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COMMUNICATION

Amphiphilic Dendrimers Control Protein Binding and Corona Formation on Liposome Nanocarriers

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Amphiphilic polyphenylene dendrimers (PPDs) with distinct lipophilic and positively or negatively charged surface groups were adsorbed onto liposomes and their impact on protein adsorption in the blood plasma was studied. The PPD corona reduced binding of specific opsonins and increased the adsorption of proteins controlling cellular uptake based on their surface patches.

The formation of a protein corona on the surface of nanoparticles is a critical factor that determines their biodistribution, cellular uptake pathways and immune response. There are many parameters that influence protein adsorption on nanocarriers such as their size, surface charges, hydrogen bonds and van der Waals interactions.^{1,2} Liposomes are among the most applied nanocarriers, but their biological fate is hard to control.³ A deeper understanding which surface groups facilitate binding of certain plasma proteins is still elusive. Polyethylene glycol surfaces usually reveal low protein adsorption and stealth-like properties.¹ However, instead of just limiting protein binding, it would be very attractive to design surface coatings that only adsorb certain proteins from blood plasma and thus, enhance cellular uptake or affect biodistribution. To understand and control protein corona formation, novel coatings mimicking features of proteins are designed providing amphiphilic patches with low nanometre dimensions due to hydrophilic, hydrophobic and charged surface groups at defined locations. Dendrimers are monodisperse macromolecules with customizable surface groups and nanometre dimensions.⁴ However, many aliphatic dendrimers exhibit backfolding of their dendritic branches. Thus, surface groups are not exposed exclusively at the surface resulting in less defined peripheral patterns.⁵ Widely used polycationic poly(amidoamine) (PAMAM) dendrimers interact with blood plasma proteins but they also reveal high cellular toxicities and trigger immune responses.⁶

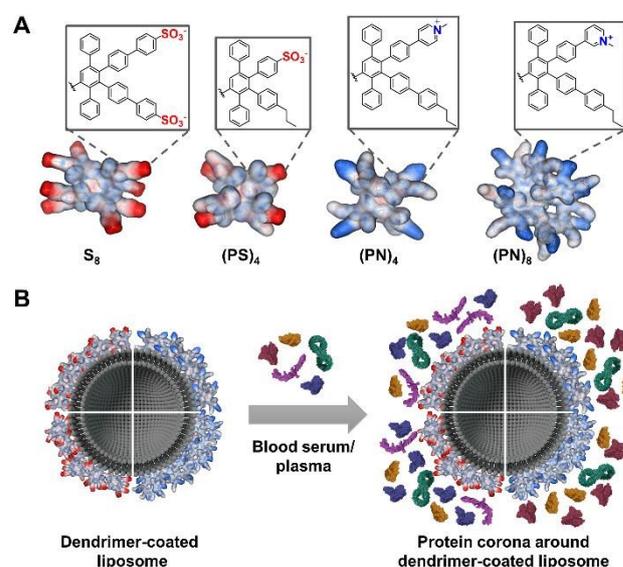


Figure 1. Protein corona on PPD-coated liposomes. (A) PPDs with various surface pattern consisting of sulfonic acid groups (S_8), alternating sulfonic acid and *n*-propyl groups ($(PS)_4$) or alternating sulfonate and *n*-propyl groups ($(PN)_4$ and $(PN)_8$), (P = *n*-propyl, S = sulfonate, N = pyridinium); (B) PPD-coating on liposomes alters the protein corona in blood serum and plasma

Herein, we apply polyphenylene dendrimers (PPDs) as coatings for liposomal nanocarriers to assess the impact of amphiphilic surface groups on plasma protein binding. The PPDs consist of a semi-rigid polyphenylene scaffold with no backfolding of dendritic branches.⁷ Amphiphilic PPDs with alternating sulfonic acid and *n*-propyl groups provide cellular uptake as well as low cellular toxicity and mimic certain features of proteins.⁸ In blood plasma, they form a novel PPD-corona at the surface of adenovirus 5 preventing the endogenous blood coagulation factor X from binding.⁹ Liposomal nanocarriers coated with such similar PPDs reveal a considerably altered protein corona in blood serum.¹⁰

Herein, PPDs with either positively or negatively charged amphiphilic or exclusively anionic peripheral groups were prepared and the impact on protein corona formation was studied. We envision controlling the fate of liposomal nanocarriers by the PPD surface in biological fluids such as blood (Figure 1).

