



# Sequential Defects in Cardiac Lineage Commitment and Maturation Cause Hypoplastic Left Heart Syndrome

Markus Krane, MD\*; Martina Dreßen, PhD\*; Gianluca Santamaria<sup>1</sup>, PhD\*; Ilaria My, MD\*; Christine M. Schneider<sup>1</sup>, MD\*; Tatjana Dorn, PhD\*; Svenja Laue, PhD\*; Elisa Mastantuono, MD, PhD; Riccardo Berutti, PhD; Hilansi Rawat, MS; Ralf Gilsbach<sup>1</sup>, PhD; Pedro Schneider<sup>1</sup>, MD; Harald Lahm<sup>1</sup>, PhD; Sascha Schwarz, MS; Stefanie A. Doppler, PhD; Sharon Paige<sup>1</sup>, MD, PhD; Nazan Puluca, MD; Sophia Doll, PhD; Irina Neb, MS; Thomas Brade, PhD; Zhong Zhang, MD; Claudia Abou-Ajram; Bernd Northoff<sup>1</sup>, MS; Lesca M. Holdt, MD, PhD; Stefanie Sudhop<sup>1</sup>, PhD; Makoto Sahara, MD, PhD; Alexander Goedel, MD; Andreas Dendorfer, MD; Fleur V.Y. Tjong<sup>1</sup>, MD, PhD; Maria E. Rijlaarsdam, MD; Julie Cleuziou<sup>1</sup>, MD; Nora Lang, MD; Christian Kupatt, MD; Connie Bezzina<sup>1</sup>, MD; Rüdiger Lange, MD; Neil E. Bowles, PhD; Matthias Mann, PhD; Bruce D. Gelb<sup>1</sup>, MD; Lia Crotti<sup>1</sup>, MD; Lutz Hein<sup>1</sup>, MD; Thomas Meitinger<sup>1</sup>, PhD; Sean Wu<sup>1</sup>, MD; Daniel Sinnecker, MD; Peter J. Gruber<sup>1</sup>, MD, PhD; Karl-Ludwig Laugwitz<sup>1</sup>, MD; Alessandra Moretti<sup>1</sup>, PhD

**BACKGROUND:** Complex molecular programs in specific cell lineages govern human heart development. Hypoplastic left heart syndrome (HLHS) is the most common and severe manifestation within the spectrum of left ventricular outflow tract obstruction defects occurring in association with ventricular hypoplasia. The pathogenesis of HLHS is unknown, but hemodynamic disturbances are assumed to play a prominent role.

**METHODS:** To identify perturbations in gene programs controlling ventricular muscle lineage development in HLHS, we performed whole-exome sequencing of 87 HLHS parent–offspring trios, nuclear transcriptomics of cardiomyocytes from ventricles of 4 patients with HLHS and 15 controls at different stages of heart development, single cell RNA sequencing, and 3D modeling in induced pluripotent stem cells from 3 patients with HLHS and 3 controls.

**RESULTS:** Gene set enrichment and protein network analyses of damaging de novo mutations and dysregulated genes from ventricles of patients with HLHS suggested alterations in specific gene programs and cellular processes critical during fetal ventricular cardiogenesis, including cell cycle and cardiomyocyte maturation. Single-cell and 3D modeling with induced pluripotent stem cells demonstrated intrinsic defects in the cell cycle/unfolded protein response/autophagy hub resulting in disrupted differentiation of early cardiac progenitor lineages leading to defective cardiomyocyte subtype differentiation/maturation in HLHS. Premature cell cycle exit of ventricular cardiomyocytes from patients with HLHS prevented normal tissue responses to developmental signals for growth, leading to multinucleation/polyploidy, accumulation of DNA damage, and exacerbated apoptosis, all potential drivers of left ventricular hypoplasia in absence of hemodynamic cues.

**CONCLUSIONS:** Our results highlight that despite genetic heterogeneity in HLHS, many mutations converge on sequential cellular processes primarily driving cardiac myogenesis, suggesting novel therapeutic approaches.

**Key Words:** autophagy ■ cell cycle ■ heart defects, congenital ■ hypoplastic left heart syndrome ■ induced pluripotent stem cells ■ unfolded protein response ■ whole exome sequencing

Correspondence to: Alessandra Moretti, PhD, or Karl-Ludwig Laugwitz, MD, Department of Internal Medicine I, Cardiology, Klinikum rechts der Isar, Technical University of Munich, Ismaninger Straße 22, 81675 Munich, Germany; or Peter J. Gruber, MD, PhD, Department of Surgery, Yale University School of Medicine, 226 Brady Memorial Laboratory, 310 Cedar Street, New Haven, CT 06510. Email amoretti@mytum.de, laugwitz@mytum.de, or peter.gruber@yale.edu

\*M. Krane, M. Dreßen, G. Santamaria, I. My, C.M. Schneider, T. Dorn, and S. Laue contributed equally.

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## Clinical Perspective

### What Is New?

- Exome sequencing and in vivo cardiomyocyte transcriptome analyses suggest intrinsic disturbances of specific gene programs contributing to the pathogenesis of hypoplastic left heart syndrome (HLHS) during fetal ventricular cardiogenesis and argue against a solely hemodynamic origin.
- Patient induced pluripotent stem cell models of specific HLHS anatomic subsets indicate a primary disease onset occurring during initial stages of cardiogenesis in cardiac progenitors and translating later into disrupted cardiomyocyte subtype lineage specification and maturation.
- Electromechanical preconditioning of ventricular HLHS induced pluripotent stem cell–derived cardiomyocytes in 3D heart patches revealed that impaired maturation limits their ability to respond to growth cues, resulting in premature cell cycle exit and increased apoptosis, contributing to left ventricular hypoplasia.

### What Are the Clinical Implications?

- Our results indicate that a shared, cell-autonomous mechanism exists for discrete pathologic subsets of HLHS, extending the classical “flow-volume hypoplasia” model.
- The existence of intrinsic cardiomyocyte defects in distinct HLHS subsets offers an explanation for the unpredictable results of in utero aortic valvuloplasty as treatment to enable left ventricular growth and suggests a path toward rational therapeutic catheter-based interventions.
- Knowledge of these specific molecular defects may inform strategies, either by stratification or adjunctive therapies, permitting biventricular repair in less serious forms of left ventricular hypoplasia.

**H**ypoplastic left heart syndrome (HLHS) is a severe form of congenital heart disease (CHD) characterized by underdevelopment of left-sided cardiac structures, including left ventricle (LV) hypoplasia, hypoplastic ascending aorta, intact interventricular septum, and aortic or mitral valve atresia or stenosis, or both, in the setting of concordant ventriculoarterial connections.<sup>1–3</sup> Although a genetic etiology is supported by an increased recurrence risk and familial clustering, the largely sporadic occurrence suggests a complex genetic model.<sup>4,5</sup>

Historically, ventricular and aortic hypoplasia in HLHS has been attributed to reduced growth arising as consequence of restricted blood flow caused by maldeveloped mitral or aortic valve.<sup>6,7</sup> Recently, an anatomic study of HLHS hearts indicated that ventricular and valvular morphology are poorly correlated and 3 phenotypic LV variants (slit-like, thickened, and

## Nonstandard Abbreviations and Acronyms

<b>CHD</b>	congenital heart disease
<b>CP</b>	cardiac progenitor
<b>D-DNM</b>	damaging de novo mutation
<b>DEG</b>	differentially expressed gene
<b>DNM</b>	de novo mutation
<b>ER</b>	endoplasmic reticulum
<b>FHF</b>	first heart field
<b>GSEA</b>	gene set enrichment analysis
<b>HLHS</b>	hypoplastic left heart syndrome
<b>iPSC-CM</b>	induced pluripotent stem cell–derived cardiomyocyte
<b>iPSC</b>	induced pluripotent stem cell
<b>LV</b>	left ventricle
<b>PH3</b>	phospho-histone H3
<b>RNA-Seq</b>	RNA sequencing
<b>RV</b>	right ventricle
<b>UPR</b>	unfolded protein response
<b>WES</b>	whole-exome sequencing

miniature) are present, extending earlier suggestions that a pathogenic mechanism based on reduced blood flow alone may be insufficient.<sup>8,9</sup> In the *Oha* mouse, specific mutations drive LV hypoplasia by perturbing cardiomyocyte proliferation and differentiation.<sup>10</sup> *Oha* mutants display aspects of HLHS, although some can have double-outlet right ventricle that does not uniformly resemble human HLHS. Transcriptional and structural alterations found in the LV of the HLHS *Oha* mice are present, though less severe, in the right ventricle (RV).<sup>10,11</sup> This suggests that intrinsic defects in myogenic programs of both ventricles may manifest differently, depending on combinations of the cardiac progenitor (CP) population affected and physiologic milieu.

During cardiogenesis, 2 main CP lineages provide cardiomyocytes to the developing heart with distinct temporal and spatial contributions. The first heart field (FHF) CPs are fated to differentiate early, forming the primitive heart tube, the LV, and portion of the atria; the second heart field cells show delayed differentiation into cardiomyocytes and represent initially a reservoir of multipotent CPs.<sup>12,13</sup> Later, second heart field CPs give rise to the RV, the proximal outflow tract, and part of the atria.<sup>14</sup>

Work using cardiomyocytes derived from human induced pluripotent stem cells (iPSCs) has begun to elucidate molecular mechanisms of CHD in patient-specific in vitro models of cardiogenesis.<sup>15,16</sup> Studies in iPSC-derived cardiomyocytes (iPSC-CMs) from patients with HLHS carrying variants in the Notch signaling pathway demonstrated impaired differentiation<sup>17–19</sup>; other studies implicated transcriptional repression of *NKX2-5*, *HAND1*,

and *NOTCH1* or activation of atrial gene programs.<sup>20,21</sup> Lacking is a unifying picture of how the profound genetic heterogeneity of HLHS converges in common perturbations of sequential cellular processes driving heart morphogenesis, how these processes are altered, and how such alterations contribute to the disease.

We combined whole-exome sequencing (WES) of parent–offspring trios, transcriptome profiling of cardiomyocytes from ventricular biopsies, iPSC-derived CP/cardiomyocyte models of 2D/3D cardiogenesis, and single-cell gene expression analysis to decode the cellular and molecular principles of HLHS phenotypes. Our results show that initial aberrations in the cell cycle/unfolded protein response (UPR)/autophagy hub lead to disrupted CP lineage commitment. Consequently, impaired maturation of ventricular cardiomyocytes limits their ability to respond to growth cues, resulting in premature cell cycle exit and increased apoptosis under biomechanical stress in 3D heart structures. Together, these studies provide evidence that HLHS pathogenesis is not exclusively of hemodynamic origin and reveal novel potential nodes for rational design of therapeutic interventions.

## METHODS

### Data Availability

All data needed to evaluate the conclusions of this study are present in the article or the [Data Supplement](#). The raw omics data are deposited at publicly available databases (bulk and single-cell RNA sequencing, #GSE135411; nuclear RNA sequencing, #PRJNA353755; proteomics, #PXD014812).

### Patients and Controls

All patients with HLHS harbored hypoplastic LV and ascending aorta, mitral or aortic valve atresia or stenosis, and intact interventricular septum. Two additional patients with LV hypoplasia and a ventricular septal defect not meeting strict HLHS criteria were included in the WES analysis but omitted from further studies.

Blood for WES was collected from 87 probands with sporadic HLHS and their respective parents (clinical information summarized in [Table IA in the Data Supplement](#)). Human ventricle samples from controls and patients with HLHS were obtained from aborted fetuses or during Norwood Stage I palliation. All donors or their legal representatives provided informed consent. The study was performed according to the Helsinki Declaration and approved by local ethics committees at the respective institutions (KaBi-DHM [cardiovascular biobank, German Heart Center Munich]: 5943/13, 247/16S; Institute of Human Genetics: 5360/13; Human Subjects Management: 15–00696).

