

Canonical maps and integrability in $T\bar{T}$ deformed 2d CFTs¹

George Jorjadze^{a,b} and Stefan Theisen^c

^a*Free University of Tbilisi,
Agmashenebeli Alley 240, 0159, Tbilisi, Georgia*

^b*Razmadze Mathematical Institute of TSU,
Tamarashvili 6, 0177, Tbilisi, Georgia*

^c*Max-Planck-Institut für Gravitationsphysik, Albert-Einstein-Institut,
14496, Golm, Germany*

Abstract

We study $T\bar{T}$ deformations of 2d CFTs with periodic boundary conditions. We relate these systems to string models on $\mathbb{R} \times S^1 \times \mathcal{M}$, where \mathcal{M} is the target space of a 2d CFT. The string model in the light cone gauge is identified with the corresponding 2d CFT and in the static gauge it reproduces its $T\bar{T}$ deformed system. This relates the deformed system and the initial one by a worldsheet coordinate transformation, which becomes a time dependent canonical map in the Hamiltonian treatment. The deformed Hamiltonian defines the string energy and we express it in terms of the chiral Hamiltonians of the initial 2d CFT. This allows exact quantization of the deformed system, if the spectrum of the initial 2d CFT is known. The generalization to non-conformal 2d field theories is also discussed.

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1 Introduction

The so-called $T\bar{T}$ deformation of two-dimensional quantum field theories, which was introduced by Zamolodchikov in 2004 [1], has recently attracted much attention. Being a deformation by an irrelevant operator, one would naively expect that the deformed theory loses any of the nice properties the undeformed theory might have had and that the UV behaviour gets completely out of control. But this is not the case. For instance, in [2] it was shown that if the original theory is integrable, so is the deformed one. Another remarkable fact is that the spectrum of the deformed theory formulated on a cylinder can be determined exactly from the one of the undeformed theory [1, 2, 3].

An interesting observation first made in [3] is the connection between a deformed free boson and string theory. More precisely, it was shown that the classical dynamics of the deformed system is that controlled by the Nambu-Goto action with three-dimensional Minkowski space as target space, after fixing the static gauge. In the same paper this was generalized to several free bosons and also to a single boson with an arbitrary potential. Further generalizations and refinements along these lines (and beyond) were considered in [4], [5] and [6], again at the classical level. The relation between $T\bar{T}$ deformed CFTs and the quantum string was studied in detail in [7].

Here we also consider the connection between deformed field theories and string theory, mainly at the classical level. As a large part of our analysis will be within the Hamiltonian framework, the next section reviews the Hamiltonian treatment of two-dimensional Lagrangian field theories. While the Lagrangian treatment is more familiar and transparent, the Hamiltonian one is more convenient for generalizations. The main examples are non-linear sigma-models with a metric and anti-symmetric tensor background. Classically they are always conformally invariant. Within the context of string theory one needs to impose conditions on the background fields, but this will not play a role in our classical discussion. A simple generalization, which explicitly breaks the conformal symmetry, is adding a potential.

In Section 3 we look at the $T\bar{T}$ deformation of these theories, again in the Hamiltonian framework. A simple formula for the deformed Hamiltonian density for systems with symmetric canonical energy-momentum tensor can be derived. This formula is valid for arbitrary (classical) CFTs which are characterized by two independent components of the energy-momentum tensor whose Poisson brackets generate two copies of the centerless Virasoro algebra.

The simplest conformally invariant sigma-model is a free massless scalar field on a cylinder. Its deformation will be reviewed in Section 4, with emphasis on the connection to closed string dynamics in three-dimensional space-time, where one spatial coordinate is compactified on S^1 . When the latter is formulated in a diffeomorphism invariant way, the deformed free scalar is obtained by breaking the invariance through fixing the static gauge. This gauge identifies the time and one spatial coordinate of the target space with the worldsheet coordinates. For this reason compactification is necessary. The string energy is, up to an additive constant, equal to the Hamiltonian of the deformed theory. If one chooses light-cone gauge instead, one reaches the undeformed theory. We generalize the light-cone gauge treatment of a closed string dynamics with

a compactified spatial coordinate, using as space-time light-cone directions those of the cylinder. This generalization is straightforward. In particular, in this gauge the string energy can be computed explicitly and by using its gauge invariance one obtains an expression for the Hamiltonian – rather than the density – of the deformed theory in terms of the Hamiltonian of the undeformed theory. This result applies, in fact, to more general undeformed theories than just the free massless scalar.

The relation between the deformed and the undeformed theory as simply choosing different gauges in the string theory, implies that the undeformed and the deformed theory are related by a (time-dependent) canonical transformation. This will be shown in detail. The worldsheet coordinate transformation between the two gauges depends on the solutions of the equation of motion in the fixed gauge. We use the explicit form of this transformation to obtain the Hamiltonian of the deformed theory without resorting to the gauge invariance of the string energy.

In Section 5 we show how the previous discussion extends to general conformally invariant sigma models and to the case when one adds a potential. A remarkable example here is the Liouville model with a negative cosmological constant. We show that the corresponding string model is the $SL(2, \mathbb{R})$ WZW theory with vanishing stress tensor [8]. This string model in the static and light-cone gauges coincides to the $T\bar{T}$ deformed and the initial Liouville models, respectively.

Some of the results reported in this note were obtained but not published about two years ago [9] and they have meanwhile appeared in various papers. We have taken the opportunity of being asked to contribute to this volume to include them, with due reference to the existing literature. Most importantly we point out [7, 10, 11, 12, 13, 14] for extensive discussions of the relation between the $T\bar{T}$ deformed and the initial 2d field theories in the context of worldsheet gauge transformations.

2 Hamiltonian formulation of 2d field theory

We consider two-dimensional classical field theories on a cylinder with circumference 2π , described by an action

$$S[\phi] = \frac{1}{2\pi} \int d\tau d\sigma \mathcal{L}(\phi, \dot{\phi}, \phi'). \quad (2.1)$$

Here, τ and σ are time and space coordinates, respectively, $\phi := (\phi^1, \dots, \phi^N)$ denotes a set of periodic fields, $\phi(\tau, \sigma + 2\pi) = \phi(\tau, \sigma)$, and we use the notation $\dot{\phi} := \partial_\tau \phi$, $\phi' := \partial_\sigma \phi$.

The components of the canonical stress tensor ($a, b \in \{\tau, \sigma\}$)

$$T^a_b = \frac{\partial \mathcal{L}}{\partial(\partial_a \phi^k)} \partial_b \phi^k - \delta^a_b \mathcal{L} \quad (2.2)$$

satisfy, by Noether's theorem, the local conservation laws

$$\partial_a T^a_b = 0. \quad (2.3)$$

The first order formulation of the same dynamics is obtained from the action

$$S[\Pi, \phi] = \int d\tau \int_0^{2\pi} \frac{d\sigma}{2\pi} \left[\Pi_k \dot{\phi}^k - \mathcal{H}(\Pi, \phi, \dot{\phi}) \right], \quad (2.4)$$

where Π_k are the periodic canonical momenta, $\Pi(\tau, \sigma + 2\pi) = \Pi(\tau, \sigma)$. We assume that the Lagrangian in (2.1) is non-singular,² i.e. the velocities $\dot{\phi}^k$ are solvable in terms of the momenta Π_k .

The stress tensor components (2.2) are

$$\begin{aligned} T_{\tau}^{\tau} &= \mathcal{H}, & T_{\sigma}^{\tau} &= \Pi_k \dot{\phi}^k, \\ T_{\tau}^{\sigma} &= -\frac{\partial \mathcal{H}}{\partial \Pi_k} \frac{\partial \mathcal{H}}{\partial \dot{\phi}^k}, & T_{\sigma}^{\sigma} &= \mathcal{H} - \Pi_k \frac{\partial \mathcal{H}}{\partial \Pi_k} - \dot{\phi}^k \frac{\partial \mathcal{H}}{\partial \dot{\phi}^k}, \end{aligned} \quad (2.5)$$

and the conservation laws (2.3) follow from the Hamilton equations of motion

$$\dot{\phi}^k = \frac{\partial \mathcal{H}}{\partial \Pi_k}, \quad \dot{\Pi}_k = -\frac{\partial \mathcal{H}}{\partial \phi^k} + \partial_{\sigma} \left(\frac{\partial \mathcal{H}}{\partial \dot{\phi}^k} \right). \quad (2.6)$$

Note that the covariant canonical stress tensor T_{ab} in 2d Minkowski space is symmetric ($T_{\tau\sigma} = T_{\sigma\tau}$) when the Hamiltonian density satisfies the condition

$$\frac{\partial \mathcal{H}}{\partial \Pi_k} \frac{\partial \mathcal{H}}{\partial \dot{\phi}^k} = \Pi_k \dot{\phi}^k. \quad (2.7)$$

Below we assume that (2.7) is fulfilled, without referring to 2d metric structure.³

We also assume that the canonical stress tensor (2.5) is traceless, i.e.

$$\hat{V}[\mathcal{H}] = 2\mathcal{H}, \quad \text{where} \quad \hat{V} = \Pi_k \frac{\partial}{\partial \Pi_k} + \dot{\phi}^k \frac{\partial}{\partial \dot{\phi}^k}. \quad (2.8)$$

In this case

$$T_{\tau}^a = \begin{pmatrix} \mathcal{H} & \mathcal{P} \\ -\mathcal{P} & -\mathcal{H} \end{pmatrix}, \quad \text{with} \quad \mathcal{P} := \Pi_k \dot{\phi}^k. \quad (2.9)$$

The components $T_{\tau}^{\tau} = \mathcal{H}$ and $T_{\sigma}^{\tau} = \mathcal{P}$ are interpreted as the energy and the momentum densities, respectively. They obey the Poisson bracket relations

$$\begin{aligned} \{\mathcal{P}(\sigma_1), \mathcal{P}(\sigma_2)\} &= \{\mathcal{H}(\sigma_1), \mathcal{H}(\sigma_2)\} = 2\pi [\mathcal{P}(\sigma_1) + \mathcal{P}(\sigma_2)] \delta'(\sigma_2 - \sigma_1), \\ \{\mathcal{P}(\sigma_1), \mathcal{H}(\sigma_2)\} &= \{\mathcal{H}(\sigma_1), \mathcal{P}(\sigma_2)\} = 2\pi [\mathcal{H}(\sigma_1) + \mathcal{H}(\sigma_2)] \delta'(\sigma_2 - \sigma_1), \end{aligned} \quad (2.10)$$

which follow from the canonical Poisson brackets,

$$\{\Pi_k(\sigma_1), \phi^l(\sigma_2)\} = 2\pi \delta_k^l \delta(\sigma_1 - \sigma_2), \quad (2.11)$$

²Singular Lagrangians also lead to the action (2.4) by Hamiltonian reduction, but with a reduced number of target space fields.