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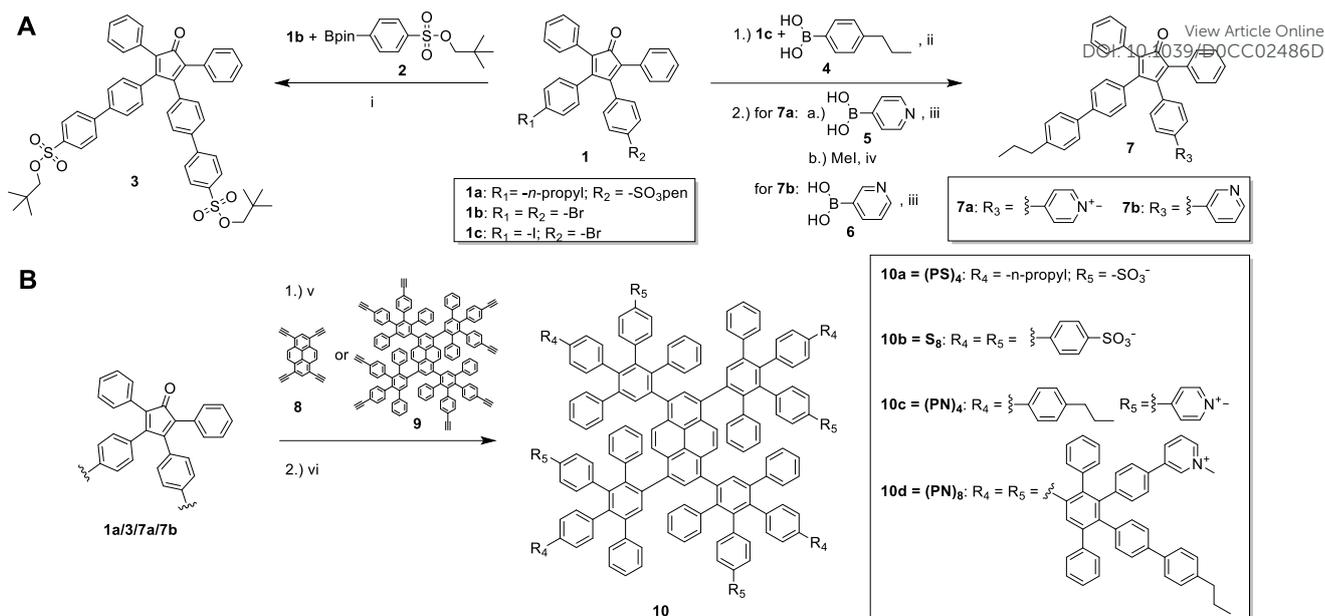
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Scheme 1. Synthesis of polyphenylene dendrimers with different surface patterns. (A) Building block syntheses: i) Pd(PPh₃)₄, K₂CO₃ (aq), 1,4-dioxane, 90 °C, 15 h, 34%; ii) Pd(PPh₃)₄, K₂CO₃ (aq), 1,4-dioxane, 40 °C, 15 h; iii) Pd(PPh₃)₄, K₂CO₃ (aq), 1,4-dioxane, 80 °C, 48 h, 50-60%; iv) methanol, RT, 48 h, 70%; (B) dendrimer synthesis: v) **1a/3/7a/7b**: pyrene-core **8**, *o*-xylene, 145 °C, 48 h, 27%-45%; **7a**: pyrene-core **8**, DMSO, 140 °C, 3 d, 47%; **7b**: first-generation dendrimer core **9**, *o*-xylene, 160 °C, 3 d, 64%; vi) deprotection sulfonic acid groups to obtain **10a**, **10b**: DMF, 180 °C, 48 h, 85-88%; methylation to obtain **10d**: methyl iodide, methanol, RT, 24 h, 92%.

