamma) = \overline{\Sigma}(\Gamma)$ .*

### 7.3. A smoothness criterion for $M_\Gamma$ .

**Theorem 7.12.** *The following conditions are equivalent.*

- (1) *The set  $\Sigma(\Gamma)$  is admissible and  $\text{Dev}(\Gamma) = \emptyset$ .*
- (2)  *$M_\Gamma$  is an affine space (as a scheme).*

*Proof.* (1) $\Rightarrow$ (2) Theorems 7.1 and 7.10 imply that  $M_\Gamma$  is smooth at  $X_0$ , and so  $M_\Gamma$  is an affine space by Theorem 4.8.

(2) $\Rightarrow$ (1) The set  $\Sigma(\Gamma)$  is admissible by Corollary 7.2. Next, thanks to Theorem 7.1, there exists an affine spherical  $G$ -variety  $X$  with  $\Gamma_X = \Gamma$  such that  $M_\Gamma = \overline{T_{\text{ad}}X}$ . As  $M_\Gamma$  is an affine space, Theorem 4.5 yields  $\Phi(\Gamma) = \overline{\Sigma}_X$ . Now Theorem 7.10, Remark 7.4(a, c), and Corollary 6.6 imply  $\text{Dev}(\Gamma) = \emptyset$ .  $\square$

**Corollary 7.13.** *Suppose that the set  $\Sigma(\Gamma)$  is admissible (or, equivalently,  $M_\Gamma$  is irreducible). Then the following conditions are equivalent.*

- (1)  *$\text{Dev}(\Gamma) = \emptyset$ .*
- (2)  *$M_\Gamma$  is reduced.*

*Proof.* (1) $\Rightarrow$ (2) This follows from Theorem 7.12.

(2) $\Rightarrow$ (1) Applying Theorems 7.1, 4.5, 3.3, and 7.8 we find that  $\Phi(\Gamma) = \overline{\Sigma}(\Gamma)$ , whence  $\text{Dev}(\Gamma) = \emptyset$  by Theorem 7.10 and Remark 7.9.  $\square$

**7.4. Sufficient conditions for  $M_\Gamma$  to be irreducible and/or smooth.** To establish such conditions, we shall need the three following lemmas.

**Lemma 7.14.** *Let  $\alpha \in \Sigma(\Gamma) \cap \Pi$  and  $\sigma \in \Sigma(\Gamma) \setminus \Pi$ . Suppose that  $\rho(\mathcal{D}(\alpha)) = \{\iota(\alpha^\vee)/2\}$ . Then the set  $\{\alpha, \sigma\}$  is admissible.*

*Proof.* Thanks to Remark 6.8(d), we need to show that  $\langle \alpha^\vee, \sigma \rangle \leq 0$ . If  $\alpha \notin \text{Supp } \sigma$  then the latter inequality holds automatically, therefore we may assume that  $\alpha \in \text{Supp } \sigma$ . Since both pairs  $(\Gamma^\perp, \alpha)$  and  $(\Gamma^\perp, \sigma)$  are compatible, it follows from (5.2) that  $\Pi_\sigma \subset \alpha^\perp$ , that is,  $\alpha \perp \Pi_\sigma$ . An inspection of Table 1 shows that the conditions  $\langle \alpha^\vee, \sigma \rangle > 0$  and  $\alpha \perp \Pi_\sigma$  can hold simultaneously only in one of the following three cases:

<sup>4</sup>Here the term ‘‘multiplicity-free  $T_{\text{ad}}$ -module’’ should not be mixed with ‘‘multiplicity-free  $T_{\text{ad}}$ -variety’’, which has a different meaning.

- (1)  $\sigma = \alpha_1 + \alpha_2$  with  $\text{Supp } \sigma$  of type  $A_2$  and  $\alpha \in \{\alpha_1, \alpha_2\}$ ;
- (2)  $\sigma = \alpha_1 + \alpha_2$  with  $\text{Supp } \sigma$  of type  $B_2$  and  $\alpha = \alpha_1$ ;
- (3)  $\sigma = \alpha_1 + \alpha_2$  with  $\text{Supp } \sigma$  of type  $G_2$  and  $\alpha = \alpha_2$ .