³While we can always add improvement terms to symmetrize the energy-momentum tensor, here we assume that the canonical one is symmetric.

and the conditions (2.7) and (2.8). The Lie algebra (2.10) is equivalent to

$$\begin{aligned} \{T(x), T(y)\} &= 2\pi [T(x) + T(y)] \delta'(y - x), & \{T(x), \bar{T}(\bar{x})\} &= 0, \\ \{\bar{T}(\bar{x}), \bar{T}(\bar{y})\} &= 2\pi [\bar{T}(\bar{x}) + \bar{T}(\bar{y})] \delta'(\bar{y} - \bar{x}), \end{aligned} \quad (2.12)$$

with

$$T(x) = \frac{1}{2} [\mathcal{H}(x) + \mathcal{P}(x)], \quad \bar{T}(\bar{x}) = \frac{1}{2} [\mathcal{H}(-\bar{x}) - \mathcal{P}(-\bar{x})]. \quad (2.13)$$

The conservation laws (2.3) in terms of T and \bar{T} become

$$\partial_{\bar{x}} T = 0, \quad \partial_x \bar{T} = 0, \quad (2.14)$$

where $x = \tau + \sigma$ and $\bar{x} = \tau - \sigma$ are the chiral coordinates, and we arrive at the standard formulation of 2d CFT with zero central charge.

In a more general treatment, a 2d CFT on a cylinder is provided by two periodic functions $T(x)$ and $\bar{T}(\bar{x})$, which satisfy the Poisson bracket relations (2.12), without referring to the canonical structure (2.4). Thus, the Hamiltonian density \mathcal{H} that satisfies the conditions (2.7) and (2.8) corresponds to a classical 2d CFT.

A standard example is the σ -model

$$S_{G,B}[\phi] = \frac{1}{4\pi} \int d\tau d\sigma \left[\dot{\phi}^k G_{kl}(\phi) \dot{\phi}^l - \dot{\phi}^k G_{kl}(\phi) \phi'^l - 2\dot{\phi}^k B_{kl}(\phi) \phi'^l \right], \quad (2.15)$$

where $G_{kl}(\phi)$ is a target space metric tensor and $B_{kl}(\phi)$ is a 2-form on the target space. This system has stress tensor

$$T^\tau_\tau = -T^\sigma_\sigma = \frac{1}{2} \left(\dot{\phi}^k G_{kl} \dot{\phi}^l + \dot{\phi}^k G_{kl} \phi'^l \right), \quad T^\tau_\sigma = -T^\sigma_\tau = \dot{\phi}^k G_{kl} \phi'^l, \quad (2.16)$$

and Hamiltonian density

$$\mathcal{H}_{G,B} = \frac{1}{2} \left[\Pi_k G^{kl} \Pi_l + \dot{\phi}^k (G_{kl} - B_{km} G^{mn} B_{nl}) \phi'^l \right] + \Pi_k G^{kj} B_{jl} \phi'^l, \quad (2.17)$$

which indeed satisfies conditions (2.7) and (2.8).

Adding a potential $U(\phi)$ to a 2d CFT

$$\tilde{\mathcal{H}} = \mathcal{H} + U(\phi), \quad (2.18)$$

leads to a stress tensor with non-zero trace

$$T^a_b = \begin{pmatrix} \mathcal{H} + U(\phi) & \mathcal{P} \\ -\mathcal{P} & -\mathcal{H} + U(\phi) \end{pmatrix}. \quad (2.19)$$

3 $T\bar{T}$ deformation of 2d Hamiltonian systems

The following analysis is usually done in the Lagrangian formulation (cf. e.g. [3, 4, 14]). Here we present a Hamiltonian version of these well-known results.

We introduce the $T\bar{T}$ deformation of the system (2.4) as [1]

$$S_\alpha[\Pi, \phi] = \int d\tau \int_0^{2\pi} \frac{d\sigma}{2\pi} \left[\Pi_k \dot{\phi}^k - \mathcal{H}_\alpha(\Pi, \phi, \dot{\phi}) \right] , \quad (3.1)$$

with \mathcal{H}_α defined by the ‘initial’ condition $\mathcal{H}_0 = \mathcal{H}$ and the differential equation

$$\frac{\partial \mathcal{H}_\alpha}{\partial \alpha} = \frac{1}{2} \det[T_{(\alpha)}] . \quad (3.2)$$

Here $T_{(\alpha)}^a{}_b$ is the canonical stress tensor obtained from (2.5) by the replacement $\mathcal{H} \mapsto \mathcal{H}_\alpha$.

Note that $\det[T_{(\alpha)}^a{}_b] = \mathcal{P}^2 - \mathcal{H}^2 = -4T\bar{T}$ for a 2d CFT. Thus, the first order correction to the Hamiltonian density of a 2d CFT is

$$\mathcal{H}_\alpha = \mathcal{H} - 2\alpha T\bar{T} + \dots ; \quad (3.3)$$

hence the name $T\bar{T}$ deformation. However, the higher order terms do not have this structure and are more complicated.

From (3.2) and (2.5) follows that \mathcal{H}_α satisfies the equation

$$2 \frac{\partial \mathcal{H}_\alpha}{\partial \alpha} = \mathcal{H}_\alpha^2 - \mathcal{H}_\alpha \hat{V}[\mathcal{H}_\alpha] + \mathcal{P} \frac{\partial \mathcal{H}_\alpha}{\partial \Pi_k} \frac{\partial \mathcal{H}_\alpha}{\partial \dot{\phi}^k} , \quad (3.4)$$

and one is looking for solutions which are analytic in α at $\alpha = 0$.

Using (3.4), one shows by a straightforward but slightly tedious calculation that the variable $Y_\alpha = \frac{\partial \mathcal{H}_\alpha}{\partial \Pi_k} \frac{\partial \mathcal{H}_\alpha}{\partial \dot{\phi}^k} - \mathcal{P}$ satisfies the equation

$$\frac{\partial Y_\alpha}{\partial \alpha} = \mathcal{H}_\alpha Y_\alpha - \frac{1}{2} \hat{V}(\mathcal{H}_\alpha Y_\alpha) + \frac{1}{2} \mathcal{P} \left(\frac{\partial \mathcal{H}_\alpha}{\partial \Pi_k} \frac{\partial Y_\alpha}{\partial \dot{\phi}^k} + \frac{\partial \mathcal{H}_\alpha}{\partial \dot{\phi}^k} \frac{\partial Y_\alpha}{\partial \Pi_k} \right) . \quad (3.5)$$

From the ‘initial’ condition $Y_{\alpha=0} = 0$ then follows that Y_α remains zero for all α . Hence, \mathcal{H}_α satisfies the condition

$$\frac{\partial \mathcal{H}_\alpha}{\partial \Pi_k} \frac{\partial \mathcal{H}_\alpha}{\partial \dot{\phi}^k} = \Pi_k \dot{\phi}^k , \quad (3.6)$$

and (3.4) reduces to

$$2 \partial_\alpha \mathcal{H}_\alpha = \mathcal{H}_\alpha^2 - \mathcal{H}_\alpha \hat{V}[\mathcal{H}_\alpha] + \mathcal{P}^2 . \quad (3.7)$$

This equation can be easily integrated if the stress tensor of the undeformed theory is traceless. Indeed, taking into account (2.8) and $\hat{V}[\mathcal{P}] = 2\mathcal{P}$, one finds that \mathcal{H}_α is expressed in terms of \mathcal{H} and \mathcal{P} only. Dimensional analysis suggests the ansatz

$$\mathcal{H}_\alpha = F_\alpha(r \mathcal{H} + \alpha \mathcal{P}^2) , \quad (3.8)$$

where r is a real number. Inserting it into (3.6) one finds $F'(u) = (r^2 + 4\alpha u)^{-\frac{1}{2}}$. Integration, requiring the regularity condition at $\alpha = 0$ and that it satisfies (3.7), leads to [14]

$$\mathcal{H}_\alpha = \frac{1}{\alpha} \left(\sqrt{1 + 2\alpha \mathcal{H} + \alpha^2 \mathcal{P}^2} - 1 \right) . \quad (3.9)$$