### Statistics

Statistical analyses were performed using R and GraphPad Prism. Analysis of variance (post hoc Tukey honestly significant difference) or the Student *t* test were used for normally distributed data and Kruskal-Wallis (post hoc Dunn test) or Mann-Whitney *U* tests for non-normally distributed data, as

appropriate. Categorical data were analyzed using  $\chi^2$  tests. *P* values and *P*-adjusted <0.05 were considered statistically significant.

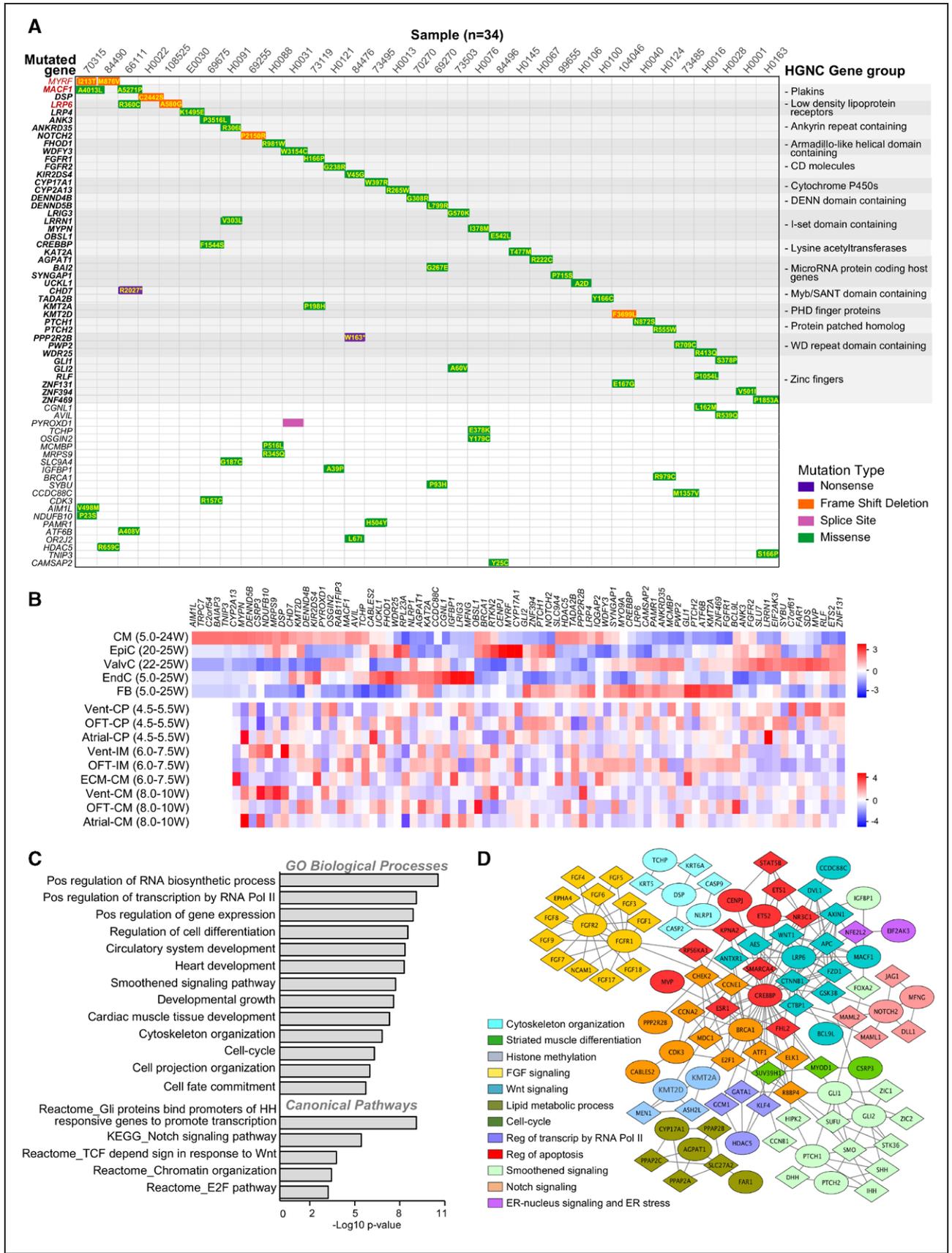
## RESULTS

### Damaging De Novo Mutations in Patients With HLHS Are Linked to Cardiac Development, Chromatin Organization, and Cell Cycle Phases

WES from 87 patients with sporadic HLHS and their parents recovered 94 nonsynonymous de novo mutations (DNMs), of which 12 were loss-of-function and 76 predicted damaging missense variants ([Table IB in the Data Supplement](#)). A total of 1 to 5 nonsynonymous DNMs were found in 56 patients ([Figure IA and IB in the Data Supplement](#)). Among the 51 patients with damaging DNMs (D-DNMs), 34 carried mutations in genes or *HGNC* gene families that harbored multiple hits ([Figure 1A](#)). D-DNMs in *MYRF*, *MACF1*, and *LRP6* were found twice, with 2 individuals carrying variants in 2 of the 3 genes ([Figure 1A](#)). *MYRF* was recently associated with CHD<sup>22</sup> and HLHS,<sup>23</sup> *LRP6* and *MACF1* are linked to Wnt signaling.<sup>24,25</sup> Gene families with multihits were likewise related to pivotal signaling pathways implicated in cardiac development (Hedgehog, FGF, and Notch) or to the histone modification H3K4me-H3K27me pathway that has been associated with CHD.<sup>26,27</sup>

Analyzing single-cell transcriptomics from human embryonic (4.5 to 25 weeks postconception) cardiac cells,<sup>28,29</sup> we found 96% of the 84 D-DNM genes expressed in different cell types, significantly more than random gene sets (76.7%±8.8%; *P*<10e-15). Interestingly, high expression was observed in at least 1 stage of chamber or outflow tract development ([Figure 1B and Figure II in the Data Supplement](#)), suggesting an intrinsic cardiomyocyte lineage dysfunction as a possible determinant of HLHS. Gene set enrichment analysis (GSEA) of D-DNM genes revealed a significant enrichment in gene categories related to cardiac and embryonic development and growth, cell fate commitment and differentiation, as well as cell cycle and G1/S phase transition (E2F pathway; [Figure 1C and Table IC in the Data Supplement](#)). Network analysis identified 12 interconnected protein–protein interaction modules (0.63 modularity; 11.84 scaled modularity), significantly different from random networks (0.36±0.023 modularity [mean±SD; *n*=100]; *P*<10e-05), comprising 32 of the 84 D-DNM genes ([Figure 1D](#)). Genes in these modules act in biological processes critical for CP specification and cardiomyocyte maturation, including cell cycle and apoptosis; response to endoplasmic reticulum (ER) stress; signaling by Smoothed, Wnt, FGF, and Notch; transcriptional regulation; and histone methylation.

We interrogated 459 independent HLHS parents–offspring trios within the CHD cohort of the Pediatric



**Figure 1. Characterization of damaging de novo mutations in patients with hypoplastic left heart syndrome.**

**A**, Waterfall plot of de novo multihit genes (red) or gene family (bold) identified in the hypoplastic left heart syndrome (HLHS) cohort. “Multihit” refers to the occurrence/presence of variants in the same gene or family of genes among different individuals. (Continued)

**Figure 1 Continued.** Additional de novo genes in each subject are in plain black. **B**, Cell type–specific expression of HLHS damaging de novo mutation (D-DNM) genes based on single-cell RNA sequencing from normal fetal hearts between 4.5 and 25 weeks (W) of gestation. Data from Ciu et al.<sup>29</sup> and Sahara et al.<sup>28</sup> were used to generate the upper and lower heatmap, respectively. **C** and **D**, Bar chart of Gene Ontology (GO) enrichment analysis (**C**) and protein–protein network analysis (**D**) of D-DNM genes. In **D**, each Netbox module is coded by a different color, with mutated genes illustrated as circles and linker genes as diamonds. CM indicates cardiomyocytes; CP, cardiac progenitor; ECM, extracellular matrix; EndC, endothelial cells; EpiC, epicardial cells; ER, endoplasmic reticulum; FB, fibroblasts; HGNC, HUGO Gene Nomenclature Committee; IM, intermediates; OFT, outflow tract; ValvC, valvular cells; and Vent, ventricular.

Cardiac Genomics Consortium<sup>27</sup> for de novo variants. The occurrence of nonsynonymous DNMs and the distribution of variant classes were comparable, with 3 genes related to known syndromic CHD forms (*CHD7*, *KMT2D*, *MYRF*) being common D-DNM multihits (Figure 1A through 1C in the Data Supplement). GSEA and network interactome analyses of the combined cohort yielded similar results to our cohort alone (Figure 1D and 1E in the Data Supplement). Compared with 1789 control trios comprising parents and unaffected siblings of probands with autism,<sup>30</sup> most GSEA-retrieved pathways showed substantial burden enrichment in D-DNMs among cases (Figure 1D in the Data Supplement). Randomization of DNMs between HLHS cases and controls confirmed the nonstochastic nature of our results (Table 1D in the Data Supplement).

### Dysregulated Genes in Infant HLHS Cardiomyocytes Belong to Dynamic Transcriptional Networks During Cardiogenesis

To verify dynamic expression of HLHS D-DNM genes during cardiomyocyte development and identify temporal and spatial processes of cardiogenesis critical to HLHS pathogenesis, we performed nuclear RNA sequencing (RNA-Seq) of RV cardiomyocytes from healthy hearts at 3 developmental stages—fetal (16 to 23 weeks postconception), infancy (10 to 40 weeks), and adulthood (21 to 50 years)—and from infants with HLHS (5 to 11 days; Figure 2A and Table 1IA in the Data Supplement). The choice of RV tissue was based on the fact that LV is inaccessible from living patients with HLHS and that both ventricles may share altered transcriptional signatures, as found in *Ohia* mutants.

We first compared RV tissues from 4 healthy infants and 4 infants with HLHS, who presented thickened morphologic features of the hypoplastic LV<sup>9</sup> (Figure 2B). An equal proportion of cardiomyocyte nuclei was found in both groups (Figure 1IIA in the Data Supplement). HLHS cardiomyocytes showed a substantial increase in tetraploid nuclei at the expense of diploid (Figure 2C). Because polyploidization accompanies terminal cardiomyocyte differentiation and permanent cell cycle withdrawal,<sup>31</sup> this suggests premature cell cycle arrest in HLHS. RNA-Seq analysis revealed 2286 differentially expressed genes (DEGs) in patients with HLHS compared with controls (Figure 2D and Table 1IB in the Data Supplement). Comparative principal component analysis separated patients with HLHS and controls (Figure 2E). Enrichment map of the Gene Ontology categories recov-