Further, the condition  $\iota(\alpha^\vee)/2 \in \mathcal{L}$  implies  $\langle \alpha^\vee, \sigma \rangle \in 2\mathbb{Z}$ , which is not the case in any of the above three situations. Thus  $\langle \alpha^\vee, \sigma \rangle \leq 0$ .  $\square$

**Lemma 7.15.** *Suppose that  $\alpha \in (\overline{\Sigma}(\Gamma) \cap \Pi) \cup \text{Dev}(\Gamma)$ . Then  $\iota(\alpha^\vee)$  does not lie on an extremal ray of the cone  $\mathcal{K}$ .*

*Proof.* This follows by comparing the definitions of the sets  $\Sigma(\Gamma)$ ,  $\overline{\Sigma}(\Gamma)$ , and  $\text{Dev}(\Gamma)$ .  $\square$

**Lemma 7.16.** *Suppose that  $\alpha \in (\Sigma(\Gamma) \cap \Pi) \cup \text{Dev}(\Gamma)$  and  $X$  is an affine spherical  $G$ -variety with  $\Gamma_X = \Gamma$ . Then  $\mathcal{D}_X(\alpha) \neq \emptyset$ . Moreover,*

$$|\mathcal{D}_X(\alpha)| = \begin{cases} 2 & \text{if } \alpha \in \Sigma_X; \\ 1 & \text{otherwise.} \end{cases}$$

*Proof.* It follows from the hypothesis that  $\alpha \in \mathbb{Z}\Gamma$ , which implies  $\alpha \notin \Pi_X^p$  by Proposition 5.3(c). Now the claim follows from Proposition 5.4.  $\square$

**Proposition 7.17.** *Suppose that  $\rho(\mathcal{D}(\alpha)) \subset \mathcal{K}^1$  for every  $\alpha \in \Sigma(\Gamma) \cap \Pi$ . Then*

- (a) *the set  $\Sigma(\Gamma)$  is admissible;*
- (b)  *$M_\Gamma$  is irreducible.*

*Proof.* (a) According to Remark 6.8(b, c), it is enough to show that every set  $\{\alpha, \sigma\}$  with  $\alpha \in \Sigma(\Gamma) \cap \Pi$  and  $\sigma \in \Sigma(\Gamma) \setminus \{\alpha\}$  is admissible.

*Case 1:*  $\sigma \notin \Pi$ . Assume that there is  $D \in \mathcal{D}(\alpha)$  such that  $\langle \rho(D), \sigma \rangle > 0$ . Since  $\rho(D) \in \mathcal{K}^1$ , it follows from (CM1) that  $\rho(D)$  is proportional to  $\iota(\beta^\vee)$  for some  $\beta \in \Pi$ . As  $\langle \rho(D), \alpha \rangle = 1$ , we obtain  $\beta = \alpha$  and hence  $\rho(\mathcal{D}(\alpha)) = \{\iota(\alpha^\vee)/2\}$ . Now the claim is implied by Lemma 7.14.

*Case 2:*  $\sigma = \beta \in \Pi$ . Assume that there is  $D \in \mathcal{D}(\alpha)$  such that  $\langle \rho(D), \beta \rangle > 0$ . Since  $\rho(D) \in \mathcal{K}^1$ , it follows from (CM2) that  $\rho(D) = \rho(D')$  for some  $D' \in \mathcal{D}(\beta)$ .

Part (b) follows from (a) thanks to Corollary 7.2.  $\square$

The next proposition describes a class of monoids  $\Gamma$  for which  $M_\Gamma$  is an affine space.

**Proposition 7.18.** *Suppose that  $\Gamma = \Gamma_0 \oplus \Lambda_0$  where  $\Gamma_0$  is a free monoid with minimal set of generators  $E$  and  $\Lambda_0$  is a lattice with  $\Lambda_0^\perp = \Pi$  (that is,  $\Lambda_0 \subset \mathfrak{X}(C)$ ). Suppose that every  $\alpha \in \Pi$  satisfies one of the following conditions:*

- (1)  $\langle \alpha^\vee, \lambda \rangle > 0$  for at most one  $\lambda \in E$ ;
- (2)  $\langle \alpha^\vee, \lambda \rangle \leq 1$  for all  $\lambda \in E$ .

*Then  $M_\Gamma$  is an affine space.*

*Proof.* Proposition 7.5 yields  $\text{Dev}(\Gamma) = \emptyset$ , hence by Theorem 7.12 and Proposition 7.17 it suffices to show that  $\rho(\mathcal{D}(\alpha)) \subset \mathcal{K}^1$  for every  $\alpha \in \Sigma(\Gamma) \cap \Pi$ .

For every  $\lambda \in E$ , let  $\varrho_\lambda \in \mathcal{K}^1$  be the respective dual element.