The synthesis of charged PPDs was performed according to the divergent growth approach (Scheme 1, Fig. S2-S5). Growth of the PPDs starts from an ethynylated core, which is reacted with tetraphenylcyclopentadienone (CP) building blocks in a [4+2] Diels–Alder cycloaddition. The CP determines the branching and the absolute surface pattern of the dendrimer.¹¹

Building block **1a** with a sulfonate and *n*-propyl group was synthesized based on modified procedures from Stangenberg *et al.*^{10, 12} and was directly used for the dendrimer growth whereas **1b** (Fig. S3) and **1c** (Fig. S4) were further modified with the desired surface functionalities by Suzuki coupling reactions (Scheme 1). For the anionic dendrimer with peripheral sulfonate groups, intermediate **3**¹² with two neopentyl-protected sulfonic acid moieties was synthesized by C–C coupling of the pinacol boronic ester **2** to di-bromo-modified compound **1b**. For cationic amphiphilic dendrimers, CP **1c** with an iodo- and bromo-substituent was synthesized based on modified protocols from Zhang *et al.* (Fig. S4).¹³ Terminal surface groups were attached to **1c** by Suzuki coupling of *n*-propyl functionalized phenyl derivative **4** and either *meta*- or *para*-substituted pyridine compounds **5** and **6** (Scheme 1). To achieve a positively charged pyridinium group on the dendrimer rim, the pyridine moieties were methylated. For the first-generation dendrimer, the methylation was performed prior to dendrimer synthesis (*a priori*) to obtain pyridinium modified CP **7a**. When synthesizing a second-generation dendrimer, the pyridine group was methylated after dendrimer growth, due to incomplete dendrimer formation with already cationic building blocks at higher generations. In addition, the *meta*-pyridinyl group of **7b** facilitated the methylation on the dendrimer surface. All PPDs are built around the core of 1,3,6,8-tetraethynylpyrene (**8**).¹⁴ The cycloaddition to achieve both

neopentyl-protected sulfonic acid-based dendrimers were performed at 145 °C in *o*-xylene for 48 h.^{8, 12} After purification by silica gel column chromatography and *recycling*-gel permeation chromatography (GPC), the neopentyl protective groups of the sulfonic acids were thermally cleaved to obtain **10a** and **10b**.^{8, 12} Deprotected dendrimers were further purified *via* GPC in DMF. The positively charged amphiphilic dendrimer **10c** was obtained by cycloaddition of pyrene core **8** and **7a** in DMSO at 140 °C for 3 d. The product was precipitated and purified by dialysis. The second-generation dendrimer was synthesized from a first-generation pyrene-based PPD **9**,⁸ which was reacted with pyridine modified CP **7b** in *o*-xylene at 160 °C for 3 d. After purification by GPC, the product was methylated. Cationic amphiphilic dendrimer **10d** was obtained by precipitation in diethyl ether. NMR spectroscopy and MALDI-TOF or ESI mass spectroscopy confirmed the structure of **10a–10d**.