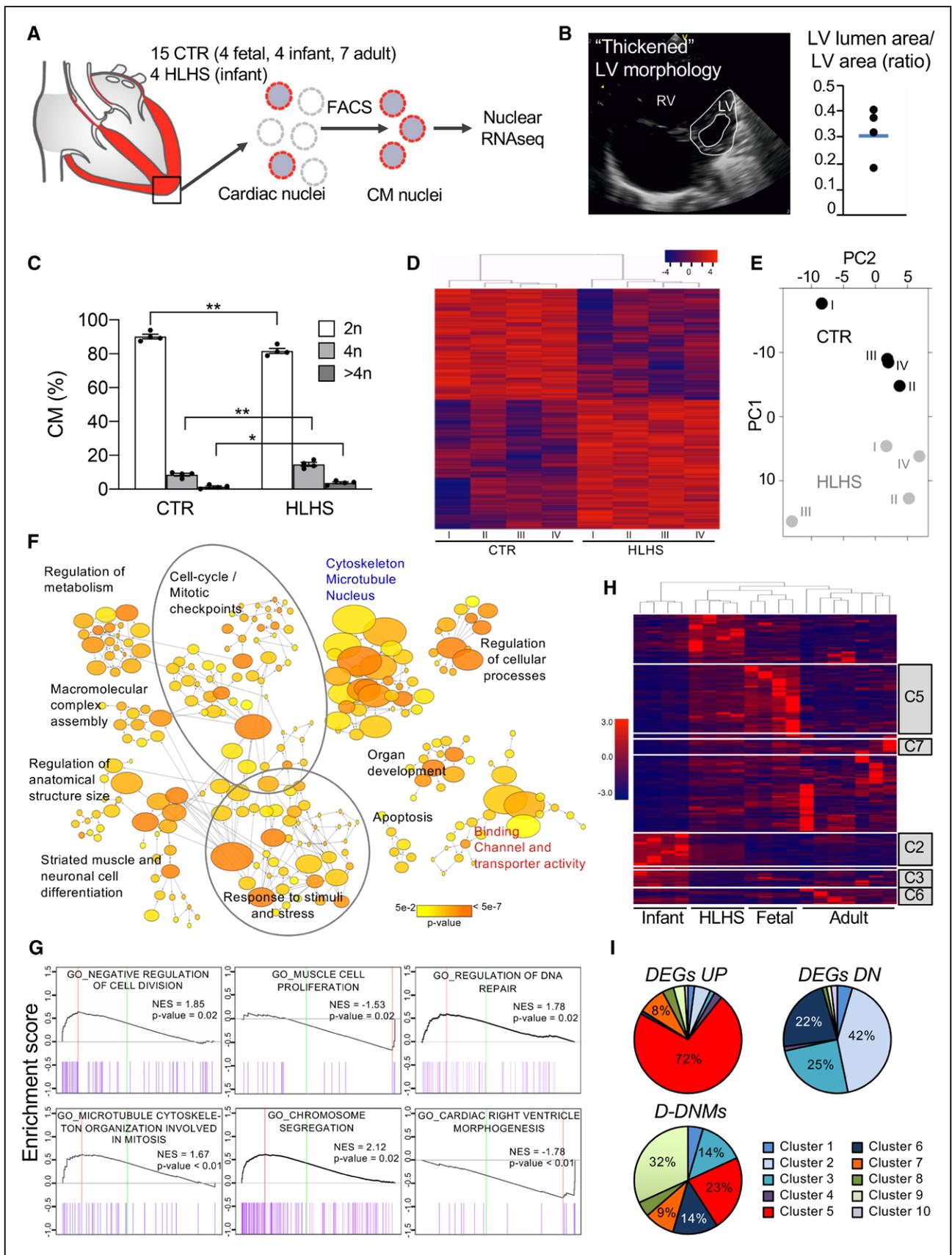
ered from DEGs demonstrated high interconnection of functionally related gene sets associated with cell cycle and mitotic checkpoints, response to stimuli and stress, organ development, cell differentiation and apoptosis, cytoskeleton/microtubule/nucleus, and regulation of metabolism (Figure 2F and Table 1IC in the Data Supplement). Most pathways overlapped with the modules recovered from the HLHS D-DNM genes. In HLHS, GSEA revealed reduced expression of genes important for cardiac ventricle morphogenesis and increased expression of genes controlling DNA repair (Figure 2G and Table 1ID in the Data Supplement). Moreover, negative regulators of cell division were upregulated, whereas genes associated with muscle cell proliferation were down, supporting the notion of premature cell cycle exit.

To assess whether DEGs and D-DNM genes in HLHS are dynamically regulated during development, we next established a global expression atlas of fetal, infant, and adult healthy RV genes. Hierarchical clustering demonstrated distinct expression profiles among developmental stages (Figure 1IIB in the Data Supplement). Investigation of DEGs identified 10 transcriptome clusters dynamically changing during cardiac development (Figure 1IIC in the Data Supplement). DEGs in infants with HLHS resembled the fetal rather than the infant stage (Figure 2H). A total of 60% of HLHS downregulated DEGs belonged to dynamic transcriptome clusters, being 56% distributed in gene clusters 1, 2, 3, and 6. These contained genes upregulated from the embryonic to newborn phases and mainly involved in cellular transport and metabolic processes (Figure 2I and Figure 1IIC and Table 1IE in the Data Supplement). A total of 21% of the upregulated transcripts fitted to clusters 5 and 7, representing genes regulating morphogenesis and cell cycle and whose expression levels decreased in infant stage (Figure 2I and Figure 1IIC and Table 1IE in the Data Supplement). A total of 22 of the 84 D-DNM genes in our HLHS cohort were found in most clusters.

Together, these findings indicate that HLHS may result from alterations in specific gene programs critical during fetal ventricular cardiogenesis and suggest a role of cell cycle and cardiomyocyte maturation as potential disease drivers.

### Specific Gene Networks Are Dynamically Altered During Differentiation of HLHS iPSCs Toward CPs and Cardiomyocytes

To better determine to which extent transcriptional alterations of native HLHS cardiomyocytes underlie disease



**Figure 2. Gene expression analysis of cardiomyocyte nuclei from hypoplastic left heart syndrome and control hearts.**  
**A**, Workflow of cardiomyocyte nuclei isolation for RNA sequencing (RNA-Seq). **B**, Representative echocardiogram of patients with hypoplastic left heart syndrome (HLHS) with a distinct left ventricular (LV) phenotype. Dot plot shows the ratio of LV lumen area/LV area for the 4 (Continued)

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**Figure 2 Continued.** patients with HLHS and the average value (blue line). **C**, Ploidy level of cardiomyocyte nuclei in HLHS and control (CTR) hearts. Data are mean±SEM. \* $P<0.05$ , \*\* $P<0.01$  ( $t$  test). **D**, Heatmap depicting normalized RNA-Seq expression values of differentially expressed genes (DEGs; 1.5-fold expression,  $P\leq 0.05$ ). Gene regulations are reported as a color code and hierarchical clustering result as a dendrogram. **E**, Principal component analysis performed on rlog-normalized (DESeq2) counts for all nuclear RNA-Seq samples. **F**, Network visualization of the enriched Gene Ontology (GO) of HLHS DEGs using the Cytoscape plugins BinGO. Nodes represent enriched GO terms, node size corresponds to the gene number, and color intensity to the  $P$  value. Edges represent GO relation of biological process (black), molecular function (red), and cellular component (blue). **G**, Representative enrichment plots from gene set enrichment analysis. Normalized enrichment score (NES) and  $P$  value are specified. **H**, Heatmap illustrating the expression of HLHS DEGs during fetal, infant, and adult stages of normal cardiac development. The dendrogram shows clustering of the HLHS infant samples with control fetal samples. Genes belonging to developmentally regulated gene clusters from Figure IIIC in the Data Supplement are highlighted. **I**, Pie charts showing the percentage of HLHS upregulated (DEGs UP), downregulated (DEGs DN), and damaging de novo affected (D-DNM) genes belonging to the developmentally regulated gene clusters from Figure IIIC in the Data Supplement. CM indicates cardiomyocytes; FACS, fluorescence-activated cell sorting; and RV, right ventricle.

pathogenesis rather than physiologic differences at the time of RV sampling, we generated iPSCs from 3 patients with HLHS and 3 healthy controls (Figures IV and V in the Data Supplement) and mechanistically analyzed developmental processes during early in vitro cardiogenesis. Selection of patients with HLHS was based on echocardiographic LV morphology (thickened phenotype) and presence of D-DNMs in multihit genes or gene families (Figure VI in the Data Supplement).

Control and HLHS iPSC lines were directed to early CPs<sup>32</sup> and cardiomyocytes<sup>33</sup> using stepwise differentiation protocols (Figure 3A). We performed RNA-Seq at various time points and explored functional characteristics of HLHS DEGs by GSEA (Figure 3B and Table IIIA in the Data Supplement). Dynamic alterations in several gene categories were common in both CP and cardiomyocyte differentiation protocols, including heart and aorta development, cell cycle, and chromatin modification. Unique aberrations in autophagy terms were present when directing HLHS iPSCs toward early CPs, whereas apoptosis-associated pathways appeared solely affected in later CPs (day 6) and cardiomyocytes (day 8 and day 14; Figure 3B and Table IIIB in the Data Supplement).

In CPs at day 2 and day 3, detailed analysis of DEGs involved in cardiac development revealed that genes expressed in committed myocytic precursors and important for heart tube formation (*ID2*, *TPM2*, *XIRP1*, *SRF*, *ETV1*) were downregulated in HLHS, whereas genes involved in anterior and posterior patterning (*HOXB9*) and in vessel and valve development (*VEGFB*, *TGFB2*, *GATA5*) were upregulated (Figure 3C). Transcripts typical of early CPs were decreased at day 2, but augmented at day 3, suggesting incomplete or delayed CP lineage specification. At day 8 and day 14, HLHS cardiomyocytes showed upregulation of genes distinctive of myocytic progenitors and early immature cardiomyocytes and altered expression of transcripts important for outflow tract and atrioventricular canal (*MEIS1*, *ISL1*, *TGFB2*, *JUN*) as well as heart chamber development (*NR2F1*, *WNT2*, *ETV2*, *RXRA*; Figure 3C and Table IIIC in the Data Supplement), supporting dysregulated lineage-specific cardiomyocyte differentiation.

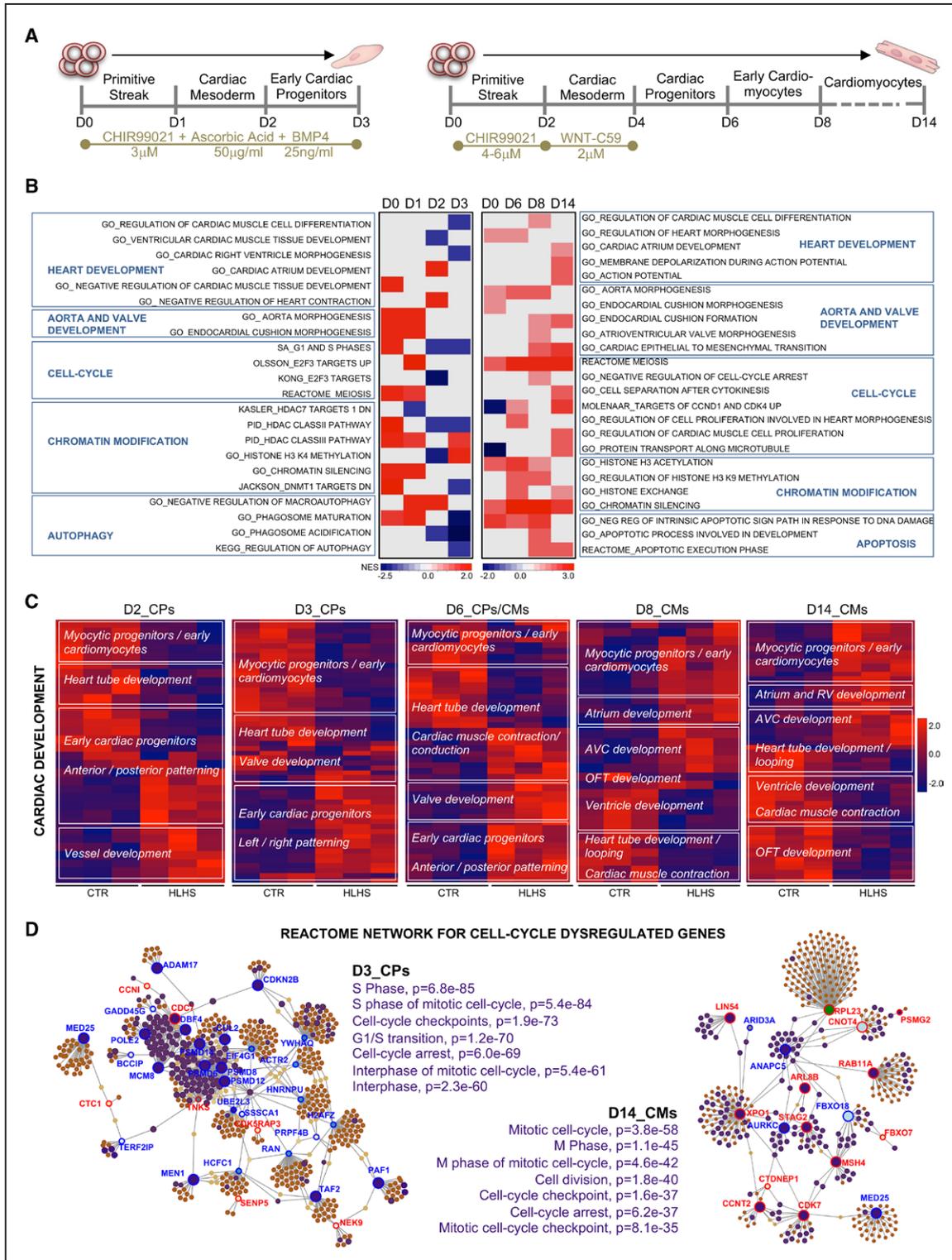
In CPs at day 3, 74 of the 890 DEGs related to cell cycle Gene Ontology categories (Figure VIIA and Table IIID and IIIE in the Data Supplement). Thirty-four