Take an arbitrary root  $\alpha \in \Sigma(\Gamma) \cap \Pi$ . Clearly,  $\iota(\alpha^\vee) \neq 0$ . If  $\alpha$  satisfies (1) then  $\iota(\alpha^\vee)$  lies on an extremal ray of  $\mathcal{K}$ , which by Lemma 7.15 implies  $\rho(\mathcal{D}(\alpha)) = \{\iota(\alpha^\vee)/2\} \subset \mathcal{K}^1$ . In what follows we assume that  $\alpha$  satisfies (2). As  $\alpha \in \Sigma(\Gamma)$ , there is an expression

$\alpha = \sum_{\lambda \in E} c_\lambda \lambda + \mu$  where  $c_\lambda \in \mathbb{Z}$  and  $\mu \in \Lambda_0$ . Note that  $c_\lambda = \langle \varrho_\lambda, \alpha \rangle$  for all  $\lambda \in E$ . It follows from (CM2) that  $c_\lambda \leq 1$  for all  $\lambda \in E$ . Now

$$\langle \alpha^\vee, \alpha \rangle = 2 = \sum_{\lambda \in E} c_\lambda \langle \alpha^\vee, \lambda \rangle,$$

which in view of (2) implies that there exist two distinct elements  $\lambda_1, \lambda_2 \in E$  such that  $c_{\lambda_1} = 1$  and  $c_{\lambda_2} = 1$ . It follows from (CM2) that  $\rho(\mathcal{D}(\alpha)) = \{\varrho_{\lambda_1}, \varrho_{\lambda_2}\} \subset \mathcal{K}^1$ .  $\square$

*Remark 7.19.* As we shall see in Remark 7.22 below, the weight monoid of any affine spherical  $G$ -variety  $X$  with  $\mathbb{k}[X]$  a unique factorization domain is of the form described in Proposition 7.18. In particular, so is the weight monoid of any spherical  $G$ -module.

**Proposition 7.20.** *Suppose that there exists an affine spherical  $G$ -variety  $X$  with  $\Gamma_X = \Gamma$  such that  $\overline{\Sigma}(\Gamma) \cap \Pi \subset \Sigma_X$  and  $\rho_X(D)$  lies on an extremal ray of  $\mathcal{K}$  for every  $D \in \mathcal{D}_X$ . Then  $M_\Gamma$  is an affine space.*

*Proof.* By Theorem 7.12, we need to prove that the set  $\Sigma(\Gamma)$  is admissible and  $\text{Dev}(\Gamma) = \emptyset$ .

In view of Proposition 7.17(a), to check the admissibility of  $\Sigma(\Gamma)$  it suffices to show that  $\rho(\mathcal{D}(\alpha)) \subset \mathcal{K}^1$  for every  $\alpha \in \Sigma(\Gamma) \cap \Pi$ .

*Case 1:*  $\alpha \in \overline{\Sigma}(\Gamma)$ . The hypotheses imply  $\alpha \in \Sigma_X$ , whence  $\rho(\mathcal{D}(\alpha)) = \rho(\mathcal{D}_X(\alpha)) \subset \mathcal{K}^1$ .

*Case 2:*  $\alpha \notin \overline{\Sigma}(\Gamma)$ . Then  $\rho(\mathcal{D}(\alpha)) = \{\iota(\alpha^\vee)/2\}$  by the definition of  $\overline{\Sigma}(\Gamma)$ , and Remark 6.4 yields  $\rho(\mathcal{D}(\alpha)) \subset \mathcal{K}^1$ .

We now show that  $\text{Dev}(\Gamma) = \emptyset$ . Take any  $\alpha \in \text{Dev}(\Gamma)$ . By Lemma 7.16, the set  $\mathcal{D}_X(\alpha)$  contains a unique element  $D$ . Proposition 5.4 then implies that  $\rho(D)$  is proportional to  $\iota(\alpha^\vee)$ , and so  $\iota(\alpha^\vee)$  lies on an extremal ray of  $\mathcal{K}^1$ , which contradicts Lemma 7.15.  $\square$

**Proposition 7.21.** *Suppose that there exists an affine spherical  $G$ -variety  $X$  with  $\Gamma_X = \Gamma$  such that  $\mathbb{k}[X]$  is a unique factorization domain. Then  $M_\Gamma$  is an affine space.*

*Proof.* The claim will follow as soon as we check the conditions of Proposition 7.20.