We coated liposomes and polystyrene nanoparticles with the PPDs and investigated protein corona formation in blood serum and plasma. To compare our results with our previous findings for highly branched amphiphilic PPDs,¹⁰ we used the same liposome composition consisting of 2-dioleoyl-*sn*-glycero-3-phosphoethanolamine (DOPE), L- α -phosphatidylcholine (eggPC) and cholesterol (Chol) (PC:DOPE:Chol=1:1:1) as well as amino-functionalized polystyrene nanoparticles (PS-NH₂), which were prepared according to standard protocols.¹⁵ Liposomes or PS-NH₂ were mixed with the dendrimers **10a** (PS)₄, **10b** S₈, **10c** (PN)₄ or **10d** (PN)₈ (with P = *n*-propyl, S = sulfonate and N = pyridinium). Coating of both nanocarriers was verified by a shift of ζ -potential values (SI Fig. S6). Interestingly, only for (PS)₄ and (PN)₈ liposome coating was observed (SI Fig. S6B). It has been reported that amphiphilic dendrimers with alternating sulfonic acid (S) and *n*-propyl groups (P) are bound to a lipid



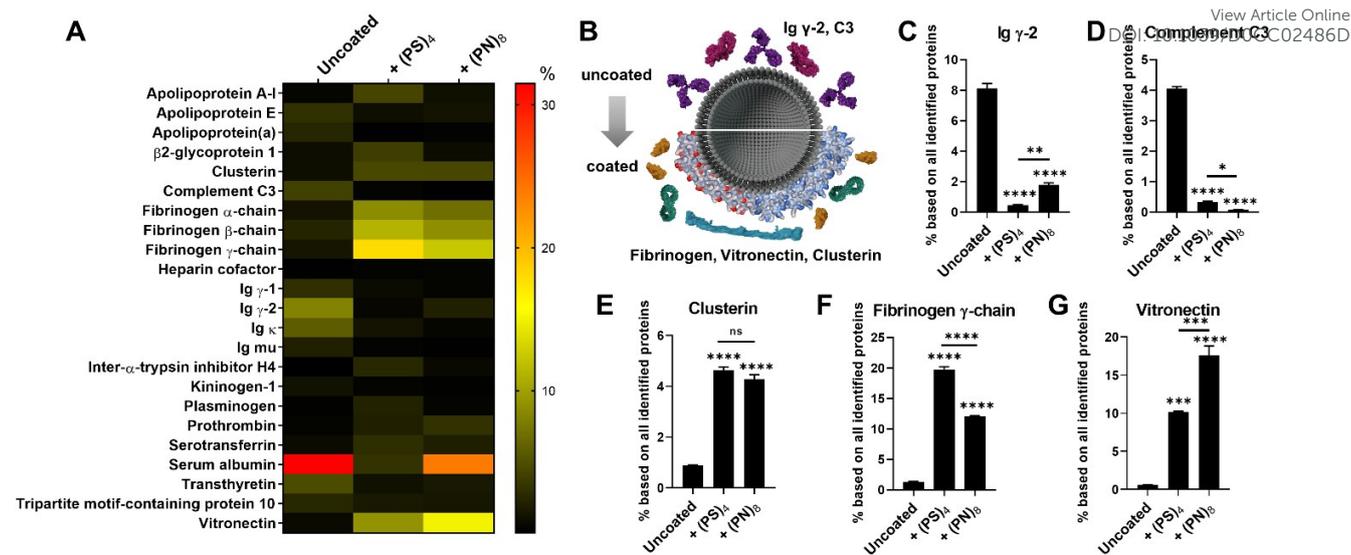


Figure 2. Comparison of adsorbed proteins between uncoated liposomes and lipo-dendrimers (lipo-(PS)₄ and lipo-(PN)₈). (A) Heatmap of adsorbed proteins to lipo-(PS)₄ and lipo-(PN)₈ in human plasma. Each protein amount is given in % relative to the total amount of all identified proteins. A list of all identified proteins is provided in Table S1. (B) Coating of liposomes lead to a different protein corona: Reduction of (C) Ig γ-2 adsorption and (D) Complement C3 and enhancement of (E) clusterin, (F) fibrinogen and (G) vitronectin (*n* = minimum 2; one-way ANOVA; not significant (ns) for *p* > 0.05, * for *p* ≤ 0.05, ** for *p* ≤ 0.01, *** for *p* ≤ 0.001, **** for *p* ≤ 0.0001).