of them generated a functional interactome network encompassing cell cycle interphase pathways as the top enriched, with most leading genes being downregulated (Figure 3D and Table IIIE in the Data Supplement). In cardiomyocytes at day 14, cell cycle DEGs (42/754) generated 18 functional interaction nodes; top enriched terms within the interactome and regulation of the leading genes pointed to alteration in M phase, with active separation of chromatids (*STAG2*, *XPO1*) but defective progression through mitosis (*ANAPC5*) and cytokinesis (*AURKC*; Figure 3D and Table IIID in the Data Supplement). Cell cycle defects in HLHS cardiomyocytes were confirmed by proteomic analysis (Expanded Results, Figure VIII, and Table IIIF–IIJ in the Data Supplement).

Together, the specific transcriptional alterations detected during early CP specification and cardiomyocyte differentiation of HLHS iPSCs suggest the primary onset of the disease occurs at the initial stages of cardiogenesis when cardiomyocyte lineage decisions arise within CP populations.

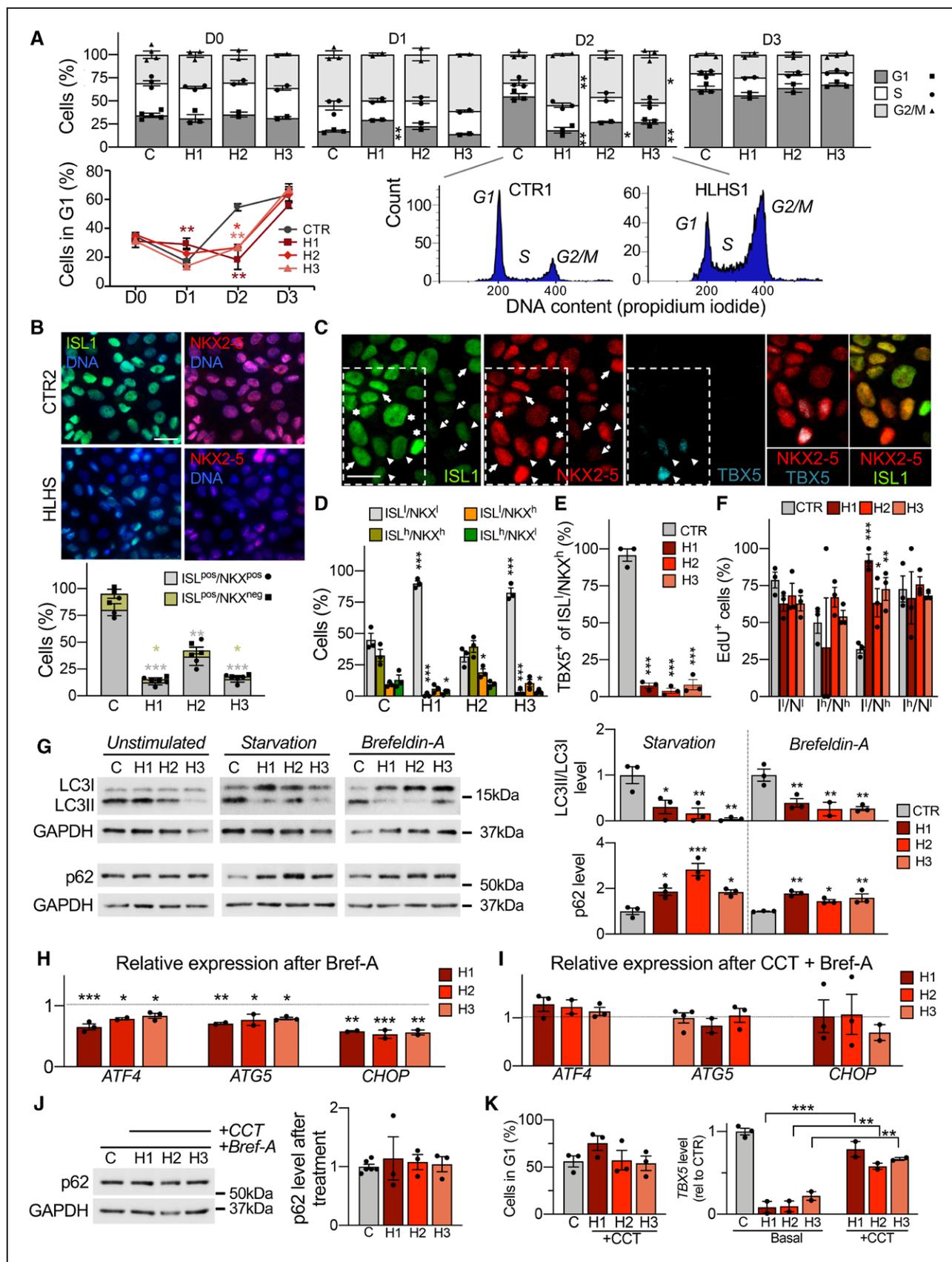
### Defects in UPR-Induced Autophagy Lead to Delayed and Disrupted CP Lineage Specification

To investigate the role of cell cycle disturbances in HLHS pathogenesis, we analyzed cell cycle patterns during early CP formation and compared them with the emergence of CP lineages marked by *ISL1*, *NKX2-5*, and *TBX5*. Whereas control lines demonstrated G1 lengthening starting between day 1 and day 2, patient lines had a prolonged G1 phase with a 24-hour delay (Figure 4A). Concurrently, at day 1, activation of *ISL1* and *NKX2-5* transcripts was dramatically reduced in HLHS (Figure VIIB in the Data Supplement) and correlated with significantly lower proportions of cells expressing *ISL1* and *NKX2-5* proteins at day 2 (Figure 4B), supportive of a retarded CP specification. Four patterns of *ISL1* and *NKX2-5* expression were detected at day 3: *ISL1*<sup>low</sup>/*NKX2-5*<sup>low</sup>, representing early committed CPs; *ISL1*<sup>high</sup>/*NKX2-5*<sup>high</sup>, denoting fully committed early CPs; *ISL1*<sup>high</sup>/*NKX2-5*<sup>low</sup>, typical of second heart field progenitors; and *ISL1*<sup>low</sup>/*NKX2-5*<sup>high</sup>, distinctive of FHF cells (Figure 4C). *TBX5*, a specific FHF marker, was mainly found in *ISL1*<sup>low</sup>/*NKX2-5*<sup>high</sup> cells (Figure 4C), confirming FHF



**Figure 3. Gene expression analysis during induced pluripotent stem cell-based cardiogenesis reveals networks of dysregulated genes in hypoplastic left heart syndrome.**

**A**, Directed induced pluripotent stem cell cardiac differentiation protocols used in the study. **B**, Heatmap of normalized enrichment scores for selected gene set enrichment analysis terms. Red and blue denote terms with positive and negative normalized enrichment scores, respectively. **C**, Heatmaps showing gene expression of differentially expressed genes (1.5-fold expression,  $P \leq 0.05$ ) involved in cardiac development at the indicated days of differentiation. Values are row-scaled to show their relative expression. Blue and red are low and high levels, respectively. **D**, Network analyst-generated protein-protein interactome of differentially expressed genes involved in cell cycle at day 3 and day 14. Upregulated (red) and downregulated (blue) genes are shown. In purple are highlighted the genes belonging to the enriched Gene Ontology categories specified on the side of the plots. Protein-protein interactions are indicated as solid gray lines between genes. AVC indicates atrioventricular canal; CM, cardiomyocytes; CP, cardiac progenitor; CTR, control; HLHS, hypoplastic left heart syndrome; OFT, outflow tract; and RV, right ventricle.



**Figure 4. Defects in unfolded protein response and autophagy delay and disrupt cardiac progenitor lineage specification.**

To better discriminate possible phenotypic variations among diseased lines, functional results from each hypoplastic left heart syndrome (HLHS) line are shown separately. Data from control lines have been combined. **A**, Propidium iodide staining analysis of HLHS (H) and control cells (*Continued*)