The structure of the energy-momentum tensor of the deformed theory is

$$T_{(\alpha)b}^a = \begin{pmatrix} \mathcal{H}_\alpha & \mathcal{P} \\ -\mathcal{P} & -\mathcal{K}_\alpha \end{pmatrix}, \quad (3.10)$$

with

$$\mathcal{K}_\alpha = \frac{1}{\alpha} \left(\frac{1 - \alpha^2 \mathcal{P}^2}{\sqrt{1 + 2\alpha \mathcal{H} + \alpha^2 \mathcal{P}^2}} - 1 \right) = \frac{\mathcal{H}_\alpha + \alpha \mathcal{P}^2}{1 + \alpha \mathcal{H}_\alpha} . \quad (3.11)$$

One also verifies

$$\text{Tr}[T_{(\alpha)}] = -\alpha \det[T_{(\alpha)}] \quad (3.12)$$

and, therefore, for a 2d CFT, \mathcal{H}_α satisfies the linear equation

$$2\alpha \partial_\alpha \mathcal{H}_\alpha + 2\mathcal{H}_\alpha - \hat{V}[\mathcal{H}_\alpha] = 0 . \quad (3.13)$$

The above results, in particular the form of the deformed Hamiltonian density (3.9), were derived for a particular class of conformal field theories, but one wonders how general they are. If we assume that the energy-momentum tensor of the undeformed theory is symmetric, it has only two independent components, T and \bar{T} . In terms of those

$$\mathcal{H}_\alpha = \frac{1}{\alpha} \left(\sqrt{1 + 2\alpha(T + \bar{T}) + \alpha^2(T - \bar{T})^2} - 1 \right) . \quad (3.14)$$

Using the algebra (2.12), which holds for any CFT, one verifies that

$$\dot{\mathcal{H}}_\alpha = \{H_\alpha, \mathcal{H}_\alpha\} = \partial_\sigma(T - \bar{T}), \quad \text{where} \quad H_\alpha = \int_0^{2\pi} \frac{d\sigma}{2\pi} \mathcal{H}_\alpha . \quad (3.15)$$

Imposing the τ -component of the conservation equation in (2.3) for the deformed theory, this shows that $T_\tau^\sigma = \bar{T} - T$ is not deformed. Imposing instead the σ -component and requiring symmetry of $T_{(\alpha)}$ leads to

$$T_{(\alpha)\sigma}^\sigma = \mathcal{H}_\alpha - 2 \frac{\partial \mathcal{H}_\alpha}{\partial T} T - 2 \frac{\partial \mathcal{H}_\alpha}{\partial \bar{T}} \bar{T} . \quad (3.16)$$

These results are completely general for two-dimensional conformal field theories, in particular the expression (3.14) for the Hamiltonian density.

We stress that our discussion so far was classical. In particular, in the quantized theory the algebra (2.12) is modified by a central extension leading to the Virasoro algebra. Even for string theory, when the contribution of the ghosts is included, the above calculation does not go through straightforwardly because of ordering issues in the expression for \mathcal{H}_α .

The $T\bar{T}$ deformation of the model (2.18), with the potential $U(\phi)$, can be performed similarly. In this case $\hat{V}[\tilde{\mathcal{H}}] = 2\mathcal{H}$ and $\tilde{\mathcal{H}}_\alpha$ becomes a function of \mathcal{H} , \mathcal{P} and $U(\phi)$ only. Repeating the arguments which lead to (3.9), we obtain [4]

$$\tilde{\mathcal{H}}_\alpha = \frac{1}{\beta} \left[\sqrt{1 + 2\beta\mathcal{H} + \beta^2\mathcal{P}^2} + \frac{\alpha U(\phi)}{2} \right] - \frac{1}{\alpha}, \quad (3.17)$$

with

$$\beta = \alpha \left(1 - \frac{\alpha}{2} U(\phi) \right). \quad (3.18)$$

The check of (3.6) and (3.2) is again straightforward.

4 Integrability of the deformed 2d massless free field

In this section we investigate integrability of the deformed massless free-field model with the undeformed Lagrangian

$$\mathcal{L} = \frac{1}{2} \left(\dot{\phi}^2 - \phi'^2 \right). \quad (4.1)$$

The energy and momentum densities

$$\mathcal{H} = \frac{1}{2} \left(\Pi^2 + \phi'^2 \right), \quad \mathcal{P} = \Pi \phi', \quad (4.2)$$

lead to the following deformed Hamiltonian density

$$\mathcal{H}_\alpha = \frac{1}{\alpha} \left(\sqrt{1 + \alpha \left(\Pi^2 + \phi'^2 \right) + \alpha^2 \Pi^2 \phi'^2} - 1 \right). \quad (4.3)$$

From the related Lagrangian

$$\mathcal{L}_\alpha = -\frac{1}{\alpha} \left(\sqrt{1 + \alpha \phi'^2 - \alpha \dot{\phi}^2} - 1 \right), \quad (4.4)$$

one derives a non-linear dynamical equation which is hard to integrate directly. Furthermore the construction of the Hamilton operator by (4.3) seems a highly nontrivial problem due to the non-polynomial dependence of \mathcal{H}_α on the canonical variables. However, the deformed free-field theory is related to a 3d string with one compactified coordinate [3]. This enables us to integrate the system both at classical and quantum levels. We first consider the Lagrangian approach to the compactified 3d string dynamics and then turn to its Hamiltonian treatment.

For later use we note that Π and $\dot{\phi}$ of the deformed theory (4.4) are related by

$$\Pi = \frac{\dot{\phi}}{\sqrt{1 + \alpha \phi'^2 - \alpha \dot{\phi}^2}}, \quad \dot{\phi} = \Pi \sqrt{\frac{1 + \alpha \phi'^2}{1 + \alpha \Pi^2}}, \quad (4.5)$$

and the energy and momentum densities in the Lagrangian formulation become

$$\mathcal{H}_\alpha = \frac{1}{\alpha} \left(\frac{1 + \alpha \phi'^2}{\sqrt{1 + \alpha \phi'^2 - \alpha \dot{\phi}^2}} - 1 \right), \quad \mathcal{P} = \frac{\dot{\phi} \phi'}{\sqrt{1 + \alpha \phi'^2 - \alpha \dot{\phi}^2}}. \quad (4.6)$$

4.1 Lagrangian approach to a compactified 3d string

We start with a review of the connection between the string and the deformed system [3]. The Nambu-Goto action for a closed string is

$$S = -\frac{1}{2\pi\alpha} \int d\tau \int_0^{2\pi} d\sigma \sqrt{(\dot{X} \dot{X})^2 - (\dot{X} \dot{X})(\dot{X} \dot{X})}. \quad (4.7)$$

$X := (X^0, X^1, X^2)$ is a vector in 3d Minkowski space and $1/\alpha$ is proportional to the string tension. We use the notation $(XX) = X^\mu X^\nu g_{\mu\nu}$ with the target space metric tensor $g_{\mu\nu} = \text{diag}(-1, 1, 1)$. This theory has two-dimensional diffeomorphism invariance and is classically equivalent to the Polyakov action with a world-sheet metric.

To connect the deformed free-field theory to the closed string dynamics, we compactify the coordinate X^1 on the unit circle and consider string configurations with winding number one around this circle, i.e. we identify $X^1 \simeq X^1 + 2\pi$. This enables us to parameterize X^1 by σ . We then identify X^0 with τ and parameterize X^2 by $\sqrt{\alpha}\phi$, i.e. we use the static gauge where

$$X^\mu = \begin{pmatrix} \tau \\ \sigma \\ \sqrt{\alpha}\phi \end{pmatrix}, \quad \dot{X}^\mu = \begin{pmatrix} 1 \\ 0 \\ \sqrt{\alpha}\dot{\phi} \end{pmatrix}, \quad \dot{X}^\mu = \begin{pmatrix} 0 \\ 1 \\ \sqrt{\alpha}\dot{\phi} \end{pmatrix}. \quad (4.8)$$

In this gauge the string Lagrangian in (4.7) reduces to the deformed Lagrangian (4.4), up to the additive constant $1/\alpha$.

The string energy-momentum densities obtained from the Nambu-Goto action (4.7),

$$\mathcal{P}^\mu = \frac{1}{\alpha} \frac{\dot{X}^\mu(\dot{X} \dot{X}) - \dot{X}^\mu(\dot{X} \dot{X})}{\sqrt{(\dot{X} \dot{X})^2 - (\dot{X} \dot{X})(\dot{X} \dot{X})}}, \quad (4.9)$$

satisfy the (primary) constraints

$$(\dot{X} \mathcal{P}) = 0, \quad \alpha^2(\dot{X} \dot{X}) + (\mathcal{P} \mathcal{P}) = 0. \quad (4.10)$$

As in the uncompactified case, the tangent vectors \dot{X} and \dot{X} are assumed spacelike and timelike, respectively, and X^0 is monotonically increasing in τ , i.e.

$$(\dot{X} \dot{X}) > 0, \quad (\dot{X} \dot{X}) < 0, \quad \dot{X}^0 > 0. \quad (4.11)$$

The momentum density \mathcal{P}^μ is then timelike and \mathcal{P}^0 is positive. In static gauge

$$\begin{aligned} \mathcal{P}^0 &= \frac{1}{\alpha} \frac{1 + \alpha \dot{\phi}^2}{\sqrt{1 + \alpha \dot{\phi}^2 - \alpha \dot{\phi}^2}}, \\ \mathcal{P}^1 &= \frac{-\dot{\phi} \dot{\phi}}{\sqrt{1 + \alpha \dot{\phi}^2 - \alpha \dot{\phi}^2}}, \quad \mathcal{P}^2 = \frac{1}{\sqrt{\alpha}} \frac{\dot{\phi}}{\sqrt{1 + \alpha \dot{\phi}^2 - \alpha \dot{\phi}^2}}. \end{aligned} \quad (4.12)$$

Comparing these expressions to (4.5)-(4.6), we find

$$\mathcal{P}^0 = \mathcal{H}_\alpha + \frac{1}{\alpha}, \quad \mathcal{P}^1 = -\mathcal{P}, \quad \mathcal{P}^2 = \frac{1}{\sqrt{\alpha}} \Pi. \quad (4.13)$$

Integrating the densities over σ gives the gauge invariant string energy-momentum. In particular, the string energy reads

$$E_{\text{str}} = \int_0^{2\pi} \frac{d\sigma}{2\pi} \mathcal{P}^0(\sigma) = H_\alpha + \frac{1}{\alpha}, \quad (4.14)$$

where H_α is the energy of the deformed system (3.15).