It is well known that under our hypotheses all the elements  $\rho_X(D)$  with  $D \in \mathcal{B}_X \cup \mathcal{D}_X$  are linearly independent in  $\mathcal{L}$ ; we recall the proof for convenience of the reader. As  $\mathbb{k}[X]$  is a unique factorization domain, the divisor class group of  $X$  is trivial. Consequently, for every  $D \in \mathcal{B}_X \cup \mathcal{D}_X$  there exists a function  $f_D \in \mathbb{k}[X]$  such that  $D$  is the divisor of zeros of  $f_D$ . As  $D$  is  $B$ -stable,  $f_D$  is  $B$ -semi-invariant, and we let  $\lambda_D \in \Gamma$  be the weight of  $f_D$ . Then for all  $D, D' \in \mathcal{B}_X \cup \mathcal{D}_X$  one has

$$(7.1) \quad \langle \rho_X(D), \lambda_{D'} \rangle = \begin{cases} 1 & \text{if } D = D'; \\ 0 & \text{if } D \neq D'. \end{cases}$$

It follows that all the elements  $\rho_X(D)$  with  $D \in \mathcal{B}_X \cup \mathcal{D}_X$  are linearly independent in  $\mathcal{L}$ . Since these elements generate the cone  $\mathcal{K}$  (see Proposition 5.3(a)), we get  $\rho_X(\mathcal{D}_X) \subset \mathcal{K}^1$ .

To complete the proof, it suffices to show that  $\overline{\Sigma}(\Gamma) \cap \Pi \subset \Sigma_X$ . Take any  $\alpha \in \overline{\Sigma}(\Gamma) \cap \Pi$  and assume that  $\alpha \notin \Sigma_X$ . Then by Lemma 7.16 the set  $\mathcal{D}_X(\alpha)$  contains a unique element  $D$ . It follows from Proposition 5.4 that  $\iota(\alpha^\vee)$  lies on an extremal ray of the cone  $\mathcal{K}$ , which contradicts Lemma 7.15.  $\square$

*Remark 7.22.* Suppose that  $X$  is an affine spherical  $G$ -variety with  $\Gamma_X = \Gamma$  such that  $\mathbb{k}[X]$  is a unique factorization domain. Then, combining relations (7.1) with Proposition 5.4,

it is easy to see that the weight monoid of  $X$  satisfies the conditions of Proposition 7.18 with  $E = \{\lambda_D \mid D \in \mathcal{B}_X \cup \mathcal{D}_X\}$  and  $\Lambda_0$  the lattice of weights of invertible  $G$ -semi-invariant regular functions<sup>5</sup> on  $X$ . This gives an alternative proof of Proposition 7.21.

The following statement recovers the main results of the papers [PvS12, PvS16].

**Corollary 7.23.** *Suppose that there exists a spherical  $G$ -module  $V$  with  $\Gamma_V = \Gamma$ . Then*

- (a)  $M_\Gamma$  is an affine space;
- (b)  $M_\Gamma = \overline{T_{\text{ad}}V}$ .

*Proof.* As  $\mathbb{k}[V]$  is a unique factorization domain, part (a) follows from Proposition 7.21. Part (b) is implied by [AB05, Corollary 2.9] because  $V$  is smooth.  $\square$

We now describe one more class of monoids  $\Gamma$  for which  $M_\Gamma$  is an affine space.

**Definition 7.24.** A finitely generated monoid  $\Gamma \subset \Lambda^+$  is called  *$G$ -saturated* if

$$\Gamma = \mathbb{Z}\Gamma \cap \Lambda^+.$$

*Remark 7.25.* Every  $G$ -saturated monoid is automatically saturated.

*Remark 7.26.* A monoid  $\Gamma$  is  $G$ -saturated if and only if its dual cone  $\mathcal{K}$  is generated by the set  $\{\iota(\gamma^\vee) \mid \gamma \in \Pi \setminus \Pi^p\}$ .

**Theorem 7.27.** *Suppose that  $\Gamma$  is  $G$ -saturated. Then*

- (a)  $\text{Dev}(\Gamma) = \emptyset$ ;
- (b) the set  $\Sigma(\Gamma)$  is admissible;
- (c)  $M_\Gamma$  is an affine space.

*Proof.* (a) In view of Remark 7.26, for every  $\alpha \in \mathbb{Z}\Gamma \cap \Pi$  the set  $\mathcal{K}^1(\alpha)$  contains a unique element, which is proportional to  $\iota(\alpha^\vee)$ . Hence  $\text{Dev}(\Gamma) = \emptyset$  by Lemma 7.15.

(b) Take any  $\alpha \in \Sigma(\Gamma) \cap \Pi$ . Lemma 7.15 together with Remark 7.26 imply that  $\rho(\mathcal{D}(\alpha)) = \{\iota(\alpha^\vee)/2\}$ , whence  $\rho(\mathcal{D}(\alpha)) \subset \mathcal{K}^1$ . Then the set  $\Sigma(\Gamma)$  is admissible by Proposition 7.17(a).