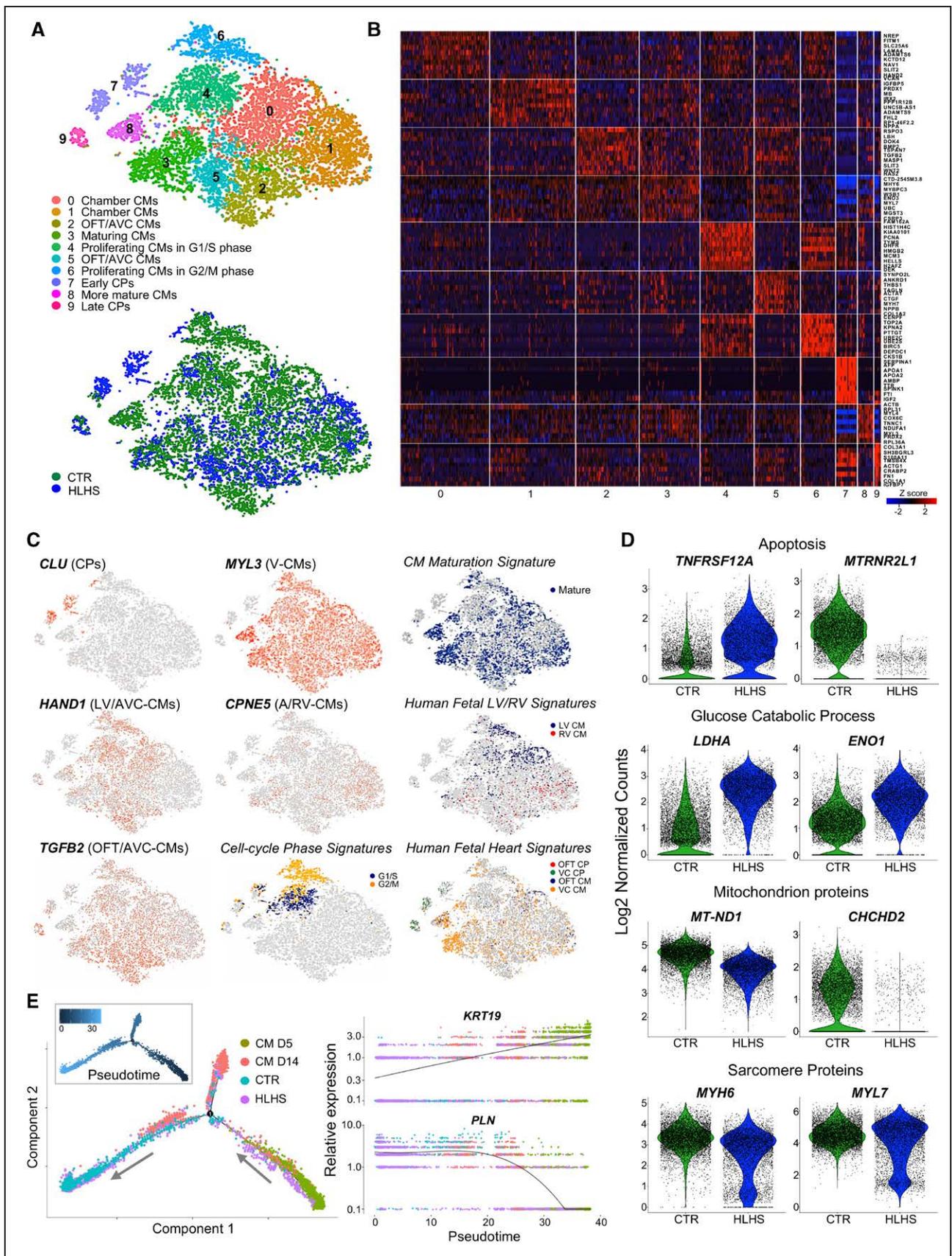
**Figure 4 Continued.** (C) during cardiac progenitor (CP) differentiation. Data are mean±SEM; 2 to 4 differentiations per line, N≥20 000 cells per sample at each time point. \* $P$ <0.05, \*\* $P$ <0.01 compared with controls (CTR; 2-way analysis of variance [ANOVA]). **B**, Immunofluorescence analysis of ISL1 and NKX2-5 in HLHS and control CPs at day 2. Scale bar, 25  $\mu$ m. Data are mean±SEM; 431 (CTR), 463 (HLHS1), 442 (HLHS2), and 396 (HLHS3) cells from 3 differentiations per line. \* $P$ <0.05, \*\* $P$ <0.01, \*\*\* $P$ <0.001 compared with CTR (1-way ANOVA). **C**, Representative immunofluorescence of ISL1, NKX2-5, and TBX5 in control CPs (CTR3) at day 3. Four ISL1/NKX2-5 expression patterns are highlighted: ISL1<sup>low</sup>/NKX2-5<sup>low</sup> (dotted arrows), ISL1<sup>high</sup>/NKX2-5<sup>high</sup> (arrows), ISL1<sup>high</sup>/NKX2-5<sup>low</sup> (asterisks), and ISL1<sup>low</sup>/NKX2-5<sup>high</sup> (arrowheads). Scale bar, 20  $\mu$ m. **D**, Distribution of cells with ISL1/NKX2-5 expression patterns from (C) in HLHS and control CPs at day 3. Data are mean±SEM; 369 (CTR), 347 (HLHS1), 322 (HLHS2), 357 (HLHS3) cells from 3 differentiations per line. \* $P$ <0.05, \*\*\* $P$ <0.001 compared with CTR (1-way ANOVA). **E**, Percentage of ISL1<sup>low</sup>/NKX2-5<sup>high</sup> cells expressing TBX5 in HLHS and control CPs at day 3. Data are mean±SEM; 114 (CTR), 70 (HLHS1), 182 (HLHS2), and 123 (HLHS3) cells from 3 differentiations per line. \*\*\* $P$ <0.001 compared with CTR (1-way ANOVA). **F**, Quantification of EdU<sup>+</sup> cells in HLHS and control CP subpopulations at day 3. Data are mean±SEM; 369 (CTR), 347 (HLHS1), 322 (HLHS2), 357 (HLHS3) cells from 3 differentiations per line. \* $P$ <0.05, \*\* $P$ <0.005, \*\*\* $P$ <0.001 compared with CTR (1-way ANOVA). **G**, Western blot of LC3 and p62 in HLHS and control CPs at day 3 with and without starvation or brefeldin-A. For detection of LC3, all 3 conditions were carried out in presence of chloroquine. Data are mean±SEM; 2 to 3 differentiations per line. \* $P$ <0.05, \*\* $P$ <0.01, \*\*\* $P$ <0.001 compared with CTR (1-way ANOVA). **H** and **I**, Expression analysis of *ATF4* and its downstream targets *ATG5* and *CHOP* in HLHS and control CPs at day 3 after treatment with brefeldin-A alone (**H**) or in combination with the PERK activator CCT020312 (**I**). Shown are expression levels relative to controls. Data are mean±SEM; 2 to 4 differentiations per line. \* $P$ <0.05, \*\* $P$ <0.01, \*\*\* $P$ <0.001 compared with CTR (1-way ANOVA). **J**, Western blot of p62 in HLHS and control CPs at day 3 after treatment with brefeldin-A and CCT020312. Data are mean±SEM; 3 to 6 differentiations per line. **K**, Propidium iodide staining–based quantification of cells in G1 phase in HLHS and control CPs at day 2 (left) and *TBX5* expression by qRT-PCR at day 3 (right) after 6-hour treatment of HLHS cells with CCT020312 at day 1.5. Data are mean±SEM; 2 to 3 differentiations per line, N≥20 000 cells per sample in left panel. \*\* $P$ <0.01, \*\*\* $P$ <0.001 compared with own basal (1-way ANOVA).

identity. The relative distribution of CP subgroups was altered in HLHS settings (Figure 4D). All HLHS lines failed to upregulate *TBX5* during CP specification (Figure VIIB in the Data Supplement) and few of the ISL1<sup>low</sup>/NKX2-5<sup>high</sup> FHF cells expressed *TBX5* (Figure 4E), indicating common defective transcriptional programs within the FHF lineage. To assess the contribution of apoptosis and proliferation to the observed CP phenotypes, we analyzed caspase-3 activation and EdU incorporation. Apoptosis was barely detectable in both HLHS and controls (Figure VIIC in the Data Supplement), arguing against any cell selection of HLHS CPs. Consistent with the alteration in cell cycle patterns, global changes in cell proliferation rates between controls and HLHS were only significantly different at day 2 (Figure VIID in the Data Supplement). However, when analyzed separately at day 3, ISL1<sup>low</sup>/NKX2-5<sup>high</sup> FHF progenitors demonstrated higher proliferation in all HLHS lines (Figure 4F), consistent with the reported role of *TBX5* as negative regulator of cell proliferation during early cardiac development.<sup>34</sup> Collectively, these data indicate common defects in CP lineage commitment and imbalance of both progenitor fields during initial steps of HLHS cardiogenesis.

Autophagy and cell cycle are coordinated and reciprocally regulated.<sup>35</sup> Because autophagy was altered in HLHS CPs from day 1 on (Figure 3B), we measured autophagic flux in cells at day 3 by analyzing the levels of LC3II, a marker of autophagosomes, and p62, a substrate for autophagic degradation. Under basal conditions, LC3II and p62 proteins were normal in all HLHS lines (Figure 4G and Figure VIIE in the Data Supplement). However, after activation of autophagy (starvation or brefeldin-A), defective autophagosome formation and p62 degradation were evident in all HLHS lines (Figure 4G). This was confirmed by Cyto-ID staining of autophagic vacuoles (Figure VIIF in the Data Supplement). Brefeldin-A triggers autophagy

through ER stress and activation of the UPR,<sup>36</sup> a pathway also challenged by starvation. UPR plays an important role in cell fate acquisition of embryonic stem cells and progenitors.<sup>37–39</sup> Given the recovery of HLHS D-DNMs in ER-stress/UPR genes (Figure 1D and Table IB in the Data Supplement), we asked whether the defective autophagy in HLHS CPs is caused by impaired UPR. On ER stress, UPR is transduced by derepression of 3 ER membrane proteins—IRE1 $\alpha$ , PERK, and ATF6—that work alone or in concert to restore normal cellular function.<sup>40</sup> Neither an increased splicing of *XBP1* mRNA, occurring downstream of IRE1 $\alpha$  stimulation, nor activation of *ATF6* were altered in HLHS CPs at day 3 on brefeldin-A treatment (Figure VIIG in the Data Supplement). Instead, we measured a specific common defect of all HLHS lines in activating the PERK pathway, as indicated by decreased PERK-mediated phosphorylation of eIF2 $\alpha$  (Figure VIIE in the Data Supplement) and reduced increase of *ATF4* and its downstream targets including *CHOP* (Figure 4H and Figure VIIG in the Data Supplement). A 6-hour treatment of HLHS cells with the selective PERK activator CCT020312 normalized *ATF4* and *CHOP* levels and rescued the defective activation of autophagy (Figure 4I and 4J). Early application of CCT020312 at day 1.5 of CP differentiation was sufficient to revert the HLHS phenotype, as indicated by normalization of the number of cells in G1 at day 2 and the *TBX5* level at day 3 (Figure 4K). Conversely, HLHS-like disturbances in G1-phase lengthening and *TBX5* upregulation could be induced in control cells by inhibiting autophagy at day 1.5 using chloroquine, with no influence on *ISL1* expression (Figure VIII in the Data Supplement).

Taken together, these results suggest that defects in UPR and autophagy activation in the early phase of CP specification contribute to delayed and disrupted CP lineage commitment in HLHS.



**Figure 5. Single-cell RNA sequencing of induced pluripotent stem cell-derived cardiomyocytes reveals defects in cardiac lineage segregation and maturation in hypoplastic left heart syndrome.**

**A**, *t*-Distributed stochastic neighbor embedding plot of all hypoplastic left heart syndrome (HLHS) and control (CTR) cell populations (*Continued*)

**Figure 5 Continued.** captured at day 14 colored by cluster identity (top) and genotype (bottom). Data are from 2 control (CTR2 and CTR3) and 2 HLHS (HLHS2 and HLHS3) lines. **B**, Heatmap showing  $Z$  score scaled average expression levels of the top 10 differentially expressed genes for each cellular cluster. **C**, Expression of selected genes marking subpopulations on  $t$ -distributed stochastic neighbor embedding plot. Single gene panels: red and gray indicate high and low expression, respectively. Signature panels: color key indicates cells matching with the gene signatures tested (see [Expanded Methods in the Data Supplement](#)). **D**, Violin plots of selected differentially expressed genes between CTR and HLHS cells. All genes represented have  $P < 0.05$ . **E**, Branching analysis of HLHS and CTR cardiomyocytes at day 14 together with cardiomyocytes at day 5 and day 14<sup>44</sup> colored by genotype and estimated pseudotime along the inferred cell trajectory (inset). Pseudotime dynamics of early (*KRT19*) and mature (*PLN*) cardiomyocyte genes in dependence on inferred cell identity. A, atria; VC, ventricular chamber. AVC indicates atrioventricular canal; CM, cardiomyocyte; CP, cardiac progenitor; LV, left ventricle; OFT, outflow tract; and RV, right ventricle.