monolayer by electrostatic interactions.¹⁶ Since S₈ did not bind to liposomes efficiently (Fig. S6B), we postulate that the hydrophobic interaction between *n*-propyl group and lipid tails is necessary to enhance binding to liposomes. In addition, second-generation dendrimer (PN)₈ coated liposomes to a higher extent than (PN)₄ resulting in a positive ζ-potential. Therefore, sufficient surface coverage of liposomes was only achieved for (PS)₄ and (PN)₈. To assess the impact of the *n*-propyl (P) group on the protein corona formation, we coated PS-NH₂ nanoparticles with (PS)₄ and S₈. Due to the positive ζ-potential of amino-functionalized PS particles, adsorption of negatively charged dendrimers is electrostatically driven. This was further supported by using positively charged (PN)₄ and (PN)₈, which did not show an efficient binding towards PS-NH₂ (Fig. S6C). Thus, either blood serum or blood plasma was added to the dendrimer-coated liposomes (lipo-dendrimer) or PS-NH₂ nanoparticles. The protein adsorption was analyzed quantitatively by Pierce Assay (Fig. S7) and LC-MS/MS (Fig. 2, Fig. S8 and S9). For the blood serum preparation, fibrinogen and other blood clotting factors were removed by centrifugation, whereas blood plasma contained all proteins including clotting factors. For plasma, clotting was prevented by the addition of citrate as anticoagulant. Using the Pierce Assay, we could further confirm the binding efficiency of dendrimers to the particle surfaces by comparing the protein adsorption levels to uncoated nanocarriers (SI Fig. S7B–E). Furthermore, protein quantities increased for higher dendrimer concentrations, which did not largely affect the protein corona composition (SI, Fig. S12–S14). The heatmaps depict an overview of all blood proteins bound onto lipo-dendrimers (lipo-(PS)₄ and lipo-(PN)₈; Fig. 2A and Fig. S8) as well as PS-dendrimers (PS-(PS)₄ and PS-S₈) (SI Fig. S9) in blood plasma and serum. First, we observed a similar alteration in the adsorption of certain proteins from uncoated liposomes to lipo-dendrimers regardless of the charge of the surface group ((PS)₄ vs (PN)₈; Fig. 2B). Both dendrimer surfaces lead to a

significant reduction of the opsonins immunoglobulin γ-2 (Ig γ-2) and complement C3 levels in comparison to uncoated liposomes (Fig. 2C and D). Opsonins are recognized by immune cells, which are part of the mononuclear phagocytic system (MPS), and they mediate cellular uptake of nanocarriers into phagocytic cells.^{1, 17} Thus, these opsonins enhance blood clearance and reduce the interaction with targeted cells.¹⁸ In contrast, we observed an enhancement in clusterin binding from uncoated to covered liposomes (Fig. 2E). Clusterin, also termed Apolipoprotein J, decreased unspecific cellular uptake of PEGylated nanocarriers *in vitro* and functioned as a dysopsonin for macrophages.¹⁹ In general, apolipoproteins bind to a higher extent to hydrophobic nanocarriers.²⁰ Thus, we assume that the clusterin binding is related to the hydrophobic character of PPDs. Furthermore, fibrinogen was adsorbed on all lipo-dendrimers (Fig. 2F). Previously, the pre-coating of PS nanoparticles with IgG-depleted plasma furnished a high enrichment of fibrinogen and a reduced cellular uptake in macrophages.²¹ In addition, the dendrimer coating gave rise to accelerated vitronectin binding (Fig. 2G). These findings were consistent with our previous studies for PPDs and dendrons with higher density of sulfonic acid and *n*-propyl groups (Fig. S10).¹⁰ Vitronectin was reported to mediate a selective uptake of lipoplexes into cancer cells with overexpressing α_vβ₃ vitronectin receptors.²² We also found fibrinogen and vitronectin binding for PS-(PS)₄ and PS-S₈ (SI Fig. S11C and D) emphasizing that the binding of these proteins is attributable to the hydrophobic PPD scaffold.