(c) This follows from (a) and (b) thanks to Theorem 7.12.  $\square$

*Remark 7.28.* For the case where  $\Gamma$  is free and  $G$ -saturated, the fact that  $M_\Gamma$  is an affine space was known before thanks to the papers [Ja07, BCF08].

**7.5. Examples of reducible  $M_\Gamma$ .** Recall from Theorem 7.1 that the irreducible components of  $M_\Gamma$  are in bijection with the maximal admissible subsets of  $\Sigma(\Gamma)$ .

In all the five examples presented in this subsection, the monoid  $\Gamma$  is free,  $\Sigma(\Gamma) = \{\sigma_1, \sigma_2\}$  for two distinct elements  $\sigma_1, \sigma_2 \in \Sigma(G)$ , and the whole set  $\Sigma(\Gamma)$  is not admissible. Thus  $M_\Gamma$  turns out to have two irreducible components of dimension 1 meeting at the point  $X_0$ .

Despite all the examples show the same geometrical picture of  $M_\Gamma$ , our motivation for constructing them was to reveal different combinatorial types of a non-admissible pair of spherical roots in  $\Sigma(\Gamma)$ . Namely, as every admissible subset of  $\Sigma(\Gamma)$  is a set of simple roots of a root system in  $\mathbb{Q}\Gamma$  (see §5.1), it is clear that the set  $\{\sigma_1, \sigma_2\}$  is automatically not admissible whenever  $(\sigma_1, \sigma_2) > 0$ ; this happens in Example 7.29. On the other hand, by

<sup>5</sup>In fact, every invertible regular function on  $X$  is automatically  $G$ -semi-invariant by [Ro61, Theorem 1].

Remark 6.8(b) the set  $\{\sigma_1, \sigma_2\}$  is automatically admissible if  $\sigma_1, \sigma_2 \notin \Pi$ . Our remaining four examples show that in the situation  $\{\sigma_1, \sigma_2\} \cap \Pi \neq \emptyset$  there are no simple conditions like  $(\sigma_1, \sigma_2) = 0$  or  $(\sigma_1, \sigma_2) < 0$  under which the set  $\{\sigma_1, \sigma_2\}$  is automatically admissible, and this holds regardless of whether both  $\sigma_1, \sigma_2$  are simple roots or only one of them is simple. The following table demonstrates the combinatorial differences of our examples.

Example no.	7.29	7.30	7.32	7.33	7.34
Property	$\sigma_1 \notin \Pi, \sigma_2 \in \Pi,$ $(\sigma_1, \sigma_2) > 0$	$\sigma_1, \sigma_2 \in \Pi,$ $(\sigma_1, \sigma_2) = 0$	$\sigma_1 \notin \Pi, \sigma_2 \in \Pi,$ $(\sigma_1, \sigma_2) = 0$	$\sigma_1, \sigma_2 \in \Pi,$ $(\sigma_1, \sigma_2) < 0$	$\sigma_1 \notin \Pi, \sigma_2 \in \Pi,$ $(\sigma_1, \sigma_2) < 0$

**Example 7.29.** Let  $G = \mathrm{SL}_3$  and  $\Gamma = \mathbb{Z}^+\{3\varpi_1, \varpi_1 + \varpi_2\}$ . Then  $\Gamma^\perp = \emptyset$  and  $\mathbb{Z}\Gamma = \mathbb{Z}\{\alpha_1, \alpha_2\}$ . The spherical roots of  $G$  compatible with the lattice  $\mathbb{Z}\Gamma$  are  $\alpha_1, \alpha_2$  and  $\alpha_1 + \alpha_2$ . Next,  $\mathcal{K}^1 = \{\varrho_1, \varrho_2\}$  where  $\varrho_1 = (\alpha_1^\vee - \alpha_2^\vee)/3$  and  $\varrho_2 = \alpha_2^\vee$ . We have  $\Sigma(\Gamma) = \{\alpha_1 + \alpha_2, \alpha_1\}$  with  $\rho(\mathcal{D}(\alpha_1)) = \{\varrho_1, 2\varrho_1 + \varrho_2\}$ . As  $\langle 2\varrho_1 + \varrho_2, \alpha_1 + \alpha_2 \rangle = 1 > 0$ , the set  $\{\alpha_1 + \alpha_2, \alpha_1\}$  is not admissible. Thus there are two maximal admissible subsets  $\{\alpha_1 + \alpha_2\}$  and  $\{\alpha_1\}$ .