## Single-Cell RNA-Seq Defines Impaired Cardiomyocyte Lineage Segregation and Maturation in HLHS

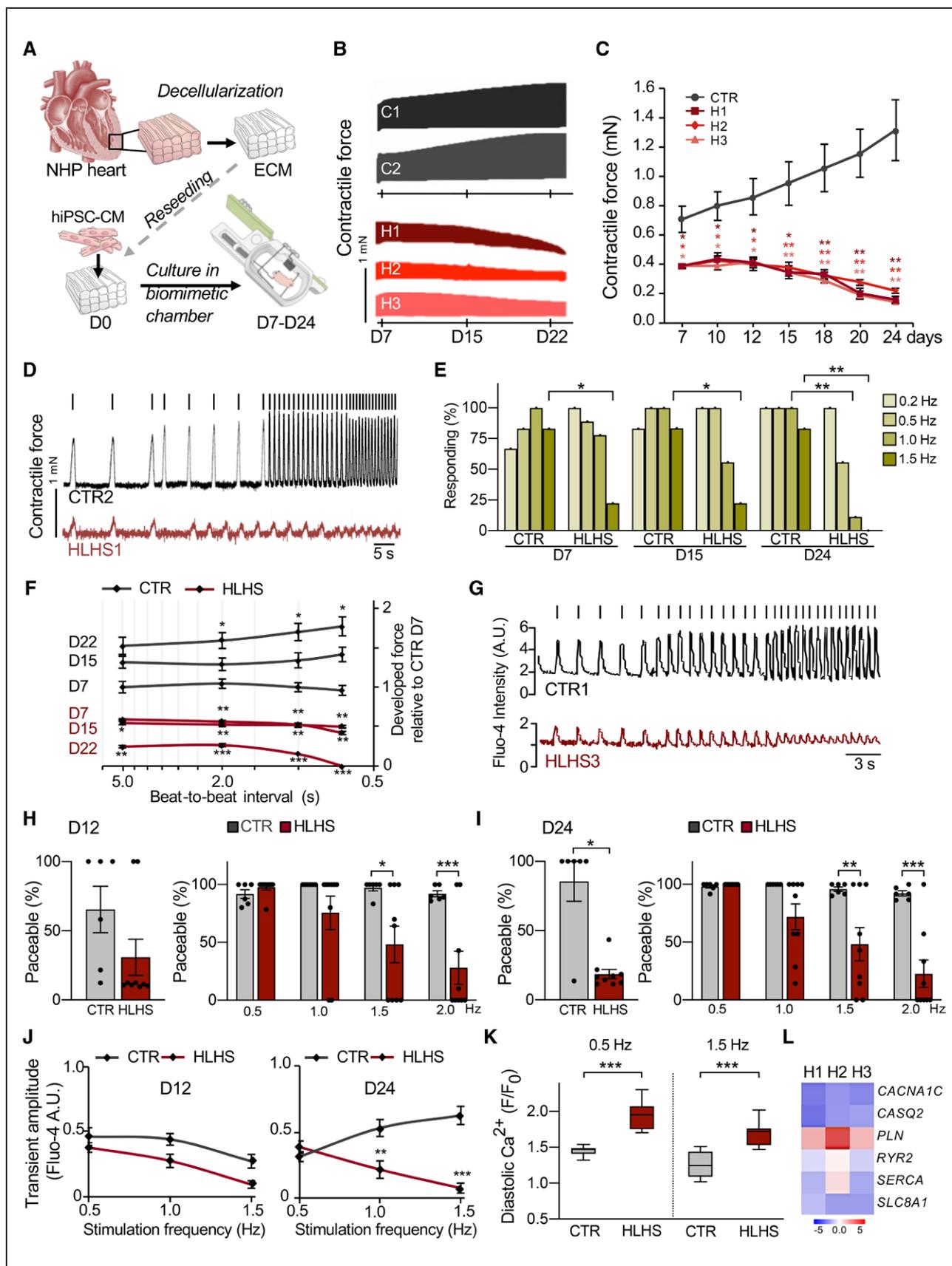
To investigate the consequences of altered CP specification on cardiomyocyte subtype formation, we performed single-cell RNA-Seq of early cardiomyocytes at day 14. Transcriptomes of 6431 control and 4439 HLHS cells were recovered. Unsupervised clustering analysis identified 10 distinct subpopulations (clusters 0–9; Figure 5A and [Table IIIK in the Data Supplement](#)). We assigned identities to each population by cross-referencing the most highly and uniquely expressed genes in each cluster with known cardiac subtype markers from human and mouse single-cell studies (Figure 5B and [Expanded Results in the Data Supplement](#)).<sup>28,29,41–43</sup> We captured transcriptome characteristics of primary (outflow tract and atrioventricular canal) and chamber myocardium, early and late CPs, maturing cardiomyocytes, and proliferating cardiomyocytes in G1/S and G2/M phases (Figure 5A–5C and [Figure IXA in the Data Supplement](#)). HLHS cells contributed almost exclusively to CP clusters (cluster 7: controls, 40; HLHS, 450; cluster 9: controls, 35; HLHS, 117) and were strongly underrepresented in the cluster containing terminally differentiated cardiomyocytes (cluster 8: controls, 318; HLHS, 37), together suggestive of an intrinsic differentiation delay. Moreover, we noticed a significant reduced proportion of HLHS cells in the proliferating cell clusters (cluster 4: controls, 922; HLHS, 284; cluster 6: controls, 675; HLHS, 103), corroborating a premature cell cycle exit in HLHS cardiomyocytes. Co-expression of LV-enriched genes was observed mainly in cardiomyocytes of cluster 0, which was scarce in HLHS cells (cluster 0: controls, 1446; HLHS, 497). This correlated with an overall downregulation of LV transcripts in HLHS (*TBX5*, *HAND1*, *SLIT2*; [Figure IXB in the Data Supplement](#)), hinting to a potentially reduced LV commitment. Activated UPR program was detected exclusively in CPs ([Figure X in the Data Supplement](#)). Differential expression analysis within each cluster revealed increased levels of proapoptotic genes (*TNFRSF12A*, *FAM162A*) and reduced expression of antiapoptotic regulators (*MTRNR2L1*) in HLHS cardiomyocytes (Figure 5D and [Figure IXC in the Data Supplement](#)), confirming bulk RNA-Seq results. Moreover, HLHS cardiomyocytes presented an overall upregulation of genes involved in glucose catabolism, downregulation of mitochondrial

transcripts, and lower expression of sarcomeric genes; exceptions were *MYL7* and *TNNI1*, isoforms highly expressed in immature cardiomyocytes (Figure 5D and [Figure IXC and IXD in the Data Supplement](#)), collectively suggesting reduced cellular differentiation and maturation. To verify this, we used recently published single-cell profiles of healthy iPSC-CMs at different stages of differentiation.<sup>44</sup> We ordered cardiomyocytes captured at day 5 and day 14 together with our cells in pseudotime. The resulting differentiation trajectory began with cardiomyocytes at day 5 and bifurcated into 2 lineages where cardiomyocytes at day 14 were allocated (Figure 5E). A large proportion of HLHS cardiomyocytes, although at day 14, clustered with day 5 cardiomyocytes and expressed high level of *KRT19*, a gene specific of early immature cardiomyocytes (Figure 5E).

Together, these results indicate that both early cardiomyocyte subtype lineage specification and cardiomyocyte differentiation and maturation are disrupted in HLHS. Moreover, they confirm, at a single-cell level, impaired UPR in early diseased CPs and premature cell cycle withdrawal of cardiomyocytes.

## 3D Heart Patches Unravel Dysregulated Nodes for Cardiomyocyte Contractility, Maturation, and Survival in HLHS

We analyzed HLHS phenotypes in a multicellular 3D context by repopulating decellularized scaffolds from nonhuman primate LV heart slices with early day 14 iPSC-CMs. Standardized cell seeding was achieved using bioprinting and constructs underwent electromechanical conditioning (1 Hz pacing, 1 mN diastolic preload) in customized biomimetic chambers<sup>45</sup> for 24 days (Figure 6A). Contractile force of HLHS patches was significantly reduced compared with controls and did not increase, but rather decreased, over time (Figure 6B and 6C). Whereas progressive electromechanical maturation was evident in controls, HLHS tissues failed to respond to high stimulation frequencies and to develop a positive force–frequency relationship (Figure 6D–6F). Concurrent  $Ca^{2+}$  imaging of single cardiomyocytes within the patches demonstrated a gradual decline in the number of electrically responsive HLHS cells (Figure 6G–6I) and intrinsic  $Ca^{2+}$  handling defects, with failed increase of systolic and abnormal elevation of diastolic  $Ca^{2+}$  at high stimulation rates (Figure 6J and 6K). Expression profile



**Figure 6. Three-dimensional culture of induced pluripotent stem cell-derived cardiomyocytes under electromechanical stress reveals hypoplastic left heart syndrome-related functional abnormalities.**

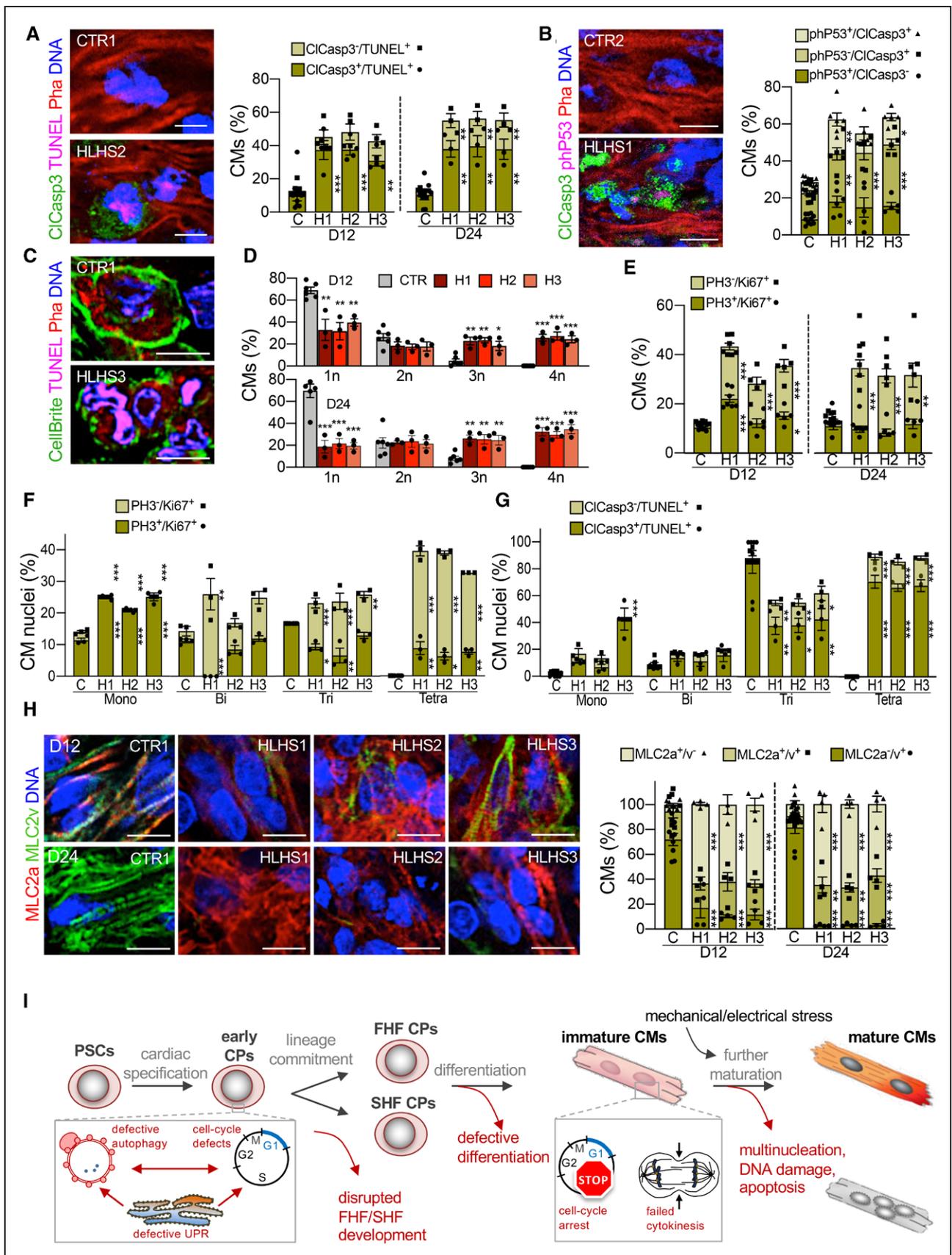
**A**, Schematic of the experimental setup for 3D culture of human induced pluripotent stem cell-derived cardiomyocytes (hiPSC-CM) (Continued)