Thus, the deformed system (4.4) and the compactified 3d string in the gauge (4.8) are identical dynamical systems. On the other hand, it is well known that the classical string dynamics is integrable in the light-cone gauge. The compactification of the coordinate X^1 does not destroy integrability, but rather modifies it, as we show below.

The static gauge (4.8) is not a conformal one for which one requires $(\dot{X} \dot{X}) = 0$ and $(\dot{X} \dot{X}) + (\dot{X} \dot{X}) = 0$ and the equation of motion for X^μ becomes the free wave equation. These two constraints have to be imposed on the solutions. We denote the conformal worldsheet coordinates by (τ_c, σ_c) , to distinguish them from (τ, σ) , and introduce the corresponding chiral coordinates $z = \tau_c + \sigma_c$ and $\bar{z} = \tau_c - \sigma_c$. One then has $\partial_z \partial_{\bar{z}} X^\mu = 0$, and its solutions

$$X^\mu = \Phi^\mu(z) + \bar{\Phi}^\mu(\bar{z}) \quad (4.15)$$

are restricted to satisfy the conformal gauge conditions

$$(\Phi' \Phi') = 0, \quad (\bar{\Phi}' \bar{\Phi}') = 0. \quad (4.16)$$

The chiral functions $\Phi'^\mu(z)$ and $\bar{\Phi}'^\mu(\bar{z})$ are periodic. Therefore, similarly to the uncompactified case, $\Phi^\mu(z)$ and $\bar{\Phi}^\mu(\bar{z})$ obey the monodromy conditions

$$\Phi^\mu(z + 2\pi) = \Phi^\mu(z) + 2\pi \rho^\mu, \quad \bar{\Phi}^\mu(\bar{z} + 2\pi) = \bar{\Phi}^\mu(\bar{z}) + 2\pi \bar{\rho}^\mu, \quad (4.17)$$

where ρ^μ and $\bar{\rho}^\mu$ are the zero modes of $\Phi'^\mu(z)$ and $\bar{\Phi}'^\mu(\bar{z})$, respectively. From the periodicity conditions in σ one finds

$$\rho^0 = \bar{\rho}^0, \quad \rho^1 = \bar{\rho}^1 + L, \quad \rho^2 = \bar{\rho}^2, \quad (4.18)$$

where L is the winding number around the compactified coordinate X^1 . For now we analyze the case of general L , though our interest is $L = 1$.

To find independent variables on the constraint surface (4.16), we follow the standard scheme and introduce the light-cone coordinates $X^\pm = X^0 \pm X^1$. Note that while one usually chooses the space-time light-cone directions along two non-compact coordinates, our definition of X^\pm involves the compact direction X^1 . The remaining freedom of conformal transformations allows us to simplify the chiral components of X^+ as in the uncompactified case⁴

$$\Phi^+(z) = \rho^+ z, \quad \bar{\Phi}^+ = \bar{\rho}^+ \bar{z}. \quad (4.19)$$

⁴The conditions (4.19) require $\rho^+ > 0$ and $\bar{\rho}^+ > 0$. We will see in (4.27) that these conditions are indeed fulfilled.

The constraints (4.16) can then be written as

$$\rho^+ \Phi'^-(z) = \alpha F'^2(z) , \quad \bar{\rho}^+ \bar{\Phi}'^-(\bar{z}) = \alpha \bar{F}'^2(\bar{z}) , \quad (4.20)$$

where X^2 is rescaled similarly to (4.8), i.e. $\Phi^2(z) = \sqrt{\alpha} F(z)$ and $\bar{\Phi}^2(\bar{z}) = \sqrt{\alpha} \bar{F}(\bar{z})$.

As a result, one obtains the following parameterization of the string coordinates

$$X^\mu = \begin{pmatrix} \frac{1}{2} [\rho^+ z + \Phi^-(z) + \bar{\rho}^+ \bar{z} + \bar{\Phi}^-(\bar{z})] \\ \frac{1}{2} [\rho^+ z - \Phi^-(z) + \bar{\rho}^+ \bar{z} - \bar{\Phi}^-(\bar{z})] \\ \sqrt{\alpha} [F(z) + \bar{F}(\bar{z})] \end{pmatrix}. \quad (4.21)$$

The functions $F(z)$ and $\bar{F}(\bar{z})$ have the mode expansions

$$F(z) = \frac{q + pz}{2} + \frac{i}{\sqrt{2}} \sum_{m \neq 0} \frac{a_m}{n} e^{-inz}, \quad \bar{F}(\bar{z}) = \frac{q + p\bar{z}}{2} + \frac{i}{\sqrt{2}} \sum_{n \neq 0} \frac{\bar{a}_n}{n} e^{-in\bar{z}}, \quad (4.22)$$

with $p = \frac{2}{\sqrt{\alpha}} \rho^2$, and $\Phi^-(z)$ and $\bar{\Phi}^-(\bar{z})$ are obtained from (4.20) (see Appendix A). In particular, one has

$$\rho^- = \alpha \frac{h}{\rho^+}, \quad \bar{\rho}^- = \alpha \frac{\bar{h}}{\bar{\rho}^+}, \quad (4.23)$$

where h and \bar{h} are the chiral free-field Hamiltonians

$$h = \int_0^{2\pi} \frac{dz}{2\pi} F'^2(z) = \frac{p^2}{4} + \sum_{n>0} |a_n|^2, \quad \bar{h} = \int_0^{2\pi} \frac{d\bar{z}}{2\pi} \bar{F}'^2(\bar{z}) = \frac{p^2}{4} + \sum_{n>0} |\bar{a}_n|^2. \quad (4.24)$$

Note that we set $\bar{p} = p$ in (4.22), due to the third relation in (4.18). The other two relations of (4.18), in terms of the light-cone variables, read

$$\rho^+ + \rho^- - \bar{\rho}^+ - \bar{\rho}^- = 0, \quad \rho^+ - \rho^- - \bar{\rho}^+ + \bar{\rho}^- = 2L. \quad (4.25)$$

For $L \neq 0$ this leads to differences for the compactified case as compared to the non-compact one.

Indeed, for $L = 0$, the solution of (4.23)-(4.25) is

$$\rho^+ = \bar{\rho}^+, \quad \rho^- = \bar{\rho}^- = \alpha \frac{h}{\rho^+} = \alpha \frac{\bar{h}}{\bar{\rho}^+}, \quad h = \bar{h}. \quad (4.26)$$

Here, ρ^+ is a free dynamical variable. The condition $h = \bar{h}$ becomes, after quantization, the level matching condition in the zero winding sector.

When $L \neq 0$, we obtain instead the following solution of (4.23)-(4.25)

$$\rho^\pm = \frac{1}{2} \left(\alpha \mathcal{E}_L \pm \frac{\alpha}{L} (\bar{h} - h) \pm L \right), \quad \bar{\rho}^\pm = \frac{1}{2} \left(\alpha \mathcal{E}_L \pm \frac{\alpha}{L} (\bar{h} - h) \mp L \right), \quad (4.27)$$

$$\text{with} \quad \mathcal{E}_L = \frac{1}{L\alpha} \sqrt{L^4 + 2L^2 \alpha (h + \bar{h}) + \alpha^2 (h - \bar{h})^2}. \quad (4.28)$$

Here, solving quadratic equations, we choose the positive roots, since they correspond to the physical solutions for which $\rho^\pm > 0$ and $\bar{\rho}^\pm > 0$.

Thus, for $L \neq 0$, the string solutions (4.21) are completely parametrized by the chiral free fields $F(z)$, $\bar{F}(\bar{z})$. We now find that the level matching condition is modified to

$$L(\rho^1 + \bar{\rho}^1) = \alpha(\bar{h} - h) . \quad (4.29)$$

According to (4.9), the string energy density in the conformal gauge is given by $\frac{1}{\alpha} \partial_{\tau_c} X^0$, and from (4.27) we obtain the string energy for winding number L

$$E_{\text{str}}^{(L)} = \frac{1}{2\alpha} (\rho^+ + \rho^- + \bar{\rho}^+ + \bar{\rho}^-) = \mathcal{E}_L . \quad (4.30)$$

For winding number one, which corresponds to the deformed system, this yields

$$E_{\text{str}} = \frac{1}{\alpha} \sqrt{1 + 2\alpha(h + \bar{h}) + \alpha^2(h - \bar{h})^2} , \quad (4.31)$$

and, due to the gauge invariance of the string energy, we obtain from (4.14) [5]

$$H_\alpha = \frac{1}{\alpha} \left(\sqrt{1 + 2\alpha(h + \bar{h}) + \alpha^2(h - \bar{h})^2} - 1 \right) . \quad (4.32)$$

This expression for the Hamiltonian should be contrasted with (3.14). There the Hamiltonian density of the deformed theory was expressed in terms of the energy-momentum densities of the undeformed theory while here the relation is between the integrated densities. Furthermore, this expression can be easily quantized as h and \bar{h} are diagonal in the Fock-space of the undeformed theory.