**Example 7.30.** Let  $G = \mathrm{SL}_2 \times \mathrm{SL}_2$ , let  $\varpi_i$  (resp.  $\alpha_i$ ) be the fundamental weight (resp. simple root) of the  $i$ th factor of  $G$ , and consider the monoid  $\Gamma = \mathbb{Z}^+\{2\varpi_1, 2l\varpi_1 + 2\varpi_2\}$ , where  $l$  is a positive integer. Then  $\Gamma^\perp = \emptyset$  and  $\mathbb{Z}\Gamma = \mathbb{Z}\{\alpha_1, \alpha_2\}$ . The spherical roots of  $G$  compatible with the lattice  $\mathbb{Z}\Gamma$  are  $\alpha_1$  and  $\alpha_2$ . Next,  $\mathcal{K}^1 = \{\varrho_1, \varrho_2\}$  where  $\varrho_1 = (\alpha_1^\vee - l\alpha_2^\vee)/2$  and  $\varrho_2 = \alpha_2^\vee/2$ . We have  $\Sigma(\Gamma) = \{\alpha_1, \alpha_2\}$  with  $\rho(\mathcal{D}(\alpha_1)) = \{\varrho_1, \varrho_1 + 2l\varrho_2\}$  and  $\rho(\mathcal{D}(\alpha_2)) = \{\varrho_2\}$ . If  $l = 1$  then the set  $\{\alpha_1, \alpha_2\}$  is not admissible since  $\langle \varrho_1 + 2\varrho_2, \alpha_2 \rangle = 1$  but  $\varrho_1 + 2\varrho_2 \notin \rho(\mathcal{D}(\alpha_2))$ . If  $l \geq 2$  then the set  $\{\alpha_1, \alpha_2\}$  is not admissible because  $\langle \varrho_1 + 2l\varrho_2, \alpha_2 \rangle = l > 1$ . Thus there are two maximal admissible subsets  $\{\alpha_1\}$  and  $\{\alpha_2\}$ .

*Remark 7.31.* For  $l = 2$  we recover Luna's example mentioned in [AB06, Example 3.20].

**Example 7.32.** Let  $G = \mathrm{SL}_2 \times G_0$ , where  $G_0$  is a connected semisimple algebraic group, and  $\Gamma = \mathbb{Z}^+\{\alpha, l\alpha + \sigma\}$ , where  $\alpha$  is the simple root of  $\mathrm{SL}_2$ ,  $\sigma$  is a dominant weight of  $G_0$  such that  $\sigma \in \Sigma(G_0) \setminus \Pi$ , and  $l$  is a positive integer. Then  $\Gamma^\perp = \sigma^\perp \setminus \{\alpha\}$  and  $\mathbb{Z}\Gamma = \mathbb{Z}\{\alpha, \sigma\}$ . The spherical roots of  $G$  compatible with the lattice  $\mathbb{Z}\Gamma$  are  $\sigma$  and  $\alpha$ . Let  $\varrho_0$  be the element of  $\mathcal{L}$  such that  $\langle \varrho_0, \alpha \rangle = 0$  and  $\langle \varrho_0, \sigma \rangle = 2$ . Then  $\mathcal{K}^1 = \{\varrho_1, \varrho_2\}$  where  $\varrho_1 = (\alpha^\vee - l\varrho_0)/2$  and  $\varrho_2 = \varrho_0/2$ . We have  $\Sigma(\Gamma) = \{\sigma, \alpha\}$  with  $\rho(\mathcal{D}(\alpha)) = \{\varrho_1, \varrho_1 + 2l\varrho_2\}$ . As  $\langle \varrho_1 + 2l\varrho_2, \sigma \rangle = l > 0$ , the set  $\{\sigma, \alpha\}$  is not admissible. Thus there are two maximal admissible subsets  $\{\sigma\}$  and  $\{\alpha\}$ .