Second, we also observed remarkable differences in the protein corona that might be caused by the surface charges (Fig. 3A). Binding of serum albumin (HSA) for lipo-(PN)₈ was enhanced whereas lipo-(PS)₄ only showed a very low HSA adsorption (Fig. 3B). HSA is reported to serve as dysopsonin leading to higher blood circulation times.²³ PS-(PS)₄ and PS-S₈ also displayed a reduction of HSA in the protein corona compared to uncoated



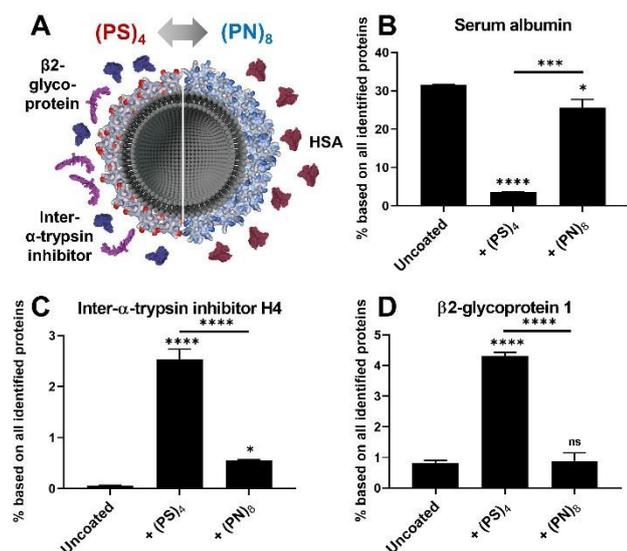


Figure 3. Comparison of adsorbed proteins between lipo-(PS)₄ and lipo-(PN)₈. (A) Differences in the protein corona of lipo-(PS)₄ and lipo-(PN)₈ concerning an enhancement of (B) HSA for lipo-(PN)₈, and (C) inter-α-trypsin-inhibitor as well as (D) β2-glycoprotein 1 for lipo-(PS)₄ (n = minimum 2; one-way ANOVA; ns for p > 0.05, * for p ≤ 0.05, *** for p ≤ 0.001, **** for p ≤ 0.0001).

nanoparticles (Fig. S11B). This suggests that the positively charged pyridinium group is involved in the interaction of lipo-(PN)₈ with HSA. In contrast, inter-α-trypsin inhibitor (IαI) H4 was increased for all negatively charged liposomes and nanoparticles (lipo-(PS)₄ (Fig. 3C), PS-(PS)₄ and PS-S₈ (Fig. S11E)) and IαI is known to interact with the highly negatively charged hyaluronic acid.²⁴ Additionally, lipo-(PS)₄ adsorbed β2-glycoprotein 1 also known as Apolipoprotein H (ApoH; Fig. 3D), which is consistent with our previous findings.¹⁰ ApoH binds to negatively charged phospholipids and mediates cellular uptake into mesenchymal stem cells.²⁵ For PS-S₈, we observed an even higher ApoH adsorption, suggesting that this interaction is favoured by the peripheral sulfonates (Fig. S11F).

In conclusion, we synthesized PPDs with different surface patterns in terms of amphiphilicity and charges with the advantage of shape persistence leading to surface structures with nano-site perfection. We could show that surface charges and hydrophobicity of PPDs alter the protein corona on liposomes. For all PPDs, a reduction of opsonization proteins and enhancement of proteins, which might control selective cellular uptake, were observed. Thus, we demonstrated that the protein corona of nanoparticles is modulated through PPD coating, which opens new avenues to control their biodistribution in vivo.

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Conflicts of interest

There are no conflicts to declare.

Notes and references

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