In Section 5.1 we will briefly discuss generalizations to general CFTs. In this case the expression for H_α is straightforwardly generalized by replacing (h, \bar{h}) by (L_0, \bar{L}_0) of the undeformed theory. In fact, many of the expressions in the following discussion are generalized if one replaces in the expression in Appendix A the L_n of the free field by the generators of the Virasoro algebra of a general CFT.

In Appendix B we derive (4.32) directly (without referring to the gauge invariance), using the map that relates the worldsheet coordinates and the fields in two different gauges. We will now analyze this map in detail.

Comparing the string coordinates in the gauges (4.8) and (4.21), we find the map from the coordinates (z, \bar{z}) to (τ, σ) ⁵

$$\begin{aligned} \tau &= \frac{1}{2} [\rho^+ z + \Phi^-(z) + \bar{\rho}^+ \bar{z} + \bar{\Phi}^-(\bar{z})] , \\ \sigma &= \frac{1}{2} [\rho^+ z - \Phi^-(z) + \bar{\rho}^+ \bar{z} - \bar{\Phi}^-(\bar{z})] , \end{aligned} \quad (4.33)$$

and we also express the solutions of the deformed system by the undeformed one

$$\phi(\tau, \sigma) = F(z) + \bar{F}(\bar{z}) . \quad (4.34)$$

⁵Recall that $z = \tau_c + \sigma_c$ and $\bar{z} = \tau_c - \sigma_c$.

Differentiating (4.33) in τ , σ and using (4.20), we obtain

$$\begin{aligned}\dot{z} &= \frac{\rho^+(\alpha\bar{F}'^2 - \bar{\rho}^{+2})}{\alpha[(\rho^+\bar{F}')^2 - (\bar{\rho}^+F')^2]}, & \dot{z} &= \frac{\rho^+(\alpha\bar{F}'^2 + \bar{\rho}^{+2})}{\alpha[(\rho^+\bar{F}')^2 - (\bar{\rho}^+F')^2]}, \\ \dot{\bar{z}} &= -\frac{\bar{\rho}^+(\alpha F'^2 - \rho^{+2})}{\alpha[(\rho^+\bar{F}')^2 - (\bar{\rho}^+F')^2]}, & \dot{\bar{z}} &= -\frac{\bar{\rho}^+(\alpha F'^2 + \rho^{+2})}{\alpha[(\rho^+\bar{F}')^2 - (\bar{\rho}^+F')^2]}.\end{aligned}\tag{4.35}$$

A similar differentiation of (4.34), with the help of (4.35), gives

$$\dot{\phi} = \frac{\alpha\bar{F}'F' + \bar{\rho}^+\rho^+}{\alpha(\rho^+\bar{F}' + \bar{\rho}^+F')}, \quad \dot{\phi} = \frac{\alpha\bar{F}'F' - \bar{\rho}^+\rho^+}{\alpha(\rho^+\bar{F}' + \bar{\rho}^+F')},\tag{4.36}$$

and they lead to

$$1 + \alpha\dot{\phi}^2 - \alpha\dot{\phi}^2 = \frac{(\rho^+\bar{F}' - \bar{\rho}^+F')^2}{(\rho^+\bar{F}' + \bar{\rho}^+F')^2}.\tag{4.37}$$

The left hand side here defines the determinant of the induced worldsheet metric in static gauge and for regular surfaces it has to be positive. Thus, for regular surfaces, the expressions $\rho^+\bar{F}' \pm \bar{\rho}^+F'$ have no zeros. Note that these expressions have the same sign for a sufficiently large zero mode p . Assuming this, we get

$$\sqrt{1 + \alpha\dot{\phi}^2 - \alpha\dot{\phi}^2} = \frac{\rho^+\bar{F}' - \bar{\rho}^+F'}{\rho^+\bar{F}' + \bar{\rho}^+F'}.\tag{4.38}$$

From (4.5) then follows

$$\Pi = \frac{\alpha\bar{F}'(\bar{z})F'(z) + \bar{\rho}^+\rho^+}{\alpha[\rho^+\bar{F}'(\bar{z}) - \bar{\rho}^+F'(z)]},\tag{4.39}$$

and using (4.35) we obtain

$$\frac{1}{2}(\dot{\phi} + \Pi) = \dot{z}F'(z), \quad \frac{1}{2}(\dot{\phi} - \Pi) = \dot{\bar{z}}\bar{F}'(\bar{z}).\tag{4.40}$$

Equation (4.33), for a fixed τ , defines z and \bar{z} as functions of σ . For example, when the non-zero modes of F' and \bar{F}' are not excited,

$$z = \frac{\tau}{\sqrt{1 + \alpha p^2}} + \sigma, \quad \bar{z} = \frac{\tau}{\sqrt{1 + \alpha p^2}} - \sigma.\tag{4.41}$$

In general, writing these functions as $z = \zeta(\sigma)$, $\bar{z} = \bar{\zeta}(-\sigma)$, we find that they are monotonic $\zeta'(x) > 0$, $\bar{\zeta}'(\bar{x}) > 0$ and obey the monodromies

$$\zeta(x + 2\pi) = \zeta(x) + 2\pi, \quad \bar{\zeta}(\bar{x} + 2\pi) = \bar{\zeta}(\bar{x}) + 2\pi,\tag{4.42}$$

related to diffeomorphisms of a circle. In the next subsection we show that (4.40) realizes a time dependent canonical map between the two gauges.

Concluding this subsection we express the energy-momentum density components in the static gauge (4.12) in terms of the light-cone gauge variables, using (4.35), (4.36) and (4.38). With (4.13), \mathcal{P}^2 is obtained from (4.39) and

$$\begin{aligned}\mathcal{P}^0 &= \frac{(\alpha\bar{F}'^2 + \bar{\rho}^{+2})(\alpha F'^2 + \rho^{+2})}{\alpha^2 [(\rho^+ \bar{F}')^2 - (\bar{\rho}^+ F')^2]} = \dot{z} \left(\frac{\rho^+}{\alpha} + \frac{F'^2}{\rho^+} \right) = -\dot{\bar{z}} \left(\frac{\bar{\rho}^+}{\alpha} + \frac{\bar{F}'^2}{\bar{\rho}^+} \right), \\ \mathcal{P}^1 &= -\frac{(\alpha\bar{F}' F' + \bar{\rho}^+ \rho^+)(\alpha\bar{F}' F' - \bar{\rho}^+ \rho^+)}{\alpha^2 [(\rho^+ \bar{F}')^2 - (\bar{\rho}^+ F')^2]} \\ &= \dot{z} \left(\frac{\rho^+}{\alpha} - \frac{F'^2}{\rho^+} \right) - \frac{1}{\alpha} = -\dot{\bar{z}} \left(\frac{\bar{\rho}^+}{\alpha} - \frac{\bar{F}'^2}{\bar{\rho}^+} \right) + \frac{1}{\alpha}.\end{aligned}\tag{4.43}$$

We will use these relations in the next section to relate the static and light-cone gauges in the Hamiltonian formulation.

4.2 Hamiltonian approach to the compactified 3d string

We now consider the Hamiltonian treatment of the same system. In the first order formulation of 3d string dynamics the action is

$$S = \int d\tau \int_0^{2\pi} \frac{d\sigma}{2\pi} \left[\mathcal{P}_\mu \dot{X}^\mu - \lambda_1 \mathcal{C}_1 - \lambda_2 \mathcal{C}_2 \right], \tag{4.44}$$

where λ_1, λ_2 are Lagrange multipliers and $\mathcal{C}_1, \mathcal{C}_2$ are the Virasoro constraints

$$\mathcal{C}_1 = (\mathcal{P} \dot{X}), \quad \mathcal{C}_2 = \frac{1}{2} \left[\alpha^2 (\mathcal{P} \mathcal{P}) + (\dot{X} \dot{X}) \right]. \tag{4.45}$$

The compact coordinate X^1 has the expansion (for $L = 1$)

$$X^1 = \sigma + \sum_{n \in \mathbb{Z}} q_n e^{-in\sigma}, \tag{4.46}$$

with $q_{-n} = q_n^*$, while the canonical momenta \mathcal{P}_μ and the coordinates (X^0, X^2) remain periodic. They have the standard mode expansion without the σ term in (4.46).

It follows from the canonical Poisson brackets on the extended phase space

$$\{\mathcal{P}_\mu(\sigma_1), X^\nu(\sigma_2)\} = 2\pi \delta_\mu^\nu \delta(\sigma_1 - \sigma_2), \tag{4.47}$$

that the Poisson brackets of the constraints (4.45) form the algebra (2.10)

$$\begin{aligned}\{\mathcal{C}_1(\sigma_1), \mathcal{C}_1(\sigma_2)\} &= 2\pi [\mathcal{C}_1(\sigma_1) + \mathcal{C}_1(\sigma_2)] \delta'(\sigma_1 - \sigma_2), \\ \{\mathcal{C}_1(\sigma_1), \mathcal{C}_2(\sigma_2)\} &= 2\pi [\mathcal{C}_2(\sigma_1) + \mathcal{C}_2(\sigma_2)] \delta'(\sigma_1 - \sigma_2), \\ \{\mathcal{C}_2(\sigma_1), \mathcal{C}_2(\sigma_2)\} &= 2\pi \alpha^2 [\mathcal{C}_1(\sigma_1) + \mathcal{C}_1(\sigma_2)] \delta'(\sigma_1 - \sigma_2),\end{aligned}\tag{4.48}$$

and one has to complete these first class constraints by gauge fixing conditions in order to eliminate non-physical degrees of freedom.