**Example 7.33.** Let  $G = \mathrm{SL}_4$  and  $\Gamma = \mathbb{Z}^+\{2\varpi_1 + (2l+1)\varpi_2, 2\varpi_2, \varpi_1 + \varpi_3\}$ , where  $l$  is a positive integer. Then  $\Gamma^\perp = \emptyset$  and  $\mathbb{Z}\Gamma = \mathbb{Z}\{\alpha_1, \alpha_2, \alpha_3\}$ . The spherical roots of  $G$  compatible with the lattice  $\mathbb{Z}\Gamma$  are  $\alpha_1, \alpha_2, \alpha_3, \alpha_1 + \alpha_2$ , and  $\alpha_2 + \alpha_3$ . Next,  $\mathcal{K}^1 = \{\varrho_1, \varrho_2, \varrho_3\}$  where  $\varrho_1 = (\alpha_1^\vee - \alpha_3^\vee)/2$ ,  $\varrho_2 = -(2l+1)\alpha_1^\vee/4 + \alpha_2^\vee/2 + (2l+1)\alpha_3^\vee/4$ , and  $\varrho_3 = \alpha_3^\vee$ . We have  $\Sigma(\Gamma) = \{\alpha_1, \alpha_2\}$  with  $\rho(\mathcal{D}(\alpha_1)) = \{\varrho_1, \varrho_1 + \varrho_3\}$  and  $\rho(\mathcal{D}(\alpha_2)) = \{\varrho_2, \varrho_2 + (2l+1)\varrho_1\}$ . If  $l = 1$  then the set  $\{\alpha_1, \alpha_2\}$  is not admissible since  $\langle \varrho_2 + (2l+1)\varrho_1, \alpha_1 \rangle = 1$  but  $\varrho_2 + (2l+1)\varrho_1 \notin \rho(\mathcal{D}(\alpha_1))$ . If  $l \geq 2$  then the set  $\{\alpha_1, \alpha_2\}$  is not admissible because  $\langle \varrho_2 + (2l+1)\varrho_1, \alpha_1 \rangle = l > 1$ . Thus there are two maximal admissible subsets  $\{\alpha_1\}$  and  $\{\alpha_2\}$ .

**Example 7.34.** Let  $G = \mathrm{SL}_4$  and  $\Gamma = \mathbb{Z}^+\{\varpi_1 + (2l+1)\varpi_3, \omega_2, 2\omega_3\}$ , where  $l$  is a positive integer. Then  $\Gamma^\perp = \emptyset$  and  $\mathbb{Z}\Gamma = \mathbb{Z}\{\alpha_1, \alpha_2, (\alpha_1 + \alpha_3)/2\}$ . The spherical roots of  $G$  compatible with the lattice  $\mathbb{Z}\Gamma$  are  $\alpha_1, \alpha_2, \alpha_3, \alpha_1 + \alpha_2$ , and  $\alpha_2 + \alpha_3$ . Next,  $\mathcal{K}^1 = \{\varrho_1, \varrho_2, \varrho_3\}$  where  $\varrho_1 = \alpha_1^\vee$ ,  $\varrho_2 = \alpha_2^\vee$  and  $\varrho_3 = (\alpha_3^\vee - (2l+1)\alpha_1^\vee)/2$ . We have  $\Sigma(\Gamma) = \{\alpha_1 + \alpha_2, \alpha_3\}$

with  $\rho(\mathcal{D}(\alpha_3)) = \{\varrho_3, \varrho_3 + (2l + 1)\varrho_1\}$ . As  $\langle \varrho_3 + (2l + 1)\varrho_1, \alpha_1 + \alpha_2 \rangle = l > 0$ , the set  $\{\alpha_1 + \alpha_2, \alpha_3\}$  is not admissible. Thus there are two maximal admissible subsets  $\{\alpha_1 + \alpha_2\}$  and  $\{\alpha_3\}$ .

*Remark 7.35.* In Examples 7.30, 7.32–7.34 the set  $\Sigma(\Gamma)$  is admissible whenever  $l = 0$ .

*Remark 7.36.* It would be interesting to construct examples of reducible moduli schemes  $M_\Gamma$  revealing other features. For instance, are there examples of  $M_\Gamma$  with arbitrarily many irreducible components and/or irreducible components of arbitrarily large dimension?

**7.6. Examples where  $M_\Gamma$  is a non-reduced point.** In the examples below, the fact that  $M_\Gamma$  is a non-reduced point follows from  $\Sigma(\Gamma) = \emptyset$  and  $\text{Dev}(\Gamma) \neq \emptyset$  thanks to Theorem 7.1 and Corollary 7.13.