**Figure 6 Continued.** within decellularized heart patches kept in biomimetic chambers providing mechanical load and electric stimulation while allowing continuous monitoring of force development. All measurements were done in patches generated from 2 control and 3 hypoplastic left heart syndrome (HLHS) lines. Unless otherwise illustrated, results from different control and HLHS lines have been pooled. **B** and **C**, Representative plots (**B**) and statistical analysis (**C**) of contractile force in HLHS and control patches over 24 days of culture. In (**C**), data are mean±SEM of serial measurements at the indicated days; n=8 (CTR), n=3 (HLHS1 and HLHS2), n=6 (HLHS3) patches. \* $P<0.05$ , \*\* $P<0.01$  compared with CTR (2-way repeated-measures analysis of variance). **D**, Representative traces of contraction force at increasing stimulation frequencies in 1 control and 1 HLHS line from an experiment aimed at assessing the force–frequency relationship (FFR) and the paceability at different stimulation frequencies. Stimulation pulses are indicated as vertical bars above the respective tracing. **E**, Percentage of patches responding to stimulation at indicated pacing frequencies; n=6 (CTR) and n=9 (HLHS). \* $P<0.05$ , \*\* $P<0.01$  compared with CTR at the same day and frequency (Fisher's exact test). **F**, FFR, depicted as the developed force (normalized to the mean force developed by CTR patches at day 7) as a function of the beat-to-beat interval (depicted on a logarithmic scale). Serial FFR values obtained from HLHS (n=11) and control (n=8) patches at indicated time points are shown. Data are mean±SEM. \* $P<0.05$ , \*\* $P<0.01$ , \*\*\* $P<0.001$  compared with CTR at day 7 at the same beat-to-beat interval (mixed effects model). **G**, Representative images of Fluo-4-based intracellular calcium transients from single control and HLHS cardiomyocytes within the patch at increasing pacing rates. Vertical bars over the tracings represent the stimulation pulses. **H** and **I**, The left graph shows the overall percentage of paceable cardiomyocytes within control and HLHS patches at day 12 (**H**) and day 24 (**I**) of 3D culture. The right graph shows, only considering cells that were paceable with at least 1 of the applied pacing rates, the percentage of cells responding at the indicated frequencies at day 12 (**H**) and day 24 (**I**). Data are mean±SEM; n=6 (CTR) and n=9 (HLHS) patches; left panels: n=183 and 156 (CTR), n=466 and 70 (HLHS) cells in (**H**) and (**I**), respectively; right panels: N≥67 and 90 (CTR), N≥21 and 17 (HLHS) cells for each frequency in (**H**) and (**I**), respectively. \* $P<0.05$ , \*\* $P<0.01$ , \*\*\* $P<0.001$  compared with CTR (Mann-Whitney test for left panels and 2-way analysis of variance for right panels). **J**, Amplitude of the systolic calcium transients plotted as a function of the stimulation frequency for cardiomyocytes within control (n=6) and HLHS (n=9) patches at day 12 and day 24 of 3D culture; N≥65 and 81 (CTR), N≥3 and 35 (HLHS) cells for each frequency at day 12 and day 24, respectively. Data are mean±SEM. \*\* $P<0.01$ , \*\*\* $P<0.001$  compared with CTR (2-way analysis of variance). **K**, Diastolic calcium level (expressed as ratio of the diastolic Fluo-4 intensity at the indicated pacing frequency [ $F_d$ ] and the basal Fluo-4 intensity at the beginning of the experiment [ $F_0$ ]) of single cardiomyocytes in day 24 control (n=6) and HLHS (n=9) patches at 0.5 Hz and 1.5 Hz pacing rates; N=91 and 83 (CTR), N=70 and 44 (HLHS) cells at 0.5 Hz and 1.5 Hz, respectively. Data are mean±SEM. \*\*\* $P<0.001$  (Mann-Whitney test). **L**, Expression level of key genes for electromechanical coupling (black) and  $Ca^{2+}$  homeostasis (red) in cardiomyocytes isolated from HLHS and control 3D patches at day 12. Data are log<sub>2</sub> mean fold changes relative to controls; n=3 patches per line. ECM indicates extracellular matrix; and NHP, nonhuman primate.

of HLHS cardiomyocytes isolated from 3D patches at day 12 confirmed inherent molecular changes of pivotal genes involved in electromechanical coupling and  $Ca^{2+}$  homeostasis (Figure 6L). Live evaluation of cell viability revealed a progressive increase of dead cells in HLHS tissues (Figure XIA in the Data Supplement), suggesting apoptosis as an additional mechanism underlying the functional deterioration. Immunohistochemistry demonstrated a gradual increase of apoptotic cardiomyocytes (cCasp3<sup>+</sup>/TUNEL<sup>+</sup>) in diseased patches (Figure 7A). Not all TUNEL<sup>+</sup> cardiomyocytes expressed activated caspase-3, although the opposite was true; the percentage of cCasp3<sup>+</sup>/TUNEL<sup>+</sup> cells was also significantly elevated in HLHS (Figure 7A), indicating apoptosis-independent DNA damage. To better assess this, we performed coimmunofluorescence analysis of activated caspase-3 and activated p53 (phP53), a tumor suppressor that induces either cell cycle arrest to facilitate DNA repair or apoptosis.<sup>46</sup> We detected cCasp3<sup>+</sup>/phP53<sup>+</sup> and cCasp3<sup>-</sup>/phP53<sup>+</sup> cardiomyocytes, with a significant increase of both in HLHS tissues (Figure 7B). The percentage of cCasp3<sup>-</sup>/phP53<sup>+</sup> cells matched well with the proportion of cCasp3<sup>+</sup>/TUNEL<sup>+</sup> cells, suggesting that DNA damage might be the first event leading to apoptosis of HLHS cardiomyocytes.

An interesting finding emerging from histologic analyses was abnormal multinucleation of cardiomyocytes in HLHS patches, already evident at day 12 (Figure 7C). Polyploidy and binucleation are characteristic features of mammalian cardiomyocytes that develop shortly after birth when most differentiated cardiomyocytes exit cell cycle.<sup>31</sup> In humans, polyploidy is often

increased in pathologic conditions; however, binucleation occurs in only 25% of cardiomyocytes, with no evidence of tri- or tetranucleation.<sup>47</sup> In control patches, most cardiomyocytes carried 1 (~70%) or 2 (~25%) nuclei, and few cells presented 3 or more; conversely, in HLHS tissues, ~50% of cardiomyocytes were tri- or tetranucleated (Figure 7C and 7D), indicating an intrinsic failure to complete cytokinesis and premature cell cycle withdrawal. Markers of cell cycle activity (Ki67) and mitosis (PH3 [phospho-histone H3]) demonstrated an overall increase in the number of active cardiomyocytes in HLHS patches, with the proportion of cells in mitosis being similar (Figure 7E). However, detailed analysis of Ki67 and PH3 expression revealed that, in mononucleated cardiomyocytes, most nuclei were in M phase (Ki67<sup>+</sup>/PH3<sup>+</sup>) and their percentage was higher in HLHS (Figure 7F). The greater the degree of multinucleation in HLHS, the higher was the proportion of Ki67<sup>+</sup>/PH3<sup>-</sup> versus Ki67<sup>+</sup>/PH3<sup>+</sup> nuclei (Figure 7F), suggesting that polyploidization was also occurring in multinucleated diseased cardiomyocytes. To assess whether and how the abortive cell cycle mode of HLHS cardiomyocytes related to apoptosis, we evaluated the distribution of TUNEL<sup>+</sup> nuclei and cCasp3<sup>+</sup>/TUNEL<sup>+</sup> cells (Figure 7G). We found a striking correlation between the number of TUNEL<sup>+</sup> nuclei and the level of multinucleation, with the most damaged nuclei being in the tri- or tetranucleated cardiomyocytes. The latter also showed the highest percentage of activated caspase-3 (Figure 7G), together indicating chromosomal instability acquired during aberrant cell cycle as possible apoptotic trigger in HLHS cardiomyocytes.



**Figure 7. Aberrant apoptosis, multinucleation, and maturation of hypoplastic left heart syndrome cardiomyocytes in 3D biomimetic culture.**

All measurements were done in patches generated from 2 control and 3 hypoplastic left heart syndrome (HLHS) lines. (Continued)

**Figure 7 Continued.** Results from the 2 different controls have been pooled. **A**, Representative fluorescence images of day 24 control and HLHS patches after immunostaining for activated caspase 3 (CICasp3) in conjunction with TUNEL labeling. Phalloidin (Pha) marks F-actin and distinguishes cardiomyocytes. Scale bar, 10  $\mu$ m. Bar graph shows the statistical evaluation of day 12 and day 24. Data are mean $\pm$ SEM; n=8 (control [CTR], N=226 cells) and n=4 (each HLHS line, N $\geq$ 200 cells per line) patches at day 12; n=7 (CTR, N=534) and n=3 (each HLHS line, N $\geq$ 434 cells per line) patches at day 24. \*\* $P$ <0.01, \*\*\* $P$ <0.001 compared with CTR (1-way analysis of variance [ANOVA]). **B**, Representative immunostaining for activated caspase 3 (CICasp3) and phosphorylated P53 (phP53) in day 24 control and HLHS patches. Scale bar, 10  $\mu$ m. Bar graph illustrates the percentages of cardiomyocytes (identified by Pha) expressing one or both markers. Data are mean $\pm$ SEM, n=10 (CTR, N=652 cells), n=6 (HLHS1, N=572 cells), n=5 (HLHS2, N=470 cells), and n=5 (HLHS3, N=314 cells) patches. \* $P$ <0.05, \*\* $P$ <0.01, \*\*\* $P$ <0.001 compared with CTR (1-way ANOVA). **C**, Representative fluorescence images of cardiomyocytes (identified by Pha) after plasmamembrane (CellBrite), TUNEL, and DNA labeling within control and HLHS patches at day 24. Scale bar, 10  $\mu$ m. **D**, Bar graphs showing the percentage of cardiomyocytes with 1 (1n), 2 (2n), 3 (3n), and 4 (4n) nuclei in the different cell lines at day 12 and day 24. Data are mean $\pm$ SEM; n=6 (CTR, N=340 cells) and n=3 (each HLHS line, N $\geq$ 182 cells per line) patches at day 12; n=6 (CTR, N=341) and n=3 (each HLHS line, N $\geq$ 147 cells per line) patches at day 24. \* $P$ <0.05, \*\* $P$ <0.01, \*\*\* $P$ <0.001 compared with CTR (1-way ANOVA). **E**, Bar graphs showing the percentage of cardiomyocytes positive for phosphorylated histone 3 (PH3) and Ki67 at day 12 and day 24. Data are mean $\pm$ SEM; n=7 (CTR, N=528 cells), n=7 (HLHS1, N=698 cells), n=5 (HLHS2, N=463), and n=5 (HLHS3, N=668) patches at day 12; n=7 (CTR, N=673 cells), n=7 (HLHS1, N=682 cells), n=5 (HLHS2, N=486), and n=5 (HLHS3, N=485) patches at day 24. \* $P$ <0.05, \*\* $P$ <0.01, \*\*\* $P$ <0.001 compared with CTR (1-way ANOVA). **F**, Bar graph showing the percentage of nuclei positive for PH3 and Ki67 in mono-, bi-, tri-, and tetranucleated cardiomyocytes at day 24. Data are mean $\pm$ SEM; n=3 patches per line; N=331 (CTR), N=258 (HLHS1), N=228 (HLHS2), and N=240 (HLHS3) cells. \* $P$ <0.05, \*\* $P$ <0.01, \*\*\* $P$ <0.001 compared with CTR (1-way ANOVA). **G**, Bar graph showing the percentage of nuclei positive for CICasp3 and phP53 in mono-, bi-, tri-, and tetranucleated cardiomyocytes at day 24. Data are mean $\pm$ SEM; n=7 (CTR) and n=3 (each HLHS line) patches; N=401 (CTR), N=194 (HLHS1), N=175 (HLHS2), and N=237 (HLHS3) cells. \* $P$ <0.05, \*\* $P$ <0.01, \*\*\* $P$ <0.001 compared with CTR (1-way ANOVA). **H**, Left, representative immunostains for MLC2a and MLC2v in control and HLHS patches. Scale bar, 10  $\mu$ m. Right, bar graph shows statistical evaluation. Data are mean $\pm$ SEM, n=8 (CTR, N=951 cells) and n=4 (each HLHS line, N $\geq$ 428 cells per line) patches at day 12; n=8 (CTR, N=949) and n=4 (each HLHS line, N $\geq$ 442 cells per line) patches at day 24. \*\* $P$ <0.01, \*\*\* $P$ <0.001 compared with CTR (1-way ANOVA). **I**, Scheme depicting the identified steps during cardiac development at which HLHS-related abnormalities interfere with normal development and contribute to the complex CHD phenotype. CM indicates cardiomyocyte; CP, cardiac progenitor; FHF, first heart field; PSC, pluripotent stem cell; SHF, second heart field; and UPR, unfolded protein response.