This can be done by the Faddeev-Jackiw reduction in static gauge $X^0 = \tau$, $X^1 = \sigma$. For this one computes $\mathcal{P}_\mu \dot{X}^\mu$ on the constrained surface $\mathcal{C}_1 = \mathcal{C}_2 = 0$ in this gauge. The action (4.44) then reduces to

$$S|_{\text{st.g.}} = \int d\tau \int_0^{2\pi} \frac{d\sigma}{2\pi} \left(\mathcal{P}_0 + \mathcal{P}_2 \dot{X}^2 \right), \quad (4.49)$$

where \mathcal{P}_0 becomes a function of the reduced canonical variables (\mathcal{P}_2, X^2) . Hence, $\mathcal{P}^0 = -\mathcal{P}_0$ plays the role of the Hamiltonian density.

In order to relate the reduced Hamiltonian system to the deformed model, we rescale the canonical variables,

$$\mathcal{P}_2 = \frac{\Pi}{\sqrt{\alpha}}, \quad X^2 = \sqrt{\alpha} \phi, \quad (4.50)$$

and rewrite the constraints (4.45) as

$$\mathcal{C}_1 = \Pi \phi' + \mathcal{P}_1 = 0, \quad 2\mathcal{C}_2 = \alpha(\Pi^2 + \dot{\phi}^2) + \alpha^2 \mathcal{P}_1^2 - \alpha^2 \mathcal{P}_0^2 + 1 = 0. \quad (4.51)$$

These equations define the remaining phase space variables

$$\mathcal{P}_1 = -\Pi \phi', \quad \mathcal{P}_0 = -\frac{1}{\alpha} \sqrt{1 + \alpha(\Pi^2 + \dot{\phi}^2) + \alpha^2(\Pi \dot{\phi})^2} \quad (4.52)$$

and we finally obtain

$$S|_{\text{st.g.}} = \int d\tau \int_0^{2\pi} \frac{d\sigma}{2\pi} \left[\Pi \dot{\phi} - \left(\mathcal{H}_\alpha + \frac{1}{\alpha} \right) \right]. \quad (4.53)$$

\mathcal{H}_α is the Hamiltonian density of the deformed model (4.3). Thus, the Faddeev-Jackiw reduction of the compactified 3d string in the static gauge leads to the deformed free-field model.

We now consider Hamiltonian reduction of (4.44) in light-cone gauge. Introducing the light-cone coordinates

$$X^\pm = X^0 \pm X^1, \quad \mathcal{P}_\pm = \frac{1}{2} (\mathcal{P}_0 \pm \mathcal{P}_1), \quad (4.54)$$

the string action (4.44) and the constraints become

$$S = \int d\tau \int_0^{2\pi} \frac{d\sigma}{2\pi} \left[\mathcal{P}_+ \dot{X}^+ + \mathcal{P}_- \dot{X}^- + \mathcal{P}_2 \dot{X}^2 - \lambda_1 \mathcal{C}_1 - \lambda_2 \mathcal{C}_2 \right], \quad (4.55)$$

with

$$\mathcal{C}_1 = \mathcal{P}_+ \dot{X}^+ + \mathcal{P}_- \dot{X}^- + \mathcal{P}_2 \dot{X}^2, \quad \mathcal{C}_2 = \frac{1}{2} \left[\alpha^2 \mathcal{P}_2^2 + \dot{X}_2^2 - 4\alpha^2 \mathcal{P}_+ \mathcal{P}_- - \dot{X}^+ \dot{X}^- \right]. \quad (4.56)$$

Using the gauge freedom, we can eliminate the non-zero modes of $\mathcal{P}_-(\sigma)$ and $X^+(\sigma)$, similarly to the uncompactified case. Taking into account that X^1 has winding number one, the light-cone gauge condition reads

$$X^+(\sigma) = -2\alpha \mathcal{P}_- \tau + \sigma, \quad \dot{\mathcal{P}}_-(\sigma) = 0. \quad (4.57)$$

This provides $\dot{X}^+(\sigma) = 1$ and $\mathcal{P}_-(\sigma) = p_-$, where p_- is the zero mode of $\mathcal{P}_-(\sigma)$. Rescaling then the canonical variables similarly to (4.50)⁶

$$\mathcal{P}_2 = \frac{\Pi}{\sqrt{\alpha}}, \quad X^2 = \sqrt{\alpha} \Phi, \quad (4.58)$$

the constraints (4.56) can be written as

$$\mathcal{C}_1 = \mathcal{P}_+ + p_- \dot{X}^- + \mathcal{P} = 0, \quad 2\mathcal{C}_2 = 2\alpha \mathcal{H} - 4\alpha^2 p_- \mathcal{P}_+ - \dot{X}^- = 0, \quad (4.59)$$

with

$$\mathcal{P} = \Pi \dot{\Phi}, \quad \mathcal{H} = \frac{1}{2} \left(\Pi^2 + \dot{\Phi}^2 \right). \quad (4.60)$$

By (4.59) one finds \mathcal{P}_+ and \dot{X}^- in terms of (Π, Φ) and the zero mode p_-

$$\mathcal{P}_+ = -\frac{2\alpha p_- \mathcal{H} + \mathcal{P}}{1 - 4\alpha^2 p_-^2}, \quad \dot{X}^- = \frac{2\alpha \mathcal{H} + 4\alpha^2 p_- \mathcal{P}}{1 - 4\alpha^2 p_-^2}. \quad (4.61)$$

The zero modes of the constraints (4.59) satisfy

$$(p_+ - p_-) + P = 0, \quad 2\alpha H + (1 - 4\alpha^2 p_- p_+) = 0, \quad (4.62)$$

where p_+ is the zero mode of \mathcal{P}_+ and

$$P = \int_0^{2\pi} \frac{d\sigma}{2\pi} \mathcal{P}, \quad H = \int_0^{2\pi} \frac{d\sigma}{2\pi} \mathcal{H}. \quad (4.63)$$

The string energy then becomes

$$E_{\text{str}} = -(p_+ + p_-) = \frac{1}{\alpha} \sqrt{1 + 2\alpha H + \alpha^2 P^2}. \quad (4.64)$$

Faddeev-Jackiw reduction of the action (4.55) by the constraints (4.56)-(4.57) yields

$$S|_{\text{l.c.g.}} = \int d\tau \int_0^{2\pi} \frac{d\sigma}{2\pi} \left[\Pi(\sigma) \dot{\Phi}(\sigma) - 2\alpha p_+(p_- + \dot{p}_-\tau) + p_- \dot{x}^- \right], \quad (4.65)$$

where x^- is the zero mode of the periodic part of $X^-(\sigma)$, and we have used the rescaled variables (4.58). Neglecting the total derivative term $\frac{d}{d\tau}(-2\alpha p_+ p_- \tau)$ in (4.65), we obtain

$$S|_{\text{l.c.g.}} = \int d\tau \int_0^{2\pi} \frac{d\sigma}{2\pi} \left[\Pi(\sigma) \dot{\Phi}(\sigma) + p_- \dot{q}^- - 2\alpha p_+ p_- \right]. \quad (4.66)$$

with $q^- = x^- + 2\alpha p_+ p_- \tau$. Using (4.62) and neglecting also the constant term $1/(2\alpha)$, we end up with the action

$$S|_{\text{l.c.g.}} = \int d\tau \int_0^{2\pi} \frac{d\sigma}{2\pi} \left[\Pi \dot{\Phi} + p_- \dot{q}^- - \mathcal{H} \right], \quad (4.67)$$

⁶Note that the pairs (Π, ϕ) and (Π, Φ) differ from each other, though they denote the same variables in the initial extended phase space.

where \mathcal{H} is the free-field Hamiltonian density (4.60) and p_- is obtained from (4.62)

$$p_- = \frac{1}{2} \left(P - \frac{1}{\alpha} \sqrt{1 + 2\alpha H + \alpha^2 P^2} \right) . \quad (4.68)$$

The situation here is similar to the uncompactified case, where instead of (4.68) one has the level matching condition $P = h - \bar{h} = 0$.⁷ Further Hamiltonian reduction in both cases is inconvenient. One has to quantize the free-field model together with the particle (p_-, q^-) and impose the condition (4.68) at the quantum level. Note that the right hand side in (4.68) is a well defined operator in the Fock space of the free-field theory.

We now discuss the relation between the static and light-cone gauges in the Hamiltonian approach. In general, reduced Hamiltonian systems obtained in two different gauges are related to each other by a canonical transformation generated by the constraints of the initial gauge invariant system. Our aim is to describe the canonical map between the light-cone and the static gauges of the compactified 3d string.