**Example 7.37.** Let  $G = \text{SL}_4$  and  $\Gamma = \mathbb{Z}^+\{\lambda_1, \lambda_2, \lambda_3, \lambda_4\}$ , where

$$\begin{aligned}\lambda_1 &= \varpi_2 + \varpi_3, \\ \lambda_2 &= 2\varpi_1 + 2\varpi_2 + 2\varpi_3, \\ \lambda_3 &= 2\varpi_1 + 2\varpi_2 + 3\varpi_3, \\ \lambda_4 &= 4\varpi_1 + 4\varpi_2 + 7\varpi_3.\end{aligned}$$

Then  $\Gamma^\perp = \emptyset$  and  $\mathbb{Z}\Gamma = \mathbb{Z}\{2\varpi_1, \varpi_2, \varpi_3\}$ . Note that  $\lambda_3 = (\lambda_2 + \lambda_4)/3$  and  $\Gamma$  is the intersection of the lattice  $\mathbb{Z}\Gamma$  with the cone  $\mathbb{Q}^+\Gamma$ , so that  $\Gamma$  is saturated. The spherical roots of  $G$  compatible with the lattice  $\mathbb{Z}\Gamma$  are  $\alpha_1$  and  $\alpha_3$ . One easily checks that  $\Sigma(\Gamma) = \emptyset$ . Next,  $\mathcal{K}^1 = \{\varrho_1, \varrho_2, \varrho_3\}$  where

$$\begin{aligned}\varrho_1 &= 3\alpha_1^\vee/2 + 2\alpha_2^\vee - 2\alpha_3^\vee, \\ \varrho_2 &= -\alpha_2^\vee + \alpha_3^\vee, \\ \varrho_3 &= -\alpha_1^\vee + \alpha_2^\vee.\end{aligned}$$

We have  $\langle \varrho_1, \alpha_1 \rangle = \langle \varrho_2, \alpha_1 \rangle = 1$ ,  $\langle \varrho_3, \alpha_1 \rangle = -3$ , and  $\alpha_1^\vee = (2\varrho_1 + 4\varrho_2)/3$ , which implies that  $\alpha_1$  and  $\varrho_1, \varrho_2$  satisfy conditions (DR1)–(DR3), whence  $\alpha_1 \in \text{Dev}(\Gamma)$ . One easily checks that  $\alpha_3 \notin \text{Dev}(\Gamma)$  and so  $\text{Dev}(\Gamma) = \{\alpha_1\}$ . Therefore  $M_\Gamma$  is a non-reduced point.

**Example 7.38.** Let  $G = \text{SL}_2 \times \text{SL}_2 \times \text{SL}_2$ , let  $\varpi_i$  denote the fundamental weight of the  $i$ th factor of  $G$ , and consider the monoid

$$\Gamma = \mathbb{Z}^+\{2\varpi_1, \varpi_2 + \varpi_3, 2p\varpi_1 + \varpi_2, 2q\varpi_1 + \varpi_3\},$$

where  $\varpi_i$  stands for the fundamental weight of the  $i$ th factor of  $G$  and  $p, q$  are positive integers. Then  $\Gamma^\perp = \emptyset$  and  $\mathbb{Z}\Gamma = \mathbb{Z}\{2\varpi_1, \varpi_2, \varpi_3\}$ . Note that  $\Gamma$  is the intersection of the lattice  $\mathbb{Z}\Gamma$  with the cone  $\mathbb{Q}^+\Gamma$ , so that  $\Gamma$  is saturated. The only spherical root of  $G$  compatible with the lattice  $\mathbb{Z}\Gamma$  is  $\alpha_1$ . Next,  $\mathcal{K}^1 = \{\varrho_1, \varrho_2, \varrho_3, \varrho_4\}$  where

$$\begin{aligned}\varrho_1 &= \alpha_1^\vee/2 - p\alpha_2^\vee + p\alpha_3^\vee, \\ \varrho_2 &= \alpha_1^\vee/2 + q\alpha_2^\vee - q\alpha_3^\vee, \\ \varrho_3 &= \alpha_2^\vee, \\ \varrho_4 &= \alpha_3^\vee.\end{aligned}$$

Clearly,  $\alpha^\vee = \frac{2q}{p+q}\varrho_1 + \frac{2p}{p+q}\varrho_2$ . Then it is easy to see that  $\alpha_1 \in \Sigma(\Gamma)$  when  $p = q$  and  $\alpha_1 \in \text{Dev}(\Gamma)$  when  $p \neq q$ . It follows that  $M_\Gamma$  is an affine line when  $p = q$  and a non-reduced point when  $p \neq q$ .

*Remark 7.39.* It would be interesting to construct examples of non-reduced moduli schemes  $M_\Gamma$  revealing other features. For instance, are there examples of reducible and non-reduced  $M_\Gamma$ , examples of irreducible non-reduced  $M_\Gamma$  of arbitrarily large dimension, examples where  $M_\Gamma$  is a non-reduced point with tangent space of arbitrarily large dimension?

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