We hypothesized that the observed HLHS cardiomyocyte phenotype might be a specific reaction to strong cues for cell division and growth and maturation, which are provided by the electromechanical preconditioning in the 3D tissue environment. Because our transcriptome profiling of early HLHS cardiomyocytes developed in 2D monolayer pointed to defects in differentiation and maturation, we performed coimmunofluorescence analysis for MLC2a (expressed in all immature cardiomyocytes and becoming atrial-specific after terminal chamber differentiation) and MLC2v (expressed in maturing ventricular cardiomyocytes). In HLHS patches, we measured a striking increase of MLC2a<sup>+</sup> cells with reduction of MLC2v<sup>+</sup> cardiomyocytes at day 12 and day 24 (Figure 7H); moreover, sarcomere organization was impaired (Figure XIB in the Data Supplement), indicative of reduced maturation. Similar results were obtained in HLHS cardiomyocytes (day 30 and day 60) in 2D monolayers (Figure XIC–XIE in the Data Supplement). Expression analysis of terminally differentiated atrial, ventricular, and cardiomyocyte maturation markers confirmed that the abnormal distribution of HLHS MLC2a<sup>+</sup>/MLC2v<sup>+</sup> cells was a result of defective ventricular differentiation and maturation rather than an atrial lineage switch (Figure XIF in the Data Supplement). This was corroborated by measuring intracellular Ca<sup>2+</sup> cycling of 2D cardiomyocytes (Figure XIIA–XIIC in the Data Supplement). No aberrant multinucleation or apoptosis was visible in 2D (Figure XIID–XIIF in the Data Supplement).

Together, these results indicate that impaired maturation and inability to respond to cues for developmental growth by normal progression through the cell cycle

leads to increased apoptosis of ventricular cardiomyocytes, contributing to HLHS pathogenesis.

## DISCUSSION

Our work provides a multidisciplinary framework for studying human heart development and its disruption in CHD. WES of HLHS parent–offspring trios and transcriptomics of patient RV cardiomyocytes identified consistent perturbations in gene expression programs and associated features of abnormal cardiac development. Human iPSC lines derived from patients with HLHS facilitated dynamic evaluation of transcriptional and cellular phenotypes during progression of cardiogenesis in single cells and 3D functional modeling of ventricular chamber development. Together, our data indicate that initial perturbations of the cell cycle/UPR/autophagy hub result in disrupted differentiation of early CP lineages and disproportionate allocation of ventricular cardiomyocyte subtypes in HLHS. Moreover, impaired maturation and premature cell cycle exit of ventricular cardiomyocytes reduce their ability to respond to cues for tissue growth, resulting in increased apoptosis and ventricular hypoplasia (Figure 7I).

### Identification of Dysregulated Transcriptional Nodes in HLHS

Pathway analysis of D-DNMs in HLHS cases showed significant enrichment in heart development and its related signaling pathways. Comprehensive investigation of single cell expression profile and dynamically regulated gene programs in cardiomyocytes indicated

an occurrence of D-DNMs in gene modules typical of the embryonic stage encompassing cell cycle. Transcriptomes of infant HLHS ventricular cardiomyocytes closely resembled a fetal stage, demonstrating that embryonic gene programs persist in HLHS cardiomyocytes after birth. We identified dysregulated transcriptional nodes common to both CP and cardiomyocyte states or unique of one state. Moreover, single-cell RNA-Seq of HLHS cardiomyocytes recognized distinct regulatory defects in specific cellular subsets indicating that early cardiomyocyte subtype lineage specification, maturation, and cell cycle are disrupted in the disease.

Previous studies in HLHS iPSCs showed reduced cardiac differentiation and structural cardiomyocyte maturation in presence of dysfunctional Notch signaling<sup>17–19</sup> and suggested defective commitment to the ventricular lineage,<sup>21</sup> corroborating our results. Moreover, abnormalities in endocardial cells were shown to influence cardiomyocyte proliferation and maturation in HLHS.<sup>48</sup>

Evidence of cell cycle arrest and impaired maturation was reported in cardiomyocytes of the hypoplastic LV of HLHS fetuses<sup>49</sup> and *Ohia* mutants.<sup>10</sup> Loss of replication potential during fetal growth likely affects cardiac chamber development and function. Our 3D functional studies revealed, both on the tissue and single cardiomyocyte level, abnormalities of excitation–contraction coupling reminiscent of failing human myocardium. Besides the valvular perturbations, chamber-specific differences in proliferation rates at early stages of development may explain why loss of cardiomyocyte proliferation in HLHS affects LV more than RV. By analyzing cell cycle gene signature of single cardiomyocytes from RV and LV regions of human embryonic hearts from 5 to 25 weeks of gestation,<sup>29</sup> we found LV cardiomyocytes proliferate more than their RV counterparts at 5 weeks (58% LV versus 48% RV), but these differences are extinguished later in development (45% and 55% LV versus 43% and 53% RV at 6 and 7 weeks, respectively). Concordantly, similar analysis using our single-cell RNA-Seq data of day 14 control iPSC-CMs with LV and RV transcriptome revealed a ~3-fold increased proliferation of LV compared with RV cells (34.5% LV versus 11.8% RV;  $P < 0.05$ ).

### Linking Clinical Phenotype to Mechanism

HLHS is a spectrum of disease that includes LV and aortic hypoplasia with aortic and mitral valve malformations ranging from stenosis to complete atresia. Historically, the “flow-volume hypoplasia” hypothesis has been supported by experiments in multiple model systems. However, recent observations in patients<sup>8,9</sup> argue that hemodynamic conditions alone are insufficient to explain variable LV morphology. We focused on the “thickened” LV morphology with a clearly visible LV lumen<sup>8,9</sup> and were able to identify a common mechanism (Figure 7I) for the

initial arrested LV development in this specific anatomic subtype. Intrauterine fetal valvuloplasty in patients with HLHS is being studied as a treatment option to enable LV growth. The existence of intrinsic cardiomyocyte defects such as loss of replication and increased apoptosis in distinct subsets of patients with HLHS suggest a way to potentially improve the unpredictable results of this aortic valvuloplasty approach.<sup>50</sup> Furthermore, knowledge of these specific defects allows development of rational strategies, either by stratification or adjunctive therapies, potentially permitting biventricular repair in those with less serious forms of LV hypoplasia.

### Study Limitations

The small number of our HLHS parent–offspring trios limited identification of new disease candidate genes. However, the combination of WES data from our and the Pediatric Cardiac Genomics Consortium HLHS cohorts identified disturbed genetic pathways with substantial burden enrichment in D-DNMs, which we functionally validated.

Our analysis of HLHS heart tissue was limited to RV and may not recover all critical changes driving HLHS pathology. Moreover, differences in RV versus LV physiology, age, medications, and the intrinsic variability among different iPSC lines are potential confounders. However, the recovery of consistent molecular and cellular alterations in native RV cardiomyocytes and in vitro iPSC models reinforces their causal relation to HLHS pathogenesis.

Taken together, our results suggest a shared mechanism for subsets of HLHS and highlight that reduced LV growth in HLHS is likely not solely the consequence of disrupted valve formation and impaired blood flow; instead, intrinsic defects of ventricular cardiomyocyte lineage development are primary contributors to the disease pathogenesis. More broadly, our work illustrates that, despite the extensive genetic heterogeneity underlying CHD, studying cardiac developmental processes in patients with CHD using converging multidimensional technologies can provide deep mechanistic insight into these complex diseases to suggest novel therapeutic approaches.

### ARTICLE INFORMATION

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#### Affiliations

Department of Cardiovascular Surgery, Institute Insure (M.K., M.D., H.L., S.A.D., N.P., I.N., Z.Z., C.A.-A., R.L.), Department of Congenital and Paediatric Heart Surgery, Institute Insure (J.C.), and Department of Paediatric Cardiology and Congenital Heart Defects (N.L.), German Heart Center Munich, and Institute of Human Genetics (E.M., R.B., T.M.) and Department of Internal Medicine I, Cardiology (G.S., I.M., C.M.S., T.D., S.L., E.M., H.R., T.B., A.G., C.K., D.S., K.-L.L., A.M.), Klinikum rechts der Isar, School of Medicine & Health, Technical University of Munich, Germany. DZHK (German Centre for Cardiovascular Research)—partner site Munich Heart Alliance, Germany (M.K., A.D., C.K., R.L., T.M., D.S., K.-L.L., A.M.). Helmholtz Zentrum München, Neuherberg, Germany (E.M., R.B., T.M.). Institute of Experimental and Clinical Pharmacology and Toxicology (R.G., P.S., L.H.) and BIOSO, Center for Biological Signaling Studies (L.H.), University of Freiburg, Germany. Institute for Cardiovascular Physiology, Goethe University,

Frankfurt am Main, Germany (R.G.). DZHK (German Centre for Cardiovascular Research)—partner site RheinMain, Frankfurt am Main, Germany (R.G.). Center for Applied Tissue Engineering and Regenerative Medicine (CANTER), Munich University of Applied Sciences, Germany (S. Schwarz, S. Sudhop). Cardiovascular Institute, Stanford University School of Medicine, CA (S.P., S.W.). Proteomics and Signal Transduction, Max-Planck Institute of Biochemistry, Martinsried, Germany (S.D., M.M.). Institute of Laboratory Medicine (B.N., L.M.H.) and Walter-Brendel-Centre of Experimental Medicine (A.D.), University Hospital, LMU Munich, Germany. Department of Cell and Molecular Biology, Karolinska Institute, Stockholm, Sweden (M.S.). Heart Centre, Department of Clinical and Experimental Cardiology, Amsterdam UMC, University of Amsterdam, The Netherlands (F.V.Y.T., C.B.). Department of Pediatric Cardiology, Leiden University Medical Center, The Netherlands (M.E.R.). Department of Pediatrics (Division of Cardiology), University of Utah School of Medicine, Salt Lake City (N.E.B.). The Mindich Child Health and Development Institute, Icahn School of Medicine at Mount Sinai, New York (B.D.G.). Center for Cardiac Arrhythmias of Genetic Origin and Laboratory of Cardiovascular Genetics, Istituto Auxologico Italiano, IRCCS, Milan, Italy (L.C.). Cardiomyopathies Unit, Department of Cardiovascular, Neural and Metabolic Sciences, Istituto Auxologico Italiano, IRCCS, San Luca Hospital, Milan, Italy (L.C.). Department of Medicine and Surgery, University of Milano-Bicocca, Milan, Italy (L.C.). Department of Surgery, Yale University, New Haven, CT (P.J.G.).

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### Disclosures

None.

### Supplemental Materials

Expanded Methods

Expanded Results

Data Supplement Figures I–XII

Data Supplement Tables I–VI

Supplemental Appendix (contains Tables IV–VI)

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