First note that the Virasoro constraints (4.45) can be represented in the form

$$\mathcal{C}(\sigma) := f_\mu(\sigma) f^\mu(\sigma) = 0 , \quad \bar{\mathcal{C}}(\sigma) := \bar{f}_\mu(\sigma) \bar{f}^\mu(\sigma) = 0 , \quad (4.69)$$

with

$$f^\mu(\sigma) = \frac{1}{2\sqrt{\alpha}} \left(\alpha \mathcal{P}^\mu(\sigma) + \dot{X}^\mu(\sigma) \right) , \quad \bar{f}^\mu(\sigma) = \frac{1}{2\sqrt{\alpha}} \left(\alpha \mathcal{P}^\mu(-\sigma) - \dot{X}^\mu(-\sigma) \right) . \quad (4.70)$$

From the canonical Poisson brackets (4.47) follows

$$\begin{aligned} \{\mathcal{C}(\sigma_1), f^\mu(\sigma)\} &= 2\pi \partial_\sigma [f^\mu(\sigma) \delta(\sigma_1 - \sigma)] , & \{\bar{\mathcal{C}}(\sigma_1), \bar{f}^\mu(\sigma)\} &= 2\pi \partial_\sigma [\bar{f}^\mu(\sigma) \delta(\sigma_1 - \sigma)] , \\ \{\mathcal{C}(\sigma_1), \bar{f}^\mu(\sigma)\} &= \{\bar{\mathcal{C}}(\sigma_1), f^\mu(\sigma)\} = 0 . \end{aligned} \quad (4.71)$$

The corresponding infinitesimal transformations

$$f^\mu(\sigma) \mapsto f^\mu(\sigma) + \partial_\sigma [\epsilon(\sigma) f^\mu(\sigma)] , \quad \bar{f}^\mu(\sigma) \mapsto \bar{f}^\mu(\sigma) + \partial_\sigma [\bar{\epsilon}(\sigma) \bar{f}^\mu(\sigma)] , \quad (4.72)$$

lead to the global ones

$$f^\mu(\sigma) \mapsto \zeta'(\sigma) f^\mu(\zeta(\sigma)) , \quad \bar{f}^\mu(\sigma) \mapsto \bar{\zeta}'(\sigma) \bar{f}^\mu(\bar{\zeta}(\sigma)) , \quad (4.73)$$

where $\zeta(\sigma)$, $\bar{\zeta}(\sigma)$ are diffeomorphisms of the unit circle. Note that, in general, the group parameters $\epsilon(\sigma)$, $\bar{\epsilon}(\sigma)$ could be functions on the phase space, since the transformations are on-shell.

⁷In the Hamiltonian formulation the light-cone gauge is not a complete gauge fixing for the closed string. The constraint corresponding to the remaining gauge freedom is the level matching condition. After complete gauge fixing one arrives at a conformal gauge and the Hamiltonian formulation is then equivalent to the Lagrangian formulation in light cone gauge [17, 18].

The static gauge provides the following parameterization of f^μ and \bar{f}^μ

$$f_{\text{st.g.}}^\mu(\sigma) = \frac{1}{2} \begin{pmatrix} \sqrt{\alpha} \mathcal{P}^0(\sigma) \\ \sqrt{\alpha} \mathcal{P}^1(\sigma) + \frac{1}{\sqrt{\alpha}} \\ \Pi(\sigma) + \phi'(\sigma) \end{pmatrix}, \quad \bar{f}_{\text{st.g.}}^\mu(\sigma) = \frac{1}{2} \begin{pmatrix} \sqrt{\alpha} \mathcal{P}^0(-\sigma) \\ \sqrt{\alpha} \mathcal{P}^1(-\sigma) - \frac{1}{\sqrt{\alpha}} \\ \Pi(-\sigma) - \phi'(-\sigma) \end{pmatrix}, \quad (4.74)$$

where \mathcal{P}^0 and \mathcal{P}^1 are given by (4.52).

The light-cone gauge parameterization of f^μ and \bar{f}^μ is obtained from (4.57)-(4.61)

$$f_{\text{l-c.g.}}^\mu(\sigma) = \frac{1}{2} \begin{pmatrix} \frac{\rho^+}{\sqrt{\alpha}} + \frac{\sqrt{\alpha}[\Pi(\sigma) + \Phi'(\sigma)]^2}{4\rho^+} \\ \frac{\rho^+}{\sqrt{\alpha}} - \frac{\sqrt{\alpha}[\Pi(\sigma) + \Phi'(\sigma)]^2}{4\rho^+} \\ \Pi(\sigma) + \Phi'(\sigma) \end{pmatrix}, \quad \bar{f}_{\text{l-c.g.}}^\mu(\sigma) = \frac{1}{2} \begin{pmatrix} \frac{\bar{\rho}^+}{\sqrt{\alpha}} + \frac{\sqrt{\alpha}[\Pi(-\sigma) - \Phi'(-\sigma)]^2}{4\bar{\rho}^+} \\ \frac{\bar{\rho}^+}{\sqrt{\alpha}} - \frac{\sqrt{\alpha}[\Pi(-\sigma) - \Phi'(-\sigma)]^2}{4\bar{\rho}^+} \\ \Pi(-\sigma) - \Phi'(-\sigma) \end{pmatrix}, \quad (4.75)$$

where we have used

$$2\rho^+ = 1 - 2\alpha p_-, \quad 2\bar{\rho}^+ = -1 - 2\alpha p_-. \quad (4.76)$$

Based on (4.73), we introduce the relations

$$f_{\text{st.g.}}^\mu(\sigma) = \zeta'(\sigma) f_{\text{l-c.g.}}^\mu(\zeta(\sigma)), \quad \bar{f}_{\text{st.g.}}^\mu(\sigma) = \bar{\zeta}'(\sigma) f_{\text{l-c.g.}}^\mu(\bar{\zeta}(\sigma)), \quad (4.77)$$

which by (4.74)-(4.75) are equivalent to

$$\begin{aligned} \alpha [\mathcal{P}^0(\sigma) + \mathcal{P}^1(\sigma)] + 1 &= 2\rho^+ \zeta'(\sigma), \\ \alpha [\mathcal{P}^0(\sigma) - \mathcal{P}^1(\sigma)] - 1 &= \frac{\alpha}{2\rho^+} \zeta'(\sigma) [\Pi(\zeta(\sigma)) + \acute{\Phi}(\zeta(\sigma))]^2, \\ \Pi(\sigma) + \acute{\phi}(\sigma) &= \zeta'(s) \left(\Pi(\zeta(\sigma)) + \acute{\Phi}(\zeta(\sigma)) \right), \end{aligned} \quad (4.78)$$

and similarly for the anti-chiral part

$$\begin{aligned} \alpha [\mathcal{P}^0(-\sigma) + \mathcal{P}^1(-\sigma)] - 1 &= 2\bar{\rho}^+ \bar{\zeta}'(\sigma), \\ \alpha [\mathcal{P}^0(-\sigma) - \mathcal{P}^1(-\sigma)] + 1 &= \frac{\alpha}{2\bar{\rho}^+} \bar{\zeta}'(\sigma) [\Pi(-\bar{\zeta}(\sigma)) + \acute{\Phi}(-\bar{\zeta}(\sigma))]^2, \\ \Pi(-\sigma) + \acute{\phi}(-\sigma) &= \bar{\zeta}'(\sigma) \left(\Pi(-\bar{\zeta}(\sigma)) + \acute{\Phi}(-\bar{\zeta}(\sigma)) \right). \end{aligned} \quad (4.79)$$

The integration in (4.78) over σ provides the relations

$$\alpha (E_{\text{str}} + P_{\text{str}}^1) + 1 = 2\rho^+, \quad \alpha (E_{\text{str}} - P_{\text{str}}^1) - 1 = \frac{2\alpha h}{\rho^+}, \quad (4.80)$$

which for the string energy leads again to (4.32). The same result is obtained for the antichiral part (4.79).

Equations (4.78)-(4.79) are equivalent to (4.40) and (4.43) with $z(\sigma) = \zeta(\sigma)$ and $\bar{z}(\sigma) = \bar{\zeta}(-\sigma)$, which indicates that they define a canonical map between the two gauges.

The direct computation with the help of (4.33)-(4.34) shows that this map preserves the canonical symplectic form

$$\begin{aligned} \int_0^{2\pi} \frac{d\sigma}{2\pi} \delta\Pi(\sigma) \wedge \delta\phi(\sigma) &= \int_0^{2\pi} \frac{d\sigma}{2\pi} \delta\Pi(\sigma) \wedge \delta\Phi(\sigma) = \\ \int_0^{2\pi} \frac{dx}{2\pi} \delta F(x) \wedge \delta F'(x) &+ \int_0^{2\pi} \frac{d\bar{x}}{2\pi} \delta\bar{F}(\bar{x}) \wedge \delta\bar{F}'(\bar{x}) + \frac{1}{2} \delta p \wedge [\delta F(0) + \delta\bar{F}(0)] . \end{aligned} \quad (4.81)$$

5 Generalization to 2d CFTs and to (non-conformal) models with a potential

In this section we first generalize the scheme described in Section 4.2 to other 2d CFTs. Recall that starting from the free field model we had arrived at the $T\bar{T}$ deformed action. This was identified with the Nambu-Goto action of a 3d string in static gauge. We then wrote the unfixed NG action in Hamiltonian form and fixed the light-cone gauge. Faddeev-Jackiw reduction of the gauge fixed action lead to the original free field Hamiltonian.

Guided by this, starting from a 2d CFT with a canonical description, specified by a Hamiltonian density $\mathcal{H}(\Pi, \phi, \dot{\phi})$, we will devise a first order system such that after going to static gauge we recover the deformed Hamiltonian while when working in light-cone gauge we arrive at the undeformed Hamiltonian \mathcal{H} . We then apply the same scheme to the model (2.18) with a potential, which explicitly breaks conformal symmetry. Relevant references for this section are [11, 12, 13].

5.1 Integrability of the deformed 2d CFTs

We introduce a constrained Hamiltonian system with a string type action

$$S = \int d\tau \int_0^{2\pi} \frac{d\sigma}{2\pi} \left[\mathcal{P}_0 \dot{X}^0 + \mathcal{P}_1 \dot{X}^1 + \Pi_k \dot{\phi}^k - \lambda_1 \mathcal{C}_1 - \lambda_2 \mathcal{C}_2 \right] , \quad (5.1)$$

where

$$\begin{aligned} \mathcal{C}_1 &= \mathcal{P}_0 \dot{X}^0 + \mathcal{P}_1 \dot{X}^1 + \mathcal{P} , \\ \mathcal{C}_2 &= \frac{1}{2} \left[\alpha^2 (\mathcal{P}_1^2 - \mathcal{P}_0^2) + (\dot{X}_1^2 - \dot{X}_0^2) \right] + \alpha \mathcal{H}(\Pi, \phi, \dot{\phi}) . \end{aligned} \quad (5.2)$$

\mathcal{H} and \mathcal{P} are the Hamiltonian and momentum densities of a 2d CFT. We assume that the conditions (2.7)-(2.8) are fulfilled. Because of (2.10) the Poisson brackets of the constraints (5.2) satisfy (4.48).

The system is reparametrization invariant (with the appropriate transformation properties of $\lambda_{1,2}$ [16]). This enables us to introduce the static gauge, where again X^1 is a compact coordinate. Doing this and applying the Faddeev-Jackiw reduction one finds that the action (5.1) reduces to the $T\bar{T}$ -deformed system (3.1) with the Hamiltonian density $\mathcal{H}_\alpha + 1/(2\alpha)$, where \mathcal{H}_α as in eq. (3.9).

If we fix instead light-cone gauge (4.57) and use the definitions (4.54), we arrive again at (4.67). The equations (4.59)-(4.67) are trivially generalized with the replacements

$$\Pi \dot{\Phi} \mapsto \Pi_k \dot{\Phi}^k, \quad \Pi \dot{\Phi} \mapsto \Pi_k \dot{\Phi}^k, \quad \frac{1}{2} \left(\Pi^2 + \dot{\Phi}^2 \right) \mapsto \mathcal{H}(\Pi, \Phi, \dot{\Phi}). \quad (5.3)$$

5.2 Generalization to models with a potential

We now generalize the above discussion to theories with a conformal symmetry breaking potential $U(\phi)$. To this end we introduce a string like dynamical system such that in static gauge it reduces to the deformed theory specified by the Hamiltonian density (3.17).

Consider an action of the type (5.1), where the constraint \mathcal{C}_1 is the same as in (5.2) but with a modified \mathcal{C}_2 of the form

$$\mathcal{C}_2 = \frac{1}{2} \left[g(\mathcal{P}_1^2 - \mathcal{P}_0^2) + \frac{1 - g^2 b^2}{g} (\dot{X}_1^2 - \dot{X}_0^2) - 2bg(\mathcal{P}_0 \dot{X}^1 + \mathcal{P}_1 \dot{X}^0) + 2\mathcal{H} \right]. \quad (5.4)$$

This has the structure of the Hamiltonian density (2.17) which guarantees that the constraints \mathcal{C}_1 and \mathcal{C}_2 satisfy the algebra (4.48). The matrices G and B in the space spanned by (X^0, X^1) , are

$$G^{kl} = g \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}, \quad B_{kl} = b \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}. \quad (5.5)$$

As before the system is reparametrization invariant and we can fix either static or light-cone gauge.

The Faddeev-Jackiw reduction in the static gauge is again straightforward. If we identify [11, 13]

$$g = \beta, \quad b = \frac{\alpha U(\phi)}{2\beta}, \quad \beta = \alpha \left(1 - \frac{\alpha}{2} U \right) \quad (5.6)$$

it leads to the Hamiltonian system (2.4) with the deformed Hamiltonian (3.17).

We now turn to the reduction in light-cone gauge. The precise form of this gauge choice is less obvious in the non-conformal case and to find it we rewrite the first order system in second order Lagrangian form as a sigma-model with target space coordinates (X^0, X^1, ϕ^k) :

$$S = S[\phi] + \frac{1}{2\pi} \int d\tau d\sigma \left(-\frac{1}{2\beta(\phi)} \partial_z X^+ \partial_{\bar{z}} X^- + \frac{1}{\alpha} (\dot{X}^0 \dot{X}^1 - \dot{X}^0 \dot{X}^1) \right) \quad (5.7)$$

where the first term is the 2d CFT action and the last term does not contribute to the equations of motion. For the light-cone fields X^\pm they are

$$\partial_z \left(\frac{1}{\beta(\phi)} \partial_{\bar{z}} X^- \right) = 0, \quad \partial_{\bar{z}} \left(\frac{1}{\beta(\phi)} \partial_z X^+ \right) = 0, \quad (5.8)$$

which can be integrated once

$$\frac{1}{\beta(\phi)}\partial_{\bar{z}}X^- = \rho^-(\bar{z}), \quad \frac{1}{\beta(\phi)}\partial_zX^+ = \rho^+(z). \quad (5.9)$$

ρ^+ and ρ^- transform as one-forms under reparametrizations of the circle. Assuming that they have constant sign, which poses a restriction on the potential, one can gauge away the non-constant (oscillator) parts. In light-cone gauge ρ^\pm are (arbitrary) constants.

If we insert this into the equation of motion for ϕ , we obtain

$$\frac{\delta}{\delta\phi^k}S[\phi] + \frac{1}{4}\alpha^2\rho^+\rho^-\frac{\partial}{\partial\phi^k}U(\phi) = 0. \quad (5.10)$$

For appropriate choice for ρ^\pm these are the equations of motion of the undeformed theory. In the case of a single scalar field ϕ with a free action and potential

$$U(\phi) = 2 - 2e^{2\phi} \quad (5.11)$$

equation (5.10) becomes the Liouville equation.

For the same choice of potential and $\alpha = 1$, the action (5.7) (before gauge fixing) and ignoring the boundary term is the $SL(2)$ WZW-model [19, 20].

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A Solution for the light-cone chiral fields

Due to (4.22), the Fourier mode expansions of $F'^2(z)$ and $\bar{F}'^2(\bar{z})$

$$F'^2(z) = \sum_{n \in \mathbb{Z}} L_n e^{-inz}, \quad \bar{F}'^2(\bar{z}) = \sum_{n \in \mathbb{Z}} \bar{L}_n e^{-in\bar{z}}, \quad (A.1)$$

define L_n and \bar{L}_n as the Virasoro generators in the standard free-field form

$$L_n = \frac{1}{2} \sum_{m \in \mathbb{Z}} a_m a_{n-m}, \quad \bar{L}_n = \frac{1}{2} \sum_{m \in \mathbb{Z}} \bar{a}_m \bar{a}_{n-m}, \quad (A.2)$$

with $a_0 = \bar{a}_0 = p$. The solution of (4.20) can then be written as

$$\Phi^-(z) = \rho^- z + \frac{i\alpha}{\rho^+} \sum_{n \neq 0} \frac{L_n}{n} e^{-inz}, \quad \bar{\Phi}^-(\bar{z}) = \bar{\rho}^- \bar{z} + \frac{i\alpha}{\bar{\rho}^+} \sum_{n \neq 0} \frac{\bar{L}_n}{n} e^{-in\bar{z}}, \quad (A.3)$$

where ρ^- and $\bar{\rho}^-$ are given by (4.23). We neglect the constant zero modes of $\Phi^-(z)$ and $\bar{\Phi}^-(\bar{z})$; they correspond to translations of X^0 and X^2 .

B String energy in the static and light-cone gauges

The integration of (4.43) over σ , for a fixed τ , yields

$$\begin{aligned} \frac{1}{\alpha} \int_0^{2\pi} \frac{d\sigma}{2\pi} \frac{1 + \alpha\dot{\phi}^2}{\sqrt{1 + \alpha\dot{\phi}^2 - \alpha\phi^2}} &= \frac{1}{\alpha} \int_0^{2\pi} \frac{dz}{2\pi} \left(\rho^+ + \frac{\alpha F'^2(z)}{\rho^+} \right) = \frac{1}{\alpha} (\rho^+ + \rho^-) \\ &= \frac{1}{\alpha} \int_0^{2\pi} \frac{d\bar{z}}{2\pi} \left(\bar{\rho}^+ + \frac{\alpha \bar{F}'^2(\bar{z})}{\bar{\rho}^+} \right) = \frac{1}{\alpha} (\bar{\rho}^+ + \bar{\rho}^-). \end{aligned} \quad (\text{B.1})$$

According to (4.14), the left hand side of this equation is the string energy in the static gauge and the right hand sides correspond to the string energy in the light-cone gauge (4.31). This straightforward calculation confirms the validity of (4.32), without referring to the gauge invariance of the string energy.

A similar calculation for the string momentum P^1 by (4.43) yields

$$\begin{aligned} P^1 &= \int_0^{2\pi} \frac{d\sigma}{2\pi} \frac{-\dot{\phi}\phi}{\sqrt{1 + \alpha\dot{\phi}^2 - \alpha\phi^2}} = \frac{1}{\alpha} \int_0^{2\pi} \frac{dz}{2\pi} \left(\rho^+ - \frac{\alpha F'^2(z)}{\rho^+} \right) = \\ &= \frac{1}{\alpha} (\rho^+ - \rho^- - 1) = \bar{h} - h. \end{aligned} \quad (\text{B.2})$$

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